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1    **N-doped Graphitic Layers Encased Cobalt Nanoparticles as**  
2    **Efficient Oxygen Reduction Catalysts in Alkaline Media**

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1 Nitrogen doped graphitic layers encased cobalt (N-C@Co) nanoparticles as novel  
2 non-precious-metal catalysts for the oxygen reduction reaction (ORR) were fabricated by a facile  
3 method using cyanamide and cobalt nitrate as precursors. The N-C@Co catalysts exhibited  
4 comparable catalytic performance, better stability and more excellent methanol tolerance towards  
5 ORR than those of commercial Pt/C catalyst.

6  
7 Energy depletion problem is one of the major issues which hinder the development of human  
8 society. To solve this problem, fuel cells have received tremendous interests as a high-efficient and  
9 clean energy conversion device although their performance is still limited by the sluggish oxygen  
10 reduction reaction (ORR) at the cathode.<sup>1</sup> To date, platinum-based electrocatalysts have been  
11 considered as the most effective catalysts for the ORR, but they are still seriously restricted by the  
12 issues of high cost, insufficient durability, crossover effect, CO poisoning and limited natural  
13 storage.<sup>2</sup> Meanwhile, extensive research has been focused on reducing Pt consumption by  
14 developing Pt-based alloys;<sup>3</sup> however, the cost is still too high for commercialization. Therefore,  
15 the synthesis of durable and highly active non-precious catalysts becomes an imperative to realize  
16 the practical application of fuel cells.

17 During recent years, the research of alternative materials for Pt-based catalysts, such as  
18 non-precious-metal catalysts<sup>4-7</sup> and metal-free catalysts,<sup>8-10</sup> has attracted much attention.  
19 Transition-metal-based catalysts, such as iron (Fe), cobalt (Co), nickel (Ni), etc., are abundant in  
20 sources and have shown superior catalytic activity towards ORR.<sup>11-13</sup> In particular, the transitional  
21 metal oxides, carbides and nitrides have exhibited excellent high electrocatalytic performance  
22 towards ORR.<sup>6, 12, 14-18</sup> Recently, Xing *et al.* have synthesized hollow spheres of Fe<sub>3</sub>C

1 nanoparticles encased in carbon as efficient oxygen reduction catalysts, further confirming that the  
2 transition-metal carbides can activate the carbon layer towards the ORR.<sup>5</sup> However, the zero  
3 valent metals in those materials have always been subjectively etched as the unstable constituent  
4 although they have shown impressive ORR activity. Thus, there is a great need to prevent the  
5 sustained dissolution and agglomeration of zero valent metals during the experiment. On the other  
6 hand, as metal-free catalysts, nitrogen-doped carbon materials have demonstrated surprisingly  
7 high electrocatalytic performance towards ORR.<sup>8-10, 19, 20</sup> It has been reported recently that the  
8 enhanced electrocatalytic activity could be attributed to the interaction between carbon atoms and  
9 neighboring N atoms which can create active sites for oxygen adsorption and reduction.<sup>9, 21</sup>  
10 However, the ORR activities of N-doped carbon materials are still less competitive compared to  
11 the Pt/C. Herein, we developed a facile method for the fabrication of N-doped carbon-shell coated  
12 Co (N-C@Co) nanoparticles and explored as non-precious-metal catalysts for the ORR. We  
13 expected that the N-doped carbon-shell should prevent the Co nanoparticles from the dissolution  
14 and agglomeration, meanwhile enhancing the electrocatalytic activity towards ORR. The synthesis  
15 of N-C@Co was accomplished by one-step pyrolysis using cyanamide and cobalt nitrate as  
16 precursors. As illustrated in Scheme 1, the fabrication process includes the mix of cyanamide and  
17 cobalt nitrate, polymerization of cyanamide under the relatively low temperature of the  
18 pyrolysis,<sup>22</sup> and carbonization of melamine encased Co nanoparticles under the high temperature  
19 of the pyrolysis. For comparison, three N-C@Co samples were obtained by keeping the mass of  
20 cobalt nitrate and adjusting the addition of cyanamide. Three N-C@Co samples with cyanamide  
21 addition of 2, 4 and 6 mL during the synthesis process were denoted as N-C@Co-1, N-C@Co-2  
22 and N-C@Co-3, respectively. Cyanamide and cobalt nitrate were also respectively pyrolysed *via*

1 the same process. The detailed experimental process is shown in ESI.

2 The morphologies and microstructures of typical N-C@Co-2 and N-doped carbon (N-C,  
3 obtained by direct pyrolysis of cyanamide) were investigated by scanning electron microscopy  
4 (SEM) and transmission electron microscopy (TEM). As shown in Fig. 1a and c, the construct of  
5 N-C@Co-2 are nanoparticles with uniform size coated by the graphitic layers. This uniform  
6 dispersion of N-C@Co nanoparticles may be due to the carbon shell obtained by the carbonation  
7 of polymerized cyanamide (melamine). The formation of melamine avoids the agglomeration of  
8 Co nanoparticles and the emergence of oversized nanoparticles. However, the SEM and TEM  
9 images of N-C have not exhibited any specific morphology (Fig. 1b and d). Additionally, the  
10 graphitic structures of N-C@Co-2 and N-C further characterized from Raman spectroscopy are  
11 shown in Fig. S1. Compared with the N-C, the ratio of the D band (ca. 1380  $\text{cm}^{-1}$ ) to G band (ca.  
12 1580  $\text{cm}^{-1}$ ) intensity ( $I_D/I_G$ ) for N-C@Co-2 is much lower, reflecting a higher degree of  
13 graphitization. The presence of cobalt atom has been considered as the major factor in increase of  
14 graphitization degree.<sup>4</sup> The high-resolution TEM (HRTEM) images of N-C@Co-2 demonstrate  
15 that the Co nanoparticles are encased in six to twelve graphitic layers (Fig. 1e and Fig. S2). The  
16 graphitic shell has shown the lattice fringes with an interplanar spacing of 0.34 nm, corresponding  
17 to the (002) plane of graphite.<sup>23</sup> Meanwhile, in the core of nanoparticle, the well-defined  
18 crystalline lattice spacing of 0.21 nm can be clearly observed, consistent with the (111) plane of  
19 metallic cobalt.<sup>24</sup> This is in good agreement with the X-ray diffraction (XRD) analysis. Fig. 1f  
20 presents the XRD patterns of the N-C@Co-2 and the sample obtained by direct pyrolysis of cobalt  
21 nitrate. The diffraction peak at 26.6° corresponds to the (002) planes of graphite, while the peaks  
22 at 44.2° , 51.5° and 75.8° indicate the presence of metallic cobalt phase (JCPDS 15-0806).

Furthermore, as shown by cyan line in Fig. 1f, the XRD patterns are well accordant with diffraction peaks of cobalt oxide (CoO) species (JCPDS 65-2902). The metallic cobalt phase of the N-C@Co-2 should be derived from the reduction process of cobalt oxide phase by the graphite carbon phase at the high temperature of the pyrolysis. Therefore, the XRD results further confirm that the N-C@Co-2 is composed of the graphite carbon and metallic cobalt phases. In addition, considering the cyanamide applied as the carbon-nitrogen source, the absence of diffraction peaks for cobalt nitride in the XRD patterns demonstrates that the N atoms are solely doped into the graphite carbon shell rather than the cobalt core. Moreover, the sample obtained by direct pyrolysis of cobalt nitrate has been defined as CoO through the XRD analysis.

Through keeping the mass of cobalt nitrate and changing the addition of cyanamide, three N-C@Co samples were obtained and etched in 2 M HCl solution at 80 °C for 12 hours to remove the incompletely encased cobalt nanoparticles. Here, N-C@Co-2 is taken as an example and the sample without acid etch is named as N-C@Co-2-ue. As shown in Fig. 2a, the XRD pattern of N-C@Co-2-ue exhibits unobvious difference compared to the N-C@Co-2. The result further demonstrates that the Co nanoparticles coated by carbon shell survive well after the etch procedure. The Brunauer–Emmett–Teller (BET) surface areas of the catalysts were measured, as shown in Fig. 2b and Fig S3. Results show that the N-C@Co-2 catalyst has a BET surface area of 261.0 m<sup>2</sup> g<sup>-1</sup>, while the BET surface areas of N-C@Co-2-ue, N-C@Co-1 and N-C@Co-3 are 196.0, 68.1 and 145.4 m<sup>2</sup> g<sup>-1</sup>, respectively. Compared with the N-C@Co-2-ue, the N-C@Co-2 has a higher BET surface area resulting from the loss of incompletely encased cobalt nanoparticles during the etch procedure.<sup>25</sup> Meanwhile, the relatively low BET surface areas of N-C@Co-1 and N-C@Co-3 are probably due to the overloading of Co atoms or the formation of overmuch

1 N-doped carbon resulting from the relatively surplus addition of cobalt nitrate or cyanamide. It  
2 seems clear that, the overloading of Co atoms leads to a decrease of porous carbon content, which  
3 further causing a drop in the BET surface area. On the other hand, the N-doped carbon obtained  
4 by direct pyrolysis of melamine with relatively low BET surface area has been reported by the  
5 published literature.<sup>26</sup> The pore-size distribution, calculated using the Barrett–Joyner–Halenda  
6 (BJH) method, shows little difference from all the N-C@Co catalysts (Fig S3b and the inset in Fig  
7 2b), confirming that different ratios of cyanamide to cobalt nitrate have no effect on pore-size  
8 distribution.

9 X-ray photoelectron spectroscopy (XPS) was employed to reveal the element contents and  
10 the relative content of each component in the composite (Table S1). Based on the XPS data, three  
11 N-C@Co catalysts show similar mass ratios of carbon to nitrogen resulting from the cyanamide as  
12 sole carbon-nitrogen precursor. The XPS signals in the Co 2p<sub>3/2</sub> region reveal the presence of Co  
13 (0) (Co1 at ~777.7 eV), cobalt oxide (Co2 at ~779.1 eV) and Co-C-N (Co3 at ~780.1 eV) (Fig. 2c  
14 and Fig S4).<sup>4</sup> According to the Table S1, the Co (0) content of three N-C@Co catalysts increases  
15 with the increasing addition of cyanamide, which is probably due to the reduction process of  
16 cobalt oxide by the graphite carbon. In addition, several published literatures have reported that  
17 the peak of Co-N is located around  $781.6 \pm 0.2$  eV.<sup>27, 28</sup> Therefore, the XPS spectrum without  
18 Co-N peak further expounds the sole doping of N atoms into the carbon shell rather than the Co  
19 core. Moreover, the high-resolution N 1s scans can be fitted into four peaks at 398.1, 399.2, 400.7  
20 and 404.6 eV, corresponding with the pyridinic-N (N1), pyrrolic-N (N2), graphitic-N (N3) and  
21 oxidized pyridinic-N (N4), respectively (Fig. 2d and Fig. S5).<sup>29</sup> As demonstrated in Table S1, the  
22 N-C@Co-2 has higher graphitic-N content than the N-C@Co-1 and N-C@Co-3. Among the four

1 components, graphitic-N specie has been considered more active towards ORR compared with the  
2 pyridinic-N, pyrrolic-N and oxidized pyridinic-N.<sup>30</sup>

3 To study the ORR electrocatalytic activities of samples, three-electrode cyclic voltammetry  
4 (CV) experiments in oxygen saturated 0.1 M KOH solutions were performed at a scan rate of 50  
5 mV s<sup>-1</sup>. As shown in Fig. S6, the N-C@Co-2 exhibits a distinct cathodic peak centered at 0.8V,  
6 which is more positive than that for other as-prepared catalysts except the commercial Johnson  
7 Matthey Pt/C catalyst (20 wt% Pt loading). The ORR electrocatalytic properties of these catalysts  
8 were further investigated by linear sweep voltammograms (LSV) using a rotating disk electrode  
9 (RDE) in O<sub>2</sub>-saturated 0.1 M KOH electrolyte at a scanning rate of 5 mV s<sup>-1</sup> and a rotation rate of  
10 625 rpm (Fig. 3a and b). As shown in Fig. 3a, the N-C@Co-2 displays distinctly more positive  
11 onset potential (0.895 V) and higher current density than the N-C (0.815 V) and the CoO (0.645  
12 V), further demonstrating the high ORR catalytic activity of N-C@Co-2 resulting from the  
13 particular N-doped carbon shell and cobalt nanoparticle core structure. Although the Co  
14 nanoparticle core is not in direct contact with the O<sub>2</sub>-saturated solution, it still has a vital catalytic  
15 role for the ORR performance. As illustrated in Fig. 4, the coated metallic Co nanoparticles  
16 activate the N-doped graphitic shell, resulting in the more enhanced ORR performance of catalysts  
17 compared with that of sample without Co (N-C).<sup>5</sup> Moreover, the onset potential of Pt/C (0.905 V)  
18 is about 10 mV more positive than that of N-C@Co-2, indicating that N-C@Co-2 may have great  
19 potential to become an alternative material for Pt/C as noble-metal-free electrocatalyst for ORR.  
20 The sample without acid-etch (N-C@Co-2-ue) exhibits a more negative onset potential (0.855V)  
21 and lower current density than that of N-C@Co-2 (Fig. 3b). This result should be due to the higher  
22 BET surface area facilitating the exposure of active sites for catalysis. Meanwhile, Fig. 3b further



1 shows the impact of the cyanamide addition on the LSV responses for oxygen. It can be seen that  
2 the onset potential at N-C@Co-1 and N-C@Co-3 commences around 0.835 V and 0.855V,  
3 respectively. The improvement of the catalytic performance on the N-C@Co-2 electrode further  
4 confirms that the N-C@Co-2 has the best ORR activity owing to synergistic effect arising from  
5 the high surface area, active N specie, optimized N, Co content obtained by the adjustment of  
6 cyanamide addition.

7 To further confirm the active specie of Co core towards ORR, the XPS results of Co 2p<sub>3/2</sub>  
8 analysis was taken together with the ORR catalytic activity of three N-C@Co catalysts. As  
9 demonstrated in Table S1, the N-C@Co-2 has a higher metallic Co content than the N-C@Co-1.  
10 Meanwhile, the N-C@Co-3 has a lower Co elementary content than the N-C@Co-2 although it  
11 has the highest metallic Co content. By taking these results into consideration, it may be readily  
12 deduced that the metallic Co specie is more active towards ORR compared with the CoO and  
13 Co-C-N.

14 To better understand the ORR electrochemical kinetics of N-C@Co-2, the RDE  
15 measurements at various rotating speeds were also performed to analyze the transferred electron  
16 number per O<sub>2</sub> molecule during reduction (*n*) (Fig. S7). A set of polarization curves for ORR on  
17 N-C@Co-2 catalyst recorded from 100 to 2025 rpm are displayed in Fig. S7a. These polarization  
18 curves show typical increasing current with higher rotating rates. The Koutecky-Levich (K-L)  
19 plots (Fig. S7b) are obtained from polarization curves at different potentials. Obviously, these  
20 plots are perfectly parallel and show good linearity over the entire potential range, which indicates  
21 that the electron transfer number for ORR is similar even at high potentials. The slopes of the K-L  
22 plots are used to calculate the electron transfer number during ORR on the basis of K-L equation

(details are shown in the ESI Equation 1 and 2). The electrochemical reduction of oxygen in alkaline solution proceeds through two main possible pathways, including the two-electron reduction pathway with  $\text{HO}_2^-$  as an intermediate product and the four-electron pathway to directly reduce to  $\text{OH}^-$ . The latter pathway is more efficient for energy conversion.<sup>31</sup> The  $n$  value calculated in the ORR for N-C@Co-2 was about 3.74-4.00 in the potential range from 0.715 to 0.265 V (Fig. S7c). Meanwhile, as a reference, the  $n$  value of Pt/C is similar to that of N-C@Co-2 and is shown in the same figure. To further confirm the ORR catalytic pathway, rotating ring disk electrode (RRDE) measurements were performed to investigate the  $\text{HO}_2^-$  yields and the  $n$  values during the ORR process at 900 rpm rotating speed (Fig. 3c). The equations used to analyze the RRDE data are shown in ESI Equation 3 and 4. The  $n$  value of N-C@Co-2 is stable at 3.8 to 3.9 over the potential range from 0.715 to 0.265 V, which is consistent with result obtained from the RDE experiments (Fig. 3d). Meanwhile, the  $\text{HO}_2^-$  yield of N-C@Co-2 was found to be less than 10 % at all potentials, indicating a four-electron pathway dominated ORR process by reducing oxygen directly to  $\text{OH}^-$  ions (Fig. 3e). The  $n$  value on N-C@Co-2 is close to 4, which further indicates that the N-C@Co-2 can efficiently reduce the oxygen molecule *via* a four-electron transfer pathway. In addition, as demonstrated by the RRDE experiments, the N-C@Co-2 exhibits excellent ORR performance comparable to that of Pt/C, confirming the great potential of this non-precious catalyst as a promising alternative to the Pt/C.

Given that catalyst durability is one of the major challenges for alkaline fuel cells, the durability of N-C@Co-2 and Pt/C catalyst for ORR was evaluated through chronoamperometric measurements at a constant voltage of 0.565 V *vs.* RHE for 30000 s of continuous operation in  $\text{O}_2$ -saturated 0.1 M KOH solution at a rotation speed of 900 rpm. As shown in Fig. S8a, the

1 current for ORR at the N-C@Co-2 electrode exhibits slow attenuation with a decay about 9.8 % of  
2 the initial current, while the Pt/C catalyst experiences about 34.2 % decrease in current density,  
3 revealing that the stability of N-C@Co-2 is superior to that of Pt/C in the alkaline medium. In  
4 addition to the durability, the possible crossover effect of the catalyst is another major concern to  
5 be emphasized for ORR. Thus, the electrocatalytic selectivity of N-C@Co-2 and Pt/C was  
6 measured in the presence of 3 M methanol by the CVs and the chronoamperometric responses at a  
7 potential of 0.565 V *vs.* RHE (Fig. S8b-d). The current-time curves in Fig. S8b illustrate that the  
8 oxygen reduction current for the Pt/C catalyst jump to positive values when methanol is  
9 introduced, whereas no noticeable response is observed in the ORR current at the N-C@Co-2  
10 electrode under similar conditions. CV curves in Fig. S8c display a stable oxygen reduction  
11 current without the current towards methanol at the N-C@Co-2 electrode. While in contrast, a  
12 disappearance of the cathodic peak for ORR with the addition of methanol is observed at the Pt/C  
13 electrode, further confirming that Pt/C catalyst have a serious methanol crossover effect (Fig. S8d).  
14 On the basis of these studies, the N-C@Co-2 catalyst exhibits a remarkably better methanol  
15 tolerance and electrochemical stability than the Pt/C catalyst.

16 In summary, a novel ORR catalyst in form of Co nanoparticles encased by N-doped graphitic  
17 layers was successfully synthesized by a one-step pyrolysis process. Electrochemical experiments  
18 reveal that the ORR catalytic performance of as-prepared materials is improved by the synergistic  
19 effect arising from the adjustment of cyanamide addition and the particular N-doped carbon shell/  
20 cobalt nanoparticle core structure. The N-doped graphitic shell stabilizes the Co nanoparticles  
21 avoiding the dissolution and agglomeration, meanwhile the Co nanoparticle core plays a positive  
22 role in activating the N-doped graphitic shell towards ORR. The N-C@Co-2 catalyst manifests

excellent ORR activity with highly positive onset potential in a four-electron transfer pathway approaching to that of Pt/C catalysts. Moreover, its enhanced stability and high electrocatalytic selectivity towards ORR make it promising as a low-cost and effective non-precious metal-based ORR catalyst. Our work paves a new way to design and synthesize alternative non-precious metal-based catalysts to the Pt-based catalysts towards ORR.

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## 19 Captions

20 **Scheme 1** Schematic illustration of the fabrication procedure for N-doped graphitic layers  
 21 encased cobalt nanoparticles (N-C@Co) catalysts

22 **Fig.1** SEM images of N-C@Co-2 (a) and N-C (b). TEM images of N-C@Co-2 (c) and N-C  
 23 (d). HRTEM image of an N-doped graphitic layers encased Co nanoparticle in N-C@Co-2  
 24 (e). The inset in (e) is the corresponding index crystal plane. XRD patterns of N-C@Co-2 and  
 25 CoO (f).

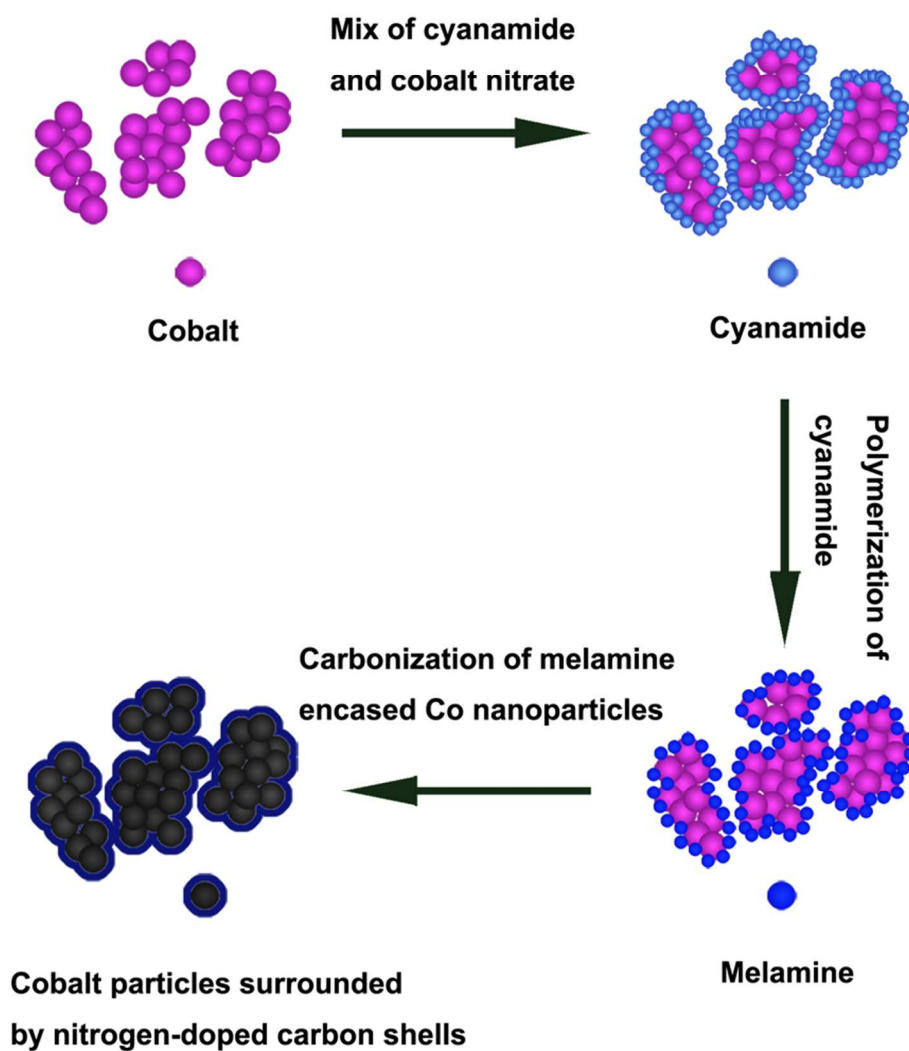
26 **Fig.2** XRD patterns of N-C@Co-2 and N-C@Co-2-ue (a). Nitrogen adsorption-desorption  
 27 isotherms of N-C@Co-2 and N-C@Co-2-ue (b). Inset: Pore size distributions of N-C@Co-2  
 28 and N-C@Co-2-ue. High-resolution XPS Co 2p<sub>3/2</sub> (c) and N 1s (d) spectra of N-C@Co-2.

29 **Fig.3** RDE LSV curves of CoO, N-C, N-C@Co-2 and Pt/C at scan rate of 5 mV s<sup>-1</sup> and a  
 30 rotation speed of 625 rpm in O<sub>2</sub>-saturated 0.1 M KOH (a). RDE LSV curves of

1 N-C@Co-2-ue, N-C@Co-1, N-C@Co-2 and N-C@Co-3 at scan rate of  $5 \text{ mV s}^{-1}$  and a  
 2 rotation speed of 625 rpm in  $\text{O}_2$ -saturated 0.1 M KOH (b). The RRDE ring current and disk  
 3 current of the N-C@Co-2 and Pt/C recorded in oxygen-saturated 0.1 M KOH at a scan rate of  
 4  $5 \text{ mV s}^{-1}$  and a rotation rate of 900 rpm (c). The ring potential of Pt ring is constant at 1.465  
 5 V vs.RHE. The electron transfer number (d) and the  $\text{HO}_2^-$  yield (e) of the N-C@Co-2 and  
 6 Pt/C catalysts for the ORR in 0.1 M KOH.

7 **Fig. 4** The ORR procedure on the N-C@Co-2 electrode.

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Schematic illustration of the fabrication procedure for N-doped graphitic layers encased cobalt nanoparticles (N-C@Co) catalysts.  
80x89mm (300 x 300 DPI)



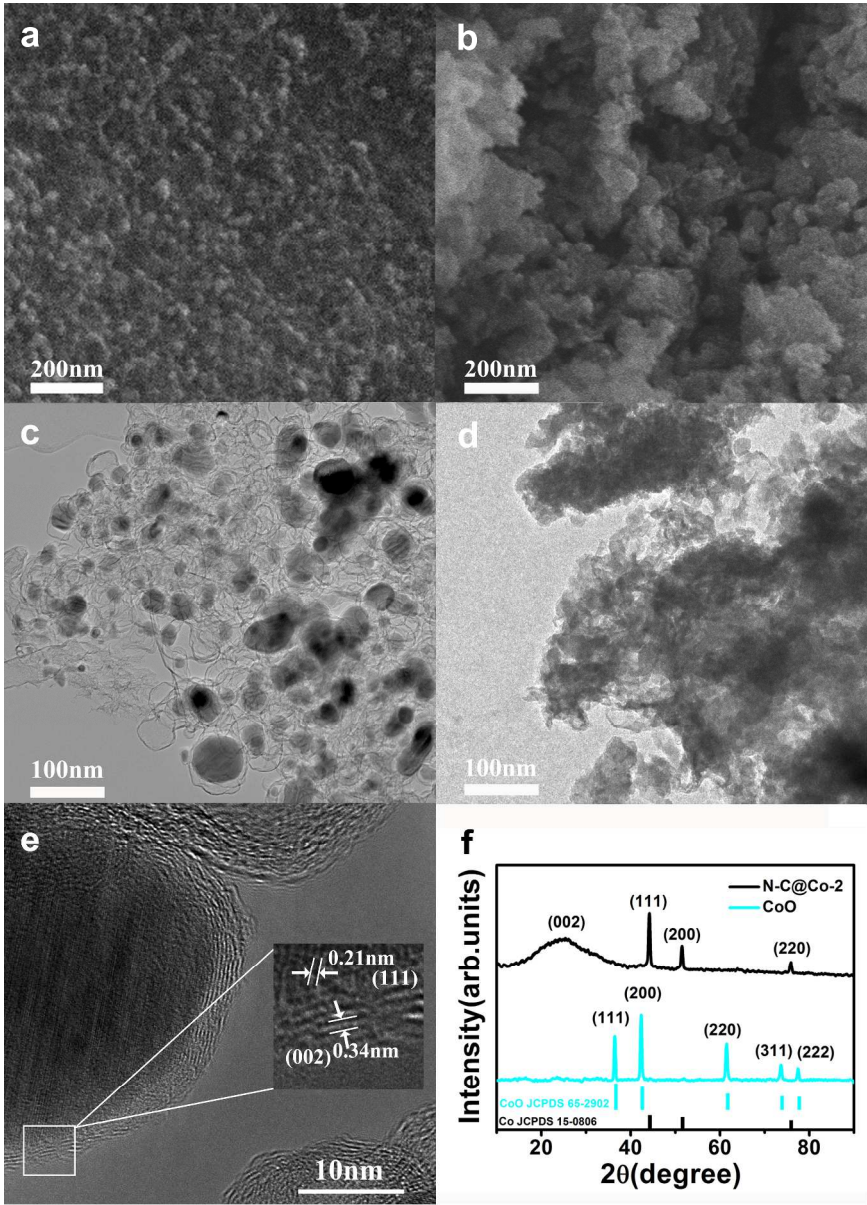


Fig.1 SEM images of N-C@Co-2 (a) and N-C (b). TEM images of N-C@Co-2 (c) and N-C (d). HRTEM image of an N-doped graphitic layers encased Co nanoparticle in N-C@Co-2 (e). The inset in (e) is the corresponding index crystal plane. XRD patterns of N-C@Co-2 and CoO (f).



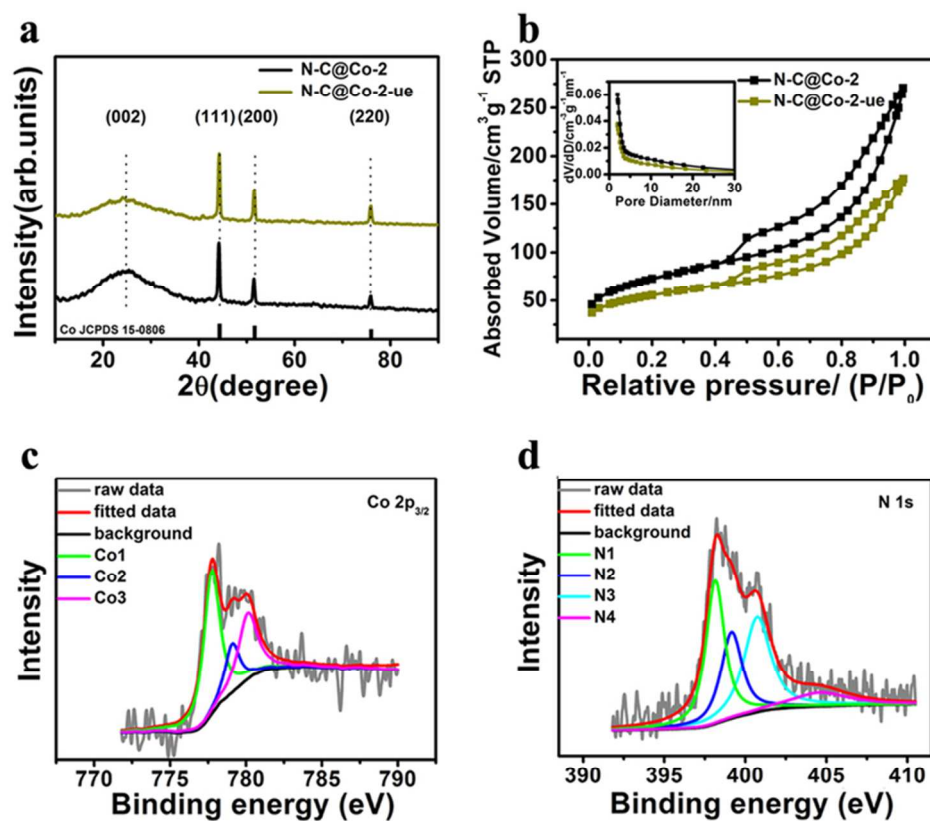


Fig.2 XRD patterns of N-C@Co-2 and N-C@Co-2-ue (a). Nitrogen adsorption-desorption isotherms of N-C@Co-2 and N-C@Co-2-ue (b). Inset: Pore size distributions of N-C@Co-2 and N-C@Co-2-ue. High-resolution XPS Co 2p<sub>3/2</sub> (c) and N 1s (d) spectra of N-C@Co-2.  
69x59mm (300 x 300 DPI)

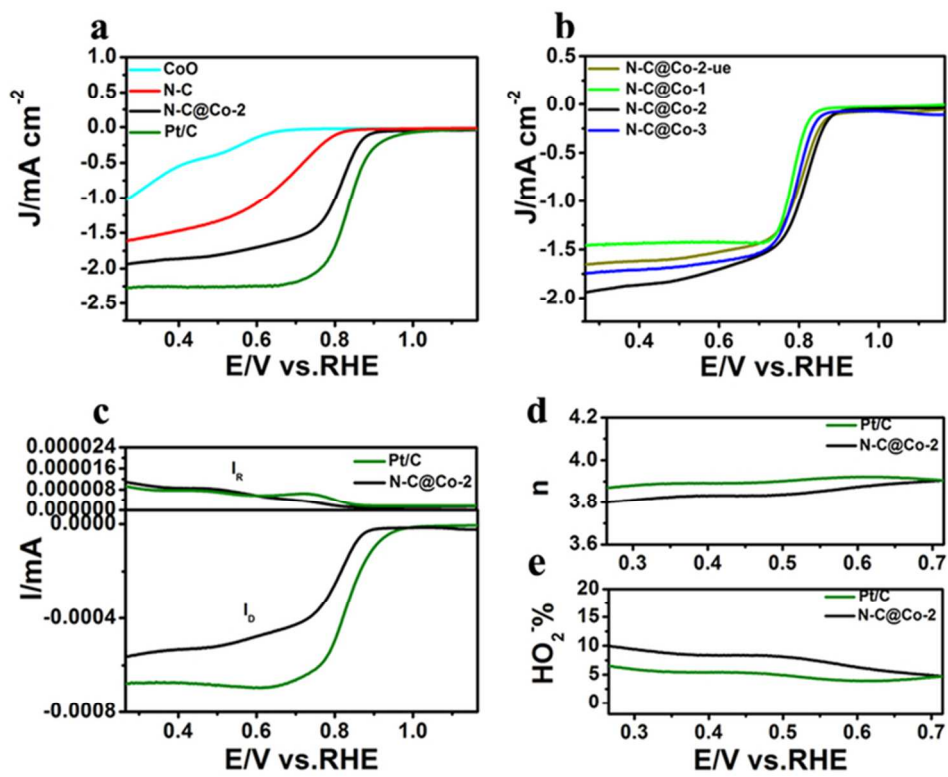
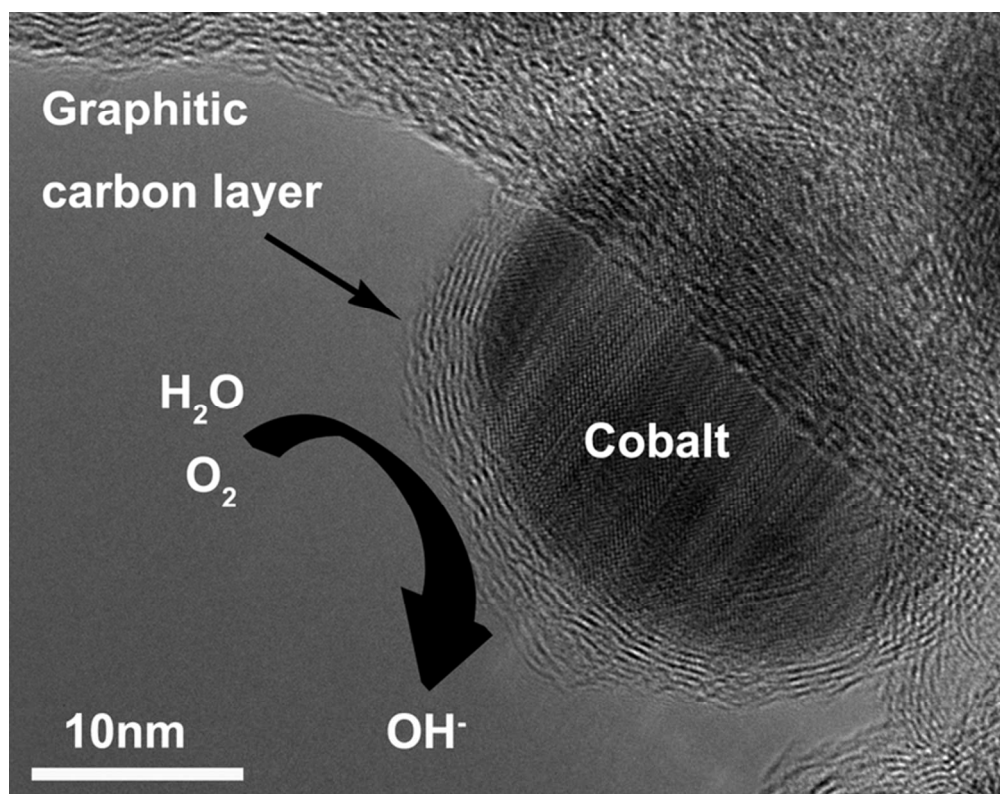


Fig.3 RDE LSV curves of CoO, N-C, N-C@Co-2 and Pt/C at scan rate of 5 mV s<sup>-1</sup> and a rotation speed of 625 rpm in O<sub>2</sub>-saturated 0.1 M KOH (a). RDE LSV curves of N-C@Co-2-ue, N-C@Co-1, N-C@Co-2 and N-C@Co-3 at scan rate of 5 mV s<sup>-1</sup> and a rotation speed of 625 rpm in O<sub>2</sub>-saturated 0.1 M KOH (b). The RRDE ring current and disk current of the N-C@Co-2 and Pt/C recorded in oxygen-saturated 0.1 M KOH at a scan rate of 5 mV s<sup>-1</sup> and a rotation rate of 900 rpm (c). The ring potential of Pt ring is constant at 1.465 V vs.RHE. The electron transfer number (d) and the HO<sub>2</sub><sup>-</sup> yield (e) of the N-C@Co-2 and Pt/C catalysts for the ORR in 0.1 M KOH.

64x51mm (300 x 300 DPI)



The ORR procedure on the N-C@Co-2 electrode.  
66x51mm (300 x 300 DPI)