In-situ nanofabrication of hybrid PEG-dendritic – inorganic nanoparticles and preliminary evaluation of their biocompatibility

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An in-situ template fabrication of inorganic nanoparticles using carboxylated PEG-dendritic block copolymers of the GATG family is described as a function of the dendritic generation, the metal (Au, CdSe) and metal molar ratio. The biocompatibility of the generated nanoparticles analysed in terms of their aggregation in physiological media, cytotoxicity and uptake by macrophages relates to the PEG density of the surface of the hybrids.

Introduction

Hybrid organic-inorganic nanoparticles (NPs) are innovative nanomaterials that attract great attention due to their unique properties in scientific research and industry. By combining the functionality of inorganic particles and the flexibility of organic templates, hybrid materials are obtained with distinct physicochemical properties for applications in biotechnology,1 memory devices,2 and sensors.3,4 Linear block copolymers are convenient organic matrices to template a variety of inorganic NPs with good control over size and particle size distribution.5,6 When appropriately designed, block copolymers result in phase-separated nanodomains with different morphologies that can direct the assembly of metallic NPs into well-defined architectures with unique mechanical, optical, magnetic and electronic properties.7 Dendrimers have also received much attention as macromolecular hosts to template inorganic NPs by exploiting their characteristic highly functional surface and globular architecture in the nanometer scale.8-12 We envisaged that appropriately functionalized linear-dendritic copolymers13 could bring together the favourable properties of dendrimers and block copolymers to template the fabrication of inorganic NPs and improve their colloidal stability and biocompatibility in physiological media. It has been reported that organosoluble linear-dendritic copolymers prepared by RAFT can disperse previously formed metallic NPs (TiO2, Au, CdSe) in organic solvents and a polymeric matrix.14 We hypothesised that the incorporation of the hydrophilic, FDA-approved poly(ethylene glycol) (PEG) as linear block could not only assist the template in-situ fabrication of NPs, but also enhance their aqueous solubility and stealth properties for biomedical applications.

Thus, it is well known that the incorporation of PEG at the focal point of dendrimers results in customizable platforms where the careful selection of the PEG length, the nature of the peripheral groups, and the structure and generation of the dendritic block entail materials for specific applications in the biomedical field.15 Indeed, polymeric micelles,16,17 hydrogels,18,19 and polymer–drug conjugates20,21 have been described from PEG-dendritic block copolymers for drug delivery, diagnosis, and tissue engineering. Herein we describe a straightforward in-situ route to generate biocompatible inorganic NPs templated by three generations (G1, G2, G3) of PEG-dendritic block copolymers of the GATG (gallic acid-triethylene glycol) family22 functionalized with peripheral carboxylate groups (Scheme 1). GATG dendrimers have recently emerged as a modular and versatile platform in the biomedical field with applications23,24,25 in drug and gene delivery,26,27,28,29 diagnosis,30 antiviral agents,31 or for the treatment of neurodegenerative diseases.32 The presence of terminal azides on GATG facilitates their efficient decoration with a variety of ligands by means of the Cu(I)-catalyzed azide–alkyne cycloaddition (CuAAC).33,34,35,36 The selection of carboxylates for the present purpose is based on their ability to complex metal ions and so direct the formation of hybrid dendritic materials. Two types of NPs have been prepared, namely noble metal Au and semiconductor CdSe. The potential of these NPs for biomedical applications has been preliminary assessed by studying their stability in serum, cytotoxicity, and phagocytosis as a function of G, the metal, and the carboxylate:metal molar ratio.

Scheme 1. Schematic representation of the preparation of metallic NPs templated by carboxylated PEG-GATG block copolymers.
Experimental

Materials and Methods. CuSO$_4$·5H$_2$O and sodium bicarbonate were obtained from Prolabo. Sodium ascorbate was purchased from Acros Organics. 4-pentynoic acid and t-BuOH were obtained from Sigma-Aldrich. MeO-PEG$_{5055}$-OH (M$_f$ 5087.8 by MALDI-TOF) was purchased from Fluka. H$_2$O was of Milli-Q grade. All other reagents were of analytical grade. Ultrafiltration was performed on stirred cells with Amicon® YM3 membranes. NMR spectra were recorded on a Bruker DRX 500 MHz. Chemical shifts are reported in ppm (δ units) downfield from internal HOD signal (D$_2$O). FT-IR (Kbr) spectra were recorded on a Bruker IFS76v. Gel permeation chromatography (GPC) experiments were performed using a PSS Suprema Lux precolumn (10 µm, 8 x 50 mm) and a PSS Suprema Lux column (10 µm, 100 Å, 8 × 300 mm) with a UV detector (254 nm). A 10 mM phosphate buffer pH 8.2 solution supplemented with 150 mM LiCl was used as eluent at 1.0 mL/min. Solutions of 0.5-1.0 mg/mL were filtered through 0.45 µm before injection. Dynamic light scattering (DLS) measurements were performed on a Malvern Nano ZS (Malvern Instruments) operating at 633 nm. NMR (500 MHz, D$_2$O) δ: 7.87-7.65 (m, 36H), 7.09 (s, 26H), 4.60-4.42 (m, 54H), 4.32-4.13 (m, 80H), 4.02 (m, 20H), 3.99-3.51 (m, 693 H), 3.41 (s, 3H), 3.20 (br s, 27H), 7.09 (s, 26H), 4.60-4.42 (m, 54H), 4.32-4.13 (m, 80H), 4.02 (m, 20H), 3.99-3.51 (m, 693 H), 3.41 (s, 3H), 3.20 (br s, 27H). 13C NMR (75 MHz, D$_2$O) δ: 181.9, 170.1, 162.3, 158.9, 152.5, 148.2, 140.3, 129.7, 123.8, 106.9, 72.7, 71.6, 71.2, 70.7, 70.3, 70.1, 70.0, 69.9, 69.7, 69.5, 69.4, 69.1, 69.0, 58.6, 50.4, 40.6, 40.0, 37.4, 22.3.

PEG-[G2]-CO$_2$Na: PEG-[G2]-N$_3$ (111 mg, 14.5 µmol), 4-pentynoic acid (25.7 mg, 263 µmol), NaHCO$_3$ (24.1 mg, 280 µmol), sodium ascorbate (6.45 mg, 32.6 µmol), and CuSO$_4$ (1.63 mg, 6.50 µmol) were dissolved in t-BuOH (0.66 mL)-H$_2$O (0.66 mL) and following the general procedure described above, PEG-[G2]-CO$_2$Na (113 mg) was obtained in 90% yield. IR (KBr, cm$^{-1}$) 3408, 2887, 1650, 1581, 1114. 1H NMR (500 MHz, D$_2$O) δ: 7.78 (s, 3H), 7.76 (s, 6H), 7.15-7.03 (m, 8H), 4.52 (br s, 18H), 4.20-4.02 (m, 20H), 3.99-3.51 (m, 693 H), 3.41 (s, 3H), 3.20 (br s, 27H), 2.94-2.83 (m, 18H), 2.54-2.44 (m, 18H). 13C NMR (75 MHz, D$_2$O) δ: 181.5, 168.8, 151.9, 147.7, 139.8, 129.8, 123.5, 106.2, 72.0, 70.9, 70.6, 69.4, 69.0, 68.7, 68.4, 68.2, 58.1, 49.7, 39.5, 36.7, 21.7.

PEG-[G3]-CO$_2$Na: PEG-[G3]-N$_3$ (78 mg, 6.0 µmol), 4-pentynoic acid (32.0 mg, 326 µmol), NaHCO$_3$ (30.2 mg, 359 µmol), sodium ascorbate (8.08 mg, 40.8 µmol), and CuSO$_4$ (2.00 mg, 8.20 µmol) were dissolved in t-BuOH (0.82 mL)-H$_2$O (0.82 mL) and following the general procedure described above, PEG-[G3]-CO$_2$Na (98 mg) was obtained in 99% yield. IR (KBr, cm$^{-1}$) 3400, 2876, 1646, 1581, 1114. 1H NMR (500 MHz, D$_2$O) δ: 7.87-7.65 (m, 27H), 7.09 (s, 26H), 4.62-4.40 (m, 54H), 4.32-3.99 (m, 80H), 3.97-3.46 (m, ~966H), 3.41 (m, 5H), 2.97-2.77 (m, 54H), 2.59-2.35 (m, 54H).

Nanoparticle Preparation. Carboxylated PEG-[Gn]-CO$_2$Na copolymers were used to prepare metallic Au and semiconductor CdSe NPs. Firstly, PEG-[Gn]-CO$_2$Na of G1, G2, and G3 were dissolved in aqueous media at a concentration of 0.3 mM. For the preparation of Au NPs, an Au precursor (HAuCl$_4$) was added to the polymer solutions at molar ratios 1:1 and 3:1 with respect to the number of carboxylate end-groups. HAuCl$_4$ was then reduced to metallic Au using a three-fold molar excess of aqueous 0.1 M NaBH$_4$. Upon addition of the reducing agent, the solutions turned ruby red indicating the formation of Au NPs. For the preparation of CdSe NPs, firstly, Cd(OAc)$_2$ was added to the polymer solutions in molar ratios 1:1 and 3:1 with respect to the number of carboxylate end-groups. Then, a fresh solution of 5 mM NaHSe in anhydrous EtOH was prepared by reaction of Se powder with NaBH$_4$ under inert atmosphere. CdSe NPs were formed upon injecting the NaHSe solution into the aqueous solution containing Cd(II) under inert atmosphere and vigorous stirring. The solutions turned brightly yellow-orange indicating the formation of semiconductor CdSe nanoclusters.

Aggregation studies. 50 µg/mL of NPs in RPMI with 10% fetal calf serum (FCS) were incubated overnight at 37 ºC and the formation of aggregates was evaluated in an optical inverted microscope (model IX50 Olympus Optical Co, GMBH, Germany). Amplification: 40x objective.

Cellular experiments. For toxicology analysis, the human tumour cell lines Hmy2 and U937 were used, which correspond to lymphoblastoid B and myeloid-monocytic lymphoma (myeloid origin) cell lines, respectively. All cells were maintained in RPMI (Gibco, Life Technologies, Grand Island) supplemented with 10% heat inactivated FCS (PAA, Linz, Austria), penicillin (100 U/mL), streptomycin (100 µg/mL) and glucose (2 mM) (Gibco) at 37 ºC in a humidified atmosphere containing 5 vol % CO$_2$. Mouse peritoneal macrophages were collected by flushing with...
PBS the peritoneal cavity of C57BL6 mice. Recovered cells were centrifuged for 5 min at 231 g and resuspended in RPMI containing 10% heat inactivated FCS.

**Cellular proliferation colorimetric assay.** Previous to perform the viability assays, the optimal cell number per well (from 5,000 up to 35,000 cells) was determined at 24 and 48 h. The number of cells in which the absorbance reached an exponential growing of cells, was considered optimal. Cells were treated with the Quick Cell proliferation testing solution (GenScript Corporation, Piscataway, NJ, USA), following manufacturer instructions. Briefly, after incubation, plates were centrifuged at 1000 g for 1 min, 100 µL of the supernatant were discarded and 50 µL of Quick Cell reagent added. Then, plates were incubated for 3 h at 37 ºC, centrifuged again and supernatants were transferred to clean plates. All assays were performed twice for each cell line, at 24 and 48 h, and in triplicate for each NPs concentration. The absorbance was measured at 450 nm in a microplate reader (MULTISKAN EX. BioAnalysisLabsystems). For viability assays, cells were incubated in the presence of 0.5 µg/mL or 50 µg/mL of NPs for 24 and 48h, and the viability was analyzed following the equation:

$$\%\text{viability} = \frac{\text{Sample absorbance (cells+NPs) – NPs absorbance}}{\text{Cells absorbance – RPMI absorbance}} \times 100$$

**Phagocytosis studies using mouse macrophages.** The protocol was adapted to the guidelines of the Spanish regulations (Royal Decree 53/2013) regarding the use of animals in scientific research and under the approval of the ethical committee of the University of Vigo (Spain) (number 03/11). Peritoneal macrophages were plated in 24-well plates (Becton Dickinson, Franklin Lakes, USA). After 1 h of incubation at 37 ºC in a humidified atmosphere, hybrid NPs were added to the cells and incubated overnight at 37 ºC with 5 vol % CO₂. Macrophages were washed several times with PBS and then 100 µL of H₂O were added to kill the cells. Cell lysates in H₂O were collected for ICP-MS assay and the concentration of phagocyted Au (per 100 µg/mL of hybrids) was measured.

**Results and discussion**

Three generations of PEG-GATG copolymers carrying 3, 9, and 27 carboxylate groups (PEG-[Gn]-CO₂Na, n=1,2,3) were readily prepared by CuAAC from the corresponding PEG-[Gn]-N₂ (90-99% yield, PEG₃₀₀₀. Figure S1 in the ESI). Complete functionalization of the copolymers was demonstrated by IR (disappearance of the intense characteristic azide signal at 2107 cm⁻¹) and H NMR (D₂O, appearance of two singlets at ca. 7.8 ppm in a ratio 1:2 corresponding to the triazol protons and a multiplet around 4.5 ppm characteristic of the methylene protons adjacent to the triazol ring). Gel permeation chromatography (GPC) confirmed the integrity and purity of the products, in addition to the expected increase in size with generation (Figure 1). These materials showed complete aqueous solubility. As seen in Figures 1 and S2, no sign of aggregation was observed by dynamic light scattering (DLS) which in agreement with GPC revealed an increase in mean hydrodynamic diameter on going from G1 (4.6 nm) to G2 (5.4 nm) and G3 (6.8 nm). Because of their high solubility and the absence of aggregation, PEG-[Gn]-CO₂Na were readily used for the preparation of NPs, namely Au and CdSe. These NPs have recently attracted much attention in the biomedical field due to their optical and luminescence properties with interesting applications in drug and gene delivery, hyperthermia treatment and tumour ablation in cancer therapy.  

![Figure 1](image)

**Figure 1.** DLS size distribution and normalized GPC elugrams of PEG-[Gn]-CO₂Na (10 mM phosphate buffer pH 8.2, 150 mM LiCl).

**Templated preparation of hybrid PEG-GATG – Au NPs.** Au NPs were synthesized in a two-step process comprising extraction of aqueous AuCl₄⁻ into the carboxylated GATG block, followed by reduction with NaBH₄. The efficiency of PEG-GATG to template NPs by this in-situ approach was evaluated by UV–vis and transmission electron microscopy (TEM). Both measurements were conducted for G1-G3 using molar ratios 1:1 and 1:3 between the carboxylate groups on the dendritic block and the inorganic HAuCl₄ precursor. Figure 2 shows typical UV–vis spectra of Au NPs (50 µg/mL) prepared within the PEG-GATG matrix. The plasmon peak at around 525 nm observed for all samples is attributed to collective oscillation of free electrons in Au NPs. The gradual increase of absorption below 400 nm is due to the inter-band transition of Au. The absorbance peak at 285 nm for all NPs is assigned to the characteristic ion-pair formation between AuCl₄⁻ and the PEG-dendritic copolymers after adding the Au-precursor.  

![Figure 2](image)
TEM micrographs in Figure 3 show Au NPs (ratio 1:1) with average size in the range 4-10 nm. NPs templated within G1 reveal irregular shape and average size 8±1.5 nm. On increasing the dendrimer generation, the templating effect of the dendritic block becomes dominant, resulting in average sizes 6±1.2 and 4±1 nm for G2 and G3, respectively. It is proposed that the larger G1 NPs are produced by overlapping of two or more smaller particles that in the two-dimensional HRTEM projection appear as a single one (Figure S3). It seems that in G1, the generated Au NPs are partially coated with dendritic wedges which lead to a high surface energy and aggregation to form larger particles. The use of the G2 and G3 copolymers results in an enhanced control over the particle growth and smaller NPs. This is attributed to a more effective passivation of the generated NPs within the dendritic matrices, a mechanism contributing to avoid flocculation probably via steric stabilisation.

**Figure 2.** UV-vis spectra of PEG-GATG – Au NPs showing a plasmon band at 525 nm and a shoulder at 285 nm.

**Figure 3.** TEM of Au NPs templated by carboxylated PEG-GATG. (a) PEG-[G1]-Au (1:1), (b) PEG-[G2]-Au (1:1), (c) PEG-[G3]-Au (1:1).

**Figure 4.** Photoluminescence spectra of CdSe NPs templated within carboxylated PEG-GATG copolymers.

**Figure 5.** TEM micrographs of the NPs are shown in Figure 5. Particles stabilised within G1 possess not uniform morphologies with average size 8±1.5 nm. As previously observed for Au, CdSe NPs stabilised by G2 and G3 markedly revealed smaller in size (5±0.1 nm), consistent again with the existence of a generation dependent mechanisms to template the NPs. In the case of the smaller particles, PEG-GATG acts as a nanoreactor controlling the growth of the CdSe NPs within defined dendritic cavities. On the contrary, the alignment of the smaller G1 wedges on the NP surface results in a lower control over size.
Aggregation in physiological media depends on the dendritic block generation. Since nanostructures for in vivo applications should be biocompatible and not aggregate in the presence of serum proteins, we decided to analyse the behaviour of the NPs in physiological media. Accordingly, all NPs were incubated in the presence of media supplemented with fetal calf serum (FCS) at 37 °C with 5% of CO_2. As seen in Table 1 and Figures 6 and S4, optical microscopy showed the presence of aggregates at higher dendrimer G and on increasing the carboxylate:metal molar ratio from 1:1 to 1:3. The increased aggregation observed at higher G can be explained by the lower PEG density on the surface of these hybrids. Indeed, the architecture of dendronized Au and CdSe NPs has been claimed as controlled by a sterically induced stoichiometry, where the relative size between the metal core and the dendrimers on the shell determines the core-shell stoichiometry by steric reasons. In this scenario, an increased number of PEG-dendritic copolymers of lower G is expected to accommodate around the metal core, resulting in a higher PEG density and enhanced stability towards aggregation with serum proteins. This situation is similar to that seen in PEG-GATG-based dendriplexes previously described by our group (a pDNA core surrounded by a shell of dendrimers) where the lower G copolymers afford a more effective shielding of the surface charge because of a denser PEG palisade. The lower PEG content also explains the larger aggregation seen on increasing the carboxylate:metal molar ratio.

Table 1: Aggregation of metal NPs in the presence of serum analyzed by optical microscopy (50 µg/mL of NPs in RPMI supplemented with 10% FCS)

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<th>METAL</th>
<th>NPS</th>
<th>Aggregation (in vitro)</th>
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<tr>
<td></td>
<td>PEG-[G1]-Au (1:1)</td>
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<td>PEG-[G1]-Au (1:3)</td>
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<td>PEG-[G3]-CdSe (1:3)</td>
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++, +, ±, − refer to high, medium, low, and no aggregation.

NPs display low toxicity. The biocompatibility of the less aggregating NPs was further assessed in cell toxicity experiments at 24 and 48 h with no statistical differences between them. Thus, PEG-[G1,2,3]-Au (1:1), PEG-[G1]-Au (1:3) and PEG-[G1,2,3]-CdSe (1:1) hybrids were tested in Hmy2 (Figure 7) and U937 (not shown) cell lines. The toxicity of the three generation block copolymers was also studied, revealing non-toxicity after 48 h of incubation with the cells (Figure S5). Following the Nanotechnology Characterization Laboratory criteria regarding toxicity (cell viability higher than 75% at 48 h), it can be concluded that the tested Au NPs showed null or very low cytotoxicity on human cells (Figure 7). This result is in agreement with previous reports from several groups, including ours, on the relative lack of toxicity of conventional Au NPs. It was also interesting to see the good viability results obtained with PEG-[G1]-CdSe (1:1) considering the high toxicity traditionally associated to CdSe systems. On increasing G, however, toxicity was induced in CdSe NPs at the highest concentration tested (50 µg/mL), a result confirming again the higher biocompatibility of the lower G hybrids as a result of their increased PEG content.
Conclusions

Three generations of PEG-dendritic block copolymers of the GATG family functionalized with peripheral carboxylates have been used to template different types of inorganic (Au and CdSe) NPs by an in-situ reduction approach. A generation dependent template mechanism was confirmed that results in an enhanced control over the particle growth and smaller sizes on increasing the dendritic generation (G). On the other hand, the higher biocompatibility of the lower G hybrids is interpreted as a result of the characteristic architecture of these metallic NPs controlled by a sterically induced stoichiometry. The presence of a single PEG chain in the block copolymers (irrespective of G) ensues a denser PEG palisade around the metal core for the lower G hybrids, that finally leads to a reduced aggregation in physiological media, cytotoxicity and uptake by macrophages. Based on these results, the incorporation of higher molecular weight PEG chains is envisioned as a prospective way to grant these hybrids with enhanced steric stabilization.

Acknowledgments

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Notes and references

8 Phagocytosis. Innate immune cells such as macrophages are very active cells in the uptake and quick removal of nanostructures from circulation.53, 54 For instance, in the case of Au, it is known that the scavenger receptor A mediates the uptake of Au colloids by macrophages.55 PEGylation has been proposed as an effective way to grant nanostructures with stealth properties and hence, prolong their circulation times.56, 57 In order to further assess the behaviour of the sterically stabilized hybrids herein reported in the presence of phagocytic cells, we have studied the uptake of the PEG-[G1,2,3]-Au (1:1) structures in normal peritoneal mouse macrophages. Thus, the hybrids were added on top of cells, and after incubation and several washes, the amount of Au was analysed by ICP-MS in the cell lysates. The results show in all cases very low concentration of Au inside the cells, in agreement with the hybrids being not highly internalized (Figure 8).

10 Relatively higher capture efficiency was however proposed as an effective way to grant nanostructures with stealth properties and hence, prolong their circulation times.56, 57 In order to further assess the behaviour of the sterically stabilized hybrids herein reported in the presence of phagocytic cells, we have studied the uptake of the PEG-[G2]-Au and PEG-[G3]-Au. Although it is not possible to exclude this higher uptake as related to properties like the size or aggregation of these hybrids in physiological media, it cannot be ignored its close correlation with their lower density of PEG compared to G1, a result that stresses again the reduction of cell uptake and potential toxic effects imparted by PEG to nanomaterials.58, 59

Figure 7. Cell viability of the Hmy2 cell line at 48 h in the presence of several NPs.

Figure 8. Phagocytosis of Au NPs by macrophages determined by ICP-MS in cell lysates.