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1 **Ultraviolet-activated persulfate oxidation of methyl orange:**
2 **A comparison between artificial neural networks and factorial design for process**
3 **modelling**

4
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12 Abstract

13 In this work, the degradation of azo dye methyl orange in model aqueous solutions by UVC
14 light-induced persulfate oxidation was studied. Five operating parameters that may influence
15 decolorization kinetics were evaluated, namely, methyl orange (MO) (5-50 mg/L) and sodium
16 persulfate (SPS) (50-150 mg/L) concentration, reaction time (up to 60 min), (un-buffered)
17 solution pH (3-9) and the addition of NaCl (0-500 mg/L). The process was simulated applying
18 and comparing two methodologies, namely a two-level factorial design and artificial neural
19 networks (ANN). It was found that MO concentration is the most influential parameter
20 followed by the reaction time and SPS concentration, while solution pH and the addition of
21 sodium chloride are statistically less significant; this order of significance was predicted by
22 both methodologies. ANN can more accurately (i.e. in terms of R^2 , MSE and residuals)
23 simulate the process than factorial design, although they need significantly larger sets of data
24 and computational time.

25

26 **Keywords:** process simulation; UVC/persulfate; azo dyes; decolorization; operating
27 parameters

28

29 1. Introduction

30 Azo dyes and azo derivatives constitute about half of the global production of synthetic textile
31 dyes because of their resistance to sunlight, water and other undesirable conditions.¹⁻³ Dye-
32 containing effluents is an environmental concern since the color leads to visual pollution,
33 while some dyes may have carcinogenic and/or teratogenic effects on public health.¹⁻
34 ⁴Efficient decolorization of wastewaters is one of the significant treatment problems as
35 dyes are visible even at minute concentrations. Since most of the dyes are synthetic and
36 designed to resist chemical and photochemical degradation, they are found to be resistant
37 to conventional treatment processes.¹⁻⁶

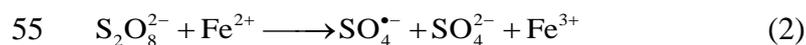
38 Methyl orange (MO) is a commonly used, water-soluble azo dye. In general, 15% of MO is
39 released from dyeing operation processes and ultimately enters the waste stream.

40 In recent years, various efforts are being made to eliminate or effectively remove dyes; among
41 them, advanced oxidation processes (AOPs) are a viable alternative option for the treatment
42 of recalcitrant wastewaters.⁷ The most common AOPs that have been applied for the
43 decomposition of dyes include photocatalysis,⁸⁻¹¹ electrochemical oxidation,^{12,13} ozone
44 oxidation,¹⁴⁻¹⁶ Fenton and photo-Fenton oxidation,^{17,18} ultrasound^{19,20} and the UV/H₂O₂
45 process.^{21,22}

46 Just recently, sulfate radical-induced AOPs have gained increasing attention. The sulfate
47 radical has a significantly high reduction potential of 2.6 V, slightly lower than that of
48 hydroxyl radical (2.9 V).²³⁻²⁶ Like the latter, it is non-selective and can quickly degrade most
49 of the organic pollutants found in waters.^{23,24}

50 One of the advantages of persulfate is that it can be activated in many ways including the
51 presence of transition metals (usually iron), heat, microwaves, alkaline conditions or
52 ultraviolet radiation.²³⁻²⁶

53



57

58 In recent years, there is a growing interest in the research community regarding the application
59 of statistical methods for the simulation and optimization of AOPs.^{27,28} Among them, the
60 factorial design is often preferred due to a number of advantages such as simplicity, the
61 relatively small number of experiments required and the possibility to interpret the physical
62 meaning of the system.²⁷⁻³⁰ On the other hand and as more and more computing power is
63 becoming available, more complex, non-linear models, such as the artificial neural networks
64 (ANN), are employed to simulate wastewater treatment.³¹⁻³³

65 In this perspective, the objective of the present study was to investigate the degradation of
66 MO in model aqueous solutions by UVC light-activated persulfate oxidation with regard to
67 identification of the key operating parameters that influence decolorization kinetics. This was
68 done applying and comparing two statistical approaches, namely factorial design and ANN.

69

70 **2. Experimental and Analytical**

71 **2.1 Chemicals**

72 Methyl Orange ($\text{C}_{14}\text{H}_{14}\text{N}_3\text{NaO}_3\text{S}$, CAS 547-58-0, Color Index Number: 13025) was purchased
73 from Fluka and used as received. Sulfuric acid, sodium chloride and sodium hydroxide were
74 purchased from Sigma-Aldrich, while sodium persulfate (SPS) was purchased from Riedel
75 De Haen. Ultrapure water (Millipore) was used throughout the experimental procedure.

76

77 **2.2 UVC photodegradation experiments**

78 UVC irradiation was provided by a 9 W, low pressure mercury lamp (Radium, Puritec, UVC -
79 LPC 9), which emits predominately at 254 nm. The photon flux of the lamp was determined
80 actinometrically using 2-Nitrobenzaldehyde³⁴ and it was found equal to 4.57×10^{-6} einstein/s.
81 The UVC lamp was placed inside a cylindrical quartz glass sleeve. UVC irradiation
82 experiments were conducted in an immersion well, batch type, laboratory scale, cylindrical
83 reaction vessel (length: 310 mm, internal diameter: 73 mm, volume capacity: 600 mL)
84 purchased from Ace Glass (Vineland, NJ, USA). The reaction mixture was placed in the
85 cylindrical reaction vessel and the UVC lamp, with its quartz glass sleeve, was immersed
86 inside the reaction mixture. Temperature was left uncontrolled during the course of the
87 reaction and it varied between 24°C and 28°C. The external reaction vessel was covered with
88 aluminium foil to reflect radiation exerting the outer wall of the reaction vessel. In a typical
89 run, 600 mL of the aqueous solution were introduced in the reaction vessel and the
90 appropriate amount of sodium persulfate and/or sodium chloride when required, was added to
91 achieve the desirable oxidant concentration in the range 50-150 mg/L.

92 The solution was magnetically stirred and subsequently the UVC lamp was turned on.
93 At specific time intervals about 2 mL of the reaction solution were withdrawn in vials which
94 were immediately placed in an ice bath (4°C) to quench any further reactions.

95

96 **2.1 Decolorization**

97 MO concentration was followed on a JASCO V530 spectrophotometer. The extent of
98 decolorization (Dec) that had occurred was computed as follows:

99

$$100 \quad Dec = \frac{\int_{350}^{700} A_o - \int_{350}^{700} A_t}{\int_{350}^{700} A_o} \quad (4)$$

101

102 where A_0 and A_t is the absorbance at $t=0$ and $t=t$, respectively averaged over the 350-700 nm
103 range; this was done to minimize the effect of a possible spectrum shifting due to pH change
104 during the reaction.

105 Total organic carbon (TOC) was measured by direct injection into an Aurora 1030 W TOC
106 analyzer.

107

108 **3. Results and discussion**

109 **3.1 Application of two-level factorial design**

110 A statistical approach was chosen based on a factorial experimental design that would allow
111 us to infer about the effect of the variables with a relatively small number of experiments.^{27,28}

112 The independent variables of the experimental design are presented in Table 1. Each one of
113 the five variables received two values, a high value (indicated by the plus sign) and a low
114 value (indicated by the minus sign). The substrate and oxidant concentration, reaction time and
115 solution pH were chosen as independent variables since they typically affect the advanced
116 oxidation of most organic contaminants, while NaCl was chosen since it constitutes a
117 common chemical in dye-containing wastewaters. The range of these parameters was selected
118 on the basis of preliminary experiments.

119 The experimental design followed in this work was a full 2^5 experimental set, which required
120 32 experiments. The order each experiment was performed was selected randomly and is
121 shown in Table 2, along with values of each independent variable for each run.²⁷⁻²⁹

122 In this work, the Lenth's method was used for the assessment of the significance of the main
123 and interaction effects in un-replicated factorial designs.³⁵ The method assumes that there are
124 m independent effects, and that they all have the same variance. According to this method the
125 pseudo-standard error (PSE) is estimated. First, the median of the absolute values of the
126 effects is determined and then $s_0 = 1.5 \times \text{median}$. Subsequently, any estimated effect

127 exceeding $2.5 \times s_0$ is excluded and the new median and $PSE = 1.5 \times \text{median}$ are
128 calculated.^{27,28} Once PSE has been obtained, it is multiplied by a factor $t_{0.95,d}$ that is obtained
129 from tables of quantiles of the t-distribution for common values of m and degrees of freedom,
130 $d = m/3$, to estimate a margin of error (ME) for the effects.^{27,28}

131 All estimated effects (given in Table 3) greater than the ME, in absolute values, are deemed
132 significant. On the contrary, all other effects can be attributed to random statistical error.²⁷

133 The Pareto chart is a useful presentation of the estimated effects and their statistical
134 importance.^{28,29} The Pareto chart displays the absolute values of the effects in a bar chart, as
135 well as the decision line for the ME. The Pareto chart of the effects for the MO
136 photodegradation is shown in Fig. 1. There are five effects that are greater than the ME
137 decision threshold. Among them, the three most significant ones are the MO initial
138 concentration, the treatment time and the concentration of sodium persulfate (SPS). Treatment
139 time and sodium persulfate has a positive effect on decolorization. This means that an
140 increase in their level brings about an increase in the MO degradation. However, MO initial
141 concentration yields a negative effect regarding decolorization both as individual effect and
142 through its interaction with time and sodium persulfate, indicating that an increase in its level
143 brings about a decrease in the photodegradation of the dye. A decrease of conversion with
144 increasing substrate concentration is common in most AOPs and denotes kinetics below first
145 order (usually approaching zero order). In brief, this is due to the fact that the concentration of
146 reactive radicals is finite for a fixed set of operating conditions and can be assumed constant
147 during the early stages of the reaction.³⁶ At relatively high substrate-to-radicals concentration
148 ratios, the latter are likely to become the limiting reactant, thus explaining the observed
149 behaviour. As the reaction proceeds, this effect may become more pronounced due to the
150 parallel, competitive reactions of transformation by-products with the non-selective radicals.

151 Another consequence of the increased dye concentration has to do with the fact that the

152 solution becomes less permeable to UVC light and, consequently, less sodium persulfate
 153 reacts to generate sulphate radicals.²¹ This is important since as can be seen in Fig. 1, an
 154 increase of sodium persulfate has a positive effect on decolorization.

155 According to the factorial design analysis both pH and sodium chloride appear to be
 156 statistically insignificant for process efficiency at least for the range of parameters studied.

157 According to Bennedsenet al.³⁷ the presence of chloride can lead to different results since it
 158 can act either as radical scavenger or can participate in propagation reactions with oxidants
 159 and, thereby, not be entirely unproductive. The initial pH appears to have insignificant effect
 160 despite that fact that the production rate of sulphate radicals is pH-sensitive; however, as the
 161 solutions were not buffered to their initial pH values, pH either gradually dropped from basic
 162 to acidic or remained acidic during MO degradation, thus minimizing its effect.

163 Based on the variables and interactions, which are statistically significant, a model describing
 164 the experimental response of MO photodegradation was constructed ($R^2=0.95$) as follows:

$$165 \quad \text{Dec (\%)} = -0.2547 \times X_1 + 0.0967 \times X_2 + 0.1237 \times X_5 \\ - 0.0882 \times X_1 \times X_5 - 0.0775 \times X_1 \times X_2 + 0.314 \quad (5)$$

166 where X_1 , X_2 and X_3 are the transformed forms of the independent variables MO, SPS and
 167 time, respectively according to:

$$168 \quad X_i = \frac{Z_i - \frac{Z_{high} + Z_{low}}{2}}{\frac{Z_{high} - Z_{low}}{2}} \quad (6)$$

169 and Z_i are the original, untransformed values.

170 Adequacy of the model was checked constructing the normal plot of residuals (Fig. 2). All
 171 points lie close to the straight line confirming that effects other than those considered in the
 172 model may be explained by random noise.²⁷⁻²⁹

173 It should be pointed out that the above linear model may be meaningful only for the range of
 174 conditions within which it has been developed. Since there are also other parameters that can
 175 influence the process (i.e. the water matrix including the presence of bicarbonates, residual

176 organic matter and other competitors for sulfate radicals), the above model should be used
177 with particular caution.

178 Finally, the relative significance of the input variables was evaluated as follows and the
179 results are summarized in Table 4:

180

$$181 \quad \text{Relative significance} = \frac{|X_i|}{\sum |X_i|} \quad (7)$$

182

183 where X_i is the estimated effect for each significant variable according to the Pareto chart,
184 while $\sum X_i$ is the sum of the effects of all significant variables (excluding the constant term).

185

186 **3.2 ANN modelling**

187 A neural network consists of artificial neurons that are grouped into layers and interconnected
188 in a variety of structures. The strength of these interconnections is determined by the weight
189 associated with the neurons.³¹⁻³³ In this work, a three-layered back propagation ANN was
190 chosen comprising an input layer (independent variables), an output layer (dependent
191 variable) and a hidden layer. A tangent sigmoid (tansig) transfer function was employed to
192 activate the hidden layer, while a linear (purelin) function for the input/output layers. The
193 Levenberg-Marquardt back propagation algorithm was chosen for training purposes.^{32,33}

194 The input layer includes five variables which are shown in Table 1 alongside the respective
195 range of values, while MO decolorization is the dependent variable of the output layer. A set
196 of 192 experimental data was divided into training (70%, 134 data), validation (15%, 29 data)
197 and test (15%, 29 data) subsets in order to improve ANN generalization and to avoid a
198 common problem related to ANN, i.e. overfitting. In this work the Neural Network Toolbox of
199 Matlab R2011 mathematical software was employed for the prediction of MO degradation.

200 A crucial factor for the development of an ANN is the topology, i.e. the optimum number of
 201 neurons. This number can be derived minimizing the mean square error, MSE, which is
 202 defined as follows:

$$203 \quad \text{MSE} = \frac{\sum_{i=1}^{i=N} (y_{i,\text{pred}} - y_{i,\text{exp}})^2}{N} \quad (8)$$

204 where $y_{i,\text{pred}}$ and $y_{i,\text{exp}}$ are the predicted and experimental values of the dependent variable,
 205 respectively and N is the number of data.

206 In this work, a trial and error approach was followed, i.e. a series of topologies were
 207 employed, in which the number of neurons was varied between 1 and 10. Each topology was
 208 repeated at least 10 times to avoid random correlation due to the random initialization of the
 209 weights. As seen in Fig.3, MSE is 0.0172 for just one neuron and it is minimized to 0.00176
 210 for five neurons; the resulting ANN is schematically illustrated in Fig. 4.

211 Fig. 5a shows a comparison between the measured MO decolorization and the predicted
 212 values to test the precision of the ANN model; there are two lines corresponding to (i) the
 213 perfect fit, $y=x$ (i.e. experimental and predicted values would be identical), and (ii) the actual
 214 fit with a regression coefficient $R^2=0.988$, which implies a very good fit.

215 Finally, the relative significance of the input variables was evaluated using the neural weight
 216 matrix and the following equation proposed by Garson³⁸ and the results are summarized in
 217 Table 4.

$$218 \quad I_j = \frac{\sum_{m=1}^{m=N_h} (|w_{jm}^{ih}| / \sum_{k=1}^{N_i} |w_{km}^{ih}|) \times |w_{mn}^{ho}|}{\sum_{k=1}^{k=N_i} \left\{ \sum_{m=1}^{m=N_h} (|w_{km}^{ih}| / \sum_{k=1}^{N_i} |w_{km}^{ih}|) \times |w_{mn}^{ho}| \right\}} \quad (9)$$

220 Where I_j is the relative significance of the j^{th} input variable on the output variable, N_i and N_h

221 are the numbers of input and hidden neurons, respectively and w is the connection weight.
222 The subscripts k , m and n refer to input, hidden and output neurons, respectively, while the
223 superscripts i , h and o refer to input, hidden and output layers, respectively. For the range of
224 parameters studied, [MO] is the most influential variable (33.5%) followed by reactiontime
225 (27.3%) and [SPS] (23.2%), while pH and sodium chloride concentration are far less
226 significant for the process.

227

228 **3.3 Comparison between artificial neural networks and factorial design**

229 Fig. 5b shows a comparison between the measured MO decolorization values and those
230 predicted by the ANN and factorial design approaches for representative runs. From a strictly
231 “mathematical” point of view (i.e. in terms of R^2 , MSE and residuals), ANN simulate better
232 the process, which is due to its non-linear behaviour, at least within the range of the operating
233 parameters studied. In the case of the factorial design though, one should not ignore (i) the
234 ease of interpretation of the results and the likely correlation with the physical meaning of the
235 system under consideration, and (ii) the fact that reliable results can be produced using a very
236 small number of experiments and measurements, thus saving time and reducing costs.

237 Although ANN are generally considered as black box in the literature, it is interesting to
238 notice that the sensitivity analysis shown in Table 4 gives exactly the same order of the
239 significant factors for both ANN and factorial design. This is also true when the factorial
240 design results are re-analyzed ignoring all interactions (i.e. simple first order analysis). This is
241 an indication that ANN can also be used to provide some valuable information about the
242 nature of the system and not only as a tool to simulate and predict data series.

243

244 **4. Conclusions**

245 The major conclusions drawn from this study are summarized as follows:

- 246 - Process simulation was performed by means of factorial design and artificial neural
247 networks. Methyl orange concentration is the most important parameter followed by the
248 reaction time and sodium persulfate concentration, while the pH and the addition of
249 sodium chloride appear to be statistically less significant
- 250 - UVC/SPS is an effective process for the degradation of methyl orange. The optimal values
251 of the operating parameters at the experimental conditions in question were found to be:
252 [MO]=5 mg/L, [SPS]=150 mg/L, pH=3, without addition of sodium chloride and 10 min of
253 treatment time. The decolorization of MO approached 100% under optimal conditions,
254 while the TOC removal was 60%. The UV/SPS process seems to be competitive to
255 other photo-assisted AOPs like the photo-Fenton process [17], where the time needed to
256 achieve the degradation of 10 mg/L MO was 15 min and the UV/H₂O₂ process [22] where
257 the time needed to degrade 20 mg/L of the azo dye reactive orange 16 in the presence of 25
258 mmol/L H₂O₂ was 20 min.
- 259 - Artificial neural networks have better performance than factorial design for the simulation
260 of the process ($R^2=0.988$ and 0.95 for ANN and two-level factorial design, respectively),
261 although they need significantly larger sets of data (i.e. 192 data points versus 32 for
262 factorial design) and computational time.
- 263 - Interestingly, the sensitivity analysis concerning the significance of the studied variables
264 gives comparable results for both methodologies.

265

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270

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379 **Table 1.** Range of the factorial design and ANN input variables used in this work.

Input variable	Factorial design	ANN
[Methyl orange]	5 – 50 mg/L	5 – 50 mg/L
[Sodium persulfate]	50 – 150 mg/L	50 – 150 mg/L
Reaction time	3 – 10 min	0 – 60 min
pH	3 – 9	3 – 9
[Sodium Chloride]	0 – 500 mg/L	0 – 500 mg/L

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382 **Table 2.** Design matrix of the 2⁵ factorial design and observed response.

Order running experiments	Level value of each variable in the experimental of run					Decolorization (%)	
	MO ₀	SPS ₀	pH ₀	NaCl	Time	Measured (experimental) value	Factorial Model value
22	-	-	-	-	-	0.149	0.246
11	+	-	-	-	-	0.020	0.023
3	-	+	-	-	-	0.666	0.541
27	+	+	-	-	-	0.034	0.008
12	-	-	+	-	-	0.148	0.111
10	+	-	+	-	-	0.026	-0.012
21	-	+	+	-	-	0.485	0.534
20	+	+	+	-	-	0.021	0.102
19	-	-	-	+	-	0.331	0.272
5	+	-	-	+	-	0.019	0.039
32	-	+	-	+	-	0.345	0.503
13	+	+	-	+	-	0.025	-0.040
23	-	-	+	+	-	0.138	0.144
31	+	-	+	+	-	0	0.011
2	-	+	+	+	-	0.589	0.503
25	+	+	+	+	-	0.042	0.060
7	-	-	-	-	+	0.673	0.669
18	+	-	-	-	+	0.056	0.093
8	-	+	-	-	+	1	1.007
30	+	+	-	-	+	0.108	0.121
14	-	-	+	-	+	0.420	0.477
4	+	-	+	-	+	0.113	0.001
17	-	+	+	-	+	0.983	0.942
9	+	+	+	-	+	0.112	0.157
29	-	-	-	+	+	0.859	0.712
24	+	-	-	+	+	0.069	0.126
28	-	+	-	+	+	0.910	0.986
1	+	+	-	+	+	0.125	0.089
26	-	-	+	+	+	0.435	0.526
6	+	-	+	+	+	0.014	0.040
15	-	+	+	+	+	0.963	0.927
16	+	+	+	+	+	0.159	0.132

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389 **Table 3.** Estimated effects of the 2⁵ factorial design for MO photodegradation.

Effect	MO photodegradation
Average effect	0.3140
<i>Main effects</i>	
MO	-0.5093
SPS	0.1935
pH	-0.0463
NaCl	0.0006
Reaction time	0.2475
<i>Two-factor interactions</i>	
MO X SPS	-0.1549
MO X pH	0.0503
MO X NaCl	-0.0052
MO X Reaction Time	-0.1765
SPS X pH	0.0641
SPS X NaCl	-0.032
SPS X Reaction time	0.0212
pH X NaCl	0.0033
pH X Reaction time	-0.0289
NaCl X Reaction time	0.0081
<i>Three-factor interactions</i>	
MO X SPS X pH	-0.0574
MO X SPS X NaCl	0.0555
MO X SPS X Reaction time	0.0029
MO X pH X NaCl	-0.0132
MO X pH X Reaction Time	0.0349
MO X NaCl X Reaction Time	-0.0088
SPS X pH X NaCl	0.0661
SPS X pH X Reaction Time	0.0297
SPS X NaCl X Reaction Time	0.0117
pH X NaCl X Reaction Time	-0.0263
<i>Four-factor interactions</i>	
MO X SPS X pH X NaCl	-0.0412
MO X SPS X pH X Reaction Time	-0.0273
MO X SPS X NaCl X Reaction Time	0.0021
MO X pH X NaCl X Reaction Time	0.0156
SPS X pH X NaCl X Reaction Time	-0.0182
<i>Five-factor interactions</i>	
MO X SPS X pH X NaCl X Time	0.0290
Lenth's PSE	0.0401788

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391 **Table 4.**Relative significance of the input variables.

Input variable	Factorial design	Factorial design (no interactions)	ANN
[MO]	38%	50.1%	33.5%
[Sodium persulfate]	15%	19.2%	23.2%
Reaction time	18%	24.7%	27.3%
pH	3%	4.7%	8%
[NaCl]	0.3%	0.3%	8%
[MO] x Time	14%	-	-
[MO] x [Sodium persulfate]	12%	-	-

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406 **List of figures**

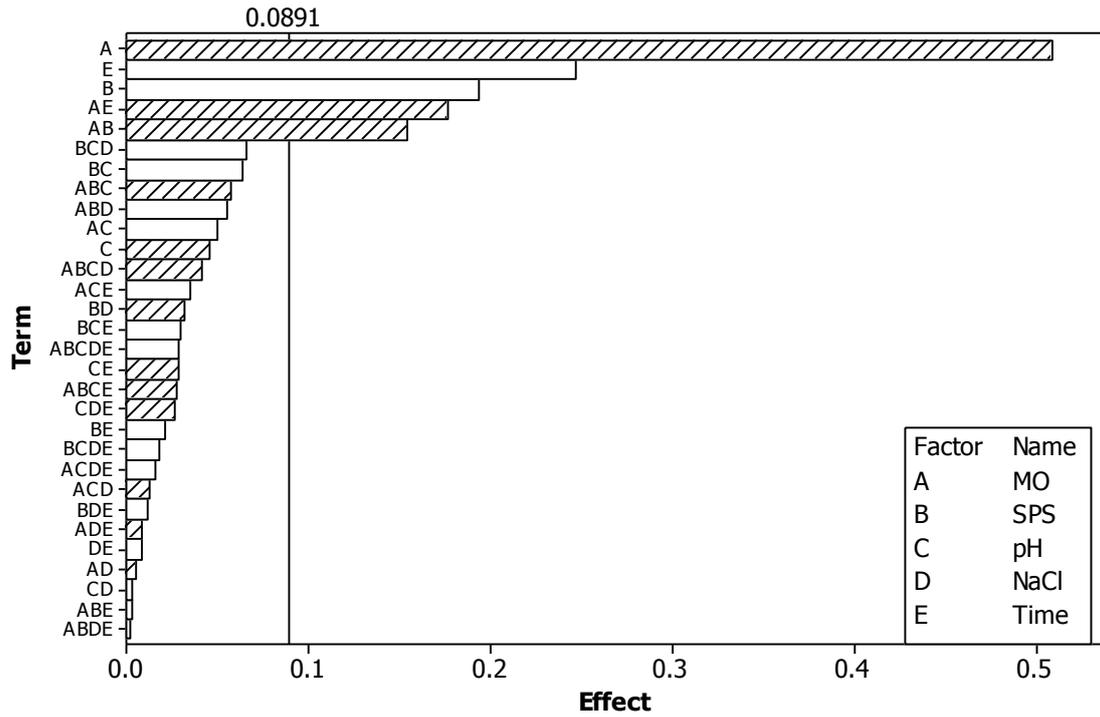
407 **Figure 1.** Pareto chart of the effects for MO photodegradation. White bars: positive effects;
408 hatched bars: negative effects. The line is drawn at the margin of error (ME).

409 **Figure 2.** Normal probability plot of the residuals at 95% confidence interval for MO
410 photodegradation.

411 **Figure 3.** Optimization of number of neurons in relation to MSE.

412 **Figure 4.** Structure of the optimized ANN used in this work.

413 **Figure 5.** Comparison between measured and predicted values of the dependent variable. (a)
414 ANN; (b) ANN versus factorial design.



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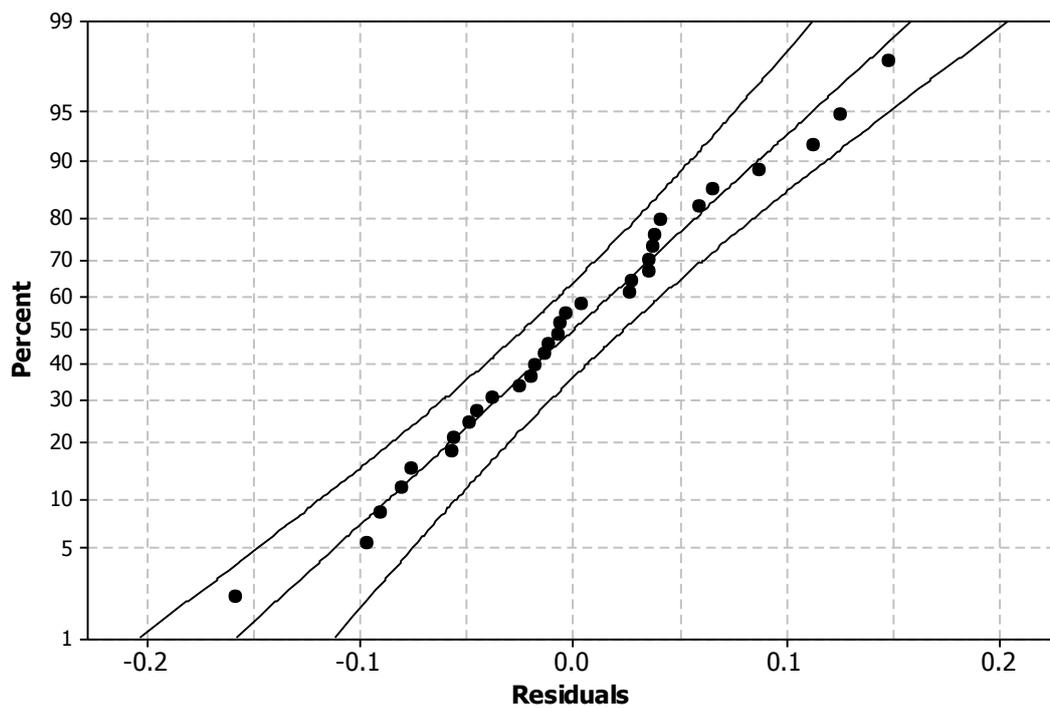
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417 **Figure 1.** Pareto chart of the effects for MO photodegradation. White bars: positive

418 effects; hatched bars: negative effects. The line is drawn at the margin of error (ME).

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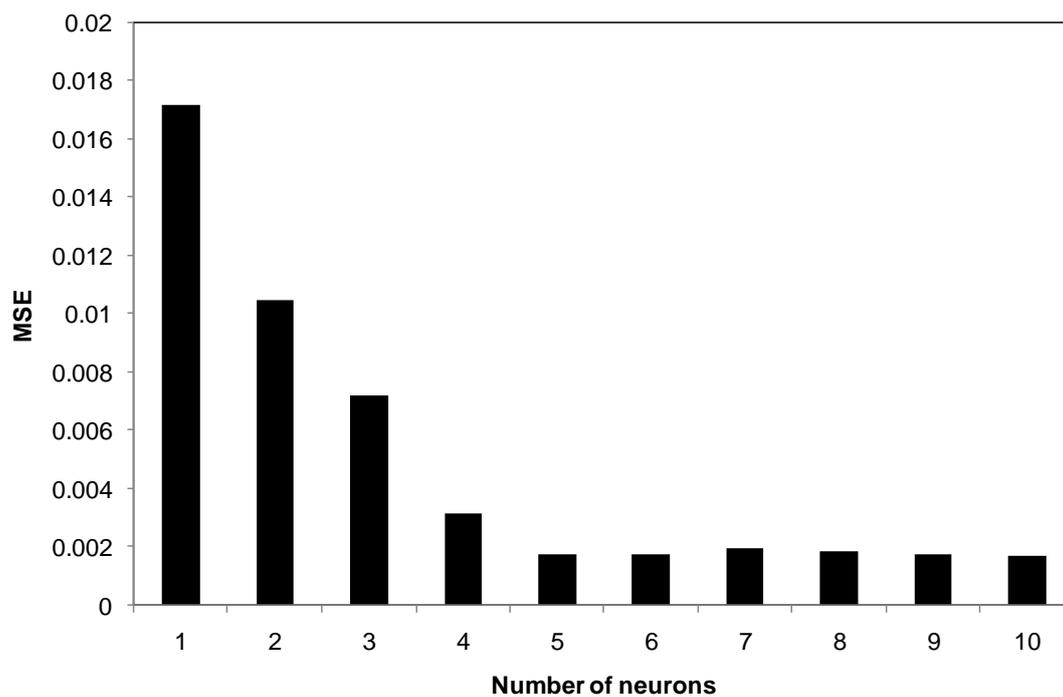
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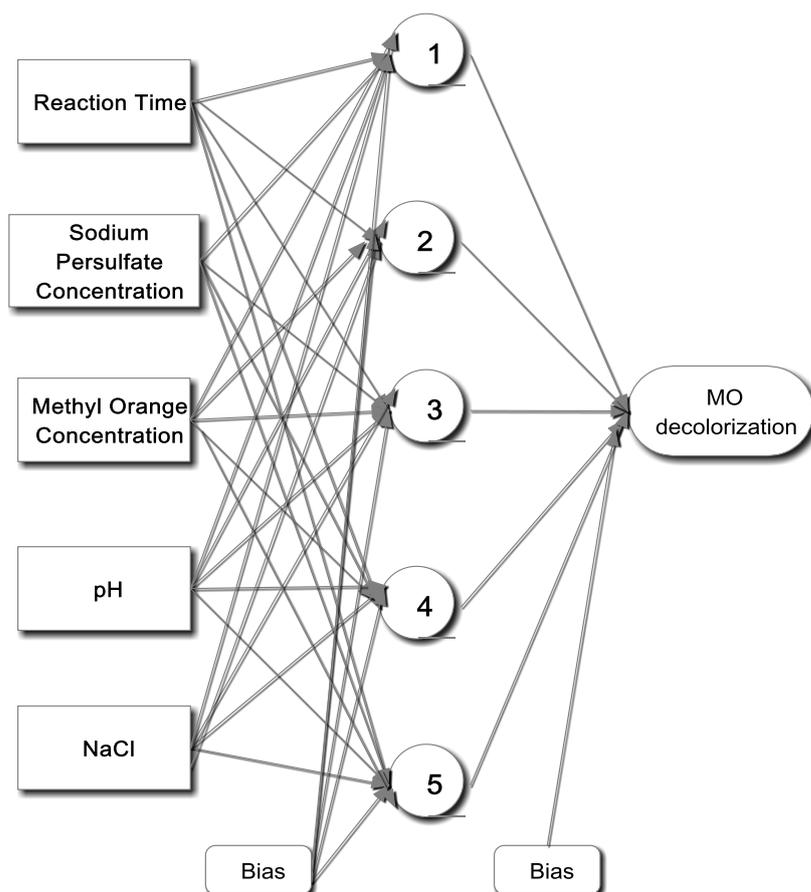


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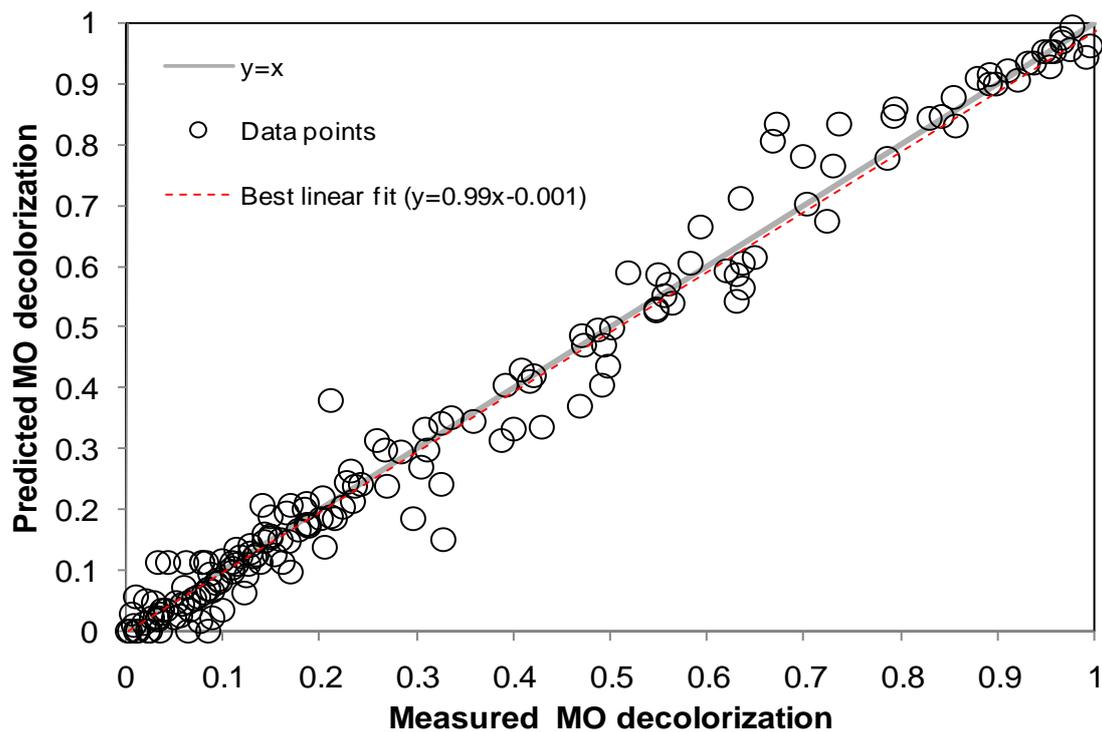
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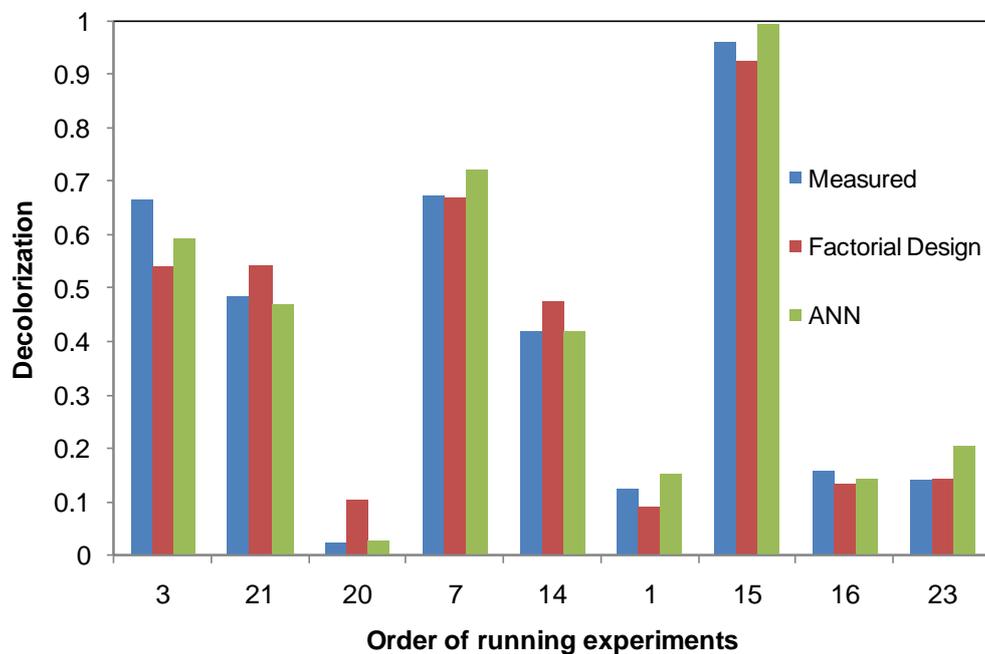
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443 (a)



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445 (b)



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447 **Figure 5.** Comparison between measured and predicted values of the dependent

448 variable. (a) ANN; (b) ANN versus factorial design.