Iron uptake and ferrokinetics in healthy male subjects of an iron-based oral phosphate binder (SBR759) labeled with the stable isotope $^{58}$Fe

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<td>Gschwind, Hans-Peter; Novartis Pharma AG, Drug Metabolism &amp; Pharmacokinetics (DMPK) / Integrated Drug Disposition (IDD) Schmid, Dietmar; Novartis Pharma AG, Technical Research &amp; Development / Quality Assurance (QA) von Blanckenburg, Friedhelm; Helmholtz Centre Potsdam, GFZ German Research Center for Geosciences; Leibniz University of Hannover, Germany, Institute for Mineralogy Oelze, Marcus; Helmholtz Centre Potsdam, GFZ German Research Center for Geosciences; Leibniz University of Hannover, Germany, Institute for Mineralogy Moeller, Kirsten; University of Bern, Institute of Geological Sciences Slade, Alan; Novartis Corporation, Novartis Institute for Biomedical Research (NIBR)/Translational Medicine Stitah, Sylvie; Novartis Pharma AG, Clinical Science and Innovation Kaufmann, Daniel; Novartis Pharma AG, Chemical and Analytical Development Swart, Piet; Novartis Pharma AG, Drug Metabolism &amp; Pharmacokinetics/IDD/BT</td>
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</table>
Hyperphosphatemia
= Abnormally elevated phosphate levels in blood

Oral Treatment

SBR759 (Phosphate Binder)

20% Fe
Starch•Sucrose•Carbonate

Pharma

Interdisciplinary Collaboration

Geo Sciences

Analytics

Multicollector ICP-MS

High Precision $^{58}\text{Fe}/^{56}\text{Fe}$ Ratios

$\delta^{58}\text{Fe}$

Using stable Fe isotope ratios and whole body blood volume $\Rightarrow$ Fe Uptake

High Dose and Fe-content

$\text{essential Fe}$ $\text{toxic ?}$

SBR759-related Fe uptake ?

Labeling Strategy

isotope dilution concept

$^{55}\text{Fe}$ $^{58}\text{Fe}$ $^{59}\text{Fe}$

stable Fe isotopes

unstable Fe isotopes

$[^{58}\text{Fe}]\text{SBR759}$
Iron uptake and ferrokinetics in healthy male subjects of an iron-based oral phosphate binder (SBR759) labeled with the stable isotope $^{58}$Fe

Hans-Peter Gschwind *, Dietmar G. Schmida, Friedhelm von Blanckenburgbc, Marcus Oelzebc, Kirsten van Zuienbd, Alan J. Sladee, Sylvie Stitah, Daniel Kaufmannf and Piet Swartg

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SBR759 is a novel polynuclear iron(III) oxide-hydroxide-starch-sucrose-carbonate complex being developed for oral use in chronic kidney disease (CKD) patients with hyperphosphatemia on hemodialysis. SBR759 binds inorganic phosphate released by food uptake and digestion in the gastrointestinal tract increasing the fecal excretion of phosphate with concomitant reduction of serum phosphate concentrations. Considering the high content of ~20% w/w covalently bound iron in SBR759 and expected chronic administration to patients, absorption of small amounts of iron released from the drug substance could result in potential iron overload and toxicity. In a mechanistic iron uptake study, 12 healthy male subjects (receiving comparable low phosphorus-containing meal typical for CKD patients: ≤1000 mg phosphate/day) were treated with 12 g (divided in 3 × 4 g) of stable $^{58}$Fe isotope-labeled SBR759. The ferrokinetics of $^{58}$Fe-SBR759-related total iron was followed in blood (over 3 weeks) and in plasma (over 26 hours) by analyzing with high precision the isotope ratios of the natural iron isotopes $^{56}$Fe, $^{57}$Fe, $^{54}$Fe and $^{54}$Fe by multi-collector inductively coupled mass spectrometry (MC-ICP-MS). Three weeks following dosing, the subjects cumulatively absorbed on average 7.8 ± 3.2 mg (3.8-13.9 mg) iron corresponding to 0.30 ± 0.12% (0.15% – 0.54%) SBR759-related iron which amounts to approx. 5-fold the basal daily iron absorption of 1-2 mg in humans. SBR759 was well-tolerated and there was no serious adverse event and no clinically significant changes in the iron indices hemoglobin, hematocrit, ferritin concentration and transferrin saturation.

1 Introduction

Serum phosphate homeostasis is primarily regulated by the body’s ability to excrete excess dietary phosphate via the kidney. Patients with chronic renal insufficiency, who have varying degrees renal function, accumulate excess phosphate resulting in a condition known as hyperphosphatemia. First generation, calcium- or aluminum-containing phosphate binders are effective in reducing the absorption of phosphate from the diet, but require large doses and may result in accumulation of calcium or aluminum. Intense research efforts have led to the development of a second generation of potent, calcium and aluminum-free, phosphate binders such sevelamer hydrochloride (HCl) (RenaGl®), a cross-linked poly-allylamine hydrochloride (Bleyer 1999) 1 or fosrenol™, a lanthanum carbonate compound (D’Haese 2003) 2. While sevelamer-HCl has proven efficacy it is associated with possible induction of metabolic acidosis and gastrointestinal (GI) side effects (Brezina 2004) 3. In addition, sevelamer-HCl does not bind phosphate efficiently in an acidic environment. Lanthanum carbonate also demonstrates significant phosphate-binding properties and good efficacy but has also been associated with hypercalcemia (Hutchison 2009) 4 (Hutchison 2005) 5 (D’Haese 2003) 2. Studies in both rats (Slatopolsky 1999a) 6 and humans (Spasovski 2006) 7 have suggested that long-term lanthanum administration carries the risk of blood and tissue accumulation. SBR759 is a novel polynuclear iron(III) oxide-hydroxide-starch-sucrose-carbonate complex designed for oral use to specifically bind with high affinity and excrete inorganic phosphate. SBR759 is an odorless, water-insoluble, slightly sweet tasting powder formulation. The compound was developed with SeBo GmbH, Germany for the treatment of hyperphosphatemia commonly observed in patients with CKD. SBR759 has been shown to be an effective phosphate binder in vivo and shows rapid, selective, and high in vitro binding of phosphate at both highly acidic and neutral pH with phosphate-binding capacity similar to currently available agents (Hergesell 1999a) 84 (Hergesell 1999b) 85. SBR759 lowered serum phosphate concentrations rapidly and to a clinically meaningful extent across a wide dose range with good tolerability (Block 2010) 9. In Phase II dose titration studies assessing Asian patients requiring hemodialysis, SBR759 demonstrated superior efficacy in phosphate control compared with sevelamer-HCl, an approved
phosphate binder (Chen 2011) (Fukagawa 2013). SBR759 is a chemical complex of polymeric nature. It is a novel member of the iron-oxide-hydroxide compound class, which is different from previously described iron-oxide-hydroxides such as goethite, akaganéite or lepidocrocite. Even though it resembles ferrhydrite in its characteristics, it is different to ferrhydrite and known iron-oxides-hydroxides as follows: (i) sucrose, carbonate and very likely starch are included in the coordination sphere of the iron atoms and bound to the iron atoms by a coordinative bond; (ii) Mössbauer spectroscopy showed that SBR759 is different to standard iron(III)-oxides. Thus, it can be described formally as polynuclear iron(III) oxide-hydroxide-starch sucrose carbonate complex and is therefore not a simple mixture of these starting materials. Phosphate binding by SBR759 is accomplished by a ligand exchange mechanism displacing sucrose carbonate or hydroxyl groups, the latter similar to the iron(III) oxide-hydroxide complex described in (Sigg 1980) forming predominantly binuclear bidentate complexes (Parfitt 1975). The iron in SBR759 is covalently bound to the starch-saccarose complex and is very stable against digestion in the human gastro-intestinal tract (GIT). However, due to the high iron content (∼20% w/w) of SBR759 and gram quantity doses required for treatment, chronic administration may be accompanied by absorption of iron, released from the iron(III) complex. With chronic treatment often required in patients with chronic kidney disease, absorption of this exogenous iron may result in the potential for iron overload and toxicity. Iron toxicity results when free iron not bound to transferrin (NTBI) appears in the blood, forming labile plasma iron (LPI) and catalyzing the formation of reactive oxygen species (ROS) (Anderson 2007) that can damage tissues. Likewise, generation of ROS in the gut for prolonged periods of time could lead to epithelial cell damage and may facilitate pathogen entry to the organism (Chávez 2007). In vitro tests have shown that the iron oxides present in SBR759 are not redox-active, a prerequisite for the above mentioned side effects (Novartis, unpublished data).

Nonclinical testing with 56Fe-radiolabeled SBR759 in dogs demonstrated that less than 0.2% of the SBR759-related iron dose was absorbed (Novartis, unpublished data). In order to gain insight into the ferrokinetics and to quantify the extent of SBR759-related iron absorption following oral administration of SBR759, a Phase 1 clinical study with stable 56Fe isotope-labeled SBR759 in healthy male subjects was performed. The ferrokinetics of [56Fe]SBR759-related total iron was followed in whole blood (over 3 weeks) and in plasma (over 26 hours) by analyzing the isotope ratios of the natural iron isotopes by MC-ICP-MS. Apart from whole blood, plasma was chosen because it comprises a more dynamic component concerning the turnover of transferrin-bound iron as compared to red blood cells which are characterized by a 120-day erythrocyte life span in circulation (Walczak 2005). Aside from tracing the ferrokinetics and extent of the oral absorption of [56Fe]SBR759-related iron in blood and plasma determined on the change of 56Fe/54Fe-isotope ratios, a possible difference in the 56Fe/54Fe isotope signature between erythrocytes (whole blood) and plasma was measured by MC-ICP-MS in samples collected between 0 and 26 hours post first SBR759 dose. These results are presented in a companion paper that also contain the measured iron concentrations and iron isotope ratio data used for the present study in an Online Supplement S4 (von Blankenburg, submitted). This study also provided insight into the applicability of the MC-ICP-MS technique in measuring isotope-labeled iron in human blood and plasma.

2 Materials and methods

2.1 Selecting iron isotopes to investigate iron uptake in human

Biomarkers such as transferrin concentration and ferritin saturation can be used as clinical indicators as well as the state of iron stores including iron overload. Direct quantification of ferrokinetics was typically obtained through the use of isotope labeled iron compounds. Applying radioactive iron isotopes such as 59Fe is a very sensitive and a specific approach, but it presents several disadvantages: (1) the radioisotopes 59Fe and 55Fe decay via X- and/or β-ray emission with physical half-lives of 45 days and 2.7 years, respectively; (2) absorbed iron is not actively excreted from and is salvaged in the human body during hematopoiesis (red blood cell production) which raises ethical concerns exposing human subjects to radioactivity over a long time; (3) formation of unknown impurities due to radiolysis of the drug and therefore radiochemical stability might not be determinable; (4) this is in particular the case for SBR759 which is generally insoluble and has a very complex molecular structure; (5) radiation during the Good Manufacturing Practice (GMP) production of the drug, shipping, administration and analysis requires special precautions, facilities and handling procedures to minimize exposure to radioactivity and cross-contamination; (6) the short physical half-life of 45 days of 59Fe does not simply allow prolonged synthesis processes under GMP production or keeping retained samples for analysis afterwards; (7) factors contributing to measurement variability have to be minimized as much as possible, and therefore, it is essential that the drug product is labeled homogeneously with the iron isotope by applying GMP production which is extremely difficult to achieve using radioactive iron.

The disadvantages mentioned above can be circumvented by applying the isotope dilution concept using stable iron isotopes, e.g. 56Fe or 57Fe and determination of isotope ratios by MC-ICP-MS. Many clinical iron uptake studies were conducted in the past using stable iron isotopes and applying the isotope dilution concept, mainly in nutrition research in adults (Whittaker 1989) and children (Fomon 1989) and (Vasquez Garibay 2001) and (Barrett 1992) and (Fomon 1988) and (Fomon 1989) and (Woodhead 1988) and (Walczak 1997) and (Vasquez Garibay 2001). The disadvantages mentioned above can be circumvented by applying the isotope dilution concept using stable iron isotopes, e.g. 56Fe or 57Fe and determination of isotope ratios by MC-ICP-MS. Many clinical iron uptake studies were conducted in the past using stable iron isotopes and applying the isotope dilution concept, mainly in nutrition research in adults (Whittaker 1989) and children (Fomon 1989) and (Vasquez Garibay 2001) and (Barrett 1992) and (Fomon 1988) and (Fomon 1989) and (Woodhead 1988) and (Walczak 1997) and (Vasquez Garibay 2001).

2.1.1 Selection of stable iron isotope label and degree of labeling

Due to its very low abundance in nature (∼0.3%), the stable iron isotope 56Fe was chosen to label SBR759, expecting a high sensitivity and low detection limit of the isotopic label. With the development of highly sensitive MC-ICP-MS instruments (Halliday 1998) and (Jakubowski 2011) a low isotope enrichment can be applied. We estimated that additional enrichment of SBR759 with approx. 2.5% 56Fe would be sufficient to detect changes in the 59Fe/56Fe ratio by MC-ICP-MS with a good signal-to-noise ratio and high precision and accuracy.
in blood and plasma. Low isotope enrichment reduces: (i) the costs for the total amount of iron isotope needed to produce the drug product, and (ii) the potential of introducing associated heavy metal impurities which may lead to possible toxicity.

2.2 Study design

The clinical part of this open-label study was performed at Covance Clinical Research Unit AG (former Swiss Pharma Contract Ltd.), Allschwil, Switzerland. This study was approved by the Cantonal Ethics Committee and was conducted in accordance with the declaration of Helsinki (1964 and subsequent revisions) and International Conference on Harmonization-Good Clinical Practice guidelines. The analytical investigations on the ferrokinetics and iron uptake of $^{58}$Fe/SBR759-related iron were not required to be conducted under GLP but were carried out according to available current scientific standards.

2.2.1 Subjects

Twelve (12) healthy male volunteers were enrolled and completed the study (Table 1). All subjects gave written informed consent before participating in the study. Each subject participated in a 21-day screening period (Day 1 to Day 2), a baseline period (Day 1), and a 12 hour dosing period (Day 1, three administrations of 4 g of $^{58}$Fe/SBR759 each) followed by 3 weeks ambulatory period and a study completion on Day 21.

Healthy, nonsmoking men between 18 and 45 years of age were enrolled. Subjects with i) hematocrit <41%, ii) hemoglobin <13.8 or >17.2 g/dL, iii) serum ferritin <20 or >320 ng/mL, iv) transferrin saturation <20%, v) reticulocyte count >1.5% or platelets <100,000/µL, vi) history of anemia, hemochromatosis or other dyscrasia(s), e.g. thalassemia, myelodysplastic syndrome, etc., treated or not had to be excluded from the study. A total of 12, iron replete i.e., nonanemic, subjects were recruited. This population was selected as their propensity for iron absorption was expected to be similar to that of a CKD patient on dialysis based on similarities in absorption of therapeutic iron salts (Skikne 2000) etc.

2.2.2 Study drug

Stable $^{58}$Fe isotope labeling of SBR759: Research grade $^{58}$Fe-enriched (99.49%) iron was purchased from Isoflex, San Francisco, CA, USA. $^{58}$Fe was completely dissolved in 37% w/w aqueous hydrochloric acid at ambient temperature. The resulting aqueous FeCl$_2$-solution was filtered and subsequently oxidized by addition of excess of gaseous chlorine. The resulting aqueous FeCl$_2$ was evaporated to dryness yielding $^{58}$FeCl$_3\times$6·H$_2$O as colorless hygroscopic powder (Tessenderlo AG, Bad Zurzach, Switzerland). $^{58}$FeCl$_3\times$6·H$_2$O was diluted with commercially available FeCl$_3\times$6·H$_2$O (Merck, Darmstadt, Germany) to a target $^{58}$Fe content of 2.5%. $^{58}$Fe stable isotope-labeled SBR759 was prepared starting from $^{58}$Fe-stable isotope-labeled FeCl$_3\times$6·H$_2$O using the same synthesis protocol as for the GMP production of the SBR759 drug substance for human use (Novartis Pharma, AG; Basel, Switzerland).

The drug product (SBR759 $^{58}$Fe Moda Saccharo, iron aqua carbonate hydroxyl-oxo starch sucrose complex (CAS registration No: 1041180-02-2)) contained 20.4% w/w of iron consisting of 5.706% $^{54}$Fe, 89.552% $^{58}$Fe, 2.077% $^{57}$Fe and 2.665% $^{56}$Fe isotopes as determined by MC-ICP-MS.

| Table 1 | Demographic data, administered $^{58}$Fe/SBR759 dose and total amount of iron in whole blood of each subject at pre-dose (baseline) |

<table>
<thead>
<tr>
<th>Subject number</th>
<th>Total $^{58}$Fe/SBR759 dose (g)</th>
<th>Body weight (kg)</th>
<th>Body height (cm)</th>
<th>Total Fe concentration in whole blood (µmol/L)</th>
<th>Calculated blood volume (mL)</th>
<th>Total amount of Fe in whole blood (mg)</th>
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<tr>
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<td>12.47</td>
<td>71.7</td>
<td>174</td>
<td>460</td>
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<tr>
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<td>12.48</td>
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<td>179</td>
<td>490</td>
<td>515</td>
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<tr>
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<td>12.47</td>
<td>86.8</td>
<td>172</td>
<td>450</td>
<td>473</td>
<td>4820</td>
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<tr>
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<td>470</td>
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<td>4960</td>
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<tr>
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<td>165</td>
<td>500</td>
<td>525</td>
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<tr>
<td>5307</td>
<td>12.42</td>
<td>79.5</td>
<td>182</td>
<td>460</td>
<td>483</td>
<td>4800</td>
</tr>
<tr>
<td>5308</td>
<td>12.47</td>
<td>59.2</td>
<td>166</td>
<td>460</td>
<td>483</td>
<td>3780</td>
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<tr>
<td>5309</td>
<td>12.47</td>
<td>67.2</td>
<td>179</td>
<td>450</td>
<td>493</td>
<td>4844</td>
</tr>
<tr>
<td>5310</td>
<td>12.49</td>
<td>102.1</td>
<td>180</td>
<td>490</td>
<td>515</td>
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<td>97.4</td>
<td>183</td>
<td>510</td>
<td>556</td>
<td>5470</td>
</tr>
<tr>
<td>5312</td>
<td>12.46</td>
<td>81.1</td>
<td>180</td>
<td>510</td>
<td>5870</td>
<td>6562</td>
</tr>
</tbody>
</table>

| SD           | 0.017            | 14.7            | 7.0             | 22.7                            | 24.0                     | 620                           |
| CV%          | 0.13             | 18.3            | 4.8             | 4.8                             | 4.8                      | 15.3                          |

Range: 12.47±0.02 to 12.41±0.02, 167±10 to 165±10, 450±10 to 435±10, 473±36 to 480±36, 5320±15 to 5400±15, 4400±30 to 4800±30, 4844±40 to 4950±40, 4800±40 to 4800±40, 3780±30 to 3800±30, 4844±40 to 4844±40.

2.2.3 Drug administration

The study drug was provided as open-label medication packaged in sachets each containing a single dose of 4 grams of $^{58}$Fe/SBR759. Subjects received a total daily dose of 12 g (mean ± SD, n=12: 12.47±0.017 g; Table 1) which was given in three portions of approx. 4 g (range: 4.12±4.17 g), each. Each portion of the study medication was suspended in water and administered by the study center personnel immediately after breakfast (0 h) after an overnight fast of at least 10 hours, thereafter at lunch (4 h) and dinner (10 h) on Day 1 of dosing. In order to better replicate the expected clinical conditions in patients with CKD patients who are generally prescribed a low phosphorus diet, the subjects in this clinical trial received standardized low phosphorus meals (≤ 1000 mg phosphate) that excluded: red meat, beans, spinach, citrus fruits or juices during the dosing day.

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The subjects kept their usual diet habits when not domiciled.

2.2.4 Sample collection
Serial blood samples were collected at 0 h (pre-dose; baseline), 6, 12, 16, 22 (Day 1), 26, 34 (Day 2), 106 (Day 5), 250 (Day 11), 322 (Day 14), and 490 h (Day 21) post first dose.

In order to minimize hemolysis during Day 1 and Day 2 when several blood withdrawals took place, blood samples were collected via a plastic cannula placed in a forearm vein that remained through sampling 26 hours post last dose. For blood sampling at Days 5, 11, 14 and 21 a metallic needle (venipuncture) was used for individual samplings. Blood was collected (0-16 h: 35 mL; 22-490 h: 5 mL) via gentle aspiration into special 7.5 mL S-Monovettes® for metal analysis containing only small and specified amount of metal impurities (< 50 ng iron/tube; Sarstedt, Germany). These tubes contained about 4-7 µL of a lithium heparin solution corresponding to about 0.1% of the final blood sample volume. After blood collection the tubes were inverted gently several times. Immediately after collection, five aliquots of whole blood were exactly weighed into pre-labeled polypropylene cryotubes and stored at ≤ -20°C.

Plasma was obtained from heparinized blood (0, 6, 12, 16, 22 and 26 h post first dose) by centrifugation at 2000 × g, at 4°C for 10 min. Plasma was recovered into pre-weighed tubes (S-Monovettes® for metal analysis, Sarstedt) and stored at ≤ -20°C. Plasma samples which displayed signs of hemolysis were excluded from data analysis.

2.2.5 Sample storage and shipment conditions
After sample collection, blood and plasma samples were frozen and stored at ≤ -20°C until and after analysis in the analytical laboratories. Samples were shipped to analytical laboratories under dry ice to keep them frozen.

2.2.6 Safety of subjects and drug tolerability assessments
Safety of subjects and drug tolerability assessments involved monitoring and recording of all adverse events (AEs) and serious adverse events (SAEs), with their severity and relationship to the study drug; regular monitoring of hematology, blood chemistry; urinalysis; ECG; regular measurement of vital signs; and the performance of physical examinations. The subjects’ iron status was monitored by measuring hemoglobin, hematocrit, ferritin and transferrin saturation (Table 3).

Table 3 Iron toxicity-specific parameters hemoglobin, hematocrit, ferritin and transferrin saturation (TSAT) measured at screening, baseline and at Days 11 and 21 in blood of 12 healthy male subjects following treatment with an oral dose of 12 g (3 x 4 g) of [58Fe]SBR759

<table>
<thead>
<tr>
<th>Iron toxicity-specific laboratory parameters</th>
<th>Mean ± SD (range)</th>
<th>Hemoglobin (mmol/L)</th>
<th>Hematocrit (%)</th>
<th>Ferritin (µg/L)</th>
<th>TSAT (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Screening (Day -21 to Day -7)</td>
<td>9.7 ± 0.52</td>
<td>45.1 ± 2.0</td>
<td>94.6 ± 5.29</td>
<td>36.4 ± 11.2</td>
<td>11.2 ± 1.7</td>
</tr>
<tr>
<td>Baseline (Day -4)</td>
<td>9.4 ± 0.38</td>
<td>44.8 ± 2.3</td>
<td>96.6 ± 4.1</td>
<td>36.4 ± 5.2</td>
<td>11.1 ± 1.9</td>
</tr>
<tr>
<td>Dry Day 11</td>
<td>8.9 ± 0.63</td>
<td>41.9 ± 2.4</td>
<td>70.5 ± 4.36</td>
<td>36.4 ± 10.4</td>
<td>11.0 ± 1.6</td>
</tr>
<tr>
<td>Dry Day 21</td>
<td>8.8 ± 0.62</td>
<td>41.8 ± 2.8</td>
<td>70.5 ± 4.38</td>
<td>36.4 ± 10.4</td>
<td>11.0 ± 1.6</td>
</tr>
</tbody>
</table>

Normal ranges: Lower, Upper

Iron toxicity specific parameters are usually small, a more convenient reporting of isotope ratios is convention in Isotope Geochemistry. The so-called

2.3 Iron isotope determination in blood and plasma

2.3.1 MC-ICP-MS
For stable iron isotope analysis by multicollector ICP-MS and the sample preparation required, we used the protocol described by Schoenberg (2005) 30. Detailed modifications of that method and the new developments to allow for measurements of stable iron isotopes in blood and plasma are reported in a companion publication to this study (von Blanckenburg, submitted) 31. Briefly, iron extraction from blood and plasma was achieved by microwave irradiation treatment with subsequent ion exchange chromatography. Isotope ratios were determined on a Thermo Finnigan “Neptune” Multicollector ICP-MS at the Institute for Mineralogy from the Leibniz University of Hannover, Germany.

Iron standard for controlling MC-ICP-MS measurements:
Commercially available “IRMM-014” iron reference material (from EU Institute for Reference Materials and Measurements, Geel, Belgium) was used as „bracketing standard“ in measurements (Schoenberg 2005) 30, and to calculate normalized isotope ratio differences according to (Eq. 1). Iron in the “IRMM-014” metallic iron standard was dissolved in 0.3 mol/L HNO3 (Merck KGaA, Darmstadt, Germany). Before use, HNO3 was distilled until content in iron was < 0.2 ppb (controlled by ICP-OES).

Interference correction of 54Cr on 56Fe and 58Ni on 58Fe: To avoid artifacts introduced by a high Cr or Ni corrections, Fe isotope analyses with 54Cr/56Fe > 0.1‰ were rejected, while 58/56Fe values of samples with 58Ni/56Fe > 10‰ were rejected from the data sets (von Blanckenburg, submitted) 17.

2.3.2 ICP-OES
The total iron concentration in blood and plasma was determined by validated inductively coupled plasma optical emission spectroscopy (ICP-OES) on a Varian Vista Pro system (Varian GmbH, Germany). The measurements were carried out using a GMP method (Solvias AG, Basel, Switzerland).

2.4 Data evaluation and equations used
Isotope ratio measurements by ICP-MS never yield absolute ratios. They are affected by a variable instrumental mass bias, the degree of which depends on run conditions. To make the measurements of unknown sample compositions comparable, a reference material of known composition is measured (meas) before and after each sample. In this so-called “bracketing technique” sample ratios are normalized to the nominal (nom) value of the reference material. We measured the “IRMM-014” iron reference standard before and after each sample. The normalized 58Fe/56Fe ratio of the sample 58Fe/56Fe at sample then is:

\[
\frac{58^{\text{Fe}}}{56^{\text{Fe}}}_{\text{sample}} = \frac{58^{\text{Fe}}}{56^{\text{Fe}}}_{\text{IRMM-014}} \cdot \frac{58^{\text{Fe}}}{56^{\text{Fe}}}_{\text{IRMM-014}} \cdot \frac{58^{\text{Fe}}}{56^{\text{Fe}}}_{\text{IRMM-014}}
\]

As natural variations in the stable isotope composition of an element are usually small, a more convenient reporting of isotope ratios is convention in Isotope Geochemistry. This so-called
delta (δ) notation also accounts for differences in instrumental mass bias, and is used for reporting relative differences in iron isotope ratios between the sample and the reference material. Accordingly, measured $^{56}\text{Fe}^{56}\text{Fe}/^{56}\text{Fe}^{56}\text{Fe}$ ratios are reported as δ$^{58/56}\text{Fe}$ values and usually multiplied by 1000 to be reported in permil [%] or parts per thousand (1‰ = 0.1%) according to Eq. 1.

$$\delta^{58/56}\text{Fe}_{\text{sample}} = \left[ \frac{^{58}\text{Fe}}{^{56}\text{Fe}}_{\text{sample}} / \frac{^{58}\text{Fe}}{^{56}\text{Fe}}_{\text{IRM-014}} \right] - 1 \times 1000$$  

Eq. 2

We use Eq. 1 throughout this paper, but for consistency we also report the δ$^{58/56}\text{Fe}$ values from Eq. 2 in the Online Supplement S4 (von Blanckenburg, submitted) 17. By using $^{58}\text{Fe}^{56}\text{Fe}$ isotope ratios for calculation, similar ferrikinetics and absorption results are obtained.

The molar amount of absorbed $^{58}\text{Fe}$SBR759-related iron $n_{\text{iso}}$ is calculated by Eq. 3, derived from (Walczyk 1997) 24:

$$n_{\text{iso}} = n_{\text{nat}} \cdot \frac{a_{56}^{\text{nat}} - R_{58/56,\text{sample}} \cdot a_{56}^{\text{sample}}}{R_{58/56,\text{sample}} \cdot a_{56}^{\text{nat}} - a_{58}^{\text{iso}}}$$  

Eq. 3

$n_{\text{nat}}$ molar amount of iron of natural composition (calculated with Fe concentration (Feconc) in blood and the atomic weight of natural iron (awt$_{Fe,\text{nat}}$) at baseline (t = 0 h))

$R_{58/56,\text{sample}}$ 58Fe/56Fe ratio relative to “IRM-014” iron standard, calculated using Eq. 1

The atomic weight of iron of natural composition (awt$_{Fe,\text{nat}}$) is calculated for each subject by Eq. 4:

$$\text{awt}_{Fe,\text{nat}} = m_{54} \cdot a_{54}^{\text{nat}} + m_{56} \cdot a_{56}^{\text{nat}} + m_{57} \cdot a_{57}^{\text{nat}} + m_{58} \cdot a_{58}^{\text{nat}}$$  

Eq. 4

$m_{5x}$ atomic weight of $^{5x}\text{Fe}$ (DeLaeter 2003) 31

a$_{5x}^{\text{nat}}$ natural abundance of $^{5x}\text{Fe}$ (x = 4, 6, 7 and 8) at baseline

The latter is calculated by Eq. 5 and Eq. 6:

$$a_{56}^{\text{nat}} = \frac{1}{1 + R_{54/56,\text{nat}} + R_{57/56,\text{nat}} + R_{58/56,\text{nat}}}$$  

Eq. 5

$$a_{5x}^{\text{nat}} = a_{56}^{\text{nat}} \cdot R_{5x/56,\text{nat}}$$  

Eq. 6

$R_{5x/56,\text{nat}}$ 5xFe/56Fe ratio relative to “IRM-014” iron standard according to Eq. 2, measured at baseline (x = 4, 7 and 8).

The abundances of the iron isotopes in SBR759 ($a_{5x}^{\text{iso}}$) are calculated by Eq. 7 and Eq. 8:

$$a_{56}^{\text{iso}} = \frac{1}{1 + R_{54/56,\text{iso}} + R_{57/56,\text{iso}} + R_{58/56,\text{iso}}}$$  

Eq. 7

$$a_{5x}^{\text{iso}} = a_{56}^{\text{iso}} \cdot R_{5x/56,\text{iso}}$$  

Eq. 8

Due to the long life span of erythrocytes (~120 days), the total amount of absorbed $^{58}\text{Fe}$SBR759-related iron can be determined 14 days (or if more conservative 21 days) after the administration. Since not all of the absorbed $^{58}\text{Fe}$SBR759-related iron is incorporated into erythrocytes, an incorporation factor ($f_{inc}$) is included (Walczyk 1997) 24. According to (Bernat 1983) 32, 70-90% of absorbed $^{58}\text{Fe}$SBR759-related iron is incorporated into erythrocytes. Therefore, $f_{inc}$ will be set to the mean value of 80%. The final, absolute amount of absorbed $^{58}\text{Fe}$SBR759-related iron $m_{abs}$ is calculated by Eq. 11:

$$m_{abs} = n_{\text{iso}} \cdot \text{awt}_{Fe,\text{iso}} \cdot f_{inc}$$  

Eq. 11

We note that during intestinal absorption light isotopes are preferred by mass-dependent isotope fractionation. For European male omnivores this fractionation amounts to a shift in the $^{58}\text{Fe}^{56}\text{Fe}$ ratio by a factor of 0.9985 (von Blankenburg 2013) 33. As this shift is negligible when compared to the shifts in $^{58}\text{Fe}^{56}\text{Fe}$ introduced by $^{58}\text{Fe}$SBR759, we ignore this absorption effect.

The blood volume ($BV$; in mL) is calculated by Eq. 12 (Geigy Scientific Tables 1984) 34 which is based on the body length ($L$; in cm) and the body mass ($B$; in kg) (Table 1):

$$BV = L \times 28.5 + B \times 31.6 - 2820$$  

Eq. 12

The corresponding plasma volume is calculated by multiplying the calculated blood volume $BV$ with the blood/plasma volume ratio of 0.5769 calculated from Table II in (Davies 1993) 35.

### Results and discussion

#### 3.1 Safety of subjects and drug tolerability assessment

Doses of 12 g $^{58}\text{Fe}$-labeled SBR759, divided in three doses of 4 g, each, and administered with a low phosphorus meal, were generally well tolerated by healthy male subjects. There was no serious adverse event reported in the study. The most common adverse events were flatulence, discolored feces, diarrhea, and headache which were mild or moderate and transient. There were no clinically significant changes in laboratory parameters, vital signs, ECGs, or physical examinations over the course of the study. There was no sign of overt or acute iron toxicity as there were no clinically significant changes in laboratory parameters hemoglobin, hematocrit, ferritin and transferrin saturation (TSAT) (Table 3).

The mean TSAT values at Days -1, 11 and 21 were 35.8, 24.3
and 24.0% which were in the normal range (16-45%) and distinctly below the toxic limit of 85% leading to labile redox active plasma iron (LPI). It should be noted that an obvious TSAT outlier of 93.6% at baseline (prior to treatment) was reported for subject 5110. This value was not repeated nor excluded from the listing. There was no impact on the mean versus median values, therefore mean values are reported.

There was a trend to numerically lower mean and median hemoglobin, hematocrit, ferritin and TSAT values. These trends were likely due to the volume of blood drawn from subjects in the immediate post dose time period (~246 mL) which amounts to ~108 mg of iron lost during the first 26 hours of the study and ~132 mg iron during the entire study (300 mL × Hct × 1 mg/mL). These losses occurred in the time period after dosing.

Hence they are not expected to drive iron absorption as it is expected that the 58Fe-isotope-labeled-SBR759 would have passed through the proximal small bowel before the blood/iron losses would have stimulated the need to iron absorption. Therefore this is not expected to have an impact on the conclusion of iron absorption from SBR759.

These safety results in healthy volunteers are in agreement with those of three clinical trials in chronic kidney disease patients on hemodialysis (Block 2010)9 (Chen 2011)10 (Fukagawa 2013)11 where SBR759 was well tolerated within the anticipated clinical dose range of 3.75 to 15 g SBR759 per day.

No treatment-related serious adverse events were observed nor were there clinically relevant changes in iron indices.

3.2 Ferrokinetics in blood and plasma

The ferrokinetics of SBR759-related iron in whole body blood of the individual subjects was investigated in the time period from 1 to 21 days (0-490 h) post [58Fe]SBR759 dose (Figure 1).

In order to monitor the rapid systemic uptake of [58Fe]SBR759-related iron into the bloodstream, also whole body blood and plasma in the early time window between 0 and 26 hours was investigated (Figures 2A and 2B).

The calculation of total blood iron and plasma iron was based on 58Fe/56Fe isotope ratios. In contrast to blood, plasma 58Fe/56Fe and 58Fe/54Fe ratio values were very high due to the 320- to 590-fold lower amount of iron in plasma (adult males: 0.75-1.75 mg/L) (Geigy Scientific Tables 1984)34. Within 0-26 h, most subjects reached a first maximum at 12 hours amounting to 0.22 to 2.3 mg in blood (Figure 2A) and 0.15 to 1.8 mg in plasma (Figure 2B). Thereafter, total blood and plasma iron values dropped by about 26 hours to low levels of 0.01-0.22 mg in blood and 0.02 to 0.18 mg in plasma. Comparable to our study, healthy volunteers treated with oral phosphate binders combined with supplemental iron salts reached plasma iron plateaus by about 6 hours following dosing (Pruchnicki 2002)36. In our study the ferrokinetic curves were formed out of three single curves related to each of the three consecutive [58Fe]SBR759 doses given at 0, 4 and 10 hours. The early maximum at approx. 12 hours can be attributed to a transient appearance of transferrin-bound SBR759-related iron in blood and plasma. After 26 hours, SBR759-related total blood iron steadily increased, each subject reaching a different plateau between 14 and 21 days (Figure 1). Total SBR759-related blood iron ranged between 3.03 and 11.1 mg revealing high inter-subject variability (CV: 41-104%).

![Figure 1](Image)

**Figure 1** Amount of total [58Fe]SBR759-related iron in whole body blood collected between Day 1 and Day 21 post 12 g (3 x 4 g) of [58Fe]SBR759 dose to 12 healthy male subjects. The amount of total blood iron was determined by MC-ICP-MS. Total blood iron calculation based on 54Fe/56Fe isotope ratios. The time window between 0 and 26 hours (dashed rectangle) is shown enlarged in Figure 2A. Almost identical total blood iron values were calculated by using 56Fe/54Fe isotope ratios (not shown).

In order to monitor the rapid systemic uptake of [58Fe]SBR759-related iron into the bloodstream, also whole body blood and plasma (B) during the early time period 0-26 hours post 3 x 4 g [58Fe]SBR759 dose to 12 healthy male subjects. The amount of iron in blood and plasma in individual subjects (Inset: average ± SEM in blood and plasma over n=12 subjects) was determined by MC-ICP-MS. Amount of iron calculation based on 56Fe/54Fe isotope ratios. Almost identical total blood and plasma iron values were calculated by using 58Fe/56Fe isotope ratios (not shown).

![Figure 2](Image)

**Figure 2** Amount of total [58Fe]SBR759-related iron in whole blood (A) and plasma (B) during the early time period 0-26 hours post 3 x 4 g [58Fe]SBR759 dose to 12 healthy male subjects. The amount of iron in blood and plasma in individual subjects (Inset: average ± SEM in blood and plasma over n=12 subjects) was determined by MC-ICP-MS. Amount of iron calculation based on 56Fe/54Fe isotope ratios. Almost identical total blood and plasma iron values were calculated by using 58Fe/56Fe isotope ratios (not shown).
Apart from the upstream intestinal enterocytes, blood plasma is the primary central compartment for iron absorption since virtually all cells in the organism take up iron from transferrin (the major iron transporter protein: apo-transferrin containing bound iron) (Walczyk 2005)\(^{16}\). Iron transport-capacity of transferrin is limited and transferrin-bound iron turnover is relatively short-lived due to the quick transfer of freshly absorbed iron to other tissue compartments, i.e., mainly to the bone marrow for erythrocyte synthesis but also to liver and muscle tissues.

3.3 Extent of systemic iron absorption

The absorption of \(^{58}\text{Fe}\)SBR759-related iron was investigated in blood samples collected at baseline and compared to those measured 14 and 21 days after administration (Table 4) (Figure 1).

| Table 4 Calculated amounts of systemically absorbed iron in whole blood of healthy male subjects measured at Days 14 and 21 following oral dosing of 12 g (3 x 4 g) of \(^{58}\text{Fe}\)SBR759 |
|--------------------------|--------------------------|
| Subject                  | Blood collected after 14 days | Calculated amount of absorbed iron |
|                          | Absolute value (mg) | Relative to total iron dose (%) | Calculated amount of absorbed iron |
|                          | Absolute value (mg) | Relative to total iron dose (%) |
| 5101                     | 13.4                  | 0.53                          | 13.9                          | 0.54                          |
| 5102                     | 6.8                   | 0.27                          | 7.3                          | 0.29                          |
| 5103                     | 11.5                  | 0.47                          | 11.8                         | 0.46                          |
| 5104                     | 7.6                   | 0.27                          | 7.3                          | 0.28                          |
| 5105                     | 3.8                   | 0.41                          | 4.1                          | 0.43                          |
| 5106                     | 8.2                   | 0.35                          | 8.7                          | 0.34                          |
| 5107                     | 4.3                   | 0.47                          | 4.4                          | 0.45                          |
| 5108                     | 3.5                   | 0.35                          | 3.8                          | 0.35                          |
| 5109                     | 3.5                   | 0.35                          | 3.8                          | 0.35                          |
| 5111                     | 4.7                   | 0.41                          | 4.9                          | 0.42                          |
| 5112                     | 8.7                   | 0.29                          | 8.8                          | 0.30                          |

Total blood iron was measured by MC-ICP-MS and applying the stable isotope dilution principle. Assuming that \textit{approx.} 80% of absorbed SBR759-related iron is incorporated into erythrocytes (Bernat 1983)\(^{32}\), the amount of absorbed iron ranged from 3.8 mg (subject 5109) to 13.9 mg (subject 5101) at Day 21 following \(^{58}\text{Fe}\)SBR759 administration. The arithmetic mean was 7.8 mg which corresponds to about 0.3% of the iron dose in SBR759. Compared to the daily iron uptake of 1 to 2 mg in healthy male adults, a mean uptake of 7.8 mg appears relatively high. An extrapolation of these data to the target CKD patient population is tainted with uncertainties because in contrast to healthy subjects, CKD patients do not absorb orally administered iron salts well (Hör 2007)\(^{37}\).

In a recent iron absorption study (Geisser 2010)\(^{38}\) with 10 g \(^{59}\text{Fe}\)-radiolabeled PA21 (an iron-based polynuclear iron(III) oxhydroxide compound), which was administered to CKD patients and healthy volunteers (HVs), the HVs have taken up 8.3 mg iron, and CKD patients 10-fold less (~0.8 mg). This malabsorption has been linked to the inflammatory state associated with CKD and up-regulation of hepcidin expression (Hör 2007)\(^{37}\) (Andrews 2007)\(^{39}\). Although there is a hypothetical risk for local toxicity of SBR759 with chronic administration, the assessment of such a risk is out of scope for this abbreviated trial and is a more appropriate question for chronic toxicology studies in animals and additional clinical testing in the target patient population which would be required prior to health authority approval (Block 2010)\(^9\) (Chen 2011)\(^10\) (Fukagawa 2013)\(^11\). In addition, considering the low bioavailability of iron from the SBR759 drug substance compared to that expected from therapeutic oral iron supplements (e.g., ferrous sulfate) which are administered by thousands of patients per day, the risk of ROS-related GIT damage appears minimal.

4 Conclusions

- The results of this Phase I mechanistic iron uptake study provide insight into the potential for iron absorption from a daily dose of 12 g (divided in 3 x 4 g) of \(^{58}\text{Fe}\)SBR759 to healthy male subjects.
- The study demonstrated absorption of 0.15 to 0.54% of the total daily administration of \(^{58}\text{Fe}\)SBR759-related iron which amounted on average to 7.8 mg: \textit{approx.} 5-fold more than the basal daily iron absorption (1 to 2 mg).
- \(^{58}\text{Fe}\)SBR759-related iron transiently appeared in blood and plasma within the first 26 hours post first dose, followed by second later phase in blood ranging over 3 weeks which is characterized by redistribution of \(^{58}\text{Fe}\)SBR759-related iron from blood into other relevant iron storage tissue compartments such as bone marrow, liver and muscle.
- In an interdisciplinary collaboration of the pharmaceutical industry with the academic environment in the field of Geosciences, MC-ICP-MS technique was successfully applied for reliably measuring iron absorption and ferrokinetics in human blood and plasma with high specificity, sensitivity, accuracy and precision.

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Authorship contributions
Authors in alphabetical order.
Participated in research design: Gschwind, Schmid, Slade, Stitah, Swart, von Blanckenburg.
Conducted experiments: Kaufmann, van Zuilen, Oelze, Schmid, Stitah.
Performed data analysis: Gschwind, van Zuilen, Oelze, Schmid, von Blanckenburg.
Wrote or contributed to the writing of the manuscript: Gschwind, Kaufmann, Schmid, Slade, Stitah, Swart, von Blanckenburg.

Non-standard abbreviations
CKD: chronic kidney disease; GMP: Good Manufacturing Practice; ICP-OES: inductively coupled plasma optical emission spectroscopy; MC-ICP-MS: multi-collector inductively coupled plasma mass spectrometry.

Keywords
SBR759; chronic renal dysfunction; phosphate binder; stable iron isotopes; ferrokinetics; iron absorption; MC-ICP-MS; ICP-OES; 56Fe isotope.

Running title
Iron uptake after oral doses of [56Fe]SBR759 in healthy male subjects

Notes and references
Authors' affiliations

1 Drug Metabolism & Pharmacokinetics (DMPK) / Integrated Drug Disposition (IDD), Novartis Pharma AG, Basel, Switzerland
2 Institute for Mineralogy, Leibniz University of Hannover, Germany
3 GFZ German Research Center for Geosciences, Helmholtz Centre Potsdam, Germany (present Address)
4 Institute of Geological Sciences, University of Berne, Switzerland (present Address)
5 Novartis Institute for Biomedical Research (NIBR) / Translational Medicine, Novartis Corporation, East Hanover, USA
6 Clinical Science and Innovation, Novartis Pharma AG, Basel, Switzerland
7 Chemical and Analytical Development, Novartis Pharma AG, Basel, Switzerland
8 Address correspondence (present address) to: Dr. Hans-Peter Gschwind, Theodorhofweg 23, CH-4310 Rheinfelden, Switzerland
Tel: +41 79 212 25 43
e-mail: hans-peter.gschwind@bluewin.ch

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