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1	Running title: Distribution and toxicity of IOMNs in mice
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# 2 Size Dependent Biodistribution and Toxicokinetics of Iron Oxide

# 3 Magnetic Nanoparticles in Mice

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# 23 Abstract

In spite of the immense benefits from iron oxide magnetic nanoparticles (IOMNs), 24 25 there is scanty information regarding its metabolic activities and toxicity *in vivo*. In 26 this study, we investigated the size dependent in vivo biodistribution, toxicokinetics, 27 and toxicity and gene expression changes of various sizes of carboxyl coated IOMNs (diameters of 10, 20, 30, and 40 nm). Our findings demonstrated that the various sizes 28 29 of IOMNs accumulated primarily in the liver and spleen on the first day post-injection, 30 Interestingly, size dependent biodistribution and transport were observed: the smallest IOMNs (10 nm) showed the highest uptake by the liver, whereas the largest IOMNs 31 32 (40 nm) showed the highest uptake by the spleen. Moreover, the IOMNs with the smallest size (10 nm) were cleared faster from the liver and kidney, but more readily 33 34 entered the brain and the uterus. IOMNs with the largest size (40 nm) accumulated more readily but were easily eliminated in the spleen. However, the level of iron in 35 36 heart decreased in all IOMNs exposed group. In addition, blood biochemistry, 37 hematological analyses and histological examination demonstrated that there was no 38 apparent acute toxicity caused by IOMNs in mice. However, smaller IOMNs (10 nm 39 and 20 nm) more effectively changed the expression level of sensitive genes related to oxidant stress, iron transport, metabolic process, apoptosis, and others. 40

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43 Key words: iron oxide magnetic nanoparticles, size dependent biodistribution,

44 magnetic resonance imaging (MRI), toxicokinetics, gene expression

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# 45 Introduction

Iron oxide magnetic nanoparticles (IOMNs) hold great potential in a wide variety of 46 biomedical and biological applications such as contrast enhancement of magnetic 47 48 resonance imaging (MRI), targeted drug or gene delivery, tissue engineering, detoxification of biological fluids, hyperthermia in cancer therapy, cell labeling, cell 49 sorting and immunoassay, etc.<sup>1-4</sup> It is currently the most popular superparamagnetic 50 51 material used in vivo, with several commercialized products used as contrast agents or at different stages of clinical trials.<sup>1,5,6</sup> Similar to other nanoparticles (NPs). IOMNs 52 possess unique physicochemical characteristics such as nanoscale size, quantum size 53 effects, and large surface area to mass ratio.<sup>7</sup> Moreover, IOMNs show excellent 54 chemical stability, thermal and magnetic properties that promote innovative 55 applications, such as *in vivo* dual imaging (T2-weighted magnetic resonance images 56 and optical fluorescence images).<sup>8</sup> IOMNs have been most visible in the mononuclear 57 phagocyte system (MPS) including the liver, spleen, lymph nodes and bone marrow in 58 *vivo* applications.<sup>1</sup> However, due to the high particle reactivity and small size, IOMNs 59 have been shown to be toxic to cells, tissues and organs, and organisms as compared 60 with the bulk sized particles of the same composition based on accumulated 61 experimental data.9-14 62

Many *in vitro* articles have investigated the cytotoxicity of IOMNs and found inconsistent results.<sup>6,15-21</sup> Previous studies demonstrated that IOMNs induced alterations in cell behavior, morphology, and viability, as well as induced cellular oxidative stress and genotoxicity.<sup>16,17,20</sup> Whereas some other researchers found that

67 IOMNs (Ferumoxtran-10) was non-toxic to cells (no induction of cytokine and superoxide anions production, no disturbance of Fc-receptor-mediated phagocytosis) 68 even at high concentration (10 mg/mL) and when they were retained in lysosomes of 69 cells for extended periods of time.<sup>18,19</sup> Although results from these in vitro 70 cytotoxicity tests varied, they can still provide meaningful insights for the evaluation 71 of possible side effects of IOMNs in vivo.<sup>15</sup> However, there is limited number of 72 articles that showed in vivo assessment of toxicokinetic processes such as 73 biodistribution, degradation, elimination, and toxicity profile of IOMNs that also 74 looked into possible subtle changes such as genotoxicity that led to observed toxicity 75 in the *in vitro* studies. 76

In recent years, few toxicological studies reported the toxicokinetic parameters, tissue 77 78 distribution, and gene expression changes of IOMNs in mice or rats after various routes of exposure.<sup>5,6,22-25</sup> Researchers found systemic accumulation and retention of 79 Fe<sub>2</sub>O<sub>3</sub> NPs in rat lungs following intra-tracheal infusion.<sup>26</sup> Another group studied the 80 distribution, short-term toxicity, animal survival, serum biochemistry, oxidative 81 82 stress, and organ histology of IOMNs in rats following intravenous injection. The 83 IOMNs accumulated locally in the liver, spleen, brain, heart, lung, and kidney and did not cause any considerable toxicity in vivo.22 In addition, it was demonstrated that 84 Fe<sub>3</sub>O<sub>4</sub> NPs exhibited considerably higher systemic toxicity than the microparticle 85 forms.<sup>24</sup> 86

The hydrodynamic size of IOMNs affects the magnetic and biological properties, the biodistribution, toxicokinetics, elimination, and toxicity which are closely related to

89	the synthesis methodology, surface characteristics, as well as particle size. <sup>1,7,27-31</sup>
90	Along these lines, it has been reported that the distribution of gold NPs in mice was
91	size dependent such that small sized particles (5 and 10 nm) mainly accumulated in
92	the liver; medium sized particles (30 nm) were stored in the spleen, whereas the larger
93	particles (60 nm) were not observed in these organs. <sup>14</sup> Nanoparticles such as
94	InAs/ZnS QDs coated with short polyethylene glycol (PEG) chain (< 5.5 nm
95	diameter) showed rapid uptake in the liver and were easily cleared by the kidneys,
96	whereas larger QDs (> 6.5 nm diameter) were accumulated in the lymph nodes,
97	pancreas and the intestines; these were more likely subject to hepatic clearance. <sup>28</sup>
98	Moreover, PEG-coated magnetite NPs showed the doubled residence time than
99	meso-2,3-dimercaptosuccinic acid (DMSA) coated NPs in blood. <sup>32</sup> In addition, <i>in vivo</i>
100	studies on large IOMNs showed shorter blood residence time and were likely cleared
101	by the macrophages in the liver and spleen. In contrast, smaller IOMNs showed a
102	longer blood half-life and were mainly stored in the macrophages of the lymph nodes
103	or peripheral tissue. <sup>19,33</sup> Thus, the diameter of IOMNs may play an important role in
104	its kinetic behavior in vivo but there are few studies had explored the size effects on
105	their distribution, transportation, elimination, acute toxicity and genotoxicity profile.
106	Moreover, IOMNs that are coated with amphiphilic polymers with reactive carboxyl
107	group (carboxyl-coated IOMNs) can be used for in vivo tumor imaging and in vitro
108	cancer cell separation after conjugation with antibody, peptide, and other amine
109	containing molecules. <sup>3,34</sup> Thus, we evaluated carboxyl-coated IOMNs <i>in vivo</i> with the
110	purpose of systematically assessing their size dependent (10, 20, 30, 40 nm IOMNs)

- biodistribution, toxicokinetics, acute toxicity, and gene expression changes in mice to
- 112 provide valuable information and possible insights for understanding future use in
- 113 nanomedicine.
- 114

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116 Materials

Iron oxide (Fe<sub>3</sub>O<sub>4</sub>) magnetic NPs with different sizes (10, 20, 30, and 40 nm diameter) 117 118 used in this experiment came from Ocean NanoTech, LLC (San Diego, CA). Hydrophobic IOMNs were prepared using iron oxide powder as the iron precursor, 119 oleic acid as the ligands, and octadecene as the solvent. To convert the IOMNs into 120 121 biocompatible nanoparticles, these were coated with amphiphilic polymers containing carboxylic acid as functional groups as reported previously.<sup>3</sup> The sizes of the IOMNs 122 123 were confirmed with transmission electron microscopy (TEM) prior to performance of following experiments (Fig. 1a, b, c, d). Briefly, samples were prepared by 124 125 dropping solution of IOMNs onto an agar carbon-coated copper grid (400 meshes) and the solvent was evaporated, TEM images were obtained at 50-100 K 126 magnifications with a JEOL transmission electron microscope (JEOL USA, Inc. 127 Peabody, MA) operating at 100 kV as previously described.<sup>3</sup> The hydrodynamic size 128 129 distributions of IOMNs (n=4) (Fig. 1e) were measured by dynamic light scattering (DLS) using a Zetatrac Ultra 151 (Microtrac Inc., Montgomeryville, PA). To 130 determine the average surface charge on the IOMNs, the zeta potential was also 131 132 established using the Zetatrac Ultra 151.

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# 135 Animal studies

136 Sixty adult female KunMing mice (30-35 g each) were purchased from the experimental animal center of Nanchang University, China. The animals were raised 137 in an animal facility at 25°C with a 12 h light/dark cycle; the animals were 138 supplemented with food and water *ad libitum*. All procedures involving animals were 139 approved by the Animal Care Review Committee (approval number 0064257), 140 Nanchang University, Jiangxi, China and care for institutional animal care committee 141 142 guidelines. To compare the size dependent toxicity, four kinds of IOMNs with 143 different diameters were diluted using ultrapure water resulting in the same mass 144 concentration of 3 mg/mL. Two consecutive tail-vein injections (injected at 0 h and 145 24 h) of 0.1 mL IOMNs (approximate 20 mg/kg in total) solutions were administered to mice using a dose that was at medium level compared to in recent studies.<sup>23,33</sup> 146 147 which was about eight times higher than the doses that were used for clinical imaging.<sup>22</sup> The weight of the mice, food intake, and physiological behaviors were 148 examined every day. The mice were randomly divided into five groups (twelve in 149 each group, four IOMNs treatment groups corresponding with the sizes of the 150 151 nanoparticles and one control group treated with physiological saline) and were sacrificed at two time points: 1 day and 7 day post injection (n=6). Sample collections 152 153 of feces were scheduled at three time points: 1 day, 3 day, and 7 day post injection.

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# 155 **Biodistribution and toxicokinetics analysis**

Atomic absorption spectroscopy (AAS, model iCE 3500, Thermo Scientific, San Jose,
CA) was used to determine the iron (Fe) contents in the organs. The animals were

158	sacrificed at day 1 and day 7 post IOMNs injection. Samples of the liver were isolated
159	and a small portion of tissue was immediately frozen in liquid nitrogen and stored at
160	-80 °C for total RNA extraction. Other organs (liver, spleen, kidneys, heart, lungs,
161	brain, intestine, stomach, and uterus) were carefully collected, washed twice in
162	physiological saline to remove the residual blood in the organs, and weighed for
163	visceral index measurement. A small fraction of each organ (liver, spleen, and kidney)
164	was isolated and fixed in 10% paraformaldehyde. The remaining portion of each
165	organ (0.1-0.5 g) except a portion of the liver were dissolved in 12 mL digestion
166	solution (HNO <sub>3</sub> :HClO <sub>4</sub> =5:1) and were heated to $230^{\circ}$ C. The temperature was
167	increased to 280°C when the reaction reached equilibrium. The digested organ
168	samples were diluted with ultrapure water to 25 mL after removal from the heating
169	block. The diluted organ sample digests were used to determine the iron
170	concentrations with AAS.

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# 172 **Blood biochemistry and hematology**

Blood samples were harvested from the five mice groups at day 1 and day 7 after injection of 20 mg IOMNs/kg body weight (n=6). Briefly, blood collected from orbital sinus by quickly removing the eyeball, and a small amount of whole blood (0.3-0.4 mL, potassium EDTA collection tube) was used for hematology analysis, and approximately 0.8 mL blood was centrifuged to obtain at least 0.25 mL blood plasma for serum biochemistry. The residual blood from each mouse was exhausted from the

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eye socket. The whole blood was treated with anticoagulant and the blood serum was
examined at the First Affiliated Hospital of Nanchang University, Nanchang, China.

182 **Pathological examinations** 

The mice were sacrificed after blood collection and the organs (liver, spleen, and kidneys) were harvested and a small portion of each organ was fixed in 10% paraformaldehyde. Subsequently, isolated tissues were embedded in paraffin blocks (previously melted at 58°C) and frozen at 4°C before 3-5 µm sections were cut and stained with hematoxylin and eosin (H&E) for histological examination. The stained slices were observed under an Olympus optical microscope (Tokyo, Japan).

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# 190 **RT-qPCR analysis**

191 Among all the organs collected from each group of animals, the liver showed high 192 accumulation of iron. Thus, the liver was selected to determine possible changes in 193 gene expressions. Total RNA was isolated using Takara MiniBEST Universial RNA 194 extraction Kit (code no. 9767) according to the manufacturer's protocol. cDNA was 195 synthesized using Thermo scientific RevertAid First stand cDNA synthesis kit (#6162, 196 #k1622) with total RNA (480 ng) following measured the concentration of total RNA 197 using NanoDrop 1000 spectrophotometer (Thermo scientific Inc.) and examined by 198 agarose gel electrophoresis (data not shown). The qPCR primers were synthesized by 199 Invitrogen China (Shanghai, China), and are listed in the supplemented Tab. S1. The

200	gene encoding glyceraldehyde-3-phosphate dehydrogenase (GADPH) was used as
201	housekeeping gene. Quantitative PCR was performed using SYBR® Premix Ex
202	$Taq^{TM}$ II (TakaRa Code: DRR820A). The reaction mixture was prepared by mixing
203	aliquots of cDNA, 0.8 µL (10 µM) each primer, 10 µL SYBR <sup>®</sup> Premix Ex Taq <sup>TM</sup> II
204	(2×) and 0.4 $\mu L$ ROX Reference Dye II (50×) in a final volume of 20 $\mu L.$
205	Amplification was carried on a 7900HT Fast real-time System (Applied Biosystems,
206	Foster city, CA) with the following two-step thermal cycling program: 1 cycle at 95°C
207	for 1 min, then 40 cycles of 95°C for 5 s, then 60°C for 1 min. Relative gene
208	expression levels was determined by the critical threshold (Ct) number and calculated
209	using the $2^{-\Delta\Delta^{Ct}}$ method, <sup>35, 36</sup> with <i>GADPH</i> utilized as reference gene for all test
210	groups.

211

# 212 Statistical analysis

All the data were expressed as mean  $\pm$  standard deviation (n=6). Comparison of results among the groups were carried out by one-way analysis of variance (ANOVA) and L.S.D. test<sup>37</sup> using SPSS v16.0 (SPSS, Inc., Chicago, IL); \*: p < 0.05 was considered statistically significant when compared to the control; \*\*p<0.01 was considered highly statistically significant when compared to the control.

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# 219 **Results and discussion**

# 220 Characterization of IOMNs

To explore the effects of size of IOMNs in vivo in mice, four sizes of IOMNs with 221 222 diameters of 10, 20, 30, and 40 nm were used in this study. As shown in Fig. 1a, b, c, 223 and d, the four sizes of IOMNs exhibited uniform, spherical, and monodisperse state 224 under the TEM. The DLS measured hydrodynamic size distributions of the IOMNs 225 (shown in Fig.1e) were 14.32±3.48, 25.41±5.25, 34.30±6.43, and 43.10±8.15 nm (n=4) 226 corresponding to the TEM measurements (supplemental Tab. S2, n=4). The DLS 227 measurements depend on the core and the surface conditions that were subject to 228 water of hydration when the IOMNs were dissolved in water or in aqueous buffer resulting in increased size compared with the TEM size measurements. Aside from 229 230 determining the hydrodynamic sizes, the DLS instrument was also used to establish 231 the average surface charge on the IOMNs by measuring the zeta potential. The results 232 indicated that the IOMNs chosen for the studies had an overall negative zeta potential 233 with -50.63±8.26 (10 nm), -43.75±8.09 (20 nm), -41.45±7.32 (30 nm), and 234 -40.05±8.92 mV (40 nm), respectively. Additionally, electrophoretic mobility towards 235 a positive pole was also determined using gel electrophoresis. The results (data not 236 shown) indicated that all the IOMNs used in this study migrated towards the positive pole at size-dependent rates having the smallest travel the fastest and the biggest 237 238 travelling the slowest.

# 240 Size dependent biodistribution and transportation

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To harness the benefits of IOMNs in nanomedicine and biology,<sup>1,4</sup> it is necessary to 241 understand the size dependent biodistribution, toxicokinetics and toxicity of 242 243 in vivo. Additionally, particle size plays an important role in magnetic pr 244 stability, blood half-life, biodistribution, uptake, and elimination of IOMNs 245 In our studies, the sizes of IOMNs did not affect the daily animal body w 246 shown in Fig.2 (n=6). There was also no effect on food intake and behavior 247 animals. Furthermore, the various sizes did not show significant effect on th 248 of various organs (lung, liver, spleen, heart, kidney, and brain) in comparison 249 control (see supplemental Tab. S3, n=6).

250 The distribution and toxicokinetics of IOMNs were directly related with 251 content in the various organs that was established using AAS. As shown in 252 and/or supplemental Fig. S1, the various sizes of IOMNs mainly accumulate 253 liver and spleen, followed by lungs and kidney, and the least evid 254 accumulation was observed in the stomach, intestine, and uterus at day injection. Surprisingly, the iron content was significantly lowest in the heart 255 256 treated with IOMNs compared with the control mice (the concentratio 257 125.32±11.44 (10 nm), 113.69±5.97 (20 nm), 97.96±18.45 (30 nm), 104.2 258 (40 nm), and  $177.08\pm50.07 \ \mu g/g$  (control), respectively as seen in Tab. 1). The transmission of transmission of the transmission of the transmission of trans 259 indicated that larger IOMNs caused a greater decrease in the iron content of t 260 The iron level in the brain were not significantly affected at day 1 post injection as 261 shown in the Tab. 1 (18.14±2.33 (10 nm), 18.87±3.38 (20 nm), 18.07±4.40 (30 nm),  $18.02\pm4.16$  (40 nm), and  $18.54\pm1.94$  µg/g (control)). More interestingly, the smallest 262

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263	TOwins exhibited high uptake in the liver at day 1 post injection (the concentrations of
264	various IOMNs were 540.93±169.14 (10 nm), 285.36±4.67 (20 nm), 313.09±29.24
265	(30 nm), 243.82±41.60 (40 nm), and 121.77±18.99 $\mu$ g/g (control)), unlike the larger
266	IOMNs that were more likely to have been trapped in the spleen (the largest
267	concentration of iron (515.40 $\pm$ 91.71µg/g)) as was observed in the group treated with
268	40 nm IOMNs. The high accumulation of the small IOMNs in liver were similar to
269	published reports <sup>14,28</sup> using 5.2 nm and 10 nm NPs. The same observation for
270	short-term uptake of large IOMNs in spleen had also been reported for QDs (4.5 nm)
271	that were trapped in the spleen. <sup>38</sup>

At day 7 post injection, the levels of iron in the liver significantly decreased 272 specifically for the 10 nm IOMNs. In contrast, iron contents in the spleen significantly 273 increased except for the 40 nm IOMNs treatment group. It is possible that IOMNs 274 275 degraded to release iron ions that entered into the metabolic process. This process, in turn, cleared the iron levels in the liver through iron binding proteins such as ferritin 276 277 or transferrin (the store and transport systems for iron) that were mediated in liver endothelial and Kupffer cells.<sup>22, 29</sup> Iron contents in the spleen of animals treated with 278 10, 20, 30 nm IOMNs at longer time point (7 days) were increased (See Tab. 1 and 279 supplemental Fig. S1b). It could be inferred based on previous reports<sup>22</sup> that these 280 281 were a result of relatively higher expression of transferrin receptors and larger aggregates that were easily taken up by macrophages and B cells in the spleen. The 282 283 distribution and transport behaviors of 10 nm IOMNs observed in the liver and spleen was highly consistent with a recent study involving 11±2 nm IOMNs.<sup>22</sup> It was 284

285	reported that NPs (InAs/ZnS and CdSe/ZnS QDs) with diameters less than 5.5 nm
286	were easily and completely cleared from the kidney, whereas NPs with diameter $> 15$
287	nm were prevented from renal excretion. <sup>28,30</sup> Similar observations were confirmed in
288	our study which showed that only 10 nm IOMNs were cleared from the kidney at day
289	7 post injection while the larger IOMNs (20, 30, and 40 nm) were not cleared; instead
290	these were accumulated in the kidney (as shown in Tab. 1). At day 7 post injection,
291	the iron contents in the brain were 26.92±6.19 (10 nm), 25.02±6.52 (20 nm),
292	20.59 $\pm$ 2.75 (30 nm), 19.38 $\pm$ 0.87 (40 nm), 18.69 $\pm$ 0.56 µg/g (control) which showed a
293	gradual increase with decrease in the size of the IOMNs. This suggested that the
294	smaller IOMNs crossed the blood-brain barrier (BBB) at day 7 post injection. This
295	was in agreement with the reports of Jain et al. <sup>22</sup> when they found that oleic
296	acid-pluronic-coated iron oxide NPs were observed in the brain at 21 days post
297	injection but not immediately after injection. Kim et al <sup>25</sup> and Wang et al. <sup>5</sup> also
298	reported that iron oxide NPs (40 nm and 100 nm diameters) could penetrate the BBB
299	without affecting the brain functions. Similarly, we did not observe any behavioral
300	changes in the treated mice indicating that there were no significant effects on the
301	central nervous system even when the iron levels increased. Only a small part of iron
302	was transported to the brain in our study which could most probably be due to the
303	early termination of the study at 7 days post injection. Accumulation of iron in the
304	brain over extended treatment time could be a concern. Hence, in the future, longer
305	time frame of observation need to considered.

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306 Surprisingly, the iron contents in the heart of all treated groups were significantly 307 decreased at both time points. In contrast, recent studies showed that the iron levels in 308 the heart were increased following mice treatment with silica-coated IOMNs, oleic acid-pluronic-coated IOMNs, and Fe<sub>3</sub>O<sub>4</sub> magnetic NPs.<sup>5, 22, 25</sup> This discrepancy in the 309 310 iron levels in the heart may be due to the different sizes of iron oxide and the various 311 surface coating properties, both of which can influence the IOMNs degradation and 312 distribution. One possible consequence of our study could be the vital role of the heart 313 in regulating the iron homeostasis in vivo. The low level of iron in the heart could 314 most probably be a consequence of the iron binding to transferrin or other iron 315 binding proteins that could lead to iron clearance from the heart (it could also be due to the low levels of expressed transferrin receptors). Elimination of IOMNs from mice 316 317 was exhibited through the detection of iron in the animal feces that were detected at 318 day 1, day 3 and day 7 post treatment; the percentage of iron excretion was not 319 associated with the sizes of the IOMNs (as shown in Tab. 2 and/or supplemental Fig. 320 S2). Previous studies had also shown slow clearance of iron over 7 weeks or 19 days after treatment with superparamagnetic iron oxide (AMI-25 or ferumoxtran-10),<sup>33,39</sup> 321 which was in accordance with our findings, albeit done only over 7 days. 322 323 Unfortunately, we did not monitor the iron content in blood, even though smaller 324 IOMNs have a relative long half-life in blood. The high levels of iron in the liver, spleen, kidney, other organs, and feces in mice killed after day 7 post injections need 325 326 further studies in the future.

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327 Based on our data, the biodistribution, and transport of IOMNs over a short time 328 frame post injection (7 days or less post injection) was a function of particle size that 329 could be attributed to the levels of iron binding proteins such as haemosiderin, 330 ferritin, and transferrin. Unfortunately, we did not monitor the levels of these proteins in the blood during our study, therefore, these parameters warrant close monitoring in 331 332 future studies. A more extensive study taking various parameters that could shed light 333 into the biodistribution and transport of IOMNs over a longer time frame will be considered for future studies. 334

335

# **Biochemistry and hematology results**

337 NPs including IOMNs, metal, and metal oxides have similar sizes as those of viruses 338 and large proteins which could easily induce inflammatory response, immune response, and could lead to a change in hematological parameters (like white blood 339 cell count).<sup>40,41</sup> In our study, the IOMNs mainly showed accumulation in the liver. 340 341 spleen, and kidney which were vital organs to investigate with or without induction of 342 toxicity. Aside from observing the accumulation of IOMNs in these various organs, 343 we also investigated for the presence of potential side effects on these organs as well 344 as effects on their function by monitoring various serum biochemical markers that 345 were indicators of liver and kidney functions such as alanine aminotransferase (ALT), aspartate aminotransferase (AST), total bilirubin (TBIL), direct bilirubin (DBIL), total 346 347 protein (TP), albumin (ALB), globulin (GLB), the ratio of albumin to globulin (A/G), gamma glutamyl transaminase (GGT), alkaline phosphatase (ALP), creatinine (CRE), 348 17

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blood urea nitrogen (BUN), and urea (UA). By monitoring the iron levels resulting from the degradation of IOMNs which could get incorporated with the hemoglobin of the red blood cells and the iron binding protein (transferrin) in a time-dependent manner,<sup>22,39</sup> we were able to evaluate the possible acute toxicity of the IOMNs in mice. The blood samples were harvested at day 1 and day 7 post IOMNs treatment (six mice were sacrificed per time point per group). As shown in Fig. 3, the seven important hepatic indicators (ALT, AST, TP, ALB, GLB, A/G, and GGT) were not significantly altered independent of the sizes of IOMNs treatment in comparison with the control. However, mice treated with the 10 nm IOMNs showed significantly increased levels of TBIL and DBIL; the ALP significantly decreased (P<0.05) but remained within the normal range on day 1 post injection. At day 7 post injection, all the results showed no significant difference in comparison with the control. The serum levels of TBIL, ALP, especially DBIL in 10 nm IOMNs treated group could be attributed to the observed high uptake of iron in the liver as shown on Tab. 1 which could have affected the excretion function of the bile duct. The monitored indicators of kidney function were CRE, BUN, and UA which exhibited similar levels as the control group. There were no significant variations observed among the various IOMNs treatment groups in both time points which could be associated with relatively low levels of iron in the kidney. In conclusion, there were no obvious hepatic and renal toxicity observed in the animals after IOMNs treatment, which suggested that the IOMNs studied were not toxic in vivo in mice.

370	NPs could be stored and degraded in the vasculature but the small IOMNs showed
371	relatively longer half-life in blood. <sup>19</sup> IOMNs or component materials may interact
372	with hematological factors such as red blood cells and white blood cells. <sup>41</sup> Therefore,
373	we studied the following typical hematological indicators: white blood cells count
374	(WBC), red blood cells count (RBC), hemoglobin (HB), polymorphomultinuclear
375	neutrophil granulocyte (PMN), lymphocyte (LY), mean corpuscular hemoglobin
376	concentration (MCHC), and platelet count (PLT). Representative hematological
377	results listed in Fig. 4 did not significantly change except the WBC, PMN, and PLT in
378	the IOMNs treatment groups compared with the control group. PLT showed a
379	transient reduction on day 1 post injection which recovered to the same level as the
380	control on day 7 post injection. Smaller IOMNs have been reported to show longer
381	residence in the blood that may have significantly changed (P<0.05) the levels of
382	WBC and PMN without causing significant toxicity. However, these changes could
383	potentially affect the applications in vivo due to a higher number of 10 nm IOMNs
384	that were phagocytosed and which were potentially eliminated by PMN. Since iron in
385	the body is mostly found in hemoglobin <sup>22</sup> and IOMNs could be degraded and the
386	released iron ions incorporated with hemoglobin in red blood cells in a
387	time-dependent manner <sup>39</sup> , the slight insignificant decrease in RBC and HB from the
388	10 nm IOMNs treatment group at day 7 post injection (Fig. 4b, c) may have resulted
389	from the longer residence in blood that caused a disturbance in the iron homeostasis in
390	vivo. However, the slight changes in the levels of the hematological indicators were

within the normal range<sup>42</sup> and did not manifest observable toxicity *in vivo*. Other
hematological data were included in the supplemented Fig. S3.

393

# 394 Histology results

Further evaluation of IOMNs toxicity through histopathological examination of organs to determine potential tissue damage, inflammation, or lesions from exposure were performed. Three representative organs where iron significantly accumulated (the liver, spleen, and kidney) were used to prepare tissue slides that were fixed, stained, and analyzed. As shown in Fig. 5, no apparent histopathological abnormalities or lesions were observed in comparison with the control.

401

# 402 Gene expression changes in the liver

403 In order to investigate the subtle IOMNs induced changes *in vivo* (and it is known that inorganic NPs including IOMNs, titanium dioxide NPs, quantum dots easily induced 404 405 oxidative stress, immune response, metabolic process change, apoptosis, and cell proliferation *in vitro* and *in vivo*<sup>7,15,18-21,23,25,4351</sup>) we followed the changes in gene 406 407 expressions in the liver. We selected representative gene expression levels in the liver 408 at day 7 post-injection. Heme oxygenase 1 (*Hmox1*) and glutamate-cysteine ligase 409 catalytic subunit (Gclc) were considered the indicators of oxidative stress, and the 410 nuclear factor erythroid 2 related factor 2 (Nrf2) has been used as a critical regulator of antioxidant response in biological systems in relevant studies.<sup>43, 45</sup> Furthermore, 411

412	Hmox1 protein was reported as a recognized biomarker in the CdSe/ZnS quantum
413	dots induced cytotoxicity. <sup>46</sup> Several sensitive indictors of genes related to metabolic
414	processes (Cytochrome P450, family 1, subfamily a, polypeptide 1, Cyp1a1;
415	Proprotein convertase subtilisin/kexin type 9, Pcsk9; Acetyl-CoA acetyltransferase 2,
416	Acat2), immune response (Interleukin 20, IL20; Trace amine-associated receptor 1,
417	Taar1; Tumor necrosis factor receptor superfamily, member 11a, NFkB activator,
418	Tnfrsf11a), apoptosis (p53; Bcl2-bax; V-fos FBJ murine osteosarcoma viral oncogene
419	homolog, Fos), and cell proliferation (Leucine-rich repeat containing G
420	protein-coupled receptor 4, Lgr4) were monitored. <sup>44, 47-49</sup> In addition, considering that
421	the IOMNs could be degraded and may affect the expression of essential trace metal
422	transporter genes, transferrin (Trf, a Fe transporter), metallothionein 1 (Mt-1, an
423	inducible protein by metallic elements such as Cd), and Zrt- and Irt-related protein 14
424	(Zip-14, a Zn and Fe transporter) were also assessed. <sup>45</sup> As shown in Fig. 6, majority of
425	the gene expressions including p53, Zip-14, Pcsk9, Hmox1 and Gclc were all
426	down-regulated in the animals that were exposed to IOMNs. Pcsk9 and Hmox1
427	showed the highest decrease in expression which declined over 130 times and 90
428	times, respectively from the treatment with all four sizes of IOMNs. This
429	demonstrated that <i>Pcsk9</i> and <i>Hmox1</i> were extremely sensitive to exposure to IOMNs
430	which could be indicative of minor oxidative stress and minor changes in metabolic
431	processes but did not manifest toxicity in mice over the 7-day duration of this study.
432	Thus, it is very important to follow the levels of these gene expressions in studies of
433	longer or even extended duration in the future.

Only one gene, the *Nrf2* expression, was up-regulated among the animals that were exposed to four different sizes of IOMNs. Since the *Nrf2* has been used as a critical regulator of antioxidant response in biological systems as a cellular defense in the presence of oxidative stress, the up-regulation of its gene expression may be inferred as a response to IOMNs induced oxidative stress. Further studies following the gene expression of *Nrf2* in animal studies of longer or even extended duration in the future must be monitored.

Some gene expressions exhibited varying responses resulting from exposure to the four different sizes of IOMNs. For instance, the gene expression of *Taar1*, which is indicative of an immune response, increased in animals exposed to IOMNs that were less than 30 nm in diameter but decreased with the 40 nm IOMNs. In order to arrive at a conclusion for the *Taar1* expression behavior, we need further *in vivo* studies at extended periods.

447 Moreover, we attempted to explore if gene expression changes were dependent on the 448 diameter of IOMNs following four sizes of IOMNs administration. Obviously, 449 although the up-regulated and down-regulated of genes expression were observed, it was found that the smaller sizes of IOMNs (10 nm and 20 nm) showed more 450 451 significant effects than the larger IOMNs (30 nm and 40 nm) for most of the genes. 452 For instance, *Hmox1* expression level decreased with treatment from all the four sizes 453 of IOMNs, while the 10 nm IOMNs induced the most significant decrease in gene expression (about -46.7150 times). Since Hmox1 is a rate-limiting enzyme in the 454 degradation of heme to produce biliverdin, CO, and iron<sup>43</sup>, it was possible that the 455

456	high concentration of iron in the liver of animals treated with 10 nm IOMNs may
457	have suppressed the expression of <i>Hmox1</i> . It is necessary to perform <i>in vivo</i> studies
458	over longer durations to verify the effects of 10 nm IOMNs on <i>Hmox1</i> .
459	The gene expression level evaluations demonstrated that even though no observable
460	toxic effects were induced by the four sizes of IOMNs used in this study at 20 mg/kg
461	over a 7-day duration, size related gene expression changes were found. These genes
462	were specifically indicative of oxidative stress, immune response, iron transport,
463	metabolic process, apoptosis, and more. However, it is imperative to perform <i>in vivo</i>
464	studies over longer durations to further explore and/or confirm our observations.

465

# 466 **Conclusion**

We systematically explored the *in vivo* size dependent biodistribution, transportation, 467 468 toxicity, and gene expression changes resulting from mice exposure to various sizes 469 of carboxyl-coated IOMNs (10, 20, 30, 40 nm diameter). Data gathered indicated a 470 size dependent biodistribution and gene expression changes (as shown in Fig. 7). The 471 smallest IOMNs (10 nm) showed the highest uptake in the liver, whereas the largest 472 IOMNs (40 nm) showed the highest uptake in the spleen on day 1-post injection. The 473 10 nm IOMNs was more easily cleared from the kidney and more readily penetrated 474 the BBB. The 40 nm IOMNs was as readily accumulated as were cleared from the 475 spleen. In addition, IOMNs did not show significant toxicity through analysis of blood biochemistry, and hematological plus histological assessment. However, the smaller 476 477 IOMNs induced significant changes in the gene expression level of susceptible genes (such as Pcsk9 and Hmox1) that were related to oxidative stress and metabolic 478 479 processes. The potential adverse effects of IOMNs based on transcriptomics and proteomics *in vivo* must be performed to identify the biomarkers or key proteins and 480 481 achieve conclusive results. Furthermore, long-term distribution, pharmacokinetics and 482 toxicity and genotoxicity evaluation of IOMNs require further explorations to 483 facilitate better understanding towards future applications of IOMNs in nanomedicine.

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# **Figure captions**

**Figure. 1** Characterizations of carboxyl coated iron oxide magnetic nanoparticles (TEM and DLS). a-d) are showed the diameters of four sizes of IOMNs: the 10 nm IOMNs (a), 20 nm IOMNs (b), 30 nm IOMNs (c), and 40 nm IOMNs (d). Scale bar: 100 nm. e) represent the hydrodynamic sizes and zeta potentials of various IOMNs, n=4.

**Figure. 2** Body weight of Kunming mice following intravenous injection of various sizes of IOMNs. All the administration doses were 3 mg/mL×100  $\mu$ L×2. These represent the mean and standard deviation, n=6.

**Figure. 3** Serum biochemical analysis from animals treated with various sizes of IOMNs and control. a-m) results represent the mean and standard deviation of ALT (a), AST (b), TBIL (c), DBIL (d), TP (e), ALB (f), GLB (g), A/G (h), GGT (i), ALP (j), CRE (k), BUN (l), and UA (m). Abbreviations: alanine aminotransferase, ALT; aspartate aminotransferase, AST; total bilirubin, DBIL; direct bilirubin, DBIL; total protein, TP; albumin, ALB; globulin, GLB; the ratio of albumin to globulin, A/G; gamma glutamyl transaminase, GGT; alkaline phosphatase, ALP; creatinine, CRE; blood urea nitrogen, BUN; and urea, UA, n=6. \*: P < 0.05 versus the control group.

**Figure. 4** Whole blood analysis from animals treated with various sizes of IOMNs and control. a-j) results represent the mean and standard deviation of white blood cells count, WBC (a); red blood cells count, RBC (b); hemoglobin, HB (c); neutrophil granulocyte, NE (d), lymphocyte, LY (e), mean corpuscular hemoglobin concentration,

U

MCHC (f), and platelet count, PLT (j), n=6. \*: P < 0.05 versus the control group.

Figure. 5 Histological images in treated animals exhibit no signs of toxicity. Liver, spleen, and kidney from animals treated with various sizes of IOMNs and control. D1 and D7 mean the organs were collected from mice on day 1 and day 7 post injections, n=6.

**Figure. 6** Size related gene expression changes of various sizes of IOMNs in liver at 7 d post injection. The relative expression ratio was presented as a  $log_2$  value in the histogram. A ratio greater than zero indicated up-regulation of gene expression, whereas a ratio below zero indicated down-regulation.

**Figure. 7** The proposed size dependent biodistribution and gene expression changes resulting from treatment with of various sizes of IOMNs based on the data accumulated in this study. D1, D3, and D7 mean the organs or tissues were collected from mice post-injection in day 1 and day 7, n=6.

Figures





Fig.1. Yang et al.



Fig. 2. Yang et al.









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Fig. 3. Yang et al.



Fig. 4. Yang et al.



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Fig. 5. Yang et al.







Fig. 7. Yang et al.

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# Tables

**Table 1**. Size dependent biodistribution of various sizes of IOMNs at 1 d (A) and 7 d (B) after injection. These results show mean and standard deviation of iron contents in these organs at both time points. D1 and D7 mean the organs were collected from mice post-injection in day 1 and day 7, n=6. \*: P < 0.05 versus the control group; \*\*p<0.01 versus the control group.

IOMNs	Heart (µg/g)	Liver (µg/g)	Spleen (µg/g)	Lung (µg/g)	Kidney (µg/g)	Brain (µg/g)	Stomach (µg/g)	Intestine (µg/g)	Uterus (µg/g)
10 nm (D1)	125.31±11.48*	540.93±169.13**	358.83±40.47*	169.40±9.99*	93.41±5.41**	18.14±2.13	55.72±15.18**	46.62±7.98**	37.22±7.11
20 nm (D1)	113.70 ± 5.97**	$285.36 \pm 4.68*$	252.67±38.57	143.71±20.40	82.79±5.66**	18.87±3.39	30.44±8.52	40.19±7.02	30.96±7.28
30 nm (D1)	97.97 ± 18.45**	313.09±29.24**	325.57±30.71**	168.42±8.21*	85.61±3.14**	18.07±4.40	34.28±2.56	31.75±9.38**	26.73±3.27
40 nm (D1)	104.22±16.87**	243.83±41.61*	515.39±91.71	159.20±30.48*	88.09±11.66**	18.02±4.17	43.20±4.36	47.50±12.74	36.05±16.83
Control (D1)	177.08±50.07	121.77±18.99	277.63±6.01	117.45±44.93	62.57±11.02	18.54±1.94	36.93±1.89	27.74±0.49	28.87±3.37
10 nm (D7)	115.84±12.56*	369.79±42.17**	506.26±102.73**	139.08±19.32	79.81±3.44*	26.92±6.19*	56.93±2.83*	40.08±3.85*	36.67±6.30
20 nm (D7)	125.25±42.86*	281.82±49.72**	373.94±11.72	125.59±15.81	97.78±11.91**	25.03±6.52	44.43±3.60	32.05±7.13	30.03±5.35
30 nm (D7)	137.28±22.94	268.62±27.13**	467.44±132.11**	195.58±41.50*	93.68±10.35**	20.59±2.75	60.04±19.74*	35.09±16.28*	26.06±3.30
40 nm (D7)	121.59±14.37*	181.56±23.09*	394.88±51.12	152.98±25.01	98.65±13.81**	19.38±0.86	57.74±0.22*	40.01±0.24*	30.30±6.66
Control (D7)	181.49±49.29	112.96±7.07	279.05±7.79	129.75±35.93	63.37±8.10	18.69±0.57	38.17±1.19	28.31±0.61	30.16±2.34

**Table 2.** Iron levels in animal feces as indicator of IOMNs elimination at serial time points post injection. These results show mean and standard deviation, D1, D3, and D7 mean the organs were collected from mice post-injection in day 1, day 3, and day 7, respectively. n=6. \*: P < 0.05 versus the control group.

Day	Feces samples (µg/g)				
	10 nm IOMNs	20 nm IOMNs	30 nm IOMNs	40 nm IOMNs	Control
D1	$182.05 \pm 39.80*$	$158.25 \pm 24.01$	183.02± 5.64*	$180.33 \pm 14.87*$	$132.02 \pm 11.17$
D3	$189.55 \pm 23.01*$	187.76±28.89*	$159.17 \pm 18.32$	201.10± 11.74*	$127.74 \pm 7.29$
D7	194.37±29.84*	$173.52 \pm 6.50$	186.50± 13.22*	197.72± 59.39*	$132.52 \pm 6.67$

# Graphical abstract

