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Cite this: DOI: 10.1039/c0xx00000x

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New Surface Radiolabeling Schemes of Super Paramagnetic Iron Oxide Nanoparticles (SPIONs) for Biodistribution Studies

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s Received (in XXX, XXX) Xth XXXXXXXX 20XX, Accepted Xth XXXXXXXX 20XX DOI: 10.1039/b000000x

Nanomaterial based drug delivery systems allow for the independent tuning of the surface chemical and physical properties that affect their biodistribution in vivo and the therapeutic payloads that they are intended to deliver. Additionally, the added therapeutic and diagnostic value of their inherent material ¹⁰ properties often provides extra functionality. Iron based nanomaterials with their magnetic properties and easily tailorable surface chemistry are of particular interest as model systems. In this study the core radius

- of the iron oxide nanoparticles (NPs) was 14.08 ± 3.92 nm while the hydrodynamic radius of the NPs, as determined by Dynamic Light Scattering (DLS), was between 90 110 nm. In this study, different approaches were explored to create radiolabeled NPs that are stable in solution. The NPs were
- ¹⁵ functionalized with polycarboxylate or polyamine surface functional groups. Polycarboxylate functionalized NPs had a zeta potential of -35 mV and polyamine functionalized NPs had a zeta potential of +40 mV. The polycarboxylate functionalized NPs were chosen for in vivo biodistribution studies and hence were radiolabeled with ¹⁴C, with a final activity of 0.097 nCi/mg of NPs. In chronic studies, the biodistribution profile is tracked using low level radiolabeled proxies of the nanoparticles of interest.
- ²⁰ Conventionally, these radiolabeled proxies are chemically similar but not chemically identical to the nonradiolabeled NPs of interest. This study is novel as different approaches were explored to create radiolabeled NPs that are stable, possess a hydrodynamic radius of <100 nm and most importantly they exhibit an identical surface chemical functionality as their non-radiolabeled counterparts. Identical chemical functionality of the radiolabeled probes to the non-radiolabeled probes was an important
- 25 consideration to generate statistically similar biodistribution data sets using multiple imaging and detection techniques. The radiolabeling approach described here is applicable to the synthesis of a large class of nanomaterials with multiple core and surface functionalities. This work combined with the biodistribution data suggests that the radiolabeling schemes carried out in this study have broad implications for use in pharmacokinetic studies for a variety of nanomaterials.

30 Introduction

Before drug candidates are approved for clinical trials in human subjects, their toxicity profile and pharmacokinetic data has to be assessed in *in vitro* tissue and *in vivo* animal models [1-4]. It is not uncommon for candidates to pass this screening process ³⁵ successfully only to exhibit poor specificity, solubility and distribution *in vivo* [5-9]. Such failures, deep in the clinical testing process, results in tremendous research and development costs that are ultimately passed on to consumers through the

limited number of drugs that do make it to the market. It is 40 possible to lower the costs of development for promising drug agents to the clinical trial phase by creating drug formulations that improve efficacy and reduce side effects through targeted delivery. Nanomaterial drug delivery systems have generated significant interest because of the potential to tune targeting ⁴⁵ moieties and therapeutic payload independently while capitalizing on the inherent material properties of the systems. They have found multiple uses in applications for drug delivery,[10] theranostics,[11, 12] biomedical imaging,[13-16] and as therapeutic agents[17, 18]. Nanomaterial systems can have ⁵⁰ diffusion kinetics that approach the molecular level while allowing the addition of cell specific targeting molecules and possible multi-therapeutic agents due to flexible surface functionalization schemes [19-22]. Nanomaterial systems can also facilitate multimodal tracking or imaging *in vivo*, which can ⁵⁵ dramatically improve the accuracy of the biostatistics collected during pre-clinical trials.

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In this study we used iron oxide based super paramagnetic nanomaterials as a model system (Fig.S1,S2), since they are already prevalent in pharmaceutical and biomedical research [23, 24]. Their inherent super paramagnetic properties make them ⁵ useful as (a) MRI contrast agents, (b) targeted drug delivery agents, (c) targeted therapeutic agents through magnetic hyperthermia, and (d) as gene transfection agents [25-28]. This broad range of applications is made possible by the fact that the surface of iron oxide nanomaterials can be easily modified to a ¹⁰ wide variety of chemical functionalities by using a range of

¹⁰ wide variety of chemical functionalities by using a range of commercially available organosilane compounds [29, 30]. Further, the radiolabeling schemes carried out on iron oxide cores, which are not as chemically inert as gold or silica cores, are easily transferrable and broadly applicable to a diverse range ¹⁵ of nanomaterials used for biomedical applications.

Biological efficacy of nanomaterial drug delivery systems depends upon the material distribution and rate of bio-absorption *in vivo*, which in turn, is also dependent on the route of delivery [31, 32]. Intra-venous injection or inhalation are the preferred

- ²⁰ routes of administration [33]. Stimulated particle deposition studies done using the industry standard Anderson Cascade Impactor clearly demonstrate, that a particle size 200 – 400 nm will leads to deposition in the alveoli and interstitium. Particle sizes below 200 nm will pass through the alveoli and be absorbed
- ²⁵ into the bloodstream [34, 35]. Previous work conducted by our collaborators had clearly demonstrated the need for iron oxide particle sizes of <150 nm for efficient dose delivery through a nebulizer [33]. Thus we set the targeted hydrodynamic radius of the magnetic nanoparticles in this study to be 100 nm. Three</p>
- ³⁰ different reaction schemes that created –COO⁻ groups on the surface of the nanoparticles were developed. The –COO⁻ groups create charge-charge separation among nanoparticles to prevent aggregate formation and enable the reaction schemes that allow for the incorporation of ¹⁴C radio isotopes into the carbon
- ³⁵ backbone of the surface functional groups. The most stable nanomaterials system designed in this study was water dispersed iron oxide nanoparticles (~10 nm) with branched carboxylic acid (-COO⁻) functional groups. Once the size and chemical stability of nanoparticles was optimized in solution, the *in vitro*
- ⁴⁰ nanotoxicity of non-radio labelled nanoparticles was determined using RAW 264.7 macrophage cells and C10 mouse lung epithelial cells. The biodistribution of the radiolabeled NPs was then assessed *in vivo* in a mouse model system.
- The radiolabeling approach used in this study was unique, as the radiolabeled nanomaterials were the same shape and surface chemistry as the non-labelled materials that they were intended to mimic. In addition, the differences in size distributions were not statistically significant. The nanoparticles synthesized in this work have implications for use in different biological
- ⁵⁰ applications. Taken together, the approach described here points towards the utility in using advanced nanomaterial synthesis strategies in coordination with multiple imaging and characterization tools to develop a more complete, multi-scale picture of nanomaterial distribution and toxicity.



Figure 1: Chemical and physical characterization of iron oxide nanoparticles. (A) SEM image of iron oxide nanoparticles dispersed in water. Scale bar = 100 nm. (B) Core size distribution of iron oxide NPs as determined by transmission electron microscopy (TEM) was 14.08 ± 3.92 nm. Scale bar = 20 nm. (C) FT-IR spectra of iron oxide show broad lines due to -OH between 2500 - 3300 cm⁻¹.(D) The hydrodynamic radius of iron oxide NPs in water was determined by DLS to be 1110.9 ± 483.3 nm.

55 Results and Discussion

Synthesis of Stably Dispersed Iron Oxide Nanoparticles:

Scanning Electron Microscopy (SEM) data indicated an iron oxide NPs core size of 14.08 ± 3.92 nm (Fig.1A-B). The Fourier Transform Infrared Spectroscopy (FT-IR) spectrum shows the to typical broad peak associated with –OH groups between 3300 - 3500 cm⁻¹ (Fig.1C). Dynamic Light Scattering (DLS) measurements of iron oxide NPs prepared by the co-precipitation method in water indicate agglomerate sizes of 1110.9 ± 483.3 nm (Fig.1D). The diameter of iron oxide agglomerates in the micron srange severely limits their suitability for biomedical applications.

- Aggregates in this size range are too big to aerosolize and clog intravenous needles depending on the flow rate of the solution [36]. This in turn will affect the rate and net dose delivered.
- Iron oxide NPs are super paramagnetic and naturally tend to 70 aggregate in solution. An effective way to reduce aggregation of nanoparticles is to increase the solubility of individual nanoparticles in solution by increasing the electrical double layer on the surface of the nanoparticles or by adding stabilizers and surfactants to the solution. It is possible to increase the zeta 75 potential of the nanoparticles in solution by creating ionic functional groups on the surface of the nanoparticles. For example this can be done by either creating $-COO^{-}$ or $-NH_{4}^{+}$ on the surface of the nanoparticles [22]. We carried out three different reaction schemes to create -COO⁻ groups on the surface ⁸⁰ of the NPs. The synthesis of –COO- groups on the surface of NPs using the Grignard method did not yield stably dispersed nanoparticles (Fig.S3). But the synthesis of -COO- groups on the surface of NPs through the base hydrolysis of nitrile (CN) groups yielded stable solutions of nanoparticles through two different 85 reaction schemes.



Figure 2: (A) Schematic of the chemical reactions required to convert the chlorine group on chloromethyltriethoxysilane coated iron oxide NPs to carboxylate functional group. The carboxylated NPs were designated as Fe-Si-COO⁻ NPs (B) FT-IR spectra of acid treated Fe-Si-COO⁻ NPs confirms the presence of –COOH groups on the surface of NPs through peaks at 930 cm⁻¹ (C-O-H), 1640 cm⁻¹ (C=O) and a broad peak between 3200 – 3500 cm⁻¹ (–OH). (C) The hydrodynamic radius of Fe-Si-COO⁻ NPs in water as determined by DLS was 187.4 ± 20.1 nm.

In the first reaction scheme (Fig.2A), iron oxide NPs were silanized with chloromethyltriethoxylsilane. The chlorine in chloromethyltriethoxysilane functionalized iron oxide NPs were substituted by Iodine using the Finkelstein reaction. The iodine in ⁵ the iodinated iron nanoparticles were replaced with a nitrile group (C≡N) by refluxing the NPs in ethanol in the presence of potassium cyanide to get C≡N functionalized iron oxide NPs (Fe-Si-CN NPs). The C≡N functional groups on the Fe-Si-CN

- NPs were converted to $-COO^{-}$ functional group by base ¹⁰ hydrolysis under reflux. The iron oxide NPs with carboxylate groups were designated Fe-Si-COO⁻ NPs. FT-IR spectra was used to confirm the presence of $-COO^{-}$ groups on the surface of the Fe-Si-COO⁻ NPs. Multiple peaks of medium to strong signal strength were located at $1000 - 1100 \text{ cm}^{-1}$ and this can be
- ¹⁵ correlated to the –C-OH chemical bonds. A strong peak between 1600 1700 cm⁻¹ can be correlated to the C=O bond and broad peak around 3300 cm⁻¹ can be correlated to the –OH group (Fig.2B). Zeta potential of Fe-Si-COO⁻ NPs was measured at -34.73 \pm 2.57 mV using a Nanobrook zetaPALS system
- ²⁰ (Brookhaven National Instruments). The high negative charge on the surface of the NPs significantly enhanced stability of the NPs dispersed in water. However the DLS measurements indicated Fe-Si-COO⁻ NPs had a hydrodynamic radius of 187.4 ± 20.1 nm, which was twice the target diameter (Fig.2C).
- 25

In the second reaction scheme (Fig.3A), iron oxide NPs were silanized with ethylenediamine. The ethylene diamine functional groups on Fe-Si-diamine NPs were converted to ethylenediaminetriacetate groups by using a modified synthesis ³⁰ of EDTA (Fig.3A)[37]. FT-IR spectra of Fe-Si-Diamine and Fe-Si-(COO⁻)₃ NPs show the evolution of formation of -COO⁻

groups which replace the hydrogen in the amine groups (Fig.3B). FT-IR spectra of Fe-Si-diamine NPs confirms the presence of amine groups by the presence of a small broad peak between ³⁵ 1000 - 1250 cm⁻¹ corresponding to C-N stretch. Peaks at 1650 - 1580 cm⁻¹ and 3300 - 3500 cm⁻¹ correspond to -NH



Figure 3: (A) Schematic of the chemical reactions required to convert the ethylenediamine functional groups on iron oxide NPs to carboxylate functional group. The carboxylated NPs were designated as Fe-Si-(COO')₃ NPs (B) FT-IR spectra of (a) Fe-Si-diamine NPs confirms the presence of amine groups by the presence of a small broad peak between $1000 - 1250 \text{ cm}^{-1}$ corresponding to C-N stretch and peaks at $1650 - 1580 \text{ cm}^{-1}/3300-3500 \text{ cm}^{-1}$ corresponding to –NH bending. (b) FT-IR spectra of Fe-Si-(COO-)₃ NPs confirms the presence of -COOH groups on the surface of NPs through strong peaks at 1580 cm^{-1} and 1395 cm^{-1} (C=O) and a broad peak between $3200 - 3500 \text{ cm}^{-1}$ (-OH). (C) The super paramagnetic nature of Fe-Si-(COO')₃ NPs dispersed in water in the presence of a magnet. (D) The hydrodynamic radius of Fe-Si-(COO')₃ NPs in water as determined by DLS was $101.7 \pm 19.4 \text{ nm}$.

bending (Fig.3B-a). After complete conversion of ethylene diamine on Fe-Si-Diamine NPs to ethylenediamine triacetate, there are strong peaks at $1650 - 1500 \text{ cm}^{-1}$ and $1210 - 1320 \text{ cm}^{-1}$ ⁴⁰ from -COOH vibration and a broad peak at 2500 - 3300 cm⁻¹ from -OH stretching, [38, 39] while the broad peak between 3300 - 3500 cm⁻¹ due to N-H stretching disappears (Fig.3B-b). FT-IR spectra of Fe-Si-(COO⁻)₃ NPs was identical to the FT-IR spectra of iron oxide NPs silanized with commercially available 45 N-[(3-trimethoxysilyl)propyl] ethylenediamine triacetic acid (Fig.S4) thus confirming our reaction scheme was successful. The -COOH functionalized nanoparticles were extremely well dispersed in solution and resistant to aggregation. The zeta potential of Fe-Si-(COO⁻)₃ NPs was measured so at -37.73 ± 2.39 mV which explained the highly stable dispersion of NPs in water (Fig.3C). The hydrodynamic radius of Fe-Si-(COO)₃ NPs as determined by DLS measurements indicated sizes of 101.73 ± 19.4 nm (Fig.3D). This size range has been shown to be ideal for both intra-venous mode of delivery and 55 delivery through a nebulizer. So, Fe-Si-(COO⁻)₃ NPs were chosen for further biomedical assessments using in vitro toxicity assays and in vivo biodistribution studies [40].

In Vitro Toxicity Assays

⁶⁰ The chemical toxicity of non-labelled Fe-Si-(COO)₃ NPs was tested against two cell lines. The cell lines used were C10 murine lung epithelial cells and RAW 264.7 macrophage cells [41-44]. The cell lines were chosen based on the two widely used routes of drug delivery: (a) Intra-venous or (b) pulmonary. If inhalation is



Figure 4: *In vitro* toxicity assays were done using Fe-Si- (COO')₃ NPs to assess their bio-compatibility. *In vitro* model cell cultures were tested for cell metabolic activity, 24 hours post-incubation with NPs, using MTT assay for (A) RAW 264.7 cells and (B) C10 cells. The cell population incubated with highest concentration of NPs did not show any increased toxicity as when compared to the cell population incubated with the lowest concentration of NPs. Statistical analysis was done using a paired t-test with a significance level p<0.05.

the mode of delivery, epithelial cell in the nasal tract and lungs will be the first to be exposed to the nanoparticles. C10 murine lung epithelial cells has been widely referenced in literature as an *in vitro* model system for studying the cytotoxicity of ⁵ nanoparticles on lung cells. If intra-venous delivery is the mode of delivery then a possible immune response could be launched by white blood cells in the blood. RAW 264.7 macrophages have been used extensively to detect phagocytosis of nanoparticles in a size, shape and chemical functionality dependent manner to assess hemotoxic effects of nanoparticles. Therefore, C10 lung epithelial cells and RAW 264.7 macrophage cells were chosen to assess *in vitro* cytotoxicity of non-radiolabelled NPs. The viability of the cell lines was assessed using MTT assay, 24 hour's post-exposure to nanoparticles as described previously ¹⁵ [14, 45].

The MTT assay is a colorimetric assay for measuring the activity of enzymes that reduce MTT to formazan dyes, giving the cell medium a purple color. The absorbance of this colored solution ²⁰ can be quantified by measuring at 490 nm. These reductions take place only when reductase enzymes are active, and therefore conversion was used as a measure of viable (living) cells. The mitochondrial activity and thereby the ability of the cell to respire for RAW 264.7 macrophage cells (Fig.4A) and C10 lung

- $_{25}$ epithelial cells (Fig.4B) were tested 24 hours after incubation with NPs using MTT assay. Five concentrations were utilized ranging from 0-200 $\mu g/mL$ of NPs. These concentrations were chosen in order to cover all possible dosage ranges for both inhalation and intravenous modes of delivery. The experimental
- ³⁰ runs were done in triplicate. Cells incubated in the absence of NPs were the control population. Statistically a paired t-test was conducted with p<0.05. The control cells exhibited the highest viability. However among the cells dosed with NPs there was no clear trend pointing to a dose dependent toxicity effect for the
- ³⁵ range of dosages analysed here. This indicated that the NPs were not demonstrating a dose dependent ability to compromise the ability of the cells to respire and thereby were not affecting cell metabolic activity. The *in vitro* toxicity assays indicated that the NPs were non-toxic to the test cell population for the 40 concentration ranges tested.



Figure 5: (A) Schematic of the chemical reactions required to convert the ethylenediamine functional groups on iron oxide NPs to carboxylate functional group with ¹⁴C incorporated in them. The carboxylated NPs were designated as <u>C14-Fe-Si-(COO')₃ NPs</u>. The specific radioactivity was 0.097 nCi/mg of NPs. (B) FT-IR spectra of Fe-Si- (COO')₃ NPs confirms the presence of –COOH groups on the surface of NPs through strong peaks at 1605 cm⁻¹ and 1342 cm⁻¹ (C=O) and a broad peak between 3200 – 3500 cm⁻¹ (–OH). (C) The hydrodynamic radius of Fe-Si- (COO')₃ NPs in water as determined by DLS was 87.11 ± 7.83 nm.

Synthesis of Chemically Identical Radiolabeled Iron Oxide 45 Nanoparticles:

Once in vitro toxicity assays showed that Fe-Si-(COO)₃ NPs were not cytotoxic, the mouse model system was chosen to track the biodistribution profile of radiolabeled Fe-Si-(COO⁻)₃ NPs. Radiolabeling NPs allows us to track the NPs in tissue samples with 50 high sensitivity using a ultra-sensitive Accelerator Mass Spectrometer (AMS). AMS counts isotopes independent of their radioactive decay; the radioisotope of interest is measured relative to a stable isotope of the same element which results in a mass ratio[46-49]. Common radiolabeling techniques include formation 55 of an amide bond between the -COO⁻ group on the surface of the nanoparticles and a radiolabeled amine compound or through the use of click chemistry [50, 51]. These more conventional techniques alter the surface chemistry, which in turn will affect the absorption, distribution, metabolism and excretion profiles of these NPs 60 (potential drug carriers) in vivo. This will result in time and money spent on collecting results, which cannot accurately predict the pharmacokinetics of the non-radiolabeled NPs in vivo. Other commonly used methods include chelating radiolabels on the surface of the NPs or adsorbing radiolabels to the surface of the NPs 65 [47, 52]. But with such techniques there is the possibility that the radiolabels will disassociate from the NPs in physiological conditions. In such cases, the radiolabel distribution measured would not be indicative of the biodistribution of NPs [53, 54]. Fe-Si-(COO⁻)₃ NPs were radiolabeled using reaction schemes that 70 allowed us to make radiolabeled NPs that were chemically identical to the non-radiolabeled nanoparticles and which gave us the most stable nanoparticles in solution. We chose ¹⁴C as the radiolabel in this study because it afforded us considerable flexibility in designing our reaction schemes. Further, majority of biological

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Figure 6: (A) The stability of radiolabels on ¹⁴C Fe-Si-EDTA NPs in (a) saline and (b) fetal bovine serum was determined to be stable for at least 48 hours. **(B)** 0.15 mg of the ¹⁴C Fe-Si-EDTA NPs were administered intra-venously through the tail as one dose. The *in vivo* biodistribution was determined 30 minutes after the final dose was delivered by using AMS to determine the ¹⁴C/¹²C ratio in tissue from different organs.

applications of AMS use ¹⁴C as the radiolabel because the majority of biological samples of interest contain carbon. Additionally, ¹⁴C is a beta emitter with a ($t_{1/2} = 5730$ years) that can be easily detected at ultra-low concentrations (10^{-18}) using accelerator mass 5 spectrometry.

To incorporate ¹⁴C into the carboxylate functional group (Fig.5A), the Fe₃O₄ NPs were first silanized with N-[3-(Trimethoxysilyl) propyl] ethylenediamine (Si-Diamine) and designated as Fe-Si-Diamine. Each functional diamine group has ¹⁰ three active sites in total, which can react to undergo a substitution reaction to incorporate a total of three –CH₂COO

- substitution reaction to incorporate a total of three $-CH_2COO^2$ groups per organic silane. The reaction employed was adapted from the commercial synthesis of EDTA from Ethylenediamine [37]. But this is the first time that the reaction has been optimized
- ¹⁵ to occur on the surface of iron oxide nanoparticles. We achieved radioactivity of 0.097 nCi/mg of NPs used for the *in vivo* biodistribution study. The radioactivity was tuneable up to 100 nCi/mg of NPs by using a ¹⁴C source with higher specific radioactivity ($5x10^6$ nCi). The radiolabeled Fe-Si-(COO')₃ NPs
- ²⁰ were designated as ¹⁴C- Fe-Si-(COO⁻)₃ NPs. FT-IR spectra confirmed chemical alikeness with the non-radiolabeled NPs (Fig.5B) and DLS indicated a hydrodynamic radius of 87.11 ± 7.83 nm (Fig.5C).
- ²⁵ After the ¹⁴C- Fe-Si-(COO⁻)₃ NPs were purified and characterized, the stability of nanoparticles in solution and under physiological conditions was determined. Characterizing the stability of radiolabels bound to the surface of the nanoparticles under physiological conditions is important, as any instability
- ³⁰ will result in free radio labels in solution, which in turn will give rise to false biodistribution profiles *in vivo*. The Stability of radiolabels on Fe₃O₄-Si-(¹⁴COO⁻) in saline and fetal bovine serum at room temperature was monitored for a time period of 48 hours (Fig.6A). There was no detectable radioactivity in the supernatant
- ³⁵ nor was there any significant loss in radioactivity in the nanoparticle fraction. This indicated that the radiolabels were linked stably to the nanoparticles surface in physiological conditions.

40 In Vivo Biodistribution of Radiolabeled NPs:

Once the stability of radiolabeled NPs in physiological medium was confirmed, 0.15 mg of ¹⁴C Fe-Si-EDTA NPs nanoparticles were administered intravenously through the tail in 100 μ l of saline followed by a 50 μ l saline flush. The *in vivo* biodistribution

45 in mouse animal model was determined 30 minutes after the final

dose was delivered. AMS was used to analyse the Biodistribution of ¹⁴C Fe-Si-EDTA NPs in tissue samples from different organs of the mouse animal model. The nanoparticles were found distributed throughout the mouse animal model with ⁵⁰ a higher concentration in lungs. This non-specific distribution of the ¹⁴C Fe-Si-EDTA NPs can be directly correlated to the biodistribution of Fe-Si-EDTA NPs in vivo without complex statistical modelling. The biodistribution after intravenous delivery of NPs at 30 minutes is shown in Figure 5. NPs were ⁵⁵ found in the phagocytic organs: lung (~400 µg/mg), liver (~150 µg/mg) and spleen (~200 µg/mg). NPs were also observed in the plasma (~50 µg/mL) (Fig.6B).

The observed biodistribution in Figure 6 was consistent with other studies that detailed biodistribution of iron oxide nanoparticles. Nanoparticles are known to be rapidly engulfed by macrophages after administration for accumulation in macrophage rich organs (liver, spleen, and lung) [55, 56]. The higher concentration of NPs in the lung could be due to entrapment of the nanoparticles in the capillaries upon transport 65 through the vasculature; this has been shown for larger NPs after injection [57]. This was an acute study aimed at showing that our unique radiolabeling scheme allowed us to create highly stable ¹⁴C labelled nanoparticles with the same chemical properties as the non-labelled NPs of interest. Radiolabeling NPs allows for 70 detection of NPs using an ultra-sensitive analytical tool like AMS, which has small sample requirements. The biodistribution data of the radiolabels can be confidently correlated to nanoparticle distribution in vivo. This negates the need for equivalency studies and affords a high level of statistical 75 significance to the raw biodistribution data obtained, which allows for accurate biostatistics.

Conclusions

This study is the first time that branched organic chains with either -COO⁻ functional group or -NH₃ functional groups 80 (Fig.S5) were synthesized in situ on the surface of iron oxide NPs to yield highly stabilized, deagglomerated, ferromagnetic nanofluids. This unique functionalization scheme allowed the hydrodynamic size of the magnetic iron oxide NPs clusters to be controlled between 75-100 nm. This was important, as this 85 allowed for dual delivery modes in vivo without any change in formulation. The same formulation of NPs were administered in vivo either intra-venously as detailed in this study or by inhalation through a nebulizer [33]. The NPs were radiolabelled by incorporating ¹⁴C directly into the carbon backbone of the 90 organic molecules on the surface of the NPs. The radioactivity of the NPs was controlled at ~ 0.1 nCi/mg. The radioactivity was tuneable up to 100 nCi/mg of NPs by using a ¹⁴C source with higher specific radioactivity of up to 5x10⁶ nCi. By radiolabeling the NPs, low concentrations of NPs can be detected with high 95 sensitivity using tools like ICP-MS and AMS, which can reach detection limits of 10⁻¹⁸ moles. The radiolabelling approach used in these studies was significant, as the probes had the same chemical properties as the non-labelled probes that they were intended to mimic. This was an acute study aimed at showing that 100 our unique radiolabeling scheme allowed us to create highly stable ¹⁴C labelled NPs with the same chemical properties as the non-labelled NPs of interest. This allows the in vivo

biodistribution of NPs to be positively correlated to the *in vivo* biodistribution data of the radiolabels. This cancels the need for equivalency studies and affords a high level of statistical significance to the raw biodistribution data obtained.

- s Additionally, the low levels of radioactivity also permit long term pharmacokinetic studies without any systemic toxicity from the radiolabel itself. Furthermore, the magnetic core of the NPs allows for dual detection schemes using techniques such as magnetic particle imaging, which introduces redundancy into the
- ¹⁰ biodistribution data. The NPs synthesized in this work have implications for use in different biological applications and the surface functionalization approach described is broadly applicable to the synthesis and functionalization of nanomaterials derived from other elements.

15 Experimental Section

Reagents:

NH₄OH(Sigma), FeCl₃ (Sigma-Aldrich), FeSO₄·7H₂O (Sigma-Aldrich), 15 MΩ milli-Q water, Chloromethyltriethoxylsilane (Gelest), NaI (Fluka), KOH (Fluka), KCN (Fluka), K¹⁴CN (ABC), N1/2 (Triangle and the state of the s

²⁰ (ARC), N-[3-(Trimethoxysilyl)propyl]ethylenediamine (Gelest), N-[(3- Trimethoxysilyl)propyl]ethylenediamine triacetic acid tripotassium salt (Gelest), Ethyleneimine (Fluka), Acetic acid (VWR), 200 proof Ethanol (VWR)

25 Synthesis and characterization of iron oxide nanoparticles:

Iron oxide nanoparticles (NPs) with a core size of $\sim 13 - 15$ nm were synthesized by standard co-precipitation method using NH₄OH as a reducing agent. 3.24 g of FeCl₃ (0.02 moles) was dissolved in 20 mL of water. 2.78 g of FeSO₄ · 7H₂O (0.01 moles)

- ³⁰ was dissolved in 5 mL of 2M HCl. The Fe(II) in HCl and Fe(III) in water were mixed together so that the molar ratio of Fe(II)/Fe(III) was 1:2 in 25 mL solution. 250 mL of 8M NH₄OH solution was added drop wise to the 1:2 :: Fe(II):Fe(III) mixture under stirring. The solution turned from a clear brown to an
- ³⁵ opaque, black colloidal suspension in under 5 minutes. The colloidal suspension was allowed to stir overnight. The resulting iron oxide NPs were then magnetically separated and rinsed with milli-Q water for 6 times and resuspended in 250 mL of milli-Q water.

Synthesis of Fe-Si-COO⁻ NPs:

500 mg of iron oxide NPs in water was magnetically separated and resuspended in 100 mL of 90% ethanol. 1 mL of chloromethyltriethoxylsilane was dissolved in 9 mL of 90%

- ⁴⁵ ethanol and then added to the NPs solution under rapid stirring. The suspension was allowed to stir overnight to form <u>Fe-Si-Cl</u> <u>NPs</u>. After overnight stirring, the Fe-Si-Cl NPs were then magnetically separated and rinsed 3 times in milli-Q water followed by 3 rinses in acetone and finally resuspended in
- 50 100 mL of acetone saturated with NaI. The Fe-Si-Cl NPs are kept stirring overnight in acetone and NaI to allow for the displacement of Cl⁻ by I⁻ to yield <u>Fe-Si-I NPs</u> (Finklestein's reaction). After overnight stirring, the <u>Fe-Si-I NPs</u> were then magnetically separated and rinsed thrice in milli-Q water, fille add to 2 display the thread t
- ⁵⁵ followed by 3 rinses in ethanol and resuspended in 100 mL of ethanol. Excess KCN was then added to the Fe-Si-I NPs in ethanol and the solution was refluxed for 12 hours so that the

Nitrile (-CN) group displaces the Iodine to yield <u>Fe-Si-CN NPs</u>. The Fe-Si-CN NPs were rinsed thrice in milli-q water, re-⁶⁰ suspended in 1N KOH and refluxed overnight. This converts the nitrile functional groups to -COO⁻ functional groups and yields <u>Fe-Si-COO⁻NPs</u>.

Synthesis of Fe-Si-diamine NPs:

⁶⁵ 500 mg of iron oxide NPs in water was magnetically separated and resuspended in 100 mL of 90% ethanol. 1 mL of N-[3-(Trimethoxysilyl) propyl] ethylenediamine was dissolved in 9 mL of 90% ethanol and then added to the NPs under rapid stirring. The suspension was allowed to stir overnight. After overnight 70 stirring, the NPs were then magnetically separated and rinsed in

milli-Q water and resuspended in 100 mL of milli-Q water and designated as <u>Fe-Si-diamine NPs</u>.

Synthesis of Fe-Si-(COO⁻)₃ NPs:

- 75 N-[(3-trimethoxysilyl)propyl]ethylenediamine triacetic acid functional groups were introduced on the surface of iron oxide NPs by adapting the procedure for the synthesis of ethylene diamine tetraacetic acid (EDTA) from ethylene diamine as described in USPTO 2387735 [37]. 200 mg of Fe-Si-diamine NPs
- ⁸⁰ were suspended in 100 mL of 10N KOH and 0.5 g KCN was added. The NPs solution was heated to 60°C and formaldehyde (HCHO) was added drop by drop under stirring. KCN was in 10% molar excess than HCHO. After 2 hours of stirring at 60°C, the solution was refluxed overnight. The NPs were magnetically
- 85 separated and rinsed in 10N KOH three times. The NPs now had N-[(3-trimethoxysilyl) propyl] ethylenediamine triacetic acid tripotassium salt as their surface functional group. The NPs surface was further saturated with N-[(3- trimethoxysilyl) propyl] ethylenediamine triacetic acid tripotassium salt to increase 90 solubility and stability [Fe-Si-(COO⁻)₃ NPs].

The <u>Fe-Si-(COO)₃</u> NPs chemical functionality was characterized using FT-IR spectroscopy (Nicolet Magna 760). Briefly, samples were prepared by dropping 100 µl of sample on a ZnSe crystal ⁹⁵ window and allowed to dry under N₂ flow. SEM samples were prepared by dropping 10 µl of samples on copper strips and allowed to dry under N₂ flow. The SEM samples were imaged with accelerating voltages of 1.3 - 1.5 keV (Zeiss Merlin). DLS was used to determine nanoparticle size and zeta potential (ζ) in ¹⁰⁰ suspension (Brook Haven 90Plus/BI-MAS). Samples for DLS were prepared by mixing 2 µl of nanoparticle solution with 1980 µl of nanopure water.

Synthesis of ¹⁴C-Fe-Si-(COO⁻)₃ NPs:

¹⁰⁵ Briefly, 200 mg of Fe-Si-diamine NPs were suspended in 100 mL of 10N KOH and 1x10⁶ nCi K¹⁴CN was added. The NPs solution was heated to 60^oC and HCHO was added drop by drop under stirring. K¹⁴CN was in 10% molar excess than HCHO. After 2 hours of stirring at 60^oC, the solution was refluxed overnight to
¹¹⁰ yield ¹⁴C-Fe-Si-(COO⁻)₃ NPs. The NPs were magnetically separated, rinsed thrice in 10N KOH and resuspended in 100 mL of 10N KOH. The ¹⁴C-Fe-Si-(COO⁻)₃ NPs in 100 mL of 10N KOH was mixed with 0.5 g KCN and heated to 60^oC. Formaldehyde (HCHO) was added drop by drop to the heated
¹¹⁵ mixture under stirring. KCN was in 10% molar excess than HCHO. This ensured any unreacted amine groups on the surface

of the ¹⁴C-Fe-Si-(COO⁻)₃ NPs would be converted to a -CH2COO⁻ functional group. After allowing the reaction to proceed overnight, the NPs were rinsed 5 times in milli-Q water and completely dispersed in 100 mL of 90% ethanol 10% water s solvent. The NPs surface was further saturated with N-[(3trimethoxysilyl) propyl] ethylenediamine triacetic acid tripotassium salt in to increase solubility and stability [Fe-Si-(COO⁻)₃ NPs]. After overnight stirring the ¹⁴C-Fe-Si-(COO⁻)₃ NPs were rinsed three times in milliQ water and resuspended in

- ¹⁰ 100 mL of milliQ water. The radioactivity of ¹⁴C Fe-Si-(COO⁻)₃ <u>NPs</u> was measured using TriCarb Liquid scintillation counter (Perkin-Elmer). 1 mL of samples solution was added to 20 mL of ultima gold XR liquid scintillation cocktail. 1 mL of supernatant and 1 mL of water were used as control samples. The scintillation ¹⁵ count from each vial was integrated over 20 minutes.
- is count from each vial was integrated over 20 minutes.

Stability of ¹⁴C-Fe-Si-(COO⁻)₃ NPs in physiological medium:

The stability of the radioactive labels on $\frac{14}{\text{C} \text{ Fe-Si-(COO})_3 \text{ NPs}}$ was determined in physiologically relevant buffer and serum.

- ²⁰ Briefly, $\frac{^{14}\text{C} \text{ Fe-Si-(COO')}_3 \text{ NPs}}{^{14}\text{C} \text{ Fe-Si-(COO')}_3 \text{ NPs}}$ were suspended in buffer or serum at concentrations comparable to that of the *in vivo* dose to be administered. At 24 hours and 48 hours post incubation the NPs were magnetically separated from the suspension. The NPs free solution was further run through a 0.1 µm filter. The filtered
- ²⁵ supernatant and a sample of the magnetic NPs were tested for their radioactivity using TriCarb Liquid scintillation counter (Perkin-Elmer), as described in the above section. There was only background levels of radioactivity in the supernatant. The difference in radioactivity of the NPs in buffer and serum
- $_{30}$ 24 hours and 48 hours post incubation was not statistically significant enough to be considered a change in radioactivity of the NPs. Hence the radiolabels were considered to be stably linked to the surface of the 14 C Fe-Si-(COO⁻)₃ NPs even in buffer and serum solutions.

³⁵ Cell toxicity assays:

<u>MTT:</u> 100 μl of 1.5 million cells/mL C10 lung epithelial cells or RAW 264.7 cells were seeded in each well in a 96 well plate. The cells were allowed to grow for 24 hours in a CO₂ incubator in ⁴⁰ complete cell culture medium. After 24 hours, the NPs at different concentrations of 10, 20, 50, 100 and 200 μg/mL was added to each well with triplicates for each concentration. 24 hours after incubation with NPs, MTT assay was used to determine cell viability by assessing mitochondrial respiration.

Intravenous administration of nanoparticles:

BALB/c male mice (n = 3) were administered a single intravenous dose of 0.15 mg ¹⁴C-labeled iron oxide nanoparticles (Specific Activity tuned to 77.6 nCi/mg [in 100 µl saline via tail

- ⁵⁰ vein injection followed by a 50 μ l saline flush. Mice were euthanized by CO₂ asphyxiation at specified time points (30 m) and blood and tissues were harvested and stored per the procedure described above. Experiments were conducted following all the guidelines and regulations set by Lawrence
- 55 Livermore National Laboratory and with Institutional Animal Care and Use Committee (IACUC) approval (protocol#206). The IACUC is a self-regulating body which derives its existence from two sources: (1) the <u>Animal Welfare Act and its amendments</u>, which are administered by the USDA through the <u>Animal and</u>

60 <u>Plant Health Inspection Service (APHIS)</u>, and (2) the Health Research Extension Act and its amendments, which are administered by the National Institutes of Health (NIH) through the <u>Office of Laboratory Animal Welfare (OLAW)</u>.

65 Sample collection for AMS analysis:

Body weights of each mouse were recorded before and after exposure. At specified time points, each group (n = 3) was euthanized by CO₂ asphyxiation; blood was collected by cardiac puncture and tissues were harvested and stored in glass ⁷⁰ scintillation vials at -80°C until analysis. Clean surgical tools were used for each animal to avoid cross contamination. Excised tissues were rinsed twice in phosphate buffered saline (PBS) to remove residual blood before storage. Collected blood was stored in heparinized MicrotainerTM tubes (Bectin Dickinson, Franklin ⁷⁵ Lakes, NJ) and placed on ice until plasma isolation. Plasma was isolated by centrifugation (10,000 x g for 2 min) and was stored at -80°C until analysis.

AMS analysis of samples:

⁸⁰ Tissues were homogenized prior to analysis using a previously established method [58]. Samples were incubated in 1 - 2 mL of buffer overnight at 37°C with gentle agitation; after digestion, samples were vortexed to break up the tissue in solution. Plasma was analysed neat, no digestion was necessary. A small aliquot of ⁸⁵ each sample was used for analysis. Samples were then converted to graphite as previously described and analysed using AMS [58]. The resulting ¹⁴C/¹²C ratios obtained by AMS (National Electrostatics Corporation) were converted to ng of nanoparticles per mg of tissue or mL of plasma after subtraction of the ⁹⁰ background carbon contributed from the sample, and correction for the specific activity, and the carbon content of the sample (10-15% for tissue, 3.8% plasma) [59].

Notes and references

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This research was supported by the B-FAST, Battelle Center for Fundamental and Applied Systems Toxicology, Multi-Scale Toxicity Initiative. This manuscript has been authored by UT-Battelle, LLC under 105 Contract No. DE-AC05-00OR22725 with the U.S. Department of Energy. Dr. Nallathamby would like to acknowledge material support through proposal #CNMS2013-153, from the Center for Nanophase Materials Sciences, which is sponsored at Oak Ridge National Laboratory by the Division of Scientific User Facilities. We acknowledge 110 the Notre Dame Integrated Imaging Facility (NDIFF) for the use of transmission electron microscopy. Instrument time at NDIIF was funded by Dr. Roeder through NSF DMR-1309587. The United States Government retains and the publisher, by accepting the article for publication, acknowledges that the United States Government retains a 115 non-exclusive, paid-up, irrevocable, world-wide license to publish or reproduce the published form of this manuscript, or allow others to do so, for United States Government purposes. The Department of Energy will provide public access to these results of federally sponsored research in accordance with the DOEPublic Access Plan 120 http://energy.gov/downloads/doe-public-access-plan

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- ‡ Electronic Supplementary Information (ESI) available: [(S1) Highs Resolution Transmission Electron Microscopy (HRTEM) image of iron oxide nanoparticles, (S2) Superconducting Quantum Interference Device (SQUID) measurement of magnetization of super paramagnetic iron oxide nanoparticles, (S3) Fourier Transform Infrared Spectroscopy (FT-IR) spectra of Fe-Si COO- NPs synthesised using Grignard reagents (S4) FT-
- ¹⁰ IR spectra of iron oxide nanoparticles silanized with commercially available N-[(3- Trimethoxysilyl)propyl]ethylenediamine triacetic acid tripotassium salt, (S5) Synthesis of hyperbranched amine functionalized iron oxide nanoparticles from amino propyl triethyl silane functionalized iron nanoparticles using ethyleneimine as an initiator and polymerizing ¹⁵ agent]. See DOI: 10.1039/b000000x/
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Figure 1: Chemical and physical characterization of iron oxide nanoparticles. (A) SEM image of iron oxide nanoparticles dispersed in water. Scale bar = 100 nm. (B) Core size distribution of iron oxide NPs as determined by TEM was 14.08 ± 3.92 nm. Scale bar = 20 nm. (C) FT-IR spectra of iron oxide show broad lines due to –OH between $2500 - 3300 \text{ cm}^{-1}$.(D) The hydrodynamic radius of iron oxide NPs in water was determined by DLS to be $1110.9 \pm 483.3 \text{ nm}$.

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Figure 2: (A) Schematic of the chemical reactions required to convert the chlorine group on chloromethyltriethoxysilane coated iron oxide NPs to carboxylate functional group. The carboxylated NPs were designated as Fe-Si-COO⁻ NPs (B) FT-IR spectra of acid treated Fe-Si-COO⁻ NPs confirms the presence of –COOH groups on the surface of NPs through peaks at 930 cm⁻¹ (C-O-H) , 1640 cm⁻¹ (C=O) and a broad peak between 3200 - 3500 cm⁻¹ (–OH). (C) The hydrodynamic radius of Fe-Si-COO⁻ NPs in water as determined by DLS was 187.4 ± 20.1 nm.



Figure 3: (A) Schematic of the chemical reactions required to convert the ethylenediamine functional groups on iron oxide NPs to carboxylate functional group. The carboxylated NPs were designated as Fe-Si-(COO⁻)₃ NPs (B) FT-IR spectra of (a) Fe-Si-diamine NPs confirms the presence of amine groups by the presence of a small broad peak between $1000 - 1250 \text{ cm}^{-1}$ corresponding to C-N stretch and peaks at $1650 - 1580 \text{ cm}^{-1}/3300-3500 \text{ cm}^{-1}$ corresponding to -NH bending. (b) FT-IR spectra of Fe-Si-(COO⁻)₃ NPs confirms the presence of -COOH groups on the surface of NPs through strong peaks at 1580 cm^{-1} and 1395 cm^{-1} (C=O) and a broad peak between $3200 - 3500 \text{ cm}^{-1}$ (-OH). (C) The super paramagnetic nature of Fe-Si-(COO⁻)₃ NPs dispersed in water in the presence of a magnet. (D) The hydrodynamic radius of Fe-Si-(COO⁻)₃ NPs in water as determined by DLS was $101.7 \pm 19.4 \text{ nm}$.



Figure 4: *In vitro* toxicity assays were done using Fe-Si- (COO⁻)₃ NPs to assess their bio-compatibility. *In vitro* model cell cultures were tested for cell metabolic activity, 24 hours post-incubation with NPs, using (A) MTT assay for (a) RAW 264.7 cells and (b) C10 cells. (B) Similarly membrane integrity to assess cell viability was assessed using LDH assay, 24 hours post-incubation with NPs, for (a) RAW 264.7 cells and (b) C10 cells. The cell population incubated with highest concentration of NPs did not show any increased toxicity as when compared to the cell population incubated with the lowest concentration of NPs. Statistical analysis was done using a paired t-test with a significance level p<0.05.



Diameter (nm)

Figure 5: (A) (A) Schematic of the chemical reactions required to convert the ethylenediamine functional groups on iron oxide NPs to carboxylate functional group with ¹⁴C incorporated in them. The carboxylated NPs were designated as <u>C14-Fe-Si-(COO⁻)₃ NPs</u>. The specific radioactivity was 0.097 nCi/mg of NPs. (B) FT-IR spectra of Fe-Si- (COO⁻)₃ NPs confirms the presence of –COOH groups on the surface of NPs through strong peaks at 1605 cm⁻¹ and 1342 cm⁻¹ (C=O) and a broad peak between 3200 – 3500 cm⁻¹ (–OH). (C) The hydrodynamic radius of Fe-Si- (COO⁻)₃ NPs in water as determined by DLS was 87.11 ± 7.83 nm (A) Schematic of the chemical reactions required to convert the ethylenediamine functional groups on iron oxide NPs to carboxylate functional group with ¹⁴C incorporated in them. The carboxylated NPs were designated as <u>C14-Fe-Si-(COO⁻)₃ NPs</u>. The specific radioactivity was 0.097 nCi/mg of NPs. (B) FT-IR spectra of Fe-Si- (COO⁻)₃ NPs confirms the presence of –COOH groups on iron oxide NPs to carboxylate functional group with ¹⁴C incorporated in them. The carboxylated NPs were designated as <u>C14-Fe-Si-(COO⁻)₃ NPs</u>. The specific radioactivity was 0.097 nCi/mg of NPs. (B) FT-IR spectra of Fe-Si- (COO⁻)₃ NPs confirms the presence of –COOH groups on the surface of NPs through strong peaks at 1605 cm⁻¹ and 1342 cm⁻¹ (C=O) and a broad peak between 3200 – 3500 cm⁻¹ (–OH). (C) The hydrodynamic radius of Fe-Si- (COO⁻)₃ NPs in water as determined by DLS was 87.11 ± 7.83 nm



Figure 6: (A) The stability of radiolabels on ¹⁴C Fe-Si-EDTA NPs in (a) saline and (b) fetal bovine serum was determined to be stable for at least 48 hours. (B) 0.15 mg of the ¹⁴C Fe-Si-EDTA NPs were administered intra-venously through the tail as one dose. The *in vivo* biodistribution was determined 30 minutes after the final dose was delivered by using AMS to determine the ¹⁴C/¹²C ratio in tissue from different organs.