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1	Nanocarriers in Therapy of Infectious and			
2	Inflammatory Diseases			
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	CTAP cotultrimethylammonium bromide: Gd DTPA EA gadolinium			
	diethylenetriaminenentaacetic fatty acid: DCEy diclofenac sodium salt: $h\Delta uNP$ hairnin DNA-			
	coated gold nanoparticles: HBV hepatitis B virus: HIV human immunodeficiency virus: HSV			
	Hernes simpley virus: I A-ICP-MS laser ablation inductively coupled plasma mass spectrometry:			
	LDH layered double hydroxide: LNC lipid nanocarrier: MAPK mitogen activated protein			
	kinase: MR. Magnetic resonance: MRI, magnetic resonance imaging: MTB, mycobacterium			
	tuberculosis: NMN, non-specific mismatched nanoparticles: PAMAM, Polv(amidoamine): PEG			
	poly(ethylene glycol); PLA, polylactic acid; PLGA, poly-D,L-lactide-co-glycolide: Pn-SPION.			
	pullulan-coated superparamagnetic iron oxide nanoparticles; PSiNPs, porous silicon			
	nanoparticles; SPION, superparamagnetic iron oxide nanoparticles; TB, tuberculosis; TEM,			
	transmission electron microscopy; TSN, tyrosinase-specific nanoparticles; USPIO, novel ultra-			
	small superparamagnetic particle of iron oxide; UV, ultraviolet.			

23	CONTENTS
24	
25	1. Introduction
26	2. Fabrication of nanocarriers
27	2.1. Magnetic nanocarriers
28	2.2. Gold nanocarriers
29	2.3. Silicon nanocarriers
30	2.4. Silver nanocarriers
31	2.5. Polymeric nanocarriers
32	2.6. Conclusions
33	3. Applications of nanoparticles to treat infectious diseases
34	3.1. HIV
35	3.2 Hepatitis
36	3.3. Tuberculosis
37	3.4. Melanoma
38	3.5. Conclusions
39	4. Application of nanoparticles to treat inflammatory diseases
40	4.1. Bone inflammation
41	4.2. Skin inflammation
42	4.3. Internal inflammation
43	4.4. Conclusions
44	5. Clinical trials and commercial markets
45	6. Perspectives and conclusions
46	
17	
48	
40	
50	
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58 Abstract: Nanotechnology is a growing science that has applications in various areas of medicine. The composition of nanocarriers for drug delivery is critical to guarantee high 59 therapeutic performance when targeting specific host sites. Applications of nanotechnology are 60 61 prevalent in the diagnosis and treatment of infectious and inflammatory diseases. This review summarizes recent advancements in the application of nanotechnology to the therapy of 62 infectious and inflammatory diseases. The major focus is on the design and fabrication of various 63 nanomaterials, characteristics and physicochemical properties of drug-loaded nanocarriers, and 64 the use of these nanoscale drug delivery systems in treating infectious and inflammatory diseases, 65 such as AIDS, hepatitis, tuberculosis, melanoma, and representative inflammatory diseases. 66 Clinical trials and future perspective of the use of nanocarriers are also discussed in detail. We 67 hope that such a review will be valuable to researchers who are exploring nanoscale drug 68 69 delivery systems for the treatment of specific infectious and inflammatory diseases.

70 Key words: Nanocarriers, infectious diseases, inflammatory diseases, drug delivery, therapeutics

71

72 **1. Introduction**

73

Nanotechnology is a growing science that is gaining attention for both diagnostic and 74 therapeutic applications in various areas of medicine^{1, 2}. Nanomaterials can be developed and 75 constructed to adapt to new environments and to decompose after their target has been reached³, 76 ⁴. The use of nanoscale materials as drug carriers is valuable in medicine. Nanocarriers can be 77 fabricated from a variety of materials. They also can be used for controlled release of drugs. If 78 79 they are injected into the human body they can seek the site of inflammation to deliver a prescribed treatment ⁵. Nanocarriers can be programmed to decompose within a certain time and 80 will exit the body through urine or feces 6 . 81



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Fig. 1. Applications of various nanocarriers in the therapy of infectious and inflammatory diseases.

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86 The purpose of this review is to provide a better understanding of how nanocarriers can be applied to different aspects of medicine, especially in the therapy of infectious and inflammatory 87 diseases (Figure 1). First, we will discuss how nanocarriers are created under various conditions. 88 Second, the application of nanocarriers in the diagnosis, prevention, and treatment of 89 inflammatory and infectious diseases is reviewed. Finally, the current clinical trials for 90 nanocarriers in different infectious and inflammatory diseases and their future applications in 91 local and global pharmaceutical markets are considered. After discussing these three aspects of 92 nanocarriers, conclusions are made regarding the promise of nanotechnology in the field of 93 94 infectious and inflammatory medicine.

95

96 2. Fabrication of nanocarriers

97 There are several different concepts that can be applied to create nanocarriers for drug 98 delivery. The particular method used depends on the properties that are desired for a specific 99 application. In the following sections, we explore the design and fabrication of magnetic, gold, 100 silicon, silver, and polymeric nanoparticles for infectious and inflammatory diseases. The 101 techniques used to make specific nanocarriers will be discussed in detail.

102 **2.1. Magnetic nanocarriers**

Magnetic nanoparticles are used primarily for magnetic resonance imaging. Several factors influence the effectiveness of magnetic nanoparticles for imaging. Primarily, the pre-design of the nanoparticles should be considered. Magnetic nanoparticles with high aspect ratios have prolonged circulation times in the blood stream ⁷. Currently, the most common method of preparing the core of a magnetic nanoparticle is the co-precipitation method ⁸. In this method, a base is added to a salt solution under inert conditions. The goal of the co-precipitation method is

109 to simultaneously precipitate more than one compound from the solution. This method eliminates impurities from the solution resulting in a crystalline product. In order to modify the 110 size, shape, and structure of the particles, polymers have been added to a Fe^{2+}/Fe^{3+} solution 111 during the co-precipitation process ⁷. Veiseh et al. demonstrated that changing the concentration 112 of the polymers added during the co-precipitation process could tune the core size in a range of 113 7-14 nm⁷. Poly(ethylene glycol) (PEG), dextran, chitosan, poly(ethylene imine), and other 114 copolymers can be used as the surface coating reagents for magnetic nanoparticles. As shown in 115 Figure 2, to inhibit cellular uptake and minimize cytotoxicity, the surface of magnetic 116 nanoparticles were coated with pullulan⁹. Particles with a narrow range of sizes were 117 successfully synthesized by precipitating the magnetic particles within a porous nanoscaffold ¹⁰. 118



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Fig. 2. The effects of different coated magnetic nanoparticles on the cytoskeletal organization of fibroblasts after cellular uptake. The cell nucleus, F-actin, and β -tubulin are stained in blue, red, and green, respectively. SPION: superparamagnetic iron oxide nanoparticles; Pn-SPION: pullulan-coated superparamagnetic iron oxide nanoparticles. Adapted with permission from ⁹.

The magnetic separation technique is commonly used for synthesizing magnetic nanoparticles for use in infectious and inflammatory diseases. Magnetic nanoparticles have a large magnetic moment due to their single magnetic domain ¹¹. The magnetic property is lost when the magnetic particle is heated to high temperatures, when thermal energy allows free rotation of the particle ¹¹. In order to increase the efficiency of magnetic separation, high magnetic fields can be used to capture magnetic particles from a foreign medium ¹².

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132 **2.2. Gold nanocarriers**

"Wet chemistry" is the technique mostly often used to produce gold nanoparticles for 133 biomedicine. The wet chemistry method reduces a metallic salt in an aqueous solution. This 134 technique is considered to be the most successful for obtaining stabilized gold nanoparticles ¹³. 135 Synthesizing gold nanoparticles with a core size of 1-3 nm requires a reduction of anionic Au^{III}, 136 such as AuCl, from its aqueous phase to the organic solution through a two-phase liquid/liquid 137 system with the addition of sodium borohydride ¹⁴. To obtain a gold nanoparticle with an 138 increased core size, the anionic Au^{III} is reduced by sodium borohydride or sodium citrate with 139 thiol and citrate capping agents ¹⁵. 140

A femtosecond laser technique has also been used for fabrication of gold nanoparticles. This technique reduces the size of gold nanoparticles ¹⁶. The laser technique avoids creating secondary toxins, which can occur with the wet chemistry method. Therefore, the laser technique is an environmentally friendly method that is most suitable to produce biocompatible gold nanoparticles.

146 Spherical gold nanoparticles coated with cetyltrimethylammonium bromide (CTAB), a 147 surface modifier, have been shown to be non-toxic when flowing through the bloodstream ¹⁷.

148 Transmission electron microscopy (TEM) has been used to verify that CTAB-treated gold nanoparticles are absorbed by human cells with negligible toxicity ¹⁷. Citric-acid capped gold 149 nanoparticles possess high negative reactivity, due to their negative charge, which makes them 150 more favorable for surface modification. The smaller size and low cytotoxicity with decreased 151 production of proinflammatory cytokines have led to the promotion of the use of gold 152 nanoparticles for drug delivery ¹⁸. When gold nanoparticles were injected intraperitoneally into 153 mice, they accumulated in abdominal adipose tissue. As shown in Figure 3, gold nanoparticles 154 had negligible toxicity and produced little change in inflammatory cytokines within the adipose 155 tissue of mice ¹⁹. 156



157

Fig. 3. (1) The accumulation of gold nanoparticles in abdominal adipose tissue after intraperitoneal injection into mice. The scanning electron microscope images show abdominal adipose tissue in the control mouse (A) and 24 h after injection of nanoparticles (B). Laser ablation inductively coupled plasma mass spectrometry (LA-ICP-MS) images of abdominal adipose tissue 24 h after injection (C, D). (2) mRNA levels of the cytokines (A) CD68, (B) TNF α , and (C) IL-6 in mouse abdominal fat tissue at various times after injection. (Adapted with permission from reference ¹⁹).

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166 **2.3. Silicon nanocarriers**

Under ultraviolet (UV) light, a stable, aqueous, luminescent silicon nanoparticle solution 167 was formed through graft polymerization of acrylic acid ²⁰. Due to the UV irradiation and the 168 polymerization of acrylic acid on the silicon particles, the solution became clear and the particles 169 were more effective in cell imaging ²⁰. Silicon particles can also be generated in silicon-nitride 170 solutions. These particles are prepared using chemical vapor deposition on top of cold substrates 171 ²¹. Such nanoparticles yield high photoluminescence, producing strong blue and green light 172 emissions for imaging ²¹. The luminescence property of porous silicon nanoparticles aids 173 diagnosis in vivo²². Another method used to prepare silicon nanoparticles is the electrochemical 174 175 etching of silicon. Silicon wafers have been electrochemically etched in ethanol and hydrofluoric 176 acid. After etching, the wafers were filtered and placed in an ultrasonic bath and then activated. 177 During the activation process, luminescence was achieved by growth of silicon oxide on the nonhydrogenated porous silicon surface ²³. Low tissue adsorption and high photostability, which are 178 179 desirable for in vivo imaging, occurred at wavelengths between 650-900 nm for silicon nanoparticles ²⁴. To broaden the application of silicon nanoparticles, people further used self-180 181 templating strategy to develop hollow mesoporous silicas and their yolk/shell counterparts using the etching process $^{25, 26}$. 182

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184 Silicon nanoparticles are becoming more popular in biomedical applications for therapeutic185 treatment and diagnostic imaging because of their luminescence.

In drug delivery applications, porous silicon has advantages over other organic and inorganic nanoparticles ²⁷. Porous silicon nanoparticles undergo efficient renal clearance, partly due to their biodegradability. The low cytotoxicity of silicon nanoparticles is a priority for *in vivo*

biological applications ^{28, 29}. Figure 4 illustrates the fabrication of porous silicon nanoparticles
(PSiNPs) by silver-assisted electroless chemical etching ³⁰. *In vitro* release studies demonstrate
that PSiNPs can serve as an autonomously functioning platform for anti-inflammatory drug
delivery.



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Fig. 4. (1) Schematic of the etching process used to produce porous silicon nanowires modified with silver nanoparticles (PSiNPs) (A). Cross section of porous nanoscale silicon by scanning electron microscope (B, Bar = 10 μ m). TEM image of PSiNPs after sonication and filtration (C, bar = 300 nm). The inset shows an image of a PSiNP at higher resolution (bar = 50 nm). (2) Illustration of the conjugation and functionalization mechanism of the nanovalve. (Adapted with permission from reference ³⁰).

- 200 201
- 202 2.4. Silver nanocarriers
- 203

The anti-microbial properties of silver nanoparticles are beneficial to skin infection. Silver nanoparticles can be fabricated following various protocols. HEPES solution is a candidate to regulate the formation of silver nanoparticles with various size and shape. Importantly, the acidity of solution, reaction temperature, and concentration of Ag^+ ion play vital roles in the

formation of silver nanoparticles. When the pH value of HEPES solution WAS less than 5, the 208 silver particles couldn't be acquired ³¹. Silver nitrate (AgNO₃) in TBS can also be induced by the 209 phage or peptides to form silver nanoparticles using biomimetic synthesis method ³². Yuan et al. 210 fabricated the silver nanoparticles using cyclic reduction-decomposition synthesis process. The 211 catalyst of Sodium Borohydride can be used to synthesize silver nanoparticles. The studies 212 confirmed that intracellular reactive oxygen species from the silver particles killed the multidrug-213 resistant bacteria Pseudomonas aeruginosa^{33, 34}. The structure and composition of silver 214 nanoparticles influenced the production of reactive oxygen species in the cells, and regulated the 215 cellular toxicity of nanoparticles ³⁵. 216

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218 **2.5. Polymeric nanocarriers**

Nanoparticles can be fabricated using polymeric materials. The sol-gel technique has been used to generate organic and inorganic networks for controlled fabrication of nanoparticles under low temperatures ³⁶. The sol-gel method supports hydrolytic catalyzation and condensation in organic solvents ³⁶. Polymerization of nanoparticles can be achieved using the emulsion coacervation method. This method produces biodegradable nanoparticles that can be used in drug delivery by oil-in-water emulsion ³⁷⁻³⁹. The oil is added to the solution where the nanoparticles containing oil form ⁴⁰.

Water-in-oil emulsion can also be used to obtain polymeric nanoparticles. Ethyl acetate, which has low toxicity, is the preferred solvent used in the evaporation technique for generating polymeric nanoparticles. Polymers are dissolved in volatile solvents and continuously stirred throughout the emulsification of the aqueous phase process ⁴¹. For the solvent emulsification method to be successful, a water-soluble solvent should be used to make the emulsion. For

example, ethyl acetate has been evaporated under a vacuum while the emulsion was being
 converted to nanoparticles ⁴⁰. After being synthesized in water and the organic solvent,
 nanoparticles are then separated by centrifugation ⁴¹. Generally, single oil emulsion particles are
 smaller in comparison to nanoparticles formed from multiple emulsions.

Nanoprecipitation is another method for specifically preparing poly-D,L-lactide-co-235 glycolide (PLGA) nanoparticles used in drug delivery applications ^{42, 43}. During 236 nanoprecipitation, the polymer is dissolved in a volatile solvent, such as acetone, and then added 237 to the aqueous phase while the organic phase is evaporated 41 . The polymer solvent, the non-238 polymer solvent, and the polymer are the three main constituents in the nanoprecipitation method 239 ⁴⁰. A preferable solvent will be the one that can be easily evaporated and has the capability of 240 being mixed with water. Thereafter, the emulsion is water-saturated with the polymeric solvent 241 242 in the oil phase. During this process a nanoparticle with a size around 150 nm is generally produced 40 . 243

With regard to drug delivery capabilities, polymeric nanoparticles face several challenges. It 244 is important for these materials to be able to efficiently encapsulate the incubated drugs. It has 245 been reported that adding calcium to the exterior phase of PLGA nanoparticles resulted in a 42% 246 increase in the encapsulation efficiency of proteins and peptides versus particles to which 247 calcium was not added ⁴⁴. The interaction of polymeric nanoparticles during the emulsification 248 process affected the variable frequency of the drug release properties. The lifetime of the 249 polymer nanoparticles in the blood stream and the interaction between proteins, blood cells and 250 tissues are significant factors during fabrication of drug-loaded nanoparticles. Recently, in 251 another study by Daman et al., stearoyl gemcitabine-loaded PEG-PLA micelles and self-252

assembled nanoparticles were successfully fabricated (Figure 5) ⁴⁵. Cytotoxicity studies
demonstrated the efficacy of the prodrug self-assembled in gemcitabine-resistant AsPC-1 cells.



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Fig. 5. (1) Schematic illustration of the process of polymeric micelles (stearoyl-gemcitabine); (2)
 TEM images of GemC18-loaded polymeric micelles (a) and GemC18 self-assembled
 nanoparticles (b). (Adapted with permission from reference ⁴⁵)

260 **2.6.** Conclusions

Magnetic nanoparticles can be fabricated following the co-precipitation process while ultraviolet (UV) light can trigger the formation of silicon nanocomposites with acrylic acid. Some metallic nanoparticles such as Au and Ag can be acquired through "wet chemistry" method. Specially, new femtosecond laser strategies are used to prepare the gold nanoparticles. As for silver nanoparticles, people develop the biomimetic synthesis method to nanoscale particles. As more research is done on creating nanoparticles for specific purposes, the preferred

strategy for deriving nanoparticles will be determined and applied to a particular use. New

strategies on how to make such nanoparticles more durable are being investigated. 268

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3. Applications of nanoparticles to treat infectious diseases

Currently, nanotechnology is being applied to diagnose, prevent, and cure infectious 271 diseases. Advancements are occurring in the therapy of human immunodeficiency virus (HIV), 272 hepatitis, and tuberculosis infections, and for the treatment of melanoma. In this section, we will 273 focus on recent advancements using nanoparticles in the treatment of these diseases. 274

275

3.1. HIV 276

Nanoparticles show promise in the field of HIV diagnosis and treatment. Successful use of 277 278 nanoparticles depends on their ability to recognize, reach, and deliver the medicine to HIVinfected cells. Sustained delivery and maintained drug concentration during transport are 279 important factors to be considered in the design and fabrication of nanoparticles for HIV. 280 281 Successfully crossing the mucosal layer, blood brain barrier, and lack of detection by the immune system have been continuous challenges for delivery of antiretroviral drugs ⁴⁶⁻⁴⁸. 282

The use of nanoparticles is becoming more popular in the prevention and treatment of HIV. 283 Magnetic nanoparticles have been tested *in vitro* to detect HIV-infected cells ⁴⁹. Magnetic 284 nanoparticles have been designed using biogenetic separation to target cells that carry the same 285 biological information that is embedded within the magnetic particles. After labeling, the cells 286 were separated from other cells *via* a magnetic separation device ⁵⁰. A recent study demonstrated 287 the fabrication of anti-HIV drug-loaded magneto-electric nanoparticles by applying a low 288 289 alternating current magnetic field (Figure 6). Drug release from these novel magneto-electric

- 290 nanoparticles was field-triggered after the particles crossed the blood-brain barrier. Drug delivery
- could be further controlled by external magnetic fields combined with the electric forces 51 .



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Fig. 6. (1) Schematic illustrating the mechanisms of magneto-electric field-initiated release. (2)
The observation of drug release kinetics at various stages by atomic force microscope (AFM).
Scale bar = 100 nm. (Adapted with permission from reference ⁵¹).

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Polymeric nanoparticles are used in the treatment of HIV since they have favorable 297 properties for antiretroviral drug delivery. These nanoparticles last a long time in the circulation 298 and have the ability to release antiretroviral drugs for long periods, such as 3-5 months. While 299 the nanoparticles are circulating in the blood, they can target host cells, attack the cells, and 300 301 deliver the medication directly to the infected cells. The nanoparticles also have the ability for controlled release of the antiretroviral drug at high concentration and to expose multiple cells to 302 the drug at the same time ⁵². Non-polymeric nanoparticles, such as liposomes, solid lipid 303 304 nanoparticles, and ethosomes can also be used as carriers for anti-HIV drug delivery. They have

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been shown to be less toxic and more biocompatible 53 . Altering the structure of the nanoparticles into nanocrystalline structures has been proven to provide higher volumes of antiretroviral drugs and longer periods of drug release within the human body 54 .

308 New nanotechnology, such as antiretroviral nano-formulations (Nano-ART), has been developed to combat HIV. Nano-ART uses macrophages and nanoparticles as the transport 309 vehicles to target infected HIV cells. Nano-ART releases a combination of drugs directly to 310 inflammatory sites over a sustained period of time with limited toxicity. Therefore, Nano-ART 311 reduces viral resistance in individuals infected with type-one HIV ⁴⁶. The blood brain barrier 312 uses endothelial cell junctions to obstruct the passage of antiretroviral drugs into the brain. Nano-313 ART evaded P-glycoprotein, a multidrug-resistant protein, to cross the plasma membrane ⁴⁶. The 314 site of production of HIV-infected cells is in the lymph nodes, where T cell activation occurs. 315 316 Nano-ART has the potential to control the rate of production and activation of T cells due to adaptive features, including the ability to carry antiretroviral drugs to the lymph nodes and the 317 phagocytic system ⁴⁷. Research has shown that silver nanoparticles have a high therapeutic index, 318 indicating high antiviral effectiveness to deter future stages of HIV ^{47, 55}. 319

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321 **3.2 Hepatitis**

Viral hepatitis is a global health issue that can cause a chronic syndrome and several other diseases. Nanoparticles can be used in diagnosing hepatitis caused by viruses, such as hepatitis B and C viruses. Previously, detection techniques yielded results with low sensitivity and efficiency. Researchers have developed new detection devices for the diagnosis of the hepatitis virus using nanotechnology. These new detection systems are based upon an electrochemical method involving an assay of gold-enhanced nanoparticles with magnetic beads, thus yielding

higher sensitivity and selectivity for DNA sequencing detection of hepatitis B virus (HBV) ⁵⁶. Tang et al showed that as there was an increase in the amount of HBV infection, there was an increase in electric potential of immunosensors ⁵⁷. This result provided support that immunosensors can be used as detection systems of choice for HBV.

Gold nanoparticles were the preferred delivery system for immunosensors because of their 332 compatibility with antibodies ⁵⁷. Nano-gold protein chips have been created to detect and analyze 333 antibodies for hepatitis B and C simultaneously ⁵⁸. Gold nanoparticles are designed to provide 334 uniformity and stability, which result in stronger signals that make the antibodies easier to detect 335 and analyze ⁵⁸. The nano-gold protein chip holds a significant amount of data that can be 336 successfully analyzed to determine the presence of hepatitis virus within the immune system. 337 Silver staining of the gold nanoparticles has been proven effective for detecting hepatitis B and C 338 virus strands in cells ⁵⁹. 339

Prevention methods, such as immunization, are the key to eliminate viral hepatitis in several 340 areas of the world. It has been reported that nanoparticles embedded with antigens have the 341 potential to mimic the virus and release the proper vaccine to prevent occurrences of hepatitis ⁶⁰. 342 The nasal mucosa is a good site for hepatitis B vaccines because secretory IgA (sIgA) is 343 activated to stimulate antibody responses ⁶¹. The role of sIgA was found to be significant because 344 it limited damage by inhibiting bacteria and viruses from fastening to the mucosa ⁶². In particular, 345 chitosan nanoparticles possess qualities desirable for nasal vaccination, such as biodegradability, 346 low toxicity, and close interaction with the mucosa ⁶¹. In another study, illustrated in Figure 7, 347 DNA vaccine-loaded SiO₂-conjugated layered double hydroxide (LDH) nanoparticles induced 348 high serum antibody responses in vivo. These SiO₂@LDH nanoparticles significantly promoted 349 T-cell proliferation and skewed T helpers to Th1 polarization 63 . 350



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Fig. 7. (1) Immunization with HBV DNA vaccination and activation of cellular immune responses in mice by various nanoparticles, including pcDNA3-HBVsAg and pcDNA3-HBVsAg, loaded by SiO₂, LDH and SiO₂@LDH according to immunization scheme (A). Comparison of T cell proliferation after various stimulations in BALB/c mice (B). (2) The schematic illustrates signal transduction through NF-kB after internalization of SiO₂@LDH nanoparticles in macrophages. (Adapted with permission from reference ⁶³)

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359 **3.3. Tuberculosis**

Tuberculosis (TB) is a deadly infectious disease caused by mycobacterium tuberculosis (MTB) that attacks the respiratory system ⁶⁴. The World Health Organization estimates that about one-third of the global population is infected with TB. TB is the second most deadly infectious disease ⁶⁵.

There are multiple strains of MTB, which make it difficult to detect and treat. Through DNA and RNA screening, it is possible to detect strands of MTB using gold nanoparticles.

Diagnostic techniques have evolved to include biological sensors to detect tuberculosis. Gold nanoparticle probe assays can detect MTB strains within a few hours ⁶⁶. MTB has been detected by nanoparticle aggregation resulting in color change patterns. Amplification of MTB was necessary in order to analyze and detect such strains ⁶⁷. Electrochemical biosensors broke down and captured DNA fragments of MTB, allowing the fragments to be labeled by gold nanoparticles ⁶⁷.

Aerosol methods are becoming popular for fighting TB. The nasal system is a common port 372 of entry of the disease; therefore, sending the nanoparticles through the nose is a rational method 373 for treatment. Nebulized nanoparticles are used for the administration of anti-TB drugs. 374 Nebulized nanoparticles can reduce the number of daily doses of the anti-TB drug. Studies have 375 shown that nebulized nanoparticles can be distributed in five doses rather than 4 or 6 oral doses 376 to achieve the same effectiveness ^{68, 69}. Nebulized nanoparticles also increase bioavailability 377 compared to orally-delivered free drugs. Nanoparticles that underwent nebulization led to more 378 rapid detection of drug in the plasma than their PLGA counterparts ⁶⁸. 379

380 Polymeric nanoparticles help to increase drug absorption in the gastrointestinal tract because of their ability to adhere to the mucosa. The integration of anti-TB drugs, such as 381 isoniazid and streptomycin, within nanoparticles led to antimicrobial activity against intracellular 382 MTB ⁶⁹. A four-fluid nozzle spray drier, developed by Ohashi et al, converted biodegradable 383 PLGA nanoparticles into mannitol microspheres, which increased uptake by alveolar 384 macrophages in mice ⁶⁸. Weissleder et al developed a chip-based diagnostic system involving 385 iron-based nanoparticles to analyze unprocessed biological samples. In this device, antibodies 386 embedded in iron nanoparticles bind the tuberculosis bacteria. This device has the capability of 387 detecting 20 bacteria per milliliter of unprocessed sputum specimen in less than one hour 70 . The 388

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properties of magnetic nanoparticles, such as high magnetic moment, provide this diagnostic tool with the unique ability to detect rapidly with high sensitivity. In another study, D'Addio et al developed a kinetically-controlled assembly method to produce multivalent surface-decorated nanocarriers with variable surface densities of mannose targeting ligands. These nanocarriers provide a promising drug delivery system to macrophages for TB treatment ⁷¹ (Figure 8).



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Fig. 8. Uptake of nanocarriers with 9% surface mannoside by J774E cells. (a) The comparison of cellular uptake by the cells at 4 °C (cellular °C (\blacksquare) with increases of incubation time. Fluorescence dye in the cells after cell lysis and solubilization was determined. (b-d) The images of fixed cells were captured by confocal laser microscope. The cells were not incubated with nanocarriers (b), with NCs for 3 h at 4 °C (c), or with nanocarriers for 3 h at 37 °C (d). The nuclei were stained with DAPI (red) and the fluorescence probe, EtTP5 (green). (Adapted with permission from reference ⁷¹.)

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404 **3.4. Melanoma**

Melanoma is a chronic disease and its malignant form, skin cancer, is deadly. Melanoma 405 may be associated with some infectious disorders ⁷². It has been demonstrated that immune 406 system has a critical role in the defense against malignant melanoma. And the risk to develop 407 408 melanoma is significantly increased during immunosuppression. It showed that the effect of previous infectious diseases on the risk of melanoma was crutial since the innate immune system 409 was challenged beyond its tolerance by the infection ⁷³⁻⁷⁵. Early stage melanoma is curable; 410 411 however, current treatments may take a toll on the body. Nanoparticles provide less invasive and more effective measures for treating melanoma patients. 412

Hyperthermia is a revolutionary treatment that involves internal killing of tumor cells by 413 heating. During this process, nanoparticles can control and direct the heat exclusively to the 414 targeted malignant cell. The ability to control nanoparticles during the process is an important 415 factor in the success of the hyperthermia treatment. The small size of nanoparticles allows them 416 to be effectively controlled to treat target cells ^{76,77}. Moreover, nanoparticles make it possible for 417 hyperthermia and gene therapy to be applied in a single treatment 78 . Ito et al. found that gene 418 therapy during hyperthermia not only supported the immune system but also reduced tumor size 419 to fully eradicate cancer in mice 78 . In addition to gene therapy and hyperthermia, serum protein 420 biomarkers are useful in the early detection of melanoma. As a prognostic tool, protein 421 biomarkers capture serum proteins and the subsequent melanoma signals. Reverse-phase protein 422 423 microarray combined with nanoparticles made it possible to identify and extract low abundance biomarkers with higher levels of sensitivity than previous methods ⁷⁹. 424

The mitogen activated protein kinase (MAPK) pathway found in melanoma cells plays a significant role in controlling cancer progression. The development of melanoma involves five

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427 key processes: cell proliferation, invasion, metastasis, survival, and angiogenesis. The MAPK 428 pathway is responsible for the activation of these processes. Nanoparticles can contain several 429 therapeutic agents, such as siRNA, DNA, and chemotherapeutic agents, to attack the MAPK 430 pathway during different developmental stages and significantly inhibit tumor growth in 431 melanoma cases ⁸⁰. Further studies have shown that siRNA-encapsulated liposomes inhibited 432 angiogenesis to reduce cell growth and metastasis ⁸¹. Magnetite cationic liposomes provide easy 433 access for nanoparticles to bind to antibodies and enter infected cells ⁷⁸.

Nanoparticles also aid in recognizing melanoma and encapsulating several anti-cancer 434 therapeutic drugs. Nanoparticles targeted to the metastatic tumors avoid the common side effects 435 of radiotherapy and chemotherapy, such as nausea, diarrhea, hair loss, and sterility. For example, 436 doxorubicin in nanoparticle form had powerful anti-melanoma activity and reduced tumor size in 437 mice⁸². Harry et al developed a novel intracellular imaging probe by incorporating hairpin 438 439 oligonucleotides onto the surface of gold nanoparticles. Figure 9 demonstrates the effectiveness of these gold nanoparticles for identifying melanoma cells⁸³. Some side effects involved in the 440 441 applications of nanotechnology for melanoma therapy included the increased metastatic spread of melanocytes ⁸⁴ and the impeded wound healing on skin cells ⁸⁵. New strategies need to be 442 further investigated to circumvent these undesirable effects. 443



Fig. 9. SK-MEL-28 melanoma cells were labeled with hairpin DNA-coated gold nanoparticles (hAuNP). Schematic illustration of the intracellular activities of non-specific mismatched nanoparticles (NMN) (A) or tyrosinase-specific nanoparticles (TSN) (B). Confocal laser microscope images after incubation with NMN (C) or TSN (D). Uptake of NMN (E) or TSN (F) by melanoma cells was quantified by flow cytometer. Scale bars = 20 μ m. (Adapted with permission from reference⁸³.)

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453 **3.5. Conclusions**

Nanotechnology is revolutionizing the treatment of patients with infectious diseases and melanoma. Nanoparticles reduce the side effects commonly associated with previous treatment methods. As the field of nanotechnology continues to grow, the diagnosis, prevention, and treatment of infectious diseases and melanoma will become more effective.

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459 **4. Application of nanoparticles to treat inflammatory diseases**

The science of nanotechnology can be applied to treat inflammatory diseases. Nanotechnology can be applied to specific types of inflammatory diseases such as bone inflammation, skin inflammation, and internal inflammation. This section explores the uses of nanotechnology in order to target inflammatory areas in the body.

464

465 **4.1. Bone inflammation**

Nanotechnology is advancing the treatment of bone inflammation. Metal nanoparticles 466 provide a good surface for osteoblasts to attach to the bone. These metallic nanoparticles enable 467 osteoblasts to grow over a specific time interval and allow osteoregeneration to proceed more 468 successfully ⁸⁶. In the osteogeneration process, it is critical for the nanocomposite materials to 469 stimulate specific proteins to promote bone regrowth. Hydroxyapatite, a nanophase ceramic 470 component of bone, demonstrates great potential for increasing osteoblast production⁸⁷. In 471 conjunction with carbon nanotubes, nanocomposites also demonstrated success in increasing 472 osteoblast formation. Carbon nanotubes with a diameter of 60 nm can accomplish 473 osseointegration by inhibiting competition from other cells, such as fibroblasts⁸⁷. 474

Titanium (Ti) is a commonly used nanomaterial in orthopedics because it has resistance to 475 corrosion with good biocompatibility. Titanium nanomaterials can prevent direct contact 476 between bones, thus preventing the implant materials from provoking inflammation during 477 surgical implantation⁸⁸. Heparin is an anti-inflammatory and anticoagulant drug that generates 478 anti-inflammatory activity on the surface of titanium and aids in increasing osteoblast and 479 osteogenic activity^{89, 90}. Magnetic nanoparticles are popular in treating bone diseases and 480 infections. Magnetic nanoparticles generate magnetic fields that aid in attacking certain sites of 481 482 infections and diseases of bone. Pareta et al showed that gamma-Fe₂O₃ magnetic nanoparticles

considerably increased the density of osteoblasts within a couple of days ⁹¹. Using calcium 483 phosphate as a coat for magnetic nanoparticles aids in the treatment of a variety of bone diseases 484 ⁹². Polv(amidoamine) (PAMAM) dendrimers can also be used to transport anti-inflammatory 485 drugs to bone. PAMAM dendrimers possess coupling capability for primary amino groups, 486 biocompatibility, and uniformity ⁹³. Superparamagnetic iron oxide nanoparticles (SPIONs) 487 incorporated into PLGA particles can be used to treat joint inflammations. These particles show 488 significant potential for treating joint diseases since they avoid inducing inflammatory responses 489 in the joint 92 . 490

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492 **4.2. Skin inflammation**

Nanotechnology is overcoming barriers to treating skin infections ^{94, 95}. Nanomaterials are designed to release therapeutic drugs over a period of time while not restoring to any damaged skin after drug release ^{96, 97}. Similar techniques are employed in treating skin infections with other formulations ^{98, 99}. As one of the medicines used to treat skin infections, nitric oxide filled the compartments of nanoparticles and was released over a controlled period of time. The nitric oxide-embedded nanoparticles were the preferred therapy versus the use of injectable needles for skin infections ¹⁰⁰.

Another major and well known contributor to skin inflammation is ultraviolet (UV) radiation. The stratum corneum, the outermost layer of the skin, serves as the protective barrier of the body against UV radiation. Sunscreens are good examples of how nanoparticles are used by consumers to prevent damage from UV radiation. Zinc oxide (ZnO) and titanium dioxide (TiO₂) nanoparticles are the preferred choice of material for sunscreens. ZnO and TiO₂ nanoparticles absorbed harmful UVA and UVB radiation and reflect both back to the atmosphere

as heat and visible light. ZnO and TiO₂ block UV photons from reaching living skin cells *via* absorption, reflection, and scattering 101, 102.

After damage to the body's protective layers, a wound healing process occurs. Researchers 508 reported that iron oxide nanoparticles were a good delivery system to deliver thrombin ¹⁰³. 509 Thrombin is a protein that has direct effects on inflammatory cells, fibroblasts, and endothelial 510 cells. It is thought that thrombin may play a role in initiating early cellular events in tissue repair 511 ¹⁰⁴. Iron oxide particles provided protection for thrombin against antithrombin and activated 512 protein C. Antibiotics to treat skin inflammation and wounds could be securely delivered via 513 nanoparticles. Antibiotics could be administrated in fewer doses, reducing the risk of antibiotic 514 resistance due to the controlled release properties of nanoparticles ¹⁰⁵. 515

Several other diseases promote and cause skin inflammation. For example, psoriasis is a 516 517 disease that causes chronic inflammation of the skin and joints. In psoriasis, skin renewal is several times faster than normal skin renewal. Ketoprofen and spantide II are two of many anti-518 inflammatory drugs that, when combined, have potential for treating the skin inflammation ¹⁰⁶. 519 520 Nanoparticles assist both anti-inflammatory drugs in penetrating the protective borders of the skin^{107, 108}. Figure 10 shows the fabrication of diclofenac-loaded phospholipid nanovesicles to 521 treat skin inflammation. This nanovesicular formulation promoted drug accumulation on skin 522 while reducing permeation beneath the skin¹⁰⁹. 523



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Fig. 10. TPA exposure to mice dorsal skin over 72 h (left), and the lesion changes after treatment with empty or DCF_{Na}-loaded liposomes, ethosomes and PEVs, Voltaren, or DCFNa in water (middle and right). The diameter (\emptyset) of skin lesions is determined in the image. (Adapted with permission from reference ¹⁰⁹)

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531 **4.3. Internal inflammation**

To target and prevent inflammation of the internal organs, nanotechnology has been investigated for controlled drug release ^{110, 111}. For example, a peptide component was designed to conjugate with a nanoparticle so that it favored a higher interaction among cells and provided better cell signaling and protein release ¹¹². When the peptide nanoparticle was in the body, it had the potential to target the inflamed cells and maintain drug concentration within an efficient time frame. Since peptide nanoparticles do not accumulate over time within the bloodstream, internal inflammation caused by nanoparticles is avoided ¹¹².

Nanoscale Accepted Manuscript

Because nanoparticles have the ability to trigger internal inflammation while targeting host 539 cells, the particle size and surface coatings play a key role in preventing this predicament. To 540 avoid the increase in particle accumulation and prevent trapping while targeting the site of 541 inflammation, the size of nanoparticles should be between 120 and 200 nm¹¹³. Nanoparticles 542 composed of PLA/PLGA with PEG grafts have shown to not produce immune responses and to 543 have significantly less exchange with the mononuclear phagocyte system ¹¹³. Nanoparticle 544 interactions with pro-inflammatory cytokines have been taken into consideration. Lee et al noted 545 that mesoporous silica nanoparticles were able to reduce inflammatory responses in cells because 546 of their ability to interact and regulate pro-inflammatory cytokines ¹¹⁴. Rampazzo et al. found 547 that polyethylene glycol-amino modification can enhance the uptake of silica particles by the 548 normal or cancer cell types which can be used to track the movement of particles in the cell or in 549 the organ ²⁹. Singh et al indicated that the promising role of nanotechnology regulating 550 neuroinflammation has significance in the treatment of multiple sclerosis. Nanoparticles deliver 551 therapeutic medication to the diseased part of the brain and subdue neuroinflammation, 552 115. 553 inhibiting progression of the disease In another study. gadolinium diethylenetriaminepentaacetic fatty acid (Gd-DTPA-FA) nanoparticles were synthesized by 554 conjugation of DTPA-FA ligand and gadolinium acetate. As shown in Figure 11, in vivo tests 555 demonstrated that this novel magnetic resonance imaging (MRI) contrast agent was highly 556 efficient and specific to detect early acute pancreatitis ¹¹⁶. 557





Fig. 11. Magnetic resonance (MR) images of the pancreases (dashed with red line) of SD rats before and after injection with Gd-DTPA-FA *via* the tail vein at various time points, including 1 h, 6 h, 12 h, 24 h, and 36 h. The signal intensities of the pancreatic tissues were analyzed and compared with that of the control group and the pre-contrast groups. #P < 0.01, compared with the pre-contrast group; *P < 0.01, compared with the control group. (Adapted with permission from reference ¹¹⁶)

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567 **4.4. Conclusions**

Inflammatory diseases that affect the bone, skin, and the internal organs can be treated by applications of nanotechnology. The future uses of nanotechnology for inflammation is promising in reducing the intensity of inflammation and localizing therapy to the targeted area. Future investigations hold promise for increasing the effectiveness and the efficiency of treating inflammatory diseases.

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574 **5.** Clinical trials and commercial markets

Preclinical studies of nanocarriers used in inflammatory and infectious diseases have been

575 successfully completed. Some clinical studies have proved that nanocarriers enhance the 576 penetration of active substances into the skin. Tocopheryl acetate loaded into lipid nanocarriers 577 (LNC) improved skin hydration compared with a non-LNC preparation ¹¹⁷. Flavonoid guercetin 578 loaded into colloidal silica particles has a better enhancing effect on permeation of the stratum 579 corneum than that loaded into lipid nanoparticles ¹¹⁸. Colloidal silica particles can obviously 580 facilitate the entry of drug into deeper horny layer strips, which indicates that silica nanoparticles 581 will be a better choice for skin diseases such as inflammatory and infectious diseases. Use of 582 nanocrystalline silver dressings reduce wound neutrophilic inflammation and bacteria in patients 583 whose chronic venous leg ulcers were healed with a multilayer bandage. Although there was a 584 slight increase after healing with the silver dressings, the levels of serum silver were within the 585 acceptable range ¹¹⁹. Verdu et al. collected data from 103 patients for a similar comparative study. 586 Seventy seven of those patients (median age = 80, 41.6% men) were treated with nanocrystalline 587 silver dressings. These patients suffered different types of ulcers, including traumatic or surgical 588 589 wounds, pressure ulcers, or lower extremity ulcers. After 42.5 days of healing, 96.1% of the clinical signs in the infected tissue disappeared completely (p < 0.001), while 27.3% of the injury 590 healed. Of the patients not completely healed, 92.9% had significant improvement (p < 0.05)¹²⁰. 591 All of these results confirm that silver nanoparticle dressings are a good formulation for various 592 types of skin ulcers. 593

Pathogenic flora can cause gingivitis. Unimag, a stable suspension of magnetic 594 nanoparticles, can increase the sensitivity of the bacteria to magnetic formulation ¹²¹. 595 Identification and characterization of the pathogen is an important step for controlling infectious 596 and inflammatory diseases. Thus, diagnostic tests that are cheap, rapid, and sensitive are needed. 597

Using nanotechnology for disease diagnosis is an interesting concept. Yang et al developed a particulate probe that attached recombinant Treponema pallidum antigens (r-Tp) to acrylic acidcoated gold magnetic nanoparticles. The levels of anti-Tp antibodies were determined in 1020 serum samples obtained from three hospitals. The probe is specific and sensitive in most clinical cases (>97%), demonstrating that nanocomposites are a good choice for syphilis screening ¹²².

603 The combination of nanotechnology and imaging technology can greatly improve clinical disease surveillance. The improvement of particle properties will make diagnosis much more 604 605 efficient. The nanoparticle SHU555C is a novel ultra-small superparamagnetic particle of iron oxide (USPIO) which is able to enhance MRI contrast. The use of SHU555C in the diagnosis of 606 607 multiple sclerosis is more sensitive than Gd-DTPA (Gadolinium-DTPA). As shown in Figure 12, 608 USPIO-enhanced MRI provided more insight into the level of inflammation in multiple sclerosis ¹²³. USPIO-enhanced MRI can also be used to monitor inflammation after ischemic stroke at its 609 early stages, which may be of benefit in anti-inflammatory therapy of patients who suffer a 610 stroke ¹²⁴. 611



Fig.12. One month after the injection of the novel USPIO particle SHU555C to patients, the USPIO positive/Gd negative lesion became a Gd positive lesion. (A) The post-Gd image showed no lesion enhancement at the time of SHU555C injection (a lesion was present on the T_2SE image at that time point). (B) The post-USPIO image showed focal USPIO-enhancement. (C) The post-Gd image showed Gd-enhancement one month after the injection of SHU555C. (D) The microscopy image showed iron-positive cells (arrow) detected in patient PBMC 24 h after SHU555C injection at a low concentration. (Adapted with permission from reference ¹²³)

620 621

622 Overall, successful clinical trials demonstrate that applying nanotechnology to treatment and detection of infectious and inflammatory diseases in humans is achievable. Good 623 performance and speed make it inevitable that nanotechnology will widely penetrate the medical 624 market. The current market for nanotechnology is steadily growing and has high prospects. The 625 National Science Foundation estimates that more than \$1 billion of nanotechnology products will 626 be sold by 2015¹²⁵. Medicines for infectious and inflammatory disease play a part in these 627 nanotechnology products. For example, StarPharma develops anti-HIV and anti-HSV dendrimers 628 by VivaGel technology ¹²⁶. Megace ES from the Par Company, an appetite stimulant that can be 629 used to inhibit weight loss in patients with HIV, has been approved by the FDA. SkyePharma has 630 developed cytarabine liposome injection using their DepoFoam technology, which is also 631 approved by FDA for treating lympohomatous meningitis. According to a survey, government 632 spending on research and development of nanotechnology has increased to \$3.7 billion since 633 1997¹²⁵. With the increase of funding in nanotechnology, a large number of nano-drugs will 634 flood onto the market, and nano-drugs have good prospects. 635

636 **6.** Perspectives and conclusions

Based on good performance, successful experiments, and considerable market prospects,
nanotechnology will undoubtedly lead a revolution in medical markets for inflammatory and
infectious diseases. More and more scientific research workers will join this field. However,

Nanoscale

there are still challenges in this field with respect to how to deliver the drug to the target to concentrate it in inflammatory and infected foci. How to regulate the distribution of nanocarriers in the body or specific organs also needs to be answered. Nano-drugs are foreign substances to the body and may produce inflammation. How to control the release kinetics of nano-drugs in the targeted place? The safety data for long-term therapy or repeated dosage are needed to circumvent the potential risk, especially for gene therapy or virus vectors. Moreover, more powerful *ex vivo* models or animal models could be harnessed to assess the safety issues and to comply with government regulations. How to extend the shelf life of nano-drugs is also a problem due to their agglomeration. The ways to create nanoparticles should also be improved. As the investment of labor and technology in the medical market increases, these problems will be gradually solved. In our review, the pros and cons of various nanotechnologies for inflammatory and infectious diseases were summarized.

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846