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Fluorescence from bisaryl-substituted maleimide derivatives

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Abstract

A series of bisaryl-substituted fluorescent maleimides was synthesized via Heck arylation. The compounds showed broad fluorescence emission bands in the visible region, large Stokes shift in polar solvents and emission quantum yields varying from 0.04 to 0.71, depending on structure and solvent

- ¹⁰ medium. The difference in dipole moment of ground and excited electronic states of about 12 Debye is ascribed to a substantial charge shift and push-pull character of bisaryl-substituted maleimides. The fluorescence decays of the *N*-benzyl-3,4-bis(4-methoxyphenyl)-1*H*-pyrrole-2,5-dione (compound **5a**) are biexponential with short (1.3 - 7.6 ns) and long lived (11.5 - 13.6 ns) components in polar solvents, but in 1,4-dioxane and THF the decays become single exponential. On the other hand, *N*-benzyl-3-(4-
- ¹⁵ methoxyphenyl)-4-(hydroxyphenyl)-1*H*-pyrrole-2,5-dione (compound **5b**) exhibited a biexponential decay in DMSO and in DMF with much shorter decay components, and such behavior indicated a charge shift process combined with a solvent assisted proton transfer in the excited state.

Keywords: organic dyes, fluorescence, push-pull, decays

1 Introduction

- Natural products with intrinsic or derived fluorescence have been used as molecular probes to study biological processes, like intracellular trafficking, membrane association and autotoxicity.^{1,2} For this purpose, some strategies such as synthesis of fluorescent analogs have been successfully employed.¹⁻³ Thus,
- ²⁵ the evaluation of photochemical stability, quantum yield, and fluorescence lifetimes of new fluorophores based on natural products is an important issue for future applications as molecular probes.
- A class of compounds used for optical sensing is the aromatic ³⁰ imides. The photophysical properties of monoimides and polyimides are well-documented.^{4,5} However, the fluorescent properties of bisaryl-substituted maleimide derivatives have not been fully described, although their natural precursors, polycitrin A and B, isolated from the marine ascidian *Polycitor* sp. by ³⁵ Kashman *et al.*,⁶ are known from many years.

When the fluorophore presents charge shift character in excited state, it can be used as a reporter of local polarity in biological systems,^{7,8} polymers,⁹ and colloids.^{10,11} Molecules with the so-called push-pull effect should have well posed electron-⁴⁰ donating and electron-accepting groups linked by a π -conjugated bridge.^{12,13} Upon electronic excitation an increase in charge separation may occur, and excited state properties become dependent on the solvent polarity.^{14,15}

In this report, photophysical properties of bisarylated ⁴⁵ maleimides are determined by stationary and time resolved emission spectroscopies. The compounds (see structures **5a-d** in Fig. 1) were synthesized via Heck arylation.¹⁶ Furthermore, to investigate the effect of solvent polarity in energy levels and to determine the difference in dipole moments, the Lippert-Mataga ⁵⁰ approach was employed.^{17,18} Theoretical calculations using density functional theory (DFT) methods at the B3LYP / 6-311G++(2d, 2p) level were used to evaluate the dipole moment of the ground state of the compounds.

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Fig. 1 Reaction scheme of the Heck arylation.

2 Experimental

2.1 Synthesis

5 2.1.1 Materials and methods

The ¹H NMR spectroscopic data were recorded using a Bruker spectrometer at 250 MHz and 500 MHz in CDCl₃, (CD₃)₂CO, (CD₃)₂SO, and CD₃CN solutions. The chemical shifts are reported in ppm and referenced to the residual solvent peak or ¹⁰ tetramethylsilane (TMS). The spectroscopic data are reported in

- the order of chemical shift (δ), multiplicity, coupling constant (*J*) in Hertz, and integrated intensity. The ¹³C NMR spectroscopic data were recorded using a Bruker spectrometer at 62.5 MHz, and 125 MHz in CDCl₃, (CD₃)₂CO, (CD₃)₂SO, and CD₃CN solutions.
- ¹⁵ The chemical shifts are reported in ppm and referenced to the residual solvent peak. The microwave (MW) reactions were conducted in a microwave synthesizer (CEM Discover®) system with power output of about \leq 300 W. These reactions were performed in glass vessels (capacity 10 mL) sealed with a
- ²⁰ septum. The temperature measurements were conducted with an infrared temperature sensor mounted under the reaction vessel. All of the experiments were performed using a stirring option, whereby the contents of the vessel were stirred by means of both a rotating magnetic plate located below the floor of the
- ²⁵ microwave cavity and a Teflon-coated magnetic stir bar inside the vessel. The experiments were carried out by simultaneously cooling with compressed air passed through the microwave cavity and heating. Column chromatography was performed with silica gel (230 – 400 mesh) following the methods described by Still.¹⁹
- ³⁰ Thin layer chromatography (TLC) was performed using silica gel GF254 (0.25 mm thickness). For visualization, the TLC plates were either placed under ultraviolet light or developed with phosphomolybdic acid followed by heating. Air and moisture-sensitive reactions were conducted in flame or oven dried
- ³⁵ glassware equipped with tightly fitted rubber septa and under a positive atmosphere of dry nitrogen. The reagents and solvents were handled using standard syringe techniques. Temperatures above room temperature were maintained by using a mineral oil bath heated on a hotplate.

40 2.1.2 Procedure for the Heck arylation of fumaric acid (1)

To a solution of Pd(OAc)₂ (5 mol%, 7 mg) in 5 mL of acetic acid was added fumaric acid (1) (366 mg, 3.15 mmol) and 4methoxyphenyldiazonium tetrafluoroborate salt (140 mg, 0.63 mmol). The mixture was stirred for 2 h at 60 °C and then the temperature was carried out to 100 °C for 1 h. After that, the solvent was removed under reduced pressure. The residue was purified by flash chromatography (hexane/ethyl acetate 5:1) to provide 3-(4-methoxyphenyl)furan-2,5-dione (3) as a yellow solid, in 89% yield.

50 m.p. 141 − 143 °C.

¹H NMR (250 MHz, CDCl₃): δ = 3.89 (s, 3H), 6.83 (s, 1H), 7.00 (d, *J* = 9.0 Hz, 2H), 7.98 (d, *J* = 9.0 Hz, 2H).

¹³C NMR (62.5 MHz, CDCl₃): δ = 55.6, 114.9, 119.5, 121.0, 131.1, 146.2, 163.3, 164.0, 165.0.

2.1.3 Procedure for the Heck arylation of monoarylated maleic anhydride (3)

To a 50 mL round bottomed flask were added POPd (4 mol%, 29.4 mg), sodium acetate (362 mg, 4.41 mmol, 3 equiv.), and ⁶⁰ monoarylated maleic anhydride (**3**) (300 mg, 1.47 mmol). Acetonitrile (15 mL) was added, followed by arenediazonium salt (5.88 mmol, 4 equiv.). The reaction was heated at 80 °C for 2 h. After this time, the crude reaction mixture was filtered through a plug of silica gel, and the filtrate was concentrated under reduced

65 pressure. The product was purified by flash chromatography (hexanes/ethyl acetate, 4:1) to provide the corresponding Heck adduct.

3,4-bis-(4-methoxyphenyl)-furan-2,5-dione (4a): Obtained as a yellow solid, in 89% yield.

- ⁷⁰ ¹H NMR (500 MHz, CDCl₃): δ = 4.06 (s, 6H), 7.50 (d, *J* = 9.5 Hz, 4H), 8.63 (d, J = 9.5 Hz, 4H).
- ¹³C NMR (125 MHz, CDCl₃): δ = 55.5, 114.4, 119.9, 131.4, 135.7, 161.7, 165.4.

3-(4-methoxyphenyl)-4-(4-hydroxyphenyl)-furan-2,5-dione 75 **(4b):** Obtained as a yellow solid, in 86% yield.

¹H NMR (500 MHz, CDCl₃): δ = 3.89 (s, 3H), 5.37 (s, 1H), 6.88

(d, *J* = 8.5 Hz, 2H), 6.95 (d, *J* = 8.5 Hz, 2H), 7.55 (d, *J* = 8.5 Hz, 2H), 7.98 (d, *J* = 8.5 Hz, 2H).

¹³C NMR (125 MHz, CDCl₃): δ = 55.5, 114.5, 116.0, 119.8, 120.1, 131.5, 131.7, 135.6, 135.9, 157.9, 161.7, 165.53, 165.54.

- ⁵ 3-(4-methoxyphenyl)-4-(4-methylphenyl)-furan-2,5-dione
 (4c): Obtained as a yellow solid, in 83% yield.
 ¹H NMR (250 MHz, CDCl₃): δ = 2.39 (s, 3H), 3.84 (s, 3H), 6.89 (d, J = 9.0 Hz, 2H), 7.21 (d, J = 8.2 Hz, 2H), 7.46 (d, J = 8.2 Hz, 2H), 7.57 (d, J = 9.0 Hz, 2H).
- $_{10}$ ^{13}C NMR (62.5 MHz, CDCl₃): δ = 21.6, 55.4, 114.6, 119.7, 124.8, 129.5, 129.6, 131.6, 135.9, 136.9, 141.4, 161.8, 165.2, 165.3.

3-(4-chlorophenyl)-4-(4-methoxyphenyl)-furan-2,5-dione (4d): Obtained as a yellow solid, in 55% yield.

¹⁵ ¹H NMR (250 MHz, CDCl₃): δ = 3.86 (s, 3H), 6.92 (d, *J* = 8.9 Hz, 2H), 7.40 (d, *J* = 8.5 Hz, 2H), 7.38 – 7.57 (m, 4H). ¹³C NMR (62.5 MHz, CDCl₃): δ = 55.5, 114.6, 119.2, 126.1, 129.3, 130.9, 131.7, 134.3, 137.1, 138.1, 162.2, 164.8, 164.9.

20 2.1.4 Procedure to obtain bisarylated *N*-benzyl maleimides (5a-d)

To a microwave test tube were added diaryl maleic anhydride **4a-d** (0.32 mmol), phenol (60 mg, 0.64 mmol), benzylamine (68.6 mg, 0.64 mmol), DIPEA (330.6 mg, 2.56 mmol) and 300

- $_{25}$ mg of powered molecular sieves 4 Å. The reaction mixture was stirred in a microwave reactor at 300 W and 110 °C for 1 min, and then kept under these conditions for 2 min. After this time, the crude reaction mixture was diluted in ethyl acetate (9 mL) and washed with HCl 4% solution. The organic layer was dried with
- ³⁰ MgSO₄, filtered, and concentrated under reduced pressure. The residue was purified by flash chromatography (hexane/ethyl acetate, 1.5:1) to provide the corresponding bisarylated *N*-benzyl maleimides.

N-Benzyl-3,4-bis(4-methoxyphenyl)-1H-pyrrole-2,5-dione

- ³⁵ (5a): Obtained as a yellow solid, in 81% yield.
 ¹H NMR (500 MHz, CDCl₃): δ = 3.82 (s, 6H), 4.78 (s, 2H); 6.86 (d, J = 8.8 Hz, 4H), 7.26 7.36 (m, 3H), 7.44 7.50 (m, 6H).
 ¹³C NMR (125 MHz, CDCl₃): δ = 41.8, 55.3, 114.1, 121.3, 127.7,
- 128.6, 128.8, 131.4, 134.1, 136.6, 160.7, 171.0. ⁴⁰ **N-Benzyl-3-(4-methoxyphenyl)-4-(hydroxyphenyl)-1Hnyrrole-2 5-dione (5b):** Obtained as a vellow solid in 65%
- **pyrrole-2,5-dione (5b):** Obtained as a yellow solid, in 65% yield.

¹H NMR (250 MHz, DMSO-d6): δ = 3.79 (s, 3H), 4.71 (s, 2H), 6.78 (d, *J* = 8.8 Hz, 2H), 6.97 (d, *J* = 8.8 Hz, 2H), 7.27 – 7.37 (m, 45 7H), 7.40 (d, *J* = 8.8 Hz, 2H).

¹³C NMR (62.5 MHz, DMSO-d6): δ = 41.6, 55.7, 114.65, 115.9, 119.8, 121.6, 129.1, 131.6, 131.8, 133.7, 134.8, 137.4, 137.4, 159.4, 160.6, 171.14, 171.12.

HRMS: calcd. for C24H19NO4 385.1314; found

- ⁵⁰ *N*-Benzyl-3-(4-methoxyphenyl)-4-(methylphenyl)-1*H*-pyrrole-2,5-dione (5c): Obtained as a yellow solid, in 80% yield.
 ¹H NMR (250 MHz, CDCl₃): δ = 2.36 (s, 3H), 3.82 (s, 3H), 4.79 (s, 2H), 6.85 (d, *J* = 8.9 Hz, 2H), 7.15 (d, *J* = 8.0 Hz, 2H), 7.27 7.44 (m, 9H).
- $_{55}$ ^{13}C NMR (62.5 MHz, CDCl₃): δ = 21.5, 41.9, 55.3, 114.0, 121.1, 126.1, 127.8, 128.6, 128.8, 129.3, 129.7, 131.5, 134.4, 135.1, 136.6, 139.9, 160.8, 170.8, 170.9.

HRMS: calcd. for C₂₅H₂₁NO₃ 383.1521; found.

N-Benzyl-3-(4-chlorophenyl)-4-(methoxyphenyl)-1*H*-pyrrole-60 **2,5-dione (5d):** Obtained as a yellow solid, in 78% yield.

¹H NMR (250 MHz, CDCl₃): $\delta = 3.83$ (s, 3H), 4.79 (s, 2H), 6.87 (d, J = 8.9 Hz, 2H), 7.31 – 7.48 (m, 11H).

¹³C NMR (62.5 MHz, CDCl3): δ = 42.0, 55.3, 114.2, 120.6, 127.5, 127.9, 128.7, 128.8, 128.9, 131.1, 131.6, 132.9, 135.7, 65 136.2, 136.4, 161.1, 170.4, 170.5.

HRMS: calcd. for C₂₄H₁₈ClNO₃ 403.0975; found

2.2 Steady-state absorption and emission measurements

Steady-state experiments were employed to characterize the 70 new fluorophores and to determine their fluorescence quantum yields. Electronic absorption and fluorescence emission measurements were carried out on a Jasco V-630 and a Hitachi F-4500 spectrometers, respectively. Fluorescence quantum yields (Φ_F) of samples were calculated using 9,10-diphenylanthracene 75 (DPA) ($\Phi_F = 0.9$, cyclohexane) as standard corrected by

refractive index differences.¹⁴ All solvents were HPLC grade and were dried with 4 Å molecular sieves prior to use. 1,4-dioxane was previously distilled.

80 2.3 Time-resolved fluorescence measurements

Fluorescence decays were measured by a time-correlated single-photon counting technique (TCSPC) using a Peltier cooled PMT-MCP (Hamamatsu R3809U-50) as photon detector. Light pulses at 395 nm were provided by frequency doubling the 150 fs ⁸⁵ laser pulse of a Ti-Sapphire Mira 900 laser pumped by Verdi 5 W (Coherent). The fluorescence decays were collected in magic angle mode (54.7°) using Glan-Laser polarizers (Newport), with 5 x 10³ peak counts and time increment of 20 – 100 ps per channel. Typical instrument response function (irf) was 40 ps at ⁹⁰ FWHM.¹¹ All analyzed samples had optical density below 0.5. Decays were recorded with the TC900 counting board and software from Edinburgh Instruments. Lifetimes were evaluated by reconvolution procedure with multiexponential decay models, according to eq. (1).

$$I(t) = \sum_{i} b_{i} \exp(-t/\tau_{i})$$
(1)

In eq. (1), τ_i and b_i are the decay time and pre-exponential factor of the *i*th component, respectively. Decays from compound **5a** were also analyzed by global analysis method.¹⁴ Global analysis was employed for five wavelengths distributed over the ¹⁰⁰ emission band every 15 nm from the emission maximum, using linked-decay times. The decay surfaces were analyzed using FAST software from Edinburgh Instruments.

2.4 Theoretical calculations

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¹⁰⁵ All the structures were optimized before calculations. The Osanger radius, that is the radius of the cavity in which the fluorophore resides,¹⁴ as well as the ground state dipole moment of the compounds were calculated by density functional theory (DFT) method using Gaussian 03 software²⁰ at the B3LYP / 6-¹¹⁰ 311G++(2d, 2p) level of theory.

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3 Results and discussion

3.1 Synthesis of bisarylated maleimides

Maleimides are described in the literature as compounds exhibiting fluorescence,^{21,22} and their synthesis is well-⁵ documented.²²⁻²⁴ In 2006, Correia and coworkers¹⁶ developed a direct methodology to obtain the bisarylated maleic anhydrides using Heck arylation with arenediazonium tetrafluoroborates providing symmetrical and unsymmetrical products with better yields. However, to obtain monoarylated maleic anhydrides an ¹⁰ excess of maleic anhydride was necessary.

An improved procedure with fumaric acid (1) as substrate in Heck-Matsuda reaction, followed by an onepot cyclization was recently applied (see the reaction scheme of Fig. 1). The use of $Pd(OAc)_2$ in acetic anhydride at 60 °C provides the monoarylated

- ¹⁵ acyclic compound. After the arylation step the reaction system was heated to 100 °C to give the intermediate compound **3** in 89% yield. The bisarylated maleic anhydrides **4a-d** were prepared by a second Heck-Matsuda reaction, using dihydrogen dichlorobis(di-t-butylphosphinito-kP)palladate (II) (POPd) as pre-
- ²⁰ catalyst, sodium acetate, in acetonitrile solution for 1 hour, providing good yields. Finally, maleimide compounds were obtained in 65-81% yield with benzylamine, Hünig's base, and phenol at 110 °C, in microwave (300 W) for 1 minute.

Contrary to the results reported by Mazzanti and coworkers,²⁵ ²⁵ our diarylmaleimides do not form atropisomers mainly because compounds **5a-d** lack orto substituents. The absence of orto substituents causes an easy and fast equilibration between the

isomers. This aspect of our fluorophores is also confirmed by the H and C NMR spectra of the compounds, which show no signals ³⁰ of rotamers but only a sharp signal for the methoxy/methyl groups appearing. The conformation (optimized geometry) and the dipole moments for the biarylmaleimides **5a-d** can be found in the SI.

35 3.2 Photophysics of bisarylated maleimides

3.2.1 Steady-state experiments

Electronic absorption spectra of the compounds exhibited single non-structured bands centered from 390 nm with no relevant effects below 300 nm. However, for solvents like diethyl

- ⁴⁰ ether and di-*n*-propyl ether, we can observe an absorption band around 230 nm. Similar absorption band profiles were found for bisindolylmaleimides.^{26,27} The absorption spectra showed negligible changes with solvent polarity. These spectra can be found in SI. All maleimide derivatives presented broad ⁴⁵ fluorescence emission bands in visible range, with emission
- peaks between 550 and 568 nm.

It is known that upon adding electron donating groups to aromatic compounds, an increase in the maximum wavelengths of absorption and emission is expected. This behavior was

⁵⁰ observed for these maleimides and is in good agreement with the results of Xie *et al.*,²⁸ in which semi-empirical quantum chemical calculations were used to predict the maximum wavelength of absorption and emission spectra of some similar compounds.

The fluorescence emissions had a notable solvatochromic

⁵⁵ effect of about 960 cm⁻¹, and the calculated Stokes shifts are within the range of 6075 – 7292 cm⁻¹, a strong indicative that the dipole moment of these dyes in excited state is higher than the corresponding value in ground state. For instance, a typical positive solvatochromic shift of compound **5a** is illustrated in Fig.
⁶⁰ 2 and its spectral parameters with increasing solvent polarity are summarized in Table 1. These results can be ascribed to a push – pull effect, in which a significant charge shift occurs.¹² The behavior of typical push-pull chromophors, like merocyanines, cyanostilbenes¹² and *p*-dimethylamino-4-nitrostilbene

 65 (DMANS),¹³ is in a good agreement with the data reported here. In general, the largest Stokes shift was observed in acetonitrile and the lowest in THF. The fluorescence quantum yields are higher in nonpolar solvents like 1,4-dioxane and in THF (values in between 0.24 – 0.71), indicating solvent deactivation of excited 70 state is less effective in nonpolar media. The same trends were found for triarylamine compounds.²⁹

The increase in solvent polarity from 1,4-dioxane to DMSO resulted in a red shift of the emission of compound **5a** in about 757 cm⁻¹, because of the solvent stabilization of the excited ⁷⁵ state.^{30,31} Moreover, compounds **5b**, **5c**, and **5d** followed the same trend in emission than that observed for compound **5a**.

The lowest Stokes shift was observed in **5b** that has a 4hydroxyphenyl group. In such compound a solvent assisted proton transfer process may take place. This process should ⁸⁰ decrease the fluorescence quantum yield because the excited state phenolate formed may have low emission efficiency, and this behavior was barely observed in steady-state emission measurements (quantum yield values in between 0.04 - 0.38). On the other hand, **5d** exhibited the highest emission quantum yield ⁸⁵ (0.71) compared to **5b** (0.24) in 1,4-dioxane. This fact may be related to the effect of substitution of OH by Cl in the position 4 of the phenyl substituent, precluding further solvent assisted proton transfer process.

The bisarylmaleimides presented here agree quite well with ⁹⁰ bisindolylmaleimide systems. In both cases, a substantial, but not complete, charge shift in the excited state takes place.^{26, 27, 32-35}

The issues raised here about the photophysics of the bisarylated maleimides from the analysis of the steady-state emission properties will in part be supported by the time-resolved ⁹⁵ fluorescence behavior found in different solvents.



Fig. 2 Solvatochromic shift in emission spectra of 5a.

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Table 1 Steady-state data of maleimides.

Compound		Solvent	$\lambda_{abs}{}^{a}$ (nm)	λ_{em}^{b} (nm)	$E_{0-0}^{c}(eV)$	Δv^{d} (cm ⁻¹)	$\Phi_{\rm F}{}^{e}$
н₃со, осн₃		1,4-dioxane	402	540	2.60	6954	0.39
$\bigcirc \bigcirc$		THF	406	544	2.59	6248	0.43
	5a	DMSO	406	563	2.52	6869	0.24
od N PO		DMF	404	556	2.54	6767	0.27
\bigcirc		Acetonitrile	400	558	2.57	7079	0.24
н₃со он		1,4-dioxane	404	540	2.59	6234	0.24
		THF	410	546	2.57	6075	0.38
><	5b	DMSO	413	568	2.49	6607	0.04
٥ <u>ر</u> م		DMF	411	564	2.51	6600	0.11
Ŭ		Acetonitrile	401	558	2.56	7017	0.23
H ₃ CO		1,4-dioxane	393	526	2.68	6434	0.32
		THF	394	526	2.66	6369	0.53
	5c	DMSO	396	554	2.59	7202	0.34
		DMF	394	545	2.61	7032	0.36
		Acetonitrile	390	545	2.66	7292	0.35
H ₃ CO		1.4-dioxane	392	526	2.68	6499	0.71
		THE	394	529	2.68	6477	0.35
	5d	DMSO	394	550	2.60	7199	0.24
St N to		DMF	392	548	2.62	7262	0.36
U		Acetonitrile	389	543	2.66	7291	0.39

^a Maximum absorption wavelength. ^b Maximum emission wavelength. ^c Energy of transition 0-0. ^d Stokes shift. ^e Fluorescence quantum yield.

3.2.2 Time-resolved experiments

Time-resolved experiments are crucial to characterize new ⁵ fluorophores and to investigate dynamic processes in singlet excited state. The global analysis of fluorescence decay surface of compound **5a** reveals short lived components in the range of 1.34

- 7.55 ns and long lived components in the narrow range of 11.52
- 13.55 ns with a biexponential fitting in polar solvents.
 ¹⁰ However, in nonpolar solvents like 1,4-dioxane and THF the decays are single exponential and lifetimes are very close to values of long lived component observed in the polar solvents.

The maleimides present two dipolar Lewis structures, which contribute to a single excited species. The combination of similar spectral behavior in different solvents and linear Linpert Mataga

- ¹⁵ spectral behavior in different solvents and linear Lippert-Mataga plots (see below) suggests that the emission is due to the same dipolar species in all solvents. Therefore, we can infer that the long decay corresponds to emission from the charge shift or pushpull state. The time-resolved parameters are summarized in Table
- $_{20}$ 2 and typical fluorescence decays are depicted in Fig. 3. The values of $E_{0.0}$ presented in Table 1 support partially this assumption and a small decrease of energy level with solvent polarity is observed.

The fluorescence of compound **5c** followed a similar trend as ²⁵ observed for compound **5a**, but only for 1,4-dioxane a single exponential behavior was found. In the other solvents the fluorescence decays were of biexponential character. This biexponential behavior may be ascribed to a specific interaction of the excited state with charge shift or push-pull state with the

³⁰ electron donating solvents, and the short component in a low weight percent would correspond to decay of a weak solvent complex, as observed by Chandross and coworkers for intramolecular exciplex systems.³⁶ For compound **5d**, the presence of the electron withdrawing 4-chlorophenyl group gives ³⁵ no difference in the dynamic and spectral fluorescence properties when compared with compounds **5a** and **5c**. It seems to indicate that a single branch charge shift from the 4-methoxy donor group to the carbonyl acceptor is operating in the charge transfer process, and the presence of the second aryl substituted branch ⁴⁰ with 4-chlorophenyl group takes no effect in the whole charge shift.





Most notable is the excited state dynamics of **5b** in DMSO and in DMF. It exhibited biexponential decays with a very short component of less than 1 ns as illustrated in Fig. 4.

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Table 2 Time-resolved data of maleimides.

Compound	Solvent	τ_1 (ns)	τ_2 (ns)	$b_1{}^a$	b ₂	$\chi^{2 b}$
	1,4-dioxane	-	13.79	-	1.00	1.05
	THF	-	13.52	-	1.00	1.07
5a	DMSO	2.18	11.52	0.17	0.83	1.09
	DMF	7.55	13.45	0.24	0.76	1.07
	Acetonitrile	1.34	13.55	0.08	0.92	1.07
	1,4-dioxane	-	12.86	-	1.00	1.05
	THF	-	12.01	-	1.00	1.05
5b	DMSO	0.83	2.65	0.11	0.89	1.12
50	DMF	0.99	3.28	0.11	0.89	1.14
	Acetonitrile	-	11.48	-	1.00	1.12
	1,4-dioxane	-	13.88	-	1.00	1.11
	THF	3.77	13.66	0.08	0.92	1.00
5c	DMSO	7.20	11.97	0.24	0.76	1.17
	DMF	5.58	12.67	0.07	0.93	1.18
	Acetonitrile	1.44	13.75	0.05	0.95	1.12
	1,4-dioxane	-	12.39	-	1.00	1.05
	THF	-	11.55	-	1.00	1.07
5d	DMSO	5.22	8.62	0.25	0.75	1.23
	DMF	-	10.51	-	1.00	1.19
	Acetonitrile	1.77	12.00	0.06	0.94	1.11

^{*a*} $b_i = B_i / \Sigma B_i$, B_i is the pre-exponential factor in the model function for exponential components analysis. ^{*b*} $\chi^2 =$ chi-square value of the decay fitting. For **5a**, it corresponds to χ^2_g , the global decay fitting.

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This hydroxyl-substituted derivative may deprotonate in polar solvents with proton accepting character like DMF and DMSO, thus, emission from the corresponding phenolate ion may occur. The value of the second decay component of compound **5b** in DMF and DMSO agrees with the fluorescence lifetime of phenolate anions.³⁷ For compound **5b** in 1,4-dioxane, THF, and acetonitrile the decays are of single exponential character, which indicates that an excited state charge transfer process does not occur, precluding further proton transfer mediated by solvent interaction.





Considering that a solvent assisted excited state proton transfer $_{20}$ may play a role for this compound, if one uses **5a** as a model compound, the comparison of it with **5b** allows the rate constant of proton transfer (k_{pt}) to be evaluated. In the approximation of very fast excited state intramolecular charge transfer ESICT process:

$$k_{pt} = (1/\tau_1)_{5b} - (1/\tau_1)_{5a}$$
(2)

Using eq. 2, the values of k_{pt} in DMF and DMSO are $0.9x10^9$ and $1.3x10^9$ s⁻¹, respectively. This result indicates that deactivation rate of the excited state via deprotonation occurs in a rate similar to that observed in solvent assisted proton transfer ³⁰ processes studied by Kasha and coworkers.³⁸

3.3 Dipole moment analysis

Fluorophores containing solvatochromic shift are valuable probes to monitor micro polarity in their surrounding ³⁵ environment.^{39,40} This effect may be studied by the Lippert-Mataga approach^{17,18} that correlates the effect of solvent polarity on the Stokes shift with the difference in dipole moments^{14,15} by:

$$v_{\rm A} - v_{\rm F} = \frac{2}{{\rm h}ca^3}\Delta f(\mu_{\rm E} - \mu_{\rm G})^2 + C$$
 (3)

$$\Delta f = \frac{\varepsilon - 1}{2\varepsilon + 1} - \frac{n^2 - 1}{2n^2 + 1} \tag{4}$$

In eq. (3), $v_A - v_F$ is the Stokes shift (cm⁻¹), *a* is the radius of

the Osanger cavity, *h* is Planck's constant, *c* is the speed of light, C is a constant, and μ_E and μ_G are the excited and ground states dipole moments, respectively. In eq. (4), Δf is a parameter called orientation polarizability, that is calculated from the dielectric ς constant ε and the refractive index *n* of the solvent.

A typical Lippert-Mataga plot for compound 5a is depicted in Fig. 5. The plot was done based on the emission maxima. Using eq. (3) a positive slope of 6681 cm⁻¹ is obtained. For the other compounds, reasonable linear fits were obtained indicating no

- ¹⁰ existence of strong specific solvent effects (see in SI). From the calculated dipole moment difference, according to eq. 3, and using the values of the dipole moment in ground state and Osanger cavities evaluated from DFT quantum chemical calculations, the excited state dipole moment of the maleimides
- ¹⁵ were obtained and the values found are reported in Table 3.



Fig. 5 Lippert-Mataga plot of compound **5a** according to eq. 3. 1: di-*n*-propyl ether, 2: diethyl ether, 3: THF, 4: CH₂Cl₂, 5: DMSO, 6: DMF, 7: acetonitrile.

20 Table 3 Theoretical parameters and calculated excited state dipole moment (μ_E).

Compound	$a_0(\text{\AA})^a$	$\mu_{G}(D)^{b}$	$\Delta \mu (D)^{c}$	$\mu_{\rm E}$ (D) d
5a	6.0	4.3	11.9	16.2
5c	5.9	4.2	12.5	16.7
5d	5.7	3.0	12.6	15.6

^{*a*} a_0 = radius of the Onsager cavity, ^{*b*} μ_G = ground-state dipole moment. ^{*c*} $\Delta \mu$ = variation of dipole moments, ^{*d*} μ_E = excited-state dipole moment.

In general, the differences in dipole moment in ground and in $_{25}$ excited states of the compounds are within a narrow range of 11 - 13 D, indicating a similar contribution of a charge shift process in the excited states.^{41,42} In the case of compound **5b** no good correlation in Lippert-Mataga plot was observed, probably due to deprotonation process in polar solvents as previously discussed.

30

4 Conclusions

In summary, four maleimides based on natural products were synthesized by Heck reaction. The fluorescence properties of these new bisarylated maleimides derivatives are modeled by 35 solvent and substituent effects. The compounds showed a positive coluctorbromia shift and a substantial charge shift process. The

solvatochromic shift and a substantial charge shift process. The difference in dipole moment between ground and excited states in about 12 D corroborates with this conclusion.

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