

Nanoscale

Accepted Manuscript



This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this *Accepted Manuscript* with the edited and formatted *Advance Article* as soon as it is available.

You can find more information about *Accepted Manuscripts* in the [Information for Authors](#).

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard [Terms & Conditions](#) and the [Ethical guidelines](#) still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.

Cite this: DOI: 10.1039/c0xx00000x

www.rsc.org/xxxxxx

ARTICLE TYPE**Metallic oxide nanoparticle translocation across human bronchial epithelial barrier.****Isabelle George,^{a*} Grégoire Naudin,^b Sonja Boland,^a Stéphane Mornet,^c Vincent Contremoulins,^d Karine Beugnon,^e Laurent Martinon,^e Olivier Lambert,^b Armelle Baeza-Squiban,^a**^a: Univ Paris Diderot, Sorbonne Paris Cité, Unit of Functional and Adaptive Biology (BFA) (BFA) UMR 8251 CNRS, F-75205, Paris, France.^b: CBMN Architecture of membrane complexes and cellular processes – CBMN UMR CNRS 5248 University Bordeaux 1 - IPB Bâtiment B14 - Allée Geoffroy Saint-Hilaire 33600 PESSAC, France^c: Chemistry of Condensed Matter Institute of Bordeaux, UPR CNRS 9048, University Bordeaux 1, 87 Avenue du Docteur A. Schweitzer, F-33608 Pessac cedex, France^d: Jacques Monod Institute, CNRS, UMR 7592, University Paris Diderot, Sorbonne Paris Cité, ImagoSeine BioImaging Core Facility^e: Inhaled Particles Laboratory (LEPI), Paris City, 11, rue George Eastman 75013 Paris, France^{*}: Corresponding author – Isabelle George, Univ Paris Diderot, Sorbonne Paris Cité, Unit of Functional and Adaptive Biology (BFA) (BFA) UMR 8251 CNRS, F-75205, 5 rue Thomas Mann Paris, France – +331 57 27 83 67 – isabelle.george.rmex@gmail.com*Received (in XXX, XXX) Xth XXXXXXXXXX 20XX, Accepted Xth XXXXXXXXXX 20XX*

DOI: 10.1039/b000000x

Abstract

Inhalation is the most frequent route of unintentional exposure to nanoparticles (NPs). Our aim was to quantify the translocation of different metallic NPs across human bronchial epithelial cells and to determine the factors influencing this translocation. Calu-3 cells forming a tight epithelial barrier when grown onto a porous membrane in a two compartment chamber were exposed to fluorescently labelled NPs to quantify the NP translocation. NP translocation and uptake by cells were also studied by confocal and transmission electron microscopy. Translocation was characterized according to NP size (16, 50, or 100 nm), surface charge (negative or positive SiO₂), composition (SiO₂ or TiO₂), presence of proteins or phospholipids and during inflammatory context. Our results showed that NPs can translocate through the Calu-3 monolayer whatever their composition (SiO₂ or TiO₂) but this translocation was increased for the smallest and negatively charged NPs. Translocation was not associated with an alteration of the integrity of the epithelial monolayer suggesting a transcytosis of the internalized NPs. By modifying the NP corona, the ability of NPs to cross the epithelial barrier differed depending on their intrinsic properties, making positively charged NPs more prone to translocate. NP translocation can be amplified by using agents known to open tight junctions and to allow paracellular passage. NP translocation was also modulated when mimicking an inflammatory context frequently found in the lungs altering the epithelial integrity and induce transient tight junction opening. This in vitro evaluation of NP translocation could be extended to other inhaled NPs to predict their biodistribution.

Keywords

Silica Nanoparticles, Titanium Nanoparticles, Nanoparticle Uptake, Transcytosis, Nanoparticle Corona, Autophagy, Calu-3 cells.

Introduction

During last decades the widespread use of nanomaterials (NMs) in many fields such as cosmetics, electronics or textile industries raises questions about their potential adverse health effects¹. Nanoparticles (NPs) can enter human organism through different pathways such as lungs, digestive tract, or skin² and their unique properties are suspected to favour their ability to easily cross protective biological barriers. The respiratory tract, as an interface organ, is a major route of NP entry after involuntary exposure and NPs can deposit all along the airways in function of their physicochemical properties. Conducting airways, composed of a mucociliary epithelium, contribute to the particle clearance through a "mucociliary escalator". The mucus lining the epithelium helps to trap the particles present in the inhaled air and is expelled by the activity of ciliated cells^{2, 3}. Moreover the presence of a belt of tight junctions on the apical side of the cells prevents the passage of exogenous particles through the paracellular space and thus restricting their access to the interstitium^{4,6}. In the alveolar region, the most potent mechanism for solid particle clearance is mediated by alveolar macrophages through phagocytosis of deposited particles that is however less efficient for NPs^{2,3}.

Despite all these protective mechanisms *in vivo* studies have shown important lung retention. Previous studies have revealed that cerium⁷, gold⁸⁻¹⁰ or iridium¹¹ NPs could be trapped inside rats or mice lungs. This NP pulmonary retention is associated with NP translocation to the systemic circulation followed by a bio-distribution into different organs^{7, 10, 12, 13}. *In vivo* studies having provided evidence of translocation, *in vitro* models of biological barriers are needed to characterize the NP determinants favouring their capacity to go through protective epithelium and to determine the underlying mechanisms. Numerous *in vitro* studies investigated the mechanisms of NP internalization and determined that NPs could enter cells by active transport *via* macropinocytosis^{14, 15}, caveolae-mediated processes^{16, 17}, or clathrin-dependant pathways¹⁸⁻²⁰. However, very few *in vitro* studies questioned the mechanism of NP translocation through the pulmonary barrier.

The physicochemical characteristics of NPs involved in their fate are not yet clearly identified. In addition to their intrinsic features such as shape, size, composition, or surface charges, the biological medium encountered by the NPs could influence their behaviour and interaction with cells due to modifications of their agglomeration and the formation of a corona^{21, 22}. In the respiratory tract, this corona could include proteins of the mucus layer and of the epithelial lining fluids and molecules of the alveolar surfactant^{23, 24}. In the context of respiratory diseases, translocation could be increased. People suffering of chronic inflammatory diseases such as asthma or COPD (Chronic obstructive pulmonary disease) could be more susceptible to NP penetration.

In this study our aim was the characterization and the quantification of the translocation of NPs across an *in vitro* model of pulmonary barrier according to the physicochemical properties of the NPs and the integrity of the bronchial epithelium. For this purpose, we used different metal oxide NPs: SiO₂-NPs of three different sizes (16- 50- 100 nm) with negative or positive surface charges, and TiO₂-coated SiO₂-NPs with a size of 140 nm. These

NPs were fluorescently labelled for facilitating their detection after cellular uptake or to follow their translocation^{16, 25, 26}. We performed our experiments with the Calu-3 cell line seeded onto a Transwell Filter (TF) because of their *in vitro* abilities to develop a confluent and a tight monolayer²⁷⁻³⁰. Qualitative experiments by confocal and Transmission Electron Microscopy (TEM) were carried out to determine NP internalization by Calu-3 monolayers. Furthermore fluorescence measurements of media inside the apical and basolateral chambers allowed the reliable quantification of NP translocation across Calu-3 epithelium. NP translocation was compared according to their size, charge and composition as well as treatment conditions (presence or absence of foetal calf serum (FCS) or dipalmitoyl lecithin (DPL) which have been suspected to form a protein or lipid corona respectively). To mimic inflammatory pathological conditions, the epithelial monolayer was previously exposed to the pro-inflammatory mediator Tumor Necrosis Factor-alpha (TNF- α) or to lipopolysaccharide (LPS) able to stimulate an inflammatory response.

Briefly, we have demonstrated a NP translocation based on NP physicochemical characteristics without cytotoxicity. NP translocation followed NP uptake by Calu-3 cells which highlights transcytosis mechanisms. NP translocation could be modulated by the formation of a protein or lipid corona. Mimicking pathological contexts frequently observed in the lungs, we noted a paracellular NP translocation in addition to the transcytosis pathway.

Results and Discussion

NP Characterization

NP synthesis process was validated by Transmission Electron Microscopy (TEM) observations (Supporting Information S1A) showing the expected NP sizes and by Diffuse Reflectance Infrared Fourier Transform spectroscopy (DRIFT) (Supporting Information S2A). The different NPs were compared for their isoelectric point by laser Doppler interferometry showing the same surface features for fluorescent and non fluorescent NPs (IEP, Supporting Information S2B). Evaluation of the ratio between Si and Ti elements measured by ICP-OES for the TiO₂-coated SiO₂-NPs of 140 nm size allowed to confirm the estimated 2 nm thickness of the TiO₂ (Supporting Information S2C).

Characterization of NPs suspended in DMEM/F-12 medium at 5 $\mu\text{g}/\text{cm}^2$ was performed by measurements of Dynamic Light Scattering (DLS) and zeta Potential (Pz) (Supporting Information S1B). These experiments have shown small agglomerates and for all NPs. We could note that SiO₂-FITC-NPs⁺ have formed larger agglomerates than SiO₂-FITC-NPs. Furthermore a negative surface charge in DMEM/F12 culture medium was observed for each batch of NPs. This low absolute charge could explain the colloidal instability. It was previously described for polymer NPs of different surface charges³¹.

Calu-3 monolayer characterization

We chose to use Calu-3 cell line as these cells were able to form a confluent (Figure 1A) and tight epithelium after 14 days of growth on a TF of 3 μm porosity. Liquid covered culture (LCC) were chosen for this study as they develop tighter junctions and a less permeable cell layer compared to air-liquid interface (ALI) cultures³² and because exposure was performed with NPs in liquid phase. These cells kept their capacities to secrete mucus^{33, 34}, and more specifically the MUC5AC mucin (Figure 1B). The immunodetection of zonula-occludens 1 (ZO-1) and claudin-4 (CLD-4) proteins (Figures 1C & 1D) known for their binding to tight junctions, and labelling of the actin filaments (Figure 1E) revealed the formation of a tight and confluent epithelium. Moreover we have previously demonstrated that Calu-3 cells developed a strong trans-epithelial electric resistance (TEER) of 1,200 mV after 14 days of culture when the passage of a fluorescent marker, the Lucifer Yellow (452 Da), was prevented²⁸. Our results are in accordance with those of Florea and collaborators obtained for Calu-3 cells seeded onto a 0.4 μm pore TF³⁵. Altogether these characteristics make Calu-3 cells as an appropriated cell line to study NP translocation across a pulmonary epithelium^{27, 28, 36, 37} by comparison with other human pulmonary cell lines such as NCI-H292 and A549 cells that did not form a tight epithelium^{28, 38-40}.

NP exposure did not alter the epithelial monolayer viability and integrity

As determined by the WST-1 metabolic cytotoxicity assay, NPs were not cytotoxic for Calu-3 cells exposed to 16- 50- 100 nm-SiO₂-FITC-NPs or 140 nm-TiO₂-coated SiO₂-FITC-NPs until 50 $\mu\text{g}/\text{cm}^2$ for 24 h (Supporting Figure S3A). The absence of SiO₂-NP cytotoxicity has previously been shown for several cell types such as fibroblasts, but for other cells like macrophages SiO₂-NPs have induced an important toxicity but only at very high concentrations up to 1000 $\mu\text{g}/\text{mL}$ ^{41, 42}. This discrepancy could be attributed to SiO₂-NP characteristics or to the cellular sensitivity.

In our study we limited NP treatments to 5 and 10 $\mu\text{g}/\text{cm}^2$ to ensure complete absence of cytotoxicity. Moreover at these concentrations no or a low pro-inflammatory response characterized by IL-8 release was observed after NP treatment (Supporting Figure S3B). NP fluorescence was easily detected, and it could be considered as non excessive exposure concentrations. In addition we have evaluated whether NP treatment could alter epithelial barrier integrity by measuring TEER values before and after 24 h of NP treatment. After 24 h of exposure at 5 and 10 $\mu\text{g}/\text{cm}^2$ we observed an increase of TEER. This result indicated that NPs did not alter the integrity of tight junctions and suggested that NPs could not cross the cellular monolayer through the paracellular pathway (Supporting Figure S4A).

NP uptake into the epithelial monolayer

To support the hypothesis of a NP transcytosis, we assessed NP uptake by Calu-3 cells (Figure 2A). Confocal microscopy

observations allowed demonstrating NP internalization as well as that NP uptake did not modify actin organization and ZO-1 distribution to tight junctions. Orthogonal views clearly demonstrated that NPs were located inside the cellular monolayer and very few NPs adsorbed on the top of the cells. The pictures revealed a heterogeneous NP distribution and NP aggregates inside the cellular monolayer whatever the NP size.

It has been known that NPs could be internalized by cells through different mechanisms among which clathrin-mediated or caveolae-mediated endocytosis^{20, 43, 44}. Despite NP endocytosis in Calu-3 cells is very poorly documented, a study has shown that Calu-3 cells exposed to amphiphilic glycopolymer NPs could internalize NPs by clathrin-mediated endocytosis or lipid raft/caveolae-mediated endocytosis but not by macropinocytosis⁴⁵. Other NP types have been shown to be internalized by Calu-3 cells as CeO₂-NPs in function of their effective density and so their sedimentation speed²⁹ or PLGA (poly(lactide-co-glycolide)) NPs coated by PVA (poly(vinyl alcohol)) or poloxamer F68^{46, 47}.

TEM observations revealed individualized 50 nm-SiO₂-FITC-NPs at the apical and basolateral sides of the cell (Figure 2B) whereas no similar signal was observed for non-treated cells control (Figure 2B). Interestingly no NPs have been found inside cell nuclei in TEM observations by contrast of confocal microscopy results obtained in a previous study performed on human oral buccal mucosa cells treated with SiO₂-NPs⁹⁴. NPs were visible inside large double membrane vesicles containing membrane or organelle fragments (Figure 2B) that could be specific of a lysosome-based degradative pathway, the autophagy⁴⁸. Recently several studies established that some NPs such as gold NPs^{49, 50}, fullerenes^{51, 52} or quantum dots^{53, 54} could induce autophagy and thus NPs may become a novel class of autophagy activators^{50, 55}. Immunolabelling with anti-LC3-II to specifically identify autophagosomes was performed on Calu-3 treated with 16 nm-SiO₂-FITC-NPs at 5 $\mu\text{g}/\text{cm}^2$ for 24 h (Figure 3). Cells exhibited a LC3-II-autophagosome accumulation after treatment compared to controls (data not shown) that could be due either to the increase production or blocking of the degradation of autophagosome. Moreover Pearson coefficient (PC) calculation provided evidence of a co-localisation of NPs inside autophagosomes (Figure 3). Although a low NP signal was detected, PC reflects the difference between the fluorescence level of NPs and LC3-II staining and could not be due to background noise⁹³.

NP translocation across Calu-3 epithelium

To determine if NPs could translocate depending on their size, Calu-3 cells were treated for 24 h with 16- 50- 100 nm-SiO₂-FITC-NPs at 5 and 10 $\mu\text{g}/\text{cm}^2$. By measuring fluorescence in the apical and basolateral compartments, NP concentrations were determined using a standard curve and compared to the initial applied concentration. NP translocation across TF in the absence of cells was first of all determined to ensure that 3 μm -porosity filters were not a limitation to our experiments (Supporting Figure S5).

Regardless NP size, fluorescence can be detected inside the

basolateral compartment suggesting that a NP translocation occurred for all NPs (Figure 4A). However the rate of NP translocation was lower after treatment at 10 $\mu\text{g}/\text{cm}^2$ than that after treatment at 5 $\mu\text{g}/\text{cm}^2$; for instance 16 nm-SiO₂-FITC-NP translocation was determined at 17.9 % and 8.6 % corresponding to 0.89 $\mu\text{g}/\text{cm}^2$ or 0.86 $\mu\text{g}/\text{cm}^2$ (respectively for initial concentration at 5 and 10 $\mu\text{g}/\text{cm}^2$). NP translocation tended to be more important for the smaller NPs at low concentration. For example, after initial NP treatment at 5 $\mu\text{g}/\text{cm}^2$ we determined a NP translocation of 17.9 % / 14.4 % / 12.1 % respectively for 16 / 50 / 100 nm-SiO₂-FITC-NPs. Low levels of fluorescence were revealed in apical compartments suggesting a low retention unlike the very high retention inside the cellular & filter compartment. While the NP exposure was generally limited to 24 h, we made observations that NPs could be retained inside the cellular monolayer still 1 week after treatment (Supporting Figure S4B).

Several studies underlined that one disadvantage of fluorescently labelled NPs could be the potential leakage of the fluorochrome from the NPs^{56, 57}. To ascertain that the fluorescence measurement in the basolateral side was really related to NP translocation and not to a leakage of fluorescence, two control experiments were performed. Initial NP suspension, control basolateral media, and basolateral media recovered after exposure to 50 nm-SiO₂-FITC-NPs were observed by TEM and analyzed by X-ray (Figure 3B). TEM observation of initial and basolateral suspensions revealed the presence of 50 nm-SiO₂-FITC-NPs while no NPs were detected inside the control media. X-ray analysis attested the absence of silicon (but a calcium phosphate peak) in control media whereas a peak of SiO₂ was detected in initial and basolateral suspensions. These results provided evidence that NPs have translocated across Calu-3 epithelium. Moreover these basolateral media were used to expose another cell line, NCI-H292 cells for 6 h showing fluorescent aggregates not observed in cells exposed to free FITC (Supporting Figure S6) confirming NP translocation.

40 NP translocation was dependent on NP surface charges

To study the impact of surface charge of the NPs on the cellular uptake and translocation, SiO₂-FITC NPs were synthesized with a positive surface charge (SiO₂-FITC-NPs⁺) and compared with SiO₂-FITC-NPs. Confocal microscopy observations demonstrated that these positive NP were taken up without modifying actin filaments and ZO-1 distribution to tight junctions, as already observed for negatively charged SiO₂-FITC-NPs (Figure 2A). SiO₂-FITC-NPs⁺ exhibited a heterogeneous distribution within the cellular monolayer that was more diffuse and seemed more important (Figure 5A) than for NPs with negative surface charge (Figure 2A). Many *in vivo* studies provided evidence that positively charged NPs were absorbed more efficiently than negatively or neutrally charged NPs^{58, 59} that led to a better blood clearance and a higher accumulation in the lung and the liver⁶⁰. Enhancement by positive surface charge of cellular uptake has been yet demonstrated for selenium NPs⁶¹, gold NPs⁶², or iron NPs⁶³.

⁶⁴ compared to the respective anionic ones. Cellular uptake mechanisms were also governed by NP surface charge as reported in the overview presented by Fröhlich and collaborators⁶⁵.

Comparison between SiO₂-FITC-NPs and SiO₂-FITC-NPs⁺ revealed that the translocation of NPs with a negative surface charge was the most important (Figure 5B). The low translocation of SiO₂-FITC-NPs⁺ was likely not related to a low internalization. Flow cytometry analysis demonstrated that NPs were taken up by Calu-3 cells to the same amount (Supporting Figure S7). These results were only based on NP internalisation without taking into account NP adsorption onto the cellular membrane thanks to the addition of trypan blue during flow cytometry measurements. Moreover, a time-course study revealed that after 4 h of exposure translocation of SiO₂-FITC-NPs was already important whereas SiO₂-FITC-NPs⁺ translocation seemed delayed. The results also show that SiO₂ NPs crossed increasingly over time whatever the NP size or surface charge (Figure 5B). Quantification of SiO₂-FITC-NPs⁺ translocation across the Calu-3 epithelium revealed that 16 nm-SiO₂-FITC-NPs⁺ translocated more easily than larger SiO₂-FITC-NPs⁺.

⁸⁰ Besides the influence of NP size on NP translocation, several studies underlined the fact that the negative or positive surface charge of the NP could modulate their translocation across the air-blood-barrier³. This could be explained by the modification of NP speed and extent of agglomeration due to the NP attraction or repulsion. NPs with the same surface charge repel each other, while neutral NPs tend to more agglomerate⁶⁶. *In vivo* studies went further and elucidated NP passage across the lung barrier in function of their surface charge. Quantification of translocation in rat models of NIR fluorescent NPs which varied in surface charge (zwitterionic, polar, anionic or cationic) revealed that negatively charged NPs could easily translocate¹².

NP translocation was dependent on NP composition

⁹⁵ In order to determine whether NP composition mattered, the translocation of 140 nm-TiO₂-coated SiO₂-FITC-NPs was compared to 100 nm-SiO₂ and SiO₂⁺-FITC-NPs. As for SiO₂⁺-FITC-NPs, TiO₂-coated SiO₂-FITC-NPs were shown to be internalized without altering actin network and ZO-1 distribution to tight junctions and also exhibited heterogeneous distribution of large aggregates (Figure 6A).

Again we observed that the efficiency of NP translocation was higher for the lower initial NP concentration. 140 nm-TiO₂-coated SiO₂-FITC-NPs translocated better than 100 nm-SiO₂-FITC-NPs⁺ and less than 100 nm-SiO₂-FITC-NPs. This ranking is in accordance with the NP iso-electric point (IEP), $\text{IEP}_{(\text{SiO}_2)} < \text{IEP}_{(\text{TiO}_2\text{-coated SiO}_2)} < \text{IEP}_{(\text{SiO}_2^+)}$ (Supporting Figure S2A), which could play a role in the NP retention inside the cell after internalization. Moreover, at physiologic pH SiO₂-FITC-NPs and TiO₂-coated SiO₂-FITC-NPs were both negatively charged but TiO₂-coated SiO₂-FITC-NP translocation was less important than those of SiO₂-FITC-NPs.

Quantification of translocation in rat models of NIR fluorescent

NPs revealed no difference in translocation either for organic or inorganic NPs¹² of the same size and charge. *In vitro* studies performed on the intestinal barrier using the Caco-2 cell line seeded onto TF have demonstrated that NP translocation could be modulated by the NP composition. Indeed recent studies have shown that translocation of gold⁶⁷ or 12 nm-anatase titanium NPs⁶⁸ across the gut epithelium varied in function of their physico-chemical characteristics.

10 Protein and lipid corona increased translocation of NPs with positive surface charge

NPs were rapidly covered with a corona when they interact with physiological fluids²². This phenomenon could modulate NP – cell interactions and induce changes in cell responses²¹.

15 To investigate whether the presence of a protein corona could modify NP translocation, cells were treated with 16- 50- 100 nm-SiO₂- or SiO₂-FITC-NPs⁺ at 5 µg/cm² for 24 h in the presence or absence of FCS (2%). Confocal microscopy observation revealed no modification of the actin network or changes in ZO-1 distribution (data not shown). The uptake of 20 50 nm-SiO₂-FITC-NPs seemed reduced after FCS treatment and their distribution was more diffused inside the cellular monolayer (Figure 7A) compared to cells treated with NPs in absence of FCS. After FCS treatment, SiO₂-FITC-NP translocation decreased while SiO₂-FITC-NPs⁺ translocation increased (Figure 7A). This was in contrast with results obtained in absence of Calu-3 monolayer when we observed NP translocation across TF after co-exposure with FCS (Supporting Figure S5). SiO₂-FITC-NP translocation was enhanced by FCS by a factor of 3 whereas SiO₂-FITC-NP⁺ translocation was not modified. Altogether these data suggest that the nature of the protein corona is quite different depending on the initial surface properties of NPs and that greatly contributes to modify the fate of NPs.

35 Different proteins could form the corona such as plasma proteins^{69, 70} or mucus proteins²³. NP interaction with secreted mucus of Calu-3 cells has shown to decrease the penetration of positively and neutrally charged PLGA-NPs, while PLGA-NPs coated with a hydrophilic compound (PF68) could diffuse more easily across the mucus barrier⁴⁶. While protein corona formation is possible for any NPs, Lesniak *et al.* provided evidence that the formation of a protein corona around silica NPs could alter their level of uptake and intracellular fate of NPs in A549 cells²¹. Impacts were dependent on surface properties and NP size⁷⁰. The uptake was also NP composition-dependent and for instance the mechanisms involved were different for polystyrene spheres⁷¹, gold NPs⁷², silica NPs⁷³, titanium NPs^{74, 75} or carbon nanotubes⁷⁶.

50 To determine whether the presence of a lipid corona could modify NP translocation, cells were treated with 16- 50- 100 nm-SiO₂- or SiO₂-FITC-NPs⁺ at 5 µg/cm² for 24 h in the presence or absence of DPL (0.004%), a pulmonary synthetic surfactant phospholipid. Confocal microscopy observation revealed no modification of the actin network or changes in ZO-1 distribution (data not shown). We note the formation of large aggregates of 50 nm-SiO₂-FITC-NPs within Calu-3

epithelium in the presence of DPL (Figure 7B). During co-treatment with DPL, SiO₂-FITC-NP translocation was not significantly modified while SiO₂-FITC-NP⁺ translocation greatly increased (Figure 7B). However these results were in contrast with those obtained in absence of cells (Supporting Figure S5). SiO₂-FITC-NP translocation was enhanced by DPL by a factor of 10 whereas DPL exposure did not modulate SiO₂-FITC-NP⁺ translocation.

65 It has been previously reported that NPs could interact with the pulmonary surfactant. These interactions were governed by NP physicochemical properties. Several studies using alveolar macrophages have shown an increase of NP uptake in presence of surfactant²⁴ potentially mediated by the surfactant protein A⁷⁷. The surfactant protein D could also play an important role in NP uptake because of their co-localization in A549 cells²⁴. Moreover, the physiological functions of lung surfactant could also be modified after NP exposure. A recent study has provided evidences that hydrophilic NPs could more easily translocate through the surfactant film compared to hydrophobic NPs⁷⁸. It was also demonstrated that gold NP⁷⁹ or TiO₂-NPs⁸⁰ could impede the structure and function of the lung surfactant according to NP size or surface area.

Inflammatory context enhanced NP translocation

The lung is a site of frequent inflammations related to the exposure to particles, toxins or pathogens and can show chronic inflammation⁶⁶ in case of pulmonary diseases like asthma or COPD.

85 It is well establish that the Calu-3 cell line express the TLR-4 (Toll like receptor-4)⁸¹ proteins involved in the pro-inflammatory response, in order to protect the airway epithelium from endotoxins. To investigate whether NP translocation could be modulated by pathological conditions, and more particularly by an inflammatory context, the Calu-3 monolayer was pre-treated for 4 h with TNF-α (1 ng/mL), a pro-inflammatory mediator, or to LPS (25 ng/mL), an endotoxin known as a strong inducer of inflammation by the activation of TLR-4. Thereafter cells were exposed to 16- 50- 100 nm-SiO₂ or SiO₂⁺-FITC-NPs or 140 nm-TiO₂-coated SiO₂-FITC-NPs at 5 µg/cm² for 24 h. TNF-α as well as LPS-exposure clearly induced a decrease of TEER (loss of 32% and 36% respectively), an increase of Lucifer Yellow passage (by a factor of 4 and 3 respectively) after the end of a 4 h-exposure (Figure 8A & 8C), and alterations of ZO-1 distribution (Figure 8B) which was not exacerbated by NP treatment. These results underlined TNF-α and LPS abilities to alter tight junctions. It confirms the observations of Hermanns and collaborators showing the ability of TNF-α to open tight junction in an *in vitro* alveolar-capillary barrier⁸².

100 Quantification of the basolateral distribution of NPs after TNF-α pre-exposure has shown that 50- 100 nm-SiO₂⁺ and 140 nm-TiO₂-coated SiO₂-FITC-NP translocation was increased by a factor of 2.6 / 2 / and 1.8 respectively. However, NP translocation was not modified for SiO₂-FITC-NPs and 16 nm-SiO₂⁺-FITC-NPs. In addition, LPS pre-exposure improved 16- 50- 100 nm-SiO₂⁺ and 140 nm-TiO₂-coated

SiO₂-FITC-NP translocation by a factor of 1.7 / 4.5 / 4.8 / and 3.7 respectively, but had no effect on SiO₂-FITC-NP translocation. These results allowed us to hypothesize that NP translocation could be enhanced by a pro-inflammatory context.

In vivo experiments have demonstrated that NP biodistribution was altered in presence of LPS. Oropharyngeal aspiration of gold NPs has resulted in high retention of NPs in the lung treated with LPS, but in the absence of LPS the NPs were observed in the spleen⁸³. Tight junction opening and pro-inflammatory response induced by LPS exposure could explain the fact that NPs have translocated in a greater amount compared to NP-treated Calu-3 cells without LPS-pretreatment.

Mechanisms of NP translocation

We demonstrated the translocation of the different NPs with an efficacy depending on their own properties. This raises the question of the mechanism involved in their passage. The observation of an internalisation, the absence of cytotoxicity, and the stability of the TEER, suggest a transcellular passage. By contrast, mimicking an inflammatory context alters the epithelial integrity and an increased translocation is observed for some NPs that could be related to a paracellular transport as the TEER is transiently reduced. To ascertain that the opening of tight junctions could increase NP translocation through the paracellular route, EGTA and CS (produced by deacetylation of chitin) were used for their ability to open tight junctions^{5, 6, 84, 85}. Calu-3 cells were pre-exposed for 1 h to EGTA (20 mM)⁸⁶ and CS (0.5 mg/mL)⁸⁷ and then treated with 16- 50- 100 nm-SiO₂-FITC-NPs at 5 µg/cm² for 24 h. NP translocation significantly increased after EGTA and CS treatment (Figure 9A & 9B). For both compounds, NP translocation was modulated in a size-dependent manner. 16 nm-SiO₂-FITC-NPs NP translocation was enhanced by 48% and 45%, 50 nm-SiO₂-FITC-NPs NP translocation by 33% and 18%, 100 nm-SiO₂-FITC-NPs NP translocation by 72% and 69% by EGTA and CS pre-exposure respectively. NP translocation was more important for larger NPs. Altogether these results demonstrate that the translocation of SiO₂-FITC-NPs can occur by the paracellular route. A previous study provided evidence that NPs could pass through cellular barrier. They demonstrate that TiO₂-NPs could interact with the junctional VE-cadherin protein of endothelial cells by physical interaction⁸⁸.

Interestingly NP translocation was more important in presence of the Calu-3 monolayer compared to NP translocation across the TF in absence of cells. These data confirm that SiO₂-FITC-NP passage to the basolateral side was facilitated by the modification of NP surface during cellular interaction. The mechanism involved in the transcytosis is still an open and unsolved question and the role of the cytoskeleton has to be addressed as it has been shown that NPs can bind and disturb microtubule cytoskeleton⁹⁵. We consider that the intracellular trafficking of internalized NPs is likely different according to the NP type as we have seen for positive SiO₂-FITC-NPs showing a similar amount of NP internalized but with a less

effective mechanism of translocation.

Conclusion

In conclusion our results have shown that NPs can translocate through the Calu-3 monolayer whatever their composition (SiO₂ or TiO₂) but this translocation is increased for the smallest and negatively charged NPs. The translocation is not associated with an alteration of the integrity of the epithelial monolayer suggesting a transcytosis of the internalized NP. By modifying the NP corona, the ability of NPs to cross the epithelial barrier differs depending on their intrinsic properties, making positively charged NPs more prone to translocate. NP translocation can be amplified by using agents known to open tight junctions and to allow paracellular passage. The translocation of NPs was also modulated when mimicking an inflammatory context, frequently found in the lungs that alter the epithelial integrity and induce transient tight junction opening. These results were promising to supply PBPK models with NP absorption data.

Methods

Nanoparticles Synthesis

Size-controlled fluorescent silica nanoparticles (NPs) production SiO₂-NPs of three size classes, 16, 50 and 100 nm were produced and covalently fluorescently labelled for this study by incorporation of fluorescein inside the silica NP according to a procedure modified from van Blaaderen et al.⁸⁹. These NPs were named SiO₂-FITC-NPs. For more detail please refer to supporting information (Supporting Figure S1A).

Chemical surface modification of SiO₂-FITC-NPs by EDPS

Surface modification of SiO₂-FITC-NPs were carried out by adding in the medium an excess of 10 equivalents of N-(trimethoxysilylpropyl)ethylenediamine (EDPS), sufficient to provide 2-3 monolayer coatings of the SiO₂-FITC-NPs. These NPs were named SiO₂-FITC-NPs⁺. For more detail please refer to supporting information (Supporting Figure S1A).

Production of TiO₂-coated fluorescent silica nanoparticles

This TiO₂ coating was performed according to a modified procedure from Imhof used to coat a TiO₂ layer on poly(styrene) nanospheres^{90, 91}. These NPs were named TiO₂-coated SiO₂-FITC-NPs. For more detail please refer to supporting information (Supporting Figure S1A).

Initial NP suspensions were observed by TEM (Tecnai 12 microscope) at 80 kV with a CCD camera (Si 1Kx1K Keen View), by drop depositing and drying onto TEM grid (Supporting Figure S1A).

NP Characterization

NP suspensions in DMEM/F-12 medium diluted at 5 µg/cm² (10 µg/mL) were characterized by DLS in intensity and zeta potential measurements (Zetasizer, nano ZS, Malvern Instruments, USA). Additional NP characterization methods are described in supporting information.

Cell culture

Human epithelial cell lines

Human lung adenocarcinoma cells (Calu-3) were purchased from the American Type Culture Collection (ATCC, Sigma-Aldrich) and grown in DMEM/F12 (Dulbecco's Modified Eagle Medium) culture medium with phenol red (Life Technologies, Saint Aubin, France), containing 1% glutaMAX (Life Technologies) and 10% foetal calf serum (FCS, Life Technologies), 1% penicillin-streptomycin (PS, Life Technologies), and 0.5% amphotericin B (Life Technologies), subsequently referred to as complete cell culture medium. All experiments were performed with these cells from passages 25 to 33. Cells were grown in T75-flasks (Costar, Corning, New York, USA) for cellular expansion and seeded at 500,000 cells/cm²^{28, 30, 35, 92} onto 3 µm pore size polycarbonate Transwell Filters (TF, Costar) in two compartment chambers (12 mm in diameter) for translocation experiments. 500 µL of complete culture medium were added in the apical chamber and 1,500 µL in the basolateral chamber.

Calu-3 translocation Studies

Without modification of cellular environment

After 14 days of culture, TF-confluent Calu-3 cultures were rinsed with PBS to eliminate trace amounts of FCS. NP stock solutions were shortly vortexed before making final dilutions at 5 and 10 µg/cm² (corresponding respectively to 10 µg/mL and 20 µg/mL) in cell culture medium without phenol red, without glutaMAX and without FCS. Cells were apically treated with NPs for 4 or 24 h. After treatments, apical and basolateral media were removed and kept for fluorescence measurements, Transmission Electron Microscopy (TEM) or Enzyme-Linked ImmunoSorbent Assay (ELISA). Cells were rinsed two times with PBS and fixed for TEM and Confocal Microscopy observations.

With alterations of cellular environment

Calu-3 cells were treated with NPs ± FCS (Life Technologies) or NPs ± DPL (Sigma Aldrich) respectively at 2 % and 0.004 % final in culture medium for 24 h. Pro-inflammatory context was induced by a 4 h-exposure to 2 different pro-inflammatory mediators such as Tumor Necrosis Factor alpha (TNF-α, 1 ng/mL final in culture medium, R&D Systems, Abington, UK), or a strong inducer of inflammation, the lipopolysaccharide (LPS, 25 ng/mL final in culture medium, Enzo, Life Science, Villeurbanne, France). In some experiments the epithelial monolayer was altered by a 1 h-pre-treatment with Ethylene Glycol Tetraacetic Acid (EGTA) at 20 mM, a Ca²⁺ chelator inducing tight junction opening, or Chitosan at 0.5 mg/mL known to open tight junctions. After all pre-treatments, mediators were removed, cells were rinsed two times with PBS and then treated for 24 h by NPs.

After NP treatments apical and basolateral media were removed, cells were rinsed two times with PBS and fixed for Confocal Microscopy experiments. To ascertain tight junction permeability LY passage was measured, cells were rinsed with PBS (Life Technologies, supplemented with Ca²⁺ and Mg²⁺) and apically incubated for 1 h at 37°C with 500 µL of

LY (0.2 µg/mL final in PBS) before quantification of LY in the apical and basal compartment by spectrophotometric analysis³⁰.

Quantification of NP translocation

Serial dilutions of NPs were performed (in a concentration range from 0 to 10 µg/cm²) in culture media to establish a standard curve. After 24 h of treatment, 100 µL of each apical and basolateral media as well as the serial NP dilutions were deposited inside a white 96-well plate with clear bottom (Greiner, Courtaboeuf, France). FITC fluorescence (488 nm / 521 nm) coupled to NPs was quantified using FluoStar Galaxy (BMG, Ortenberg, Germany). Fluorescence background value (medium without NPs) was subtracted from sample fluorescence. Fluorescence values were converted in final NP concentrations in each compartment by using a standard curve, and then in percentage of the initial NP concentration. For the "filter" and "cells/filter" compartments, values were determined by subtraction of the apical and basolateral media from the initial NP concentration applied.

Immunolabelling and Confocal Microscopy observations

After NP treatment cells were fixed using 4% paraformaldehyde in PBS (Santa-Cruz, Heidelberg, Germany) during 20 min at RT, then incubated with NH₄Cl (50 mM, Sigma-Aldrich) for 10 min and permeabilized in 0.05% Tween 20 (Sigma-Aldrich) in PBS for 5 min. Fixed cells were incubated overnight at 4°C with human microtubule-associated protein 1A/1B-light chain 3 (LC3-II) polyclonal primary antibody (Abcam, Paris, France) diluted to 1:200 produced in rabbit; human Claudin-4 (CLD-4) polyclonal primary antibodies (Santa Cruz Biotechnology, Heidelberg, Germany) diluted to 1:100 produced in goat; human MUC5AC monoclonal primary antibodies (Life Technologies) diluted to 1:100 produced in mouse or with human ZO-1 polyclonal primary antibody (Sigma Aldrich) diluted to 1:500 produced in rabbit. This incubation was followed by the incubation with secondary antibodies stained with Alexa 488 or 568 fluorochrome diluted to 1:500 (Life Technologies) for 2 h at RT. For actin filaments staining, fixed cells were incubated for 40 min with rhodamin-phalloidin (final concentration at 0.9 nM in PBS, Life Technologies). Cell nuclei were stained for 2 min with DAPI (4',6-Diamidino-2-Phenylindole, Dihydrochloride, final concentration at 0.25 µg/mL in PBS, Life Technologies). Cells were examined under a Zeiss LSM710 confocal microscope using objective 63X with a 1.5 zoom after mounting in Polyvinyl alcohol mounting medium with DABCO (Sigma-Aldrich). Considering optical laws the theoretical resolution was calculated and instrument settings adapted to obtain the best possible resolution in our images. Image treatment and co-localisation study were done with Image J software (Image J 1.42 NIH, USA) by addition of LOCI and Jacol plugins respectively. Imaris software (Imaris 7.6, Bitplane, Zurich, Switzerland) was used for the three-dimension (3D) visualisation. Z-stack merges were obtained with the merge of 5 to 10 z-stacks with a stack-spread of 0.45

µm.

Transmission Electron Microscopy

Calu-3 cell preparation

5 Cells were fixed using 1.6% (v/v) glutaraldehyde and 1% (v/v) Tannic Acid in phosphate buffer 0.1 M, pH 7.4 for 1H and post-fixed using 1% (v/v) osmium tetroxide for 60 min. The membranes were then stripped from the insert, dehydrated in ascending series of ethanol dilutions and impregnated in ascending dilutions of resin in ethanol, left in pure resin overnight (Epon, Inland Europe), embedded and polymerized at 60°C for 48 h. Ultra-thin sections (70 nm) performed on ultramicrotome (RMC, powertome PC) were collected on butvar-coated single-slot copper grids, stained with 2% (v/v) uranyl acetate for 30 min and with lead citrate for 2 min. For characterization of the basal medium, solution at 40 µg/mL was deposited on a carbon coated grid previously submitted to a glow discharge (Elmo, Cordouan technologies). Grids were examined by TEM (CM120, FEI) and the images were acquired using a digital 2k x 2k Gatan camera.

X-Ray analysis

In view to check the size and chemical nature of NP, to assess the effectiveness of translocation and the NP agglomeration, several observations and chemical analyses were performed with a Transmission Electron Microscope (TEM, Jeol JEM 1400 ST) fitted with an Energy Dispersive X-Ray Spectrometer (EDS, Oxford Inca Energy TEM 350-EDS 7215) and with a digital camera (Gatan Erlangshen ES 500W-782). Observations were performed at magnification between X15000 and X80000 at 120 kV and X-ray data were analyzed with INCA software.

Statistical analysis

Every experiment was repeated at least twice with triplicates for each condition. Data are represented as means ± SEM and were analyzed on commercially available software SigmaStat (Version 3.0, Systat software Inc, San Jose, California, USA) using analysis of variance (one-way ANOVA) followed by Dunnett's test for multiple comparisons with p<0.05 (two tailed) considered as significant.

Conflict of interest disclosure

The authors declare no competing financial interest.

Acknowledgment

45 This study was funded by TiSiTrans (N°PNR-EST-2010/2/79) and Nanotrans (N°EST-2010/2/079) national grants. The Ph.D. of I. George was funded by the Région Ile-de-France and the DIM SEnT. We acknowledge X. Baudin from the confocal microscopy platform, J. Lo, and R. Leborgne from the transmission electron microscopy platform and N. Boggetto from the flow cytometry platform (financially supported by the Ligue contre le Cancer) for their invaluable assistance, and the ImagoSeine BioImaging Core Facility, Institute Jacques Monod, Paris, France. The authors wish

to thank S. Vranic for her expert advice.

55 Associated Content

Supporting Information Available :

1. NP synthesis. 2. NP Characterization. 3. NP cytotoxicity and pro-inflammatory response. 4. Modulation of cellular permeability after NP treatment and NP retention inside Calu-3 monolayer after 1 week of exposure. 5. NP translocation through TF in absence of cells in function of NP concentration, composition or corona. 6. Confocal microscopy observations of NCI-H292 cells exposed to fresh and conditioned media. 7. Quantification of NP internalization by Calu-3 cells by flow cytometry.

Author Information

Corresponding author

*: Isabelle George

70 University Paris Diderot, Sorbonne Paris Cité, Laboratory of Molecular and Cellular Responses to Xenobiotics, Unit of Functional and Adaptive Biology (BFA) UMR CNRS 8251, 5 rue Thomas Mann, 75 013 Paris, France
Tel: +33 1 57 27 83 67

75 isabelle.george.rmex@gmail.com

Author contribution

IG contributed in study design, did the experimental work, analyzed data and wrote the manuscript. GN prepared Calu-3 cells for TEM experiments and acquired TEM pictures. VC helped in co-localization study and did 3D reconstructions of confocal microscopic images. SM performed the synthesis of the different batches of NPs. KB and LM performed X-ray analysis of NP suspensions. SB and OL critically reviewed the manuscript and gave intellectual input. ABS contributed in the study design, analyzed data, coordinated the work and wrote the manuscript. All the authors have read and approved final manuscript.

Funding Sources

This study was funded by TiSiTrans (N°PNR-EST-2010/2/79) and Nanotrans (N°EST-2010/2/079) national grants. The Ph.D. of Isabelle George was funded by the Région Ile-de-France and the DIM SEnT. Flow Cytometry facility was financially supported by the Ligue contre le Cancer.

95 Notes and References

1. Aitken, R. J.; Chaudhry, M. Q.; Boxall, A. B.; Hull, M., Manufacture and use of nanomaterials: current status in the UK and global trends. *Occup Med (Lond)* 2006, 56, 300-6.
- 100 2. Oberdörster, G.; Oberdörster, E.; Oberdörster, J., Nanotoxicology: an emerging discipline evolving from studies of ultrafine particles. *Environ Health Perspect* 2005, 113, 823-39.
3. Geiser, M.; Kreyling, W. G., Deposition and biokinetics of inhaled nanoparticles. *Part Fibre Toxicol* 2010, 7, 2.

4. Steed, E.; Balda, M. S.; Matter, K., Dynamics and functions of tight junctions. *Trends Cell Biol* 2010, 20, 142-9.
5. Ward, P. D.; Tippin, T. K.; Thakker, D. R., Enhancing paracellular permeability by modulating epithelial tight junctions. *Pharm Sci Technolo Today* 2000, 3, 346-358.
6. Rosenthal, R.; Heydt, M. S.; Amasheh, M.; Stein, C.; Fromm, M.; Amasheh, S., Analysis of absorption enhancers in epithelial cell models. *Ann N Y Acad Sci* 2012, 1258, 86-92.
7. He, X.; Zhang, H.; Ma, Y.; Bai, W.; Zhang, Z.; Lu, K.; Ding, Y.; Zhao, Y.; Chai, Z., Lung deposition and extrapulmonary translocation of nano-ceria after intratracheal instillation. *Nanotechnology* 2010, 21, 285103.
8. Lasagna-Reeves, C.; Gonzalez-Romero, D.; Barria, M. A.; Olmedo, I.; Clos, A.; Sadagopa Ramanujam, V. M.; Urayama, A.; Vergara, L.; Kogan, M. J.; Soto, C., Bioaccumulation and toxicity of gold nanoparticles after repeated administration in mice. *Biochem Biophys Res Commun* 2010, 393, 649-55.
9. De Jong, W. H.; Hagens, W. I.; Krystek, P.; Burger, M. C.; Sips, A. J.; Geertsma, R. E., Particle size-dependent organ distribution of gold nanoparticles after intravenous administration. *Biomaterials* 2008, 29, 1912-9.
10. Schleh, C.; Holzwarth, U.; Hirn, S.; Wenk, A.; Simonelli, F.; Schäffler, M.; Möller, W.; Gibson, N.; Kreyling, W. G., Biodistribution of Inhaled Gold Nanoparticles in Mice and the Influence of Surfactant Protein D. *J Aerosol Med Pulm Drug Deliv* 2012.
11. Kreyling, W. G.; Semmler, M.; Erbe, F.; Mayer, P.; Takenaka, S.; Schulz, H.; Oberdörster, G.; Ziesenis, A., Translocation of ultrafine insoluble iridium particles from lung epithelium to extrapulmonary organs is size dependent but very low. *J Toxicol Environ Health A* 2002, 65, 1513-30.
12. Choi, H. S.; Ashitate, Y.; Lee, J. H.; Kim, S. H.; Matsui, A.; Insin, N.; Bawendi, M. G.; Semmler-Behnke, M.; Frangioni, J. V.; Tsuda, A., Rapid translocation of nanoparticles from the lung airspaces to the body. *Nat Biotechnol* 2010, 28, 1300-3.
13. Semmler-Behnke, M.; Takenaka, S.; Fertsch, S.; Wenk, A.; Seitz, J.; Mayer, P.; Oberdörster, G.; Kreyling, W. G., Efficient elimination of inhaled nanoparticles from the alveolar region: evidence for interstitial uptake and subsequent reentrainment onto airways epithelium. *Environ Health Perspect* 2007, 115, 728-33.
14. Vranic, S.; Boggetto, N.; Contremoulins, V.; Mornet, S.; Reinhardt, N.; Marano, F.; Baeza-Squiban, A.; Boland, S., Deciphering the mechanisms of cellular uptake of engineered nanoparticles by accurate evaluation of internalization using imaging flow cytometry. *Part Fibre Toxicol* 2013, 10, 2.
15. Dausend, J.; Musyanovych, A.; Dass, M.; Walther, P.; Schrezenmeier, H.; Landfester, K.; Mailänder, V., Uptake mechanism of oppositely charged fluorescent nanoparticles in HeLa cells. *Macromol Biosci* 2008, 8, 1135-43.
16. Xia, T.; Kovochich, M.; Liong, M.; Zink, J. I.; Nel, A. E., Cationic polystyrene nanosphere toxicity depends on cell-specific endocytic and mitochondrial injury pathways. *ACS Nano* 2008, 2, 85-96.
17. Migliore, L.; Coppède, F., Environmental-induced oxidative stress in neurodegenerative disorders and aging. *Mutat Res* 2009, 674, 73-84.
18. Xiao, Y.; Forry, S. P.; Gao, X.; Holbrook, R. D.; Telford, W. G.; Tona, A., Dynamics and mechanisms of quantum dot nanoparticle cellular uptake. *J Nanobiotechnology* 2010, 8, 13.
19. Jiang, W.; Kim, B. Y.; Rutka, J. T.; Chan, W. C., Nanoparticle-mediated cellular response is size-dependent. *Nat Nanotechnol* 2008, 3, 145-50.
20. Harush-Frenkel, O.; Debotton, N.; Benita, S.; Altschuler, Y., Targeting of nanoparticles to the clathrin-mediated endocytic pathway. *Biochem Biophys Res Commun* 2007, 353, 26-32.
21. Lesniak, A.; Fenaroli, F.; Monopoli, M. P.; Åberg, C.; Dawson, K. A.; Salvati, A., Effects of the presence or absence of a protein corona on silica nanoparticle uptake and impact on cells. *ACS Nano* 2012, 6, 5845-57.
22. Saptarshi, S. R.; Duschl, A.; Lopata, A. L., Interaction of nanoparticles with proteins: relation to bio-reactivity of the nanoparticle. *J Nanobiotechnology* 2013, 11, 26.
23. Casals, E.; Puentes, V. F., Inorganic nanoparticle biomolecular corona: formation, evolution and biological impact. *Nanomedicine (Lond)* 2012, 7, 1917-30.
24. Kendall, M.; Ding, P.; Mackay, R. M.; Deb, R.; McKenzie, Z.; Kendall, K.; Madsen, J.; Clark, H., Surfactant protein D (SP-D) alters cellular uptake of particles and nanoparticles. *Nanotoxicology* 2013, 7, 963-73.
25. Rothen-Rutishauser, B.; Mühlfeld, C.; Blank, F.; Musso, C.; Gehr, P., Translocation of particles and inflammatory responses after exposure to fine particles and nanoparticles in an epithelial airway model. *Part Fibre Toxicol* 2007, 4, 9.
26. Yacobi, N. R.; Malmstadt, N.; Fazlollahi, F.; DeMaio, L.; Marchelletta, R.; Hamm-Alvarez, S. F.; Borok, Z.; Kim, K. J.; Crandall, E. D., Mechanisms of alveolar epithelial translocation of a defined population of nanoparticles. *Am J Respir Cell Mol Biol* 2010, 42, 604-14.
27. Geys, J.; Coenegrachts, L.; Vercammen, J.; Engelborghs, Y.; Nemmar, A.; Nemery, B.; Hoet, P. H., In vitro study of the pulmonary translocation of nanoparticles: a preliminary study. *Toxicol Lett* 2006, 160, 218-26.
28. George, I.; Vranic, S.; Boland, S.; Baeza-Squiban, A., Translocation of SiO₂-NPs across in vitro human bronchial epithelial monolayer. IOP Publishing: 2013; Vol. Journal of Physics: Conference Series 429 (2013) 012022.
29. Cohen, J. M.; Derk, R.; Wang, L.; Godleski, J.; Kobzik, L.; Brain, J.; Demokritou, P., Tracking translocation of industrially relevant engineered nanomaterials (ENMs) across alveolar epithelial monolayers in vitro. *Nanotoxicology* 2014.
30. George, I.; Vranic, S.; Boland, S.; Courtois, A.; Baeza-Squiban, A., Development of an in vitro model of human bronchial epithelial barrier to study nanoparticle translocation. *Toxicol In Vitro* 2014, 29, 51-58.
31. He, B.; Lin, P.; Jia, Z.; Du, W.; Qu, W.; Yuan, L.; Dai, W.; Zhang, H.; Wang, X.; Wang, J.; Zhang, X.; Zhang, Q., The transport mechanisms of polymer nanoparticles in Caco-2 epithelial cells. *Biomaterials* 2013, 34, 6082-98.
32. Grainger, C. I.; Greenwell, L. L.; Lockley, D. J.; Martin, G. P.; Forbes, B., Culture of Calu-3 cells at the air interface provides a representative model of the airway epithelial barrier. *Pharm Res* 2006, 23, 1482-90.
33. Forbes, I., Human airway epithelial cell lines for in vitro drug transport and metabolism studies. *Pharm Sci Technolo Today* 2000, 3, 18-27.
34. Zhu, Y.; Chidekel, A.; Shaffer, T. H., Cultured human airway epithelial cells (calu-3): a model of human respiratory function, structure, and inflammatory responses. *Crit Care Res Pract* 2010, 2010.
35. Florea, B. I.; Cassara, M. L.; Junginger, H. E.; Borchard, G., Drug transport and metabolism characteristics of the human airway epithelial cell line Calu-3. *J Control Release* 2003, 87, 131-8.
36. Geys, J.; Nemery, B.; Hoet, P. H., Optimisation of culture conditions to develop an in vitro pulmonary permeability model. *Toxicol In Vitro* 2007, 21, 1215-9.
37. Madlova, M.; Jones, S. A.; Zwerschke, I.; Ma, Y.; Hider, R. C.; Forbes, B., Poly(vinyl alcohol) nanoparticle stability in biological media and uptake in respiratory epithelial cell layers in vitro. *Eur J Pharm Biopharm* 2009, 72, 437-43.
38. Heijink, I. H.; Brandenburg, S. M.; Noordhoek, J. A.; Postma, D. S.; Slebos, D. J.; van Oosterhout, A. J., Characterisation of cell adhesion in airway epithelial cell types using electric cell-substrate impedance sensing. *Eur Respir J* 2010, 35, 894-903.
39. van Schilfgaarde, M.; van Alphen, L.; Eijk, P.; Everts, V.; Dankert, J., Paracytosis of Haemophilus influenzae through cell layers of NCI-H292 lung epithelial cells. *Infect Immun* 1995, 63, 4729-37.
40. Forbes, B.; Ehrhardt, C., Human respiratory epithelial cell culture for drug delivery applications. *Eur J Pharm Biopharm* 2005, 60, 193-205.
41. Sohaebuddin, S. K.; Thevenot, P. T.; Baker, D.; Eaton, J. W.; Tang, L., Nanomaterial cytotoxicity is composition, size, and cell type dependent. *Part Fibre Toxicol* 2010, 7, 22.

42. Schrurs, F.; Lison, D., Focusing the research efforts. *Nat Nanotechnol* 2012, 7, 546-8.
43. Li, S.; Yang, S.; Chen, G.; Li, X.; Chen, J.; Ma, Y., Mechanism of cellular uptake, localization and cytotoxicity of organic nanoparticles. *J Nanosci Nanotechnol* 2014, 14, 3292-8.
44. Dombu, C. Y.; Kroubi, M.; Zibouche, R.; Matran, R.; Betbeder, D., Characterization of endocytosis and exocytosis of cationic nanoparticles in airway epithelium cells. *Nanotechnology* 2010, 21, 355102.
45. Zheng, C.; Guo, Q.; Wu, Z.; Sun, L.; Zhang, Z.; Li, C.; Zhang, X., Amphiphilic glycopolymer nanoparticles as vehicles for nasal delivery of peptides and proteins. *Eur J Pharm Sci* 2013, 49, 474-82.
46. Mura, S.; Hillaireau, H.; Nicolas, J.; Kerdine-Römer, S.; Le Droumaguet, B.; Deloménie, C.; Nicolas, V.; Pallardy, M.; Tsapis, N.; Fattal, E., Biodegradable nanoparticles meet the bronchial airway barrier: how surface properties affect their interaction with mucus and epithelial cells. *Biomacromolecules* 2011, 12, 4136-43.
47. Mura, S.; Hillaireau, H.; Nicolas, J.; Le Droumaguet, B.; Gueutin, C.; Zanna, S.; Tsapis, N.; Fattal, E., Influence of surface charge on the potential toxicity of PLGA nanoparticles towards Calu-3 cells. *Int J Nanomedicine* 2011, 6, 2591-605.
48. Ma, K.; Sai, H.; Wiesner, U., Ultrasmall sub-10 nm near-infrared fluorescent mesoporous silica nanoparticles. *J Am Chem Soc* 2012, 134, 13180-3.
49. Li, J. J.; Hartono, D.; Ong, C. N.; Bay, B. H.; Yung, L. Y., Autophagy and oxidative stress associated with gold nanoparticles. *Biomaterials* 2010, 31, 5996-6003.
50. Ma, X.; Wu, Y.; Jin, S.; Tian, Y.; Zhang, X.; Zhao, Y.; Yu, L.; Liang, X. J., Gold nanoparticles induce autophagosome accumulation through size-dependent nanoparticle uptake and lysosome impairment. *ACS Nano* 2011, 5, 8629-39.
51. Zhang, Q.; Yang, W.; Man, N.; Zheng, F.; Shen, Y.; Sun, K.; Li, Y.; Wen, L. P., Autophagy-mediated chemosensitization in cancer cells by fullerene C60 nanocrystal. *Autophagy* 2009, 5, 1107-17.
52. Wei, P.; Zhang, L.; Lu, Y.; Man, N.; Wen, L., C60(Nd) nanoparticles enhance chemotherapeutic susceptibility of cancer cells by modulation of autophagy. *Nanotechnology* 2010, 21, 495101.
53. Stern, S. T.; Zolnik, B. S.; McLeland, C. B.; Clogston, J.; Zheng, J.; McNeil, S. E., Induction of autophagy in porcine kidney cells by quantum dots: a common cellular response to nanomaterials? *Toxicol Sci* 2008, 106, 140-52.
54. Seleverstov, O.; Zabirnyk, O.; Zscharnack, M.; Bulavina, L.; Nowicki, M.; Heinrich, J. M.; Yezhelyev, M.; Emmrich, F.; O'Regan, R.; Bader, A., Quantum dots for human mesenchymal stem cells labeling. A size-dependent autophagy activation. *Nano Lett* 2006, 6, 2826-32.
55. Zabirnyk, O.; Yezhelyev, M.; Seleverstov, O., Nanoparticles as a novel class of autophagy activators. *Autophagy* 2007, 3, 278-81.
56. Tenuta, T.; Monopoli, M. P.; Kim, J.; Salvati, A.; Dawson, K. A.; Sandin, P.; Lynch, I., Elution of labile fluorescent dye from nanoparticles during biological use. *PLoS One* 2011, 6, e25556.
57. Salvati, A.; Aberg, C.; dos Santos, T.; Varela, J.; Pinto, P.; Lynch, I.; Dawson, K. A., Experimental and theoretical comparison of intracellular import of polymeric nanoparticles and small molecules: toward models of uptake kinetics. *Nanomedicine* 2011, 7, 818-26.
58. Florence, A. T., Nanoparticles uptake by the oral route : Fulfilling its potential ? 2005; Vol. 2, pp 75-81.
59. Landsiedel, R.; Fabian, E.; Ma-Hock, L.; van Ravenzwaay, B.; Wohlleben, W.; Wiench, K.; Oesch, F., Toxicology/biokinetics of nanomaterials. *Arch Toxicol* 2012, 86, 1021-60.
60. Zhang, J. S.; Liu, F.; Huang, L., Implications of pharmacokinetic behavior of lipoplex for its inflammatory toxicity. *Adv Drug Deliv Rev* 2005, 57, 689-98.
61. Yu, B.; Zhang, Y.; Zheng, W.; Fan, C.; Chen, T., Positive surface charge enhances selective cellular uptake and anticancer efficacy of selenium nanoparticles. *Inorg Chem* 2012, 51, 8956-63.
62. Brandenberger, C.; Rothen-Rutishauser, B.; Mühlfeld, C.; Schmid, O.; Ferron, G. A.; Maier, K. L.; Gehr, P.; Lenz, A. G., Effects and uptake of gold nanoparticles deposited at the air-liquid interface of a human epithelial airway model. *Toxicol Appl Pharmacol* 2010, 242, 56-65.
63. Ge, Y.; Zhang, Y.; Xia, J.; Ma, M.; He, S.; Nie, F.; Gu, N., Effect of surface charge and agglomerate degree of magnetic iron oxide nanoparticles on KB cellular uptake in vitro. *Colloids Surf B Biointerfaces* 2009, 73, 294-301.
64. Yu, S. S.; Lau, C. M.; Thomas, S. N.; Jerome, W. G.; Maron, D. J.; Dickerson, J. H.; Hubbell, J. A.; Giorgio, T. D., Size- and charge-dependent non-specific uptake of PEGylated nanoparticles by macrophages. *Int J Nanomedicine* 2012, 7, 799-813.
65. Fröhlich, E., The role of surface charge in cellular uptake and cytotoxicity of medical nanoparticles. *Int J Nanomedicine* 2012, 7, 5577-91.
66. Braakhuis, H. M.; Park, M. V.; Gosens, I.; De Jong, W. H.; Cassee, F. R., Physicochemical characteristics of nanomaterials that affect pulmonary inflammation. *Part Fibre Toxicol* 2014, 11, 18.
67. Lin, I. C.; Liang, M.; Liu, T. Y.; Ziora, Z. M.; Monteiro, M. J.; Toth, I., Interaction of densely polymer-coated gold nanoparticles with epithelial Caco-2 monolayers. *Biomacromolecules* 2011, 12, 1339-48.
68. Brun, E.; Barreau, F.; Veronesi, G.; Fayard, B.; Sorieul, S.; Chanéac, C.; Carapito, C.; Rabilloud, T.; Mabondzo, A.; Herlin-Boime, N.; Carrière, M., Titanium dioxide nanoparticle impact and translocation through ex vivo, in vivo and in vitro gut epithelia. *Part Fibre Toxicol* 2014, 11, 13.
69. Dutta, D.; Sundaram, S. K.; Teegarden, J. G.; Riley, B. J.; Fifield, L. S.; Jacobs, J. M.; Addleman, S. R.; Kaysen, G. A.; Moudgil, B. M.; Weber, T. J., Adsorbed proteins influence the biological activity and molecular targeting of nanomaterials. *Toxicol Sci* 2007, 100, 303-15.
70. Lundqvist, M.; Stigler, J.; Elia, G.; Lynch, I.; Cedervall, T.; Dawson, K. A., Nanoparticle size and surface properties determine the protein corona with possible implications for biological impacts. *Proc Natl Acad Sci U S A* 2008, 105, 14265-70.
71. Doorley, G. W.; Payne, C. K., Cellular binding of nanoparticles in the presence of serum proteins. *Chem Commun (Camb)* 2011, 47, 466-8.
72. Deng, Z. J.; Liang, M.; Toth, I.; Monteiro, M.; Minchin, R. F., Plasma protein binding of positively and negatively charged polymer-coated gold nanoparticles elicits different biological responses. *Nanotoxicology* 2013, 7, 314-22.
73. Tenzer, S.; Docter, D.; Rosfa, S.; Wlodarski, A.; Kuharev, J.; Rekić, A.; Knauer, S. K.; Bantz, C.; Nawroth, T.; Bier, C.; et al. Nanoparticle size is a critical physicochemical determinant of the human blood plasma corona: a comprehensive quantitative proteomic analysis. *ACS Nano* 2011, 5, 7155-67.
74. Deng, Z. J.; Mortimer, G.; Schiller, T.; Musumeci, A.; Martin, D.; Minchin, R. F., Differential plasma protein binding to metal oxide nanoparticles. *Nanotechnology* 2009, 20, 455101.
75. Sund, J.; Alenius, H.; Vippola, M.; Savolainen, K.; Puustinen, A., Proteomic characterization of engineered nanomaterial-protein interactions in relation to surface reactivity. *ACS Nano* 2011, 5, 4300-9.
76. Gasser, M.; Rothen-Rutishauser, B.; Krug, H. F.; Gehr, P.; Nelle, M.; Yan, B.; Wick, P., The adsorption of biomolecules to multi-walled carbon nanotubes is influenced by both pulmonary surfactant lipids and surface chemistry. *J Nanobiotechnology* 2010, 8, 31.
77. Ruge, C. A.; Kirch, J.; Cañadas, O.; Schneider, M.; Perez-Gil, J.; Schaefer, U. F.; Casals, C.; Lehr, C. M., Uptake of nanoparticles by alveolar macrophages is triggered by surfactant protein A. *Nanomedicine* 2011, 7, 690-3.
78. Hu, G.; Jiao, B.; Shi, X.; Valle, R. P.; Fan, Q.; Zuo, Y. Y., Physicochemical properties of nanoparticles regulate translocation across pulmonary surfactant monolayer and formation of lipoprotein corona. *ACS Nano* 2013, 7, 10525-33.
79. Bakshi, M. S.; Zhao, L.; Smith, R.; Possmayer, F.; Petersen, N. O., Metal nanoparticle pollutants interfere with pulmonary surfactant function in vitro. *Biophys J* 2008, 94, 855-68.
80. Schleh, C.; Mühlfeld, C.; Pulskamp, K.; Schmiedl, A.; Nassimi, M.; Lauenstein, H. D.; Braun, A.; Krug, N.; Erpenbeck, V. J.; Hohlfeld, J. M., The effect of titanium dioxide nanoparticles on pulmonary surfactant function and ultrastructure. *Respir Res* 2009, 10, 90.
81. Hauber, H. P.; Tulic, M. K.; Tscicopoulos, A.; Wallaert, B.; Olivenstein, R.; Daigneault, P.; Hamid, Q., Toll-like receptors 4 and

- 2 expression in the bronchial mucosa of patients with cystic fibrosis. *Can Respir J* 2005, 12, 13-8.
82. Hermans, M. I.; Kasper, J.; Dubruel, P.; Pohl, C.; Uboldi, C.; Vermeersch, V.; Fuchs, S.; Unger, R. E.; Kirkpatrick, C. J., An impaired alveolar-capillary barrier in vitro: effect of proinflammatory cytokines and consequences on nanocarrier interaction. *J R Soc Interface* 2010, 7 Suppl 1, S41-54.
83. Hussain, S.; Vanoirbeek, J. A.; Haenen, S.; Haufroid, V.; Boland, S.; Marano, F.; Nemery, B.; Hoet, P. H., Prior lung inflammation impacts on body distribution of gold nanoparticles. *Biomed Res Int* 2013, 2013, 923475.
84. Lemmer, H. J.; Hamman, J. H., Paracellular drug absorption enhancement through tight junction modulation. *Expert Opin Drug Deliv* 2013, 10, 103-14.
- 15 85. Sezer, A. D.; Cevher, E., Topical drug delivery using chitosan nano- and microparticles. *Expert Opin Drug Deliv* 2012, 9, 1129-46.
86. Rothen-Rutishauser, B.; Riesen, F. K.; Braun, A.; Günthert, M.; Wunderli-Allenspach, H., Dynamics of tight and adherens junctions under EGTA treatment. *J Membr Biol* 2002, 188, 151-62.
- 20 87. Lien, C. F.; Molnár, E.; Toman, P.; Tsiouklis, J.; Pilkington, G. J.; Górecki, D. C.; Barbu, E., In vitro assessment of alkylglyceryl-functionalized chitosan nanoparticles as permeating vectors for the blood-brain barrier. *Biomacromolecules* 2012, 13, 1067-73.
88. Setyawati, M. I.; Tay, C. Y.; Chia, S. L.; Goh, S. L.; Fang, W.; Neo, M. J.; Chong, H. C.; Tan, S. M.; Loo, S. C.; Ng, K. W.; et al. Titanium dioxide nanomaterials cause endothelial cell leakiness by disrupting the homophilic interaction of VE-cadherin. *Nat Commun* 2013, 4, 1673.
- 25 89. van Blaaderen, A.; Vrij, A., Synthesis and characterization of colloidal dispersion of fluorescent, monodisperse silica spheres. American Chemical Society ed.; 1992; Vol. 8.
90. Imhof, A., Preparation and characterization of titania-coated polystyrene spheres and hollow titania shells. American Chemical Society ed.; Langmuir: 2001; Vol. 12, pp 3579-3585.
- 30 91. Demirors, A. F.; van Blaaderen, A.; Imhof, A., A general method to coat colloidal particles with titania. American Chemical Society ed.; Langmuir: 2010; Vol. 26.
92. Foster, K. A.; Avery, M. L.; Yazdanian, M.; Audus, K. L., Characterization of the Calu-3 cell line as a tool to screen pulmonary drug delivery. *Int J Pharm* 2000, 208, 1-11.
- 40 93. Bolte, S.; Cordelières, F. P., A guided tour into subcellular colocalization analysis in light microscopy. *J Microsc* 2006, 224, 213-32.
94. Tay, C. Y.; Fang, W.; Setyawati, M. I.; Sum, C. P.; Xie, J.; Woei Ng, K.; Chen, X.; Hsu Ling Hong, C.; Leong, D. T., Reciprocal Response of Human Oral Epithelial Cells to Internalized Silica Nanoparticles. Wiley: 2013; Vol. 30, pp 784-793.
- 45 95. Tay, C. Y.; Cai, P.; Setyawati, M. I.; Fang, W.; Tan, L. P.; Hong, C. H.; Chen, X.; Leong, D. T., Nanoparticles strengthen intracellular tension and retard cellular migration. *Nano Lett* 2014, 14 (1), 83-8.
- 50

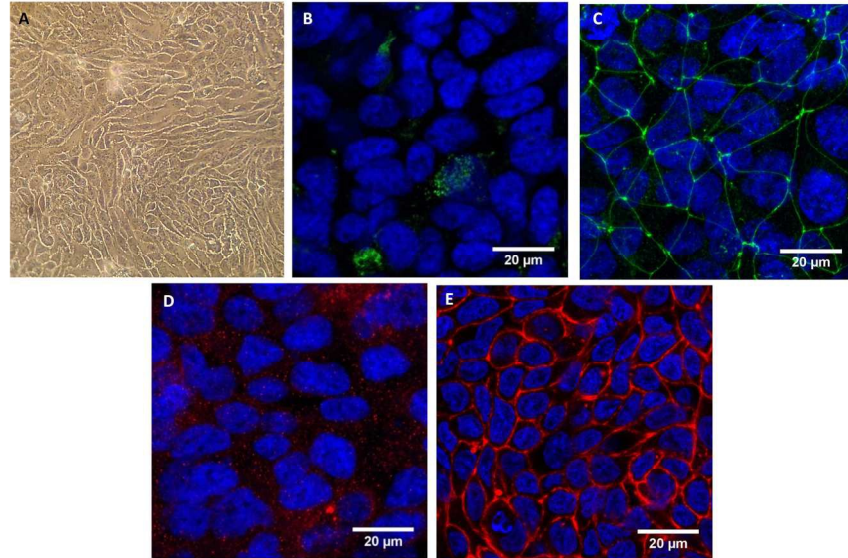


Figure 1. Morphological characterization of Calu-3 epithelium without any treatment. Control Calu-3 cells were observed by optical microscopy (A) or confocal microscopy (B-E) after 14 days of TF-culture. The (x;y) images correspond to the z-projection of a stack of 5 to 10 images acquired. Staining of the cells is as follows: Blue - DAPI-stained nuclei, Green – (B) MUC5AC protein labelled with Alexa-488-anti mouse antibody or (C) ZO-1 protein labelled with Alexa-488-anti rabbit antibody, Red – (D) CLD-4 protein labelled with Alexa-568-anti goat antibody, or (E) Phalloidin-stained actin filaments. The scale bar corresponds to 20 µm.

190x142mm (300 x 300 DPI)

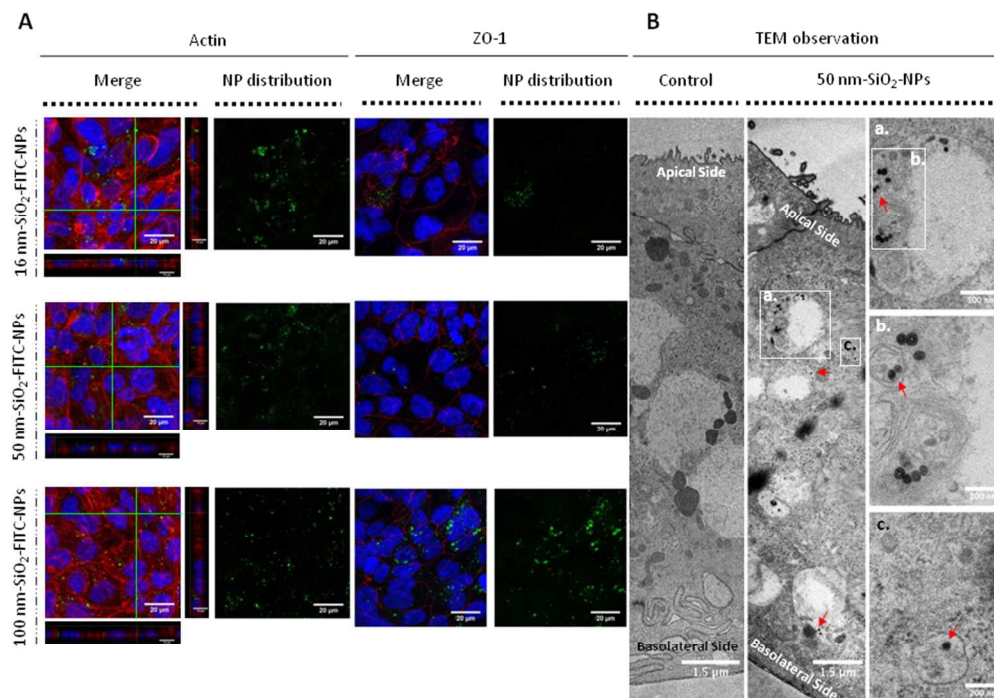


Figure 2. Internalisation of SiO₂-FITC-NPs inside Calu-3 monolayer. (A) Cells were exposed for 24 h to 16-50- and 100 nm-SiO₂-FITC-NPs at 5 μg/cm². The (x;y) left images correspond to the z-projection of a stack of 5 to 10 images acquired by confocal microscopy. Orthogonal views represent (x,z)- and (y,z)-slices of the section indicated by the green lines. Staining of the cells is as follows: Blue - DAPI-stained nuclei, Green - SiO₂-FITC-NPs, Red - Phalloidin-stained actin filaments or ZO-1 protein labelled with Alexa-568-anti rabbit antibody. The (x;y) right images corresponding to the z-projection of a stack of 5 to 10 images acquired by using only the green channel for a better observation of SiO₂-FITC-NP distribution inside the cellular monolayer. The scale bar corresponds to 20 μm. (B) Cells exposed, or not, for 24 h to 50 nm-SiO₂-FITC-NPs at 5 μg/cm² were observed by TEM. The 50 nm-SiO₂-FITC-NPs were found on the apical and basolateral side of the cell inside vesicles with simple or double membrane. The scale bar corresponds to 200 nm and NPs are indicated by red arrows.

254x190mm (96 x 96 DPI)

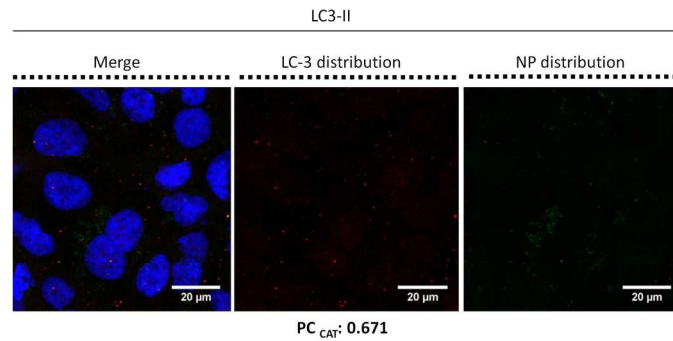


Figure 3. Detection of autophagy inside NP-treated Calu-3 cells. Calu-3 cells were exposed to 16 nm SiO₂-FITC-NPs at 5 µg/cm² for 24 h. Confocal microscopy experiments were performed after cell fixation. The (x;y) left images corresponding to the z-projection of a stack of 5 to 10 images acquired. Staining of the cells is as follows: Blue - DAPI-stained nuclei, Green - 16 nm-SiO₂-FITC-NPs, Red - LC3-II proteins labelled with Alexa-568-anti rabbit antibody. The (x;y) central images corresponding to the z-projection of a stack of 5 to 10 images acquired by using only the red channel for a better observation of LC3-II expression. The (x;y) right images corresponding to the z-projection of a stack of 5 to 10 images acquired by using only the green channel for a better observation of 16 nm-SiO₂-FITC-NP distribution inside the cellular monolayer. The scale bar corresponds to 20 µm. Pearson Coefficient (PC) was calculated by Image J software; PC (LC3-II) = 0.116. To improve PC a Costes' automatic threshold (PCCAT) was performed and new PC was obtained; PCCAT (LC3-II) = 0.671.
190x142mm (300 x 300 DPI)

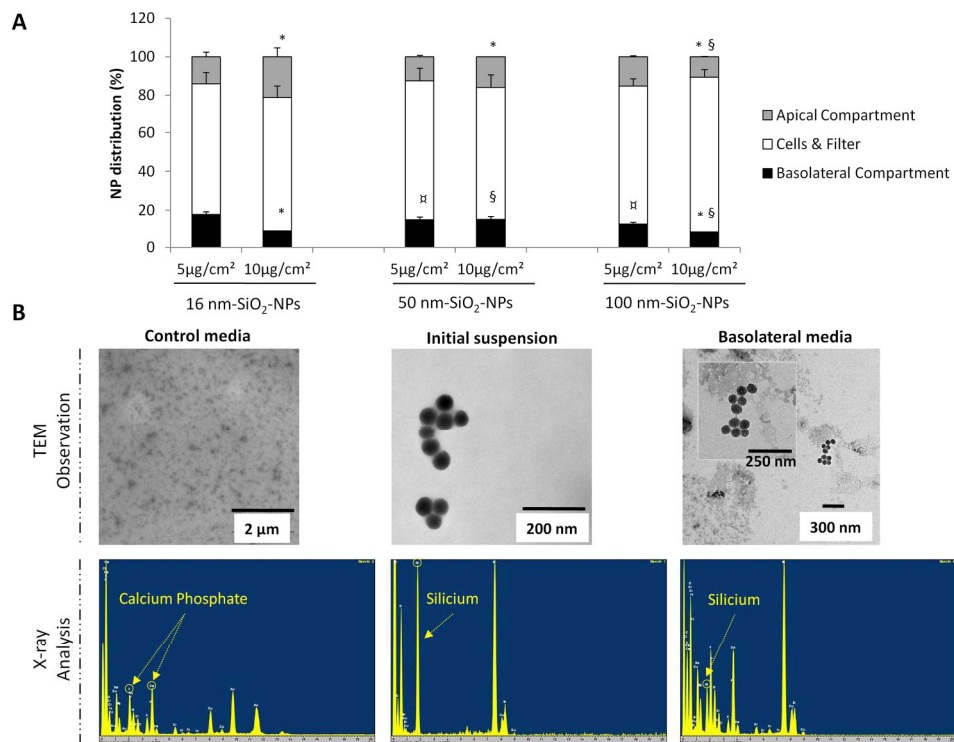


Figure 4. NP translocation across Calu-3 monolayer in function of the size and the concentration. Calu-3 cells were treated for 24 h with 16- 50- and 100 nm-SiO₂-FITC-NPs at 5 and 10 µg/cm². (A) Quantification of NP distribution in percentage inside the apical (grey bar), basolateral (black bar) and the cells & filter (white bar) compartments. Data were expressed as mean ± SEM, n = 4. *: Different from 5 µg/cm² value (p<0.05); π : Different from 16 nm-SiO₂-FITC-NPs value at 5 µg/cm² (p<0.05); ξ : Different from 16 nm-SiO₂-FITC-NPs value at 10 µg/cm² (p<0.05). (B) For 50 nm-SiO₂-FITC-NPs: observation of basolateral media of control cell cultures, initial suspension and Calu-3 basolateral media after 24h of exposure to 50 nm-SiO₂-FITC-NPs by TEM, and corresponding X-ray analysis.

190x142mm (300 x 300 DPI)

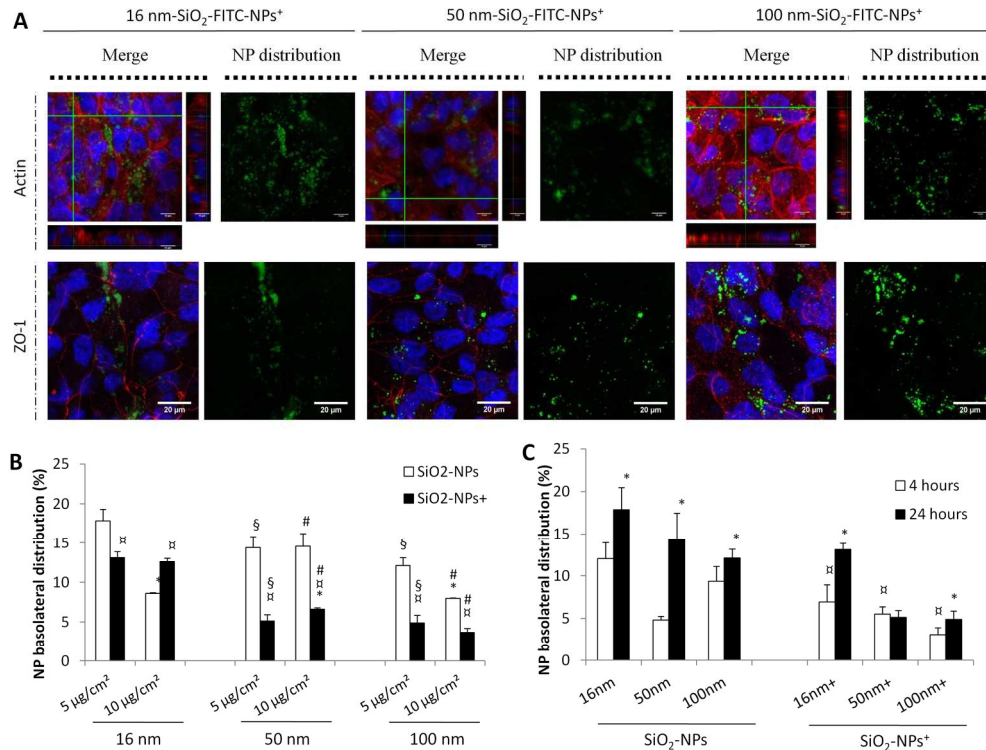


Figure 5. Internalisation of SiO₂-FITC-NPs⁺ by Calu-3 cells and their translocation across the monolayer in comparison with SiO₂-FITC-NPs. Calu-3 cells were exposed to 16- 50- and 100 nm-SiO₂-FITC-NPs⁺ at 5 μg/cm² for 24 h before being rinsed and fixed for (A) confocal microscopy experiments. The (x,y) left images corresponding to the z-projection of a stack of 5 to 10 images acquired. Orthogonal views represent (x,z)- and (y,z)-slices of the section indicated with the green lines. Staining of the cells is as follows: Blue - DAPI-stained nuclei, Green - SiO₂-FITC-NPs⁺, Red - Phalloidin-stained actin filaments or ZO-1 protein labelled with Alexa-568-anti rabbit antibody. The (x,y) right images corresponding to the z-projection of a stack of 5 to 10 images acquired by using only the green channel for a better observation of SiO₂-FITC-NP⁺ distribution inside the cellular monolayer. The scale bar corresponds to 20 μm. (B) Quantification of NP distribution in percentage inside the basolateral compartment in function of the surface charge of the NP, in white SiO₂-FITC-NP and in black SiO₂-FITC-NP⁺. Data were expressed as mean ± SEM, n = 3. *: Different from 5 μg/cm² value (p<0.05); †: Different from SiO₂-FITC-NPs (p<0.05); §: Different from 16 nm-SiO₂-FITC-NPs value at 5 μg/cm² (p<0.05); #: different from 16 nm-SiO₂-FITC-NPs value at 10 μg/cm² (p<0.05). (C) Calu-3 cells were exposed to 16- 50- and 100 nm-SiO₂- or SiO₂⁺-FITC-NPs at 5 μg/cm² for 4 h (white bar) or 24 h (black bar) before quantification of NP distribution in percentage inside the basolateral compartment in function of the time of exposure. Data were expressed as mean ± SEM, n = 3. *: Different from 4 h-treatment (p<0.05); †: Different from SiO₂-FITC-NPs value after 4 h of treatment (p<0.05).

190x142mm (300 x 300 DPI)

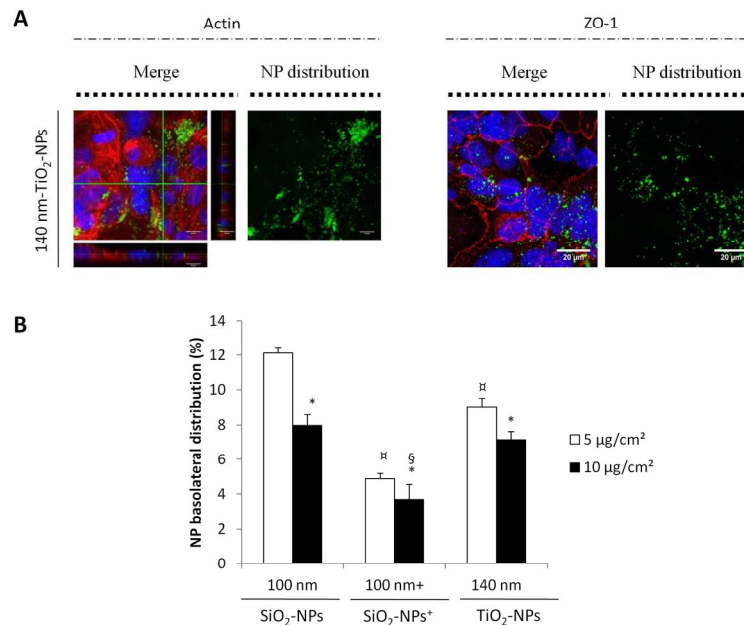


Figure 6. Internalisation and translocation of 140 nm-TiO₂-coated SiO₂-FITC-NPs by Calu-3 cells. (A) Calu-3 cells were exposed to 140 nm-TiO₂-coated SiO₂-FITC-NPs at 5 μg/cm² for 24 h before being rinsed and fixed for confocal microscopy observations. The (x;y) left images corresponding to the z-projection of a stack of 5 to 10 images acquired. Orthogonal views represent (x,z)- and (y,z)-slices of the section indicated with the green lines. Staining of the cells is as follows: Blue - DAPI-stained nuclei, Green - 140 nm-TiO₂-coated SiO₂-FITC-NPs, Red - Phalloidin-stained actin filaments or ZO-1 protein labelled with Alexa-568-anti rabbit antibody. The (x;y) right images corresponding to the z-projection of 5 to 10 images acquired by using only the green channel for a better observation of 140 nm-TiO₂-coated SiO₂-FITC-NP distribution inside the cellular monolayer. The scale bar corresponds to 20 μm. (B) Quantification of NP distribution in percentage inside the basolateral compartment for 140 nm-TiO₂-coated SiO₂-FITC-NPs in comparison with SiO₂- and SiO₂+ -FITC-NPs at the same size, at 5 (white bar) and 10 μg/cm² (black bar). Data were expressed as mean ± SEM, n = 6. *: Different from 5 μg/cm² value (p<0.05); †: Different from SiO₂-FITC-NPs value at 5 μg/cm² (p<0.05); §: Different from SiO₂-FITC-NPs value at 10 μg/cm² (p<0.05). 190x142mm (300 x 300 DPI)

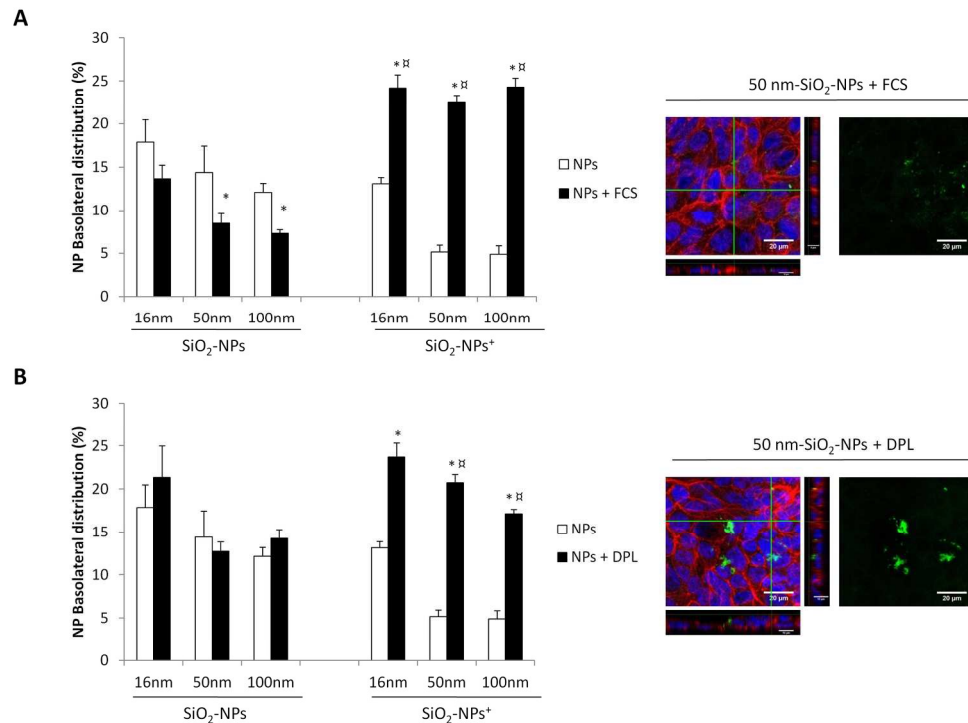


Figure 7. Modulation of the NP translocation in presence of FCS or DPL. Calu-3 cells were exposed simultaneously with (A) FCS at 2% or (B) DPL at 0.004% and with SiO₂- or SiO₂+ -FITC-NPs at 5 $\mu\text{g}/\text{cm}^2$ for 24 h. NP distribution in percentage was determined for the basolateral compartment in function of the size and the surface charge of NPs, in white the NP translocation without additives, in black in presence of FCS or DPL. Data were expressed as mean \pm SEM, n = 6. *: Different from NP treatment value without modification of cellular environment ($p < 0.05$); ‡: Different from SiO₂-FITC-NPs value ($p < 0.05$). Cells were then fixed for confocal microscopy experiments. The (x;y) left images corresponding to the z-projection of 5 to 10 images acquired. Orthogonal views represent (x,z)- and (y,z)-slices of the section indicated with the green lines.

Staining of the cells is as follows: Blue - DAPI-stained nuclei, Green - 50 nm-SiO₂-FITC-NPs, Red - Phalloidin-stained actin filaments. The (x;y) right images corresponding to the z-projection of 5 to 10 images acquired by using only the green channel for a better observation of 50 nm-SiO₂-FITC-NP distribution inside the cellular monolayer. The scale bar corresponds to 20 μm .

190x142mm (300 x 300 DPI)

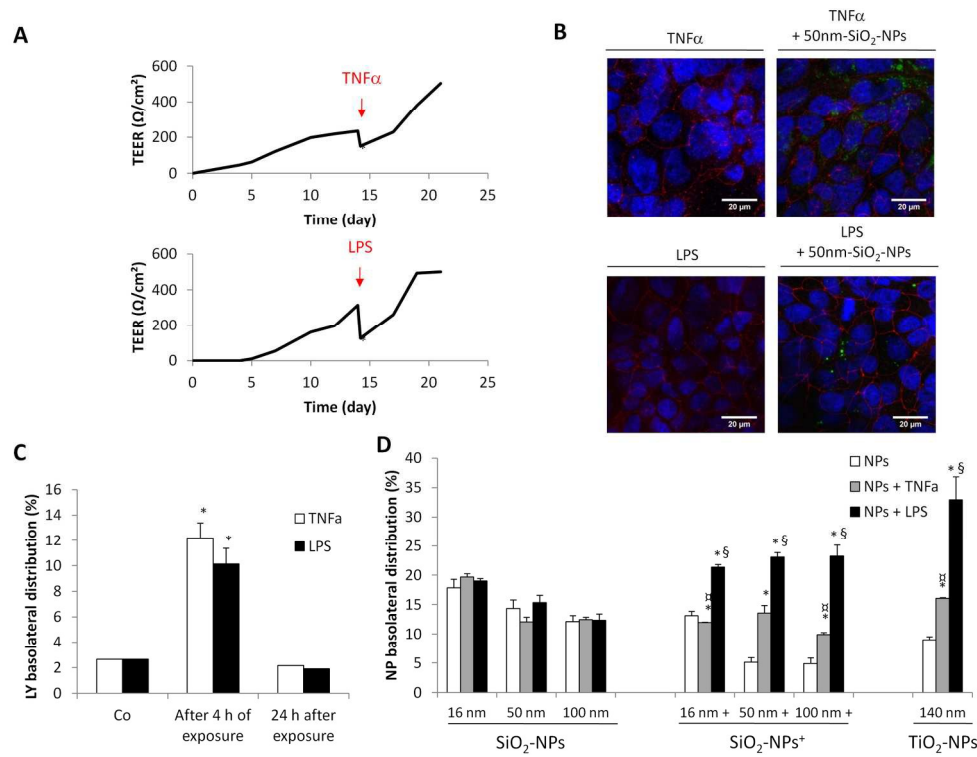


Figure 8. Modulation of NP translocation in a pro-inflammatory context. Calu-3 cells were initially exposed during 4 h to TNF- α at 1 ng/mL, or LPS at 25 ng/mL, then rinsed and treated for 24 h with 16- 50- 100 nm-SiO $_2$ or SiO $_2$ +FITC-NPs or 140 nm-TiO $_2$ -coated SiO $_2$ -FITC-NPs at 5 $\mu\text{g}/\text{cm}^2$. (A) TEER values were determined over time, before and after exposure to TNF- α or LPS. *: Different from control ($p < 0.05$). (B) Cells were then fixed for confocal microscopy experiments. The (x;y) images corresponding to the z-projection of 5 to 10 images acquired. Staining of the cells is as follows: Blue - DAPI-stained nuclei, Green - SiO $_2$ - SiO $_2$ +FITC-NPs of 50 nm, Red - ZO-1 protein labelled with Alexa-568-anti rabbit antibody. The scale bar corresponds to 20 μm . (C) Quantification of Lucifer Yellow (LY) distribution was determined in percentage in function of the pro-inflammatory treatment, without (white bar), with TNF- α (grey bar), or with LPS (black bar) just after pre-treatment, or after NP treatment. Data were expressed as mean \pm SEM, $n = 3$. *: Different from control ($p < 0.05$). (D) NP distribution was determined in percentage in function of the NP composition, in white the NP translocation in a normal case, in grey in presence of TNF- α and in black in presence of LPS. Data were expressed as mean \pm SEM, $n = 3$. *: Different from NP treatment value in absence of pro-inflammatory context ($p < 0.05$); \times : Different from SiO $_2$ -FITC-NPs value in presence of TNF- α ($p < 0.05$); \S : Different from SiO $_2$ -FITC-NPs value in presence of LPS ($p < 0.05$).

190x142mm (300 x 300 DPI)

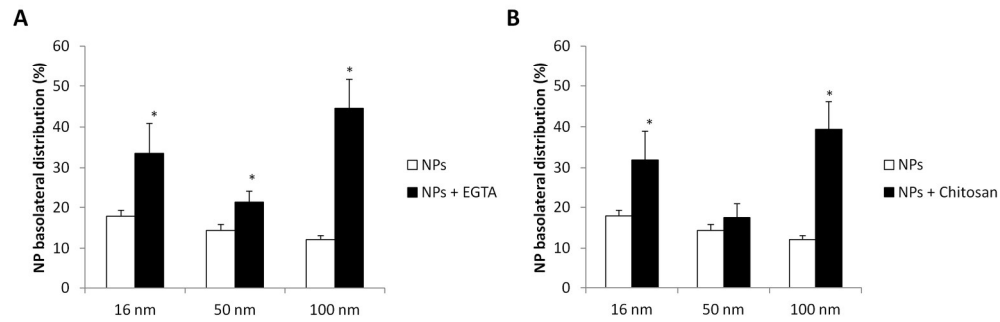


Figure 9. Modulation of NP translocation after treatment with EGTA or Chitosan. Calu-3 cells were exposed to 16- 50- 100 nm-SiO₂-FITC-NPs at 5 $\mu\text{g}/\text{cm}^2$ for 24 h. Quantification of NP translocation after 1 h of pre-exposure to (A) EGTA at 20 mM or (B) Chitosan at 0.5 mg/mL. Data were expressed as mean \pm SEM, n = 3. *: Different from NP treatment value without opening tight junctions ($p < 0.05$).

190x142mm (300 x 300 DPI)