# Green Chemistry

## Accepted Manuscript



This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this Accepted Manuscript with the edited and formatted Advance Article as soon as it is available.

You can find more information about *Accepted Manuscripts* in the **Information for Authors**.

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard <u>Terms & Conditions</u> and the <u>Ethical guidelines</u> still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.



www.rsc.org/greenchem

## Green Chemistry

## **RSCPublishing**

## ARTICLE

l un po' al solieCite this: DOI: 10.1039/x0xx00000x

Received 00th January 2012, Accepted 00th January 2012

DOI: 10.1039/x0xx00000x

www.rsc.org/

## Upgrading of glycerol acetals through thermal catalyst-free transesterification of dialkyl carbonates under continuous-flow conditions

M. Selva,\*<sup>*a*</sup> S. Guidi<sup>*a*</sup> and M. Noè<sup>*a*</sup>

At 250-300 °C and 30-50 bar, a continuous-flow (CF) transesterification of different dialkyl and alkylene carbonates (dimethyl-, diethyl-, dibenzyl-, and propylene carbonate, respectively) with two glycerol derived acetals (glycerol formal and solketal) was investigated without any catalyst. An unprecedented result was obtained: not only the desired process occurred, but also the formation of the corresponding mono-transesterification products took place with an excellent selectivity (up to 98%) in all cases. Under isothermal conditions, a study on the effect of pressure allowed to optimize the conversion of acetals (up to 95%) for the reactions of dimethyl- and diethyl- carbonate (DMC and DEC, respectively). This proved that an abrupt progress of the reaction occurred for very small increments of pressure. For example, at 250 °C, the thermal transesterification of DMC with glycerol formal showed a sharp increase of the conversion from 1-2% at 30 bar to ~85% at 37 bar, respectively. The lower the temperature, the lower the pressure interval at which the onset of the reaction was achieved. The absence of catalysts allowed to run CF-reactions virtually indefinitely and with a very high productivity (up to 68 mg/min) compared to the capacity (1 mL) of the used CF-reactor. Products of the transesterification of DMC and DEC were isolated in good-to-almost quantitative yields. In the case of heavier carbonates, steric reasons were responsible for the considerably lower reactivity of propylene carbonate (PC) with respect to DMC and DEC; while, the transesterification of dibenzyl carbonate (DBnC, solid at room temperature) with glycerol formal required the presence of acetone as an additional solvent/carrier. Although the reactions of both PC and DBnC were not optimized, results offered a proof-of-concept on the extension of thermal transesterification processes to higher homologues of linear and alkylene carbonates.

#### Introduction

The upgrade of biomass-derived glycerol generally encompasses two classes of reactions: a) oxidations or reductions to prepare mostly three-carbon atom derivatives, and b) conversion of glycerol into higher homologues. <sup>1-2</sup> Only to cite a few examples, class a) often includes metal (Pd, Pt, Bi and Au)-catalysed oxidation<sup>3</sup> and hydrogenation/hydrogenolysis processes,4 as well as fermentative pathways to produce 1,2- and 1,3-PDO (1,xpropanediol), dihydroxyacetone, glyceric and tartronic acids, biosurfactants (glycolipids), and other organic acids (pyruvic and citric acids).<sup>5</sup> While, class b) is mainly oriented to the chemical conversion of glycerol into: i) esters (especially di-, and tri-acetylglycerols) and ethers for pharmaceutics, cosmetics, fuel and food additives, and polymers,<sup>1a,6</sup> ii) epichlorohydrin (epicerol<sup>TM</sup>) for epoxyresins,<sup>7</sup> iii) glycerol

carbonate and acetals for polymer, surfactant and solvent/antifreezing applications.<sup>8</sup> This massive activity also fuels another area of investigation dealing with the upgrading of major glycerol derivatives. In this context, some years ago, we focused our attention on acetals of glycerol, particularly the most commonly used glycerol formal [GlyF: a mixture of 5hydroxy-1,3-dioxane (1a) and (1,3-dioxolan-4-yl)methanol (1a<sup>2</sup>) in a ~3:2 ratio, respectively] and solketal [2a: 2,2dimethyl-(1,3-dioxolan-4-yl)methanol] (Scheme 1).

-			
	OH O_OO	O, OH	OH
	5-hydroxy-1,3-dioxane	(1,3-dioxolan-4-yl)methanol	2,2-dimethyl-(1,3-dioxolan-4-yl)methanol
	<b>1a</b> :~ 60%)	<b>1a</b> ':~ 40%)	Solketal (1b)
	Glycen	ol formal	
5	Scheme 1 Most used		

Both these compounds display attractive physicochemical and reactivity properties: they are high-boiling thermally stable products, miscible with common organic solvents, and they possess a short OH-capped tether (hydroxymethylene group) which allows a synthetic access to a number of other functionalities. Moreover, GlyF and **1b** are safe compounds: they are both classified as non-toxic species.<sup>9</sup>

These features stimulated us to explore innovative protocols for the conversion of glycerol acetals into high added-value derivatives. Recently, we succeeded in the reaction of glycerol formal and solketal with different dialkyl carbonates (dimethyl, diethyl-, and dibenzyl-carbonate): under batch conditions ( $\geq$ 200 °C), we were able to demonstrate that a highly selective (up to 99%) and high-yielding (80-99%) O-alkylation reaction of acetals occurred at T $\geq$  200 °C and in the presence of K<sub>2</sub>CO<sub>3</sub> as a catalyst,.<sup>10</sup> Scheme 2 illustrates the model reaction with dimethyl carbonate (DMC).



Scheme 2 The mechanism for the methylation of GlyF and Solketal with DMC.<sup>10</sup>

The overall transformation could be explained by a combined sequence of alkylation, carboxyalkylation, decarboxylation and hydrolysis processes. Both methyl and carboxymethyl derivatives of acetals were initially formed (ROMe and ROCO<sub>2</sub>Me, respectively; paths a and b). However, as the methylation proceeded, the reversible transesterification backtracked: ROCO<sub>2</sub>Me gradually decreased to zero, while ROMe became the final product. The disappearance of the carboxymethyl product was further assisted by competitive decarboxylation and hydrolysis reactions [paths (c) and (d)],<sup>11</sup> that also took place for DMC [paths (e)-(f)].

Besides the synthetic value, the procedure also exemplified a genuine green model since it coupled innocuous renewables (glycerol acetals) to non-toxic alkylating agents such as DAICs in a catalytic reaction.

In a continuation of this investigation we decided to explore the same reaction with a different objective, *i.e.* the selective mono-transesterification of dialkyl carbonates with glycerol acetals (Scheme 3).



Page 2 of 35



Scheme 3 Selective mono-transesterification of dialkyl carbonates with glycerol acetals.

No previous studies were available in the literature for such a transformation. Among the possibilities to envisage a synthetic protocol, organocatalysis offered an attractive perspective. As recently reported by us,<sup>12</sup> new weakly basic carboxylate and methylcarbonate phosphonium salts may act as very efficient ionic liquid catalysts for the mono-transesterification of organic carbonates with primary and secondary alcohols, and diols as well. However, other very preliminary results of our group suggested that the reaction (mono-transesterification) could take place also under catalyst-free batch conditions providing that a reasonably high temperature ( $\geq 200$  °C) and pressure were used.<sup>10</sup> Of the two (organocatalysis and catalyst-free) options, the second one was more promising since in the absence of catalysts, operative conditions and product separation procedures could be simplified; moreover, an easier implementation of large scale productions could be devised. These aspects have inspired the present work in which we demonstrate that the catalyst-free transesterification of Scheme 3 can be optimized under continuous-flow (CF) conditions. In the CF mode, the reaction of linear and cyclic dialkyl carbonates such as dimethyl-, diethyl-, dibenzyl-, and propylene- carbonate with glycerol formal and solketal proceeds towards the formation of the corresponding monotransesterification products (I and II, Scheme 3) with a selectivity up to 98% even at substantially quantitative conversions of acetals. This excellent result has been achieved by an investigation of the combined effect of temperature, pressure, and flow rate. For example, at 250-300 °C, the reaction of DMC with GlyF shows a sharp increase of the substrate conversion (from 1-2 to ~85%) for small increments of the pressure in the range of 20-50 bar: the lower the temperature, the lower the pressure interval at which the onset of the reaction is achieved. This behaviour, although less pronounced with heavier and less reactive carbonates, indicates that the outcome of the transesterification is affected by the increase of the density (with pressure) of the reactant mixtures, suggesting the occurrence of the transformation under supercritical conditions. The study has taken advantage from the typical benefits of CF-methodologies, not only for the optimization of the process parameters and productivity, but also for the product separation and the minimization of reaction volumes. In short, a process intensification aimed at improvements of synthetic, energetic and economic efficiencies of the reaction has been achieved.

#### Results

#### The CF-apparatus

A schematic chart of the experimental setup used for continuous-flow (CF) reactions is depicted in Figure 1.



Figure 1 Experimental setup used for continuous-flow reactions of DMC and  $\ensuremath{\mathsf{GlyF}}.$ 

Major components of the apparatus included twin HPLC pumps ( $P_1$  and  $P_2$ ) for the separate delivery of liquid reactants (dialkyl carbonates and acetals), a thermostated oven (O) equipped with additional thermocouples for temperature control, a tubular reactor (R) made by an empty spiral-shaped 1/16" steel tube (L=1.6 m; V=1.02 mL), and a back-pressure regulator (BPR) for pressure control.

Dialkyl carbonates including dimethyl-, diethyl-, and propylene- carbonate, and acetals such as glycerol formal and solketal were commercially available ACS grade compounds. Dibenzyl carbonate was prepared according to a procedure recently reported by us.<sup>12c</sup>

#### Effects of the pressure and the temperature.

The catalyst-free reaction of dimethyl carbonate with glycerol formal was chosen as a model process to begin the investigation.

The conditions for the initial tests, in particular the temperature and the reactant molar ratio were selected according to our

**Green Chemistry** 

(Q) of 20 (the excess DMC served both as reagent and a carrier/solvent). In each experiment, a mixture of DMC (48.6 mL) and GlyF (2.5 mL) was fed to the reactor at a combined volumetric flow rate of 0.05 mL/min. The pressure was stepwise increased from ambient up to 100 bar: typical increments were of 5-10 bar. At any given pressure, the reaction was allowed to proceed for 90 min. Periodic GC/MS analyses of the mixture, collected at the reactor outlet, showed that both the conversion and the product distribution remained steady after a time interval of 60-80 min. On condition that a threshold pressure in the range of 20-50 bar was exceeded, an unprecedented result was obtained: not only the desired process occurred, but also the formation of the mono-transesterification product took place with a very high selectivity, over 95% (Scheme 4).



 $\ensuremath{\textit{Scheme}}\xspace 4$  The catalyst-free selective transesterification of DMC with glycerol formal.

In particular, two isomeric carbonates **2a** and **2a'** [1,3-dioxan-5-yl methyl carbonate and (1,3-dioxolan-4-yl)methyl methyl carbonate, respectively] were obtained in the same (3:2) relative ratio of the starting acetals **1a** and **1a'**. The structures of **2a/2a'** were assigned by GC/MS and NMR analyses. Other byproducts (total  $\leq$ 5%) derived from the double transesterification of DMC with GlyF.

Figures 2a-d report the trend of reaction conversion and selectivity observed as a function of the pressure at each of the investigated temperatures.

ARTICLE



Figure 2 Effects of temperature and pressure on the non-catalytic (thermal) transesterification of dimethyl carbonate (DMC) with glycerol formal. Four isothermal profiles are shown at: i) molar ratio Q=DMC:GlyF=20; ii) flow rate=0.05 mL/min; iii) sampling time (at any pressure)=1.5 hours.

In no case did the reaction take place at ambient pressure. However, very small pressure increments could dramatically affect the process. For example, at the lowest investigated temperature (225 °C), a significant enhancement of the conversion from 2 to 48% was achieved between 15 and 20 bar (Figure 2a). No further improvements of the reaction outcome were appreciated at higher pressures. An analogous behavior was observed as the temperature was increased. Though, an even more remarkable effect was manifest. At 250 °C, the conversion of GlyF sharply boosted up from 1% to 85% once the applied pressure went from 20 to 27 bar. Then, it (conversion) remained steady throughout the range of 30-60 bar (Figure 2b). The same held true at 275 °C, where a steep rise of the conversion (up to 87%) was observed between 30 and 37 bar (Figure 2c). A slightly different trend occurred at 300 °C: the reaction profile followed a gentler sloped sigmoidal curve that reached a stable value of 85-87% only at 50 bar (Figure 2d).

The comparison of Figures 2b-d indicated that an equilibrium conversion of ~85% could be achieved at 250-300 °C. However, as the temperature was increased, the pressure necessary for the reaction to proceed must be progressively augmented from 27, to 37, and to 50 bar, respectively. At 225 °C, although a lower equilibrium conversion (48%) was achieved, a lower operative pressure (20 bar) was required.

An additional test was devised also under batch conditions: a solution (40 mL) of GlyF and DMC in a 1:20 molar ratio was charged in glass reactor placed inside a stainless steel autoclave (inner volume 150 mL). Attention was paid to avoid any contact of reagents with inner walls of the autoclave. The overall system was heated at 200 °C for 24 hours. GC/MS analyses of the final reaction mixture showed that the

conversion was 84% with a mono-transesterification selectivity of 93% (the only by-product was from the double transesterification of DMC with GlyF). This result definitely proved the thermal nature of the reaction. Since the process occurred in a glass liner, any contribution of catalysis by metal components of stainless steel was ruled out.

## Recycle of the mixture, reproducibility, mass balance, and productivity.

CF-processes are particularly suited to perform recycling operations aimed at improving the reaction outcome and the final productivity. Accordingly, based on previous results of Figure 2, two sets (A and B) of experiments were carried out to optimize the conversion of the investigated monotransesterification. Conditions were those of Figure 2c. In set A, a continuous reaction of DMC and GlyF (in a 20:1 molar ratio, 0.05 mL/min) was allowed to proceed at 275 °C and 60 bar, for 18 hours. Samples of the mixture at the reactor outlet were analyzed at time intervals (by GC/MS, every 1.5 hours). The colourless clear solution (54 mL) recovered at the end of the test was distilled to remove the MeOH/DMC azeotrope (70:30 v/v, 2.5 mL; bp=62-65 °C) formed during the reaction,<sup>13</sup> and the initial volume was restored by addition of fresh DMC. The solution was then recycled by feeding it to the CF-reactor where another reaction was allowed to occur under the above described conditions (275 °C, 60 bar, 0.05 mL/min). Also in this case, the composition of the mixture at the reactor outlet was periodically monitored by GC/MS.

In set B, two subsequent CF-reactions of DMC and GlyF were performed using the same procedure of set A, except for the fact that no fresh DMC was added between the first and the second reaction. The results are reported in Figure 3 where the Journal Name

conversion of GlyF and the mono-transesterification selectivity are reported for the two sequential sets of experiments.



Figure 3 Recycling tests carried out at 275 °C and 60 bar. Initial runs (fresh) of both sets A and B were performed by using a mixture DMC/GLyF in a 20:1 molar ratio. The volumetric rate (F) was always 0.05 mL/min. Values of conversions and selectivities were those after 1.5 hours.

Three major aspects emerged: i) an equilibrium position was readily achieved in all cases. GC/MS analyses showed that mixtures recovered at the reactor outlet preserved the same composition throughout the experiment, from 1 to 18 hours. On average, before the recycle, mixtures were composed of unreacted acetal (1a/1a', 12-13% in total), transesterification product (2a/2a', 84-86% in total), and minor by-products ( $\leq 2$ -3%) (Figure 3: bars 1-2 and 5-6, respectively); while, recycled mixtures (after the second pass through the CF-reactor) contained less than 5% of GlyF and by-products, the remainder being the desired compound (2a/2a') (bars 3-4 and 7-8, respectively). This proved that the recycle could improve the conversion of GlyF from ~85% up to a substantially quantitative value (95-97%, second pass), without any appreciable alteration of the selectivity that remained constant at 96-98%; ii) the addition of fresh DMC before the recycle did not affect the reaction outcome (compare A and B recycle, bars 3-4 and 7-8). This suggested that the overall process could be further intensified by decreasing the volume of DMC; iii) the comparison of Figures 2c and 3 as well as the consistent composition of reaction mixtures sampled and analyzed during long-running tests (up to 18 hours) indicated that a robust procedure with highly reproducible results was thus achieved. This was substantiated also by the validation of the reaction mass balance: after the recycle tests, the transesterification product was isolated in a 92% yield (total of 2a/2a'). Isomeric carbonates 2a and 2a' were obtained in the same (3:2) relative ratio of the starting acetals 1a and 1a'. Worthy of note was the extremely easy separation procedure that took place through a one-step distillation of the final mixtures (54 mL) without additional purifications or need for extra solvents.

To further explore the potential of the investigated procedure, the effect of the DMC amount was analyzed. The protocol of Figure 2 was changed by decreasing the volume of DMC: in particular, three CF-reactions were performed using reactant molar ratios Q (DMC:GlyF) of 20, 10 and 5, respectively. Experiments were carried out at 250 °C since this temperature offered a good compromise between high conversions and low pressures (20-40 bars; Figure 2b). In each test, the combined flow rate was set to 0.05 mL/min and the pressure was gradually increased from ambient up to 50 bar.

Results are reported in Figure 4 which details the trend of the conversion of GlyF with the increase of the pressure at the different Q ratios used.

As Q was decreased from 20 to 10, the shape of reaction profiles was similar, but two differences were manifest: i) the onset of the reaction took place in the proximity of 15 bar (Q=10) and 25 bar (Q=20), respectively; ii) a slight drop of the equilibrium conversion, from ~85 to ~80%, was observed (black and red curves). The last aspect was far more evident when the Q ratio was further reduced to 5: the maximum allowed conversion declined from ~77% at 15-20 bar, to level off at a value of 70% at higher pressures (≥40 bar, blue profiles).



Figure 4 The conversion GlyF at different pressures and DMC:GlyF (Q) molar ratios. T=250  $^{\circ}$ C, volumetric rate F=0.05 mL/min. Values were determined after 1.5 hours.

Also the reaction selectivity (not shown in the Figure) towards the product **2a/2a'** slightly decreased from 96-98% at Q=10-20, to 88-93% at Q=5, respectively: the lower DMC:GlyF ratio favored the double transesterification of DMC with GlyF. In conclusion, the reaction was most conveniently carried out by using an excess DMC of 10 molar equivs. with respect to GlyF. This allowed to operate at a moderate pressure ( $\leq$ 30 bar) with only a minor drop of the equilibrium conversion.

Under such conditions, a recycling experiment was analogous to that described for Figure 3: a continuous reaction of DMC and GlyF (Q=10; F=0.05 mL/min) was allowed to proceed at 250 °C and 30 bar, for 18 hours. Then, the solution (54 mL) recovered at the reactor outlet was distilled to remove the MeOH/DMC azeotrope, and recycled for a second pass through the CF-reactor. The results confirmed those of Figure 3. The recycle of the mixture enhanced the GlyF conversion from 81 to 94% with no alteration of the mono-transesterification

selectivity ( $\geq$  96%). A final distillation gave isomers **2a/2a'** in a substantially quantitative yield (purity  $\geq$ 98%, by GC). The relative ratio **2a/2a'** (3:2) corresponded to that of the starting acetals **1a/1a'**.

Additional tests were carried out to evaluate and possibly optimize the system productivity (P) as well. This was calculated by the mass of desired product obtained per time unit (mg/min of  $2a/2a^{\circ}$ ). In the CF-mode, a DMC/GlyF mixture (Q=10) was set to react at 250 °C and 30 bar, by progressively increasing the total volumetric flow rate (F) from 0.05 mL/min to 0.6 mL/min. Typical increments were of 0.05-0.1 mL/min. At any chosen F rate, the same volume (10 mL) of the reaction mixture was used: the corresponding reaction times were variable from 17 to 180 min.<sup>14</sup> The solutions collected from the reactor were analyzed by GC/MS to determine both the conversion of GlyF and the product distribution. Results are reported in Figure 5.



Figure 5 The effect of the flow rate (F) on conversion (red), selectivity (blue), and productivity (green) of the transesterification of DMC with GlyF. Conditions: 250  $^{\circ}$ C, 30 bar, Q=10.

A 4-fold increase of the flow rate from 0.05 to 0.2 mL/min had no effects on the conversion that remained substantially constant at 80-83%. The progress of the reaction was apparently disfavored by further increments of F: the conversion dropped from ~80 to 35% as the residence time  $(\tau)$ was gradually reduced from 300 to 100 sec (red profile, in the range of 0.2-0.6 mL/min). Notwithstanding this, the monotransesterification selectivity always remained very high (>95%), and even most importantly, the reaction productivity (P) showed an almost linear increase from 7 mg/min up to a maximum of 42 mg/min when F was changed from 0.05 to 0.4 mL/min (green profile). It (P) then slightly decreased to 35 mg/min at higher flow rates ( $\geq 0.5$  mL/min). Compared to the limited capacity (1 mL) of the used CF-reactor, this result not only highlighted an excellent performance of the reaction, but also opened a perspective for larger scale applications and further process intensification.

Overall, the study described by Figures 2-5 proved the feasibility of the model catalyst-free thermal transesterification of DMC with glycerol formal, and offered a strategy to optimize the process under CF-conditions. To continue

exploring its potential, the investigation was then focused on the scope and limitations of the synthesis by using other dialkyl carbonates and glycerol-derived acetals.

## Different carbonates: the reaction of diethyl carbonate with glycerol formal.

Diethyl carbonate (DEC), the simplest linear C5-homologue of dimethyl carbonate, was initially used. CF-reactions of DEC with GlyF were carried out based on the results, the method, and the apparatus above described for DMC. A mixture of DEC and GlyF (in a 10:1 molar ratio, respectively) was continuously fed through a CF-reactor thermostated at a temperature comprised between 250 and 300 °C. The flow rate was 0.05 mL/min. In analogy to experiments of Figures 2 and 4, the pressure was gradually increased from ambient up to 100 bar. Conversion and product distribution were determined by GC/MS analyses of the mixtures that were periodically sampled at the reactor outlet.<sup>15</sup> Three isothermal reaction profiles were obtained at 250, 275, and 300 °C, respectively: in all cases, a highly selective (>95%) mono-transesterification reaction occurred providing that an operating pressure above 20 bar was applied (Scheme 4).



Scheme 4 The catalyst-free mono-transesterification of DEC with glycerol formal.

As for DMC, this result was never previously reported under catalyst-free conditions, particularly in the CF-mode. The structures of isomeric carbonates **3a** and **3a'** [1,3-dioxan-5-yl ethyl carbonate and (1,3-dioxolan-4-yl)methyl ethyl carbonate, respectively] were assigned by GC/MS and NMR analyses. The relative ratio **3a/3a'** was the same (3:2) observed for starting acetals **1a** and **1a'**. Other by-products (total  $\leq 5\%$ ) derived from the double transesterification of DEC with GlyF. Figure 6 shows the trend of the reaction conversion as a function of pressure, at each of the investigated temperatures.



Figure 6 Effects of temperature and pressure on the non-catalytic transesterification of diethyl carbonate (DEC) with glycerol formal. Three isothermal profiles are shown at 250, 275, and 300 °C in the range of 10-100 bar.

Journal Name

#### **Green Chemistry**

Other conditions: i) molar ratio Q=DEC:GlyF=10; ii) flow rate (F)=0.05 mL/min; iii) sampling time (at any pressure)=1.5 hours. In all cases the selectivity was greater than 95%.

Isothermal profiles of the transesterification of DEC with GlyF showed both analogies and differences with respect to the corresponding reaction of DMC (Figure 2). Analogies were: i) the reaction did not take place at ambient pressure, but only over 20 bar, and ii) an equilibrium conversion (up to 80%) was reached with increasing pressure (green and orange curves). Differences included: i) conversion profiles did not show steep abrupt changes, but followed sigmoid-like curves extended over relatively large pressure intervals from 20 up to 50 bar (compare violet, orange, and green profiles); ii) a higher temperature was required for the reaction. For example, at 250 °C, (equilibrium) conversions of GlyF were 85% and 23% in the transesterification of DMC and DEC, respectively (Figures 2c and 6); iii) less evident temperature/pressure relations were observed. At 250-275 °C, the behavior paralleled that of DMC: as the temperature increased, the pressure interval for the onset of the reaction should also increase. However, at 300 °C, the threshold pressure (25-30 bar) for the transesterification process was similar, if not lower, to that at 275 °C.

The results of Figure 6 allowed to conclude that DEC was less reactive than DMC and the formation of products **3a/3a'** could be conveniently carried out at 300 °C and 50 bar. Under such conditions, an additional test was carried out to evaluate the reaction productivity. The same procedure described for DMC (Figure 5) was used: a DEC/GlyF mixture (Q=10, 10 mL) was set to react in the CF-mode by progressively increasing the total volumetric flow rate (F) from 0.05 mL/min to 1 mL/min. The corresponding reaction times were variable from 10 to 180 min (see note 14). The conversion and the product distribution of mixtures collected at the reactor outlet were determined by GC/MS. Results are reported in Figure 7.



Figure 7 The effect of the flow rate (F) on conversion (red), selectivity (blue), and productivity (green) of the transesterification of DEC with GlyF. Conditions: 300 °C, 50 bar, Q=10.

The productivity was linearly enhanced from 8 to 68 mg of 3a/3a' per min, when F was increased by a factor of 10 from 0.05 to 0.5 mL/min. A drop to 48 mg/min was then observed at

greater flow rates. With respect to DMC, the higher reaction temperature (300 *vs* 250 °C: figure 7 and 5, respectively) was the plausible reason for the better productivity achieved with DEC. The result confirmed that not only the CF-transesterification of DEC with GlyF was practicable under catalyst-free conditions, but also a robust and reproducible procedure was used.

Products 3a/3a' were isolated by distillation of the mixture (total volume 54 mL) recovered after a reaction carried out for 18 hours at 300 °C and 50 bar. However, the separation was tricky: due to the close boiling points of the unconverted GlyF (~15%) and the products, the yield of compounds 3a/3a' did not exceed 73% (further details are in the experimental section).

#### Different carbonates: the reaction of propylene- and dibenzylcarbonate with glycerol formal.

Liquid propylene carbonate (PC, bp=242 °C) was a good model compound to investigate the behavior of cyclic alkylene carbonates in the reaction with GlyF. CF-conditions used for this study were based on the results described for DEC (Figure 6): a mixture of PC and GlyF (in a 10:1 molar ratio, respectively; F=0.05 mL/min) was set to react continuously at a temperature of 250, 275 and 300 °C, respectively, under a constant pressure of 50 bar. No changes of the operative pressure were considered. GC/MS analyses of solutions recovered at the reactor outlet proved that a highly selective (>95%) mono-transesterification reaction occurred with the formation of four isomeric products (4a/4a' and 5a/5a') (Scheme 5).



Scheme 5 The catalyst-free mono-transesterification of propylene carbonate with glycerol formal.

The structures of such carbonates were assigned by GC/MS analyses. The relative ratios 4a/4a' and 5a/5a' corresponded to that of the starting acetals 1a/1a' (3:2). Due to the complexity of the reaction mixtures, the NMR characterization was not practicable.16 Experiments showed that the rise of the temperature from 250 to 275 and 300 °C brought about a corresponding increase of the conversion of GlyF from 7 to 23 and 53%, respectively. These results were not further optimized, nor the isolation of products was accomplished. Any attempt to separate (by distillation) unreacted GlyF and excess PC from derivatives 4-5 was not successful because of the close boiling points of the involved compounds. However, tests for the CF-transesterification of PC with GlyF were repeated under the same conditions of Scheme 5 and with the additional validation of GC-analyses by an external standard (ntetradecane). Results were in very good agreement to those of previous experiments: (calibrated) conversions of 7, 27, and 48% were achieved at 250, 275 and 300 °C, respectively. The overall study confirmed that propylene carbonate could be used for the investigated CF-protocol, though it was less active than diethyl carbonate, and even less than DMC.

Dibenzyl carbonate (DBnC) was finally considered for the transesterification with GlyF. DBnC is a low melting solid (mp: 34 °C) which, upon heating, forms a highly viscous liquid (bp:180-190 °C/2 mmHg). A solvent/carrier was therefore necessary to perform CF-reactions. Two different solvents such as acetone and 1,2-dimethoxyethane (DME) were considered for their physicochemical properties (particularly, low-mid boiling points and polarity<sup>17</sup>), and acceptable toxicological profiles.<sup>18</sup> A preliminary screening was carried out using a solution of GlyF, DBnC and the solvent in a 1:5:12 molar ratio, respectively. This mixture was set to react in the CF-mode at 250 °C and 50 bar, at a flow rate of 0.05 mL/min. Experiments demonstrated that after 1.5 hours, the mono-transesterification reaction of DBnC with GlyF was achieved with excellent selectivity (>98%) (Scheme 6 and Figure 8).



Scheme 6 The catalyst-free mono-transesterification of dibenzyl carbonate with glycerol formal.



Figure 8 The transesterification of DBnC with GlyF: effect of the solvent and temperature on conversion (red), and selectivity (blue). Conditions: 50 bar, molar ratio GlyF:DBnC:solvent=1:5:12; F=0.05 mL/min, 1.5 hours; left (first four bars): 250 °C; right: (second four bars): 225 °C.

The solvent greatly affected the (equilibrium) conversion of GlyF that improved from 11% in the presence of DME to 94% in acetone (Figure 8: red bars on left). The result was substantiated by two other tests carried out under the same conditions (50 bar, molar ratio GlyF:DBnC:solvent=1:5:12; F=0.05 mL/min, 1.5 hours), but at a lower temperature of 225 °C: no reaction took place in DME, while an almost quantitative mono-transesterification was observed in acetone

(Figure 8: red bars on right). Of note, the outcome of such a reaction was even better than that in DMC (compare Figure 2a). At 225 °C, the acetone-mediated process was allowed to proceed up to 4 hours: the volume (~12 mL) collected at the reactor outlet proved a substantially quantitative recovery of the reaction mixture. The GC/MS analysis of this solution confirmed that GlyF was converted into the corresponding carbonates **6a/6a'** with negligible amounts (1%) of double transesterification by-products. At the same time, also a partial decarboxylation of DBnC to dibenzyl ether [(PhCH<sub>2</sub>)<sub>2</sub>O, DBE] was noted in accordance to our previous results on the high-temperature behavior of dialkyl carbonates.<sup>11-12, 19</sup>

Products **6a/6a'** were new compounds. They were obtained in the same (3:2) relative ratio of starting acetals **1a/1a'**. Of the different techniques attempted for their isolation, FCC on silica gel (eluent: petroleum ether (PE)/diethyl ether (Et<sub>2</sub>O), 1:1 v/v) was successful to separate even the single isomers in a highly pure form (>95%, by GC). Full structural details of compounds **6a** and **6a'** were achieved by an in-depth NMR characterization study (the description is reported in the experimental section).

Figure 8 also indicated that in the range of 225-250 °C, the temperature had a minor effect on both the conversion and the selectivity. Although this suggested that there was room for improvement, the optimization of such reaction was beyond the scope of the work. Overall, the reaction of DBnC not only confirmed that the CF-protocol was feasible for higher carbonates, but offered new perspectives on benefits of the use of light solvents of low-to-mid polarity.

#### Different acetals: the reaction of dimethyl- and diethylcarbonate with solketal.

Solketal (1b) is the product of the condensation of glycerol with acetone (Scheme 1). This compound was used as a different liquid acetal to study the CF-transesterification of both DMC and DEC. Reaction conditions were those of previous experiments: a mixture of solketal and the chosen dialkyl carbonate (in a 1:20 molar ratio, respectively) was set to react in the CF-mode at different temperatures of 250 and 275 °C, and at a total flow rate of 0.05 mL/min. Tests demonstrated that under a pressure  $\geq$  30 bar, highly selective (>98%) mono-transesterifications could be achieved also with solketal (Scheme 7).



Scheme 7 The catalyst-free mono-transesterification of dimethyl- and diethyl- carbonate with solketal.

In the case of DMC, the reaction was monitored by changing the operating pressure from ambient up to 100 bar. Periodic GC/MS analyses of mixtures collected at the reactor outlet showed that both the conversion of solketal and the selectivity Journal Name

#### **Green Chemistry**

were steady after a sampling time of 60 min (at any given

pressure). Figure 9 reports the results.



**Figure 9** Effects of temperature and pressure on the non-catalytic transesterification of dimethyl carbonate (DMC) with solketal. Isothermal profiles at 275 and 300 °C were obtained under the following conditions: i) molar ratio Q=DMC:**1b**=20; ii) flow rate=0.05 mL/min; iii) sampling time (at any pressure)=1 hours.

The behavior was similar to that observed for the reaction of DMC with GlyF (compare Figures 2b and 2c). This was especially true at 275 °C where an increment of the pressure from 35 to 40 bar allowed a steep enhancement of the conversion from 4 to 96%, respectively (orange curve). A broader profile was observed at 250 °C: although the onset of the reaction was at 30 bar, the process was almost quantitative only at 50 bar (green curve). The two trends indicated that the lower the temperature, the lower the pressure at which the reaction initiated. Of note, the equilibrium conversion of solketal (>95%) was higher than that achieved for glycerol formal (~85%) in the same transesterification of DMC.

The reaction carried out at 275 °C was allowed to proceed for 18 hours. Then, the mixture collected at the reactor outlet (54 mL) was vacuum distilled. An almost quantitative recovery of crude product **2b** (5.1 g; purity 96% by GC) was achieved. The structure of such a compound was assigned by GC/MS and NMR spectra.

In the case of diethyl carbonate, two CF-experiments were carried out at 275 °C under a constant pressure of 30 and 50 bar. The conversion of solketal was 70 and 72%, respectively. This indicated that an equilibrium position was plausibly reached, though at a lower conversion than that achieved with dimethyl carbonate (>95%, Figure 9). As for the transesterifications with GlyF, reactions of solketal confirmed that DEC was less active than DMC.

The vacuum distillation of the mixtures (54 mL) recovered after these experiments, allowed to isolate the crude product **3b** in a 73% yield (3.2 g; purity 98% by GC), whose structure was assigned by GC/MS and NMR spectra.

#### Discussion

#### The non-catalytic nature of the reaction.

The present study provides evidence that the investigated CFtransesterification of dialkyl carbonates with glycerol acetals is triggered by a combined effect of temperature and pressure. Isothermal reaction profiles at T $\geq$  250 °C, show that the conversion of acetals can be tuned and improved by increasing the operative pressure over a threshold value in the range of 20-50 bar. Then, as expected for reversible processes, the transesterification reaches an equilibrium position with excellent conversions (85-95%) that remain steady for higher pressures (70-100 bar).

This is a general outcome that although with some variations, is observed when both different carbonates react with the same acetal (Figures 2 and 6), and alternatively, different acetals react with the same carbonate (Figure 2 and 9).

The behavior of CF-reactions and the results of batch (autoclave) experiments on the transesterification of DMC with GlyF offer a convincing support for the occurrence of thermal (non-catalytic) processes. Such (thermal) transesterifications are not new reactions, but literature examples are almost exclusively referred to the production of biodiesel. Among the first reported cases, in 1998, an investigation proposed a kinetic model for batch reactions of soybean oil with methanol performed at 220-235 °C and 55-60 bar.<sup>20</sup> Thereafter, different fundamental and applied studies demonstrated that the noncatalytic transesterification of vegetable oils conveniently proceeded under both batch and continuous-flow modes in the presence of supercritical light alcohols (sc- methanol and ethanol).<sup>21</sup> Reaction kinetics took great advantage of the supercritical state: according to some Authors, this was possibly due to a decrease of the dielectric constant of scalcohols which favored the oil-in-alcohol miscibility and the formation of a single reacting phase.<sup>21a, 22</sup> Other benefits were further emphasized by the absence of any catalysts which allowed easy and cheap separation of products (fatty acid methyl/ethyl esters, FAME and FAEE, respectively).

In the synthesis of biodiesel, also the potential of supercritical dimethyl carbonate (sc-DMC:  $T_c=284$  °C;  $P_c=48$  bar;  $\rho_c=3.97$  g/mL,<sup>23</sup>) was explored for batch transesterifications of rapeseed and Jathropha oils.<sup>24</sup> These studies showed that at 350 °C and 20 MPa, yields on transesterification products (FAME) obtained in sc-DMC were substantially equivalent to those in sc-MeOH. However, in sc-DMC, the nature (thermal, catalytic or both) of the reaction was unclear since the process originated also sizable amounts of citramalic acid that was suspected to act as a catalyst.<sup>25</sup>

#### The reaction of GlyF and DMC.

Notwithstanding the conceptual similarity, the thermal transformations investigated in this work differ from those cited in the case of biodiesel productions, for the important fact that both GlyF, solketal and carbonate products (1a-6a/1a'-6a' and 2b-3b) form perfectly homogeneous solutions with dialkyl carbonates. Miscibility is therefore not an issue. However, since thermal processes may have substantial activation barriers, they

Journal Name

#### require high reaction temperatures:<sup>26</sup> the relative vapor tension of reactants becomes a crucial factor. Consider, for example, the model case of the CF-transesterification of DMC (bp=90 °C) with GlyF (bp=192-193 °C) (Figures 2 and 4). At T≥200 °C and ambient pressure, reactants are in the vapor state even though dynamic flow conditions may allow some mixing of gases. As the pressure is increased, the vaporization is more difficult: the high boiling GlyF becomes mostly liquid, while the more volatile DMC initiates to partition between the gas and the GlyF liquid phases. The contact of the reactants starts to be effective as is highlighted by all further increments of the pressure. To the point that intimate interactions between GlyF and DMC allow the reaction to take place. In particular, the sigmoidal-like curves of Figures 2 and 4 indicate that transesterification starts once an optimal pressure (and density of the reacting mixture) is reached, at which corresponds an abrupt improvement of the conversion. A hypothesis for this behavior stands on the occurrence of near-critical or supercritical solutions able to favor the contact of reactants and the process kinetics. Although a detailed investigation of this aspect is beyond the scope of the present work, it should be noted that: i) in Figure 2, P and T (225-300 °C, 20-50 bar) are not far from the supercritical state of DMC which shows a density four times higher than in its liquid state.<sup>25</sup> The presence of GlyF in the reacting solutions may possibly alter the supercritical parameters with respect to pure sc-DMC. However, minor changes are expected due to the large excess (up to 20 molar equivs) of the carbonate;<sup>27</sup> ii) conversion profiles are consistent with the effect of the temperature and of the DMC: GlyF molar ratio (Q). In Figure 2, the increase of the temperature plausibly reduces the density of the reacting mixtures so that a higher pressure is necessary to trigger the process. In Figure 4, the decrease of the Q ratio originates solutions richer in the denser and less volatile component (at ambient conditions, densities of GlyF and DMC are 1.20 and 1.07 g/mL, respectively<sup>18</sup>). This favors the contact between reagents and lowers the pressure interval at which the onset of the reaction is achieved; iii) in general, conversion profiles parallel the isothermal trend of density with pressure displayed by several mixtures and pure compounds during the transition to their supercritical states.<sup>28</sup>

#### Recycle and productivity.

The reversible nature of the transesterification offers an explanation for results of Figures 3 and 4. In the model case of the reaction of DMC with GlyF, the success of the recycling procedure proves that the transesterification equilibrium may be shifted to the right by increasing the residence time of the reactant mixture in the CF-reactor. This helps to improve the conversion from 85 to 95% (Figure 3). On the other hand, the excess of the dialkyl carbonate also exerts a control on the reaction equilibrium: if the Q molar ratio (DMC:GlyF) is decreased, the reduced availability of DMC not only disfavors the conversion, but also the reaction selectivity, and the onset of a double transesterification process is observed (Figure 4).

As far as the productivity of both the reactions of DMC and DEC with GlyF, the optimization of the reactant flow rate allows quite satisfactory results, especially if one considers the limited capacity (1 mL) of the CF-reactor used in our study (Figures 5 and 7). An issue however, may concern the overall convenience of the procedure in terms of energy consumption and safety. In a perspective of a larger scale application, it should be noted that technologies for the integrated heat and energy recovery of modern chemical plants often allow a very cheap access to high temperature (over 200 °C) and mid-to-low pressure (40-50 bar) conditions. Detailed analyses of these aspects are available in the literature. Consider, for example, sctransesterification reactions of oils. Although conditions for such processes may be rather severe (270-400 °C and 10-65 MPa), a recent simulation of a biodiesel production carried out for the reaction of triglycerides with methanol at 400 °C and 200 bar, has proved that the total energy consumption and the output PEI (potential environmental impact) per mass of product of a plant capacity of 10.000 tons/year, are even lower than that of a conventional base-catalyzed transesterification process.<sup>29</sup> Similar conclusions have been reported by other comparative studies on energy requirements of sc- and catalytic-reactions.30

#### Different carbonates and acetals.

Isothermal trends of conversion vs pressure show a sigmoidal shape also for the transesterification of DEC with GlyF (Figure 6). However, with respect to DMC, not only conversion profiles display smoother increases, but also the reaction is more energy demanding: both higher temperatures and pressures are necessary (275-300 °C and 30-70 bar, respectively). Among the factors that may account for such a difference, one of the most relevant is the intrinsic lower reactivity of DEC compared to DMC. This behavior, plausibly due to steric reasons, has been confirmed by a number of catalytic processes which include transesterifications and decarboxylations,<sup>10-11</sup> etherifications,<sup>12</sup> and alkylations.<sup>31</sup> A very recent study has further substantiated such trend also for thermal reactions: higher activation energies have been measured for transesterifications of vegetable oils carried out in supercritical DEC with respect to analogous transformations in sc-DMC.<sup>32</sup> In analogy to the above discussion, another contribution to results of Figure 6 is possibly given by the variation of the density of the reacting mixture with pressure. This aspect however, can be hardly analyzed because of the lack of thermodynamic data: experiments of Figure 6 take place under conditions very close the supercritical state of DEC (Tc=302 °C; Pc=3.4 MPa<sup>32</sup>), but any information on the density of sc-DEC is unknown.

The relative reactivity of the two GlyF isomeris(1a and 1a') should also be considered. Irrespective of the dialkyl carbonate (and of temperature and pressure) used, isomeric products 2a/2a' and 3a/3a' are always obtained in the same relative ratio (3:2) of the starting acetals 1a/1a'. Contrarily to our previous findings on base-catalyzed alkylations of GlyF with DMC,<sup>10</sup> thermal (non-catalytic) conditions level off the relative transesterification rate of 5- and 6-membered ring acetals with

both DMC and DEC. This holds true for higher carbonates. At present, no clear explanations can be offered for this behaviour. Propylene carbonate (PC) is considerably less reactive than DEC: at 300 °C and 50 bar, the conversion of the reaction of PC with GlyF does not exceed 53% (Scheme 5). Also in this case, steric reasons may be invoked to account for the result. A support from the literature comes for example, from studies on the synthesis of DMC via the transesterification of cyclic carbonates with methanol: these investigations have demonstrated that slower reactions as well as poorer (even three times lower) equilibrium yields are achieved when the bulkier propylene carbonate is used instead of ethylene carbonate.<sup>33</sup> Notwithstanding the moderate reactivity, other properties of PC (mostly the boiling point and the viscosity) make this compound a preferred choice over other cyclic carbonates.

The CF-transesterification of dibenzyl carbonate (DBnC) with GlyF takes place in the presence of acetone as a solvent/carrier. Although this does not allow a direct comparison with the reactivity of other carbonates, the study of DBnC-mediated reactions provides two pieces of evidence: i) the nature of additional solvents may critically affect the thermal process; ii) a non-toxic, cheap, moderately polar and aprotic solvent such as acetone is not only compatible with the CF-setup, but remarkably, it allows quantitative transformations under less demanding conditions with respect to DMC (Figure 8, right). These aspects open a window on the potential of eco-friendly solvents for fundamental investigations and innovative preparative protocols in the arena of transesterifications.

To sum up, one should note that further studies are necessary to optimize and implement the CF-reactions of both PC and DBnC with GlyF, especially to improve the conversion and the product separation. The results of this work however, offer a convincing proof-of-concept on the extension of thermal transesterification processes to higher homologues of linear and alkylene carbonates.

A comment should be finally made on the comparison between the two investigated acetals. Both the reactions of GlyF and solketal confirm the lower reactivity of DEC with respect to DMC. However, solketal may offer better results than GlyF. This is well exemplified by the transesterification of DMC carried out at 275 °C and 40 bar: under these conditions, the equilibrium conversions were 85% for GlyF and 96% for solketal, respectively (Figures 2c and 9). Such a difference can be hardly explained, though the higher density of GlyF (1.21 g/mL) with respect to solketal (1.07 g/mL) might play a role

#### Conclusions

This investigation proposes the first reported procedure for the continuous-flow thermal mono-transesterification of dialkyl carbonates (DAlCs) with glycerol formal and solketal. The method not only offers a clean synthetic route for the reaction, but also identifies a strategy - potentially valuable for large scale preparations and transferable to intensified process equipment – for the selective upgrading of glycerol acetals to the corresponding carbonates.

Besides the synthetic scope, the reaction exemplifies a genuine green archetype since it couples innocuous reactants of renewable origin (glycerol acetals) to non-toxic compounds such as DAICs.

The process is triggered by a combined effect of temperature and pressure. Under isothermal conditions at 250-300 °C, the increase of the pressure in the range of 20-50 bar, favors the contact/mixing of the reactants (by reducing the vaporization of liquids) at the point that the conversion of acetals can be improved up to 95%. On the other hand, the product distribution is tuned by the reactant molar ratio: a 10-molar excess of the dialkyl carbonate conveniently shifts to the right the equilibrium to reach a mono-transesterification selectivity as high as 98%.

Both the recycling operations and the productivity take advantage of CF-conditions: the first (recycle) can be simply implemented through the reuse of mixtures collected from the CF-reactor, without additional purification steps; the second (productivity) can be optimized up to ~70 mg/min according to the design and the capacity of the CF-apparatus. Moreover, the absence of any catalysts allows to run reactions virtually indefinitely with easy and cheap separation of products.

Two further aspects should be commented: i) the investigation carried out so far discloses the potential of a catalyst-free CFmethod for the transesterification of a family of linear and alkylene dialkyl carbonates with glycerol acetals. Though, a case-by-case optimization is necessary and future studies will be required for a practical implementation of the reactions of heavier carbonates (propylene- and dibenzyl-carbonate) by the use of additional solvents; ii) one could be concerned about the energy consumption due to the demanding conditions for noncatalytic transesterification processes. This problem may be considerably mitigated by modern technologies for heat and energy recovery. Recent examples of such engineering solutions (rather beyond the scope of this work) have been reported for the case of supercritical transesterification of oils. These prove that sc-processes may be even economically advantageous over conventional base-catalyzed transesterification methods (see details in the discussion section).

#### Experimental

#### General

Glycerol formal (GlyF, **1a+1a'**), solketal (**1b**), acetone, 1,2dimethoxyethane (DME), *n*-tetradecane, dimethyl carbonate (DMC), diethyl carbonate (DEC), propylene carbonate (PC), benzyl alcohol, ethyl acetate (EA), ethyl ether (Et<sub>2</sub>O), petroleum ether (PE) and dichloromethane (CH<sub>2</sub>Cl<sub>2</sub>) were ACS grade. They were all from Aldrich and were used as received. Dibenzyl carbonate (DBnC) was prepared via the transesterification of benzyl alcohol with DMC by using a method recently reported by us.<sup>12d</sup> GC/MS (EI, 70 eV) analyses were run using a HP5-MS capillary column (L=30 m,  $\emptyset$ =0.32 mm, film=0.25 µm). The following conditions were used. Carrier gas: He; flow rate: 1.2 mL/min; split ratio: 10; initial T:50 °C (3 min), ramp rate: 15 °C/min; final T: 250 °C (3 min). <sup>1</sup>H NMR were recorded at 400 MHz, <sup>13</sup>C spectra at 100 MHz and chemical shift were reported in  $\delta$  values downfield from TMS; CDCl<sub>3</sub> was used as the solvent.

#### **CF-Apparatus.**

The apparatus used for the investigation was assembled inhouse according to the chart of Figure 1. Two twins Shimazdu LC-10AS HPLC pumps were used to deliver liquid reactants to a stainless steel tubular reactor (1/16" Inner diameter, L=1.6 m, 1.0 mL inner volume). The reactor was placed in a GC oven by which the desired reaction temperature was reached. The outlet of the reactor was connected to a back pressure regulator (JASCO BP-2080) to set and control the operative pressure throughout the process.

**SAFETY WARNING**. Operators of high pressure equipment should take proper precautions to minimize the risk of personal injury.<sup>34</sup>

#### General procedure for the CF-non catalytic transesterification.

In a typical CF-, non-catalytic transesterification reaction, the following operations were performed. At ambient temperature and pressure, a preliminary conditioning of the apparatus was carried out by delivering a mixture of reactants (5 mL; the molar ratio dialkyl carbonate:glycerol acetal was set to 20, 10, and 5 respectively) to the CF-reactor. Afterwards, the back pressure regulator (BPR) was set to the operating pressure (10-100 bar) and the flow rate of the mixture was adjusted to the desired value (0.05-1.00 mL/min). The reactor was then heated at a temperature comprised between 225 and 300 °C. At any given temperature and pressure, the reaction mixture was collected out of the BPR at time intervals of approximately 90 min. Samples were analyzed by GC/MS. Once the experiment was complete, the oven was set to 100 °C and the pumping of reagents was stopped. The overall apparatus was then cleaned by a flow of acetone (50 mL). The reactor was allowed to cool at room temperature and the pressure was gradually decreased to the ambient value.

#### Reaction of glycerol formal (1a-1a') with DMC.

Three reactants' solutions (51 mL each) were prepared by adjusting the DMC:GlyF molar ratio at 20, 10, and 5, respectively. They were obtained by dissolving the same amount of GlyF (3 g, 28.8 mmol) in 48.6, 24.3, and 12.1 mL of DMC. The corresponding molar concentrations were 0.56, 1.08, and 1.97.

#### Effect of the pressure and the temperature (Figure 2)

According to the above described general procedure, a 0.56 M solution of GlyF in DMC (51 mL; DMC:GlyF molar ratio=20) was sent to the CF-reactor at a constant volumetric flow rate of 0.05 mL/min. Four isothermal tests were performed by heating the reactor at 225, 250, 275, and 300 °C, respectively. In each test, the pressure was stepwise increased from ambient up to 100 bar. Typical increments were of 5-10 bar. At any given

pressure, the reaction was allowed to proceed for 90 min to achieve steady conditions. Then, samples of the mixture were collected at the reactor outlet and analyzed by GC/MS.

#### **Recycling tests (Figure 3)**

According to the above described general procedure, two sets (A and B) of experiments were carried out. In the set A (Figure 3, left), a 0.56 M solution of GlyF in DMC (molar ratio DMC:GlyF=20) was delivered to the CF-reactor at a flow rate of 0.05 mL/min. Temperature and pressure were kept constant at 275 °C and 60 bar, respectively. The reaction was allowed to proceed for 18 hours. The mixture collected at the reactor outlet (54 mL) was then analyzed by GC/MS, and subjected to a partial distillation at ambient pressure. A total of 5 mL were distilled: they were composed of a MeOH/DMC azeotrope (2.5 mL, 70:30 v/v, bp=62-65 °C) and pure DMC (2.5 mL, bp= 90 °C). The residual solution (49 mL) was added with fresh DMC (5 mL) to restore the initial volume. This mixture was recycled. Under the above described conditions (0.05 mL/min, 275 °C and 60 bar), it (mixture) was allowed to flow once again through the reactor for 18 hours. Samples were collected at the reactor outlet every 90 min and analyzed by GC/MS. In the set B (Figure 3, right), two subsequent reactions were performed using the same procedure of set A except for the fact that no fresh DMC was added after the distillation carried out between the first and the second reaction.

A third recycling test was also run using a 1.08 M solution of GlyF in DMC (molar ratio DMC:GlyF=10). This mixture was set to react at 250 °C and 30 bar for 18 hours. Then, the solution recovered at the reactor outlet (54 mL) was topped by distillation and recycled using the same procedure described for the above described set A. This test was commented on the result section (page 5).

#### Effect of DMC:(1a-1a') molar ratio (Figure 4)

The available reactants' solutions (51 mL each) of GlyF in DMC (0.56 M, 1.08 M, and 1.97 M, respectively; see above) were used in three separate tests. According to the above described general procedure, each mixture was set to react at a constant temperature of 250 °C. The flow rate was 0.05 mL/min. The operating pressure was gradually increased from ambient up to 100 bar, with typical increments of 5-10 bar. At any given pressure, the reaction was allowed to proceed for 90 min to ensure steady conditions. Then, samples of the mixture were collected at the reactor outlet and analyzed by GC/MS.

#### Effect of the flow rate and estimation of productivity (Figure 5)

According to the above described general procedure, a 1.08 M solution of GlyF in DMC (molar ratio DMC:GlyF=10) was set to react at 250 °C and 30 bar. The initial flow rate (0.05 mL/min) was stepwise increased up to 0.6 mL/min. Typical increments were of 0.1 mL/min. At any given flow rate, the same volume (10 mL) of the reactants' mixture was delivered to the CF-reactor in order to achieve steady conditions with an homogeneous composition of the stream recovered out of the BPR. Samples collected at the end of each test were analyzed

Journal Name

by GC/MS. Data of conversion and selectivity were used to evaluate the reaction productivity.

#### Reaction of glycerol formal (1a-1a') with different carbonates

In the case of diethyl carbonate (DEC) and propylene carbonate (PC), reactants' solutions (51 mL each) were prepared to achieve a molar ratio dialkyl carbonate:GlyF=10. They were obtained by dissolving 4.1 g of GlyF (39.4 mmol) in 47.7 mL of DEC and 5.7 g of GlyF (54.6 mmol) in 46.3 mL of PC, respectively. The corresponding molar concentrations were 0.77 (DEC) and 1.07 (PC).

#### DEC (Figures 6 and 7)

According to the above described general procedure, a 0.77 M solution of GlyF in DEC was sent to the CF-reactor at a constant volumetric flow rate of 0.05 mL/min. Three isothermal tests were performed by heating the reactor at 250, 275, and 300 °C, respectively. In each test, the pressure was stepwise increased from ambient up to 100 bar. Typical increments were of 5-10 bar. At any given pressure, the reaction was allowed to proceed for 90 min to ensure steady conditions. Then, samples of the mixture were collected at the reactor outlet and analyzed by GC/MS (Figure 6).

Another experiment based on the transesterification of DEC with GlyF was carried out to investigate the effect of the flow rate and evaluate the reaction productivity (Figure 7). The test was performed in analogy to that described for DMC (Figure 5). A 0.77 M solution of GlyF in DEC was set to react at 300 °C and 50 bar. The initial flow rate (0.05 mL/min) was stepwise increased up to 1 mL/min. Typical increments were of 0.1 mL/min. At any given flow rate, the same volume (10 mL) of the reactants' mixture was delivered to the CF-reactor in order to achieve steady conditions with an homogeneous composition of the stream recovered out of the BPR. Samples collected at the end of each test were analyzed by GC/MS. Data of conversion and selectivity were used to evaluate the reaction productivity.

#### PC

CF-transesterifications of PC with GlyF were carried out through the same procedure described for DEC. A 1.07 M solution of GlyF in PC was used. The conversion of GlyF was evaluated by a calibration method using *n*-tetradecane ( $C_{14}$ ) as an external standard (further details are described on ESI).

#### Dibenzyl carbonate (DBnC, Figure 8)

CF-transesterifications of DBnC (low melting point solid, mp: 34 °C), with GlyF were investigated in the presence of DME and acetone as solvents/carriers. Two mixtures of GlyF, DBnC and the solvent in a 1:5:12 molar ratio, respectively, were prepared. They were obtained by dissolving GlyF (0.69 g, 6.61 mmol) and DBnC (8 g, 33.0 mmol) in DME (8.2 mL) or acetone (5.8 mL). According to the above described general procedure, both the solutions were set to react at 225 and 250 °C, under a constant pressure of 50 bar. The flow rate was 0.05 mL/min. Each reaction was allowed to proceed for 90 min.

Then, samples of the mixture were collected at the reactor outlet and analyzed by GC/MS.

#### Reaction of solketal (1b) with different carbonates

Two reactants' solutions (51 mL each) were prepared to achieve a molar ratio dialkyl carbonate:solketal=20. They were obtained by dissolving 3.72 g of solketal (28.15 mmol) in 47.4 mL of DMC and 2.64 g of solketal (19.98 mmol) in 48.4 mL of DEC, respectively. The corresponding molar concentrations were 0.55 (DMC) and 0.39 (DEC).

#### DMC (Figure 9)

The study on the effect of the pressure and temperature on the thermal transesterification of DMC with solketal was carried out in analogy to that described for the same reaction of GlyF (Figure 2). According to the above described general procedure, a 0.55 M solution of solketal in DMC was set to react at 250 and 275 °C, respectively. The volumetric flow rate was 0.05 mL/min. In each test, the pressure was stepwise increased from ambient up to 100 bar, with increments of 5-10 bar. At any given pressure, the reaction was allowed to proceed for 90 min to achieve steady conditions. Then, samples of the mixture were collected at the reactor outlet and analyzed by GC/MS.

#### DEC

The transesterification of DEC with solketal was performed by a procedure similar to that used for DMC (Figure 9). A 0.39 M solution of solketal in DEC was set to react at 275 °C. The flow rate was 0.05 mL/min. Two reactions were allowed to proceed for 90 min under a pressure of 30 and 50 bar, respectively. Then, mixtures collected at the reactor outlet were analyzed by GC/MS.

#### Isolation and characterization of products

1,3-Dioxan-5-yl methyl carbonate (2a) and (1,3-dioxolan-4yl)methyl methyl carbonate (2a'). A CF-reaction was carried out under the conditions of Figure 2b (0.56 M solution of GlyF in DMC; 250 °C, 40 bar, 18 hours; F=0.05 mL/min). The mixture (54 mL) collected at the reactor outlet was topped at atmospheric pressure to remove the co-product methanol (as a 70:30 MeOH:DMC azeotrope, bp=62-65 °C). The residual solution (49 mL) was subjected to a second CFtransesterification at 250 °C and 40 bar. A GlyF conversion of 94% was achieved. The final reaction mixture was concentrated by rotary evaporation (50 °C, 40 mbar) and distilled (94 °C, 40 mbar). Title products were obtained as a liquid colorless mixture of isomers in a 92% yield (4.5 g, purity 96% by GC/MS). They were characterized by <sup>1</sup>H NMR, <sup>13</sup>C NMR and GC/MS (see ESI). The ratio 2a:2a' was approximately the same of the starting isomers of GlyF.

<sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz)  $\delta$  (ppm): 5.04 (s, 1H), 4.89 (m, 2H), 4.81 (d, J = 6.2 Hz, 1H), 4.59 (m, 1H), 4.30 (qnt, 1H), 4.25 – 4.13 (m, 2H), 4.07 – 3.92 (m, 5H), 3.80 (s, 3H), 3.79 (s, 3H), 3.73 (dd, J = 8.5, 5.4 Hz, 1H). <sup>13</sup>C NMR (CDCl<sub>3</sub>, 100 MHz)  $\delta$  (ppm): 155.5, 155.1, 95.4, 93.5, 72.8, 68.8, 68.1, 67.2, 66.6, 54.9. Signals in the <sup>13</sup>C spectrum of **2a/2a'** were all

singlets. GC/MS (relative intensity, 70eV) m/z: **2a** 162 (M<sup>+</sup>, <1%), 161 ([M-H]<sup>+</sup>, 6), 132 (10), 102 (100), 86 (63), 59 (38), 58 (60), 57 (30), 55 (15), 45 (44), 44 (12), 43 (42); **2a'** 162 (M<sup>+</sup>, <1%), 161 ([M-H]<sup>+</sup>, 8), 103 (32), 86 (61), 77 (25), 73 (100), 59 (38), 58 (23), 57 (40), 45 (92), 44 (35), 43 (23).

(2,2-Dimethyl-1,3-dioxolan-4-yl)methyl methyl carbonate (2b). A CF-reaction was carried out under the conditions of Figure 9 (0.55 M solution of solketal in DMC; 275 °C, 40 bar, 18 hours; F=0.05 mL/min). The final conversion was 95%. The mixture collected at the reactor outlet (54 mL) was concentrated by rotary evaporation (50 °C, 40 mbar) and distilled (99 °C, 40 mbar). Title product was obtained as a colorless liquid in a 93% yield (5.3 g, purity 95% by GC/MS). Compound **2b** was characterized by <sup>1</sup>H NMR, <sup>13</sup>C NMR and GC/MS (see ESI).

<sup>1</sup>H NMR (CDCl<sub>3</sub>, 400MHz) δ (ppm): 4.38 – 4.29 (m, 1H), 4.19 – 4.15 (m, 2H), 4.08 (dd, J = 8.6, 6.4 Hz, 1H), 3.81 – 3.75 (m, 4H), 1.43 (s, 3H), 1.36 (s, 3H). <sup>13</sup>C NMR (CDCl<sub>3</sub>, 100MHz) δ (ppm): 155.5, 109.8, 73.2, 67.9, 66.2, 54.9, 26.6, 25.2. Signals in the <sup>13</sup>C spectrum of **2b** were all singlets. GC/MS (relative intensity, 70eV) *m/z*: 190 (M<sup>+</sup>, <1%), 175 (50), 101 (17), 73 (10), 72 (11), 71 (19), 59 (31), 57 (14), 43 (100), 42 (12), 41 (19).

**1,3-Dioxan-5-yl ethyl carbonate (3a) and (1,3-dioxolan-4-yl)methyl ethyl carbonate (3a').** A CF-reaction was carried out under the conditions of Figure 6 (0.77 M solution of GlyF in DEC; 300 °C, 50 bar, 18 hours; F = 0.05 mL/min). The final conversion was 81%. The mixture collected at the reactor outlet (54 mL) was concentrated by rotary evaporation (65 °C, 40 mbar) and distilled (116 °C, 40 mbar). Due to the close boiling points of the unconverted GlyF (~20%) and the products, the distillation was tricky: title products were obtained as a liquid colorless mixture of isomers in a 73 % yield (5.27 g, purity 98% by GC/MS). They were characterized by <sup>1</sup>H NMR, <sup>13</sup>C NMR and GC/MS (see ESI). The ratio **3a/3a'** was approximately the same of the starting isomers of GlyF.

<sup>1</sup>H NMR (CDCl<sub>3</sub>, 400MHz)  $\delta$  (ppm): 5.0 (s, 1H), 4.9 – 4.9 (m, 2H), 4.8 (d, J = 6.2 Hz, 1H), 4.6 (m, 1H), 4.3 (qnt, 1H), 4.3 – 4.1 (m, 6H), 4.1 – 3.9 (m, 5H), 3.7 (dd, J = 8.5, 5.4 Hz, 1H), 1.3 (dt, J = 7.1, 3.3 Hz, 6H). <sup>13</sup>C NMR (CDCl<sub>3</sub>, 100MHz)  $\delta$  (ppm): 154.7, 154.3, 95.2, 93.3, 72.7, 68.4, 68.0, 66.8, 66.4, 64.1, 14.0, 13.9. Signals in the <sup>13</sup>C spectrum of **3a/3a'** were all singlets. GC/MS (relative intensity, 70eV) *m/z*: **3a** 176 (M<sup>+</sup>,<1%), 175 ([M-H]<sup>+</sup>, 1), 116 (14), 86 (34), 57 (28), 55 (12), 45 (32), 44 (100), 43 (31); **3a'** 176 (M<sup>+</sup>, <1%), 175 ([M-H]<sup>+</sup>, 2), 91 (10), 89 (11), 86 (38), 73 (57), 58 (21), 57 (42), 45 (100), 44 (42), 43 (29).

(2,2-Dimethyl-1,3-dioxolan-4-yl)methyl ethyl carbonate (3b). A 0.39 M solution of solketal in DEC was set to react in the CF-mode at 275 °C and 50 bar, for 18 hours (F = 0.05 mL/min). The final conversion was 72%. The mixture collected at the reactor outlet (54 mL) was concentrated by rotary evaporation (65 °C, 40 mbar) and distilled (102 °C, 40 mbar). The title product was obtained as a liquid colorless in a 76% yield (3.28 g, purity 98% by GC/MS). Compound **3b** was characterized by <sup>1</sup>H NMR, <sup>13</sup>C NMR and GC/MS (see ESI). <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400MHz)  $\delta$  (ppm): 4.33 (m, 1H), 4.24 – 4.13 (m, 4H), 4.08 (dd, J = 8.5, 6.4 Hz, 1H), 3.78 (dd, J = 8.5, 5.8 Hz, 1H), 1.42 (s, 3H), 1.35 (s, 3H), 1.30 (t, J = 7.1 Hz, 3H). <sup>13</sup>C NMR (CDCl<sub>3</sub>, 100MHz)  $\delta$  (ppm): 154.7, 109.6, 73.1, 67.5, 66.0, 64.0, 26.4, 25.1, 14.0. Signals in the <sup>13</sup>C spectrum of **3b** were all singlets. GC/MS (relative intensity, 70eV) *m/z*: 204 (M<sup>+</sup>,<1%), 189 (39), 161 (31), 101 (27), 72 (12), 61 (10), 59 (18), 57 (25), 43 (100), 42 (10).

Benzyl 1,3-dioxan-5-yl carbonate (6a) (1,3-dioxolan-4yl)methyl benzyl carbonate (6a'). Α mixture of GlyF:DBnC:acetone in a 1:5:12 molar ratio, respectively, was allowed to react for 4 hours at 250 °C and 50 bar (F=0.05 mL/min). The final conversion of GlyF was 94%. The reaction mixture was concentrated by rotary evaporation (50 °C, 40 mbar), but the title product could not be isolated by further distillation under vacuum. The oily residue (2 g, after rotary evaporation) was subjected to flash column chromatography (FCC) over silica gel, by using a petroleum ether (PE)/diethyl ether (Et<sub>2</sub>O) 1:1 v/v solution (column L=8 cm, Ø=2.5 cm) as the eluent. Under such conditions, isomers 6a and 6a' were both isolated in a pure form (98% by GC/MS): the first product (6a) was a colorless liquid, while the second compound (6a') slowly crystallized on standing. They were characterized by GC/MS, <sup>1</sup>H NMR and <sup>13</sup>C NMR (2D-NMR spectra were also available for compound 6a'; see ESI).

<sup>1</sup>H NMR (CDCl<sub>3</sub>, 400MHz)  $\delta$  (ppm): **6a** 7.5 – 7.3 (m, 5H), 5.2 (s, 1H), 4.9 (d, J = 6.2 Hz, 1H), 4.8 (d, J = 6.3 Hz, 1H), 4.7 – 4.6 (m, 1H), 4.0 (dd, J = 12.1, 2.8 Hz, 1H), 4.0 (dd, J = 12.1, 4.3 Hz, 1H); **6a**' 7.5 – 7.3 (m, 5H), 5.2 (s, 2H), 5.0 (s, 1H), 4.9 (s, 1H), 4.3 (m, 1H), 4.3 – 4.2 (m, 2H), 4.0 (dd, J = 8.5, 6.7 Hz, 1H), 3.7 (dd, J = 8.5, 5.4 Hz, 1H). <sup>13</sup>C NMR (CDCl<sub>3</sub>, 100MHz)  $\delta$  (ppm): **6a** 154.3, 140.8, 134.7, 128.4, 128.2, 93.4, 69.8, 68.8, 68.0; **6a**' 155.0, 135.1, 128.7, 128.7, 128.5, 95.6, 73.0, 70.0, 67.4, 66.8. Signals in the <sup>13</sup>C spectrum of **6a** and **6a**' were all singlets. GC/MS (relative intensity, 70eV) *m/z*: **6a** 238 (M<sup>+</sup>, 1%), 107 (15), 92 (10), 91(100), 77 (10), 65 (17), 57(10); **6a**' 238 (M<sup>+</sup>, <1%), 147(17), 107(17), 92 (18), 91(100), 79 (10), 77 (15), 73 (11), 65 (19), 57 (15), 45 (24).

1,3-dioxan-5-yl (1-hydroxypropan-2-yl) carbonate (4a), (1,3dioxolan-4-yl)methyl (1-hydroxypropan-2-yl) carbonate (4a'), 1,3-dioxan-5-yl (2-hydroxypropyl) carbonate (5a) and (1,3-dioxolan-4-yl)methyl (2-hydroxypropyl) carbonate (5a'). A 1.07 M solution of GlyF in propylene carbonate was set to react for 4 hours at 300 °C and 50 bar (F=0.05 mL/min). The final conversion of GlyF was 48%. The reaction mixture was concentrated by rotary evaporation (50 °C, 40 mbar), but the title product could not be isolated by further distillation under vacuum. Also, any attempt to purify and separate compounds 4-5 by FCC was not successful. The oily residue was analyzed by GC/MS. Structure of products 4-5 were acetals (**1a**/**1a**') (see ESI). GC/MS (relative intensity, 70eV) m/z: **4a** 206 (M+, <1%), 131 (45), 102 (18), 87 (41), 85 (44), 71 (11), 59 (84), 58 (38), 57 (65), 55 (11), 45 (100), 44 (68), 43 (56), 42 (11), 41 (38), 39 (17); **4a'** 206 (M+, <1%), 131 (46), 87 (52), 85 (69), 73 (19), 71 (12), 59 (87), 58 (18), 57 (100), 45 (86), 44 (31), 43 (56), 42 (12), 41 (38), 39 (22); **5a** 206 (M+, <1%), 131 (27), 87 (50), 85 (39), 73 (12), 59 (71), 58 (70), 57 (77), 45 (100), 44 (30), 43 (54), 42 (13), 41 (29), 39 (18); **5a'** 206 (M+, <1%), 117 (12), 88 (15), 87 (24), 75 (13), 73 (27), 72 (10), 71 (10), 59 (59), 58 (19), 57 (45), 45 (100), 44 (25), 43 39), 42 (10), 41 (21), 39 (12).

#### Acknowledgements

The Department of Molecular Sciences and Nanosystems of Università Ca' Foscari Venezia is gratefully acknowledged for supporting the PhD programme of Sandro Guidi.

#### Notes and references

<sup>*a*</sup> Department of Molecular Sciences and Nanosystems, Centre for Sustainable Technologies, Università Ca' Foscari Venezia

Calle Larga S. Marta, 2137 – 30123 Venezia (Italy) e-mail: selva@unive.it

- <sup>1</sup> (a) M. Pagliaro, M. Rossi In *The Future of Glycerol*, ed. The Royal Society of Chemistry, Cambridge, 2<sup>nd</sup>Edition RSC Green Chemistry Series N<sup>o</sup>. 8, J. H Clark, G. A Kraus, 2010; (b) C. O. Tuck, E. Pérez, I. T. Horváth, R. A. Sheldon, M. Poliakoff, *Science*, 2012, **337**, 695-699.
- <sup>2</sup> (a) D. T. Johnson, K. A. Taconi, *Environ. Progr.*, 2007, 26 (4), 338-348;
  (b) A. Bevilacqua Leoneti, V. Aragão-Leoneti, S. Valle Walter Borges de Oliveira, *Renew. Energ.*, 2012, 45, 138-145.
- <sup>3</sup> (a) B. Katryniok, H. Kimura, E. Skrzynska, J.-S. Girardon, P. Fongarland, M. Capron, R. Ducoulombier, N. Mimura, S. Paul, F. Dumeignil, *Green Chem.*, 2011, 13, 1960-1979; (b) J. C. Beltran-Prieto, K. Kolomaznik, J. Pecha *Aust. J. Chem.*, 2013, 66, 511–521; (c) S.-S. Liu, K.-Q. Sun, B.-Q. Xu *A,CS Catal.*, 2014, DOI: 10.1021/cs5005568.
- <sup>4</sup> (a) A. Perosa, P. Tundo, *Ind. Eng. Chem. Res.*, 2005, 44, 8535-8537; (b)
   M. Akiyama, S. Sato, R. Takahashi, K. Inui, M. Yokota, *Appl. Catal. A: General*, 2009, 371, 60–66; (c) I. Gandarias, P. L. Arias, S. G.

Fernández, J. Requies, M. El Doukkali, M. B. Güemez, *Catal. Today*, 2012, **195**, 22–31.

- <sup>5</sup> (a) P. F. Fonseca Amaral, T. Felix Ferreira, G. Cardoso Fontes, M. A. Zarur Coelho, *Food Biooproducts Proc.*, 2009, 87, 179–186; (b) R. W. Nicol, K. Marchand, W. D. Lubitz, *Appl. Microbiol. Biotechnol.*, 2012, 93, 1865–1875.
- <sup>6</sup> (a) G. Morales, M. Paniagua, J. A. Melero, G. Vicente, C. Ochoa, *Ind. Eng. Chem. Res.*, 2011, **50**, 5898–5906; (b) A. Sunder, R. Hanselmann, H. Frey, R. Mulhaupt, *Macromolecules*, 1999, **32**, 4240-4246; (c) N. Rahmat, A. Z. Abdullah, A. R. Mohamed, *Renew. Sust. Energ. Rev.*, 2010, **14**, 987–1000.
- <sup>7</sup> M. McCoy, Chem. Eng. News, 2012, 90, 15.
- <sup>8</sup> (a) I. Agirre, M. B. Güemez, A. Ugarte, J. Requies, V. L. Barrio, J. F. Cambra, P. L. Arias, *Fuel Proc. Tech.*, 2013, **116**, 182–188; (b) L. Li, T. I. Korányi, B. F. Sels, P. P. Pescarmona, *Green Chem.*, 2012, **14**, 1611–1619; (c) C. Len, R. Luque *Sust. Chem. Proc.*, 2014, 2:1 (http://www.sustainablechemicalprocesses.com/content/2/1/1).
- <sup>9</sup> (a) A. Behr, J. Eilting, K. Irawadi, J. Leschinski, F. Lindner, *Green Chem.*, 2008, **10**, 13–30; (b) A. E. Dıaz-Alvarez, J. Francos, B. Lastra-Barreira, P. Crochet, V. Cadierno, *Chem. Commun.*, 2011, **47**, 6208–6227; (c) Lambiotte &Cie, http://www.lambiotte.com/Products, (accessed August 2014).
- <sup>10</sup> M. Selva, V. Benedet, M. Fabris, *Green Chem.*, 2012, **14**, 188–200.
- <sup>11</sup> (a) M. Selva, M. Fabris and A. Perosa, *Green Chem.*, 2011, **13**, 863-872; (b) hydrolysis reactions were plausibly due to traces of water adsorbed by the highly hygroscopic K<sub>2</sub>CO<sub>3</sub> (see J. S. Moya, E. Criado and S. De Aza, *J. Mater. Sci.*, 1982, **17**, 2217-2217).
- <sup>12</sup> (a) M. Selva, M. Noè, A. Perosa, M. Gottardo, *Org. Biomol. Chem.*, 2012, **10**, 6569-6578; (b) M. Selva, A. Caretto, M. Noè, A. Perosa, *Org. Biomol. Chem.*, 2014, **12 (24)**, 4143–4155; (c) G. Fiorani, M. Selva, *RSC Adv.*, 2014, **4**, 1929–1937.
- <sup>13</sup> (a) D. Delledonne, F. Rivetti, U. Romano, *Appl. Catal. A: Gen.*, 2001, 221, 241-245; (b) M. Fuming, L. Guangxing, N. Jin, X. Huibi, *J. Mol. Catal. A: Chem.*, 2002, 184, 465–468.
- <sup>14</sup> The chosen volume (10 mL) of reactants mixture was equal to ten times that of the reactor. Under such conditions, the stream spilled from the CF-reactor showed a constant composition with time.
- <sup>15</sup> As for DMC, no variations of the conversion and the product distribution of the reactant mixtures were appreciated after the initial 60-80 min of reaction.
- <sup>16</sup> NMR analyses were recorded at 400 MHz. Under such conditions, mixtures deriving from the reaction of PC and GlyF showed a plethora of overlapped NMR resonances due to the presence of 4

diasteromers and 8 enantiomers with very similar structures. This made doubtful and unreliable any interpretation of NMR spectra.

- <sup>17</sup> (a) M. Mohsen-Nia·H. Amiri·B. Jazi, J. Solution Chem., 2010, **39**, 701–708; (b) J.-F. Cotè, D. Brouillette, J. E. Desnoyers, J.-F. Rouleau, J.-M. St. Arnaud, G. Perron, J. Solution Chem., 1996, **25**, 1163–1173.
- <sup>18</sup> See MSDS on www.sigmaaldrich.com.
- <sup>19</sup> The relative ratio DBnC/DBE from GC/MS analyses allowed to estimate that ~1% of the starting DBnC underwent a decarboxylation reaction. In line with results reported on refs. 11 and 12, DBnC was less thermally stable than other dialkyl carbonates such as DMC and DEC.
- <sup>20</sup> M. Diasakou, A. Louloudi and N. Papayannakos, *Fuel*, 1998, **77(12)**, 1297-1302.
- <sup>21</sup> (a) S. Saka, D. Kusdiana, *Fuel*, 2001, **80**, 225-231; (b) A. Demirbas, *Energy Conv. Manage.*, 2002, **43**, 2349–2356; (c) K. Bunyakiat, S. Makmee, R. Sawangkeaw, S. Ngamprasertsith, *Energy & Fuels*, 2006, **20**, 812-817; (d) M. N. Varma, G. Madras, *Ind. Eng. Chem. Res.*, 2007, **46**, 1-6; (e) S. A. Pasias, N. K. Barakos, N. G. Papayannakos, *Ind. Eng. Chem. Res.*, 2009, **48**, 4266–4273; (f) Y.-T. Tsai, H.-mu Lin, M.-J. Lee, *Biores. Technol.*, 2013, **145**, 362–369.
- <sup>22</sup> K. T. Tan, K. T. Lee, *Renew. Sust. Energy Rev.*, 2011, **15**, 2452–2456.
- <sup>23</sup> W.V. Steele, R.D. Chirico, S.E. Knipmeyer, A. Nguyen, N.K. Smith, J. Chem. Eng. Data, 1997, 42, 1037-1052.
- <sup>24</sup> (a) Z. Ilham, S. Saka, *Biores. Technol.*, 2009, **100**, 1793–1796. (b) Z. Ilham, S. Saka, *Biores. Technol.*, 2010, **101**, 2735–2740.
- <sup>25</sup> The transesterification of oils in sc-DMC gives glycerol carbonate (GlyC) as a co-product. Citramalic acid is plausibly originated by the reaction of GlyC and DMC in the presence of water and free fatty acids in the feedstock (crude oils).
- <sup>26</sup> K. N. Houk, R. Wells Gandour, R. W. Strozier, N. G. Rondan, L. A. Paquette, *J. Am. Chem. Soc.*, 1979, **101**, 6797-6802.
- <sup>27</sup> Unfortunately, very limited studies are available on the thermodynamic properties of DMC: to the best of our knowledge, except for a semicomputational analysis (Y. Zhou, J. Wu, E. W. Lemmon, *J. Phys. Chem. Ref. Data*, 2011, **40**, 043106-1-11, no systematic experimental investigations have been ever undertaken on the behaviour of DMC, especially of its density, near and at the sc-state.
- <sup>28</sup> P. G. Jessop, W. Leitner, in *Chemical Synthesis Using Supercritical Fluids*, ed, Wiley-VCH, 2008.
- <sup>29</sup> V. F. Marulanda, J. Cleaner Prod., 2012, **33**, 109-116.
- <sup>30</sup> (a) S. Glisic, D. Skala, J. Supercrit. Fluids, 2009, 49, 293–301; (b) J.
   M. N. van Kasteren, A. P. Nisworo, Resour. Conserv. Recycl., 2007, 50, 442–58.

- <sup>31</sup> (a) A. Perosa, M. Selva, P. Tundo and F. Zordan, *Synlett*, 2000, 2, 272–274; (b) M. Selva, A. Perosa, M. Fabris, *Green Chem.*, 2008, 10, 1068–1077.
- <sup>32</sup> V. Rathore, S. Tyagi, B. Newalkar, and R. P. Badoni, *Ind. Eng. Chem. Res.*, 2014, **53**, 10525–10533.
- <sup>33</sup> (a) B. M. Bhanage, S.-I. Fujita, Y. Ikushina and M. Arai, *Appl. Catal. A.: General*, 2001, **219**, 259–266; (c) C. Murugan, H. C. Bajaj, R. V. Jasra, *Catal. Lett.*, 2010, **137**, 224–231; (b) A. Pyrlik, W. F. Hoelderich, K. Müller, W. Arlt, J. Strautmann, D. Kruse, *Appl. Catal. B: Env.*, 2012, **125**, 486–491.
- <sup>34</sup> P. G. Jessop, T. Ikariya and R. Noyori, J. Am. Chem. Soc., 1996, **118**, 344–355.



At 225-300 °C and 20-70 bar, glycerol acetals are upgraded by a continuous-flow catalyst-free transesterification of dialkyl and alkylene carbonates

**Green Chemistry** 

#### **Electronic supplementary information**

### Upgrading of Glycerol Acetals through Thermal Catalyst-free Transesterification of Dialkyl Carbonates under Continuous-Flow Conditions

Maurizio Selva,\* Sandro Guidi, and Marco Noè

Department of Molecular Sciences and Nanosystems, Centre for Sustainable Technologies, Università Ca' Foscari Venezia Calle Larga S. Marta, 2137 – 30123 Venezia (Italy) e-mail: <u>selva@unive.it</u>

Synthesis of DBnC	S3
GC calibration curve	S3
<sup>1</sup> H NMR of mixture product <b>2a+2a'</b>	S4
<sup>13</sup> C NMR of mixture product <b>2a+2a'</b>	S4
GC/MS of product <b>2a</b>	S5
GC/MS of product <b>2a'</b>	S5
<sup>1</sup> H NMR of product <b>2b</b>	S6
<sup>13</sup> C NMR of product <b>2b</b>	S6
GC/MS of product <b>2b</b>	S7
<sup>1</sup> H NMR of mixture product <b>3a+3a'</b>	S7
<sup>13</sup> C NMR of mixture product <b>3a+3a'</b>	
GC/MS of product <b>3a</b>	S8
MS spectra of product <b>3a'</b>	
1H NMR of product <b>3b</b>	
<sup>13</sup> C NMR of product <b>3b</b>	S10
GC/MS of product <b>3b</b>	S10
<sup>1</sup> H NMR of product <b>6a</b>	
<sup>13</sup> C NMR of product <b>6a</b>	
GC/MS of product <b>6a</b>	
<sup>1</sup> H NMR of product <b>6a'</b>	
<sup>13</sup> C NMR of product <b>6a'</b>	S13
<sup>1</sup> H- <sup>1</sup> H DQFCOSY of product <b>6a'</b>	\$13
<sup>1</sup> H- <sup>13</sup> C HMQC of product <b>6a'</b>	
<sup>1</sup> H- <sup>13</sup> C HMBC of product <b>6a'</b>	S14

S1

#### **Green Chemistry**

<sup>1</sup> H- <sup>1</sup> H NOESY sof product <b>6a'</b>	S15
GC/MS spectra of product 6a'	\$15
GC/MS spectra of product 4a	\$16
GC/MS spectra of product 4a'	\$16
GC/MS spectra of product 5a	S17
GC/MS spectra of product 5a'	S17
References	S18

#### Synthesis of DBnC

Dibenzyl carbonate (DBnC) was prepared via a single step transesterification procedure recently reported by us.<sup>1</sup> Accordingly, a mixture of benzyl alcohol (502,86 mmol, 52 mL), dimethyl carbonate DMC (49.84 mmol, 4.2 mL), and CsF/ $\alpha$ -Al<sub>2</sub>O<sub>3</sub> as a catalyst (0.01 molar equiv. with respect to DMC) was set to react at 90 °C. DBnC was isolated as a white solid (77%) with a very high purity (>99% by GC/MS).

#### GC calibration curve

A GC calibration curve for GlyF was obtained using *n*-tetradecane ( $C_{14}$ ) as external standard. Four different solutions of commercial GlyF [mixtures of isomers 5-hydroxy-1,3-dioxane (**1a**) and (1,3-dioxolan-4-yl)methanol (**1a'**) in a ~3:2 ratio] in ethyl acetate were prepared at 0.08, 0.06, 0.04 and 0.02 M concentration. In particular 406, 302, 207 and 101 mg of **1a+1a'** were used. To each solution, the same quantity of *n*-tetradecane was added. Figure S 1 shows the results of the calibration test.



Figure S 1: Calibration curve for GlyF. *n*-tetradecane ( $C_{14}$ ) was used as a standard. A<sub>GlyF</sub>/A<sub>C14</sub> was the ratio of GC area responses of GlyF and C<sub>14</sub>.

<sup>1</sup>H NMR of the mixture of isomers 1,3-dioxan-5-yl methyl carbonate (2a) and (1,3-dioxolan-4-yl)methyl methyl carbonate (2a').



Figure S 2: <sup>1</sup>H NMR spectra of mixture product 2a+2a'

<sup>1</sup>H NMR (CDCl<sub>3</sub>, 400MHz) δ (ppm): 5.04 (s, 1H), 4.89 (m, 2H), 4.81 (d, *J* = 6.2 Hz, 1H), 4.59 (m, 1H), 4.30 (qnt, 1H), 4.25 – 4.13 (m, 2H), 4.07 – 3.92 (m, 5H), 3.80 (s, 3H), 3.79 (s, 3H), 3.73 (dd, *J* = 8.5, 5.4 Hz, 1H).





Figure S 3: <sup>13</sup>C NMR spectra of mixture product 2a+2a'

 $^{13}\text{C}$  NMR (CDCl\_3, 100MHz)  $\delta$  (ppm): 155.5 , 155.1 , 95.4 , 93.5 , 72.8 , 68.8 , 68.1 , 67.2 , 66.6 , 54.9.

#### GC/MS spectrum of product 2a





Figure S 4: MS spectra of product 2a

GC/MS (relative intensity, 70eV) *m/z*: 162 (M<sup>+</sup>, <1%), 161 ([M-H]<sup>+</sup>, 6), 132 (10), 102 (100), 86 (63), 59 (38), 58 (60), 57 (30), 55 (15), 45 (44), 44 (12), 43 (42).



#### GC/MS spectrum of product 2a'

Figure S 5: MS spectra of product 2a'

GC/MS (relative intensity, 70eV) *m/z*: 162 (M<sup>+</sup>, <1%), 161 ([M-H]<sup>+</sup>, 8), 103 (32), 86 (61), 77 (25), 73 (100), 59 (38), 58 (23), 57 (40), 45 (92), 44 (35), 43 (23).

<sup>1</sup>H NMR of (2,2-Dimethyl-1,3-dioxolan-4-yl)methyl methyl carbonate 2b



Figure S 6: <sup>1</sup>H NMR spectra of product 2b

<sup>1</sup>H NMR (CDCl<sub>3</sub>, 400MHz) δ (ppm): 4.38 – 4.29 (m, 1H), 4.19 – 4.15 (m, 2H), 4.08 (dd, *J* = 8.6, 6.4 Hz, 1H), 3.81 – 3.75 (m, 4H), 1.43 (s, 3H), 1.36 (s, 3H).

#### <sup>13</sup>C NMR of product 2b



Figure S 7: <sup>13</sup>C NMR spectra of product 2b

 $^{13}\text{C}$  NMR (CDCl\_3, 100MHz)  $\delta$  (ppm): 155.5 , 109.8 , 73.2 , 67.9 , 66.2 , 54.9 , 26.6 , 25.2.

#### GC/MS spectrum of product 2b



Figure S 8: MS spectra of product 2b

GC/MS (relative intensity, 70eV) *m*/*z*: 190 (M<sup>+</sup>, <1%), 175 (50), 101 (17), 73 (10), 72 (11), 71 (19), 59 (31), 57 (14), 43 (100), 42 (12), 41 (19).

<sup>1</sup>H NMR of the mixture of isomers 1,3-Dioxan-5-yl ethyl carbonate (3a) and (1,3-dioxolan-4-yl)methyl ethyl carbonate (3a').



Figure S 9: <sup>1</sup>H NMR spectra of mixture product 3a+3a'

<sup>1</sup>H NMR (CDCl<sub>3</sub>, 400MHz) δ (ppm): 5.0 (s, 1H), 4.9 – 4.9 (m, 2H), 4.8 (d, *J* = 6.2 Hz, 1H), 4.6 (m, 1H), 4.3 (qnt, 1H), 4.3 – 4.1 (m, 6H), 4.1 – 3.9 (m, 5H), 3.7 (dd, *J* = 8.5, 5.4 Hz, 1H), 1.3 (dt, *J* = 7.1, 3.3 Hz, 6H).





Figure S 10: <sup>13</sup>C NMR spectra of mixture product 3a+3a'

 $^{13}$ C NMR (CDCl<sub>3</sub>, 100MHz) δ (ppm): 154.7 , 154.3 , 95.2 , 93.3 , 72.7 , 68.4 , 68.0 , 66.8 , 66.4 , 64.1 , 14.0 , 13.9.



#### GC/MS spectrum of product 3a

Figure S 11: MS spectra of product 3a

GC/MS (relative intensity, 70eV) *m/z*: 176 (M<sup>+</sup>,<1%), 175 ([M-H]<sup>+</sup>, 1), 116 (14), 86 (34), 57 (28), 55 (12), 45 (32), 44 (100), 43 (31).

#### GC/MS spectrum of product 3a'



Figure S 12: MS spectra of product 3a'

GC/MS (relative intensity, 70eV) *m*/*z*: 176 (M<sup>+</sup>, <1%), 175 ([M-H]<sup>+</sup>, 2), 91 (10), 89 (11), 86 (38), 73 (57), 58 (21), 57 (42), 45 (100), 44 (42), 43 (29).

#### <sup>1</sup>H NMR of (2,2-Dimethyl-1,3-dioxolan-4-yl)methyl ethyl carbonate 3b



Figure S 13: <sup>1</sup>H NMR spectra of product 3b

<sup>1</sup>H NMR (CDCl<sub>3</sub>, 400MHz) δ (ppm): 4.33 (m, 1H), 4.24 – 4.13 (m, 4H), 4.08 (dd, J = 8.5, 6.4 Hz, 1H), 3.78 (dd, J = 8.5, 5.8 Hz, 1H), 1.42 (s, 3H), 1.35 (s, 3H), 1.30 (t, J = 7.1 Hz, 3H).

#### <sup>13</sup>C NMR of product 3b



Figure S 14: <sup>13</sup>C NMR spectra of product 3b

 $^{13}\text{C}$  NMR (CDCl\_3, 100MHz)  $\delta$  (ppm): 154.7 , 109.6 , 73.1 , 67.5, 66.0 , 64.0 , 26.4 , 25.1 , 14.0.

#### GC/MS spectrum of product 3b



Figure S 15: MS spectra of product 3b

GC/MS (relative intensity, 70eV) *m/z*: 204 (M<sup>+</sup>,<1%), 189 (39), 161 (31), 101 (27), 72 (12), 61 (10), 59 (18), 57 (25), 43 (100), 42 (10).

#### <sup>1</sup>H NMR of Benzyl 1,3-dioxan-5-yl carbonate 6a



Figure S 16: <sup>1</sup>H NMR spectra of product 6a

<sup>1</sup>H NMR (CDCl<sub>3</sub>, 400MHz) δ (ppm): 7.5 – 7.3 (m, 5H), 5.2 (s, 1H), 4.9 (d, J = 6.2 Hz, 1H), 4.8 (d, J = 6.3 Hz, 1H), 4.7 – 4.6 (m, 1H), 4.0 (dd, J = 12.1, 2.8 Hz, 1H), 4.0 (dd, J = 12.1, 4.3 Hz, 1H).

#### <sup>13</sup>C NMR of product 6a



Figure S 17: <sup>13</sup>C NMR spectra of product 6a

 $^{13}\text{C}$  NMR (CDCl\_3, 100MHz)  $\delta$  (ppm): 154.3, 140.8, 134.7, 128.4, 128.2, 93.4, 69.8, 68.8, 68.0.

#### GC/MS spectrum of product 6a

#### Abundance Scan 1893 (14.222 min): SG053\_C6.D\data.ms (-1855) (-) 91 6a 120 <u>132</u> m/ z-

Figure S 18: MS spectra of product 6a

GC/MS (relative intensity, 70eV) *m/z*: 238 (M<sup>+</sup>, 1%), 107 (15), 92 (10), 91(100), 77 (10), 65 (17), 57(10).

#### <sup>1</sup>H NMR of (1,3-dioxolan-4-yl)methyl benzyl carbonate 6a'



Figure S 19: <sup>1</sup>H NMR spectra of product 6a'

<sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ(ppm): 7.5 – 7.3 (m, 5H), 5.2 (s, 2H), 5.0 (s, 1H), 4.9 (s, 1H), 4.3 (m, 1H), 4.3 – 4.2 (m, 2H), 4.0 (dd, J = 8.5, 6.7 Hz, 1H), 3.7 (dd, J = 8.5, 5.4 Hz, 1H).



Figure S 20: <sup>13</sup>C NMR spectra of product 6a'

 $^{13}\text{C}$  NMR (CDCl\_3, 100MHz)  $\delta$  (ppm): 155.0 , 135.1 , 128.7 ,128.7 ,128.5 , 95.6 , 73.0 , 70.0 , 67.4 , 66.8.

#### <sup>1</sup>H-<sup>1</sup>H DQFCOSY of product 6a'



Figure S 21: <sup>1</sup>H-<sup>1</sup>H DQFCOSY spectra of product 6a'

<sup>1</sup>H-<sup>13</sup>C HMQC of product 6a'



Figure S 22: <sup>1</sup>H-<sup>13</sup>C HMQC spectra of product 6a'



Figure S 23: <sup>1</sup>H-<sup>13</sup>C HMBC spectra of product 6a'







#### GC/MS of product 6a'



GC/MS (relative intensity, 70eV) *m/z*: 238 (M<sup>+</sup>, <1%), 147(17), 107(17), 92 (18), 91(100), 79 (10), 77 (15), 73 (11), 65 (19), 57 (15), 45 (24).

#### GC/MS spectrum of product 4a



Figure S 26: MS spectra of product 4a

GC/MS (relative intensity, 70eV) *m*/*z*: 206 (M<sup>+</sup>, <1%), 131 (45), 102 (18), 87 (41), 85 (44), 71 (11), 59 (84), 58 (38), 57 (65), 55 (11), 45 (100), 44 (68), 43 (56), 42 (11), 41 (38), 39 (17).



#### GC/MS spectrum of product 4a'

Figure S 27: MS spectra of product 4a'

GC/MS (relative intensity, 70eV) *m*/*z*: 206 (M<sup>+</sup>, <1%), 131 (46), 87 (52), 85 (69), 73 (19), 71 (12), 59 (87), 58 (18), 57 (100), 45 (86), 44 (31), 43 (56), 42 (12), 41 (38), 39 (22).

#### GC/MS spectrum of product 5a



Figure S 28: MS spectra of product 5a

GC/MS (relative intensity, 70eV) *m*/*z*: 206 (M<sup>+</sup>, <1%), 131 (27), 87 (50), 85 (39), 73 (12), 59 (71), 58 (70), 57 (77), 45 (100), 44 (30), 43 (54), 42 (13), 41 (29), 39 (18).



#### GC/MS spectrum of product 5a'

Figure S 29: MS spectra of product 5a'

GC/MS (relative intensity, 70eV) *m/z*: 206 (M<sup>+</sup>, <1%), 117 (12), 88 (15), 87 (24), 75 (13), 73 (27), 72 (10), 71 (10), 59 (59), 58 (19), 57 (45), 45 (100), 44 (25), 43 39), 42 (10), 41 (21), 39 (12).

## References

- 1. G. Fiorani, M. Selva, *RSC Advances*, 2014, **4**, 1929-1937.
- 2. M. Selva, C. A. Marques and P. Tundo, J. Chem. Soc., Perkin Trans. 1, 1995, 1889-1893.