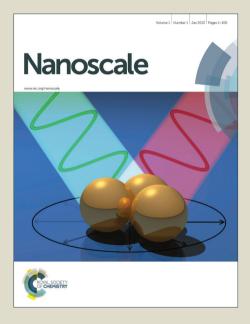
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### PAPER

# High-rate amorphous SnO<sub>2</sub> nanomembrane anodes for Li-ion batteries with long cycling life

Xianghong Liu, <sup>\*a</sup> Jun Zhang,<sup>d</sup> Wenping Si,<sup>ab</sup> Lixia Xi,<sup>e</sup> Steffen Oswald,<sup>e</sup> Chenglin Yan<sup>\*ac</sup> and Oliver G. Schmidt<sup>ab</sup>

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Amorphous  $\text{SnO}_2$  nanomembranes as anodes for lithium ion batteries demonstrate long cycling life of 1000 cycles at 1600 mA g<sup>-1</sup> with a high reversible capacity of 854 mAh g<sup>-1</sup> and high rate capability up to 40 A g<sup>-1</sup>. The superior performance benefits from the structural features of the amorphous  $\text{SnO}_2$  nanomembranes. The nanoscale thickness provides much reduced diffusion paths for Li<sup>+</sup>. The amorphous structure can accommodate the strain of lithiation/delithiation especially during the initial lithiation. More importantly, the mechanical feature to deform can buffer the strain of repeated lithiation/delithiation thus put off pulverization. In addition, the two-dimensional transport pathways in between nanomembranes make the pseudo-capacitance more prominent. The encouraging results demonstrate the great potential of nanomembranes for high power batteries.

#### 1. Introduction

With the increasing demands for high power lithium ion batteries (LIBs), significant efforts have been devoted to improving the cycling life, reversible capacity and rate capability. Among the newly-minted metal oxide anode materials for LIBs, with high theoretical capacity and environmental benignity, SnO<sub>2</sub> has received extensive research attention. The lithiation mechanism of SnO<sub>2</sub> includes the reduction of SnO<sub>2</sub> into Sn nanoparticles and the subsequent alloying of Sn with lithium to form Li<sub>x</sub>Sn (0≤x≤4.4), which can be expressed by the following equations:<sup>1, 2</sup>

$SnO_2 + 2Li^+$	$+ 2e^- \rightarrow SnO + Li_2O$	(1)

 $SnO + 2Li^{+} + 2e^{-} \rightarrow Sn + Li_2O \tag{2}$ 

 $Sn + xLi^{+} + 2e^{-} \rightarrow Li_{x}Sn \ (0 \le x \le 4.4)$ (3)

The theoretical capacity of  $SnO_2$  based on Equations (1~3) is as high as 1493 mAh g<sup>-1</sup>, which includes the reduction capacity of SnO<sub>2</sub> (Equations (1) and (2), only partially reversible, 711 mAh g<sup>-1</sup>) and the subsequent alloying capacity of Sn (Equation (3), highly reversible, 782 mAh  $g^{-1}$ ). However, the main problem faced with SnO<sub>2</sub> is the fast pulverization caused by the large volume expansion, which leads to a rapid capacity decay and short cycling life. As demonstrated by many researchers, this problem can be alleviated by rationally designing appropriate nanoarchitectures.<sup>3, 4</sup> Compared with the bulk counterparts, nanostructures have several advantages for applications in LIBs such as the much shortened diffusion paths for Li<sup>+</sup>, the large contact area between electrolyte and electrode, as well as sufficient free space to relax the strain of lithiation/delithiation.<sup>3, 4</sup> Therefore, various nanostructures of SnO<sub>2</sub> such as ultrathin nanocrystals,<sup>5, 6</sup> nanorods,<sup>7</sup> nanowires,<sup>1, 8</sup> nanotubes,<sup>9</sup> nanosheets,<sup>10</sup> hollow spheres,<sup>11, 12</sup> nanoboxes,<sup>13</sup>

 $\text{SnO}_2$  yolk-shell nanospheres, <sup>14</sup> as well as  $\text{SnO}_2$ /carbon composites, <sup>15-18</sup> have been fabricated to improve the reversible capacity and extend the cycling life. However, it's still challenging to improve the cycling life of pure  $\text{SnO}_2$  anodes to thousand cycles with satisfactory reversible capacity.

Among various nanostructures, nanomembranes are particularly suitable for applications in electronic and energy devices because of the special electronic structure on the surface.<sup>19, 20</sup>. The nanomembranes have unique mechanical feature which makes the nanomembranes to be deformable into various rolled-up, wavy and helical architectures.<sup>19, 21, 22</sup> This special mechanical feature is highly advantageous for the applications in LIBs and especially expected to buffer the strain of lithiation/delithiation against pulverization.<sup>23, 24</sup> In this contribution, the SnO<sub>2</sub> nanomembranes are investigated as anodes for LIBs, which exhibit long cycling life of 1000 cycles and high rate capability up to 40 A g<sup>-1</sup>. This work demonstrates the great potential of nanomembrane structure for high-performance batteries.

#### 2. Experimental

#### Material fabrication

For the material fabrication, photoresist AR-P 3510 as sacrificial layer was first spin-coated onto a Si wafer substrate and then a 40 nm SnO<sub>2</sub> film was deposited onto the sacrificial layer by electron-beam (Edwards AUTO50) with bulk SnO<sub>2</sub> source (Kurt J. Lesker) at the depositing rate of 2 Å/s in O<sub>2</sub> atmosphere  $(1.4 \times 10^{-4} \text{ mbar})$ . The thickness of the deposited film was controlled by a quartz crystal microbalance, and the tooling factor was calibrated by depositing a 200 nm film, the actual thickness of which was then measured by a Profilometer Dektak XT (Brucker). The nanomembranes were obtained by

Page 2 of 6

selectively removing the underlying sacrificial layer (photoresist) with acetone. The exfoliated nanomembranes were filtered and washed thoroughly with acetone for several times, and finally dried in a critical point dryer (CPD).

#### **Material Characterization**

The morphology of the as-obtained  $SnO_2$  nanomembranes was observed by scanning electron microscopy (SEM, DSM 982, Zeiss). The composition was characterized by energydispersive X-ray spectroscopy (EDX, Bruker XFlash Detector 4010) together with scanning electron microscopy (SEM, LEO, GEMINI, 1530). Raman spectroscopy (Renishaw) was performed at a 442 nm wavelength to identify the composition and structure. The composition and oxidation state of the element was identified by X-ray photoelectron spectroscopy (SPECS, PHOIBOS 100, Mg-K $\alpha$ , 12.5 kV, 300 W, 15 eV pass energy).

#### **Electrochemical measurements**

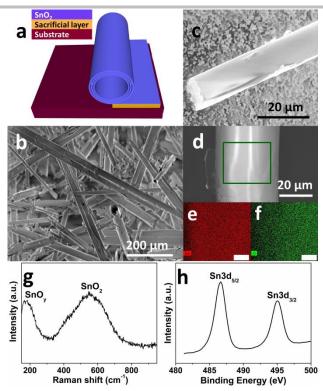
Electrochemical measurements were performed with twoelectrode Swagelok-type half-cells assembled in an Ar-filled glove box (H<sub>2</sub>O, O<sub>2</sub><0.1 ppm, Mbraun, Germany). To prepare the working electrode, the SnO<sub>2</sub> nanomembranes were ground in mortar with conductive additive carbon black (Timcal) and sodium alginate (Aldrich) binder (7:2:1 by weight) in water, then the paste was coated onto a Cu foil (Goodfellow) current collector and finally dried at 60 °C for 10 h in vacuum oven. The dried electrode plate was punched into several discs with  $\phi$ =10 mm for cells assembly. The areal density of the active electrode material in the punched electrodes was determined to be about 0.63 mg cm<sup>-2</sup>. The battery was assembled with a punched electrode disc as working electrode, a Li foil ( $\phi=10$ mm) as counter/reference electrode, a glass fiber membrane (Whatman) as separator, and the solution of 1 mol  $L^{-1}$  LiPF<sub>6</sub> in ethylene carbonate/dimethyl carbonate/diethyl carbonate (1:1:1, wt. %, Merck) including 2 vol. % vinylene carbonate (Merck) additive as electrolyte. The galvanostatic discharge/charge cycling was performed with a multichannel battery-testing system (Arbin BT 2000) within 0.01~3.0 V vs. Li/Li<sup>+</sup> at different current densities. The cyclic voltammetry (CV) at a scan rate of 0.1 mV s<sup>-1</sup> within 0.003~3.0 V vs. Li/Li<sup>+</sup> as well as the electrochemical impedance spectroscopy (EIS) within 100 kHz $\sim$ 500 µHz with a perturbation voltage of 10 mV at both fixed potential of 3.0 V and open circuit potential were performed on a Zahner electrochemical workstation (IM6) at room temperature.

#### 3. Results and discussion

#### **Materials Characterization**

The amorphous  $\text{SnO}_2$  nanomembranes were obtained via a sacrificial layer assisted exfoliation strategy, as schematically shown in Fig. 1a. The nanomembranes were exfoliated from the substrate and meanwhile driven to roll up by the released built-in strain during selectively removing the underlying sacrificial layer.<sup>21, 25</sup> The scanning electron microscopy (SEM) images in Fig. 1b and 1c show the rolled-up tubular morphology of the asobtained SnO<sub>2</sub> nanomembranes. The element composition and uniformity were first analyzed by energy-dispersive X-ray (EDX). The element mapping images in Fig. 1e and 1f were taken from the selected area of the single rolled-up nanomembrane in Fig. 1d. These mapping images clearly reveal

Raman spectroscopy was employed to further analyze the composition and structure of the SnO2 nanomembranes. As shown in Fig. 1g, the peak located at  $\sim 170 \text{ cm}^{-1}$  is assigned to the sub-stoichiometric transition oxide  $SnO_{y}$  (1<y<2) phase with intermediate oxidation.<sup>26</sup> The very broad peak in between 400~700 cm<sup>-1</sup> and centred at ~ 550 cm<sup>-1</sup> is related to the SnO<sub>2</sub> matrix. This broad peak is the typical Raman feature of amorphous SnO<sub>2</sub>, which is caused by the overlapping of various Raman modes such as the amorphous mode, the surface phonon modes of  $S_1$  (~576 cm<sup>-1</sup>) and  $S_2$  (~542 cm<sup>-1</sup>) band for SnO<sub>2</sub> with particle size of about 2~3 nm, and the amorphous tin (IV) hydrous oxide Raman band at 576 cm<sup>-1,27, 28</sup> The surface composition and element valence state of the SnO<sub>2</sub> nanomembranes were determined by X-ray photoelectron spectroscopy (XPS) analysis. The Sn 3d spectrum in Fig. 1h exhibits two peaks at the binding energy of ~486.6 and ~495.1 eV with the spin-orbit splitting of 8.4 eV, assigning to the Sn  $3d_{5/2}$  and  $3d_{3/2}$  doublet of Sn<sup>4+,29</sup> However, the Sn  $3d_{5/2}$  and  $3d_{3/2}$  doublet are both slightly asymmetric, which indicates a very small amount of  $Sn^{2+}$  in the  $SnO_2$  nanomembrane matrix due to the nonstoichiometric oxygen deficiency during e-beam deposition. The XPS analysis agrees very well with the results of the Raman characterization.



**Fig. 1** Fabrication and characterizations of the as-obtained  $SnO_2$  nanomembranes: (a) fabrication scheme, (b) overall SEM image, (c-d) a single rolled-up nanomembrane, (e) Sn and (f) O element mapping images (scale bar: 6 µm) of the selected area in (d), (g) Raman and (h) Sn 3d XPS spectrum.

#### **Electrochemical Performance**

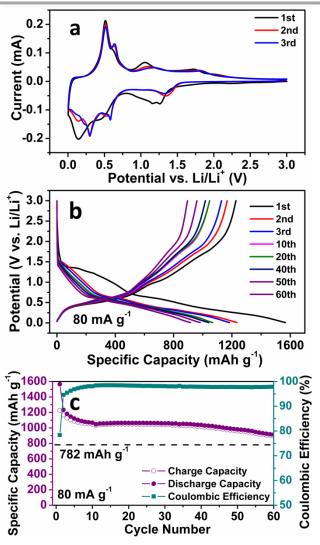
The electrochemical properties of the SnO<sub>2</sub> nanomembrane electrodes were evaluated by cyclic voltammetry (CV), electrochemical impedance spectra (EIS), and galvanostatic discharge/charge cycling with two-electrode Swagelok-type half-cells. The first three CV curves of the SnO<sub>2</sub> nanomembrane electrode are shown in Fig. 2a. In the first cycle, the doublet cathodic peaks at  $\sim 1.26$  and  $\sim 1.16$  V are caused by the reduction of Sn(IV) to Sn(II) (Equation (1)) and then to Sn nanoparticles (Equation (2)). This phenomenon of cathodic doublet behaviour for SnO<sub>2</sub> electrode was also reported on the nanostructured SnO<sub>2</sub> electrode with very tiny nanoparticle size.<sup>4</sup> The tiny nanoparticle size caused cathodic doublet behaviour also agrees well with the above mentioned broad Raman peak overlapped by the small particle size effect related surface phonon modes  $S_1$  and  $S_2$  band. The broad shoulder peak at ~0.94 V near the doublet is related to the formation of solid electrolyte interphase (SEI) layer.29 The two broad cathodic peaks at ~0.44 and ~0.14 V are assigned to the reversible alloying process of Sn with lithium to form a series of Li<sub>x</sub>Sn alloys (Equation (3)),<sup>2</sup> which are accompanied by the decomposition of the kinetically activated electrolyte at low voltage to form the polymeric gel-like film.<sup>30</sup> The two anodic peaks at ~0.51 and ~0.64 V are related to the dealloying process of Li<sub>x</sub>Sn to Sn nanoparticles. The other two anodic peaks at  $\sim 1.05$  and  $\sim 1.74$  V are ascribed to the multistep oxidation of partial Sn to Sn(II) (Equation (4)) and then to Sn(IV) (Equation (5)),<sup>31</sup> which is enabled by the ultra-small particle size of  $SnO_2$  in the nanomenranes.<sup>18</sup> In the subsequent cycles, the reduction peak evolves into one peak at ~1.35 V and the oxidation peaks shift to ~1.16 and ~1.82 V, while the alloying peaks appear as four peaks at about 0.59, 0.51, 0.30, and 0.14 V with reduced intensity corresponding to the multistep alloying process. The dealloying peaks in the subsequent cycles still keep the same position as that in the first cycle, indicating the high reversibility of the alloying and dealloying process.

 $Sn + Li_2O \rightarrow SnO + 2Li^+ + 2e^-$ (4)  $SnO + Li_2O \rightarrow SnO_2 + 2Li^+ + 2e^-$ (5)

The above mentioned electrochemical reaction process can also be confirmed by the galvanostatic discharge/charge voltage curves in Fig. 2b, which is in good agreement with the CV results. In the first cycle, during the discharge process, the two minor quasi-plateaus at ~1.36 and ~1.26 V as well as the following slope, correspond to the reduction of Sn (IV) to Sn(II) then to Sn and the formation of SEI layer. The slopes below ~0.65 V are related to the multistep alloying of Sn to Li<sub>x</sub>Sn. In the charge process, the quasi-plateau at ~0.48 V is caused by the dealloying of Li<sub>x</sub>Sn to Sn; the slopes at ~1.01 and ~1.72 V are ascribed to the oxidation of partial Sn to Sn(II) and then to Sn(IV). The profile of the voltages curves in the two subsequent cycles is almost the same except the shortened reduction slope.

The cycling performance of the  $\text{SnO}_2$  nanomembrane electrode was first examined at the current density of 80 mA g<sup>-1</sup>. As shown in Fig. 2c, an initial discharge/charge capacity of 1566/1227 mAh g<sup>-1</sup> (coulombic efficiency: 78.3%) is delivered, and then the capacity decreases gradually and maintains a stable cycling with the reversible capacity of ~1045 mAh g<sup>-1</sup>. The reversible capacity after 60 cycles is 895 mAh g<sup>-1</sup> (coulombic efficiency: 97.9%), which exceeds the theoretical capacity of 782 mAh g<sup>-1</sup> based on Equation (3). This confirms that the reduction/oxidation reaction between Sn(IV) and Sn(0)

is partially reversible and also contribute to the capacity. Fig. 2b shows the corresponding representative discharge/charge voltage curves at 80 mA  $g^{-1}$ . It can be seen that the capacity decay happens in the reduction/oxidation stage and the alloying/dealloying process as a result of the difficult solid state diffusion inside the electrode material with thicker SEI upon further cycling



**Fig. 2** (a) CV curves, (b) Representative discharge/charge voltage curves at 80 mA  $g^{-1}$ , and (c) Cycling performance at 80 mA  $g^{-1}$  of the SnO<sub>2</sub> nanomembrane electrodes

Fig. 3a shows the cycling performance of the SnO<sub>2</sub> nanomembrane electrode at even higher current density of 1600 mA g<sup>-1</sup>. The initial discharge/charge capacity is 1440/1137 mAh g<sup>-1</sup> with the coulombic efficiency of 79%. The capacity decreases slightly until the 323<sup>th</sup> cycle with the reversible capacity of 611 mAh g<sup>-1</sup>. As shown in Fig. 3b, during this period, the slopes for the reduction/oxidation reaction in the discharge/charge voltage curves gradually become much unobvious due to the thick SEI layer caused the difficult solid-state diffusion. Afterwards the capacity increases gradually and keeps a stable cycling from about 600 cycles to 1000 cycles with the reversible capacity of about 880±40 mAh g<sup>-1</sup>. After

1000 cycles, the reversible capacity is 854 mAh  $g^{-1}$  and still higher than 782 mAh g<sup>-1</sup>. The voltage curves in Fig. 3c reveal that the capacity increases mainly in the low-voltage region (<0.5 V) together with a slight increase in the reduction/oxidation part. The capacity increase should be caused by the nanomembranes cracking upon much too long cycling and new interfaces are exposed for storage. Meanwhile, the pseudo-capacitive type storage also contributes to the capacity to extend the cycling life. The electrochemical impedance spectroscopy (EIS) was performed to analyze the SnO<sub>2</sub> nanomembrane electrode before and after cycling at 1600

mA g<sup>-1</sup>, and the obtained Nyquist plots are shown in Fig. 3d and 3e. In the high-frequency region, the greatly decreased diameter of the plot semicircle after cycling than that before cycling indicates the reduced charge transfer resistance.<sup>32</sup> In the very low frequency region (Fig. 3e), the steeper slope of the fitting plots obtained at open circuit potential (OCP) after cycling than that before cycling indicates the more obvious pseudo-capacitive behaviour of the electrode, especially from the incompletely decomposed polymeric gel-like film at fast cycling rate.30, 3

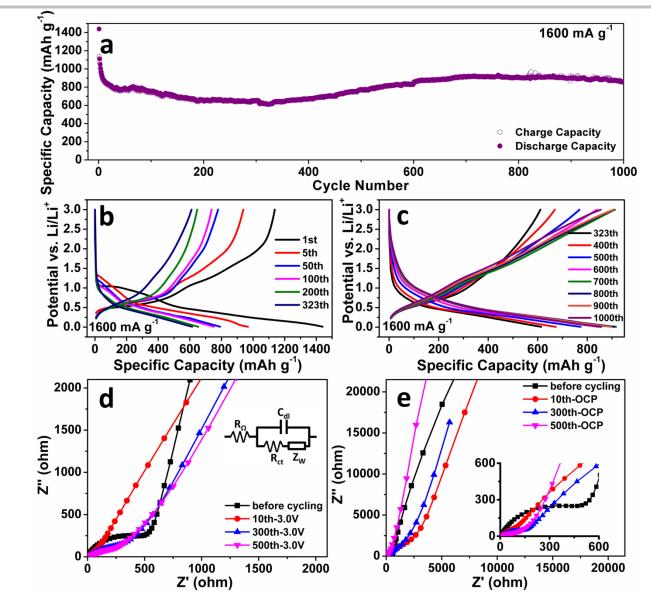
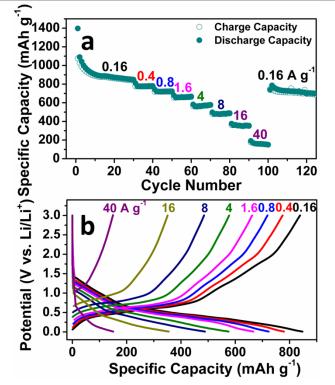


Fig. 3 (a) Cycling performance, (b) and (c) representative discharge/charge voltage curves of the SnO<sub>2</sub> nanomembrane electrode at 1600 mA g<sup>-1</sup>. Nyquist plots of EIS (symbols: real data, lines: fitting plots) measured before and after cycling at 1600 mA g<sup>-1</sup> within  $10^5 \sim 5 \times 10^{-4}$  Hz at (d) fixed potential of 3.0 V and (e) OCP with a perturbation voltage of 10 mV. Inset of (d) is the simplified electrochemical system as Randles circuit ( $R_{\Omega}$ : ohmic resistance,  $C_{dl}$ : double-layer capacitance,  $R_{cl}$ : charge transfer resistance, and Z<sub>W</sub>: Warburg impedance).

measured with a stepwise current density discharge/charge rate capability measurement starts after the initial cycling for 30

The rate capability of the SnO<sub>2</sub> nanomembrane electrode was program from 0.16 A  $g^{-1}$  to 40 A  $g^{-1}$ . As shown in Fig. 4a, the

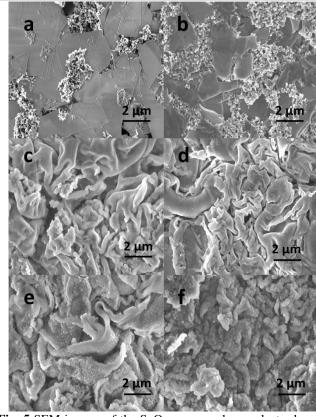
cycles at 0.16 A g<sup>-1</sup>, and the capacity decreases gradually with increasing the current density. The reversible capacity of the last cycle at 0.16, 0.4, 0.8, 1.6, 4, 8, 16, and 40 A  $g^{-1}$  is 839 (the  $30^{\text{th}}$  cycle), 775, 719, 663, 578, 485, 351, and 149 mAh g<sup>-1</sup> respectively. The reversible capacity at the high rate of 16 A  $g^{-1}$ is  $351 \text{ mAh } \text{g}^{-1}$ , which is almost close to the theoretical capacity of graphite. When the current rate is dramatically set back to 0.16 A  $g^{-1}$  from the high rate of 40 A  $g^{-1}$ , there is no obvious capacity decrease with the reversible capacity of 688 mAh g<sup>-1</sup> after 125 cycles. Fig. 4b exhibits the corresponding representative discharge/charge voltage curves of the last cycle at each current rate. The gradually shortened slopes reflect the decreased contribution of the reduction/oxidation reaction and the alloying/dealloying process to the capacity. Due to the difficult solid-state diffusion of Li<sup>+</sup> inside the electrode material at higher current rate, the interfacial storage, especially the pseudo-capacitive type storage, becomes more obvious to maintain the capacity at high rate.<sup>34</sup>



**Fig. 4** Rate capability of the  $SnO_2$  nanomembrane electrode: (a) Rate cycling performance, (b) Representative discharge/charge voltage curves at various current rates.

The electrochemical performance comparison of the  $SnO_2$ nanomembrane anode with other  $SnO_2$  based anodes is summarized in Table S1. The long cycling life of 1000 cycles with the reversible capacity of 854 mAh g<sup>-1</sup> at 1600 mA g<sup>-1</sup> and high rate capability up to 40 A g<sup>-1</sup> is greatly improved than most of pure  $SnO_2$  anodes, and almost comparable with some ultra-small sized  $SnO_2$ /carbon composite anodes. Such excellent performance is believed to benefit from the structural advantages of amorphous nanomembranes. Firstly, the nanoscale thickness can facilitate the kinetics with short diffusion paths for Li<sup>+</sup>. Secondly, according to some reports,<sup>33, <sup>35, 36</sup> the amorphous structure is more effective than the</sup>

crystalline structure to accommodate the strain of lithiation/delithiation especially during initial lithiation. That's why the SnO<sub>2</sub> nanomembrane electrodes have high initial coulombic efficiency. More importantly, the unique mechanical feature enables the nanomembranes to buffer the strain during cycling by deforming and wrinkling thus postpone the pulverization and extend the cycling life. This is confirmed by the SEM images of the nanomembranes before and after cycling at 1600 mA  $g^{-1}$ . Before cycling (Fig. 5a), all the nanomembranes in the SnO2 nanomembrane electrode are parallel-laid with planar layer structure. After the first cycle (Fig. 5b), the nanomembranes become thicker with SEI layer but still keep the relative planar shape. After 100 cycles, the nanomembranes in the electrodes with (Fig. 5c) and without (Fig. 5d) carbon black become much wrinkled driven by the strain during repeated lithiation/delithiation, however, they still maintain the layer structure with high integrity. Even after 300 cycles (Fig. 5e), the wrinkled nanomembranes still keep the layer morphology with thicker SEI layer, confirming the good mechanical feature to buffer the strain. After 900 cycles (Fig. 5f), some of the severely wrinkled nanomembranes become cracked with new interfaces exposed for storage, which explains the capacity increasing from ~300 cycles to ~600 cycles. However, further severe cracking will lead to the pulverization of the nanomembranes which should be the reason for the decrease from ~900 cycles to 1000cycles. Additionally, the nanomembranes can provide two-dimensional transport paths in between layers make the pseudo-capacitance more obvious especially at high rate.



**Fig. 5** SEM images of the SnO<sub>2</sub> nanomembrane electrodes: (a) before cycling, (b) after the 1st cycle, (c) and (d) after 100 cycles ((d): without carbon black), (e) after 300 cycles and (f) 900 cycles at 1600 mA  $g^{-1}$ .

#### Conclusions

The amorphous  $\text{SnO}_2$  nanomembranes as anodes for LIBs demonstrate long cycling life of 1000 cycles at 1600 mA g<sup>-1</sup> with high reversible capacity of 854 mAh g<sup>-1</sup> and high rate capability up to 40 A g<sup>-1</sup>. The excellent performance benefits from the structural advantages of the amorphous nanomembranes, such as, the nanoscale diffusion paths for Li<sup>+</sup>, the amorphous structure and the mechanical feature to buffer the strain, the two-dimensional transport pathways in between nanomembranes to promote the pseudo-capacitance. The positive results demonstrate the structural advantages of nanomembranes in improving the battery performance.

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#### Notes and references

<sup>*a*</sup>Institute for Integrative Nanosciences, IFW-Dresden, Helmholtzstrasse 20, 01069 Dresden, Germany. Email: <u>xianghong.liu@ifw-dresden.de</u> (X. Liu); Fax: +49 351 4659 782.

<sup>b</sup>Material Systems for Nanoelectronics, Chemnitz University of Technology, Reichenhainerstrasse 70, 09107 Chemnitz, Germany.

<sup>c</sup>School of Energy, Soochow University, 215006 Suzhou, Jiangsu, China. Email: <u>c.yan@suda.edu.cn (</u>C. Yan).

<sup>d</sup>School of Materials Science and Engineering, University of Jinan, Jinan 250022, China.

<sup>e</sup>Institute for Complex Materials, IFW-Dresden, Helmholtzstrasse 20, 01069 Dresden, Germany.

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