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Potentiation of photoinactivation of Gram-positive and Gram-negative bacteria mediated by six phenothiazinium dyes by addition of azide ion.

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Short title: Potentiation of antibacterial PDI by azide in phenothiazinium series.

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Abstract. Antimicrobial photodynamic inactivation (APDI) using phenothiazinium dyes is mediated by reactive oxygen species consisting of a combination of singlet oxygen (quenched by azide), hydroxyl radicals and other reactive oxygen species. We recently showed that addition of sodium azide paradoxically potentiated APDI of Gram-positive and Gram-negative bacteria using methylene blue as the photosensitizer, and this was due to electron transfer to the dye triplet state from azide anion, producing azidyl radical. Here we compare this effect using six different homologous phenothiazinium dyes: methylene blue, toluidine blue O, new methylene blue, dimethylmethylene blue, azure A, and azure B. We found both significant potentiation (up to 2 logs) and also significant inhibition (>3 logs) of killing by adding 10 mM azide depending on Gram classification, washing the dye from the cells, and dye structure. Killing of *E. coli* was potentiated with all 6 dyes after a wash, while *S. aureus* killing was only potentiated by MB and TBO with a wash and DMMB with no wash. More lipophilic dyes (higher log P value, such as DMMB) were more likely to show potentiation. We conclude that the Type I photochemical mechanism (potentiation with azide) likely depends on the microenvironment, i.e. higher binding of dye to bacteria. Bacterial dye-binding is thought to be higher with Gram-negative compared to Gram-positive bacteria, when unbound dye has been washed away, and with more lipophilic dyes.

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Introduction

Phenothiazinium-based photosensitizers (PS) have been employed in antimicrobial photodynamic inactivation (APDI) research for nearly 80 years, both as known compounds 1 and as novel derivatives $2, 3$. In broader biomedical application, the phenothiazinium dye series has also been commonly applied in cytology and cytopathology, as well as in hematological staining 4 .

Methylene blue (MB) has been used as a lead compound in conventional (non-light mediated) antimicrobial therapy research for over a hundred years ⁵. Since the first publication of its efficacy as an antimalarial compound in 1891 ⁶, MB has also been employed as an antibacterial, e.g., in local antisepsis and an agent against tuberculosis 5 , and its low toxicity in man is reflected in its current clinical use as an injectable therapeutic for methemoglobinemia $⁷$ and its investigational use against Alzheimer's</sup> disease 8 and distributive shock 9 . However, it is unlikely that MB is the optimum member of the class of phenothiazinium derivatives for antimicrobial photoinactivation.

Wainwright et al 10 compared five different phenothiazinium dyes as antibacterial photosensitizers against methicillin sensitive *Staphyolococcus aureus* (MRSA). Dimethyl methylene blue and new methylene blue were found to be the most active compounds but concern was raised about their dark toxicity.

We recently reported the paradoxical potentiation of MB-mediated antimicrobial PDT by sodium azide (Na⁺N₃⁻)¹¹ – paradoxical because N₃⁻ is known physically to quench ¹O₂, thus protecting the bacteria from ${}^{1}O_{2}$ -mediated killing 12 . We concluded that oxygen radicals (or the excited PS itself) could directly abstract an electron from N_3 ⁻, forming azidyl radicals (N₃'), which although they are less reactive than 'OH or ¹O₂, may be more selective and effective bactericidal agents, because they are longer lived than 'OH. This longer lifetime may be responsible for enhanced PDT bacterial killing as N_3 '

may diffuse deeply into the cells and then wreak havoc while the more reactive 'OH or $10₂$ is rapidly consumed at the cell wall.

In the present study we attempted to gain deeper understanding of this intriguing observation of the potentiation of antimicrobial PDI by azide. We used a panel of six phenothiazinium dyes most of which were originally studied by Wainwright et al ^{4, 13, 14}. These compounds have varying lipophilicities as measured by the logP value (octanol:water partition coefficient). We also compared the Gram-negative bacterial species *Escherichia coli* with a Gram-positive counterpart *Staphylococcus aureus*, and studied the effect of washing unbound dye out of the bacterial suspension. It should be noted however, that the toxicity of azide precludes it being used for any clinical application, but nevertheless it can provide useful information about photochemical mechanisms.

Materials and methods

Photosensitizers. Azure A (AA), azure B (AB), methylene blue (3,7 bis(dimethylamino)-phenothiazinium chloride; (MB) toluidine blue O (TBO), new methylene blue N, zinc free form (NMB), 1,9-dimethyl-methylene blue (DMMB) were all obtained from (Sigma-Aldrich, St. Louis, MO). Each photosensitizer was stored in the dark as an aqueous stock solution (1mM in MilliQ water, for DMMB in 50% H₂O/acetonitrile) at 4°C for no longer than 24 hours. Sodium azide was from Fisher Scientific, Waltham, MA. Purity was around 80%.

Log P values. We measured the logP values experimentally because calculated values of logP for phenothiazinium salts give different values depending whether the canonical structure is drawn with the cationic charge on the ring sulfur atom or on the peripheral nitrogen atom. A mixture of 2 mL of octanol and 2 mL of phosphate buffered saline (PBS; pH 7.4, 50 mM; 150 mM NaCl) in a 20-mL scintillation vial was stirred at room temperature for 3 h. Then less than 0.5 mg of phenothiazinium salt was introduced. Stirring was continued at room temperature at 100-200 rpm for 24 h. The mixture was allowed to stand for 30 min to allow separation of the phases. A 30-µL aliquot of each phase was placed in 3.0 mL of dimethylsulfoxide (DMSO) and the absorption spectrum of each phase was measured. The ratio of the peak area of the red absorption band, for the two phases (octanol/PBS) was calculated; the log(10) of the measured ratios are hereafter denoted meas logP values.

Light source. A convenient light source consisted of a non-coherent broad-band lamp capable of delivering light into a fiber-optic probe (FOP) fitted with a band-pass filter 635+15-nm (LumaCare, Newport Beach, CA). A power meter (model DMM 199 with 201 standard head, Coherent, Santa Clara, CA) was used to measure the irradiance (power density in mW/cm²).

ROS probes The Singlet Oxygen Sensor Green (SOSG, Life Technologies, Bedford MA) reagent is highly selective for ${}^{1}O_{2}$; although it does also show some response to hydroxyl radical (OH) and superoxide ${(\overline{O_2}^{\text{-}})}$ ¹⁵. This new singlet oxygen indicator in its non-activated state exhibits weak blue fluorescence, with excitation peaks at 372 and 393 nm and emission peaks at 395 and 416 nm. In the presence of singlet oxygen, it emits a green fluorescence similar to that of fluorescein (excitation/emission maxima ~504/525 nm). Stock solutions ~5 mM were prepared in methanol immediately before use.

Hydroxyphenyl fluorescein (HPF, Life Technologies) is a fluorescent reagent for the detection of highly reactive hydroxyl radicals. HPF is resistant to light-induced autoxidation. SOSG and HPF were used at 5 µM final concentration and phenothiazinium dyes at 10 µM final concentration in each assay. A microplate spectrophotometer (Spectra Max M5, Molecular Devices) was used for acquisition of fluorescence signals in the "slow kinetic" mode. When HPF was employed, fluorescence emission at 525 nm was measured after excitation at 490 nm using a 2 nm monochromator band pass for both excitation and emission. With SOSG, the corresponding values were emission 525 nm and excitation 505 nm. Increasing fluences (J/cm²) were delivered using 635-nm light at an irradiance of 100 mW/cm². Each time after an incremental fluence was delivered, the fluorescence was measured. We compared probe activation with and without the addition of sodium azide at 10 mM concentration. Because we were concerned that the different lipophilicities of the dyes might affect their solubility in water and thus their photochemical effectiveness, we carried out these probe activations in two different solutions, (a) PBS and (b) 50:50 mixture of PBS and acetonitrile. The data was plotted by subtracting the fluorescence value from each point in the absence of azide from the fluorescence value of the same point in the presence of azide (see Fig 2).

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Microbial cell culture *Staphylococcus aureus* 8325-4 and *Escherichia coli* K-12 (ATCC, Manassas, VA) were employed. Planktonic bacteria cells were cultured in brain heart infusion broth (BHI) (Fisher Scientific) with shaking at 37°C. PBS *Response* was used to wash microbial cells and for serial dilution. Liquid growth media was prepared from 200 ml of distilled water and 6 g of BHI powder. All liquid media were autoclaved at 120° C for 15 min before use. Solid growth media was prepared from liquid growth media with the addition of 1,5% microbiological agar (Fisher Scientific). A suspension of microbial cells was prepared by refreshing an overnight stationary phase culture in fresh medium for about 1 h. Cell pellets were isolated by centrifugation (13,690 × g for 5 min) and resuspended the appropriate volume of sterile PBS to give the desired cell-density (OD at 600 nm equivalent to 10 8 CFU/ml).

In vitro PDI experiments. Bacteria at 10(8) cells/mL were incubated with the dyes at 10 µM concentration using the same incubation time (15 min), and the same light fluence (10 J/cm² of 635-nm light) for all studies. After 15 min incubation time the bacterial suspensions were centrifuged or not, and the pellets resuspended in the same volume of fresh PBS. Sodium azide at a final concentration of 10 mM was added or not and the cell suspensions were incubated for a further 5 min. We previously showed that 10 mM azide had no bacterial toxicity on its own under these conditions. The light spot was set up to illuminate four wells of a 24 well plate equally by adjusting its diameter to 3.4 cm. At an irradiance of 100 mW/cm², 10 J/cm² was delivered in 100 sec. Controls consisted of absolute control (no treatment), photosensitizer without light, and photosensitizer plus azide without light. After delivery of light, suspensions were serially diluted and streaked on square agar plates by the method of Jett et al 16 . Survival fractions were obtained by dividing the treatment CFU/ml by the CFU/ml in the original cell suspension (absolute control). The experiments were repeated 3 times.

Bacterial binding studies. Since the ability of the dyes to bind to the bacterial cells appeared to be important in determining the degree of potentiation by azide, we measured the absorption spectra of the cell pellet and of the supernatant after incubation by cells (10(8)/mL) with dye (10µM) for 15 min to find out how much of the dye was bound to the cells and how much was not. The cell pellet was dissolved in the same volume (as the supernatant) of 0.1% SDS, while sufficient SDS was added to the supernatant to make the final concentration 0,1% SDS and the volume comparable. Absorption spectra were carried out between 500 and 700 nm. The fraction of dye bound to the cells was then calculated.

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Photosensitizer structure and properties.

The chemical structures of the 6 dyes are shown in Table 1 together with the absorption maximum wavelength, the redox potential and the experimentally determined logP values. The actual absorption spectra of the dyes both in PBS and in methanol, together with the emission spectrum of the light source are shown in supplementary material Fig S1. Both azure dyes had negative redox potentials $(AA = -$ 320 mV; $AB = -160$ mV) while the redox potentials of the other dyes were close to zero $(-21 \text{ mV to } +11 \text{ mV})$. The meas logP values are proportional to calc logP values (i.e. the different dyes lie in the same order DMMB > NMB > AB > AA > MB > TBO) although the absolute numbers are different and as previously mentioned the calc logP values depend on which phenothiazinium dye canonical structure is used. Moreover the logP values depend on the ionic strength and pH of the aqueous phase.

The fraction of the dye bound to both *S. aureus* and *E. coli* are also shown in Table 1. It can be seen that there is much more dye bound to the Gram-positive *S. aureus* than to the Gram-negative *E. coli* for all the dyes.

ROS probes. We had previously found that when a PS was ilIuminated in solution, the balance between activating HPF and activating SOSG gave information on the Type I and Type II photochemical mechanisms 11 . Furthermore we previously showed that addition of sodium azide was able to increase the activation of HPF by a fullerene excited by white light 11 . It therefore was reasonable to ask what effect azide had on the activation of these ROS probes by all six of our phenothiazinium dyes. The results are shown in Figure 1 for both PBS (and a 50:50 mixture of PBS and acetonitrile). For SOSG (Fig 1A-B) azide inhibited probe activation mediated by all six photoactivated dyes as expected from its known ability to quench singlet oxygen, with the biggest inhibition seen with NMB and the least inhibition seen with DMMB (PBS) and AB (50 %

acetonitrile). There was more pronounced inhibition in 50% acetonitrile than in PBS especially in the case of DMMB which showed much higher inhibition in the organic solvent consistent with its higher lipophilicity. For HPF (Fig 1C) in PBS we saw potentiation of activation for AA, TBO, AB, MB, NMB and in that order with only DMMB showing inhibition. In acetonitrile (Fig 1D) the activation of the probe by AA, TBO, AB, MB, NMB was more pronounced than in PBS, while the inhibition of HPF activation by DMMB was also more pronounced.

In vitro PDI studies.

The killing of *S. aureus* by each of the six phenothiazinium dyes (10 µM with 10 J/cm2 of 635-nm light) with and without a wash and with and without addition of 10 mM sodium azide is shown in Figures 2A and B Under these conditions around 4-5 logs of the Gram-positive bacteria were killed by each photoactivated dye (with the exception of DMMB), with the killing being much more effective with no wash than it was with a wash. This difference (wash vs no wash) has been previously reported in the case of TBO ¹⁷. The same set of experiments was done with the Gram-negative *E. coli* as shown in Figures 2C and D. There was about 3.5-5 logs of killing obtained with all six dyes, and the no wash killing was again higher than the killing observed after a wash.

The interesting comparisons are between the killing in the presence of azide and without azide. There was a great variation in the effects of azide on the PDT bacterial killing between dyes and also between species. We found both significant potentiation of killing (> 3 logs), and also significant inhibition of killing (>3 logs) by adding 10 mM azide depending on Gram classification, washing the dye from the cells, and the dye structure. *E. coli* was more likely to show potentiation of killing by azide after a wash, while *S. aureus* only showed potentiation of killing by azide with no wash in the case of DMMB. In general more lipophilic dyes (higher log P value such as DMMB) were more

likely to show potentiation of killing, while more hydrophilic dyes (AA and AB) were more likely to show inhibition of killing.

In order to allow a graphical representation of the effects of azide we have plotted the fold-potentiation (or fold-inhibition) of bacterial PDI by addition of azide in all of the experiments in Figure 3. It becomes clear from this figure that *E. coli* with a wash shows potentiation by azide with every single phenothiazinium dye. Conversely both *S. aureus* with no wash, and *E. coli* with no wash, show inhibition of killing by azide by all the dyes except DMMB. AB and NMB gave potentiation of killing by azide in the case of only one condition out of four, AA, MB and TBO gave potentiation of killing by azide in two conditions, while DMMB gave potentiation of killing by azide in three conditions.

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Discussion

Studying and defining the differences between Type I and Type II photochemical mechanisms has occupied workers in the PDT field for decades $11, 18-22$. Nevertheless the majority of authors maintain that a Type II (singlet oxygen) mechanism is the overwhelmingly more important ROS in PDT and especially in antimicrobial PDI ^{23, 24}. Antimicrobial PDI is an attractive biological area to study the different photochemical mechanisms involved in PDT, as the bacterial cells are particularly sensitive to membrane damage from ROS generated in solution. This means that the intracellular uptake of PS is not as strictly necessary to kill bacteria as it would have been in the PDT killing of cancer cells where intracellularly generated ROS (in for instance mitochondria) produce efficient cell death. In other words ROS generated outside the bacterial cells, or actually at the cell wall by PS that are bound to the bacterial cells, may still be able to cause lethal damage to the cell membrane by the process of diffusion.

We previously showed that sodium azide was able to potentiate the bacterial PDI mediated by MB in both Gram-positive and Gram-negative bacteria ¹¹. The production of azidyl radicals during the potentiation was demonstrated by ESR spin trapping with DMPO. We initially hypothesized that the mechanism involved the intermediate formation of *OH* from MB and light, and then the *OH* radical carried out a one-electron oxidation of azide anion to form azidyl radical. However this hypothesis needed to be changed after we observed that both the formation of spin-adduct $DMPO-N₃$ and the potentiation of MB-PDI by addition of azide occurred in the absence of oxygen. This could possibly be used to allow PDT to be used in areas of hypoxic tissue if a less toxic mediator than azide could be found.

It should be noted that azide is toxic to both mammalian cells and to microorganisms including bacteria (all Gram-negative but not many Gram-positive species) 34 . Azide

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inhibits the activity of cytochrome c oxidase in a similar manner to cyanide. We checked previously ³⁵ that at 10 mM concentration for 30 min there was no azide toxicity to either bacterial species, but toxicity did begin to appear at 50 mM. Therefore it is clear that the potentiation of antibacterial PDI by addition of azide could never be used clinically, but its value is as a mechanistic tool to understand the basic photochemistry.

On discovering the oxygen independence of the bacterial photokilling, we proposed an alternative mechanism whereby the excited triplet state MB could carry out a one electron oxidation of N₃ to N₃^{+ 11}. The redox potential of the half reaction: N₃⁺ + e → N₃ is +1.3V, while that of the half reaction: HO⁺ e− +H⁺→ H₂O is +2.31V, making hydroxyl radicals much more reactive than azidyl radicals 25 . Nevertheless it is possible that the very high reactivity of HO⁺ is a disadvantage when it comes to killing bacterial cells, because all the reaction happens at the very outermost part of the bacterial cell such as the cell wall structures, while a less reactive radical such as N_3 can survive long enough to diffuse closer to sensitive sites such as the plasma membrane. This hypothesis gained additional support when we showed that addition of azide also potentiated bacterial killing by Fenton reagent 11 . Fenton reagent (a mixture of hydrogen peroxide and ferrous ions) is considered to be a reasonably selective source of hydroxyl radicals 26 and azidyl radical is presumably formed from azide by the one electron oxidation produced by hydroxyl radical.

In the present study we compared the potentiation of PDI by addition of azide amongst a series of six different phenothiazinium dyes in order to gain some insight into the structural features governing this interesting photochemical reaction. We initially looked at the effect of azide of the activation of the ROS probes HPF and SOSG. As expected, because SOSG is relatively specific for activation by ${}^{1}O_{2}$, azide quenched activation of SOSG by all six dyes. For HPF the situation was more interesting and surprising. The activation of HPF was potentiated by azide in 5 cases (AA and TBO strongly) while HPF

activation was quenched only by DMMB. The relatively lipophilic DMMB was more quenched by azide in MeCN (for both HPF and SOSG) presumably because it was better dissolved in the presence of the organic solvent and its aggregation was less. This disaggregation would increase the yield of ${}^{1}O_{2}$ and allow the physical quenching by azide to be more apparent. We have previously published that HPF activation was potentiated by azide in the case of the tris-cationic fullerene BB6 plus light 11 . while Kessel et al reported HPF activation by azide in the case of ROS generated by Fenton reagent but gave no mechanism for this observation 27 . Although it may be tempting to suppose that azidyl radical could attack the phenol ring of HPF releasing fluorescein in the same manner as hydroxyl radical, there is as yet no hard evidence for this hypothesis.

We found that the degree of potentiation (or inhibition) of killing depended strongly on the Gram-classification, whether the dye was washed from the suspension, and on the dye structure. Potentiation was observed for every dye (all six) in only one case, that of *E. coli* with a wash (between 5 and 150-fold). In the case of *S. aureus* with no wash Inhibition was observed for 5 dyes with only one dye giving potentiation (DMMB),. Since the wash removes the unbound dye leaving only dye that is bound to bacteria, it may be the case that the more negatively charged Gram-negative bacteria bind cationic dyes more strongly than the less negatively charged Gram-positive cells ²⁸⁻³⁰, although the overall binding is higher for Gram-positive bacteria because their porous cell wall allows much more dye to diffuse inside. The hypothesis that potentiation depends on dye-bacteria binding gains additional support when we consider the lipophilicity of the dyes. The dye with a relatively high meas logP value gave potentiation of killing in three out of four different bacteria/wash combinations (DMMB). Conversely dyes with lower logP values (AA and MB) give modest potentiation of killing only in the case of *E. coli* with a wash.

Taking all these observations and deductions together we have formed the hypothesis that potentiation of antimicrobial PDT by azide depends on the microenvironment of the photosensitizer. The dye is likely to be more firmly bound to the bacterial cells: (a) for more negatively charged Gram-negative bacteria, (b) after a wash to remove unbound dye, and (c) in the case of more lipophilic dyes. When the dye is firmly bound it may be more likely to receive an electron transfer from azide to its triplet excited state thereby producing a radical pair (PS radical anion and azidyl radical). A complementary hypothesis is that firmly bound dyes can transfer the electron from the PS radical anion into the bacterial cell with its metabolic electron transport chains, thus regenerating the ground state uncharged PS and allowing the process to repeat indefinitely. Non-firmly bound dyes might find it more difficult to carry out this secondary electron transfer because they are not adjacent to the bacterial cell.

Because the radicals produced from bound dyes are by definition produced closer to their target (bacterial cells) than they would have been had the dye not been firmly bound, it may be supposed that the distance the radicals have to travel to encounter their target governs their toxicity. This limitation on effective diffusion length of radicals is in accord with what is known about free radical toxicity 31 .

We have previously reported 11 that the degree of inhibition of bacterial photoinactivation by azide varied according to PS structure according to the proposed photochemical mechanism. We previously found azide inhibited photodynamic killing by PEI-ce6 (polyethylenimine chlorin(e6) conjugate) and red light much more than it did the photokilling of BB6 (cationic fullerene) and white light¹¹. These findings are supported by the relevant redox potentials (C60 fullerene = -169 mV 32 and (related) Zn-chlorin(e6) $=$ +540 mV 33). The similarity of the redox potentials of phenothiazinium dyes (see table 1) to that of C60 suggests that low redox potentials encourage electron transfer and Type 1 photochemistry. Since high inhibition of killing correlated with more activation of SOSG (PEI-ce6) and low inhibition correlated with more activation of HPF (BB6) we proposed that azide could perhaps potentiate killing by Type I photochemistry (formation of hydroxyl radicals). In fact we proposed 11 that a one electron-transfer from azide to hydroxyl radical to form azidyl radical might be responsible. Nevertheless it is important to reiterate that we did not observe any actual azide potentiation of bacterial killing by either PEI-ce6 or BB6 in that study 11 , although we did observe potentiation of activation of HPF ROS probe by BB6 and white light when azide was added to the solution. So apparently it is necessary to have photosensitizers with the phenothiazinium structure to see actual potentiation of killing, possible because these molecules can carry out the one-electron transfer reaction from the triplet state referred to above 11 .

More research will be necessary to see if other classes of antimicrobial photosensitizer molecules (that are not phenothiazinium salts) can have their microbial killing potentiated by addition of azide anion. Our laboratory has recently reported that thiocyanate anion can also potentiate antimicrobial PDI mediated by MB 36 and has gained some preliminary evidence that other simple inorganic salts (such as iodide anion) are also able to potentiate antimicrobial PDI, and these results will be reported in due course.

Acknowledgments

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Tables.

Table 1. Properties of dyes and relative binding by bacterial cells.

19

^a log(10) n-octanol:PBS partition co-efficient

 $^{\rm b}$ fraction of the dye that is bound to cells after 15 min incubation in PBS

 \degree not available

20

Legends to the figures.

Figure 1. Effect of azide on activation of fluorescent ROS probes (SOSG and HPF) by 6 phenothiazinium dyes and light. The fluorescence value from each point in the absence of azide was subtracted from the fluorescence value of the same point in the presence of azide. (A) SOSG in PBS; (B) SOSG in 50:50 PBS acetonitrile; (C) HPF in PBS; (B) HPF in 50:50 PBS acetonitrile.

Figure 2. Effect of azide on PDT killing of Gram-positive and Gram-negative bacteria with and without a wash. *S. aureus* (A & B) and *E. coli* (C & D) were incubated with 6 phenothiazinium dyes (10 µM) followed by wash (B & D) or no wash (A & C) and addition of NaN₃ (10 mM) and exposure to 10 J/cm² red light.

Figure 3. Fold-potentiation or inhibition of PDT killing of bacteria by addition of azide to PDT mediated by 6 phenothiazinium dyes shown with and without a wash. Data extracted from the graphs in Figure 2.

Supplementary material.

Figure S1.

Figure S2.

177x123mm (300 x 300 DPI)

Figure 3 101x93mm (300 x 300 DPI)

254x190mm (72 x 72 DPI)