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EDGE ARTICLE

N,N-Dimethylaminobenzoates Enable Highly Enantioselective Sharpless Dihydroxylations of 1,1-Disubstituted Alkenes

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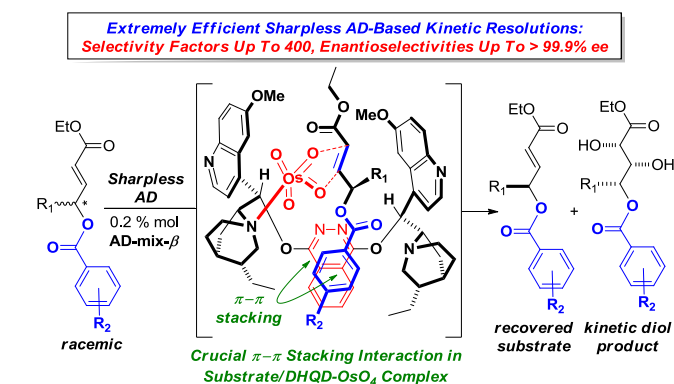
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A design scenario aimed at exploring beneficial catalyst-substrate π - π stacking electronic interactions in the classical Sharpless asymmetric dihydroxylations (SAD) leads to the identification of highly polarizable allylic *N,N*-dimethylaminobenzoate as a remarkably efficient auxiliary for inducing high levels of enantioselectivities (up to 99% ee) in the traditionally challenging substrate class of 1,1-

disubstituted aliphatic alkenes. The Sharpless asymmetric dihydroxylation (SAD) reactions of alkenes serve as one of the cornerstone technologies of modern asymmetric catalysis and have found widespread applications in organic synthesis.¹ The unusually broad utilities of SAD constitute as a continuous driving force for its further advancements in tackling some of the outstanding problems that remain to be solved. Such problems² include, but are not limited to, experimental realizations of efficient kinetic resolutions³ of chiral substances by means of SAD and of highly enantioselective dihydroxylations of traditionally challenging substrate class of alkenes, notably purely aliphatic *gem*-1,1-disubstituted alkenes.¹ Asymmetric inductions on such compounds typically yield only low-to-mediocre enantiomeric excesses (ees). Recently, guided by new stereochemical insights gained through mechanistic analysis³ of SAD by means of our electronic helix theory⁴ for molecular chirality and chiral interactions, we were able to identify critical yet long-overlooked substrate-catalyst π - π stacking electronic interactions which in turn help explain why kinetic resolutions by means of SAD could be usually difficult and how they might be solved. Specifically, as summarized in Scheme 1, we had previously demonstrated that, simply by employing an electronically polarizable allylic benzoate (highlighted in blue color, where the R₂ substituent denotes an electron-donating group) moiety capable of competing with the corresponding alkene double bond towards π - π stacking with the electron-deficient heterocyclic pyridazine π -cloud in the bis-cinchona alkaloid ligand of the so-called Sharpless AD-mix- β catalyst,³ we were able to achieve extremely high levels of selectivity factors (up to 400) and enantioselectivities (up to > 99.9% ee) in the SAD-based kinetic resolution of a range of allylic unsaturated esters. In many cases, such racemic substrates were kinetically resolved with chiral recognition efficiencies closest to values of theoretical limits at their corresponding reaction conversions, yielding recovered substrate enantiomers and kinetic dihydroxylation products both in high enantio-purities. These achievements directly prompted us to further investigate the function of these π -stacking scaffolds in tackling SAD

reactions on aliphatic 1,1-disubstituted alkenes where high ees were typically difficult to attain with established protocols. We were delighted to report herein that the same design strategy enables continued successes in stereochemical controls that transcend from the context of kinetic resolution to that of asymmetric induction.



Scheme 1. Explorations on Substrate-Catalyst π - π Stacking Interactions in the Sharpless Asymmetric Dihydroxylations Leading to Extremely Efficient Kinetic Resolutions.

Thus, as shown in Table 1 with **1a-f** as the substrate probes, by slightly optimizing and identifying a conducive π -stacking moiety R _{π} positioned allylic to the substrate double bond, we arrived at *para*-*N,N*-dimethylamino-benzoate **1f** as the auxiliary of choice in term of both isolated yield and high enantio-control (96% ee of diol **2f**) over 5 h.⁵ The preparation of diol **2f** by this new protocol could be readily scaled up to one-gram without compromising either reaction yield or enantioselectivity (92%

and 97% ee as compared to data in entry 6 of Table 1), thus demonstrating a high level of practicality and usefulness. It merits attention that at least in this case acyl transfer to the primary alcohol in **2f**, which apparently would be deleterious to its enantio-purity, was practically absent. The ability for achieving high enantio-purity on such multi-hydroxylated substances are of significant synthetic merits as it would allow similar functionalities to be readily differentiated towards further needed structural elaborations.⁶⁻¹² Within this context it should be noted that the use of allylic 4-methoxybenzoates as particularly efficient substrates for SAD had been pioneered by Corey and his co-workers.⁵ Important distinctions between the present work and that of Corey et al. are three-fold: one, the present work derived the finding of *para*-*N,N*-dimethylamino-benzoate conceptually from stereochemical insights enabled by electronic polarizability analysis and electronic helix theory we previously developed and published,³⁻⁴ but not from the known "U-shaped" binding theory where steric effects were advanced to be dominant;⁵ two, the present work emphasized the critical significance of π - π stacking²⁻³ between an alkene substrate's double bond moiety with the electron-deficient heterocyclic pyridazine π -cloud in the bis-cinchona alkaloid ligand of the Sharpless AD-mix- β catalyst, but not π - π stacking between an alkene substrate's aryl substituent with the ligand quinoline ring; and three, the present work employed directly the commercially available AD-mix- β or α catalyst, but not any purposefully designed Os-complexes of other bis-cinchona-type alkaloids.

Table 1 Investigations of R_{π} Substituents on the Sharpless Asymmetric Dihydroxylation of 1,1-Disubstituted Alkenes.^a

entry	R_{π}	yield ^b	ee ^c
1		68%	42%
2		67%	76%
3		83%	64%
4		76%	68%
5		88%	85%
6		92%	96%

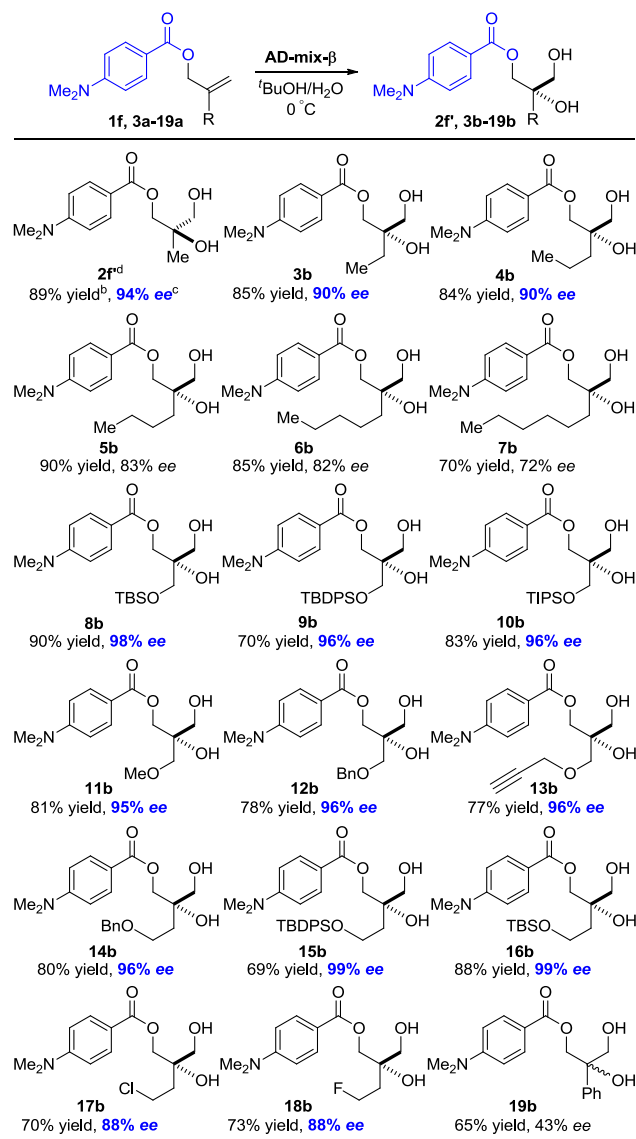
^aReaction conditions: **1** (0.1 mmol), Sharpless AD-mix- β reagent (2.0 g/mmol), *t*BuOH/H₂O (1 mL, V/V = 1:1), 0 °C. ^bIsolated yield. ^cDetermined by chiral HPLC analysis.

^dDetermined by chiral HPLC analysis.

As compiled in Table 2, a range of substrates with the *N,N*-dimethylamino benzoates **3a-19a** were next conveniently

prepared from their corresponding 1,1-disubstituted aliphatic allylic alcohols, and subsequently subjected to the action of the Sharpless AD-mix- β catalyst, except in the case of **1f** where its pseudo-enantiomeric AD-mix- α catalyst was employed. The ee of **2f** thus obtained (94%) was virtually identical to that of entry 6 of Table 1 (96%). The product absolute configuration of **2f** was

Table 2 Survey on Reaction Scope: Highly Enantioselective Sharpless Asymmetric Dihydroxylations of a Series of 1,1-Disubstituted Aliphatic Alkenes.^a



^aReaction conditions: **1f** (0.1 mmol), Sharpless AD-mix- β (1.4 g/mmol), *t*BuOH/H₂O (1 mL, V/V = 1:1), 0 °C. ^bIsolated yield. ^cDetermined by chiral HPLC analysis. ^dAD-mix- α was used as the reagent.

determined by comparing its optical rotation sign with the literature value,^{1r} and those of other diols **3b-18b** were assigned by analogy. The size of aliphatic side chain *R* in **3b-7b** seems to pose a negative influence on the reaction stereochemical control, as the product ee gradually decreases from 90% ee (*R* = ethyl or propyl) to 83% ee (*R* = butyl), 82% ee (*R* = pentyl), and further to

72% ee (R = hexyl), but these values remain substantial when compared to relevant literature reports.¹ Remarkably, some of the bulkiest allylic silyl ether protecting groups, such as TBS, TBDPS, TIPS, were surprisingly tolerated, and the diols **8b-10b** were furnished in 98% ee, 96% ee, and 96% ee, respectively. Allylic alkoxy groups were also compatible, and 95-96% ees were obtained in the cases of **11b-13b**. Moreover, homo-allylic substituents, being structurally either alkoxy or silyl ethers, demonstrated again excellent ees (96-99% ees in **14b-16b**). It is synthetically fairly remarkable to access to such highly oxygenated structural motifs as **8b-16b** in practically enantio-pure forms and with chemically well-differentiated functionalities.

Homo-allylic chloro or fluoro-substituents present somewhat lower ees, but respectable 88% ees were nevertheless recorded. The above results collectively help showcase the dominant role of π - π stacking *N,N*-dimethyl-aminobenzoate in overriding stereoelectronic fluctuations incurred by other substituents, thereby yielding the new protocol with broad applicabilities. Finally, the reactions appear to not be able to accommodate aromatic substituent, as low ee (43%) was resulted when R is phenyl (diol **19b**). It should be added here that, when an amide linkage was employed in placement of the ester in **1f**, asymmetric dihydroxylation only proceeded in 68% ee at 80% yield under otherwise identical reaction conditions.

In conclusion, by following the design concept of identifying appropriate π -stacking scaffolds capable of soliciting efficient catalyst-substrate electronic interactions, we reported herein that para-*N,N*-dimethyl aminobenzoate, when tethered to various 1,1-disubstituted aliphatic alkenes, serves as an unusually efficient auxiliary for inducing high levels of enantio-controls, yielding such high-value multi-hydroxylated substances with stereochemical differentiation that are otherwise difficult or impossible to access. The protocol established in this work helped solving chiral induction problems in a challenging class of alkene substrates and should find utilities in organic synthesis.

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† Electronic Supplementary Information (ESI) available: Detailed experimental procedures, X-ray crystallographic structural analysis, NMR spectra, and chiral HPLC data are provided. See DOI: 10.1039/b000000x/.

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Graphical Abstract

