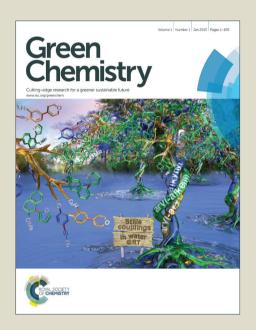
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- 1 Catalytic depolymerisation of isolated lignins to fine
- 2 chemicals using a Pt/alumina catalyst: Part 1-Impact of
- 3 the lignin structure

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- 12 Abstract

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Four lignin preparations with different contents of alkyl aryl ether bonds were depolymerised using an alumina supported platinum catalyst. The results showed that the proportion of β -O-4 linkages is the crucial factor for both the yield and the nature of the monomeric products. Highly condensed lignin generated mainly non-alkylated phenolic products while uncondensed lignin generated mainly phenolic products retaining the 3-carbon side-chain. These phenolic products with the 3-carbon chain still attached were considerably less abundant than the maximum potential yield calculated from selective cleavage of alkyl aryl ether bonds by thioacidolysis, demonstrating that scope for improved yield remains. Although the catalytic conversion yield rose with increasing content of labile ether linkages in the lignin structure, optimisation of the catalytic depolymerisation was increasingly required to minimize side reactions. Gel permeation chromatography showed that the products converged towards the same molecular weight distribution regardless of the starting material. The full potential of the highly uncondensed lignin was reached only after the minimisation of condensation reactions during the catalytic conversion.

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Keywords: Lignin, Catalytic depolymerisation, Condensation, Alkylphenols, Platinum

1. Introduction

It is widely agreed that the economic viability of biofuel production will depend on adding value to the by-products. Amongst these, lignin, for long considered only as an energy resource, attracts the most interest. Several approaches to upgrading lignin have been described in recent reviews^{1, 2}. Lignin can be converted to high-grade biofuel by pyrolysis and deoxygenation³⁻⁵ or converted to chemicals with higher added value using heterogeneous catalysis². The attractiveness of lignin lies its substituted aromatic structure which, after efficient depolymerisation, should lead to substituted aromatic compounds. However, this will heavily rely on a better understanding of the factors influencing the yield and distribution of products.

Of the known approaches for lignin conversion using heterogeneous catalysis, Liquid Phase Reforming (LPR) and hydrodeoxygenation (HDO) have been the most studied. LPR of kraft lignin led to lower value products (guaiacol) in higher yield while HDO produced higher value, more substituted products (alkylphenolic compounds) in lower yield⁶. Ethylsubstituted⁷ and propyl-substituted monomers⁸ have been obtained by catalytic hydrogenolysis of grass lignins, but the yield and distribution of products depended strongly on the lignin structure. Recently two lignin preparations with substantial uncondensed (alkylaryl ether) percentages were depolymerised using an alumina supported noble metal catalyst. Although no attempt to the correlate conversion yield to the lignin structure was made, the authors suggested that the presence of alkyl-aryl ether bonds was a key factor for the conversion of lignin to monomers⁹. Complete cleavage of the alkyl-aryl ether bonds in a pure, uncondensed lignin model compound was achieved using a carbon supported bimetallic Zn/Pd catalyst in methanol at 150°C under 300 psi of H₂¹⁰.

In hardwood species, native lignins are held together mainly by alkyl-aryl ether bonds
(up to 70% in some species) ¹¹ . Unfortunately the pretreatment of lignin prior to
depolymerisation often leads to significant depletion of this type of bond and generates C-C
bonds that are harder to break 12, 13. The resulting condensed lignin is less suitable for
conversion to aromatic chemicals. Severe pretreatment conditions (high temperature, acidic
medium) are the primary choice for second generation biofuel production but are well known
to increase lignin condensation ¹⁴ . The impact of the pretreatment on the catalytic conversion
of the lignin to products with added value has been reviewed ² . Nevertheless the structure of
the lignin immediately before the catalytic conversion step is rarely taken into account. To
the best of our knowledge, no attempt has been made to investigate the impact of the lignin
structure on the yield and distribution of catalytically depolymerised products.

In this study four lignins with different degrees of condensation have been subjected to catalytic depolymerisation using an alumina supported platinum catalyst. The lignins were prepared using pretreatments with potential for the production of biofuels and co-products. The purpose of this study was to evaluate the impact of the lignin structure on its suitability for conversion to fine chemicals. The catalytic conversion was performed using a commercial benchmark catalyst, Pt/alumina. The main objective was to understand the yield and distribution of alkylphenolic products in relation to the initial degree of condensation of the lignin.

- 2. Results
 - 2.1. Characterisation of the lignin preparations
- 22 2.1.1. Sugar content and elemental analysis
- The presence of sugars in the isolated lignin can be critical for the catalytic reaction.
- 24 The sugar compositions of the different lignins are shown in Table 1. The ammonia

- 1 percolation procedure yielded a lignin-carbohydrate extract from poplar that still contained
- 2 20% xylan. Its xylan content was reduced to less than 1% by a mild organosolv post-
- 3 treatment¹⁵. The poplar organosolv lignin had low sugar content and no further purification
- 4 step was needed. The commercial soda lignin from wheat straw had a higher sugar content
- 5 but not high enough to make it unsuitable for catalytic conversion.

Table 1: Sugar content and elemental analysis of the different lignins [Standard deviation in brackets]

	Sugar content (g/100g of lignin)	Elemental analysis (mass %)			
		C	Н	N	0
AFEX Wheat Straw	1.1 (0.1)	59.5	6.4	2.1	31.9
Soda Wheat Straw	2.6 (0.1)	61.3	5.6	0.9	32.2
Organosolv Poplar	0.4 (0.1)	63.9	6.0	0.4	29.7
Ammonia Poplar	0.5 (0.1)	60.2	6.0	1.8	31.9

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The elemental compositions are typical of lignins previously reported¹⁶. The higher nitrogen content of both lignins from ammonia-based pretreatments (AFEX wheat straw and Ammonium Poplar) can be explained by incorporation of nitrogen from ammonia during the pretreatment step.

2.1.2. Lignin uncondensed fractions

The uncondensed fractions (i.e. percent β -O-4 bonds) were estimated from the total monomer yield generated by thioacidolysis of the lignins and are reported in Table 2. The linkage percentages (β -O-4, β - β , β -5) were also calculated by NMR cross-peaks in the aliphatic region¹⁷ (Figure 1) and quantified using the G_2 and $S_{2,6}$ cross-peaks in the aromatic region as reference¹⁸. The 2D NMR experiments measured the total quantity of β -O-4 bonds,

- 1 whereas thioacidolysis only took into account monomers linked by two β -O-4 bonds (the
- 2 uncondensed fraction). Despite the difference in what was measured, both techniques gave
- 3 values of the same order for the uncondensed fractions in the four lignins.

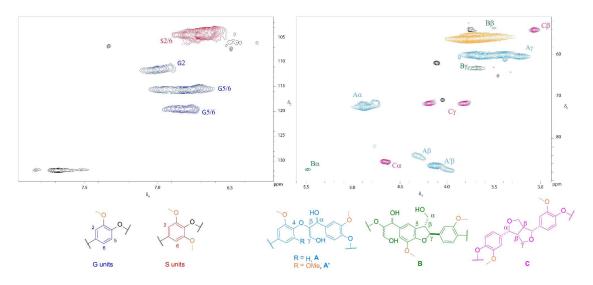


Figure 1: HSQC NMR spectrum of Poplar ammonia lignin. Contours are colour coded according to the linkage they are assigned to. Black cross peaks correspond to currently unassigned signals. NMR spectra were collected on a 500 MHz spectrometer at a concentration of 100 mg of substrate in 0.6 mL of DMSO-*d*6.

As illustrated in Table 2, the Poplar ammonia lignin showed the highest content of β -O-4 bonds (44.9 %), compared with only 3.7% in the wheat straw soda lignin. The difference in uncondensed fraction comes partly from the feedstock type but principally from the pretreatment conditions. It may be assumed that harsh alkaline conditions were responsible for the condensation of the wheat straw lignin. In comparison the lignin obtained by mild alkaline organosolv extraction of AFEX-pretreated wheat straw retained 37% of β -O-4 bonds, which can be explained by the relative lack of condensation during both the AFEX pretreatment ¹⁹ and the subsequent lignin extraction step. After organosolv pretreatment of poplar, the proportion of β -O-4 linkages was reduced to 12 %. This is expected since the

- a cidic conditions combined with high temperature (180°C) are known to cleave β-O-4
- 2 linkages leading to more condensed lignin²⁰. Similar temperatures were applied during the
- 3 poplar percolation pretreatment but we have shown that the use of ammonium hydroxide, a
- 4 weaker base than sodium hydroxide, limits lignin condensation ¹⁵.

Table 2: Lignin β -linkage percentages and monomer ratios obtained by thioacidolysis and 2D

6 NMR [S: syringyl; G: Guaiacyl; H: p-hydroxycinnamyl units - Standard deviation in

7 brackets]

	Thioacidolysis			HSQC-NMR			
	β-0-4	S/G/H ratio	β-0-4	β-β	β-5	S/G/H ratio	
Soda Wheat Straw	2.8 (0.3)	0.51/0.49/0	3.7	1.9	0.4	0.46/0.40/0.13	
AFEX Wheat Straw	16.8 (0.4)	0.49/0.46/0.06	37.1	4.3	3.4	0.36/0.60/0.04	
Organosolv Poplar	9.2 (0.2)	0.57/0.43/0	12.2	5.0	4.4	0.48/0.52/0	
Ammonia Poplar	28.9 (0.3)	0.65/0.35/0	44.9	2.3	9.0	0.64/0.36/0	

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Monomer ratios were also obtained from the aromatic proton $S_{2,6}$ and G_2 of the 2D NMR (Fig.1 and Fig S.1). The 2D NMR experiment measured the total amount of guaiacyl and syringyl units, whereas thioacidolysis only took into account units linked by two β -O-4 bonds, which explains the differences in the monomer ratios. As shown in Table 2, the poplar ammonia lignin had a higher relative percentage of syringyl units than the poplar organosolv lignin. The high syringyl content of ammonia lignins has been observed previously and attributed to mobilised syringyl lignin at particle surfaces¹⁹. The selective extraction of this syringyl rich lignin is not fully understood but could be linked to thermal properties such as glass transition temperature, which depends on the syringyl/guaiacyl ratio.

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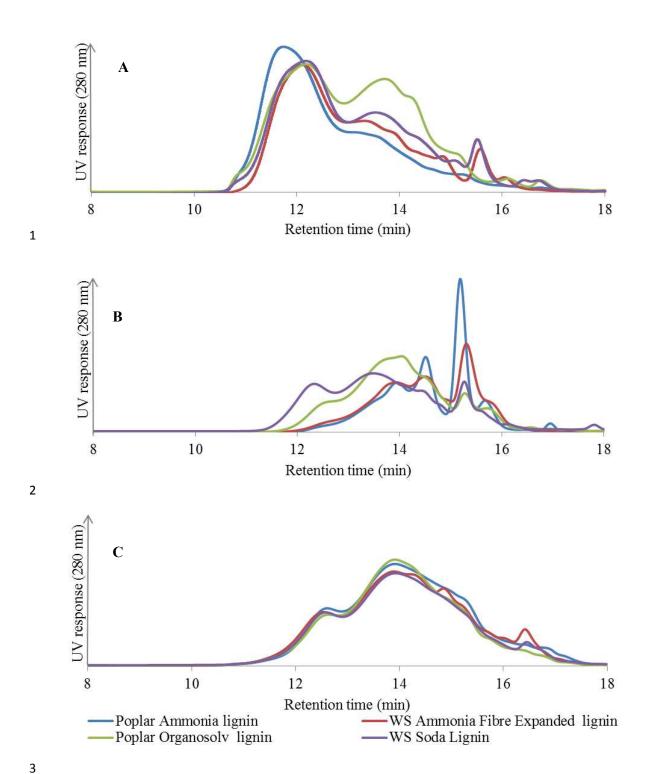


Fig 2. GPC profiles of acetylated starting lignin (A), thioacidolysis products (B) and acetylated products of the catalytic depolymerisation at 300 °C and 20 barg H₂ (C)

The GPC analysis of the AFEX and soda lignins from wheat straw (Fig. 2A) shows that both lignins exhibited similar Mw profiles with the exception of the higher relative abundance of the low Mw fraction eluting at 13-14 min from the soda lignin. In the case of the poplar lignins, the high-temperature organosolv pretreatment led to partial cleavage of the alky-aryl ether linkages and reduced the Mw of the lignin, as shown by the higher relative intensity of the low Mw fraction eluting at 13-14 min, whereas the higher molecular weight of the ammonia lignin suggested that in the alkaline medium the lignin was solubilised without significant cleavage of the alkyl-aryl linkages.

2.2. Catalytic conversion of lignin preparations

2.2.1. Molecular weight distributions of the depolymerised products

The four lignin preparations were subjected to catalytic conversion at 300 °C under 20 barg of H₂ and their products of reaction analysed by gel permeation chromatography. For comparison, the thioacidolyzed products of the four different lignins were also analyzed by GPC (Fig. 2C). The GPC analysis of the catalytic depolymerisation products was performed on the combined methanol/water- and acetone-soluble products. This allowed the analysis of the total products, as no residual lignin insoluble in acetone was found. Moreover, the mass loss from the catalyst on acid washing was in the same range from each substrate and suggested that only 3% of the lignin deposited on the catalyst (Fig. S.2). The GPC analysis gave an overview of the mass distribution but quantification of the monomeric fraction was inaccurate due to peak width and variation of UV responses between molecules. GC analysis was therefore performed to quantify the monomers. After selective cleavage of alkyl-aryl

ether bonds by thioacidolysis, it was evident that the wheat soda lignin in particular retained a
high-Mw (Fig 2B) peak, eluting at 12-13 min, that presumably corresponded to the abundant
condensed fraction in this lignin preparation. However in the GPC profiles of all the other
depolymerised lignins, a high-Mw peak eluting at 12-13 min was present (Fig 2C),
converging towards constant relative intensity for all four samples. It can be inferred that
where there was a large uncondensed fraction before catalytic reaction, a substantial part of
this fraction was converted to condensed material of high Mw rather than to monomers. In
the case of the initially condensed wheat soda lignin, however, there was little uncondensed
material and thus little scope for further condensation; and slight degradation of some of the
C-C linkages in the condensed fraction occurred, leading to a minor loss of relative intensity
in the high-Mw GPC peak (Fig 2C).

Thus hydrogenolysis was more efficient than thioacidolysis for the depolymerisation of the highly condensed Soda lignin. However, when the starting material was less condensed, e.g. the AFEX and ammonia lignins, hydrogenolysis was less efficient than thioacidolysis in breaking down the lignin to low molecular weight fragments.

2.2.2. Yields and distribution of catalytically depolymerised products from the different lignins

The analysis of the monomeric fraction of the depolymerised products was performed by GC/MS and permitted identification of 18 monomeric aromatic structures, which are illustrated in Figure 3. The major products were alkylated phenolic compounds belonging to the class described previously^{6, 7, 10}. The absence of hydrogenation of the aromatic ring can be inferred from the abundance of cross peaks in the 2D NMR aromatic region (Fig. S.3) and from the absence of cyclohexanols. Deoxygenation was mainly restricted to the alkyl chain,

- as no hydrocinamyl structures were produced from Poplar lignin. Moreover, except for the
- 2 Organosolv lignin, no significant difference in the syringyl/guaiacyl ratio was observed after
- 3 catalytic depolymerisation compared to thioacidolysis (Table S.1).

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1: R_1=R_2=H; R_3=CH_3
                                                                                                             11: R_1 = R_2 = OCH_3; R_3 = H
                       2: R<sub>1</sub>=R<sub>3</sub>=H; R<sub>2</sub>=OCH<sub>3</sub>
                                                                                                             12: R<sub>1</sub>=R<sub>2</sub>=OCH<sub>3</sub>; R<sub>3</sub>= CH<sub>3</sub>
                       3: R_1 = R_3 = H; R_2 = OH
                                                                                                             13: R_1 = R_2 = OCH_3; R_3 = CH_2CH_3
                       4: R<sub>1</sub>=H; R<sub>2</sub>=OCH<sub>3</sub>; R<sub>3</sub>= CH<sub>3</sub>
                                                                                                             14: R_1 = R_2 = OCH_3; R_3 = CH_2CH_2CH_3
                                                                                                             15: R<sub>1</sub>=R<sub>2</sub>=OCH<sub>3</sub>; R<sub>3</sub>= CH=CHCH<sub>3</sub>
                       5: R_1=H; R_2=OCH<sub>3</sub>; R_3= CH<sub>2</sub>CH<sub>3</sub>
                       6: R_1=H; R_2=OH; R_3= CH<sub>2</sub>CH<sub>3</sub>
                                                                                                             16: R_1 = R_2 = OCH_3; R_3 = CH_2CH_2CH_2OCH_3
                       7: R_1=H; R_2= OCH<sub>3</sub>; R_3= CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>
                                                                                                             17: R_1 = R_2 = OCH_3; R_3 = CH_2CH_2CH_2OH
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                       8: R<sub>1</sub>=H; R<sub>2</sub>= OCH<sub>3</sub>; R<sub>3</sub>= CH=CHCH<sub>3</sub>
                                                                                                             18: R_1 = OCH_3; R_2 = OH; R_3 = CH_2CH_2CH_2OH
                       9: R<sub>1</sub>=H; R<sub>2</sub>= OCH<sub>3</sub>; R<sub>3</sub>= CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>OCH<sub>3</sub>
                       10: R<sub>1</sub>=H; R<sub>2</sub>= OCH<sub>3</sub>; R<sub>3</sub>= CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>OH
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Figure 3: Products identified after catalytic depolymerisation of different lignins

As opposed to the GPC analysis, the quantification of the monomers gave significant differences. As illustrated in Table 3, the blank reaction without catalyst showed the lowest overall yield of 3.5% and mainly generated guaiacol and syringol. In the presence of catalyst, the overall yield increased up to 14% for the Poplar ammonia lignin, which was nearly three times the yield obtained for the soda Wheat straw lignin. In previous studies, the hydrodeoxygenation of lignins using the same type of catalyst was conducted at lower temperature (200 °C) which, with other influences such as lignin structure, could explain the lower yield obtained ⁶.

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- 1 Table 3: Identification and quantification of the main monomeric products from catalytic
- 2 depolymerisation of different lignin preparations at 300 °C and 20 barg H₂

N°	NAME	Ammonia Poplar (no Cat) ^a	Ammonia Poplar	Organosolv Poplar	Ammonia Fibre Expanded WS	Soda WS
1	Methylphenol	n.d.	n.d.	n.d.	0.06	0.08
2	Guaiacol (G)	0.45 ^b	0.45	0.46	0.68	0.79
3	Catechol	0.12	0.13	0.12	0.19	0.21
4	MethylG	0.10	0.20	0.30	0.37	0.30
5	EthylG	0.18	0.53	0.44	2.05	1.10
6	EthylCatechol	n.d.	n.d.	n.d.	0.11	0.07
7	PropylG	n.d.	0.85	0.56	0.84	0.19
8	PropyenylG	0.15	0.85	0.28	0.63	0.08
9	3-MethoxypropaneG	n.d.	0.47	0.12	0.53	n.d.
10	3-HydroxypropaneG	n.d.	0.66	0.20	0.29	0.07
11	Syringol (S)	1.65	1.75	0.97	0.86	1.47
12	MethylS	0.21	0.56	0.46	0.23	0.29
13	EthylS	0.28	1.01	0.45	0.62	0.61
14	PropylS	0.08	2.68	1.14	0.75	0.28
15	PropenylS	0.26	1.70	0.52	0.60	0.08
16	3-MethoxypropaneS	n.d.	0.86	0.22	0.41	n.d.
17	3-HydroxypropaneS	n.d.	1.30	0.24	0.24	0.03
18		n.d.	n.d.	0.09	0.25	0.07
	Total	3.48	14.02	6.56	9.68	5.74

^a: Blank of reaction without catalyst; ^b: g/100g of lignin

- In order to compare the catalytic depolymerisation yields with the degree of condensation as measured by wet chemical analysis (thioacidolysis) both sets of data were
- converted to μ mol/g of lignin monomers taking into account the molecular weight of each
- 7 product.

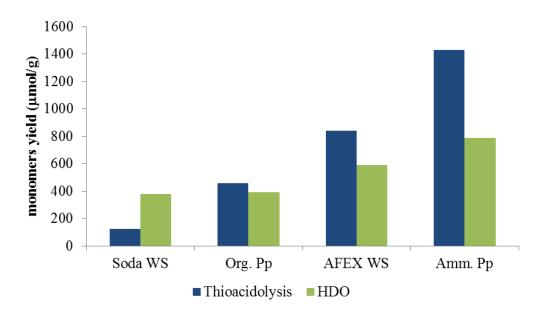
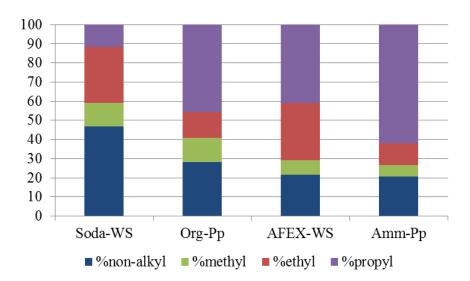


Figure 4: Overall yields of monomers from thioacidolysis and catalytic conversion of different lignin preparations [blue stick: Thioacidolysis products; green stick: Depolymerised

products]

In thioacidolysis, the selective cleavage of β -O-4 bonds generates products with an intact propyl side chain²¹. This approach may be envisaged as a model reaction for the conversion of uncondensed lignin to monomeric propylphenol compounds and compared to the catalytic reaction. As illustrated in figure 4, the catalytic conversion yield was higher than the thioacidolysis yield for highly condensed lignin (Soda Lignin). When the uncondensed fraction of the lignin was greater, the catalytic conversion yield increased but at a lower rate than the thioacidolysis yield. The thioacidolysis yields from the soda lignin were three times lower than from the organosolv lignin, while the catalytic yields were the same. A number of potential side-reactions in the catalytic conversion of condensed lignins are not paralleled in thioacidolysis, such as loss of the γ -carbon. Moreover, abnormal constituents such as ferulic acid, coniferaldehyde and benzaldehyde units lead to monomers that are not taken into account in the thioacidolysis yields. For example, wheat lignins are rich in ferulic acid which

- can undergo decarboxylation, explaining the abnormal amount of ethylguaiacol (see Table 3).
- 2 Moreover, small quantities of guaiacol and syringol are produced even from condensed parts
- 3 of the lignin structure. As illustrated in figure 5, guaiacol and syringol together accounted for
- 4 47 % of the molar quantity of depolymerised products from soda lignin while they only
- 5 accounted for 28 %, 22% and 21 % of the total molar fraction for the organosolv, AFEX and
- 6 ammonia lignins, respectively. In contrast the relative proportion of propylphenolics
- 7 increased from 12 % to 62 % of the total on going from the soda lignin to the ammonia
- 8 lignin.



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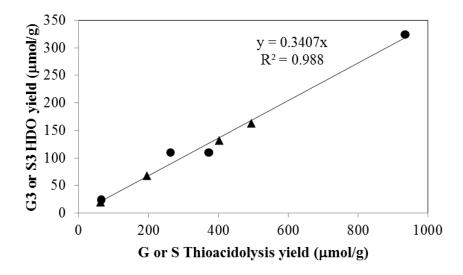
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Figure 5: Relative molar proportion of catalytic depolymerisation products from different lignins [blue : non-alkyl; green: methyl; red: ethyl; purple: propyl]

As the ethyl, methyl and non-alkylated phenolic compounds can come from the condensed fraction of the lignin, only the proportion of propylphenolic compounds generated can be directly correlated to the amount of β -O-4 linkages in the starting lignin material. As illustrated in Figure 6, there is an excellent correlation between the thioacidolysis and catalytic depolymerisation yields of the products with 3-carbon side chains when either guaiacyl or syringyl units are considered. This observation suggests that both guaiacyl and

- syringyl units undergo the same type of complex reactions at the same rate. The catalytic
- 2 depolymerisation yield of propylphenolic compounds was three times lower than its potential
- 3 maximum yield as deduced from thioacidolysis.



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Figure 6: Correlation between thioacidolysis and catalytic depolymerisation yields of the propylphenolic products. (Circle: Sum of S3 type HDO products; Triangle: Sum of G3 type HDO products)

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3. Discussion

The results presented above show that the structure of the lignin greatly affects the nature and yield of monomeric depolymerised products. Consequently, the cost efficiency of the lignin isolation method – usually dominated by the efficiency of conversion of the associated cellulose to biofuel - must be balanced against the potential to generate products of higher added value.

Compared to the analytical technique of thioacidolysis, the catalytic conversion was non selective towards uncondensed linkages, giving rise to both cleavage and condensation.

- 1 In consequence, the catalytic conversion was less efficient for depolymerising uncondensed
- 2 lignins. In other words catalytic conversion of lignin to fine chemicals is most promising
- when the starting lignin is uncondensed, but condensation of the lignin during the catalytic
- 4 step then leads to the greatest unfulfilled potential. Lignin condensation during this step is as
- 5 much an issue as during the isolation of the lignin. As illustrated in Figure 7, competition
- 6 between depolymerisation and condensation is the key problem in the conversion of lignin
- 7 into fine chemicals.

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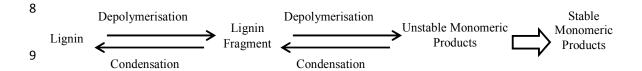


Figure 7: Lignin depolymerisation / condensation scheme

This competition exists at all stages of the process, from feedstock pretreatment to catalytic depolymerisation. The ammonia percolation pretreatment, in which the lignin was continuously removed from the reaction vessel as soon as it became soluble, avoided condensation by limiting both depolymerisation and the simultaneous generation of unstable intermediates¹⁵. During catalytic conversion, depolymerisation is the aim and the generation of unstable products cannot be avoided. The key effect of the hydrogenolysis was to reduce condensation of the products by fast stabilisation (hydrogenation) of reactive intermediates. However, the results showed that condensation still predominated during the catalytic reaction.

Further optimisation of the catalytic conversion of lignin to fine chemicals will require that the condensation process be prevented. For a decade it has been known that one of the condensation pathways is nucleophilic attack on the benzylic position of the lignin structure (Fig. 8)^{22, 23}. This type of reaction predominates in acid catalysed organosoly reactions.

- 1 During catalytic conversion, the slightly acidic alumina support may promote the acid-
- 2 catalysed alkylation of the benzylic position, as has been previously reported for the
- 3 condensation of benzylphenyl ether on zeolite HSZM-5 in aqueous medium²⁴.

- 5 Figure 8: Condensation of the lignin via benzylic carbonium ion formation after heterolytic
- cleavage of the benzylic C-O bonds $[L_1, L_2, L_3 = Lignin fragments; R = H or OMe]$

7 In the case of bio-oil deoxygenation, a two-temperature process has been suggested with the aim of stabilizing the reactive species and thus avoiding condensation²⁵. A similar 8 9 approach to stabilisation of lignin before hydrogenolysis will be considered in a future paper. 10 Another explanation for the low conversion of lignin can be inferred from the blank reaction 11 where no catalyst was added to the medium. GPC analysis of the ammonia lignin subjected to 12 these conditions showed similar molecular weight distribution to the catalytic products (data 13 not shown), implying that condensation could also occurred in solution. In that case, slow adsorption of lignin fragments on the catalyst could also be the limiting factor for lignin 14 conversion. A similar observation motivated other authors to subject lignin to liquid phase 15 reforming (LPR) followed by HDO²⁶. While the lignin products changed, the two step 16 17 process did not improve the overall yield compared to the LPR alone. In other words, LPR treated lignin, probably more condensed, was also less suitable for HDO conversion. 18

It can be expected that conversion of highly uncondensed lignins, such as AFEX or
ammonia lignin, will require gentle and carefully optimised conditions to achieve selective
cleavage of C-O bonds and generate high yields of fine aromatic chemicals. In the case of
more condensed lignins such as soda or organosolv lignins, harsher conditions are required to
cleave C-C bonds or other cracking approaches should be envisaged.

3. Conclusion

Lignin structure, in particular the abundance of β -O-4 linkages, had a major impact on the yield and distribution of products after catalytic depolymerisation. Increasing the degree of condensation in the initial lignin structure reduced the total yield of monomers and also reduced the proportion of monomers retaining the three-carbon alkyl side-chain, which have particular potential for conversion to fine chemicals. When the initial lignin structure was relatively uncondensed, condensation during the catalytic step reduced the yields of alkylated monomers. Optimisation of lignin breakdown, especially from highly uncondensed lignin, requires more selective cleavage methods to minimise condensation. It may be advantageous to adopt a multi-stage breakdown strategy with increasing severity of conditions at each stage.

4. Experimental

4.1. Materials

Hybrid poplar sawdust was provided by a UK sawmill. The sawdust was sieved and the particle size range from $125\mu m$ to $1080~\mu m$ was used. The dry matter content of the poplar sawdust was 92.6~%. Ammonia fibre expanded (AFEX) wheat straw was obtained from Prof.

- 1 Bruce Dale, Michigan State University. Protobind 1000 lignin (Soda Lignin) was purchased
- 2 from Green Value (Switzerland).
- The alumina supported platinum catalyst was obtained from Johnson Matthey (reference
- 4 number 1074). The catalyst was sieved between 250 to 425 μm, pre-reduced at 250 °C and
- 5 stored under air. The platinum dispersion, as measured by carbon monoxide chemisorption,
- 6 was 56 %, while the catalyst had a BET surface area of 119 m².g⁻¹, a pore volume of 0.49
- 7 cm³.g⁻¹ and an average pore diameter of 11 nm. All other reagents and solvents were
- 8 purchased from Sigma-Aldrich and used without further purification.
 - 4.2. Lignin preparation

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- Three of the four tested ligning were prepared in house, as described below.
 - 4.2.1. Lignin isolation from AFEX wheat straw
 - Following Lawther et al.²⁷, the AFEX Wheat straw was extracted under reflux at 5% loading with 0.5N sodium hydroxide in ethanol/water (6/4: v/v). After this mild extraction step, the mixture was filtered on a Buchner funnel and the residue washed with ethanol/water (6/4). After neutralisation of the filtrate to pH 6, hemicelluloses were precipitated by adding 3 volumes of ethanol. The precipitate was removed by centrifugation and the supernatant was concentrated and acidified to pH 2. The precipitated lignin (AFEX WS) was recovered by centrifugation, washed with deionised water and freeze dried.
 - 4.2.2. Acid organosolv lignin from poplar
- This lignin was prepared by a well-established organosolv process²⁸ as previously described²⁹. In a stainless steel reactor, poplar sawdust was mixed with ethanol/water (6/4, v/v) containing sulphuric acid (1.25 % w/w of poplar). The reactor was rotated on a motor-driven shaft inside a programmable oven. The reaction was conducted at 180 C for 1h. After

- cooling, the mixture was filtered on a Buchner funnel and the filtrate diluted in 3 volumes of water (pH 2). The precipitated lignin (Organosolv Poplar) was recovered after centrifugation,
- 3 washed with deionised water and freeze dried.

4.2.3. Ammonia lignin from poplar

Ammonia Lignin was prepared as reported previously¹⁵. Briefly, aqueous ammonia (15 % w/v) was percolated through the poplar sawdust at 180 °C, 20 barg and 3 ml.min⁻¹ flow rate with a total liquid/solid ratio of 10:1. The percolated liquor was concentrated and acidified to pH 2. The precipitate was recovered by centrifugation and briefly hydrolysed with mild ethanolic acid to remove carbohydrate impurities. The lignin was then precipitated in three volumes of water (pH 2, HCl). The purified lignin was recovered by centrifugation, washed three times with deionised water and freeze dried.

4.3. Catalytic conversion of the lignin

The catalytic depolymerisation reactions were conducted in a 300ml 316 stainless steel Parr batch autoclave reactor equipped with a Parr model 4842 digital temperature controller ±2°C. During a typical experiment 0.5 g of lignin was added to the autoclave along with 0.1 g 1 % w/w Pt/Al₂O₃ and 100 ml methanol-water mix (50:50 v/v). The reactor was purged with hydrogen and pressurised to 20 barg. The reaction was performed at 300°C with mechanical stirring (1000 rpm) and stopped after 2h (plus 30min ramp time). The reaction mixture was filtered on sintered glass (porosity 3) to remove the catalyst and insoluble products, then the residue was washed with acetone to solubilise higher molecular weight lignin fragments. The fraction soluble in methanol-water was centrifuged to remove finely dispersed solids. An aliquot of the solution was then mixed with a known quantity of internal

- standard, acidified to pH 3 and extracted with dichloromethane/dioxane (8/2 v/v). After
- evaporation of the solvent, the products were solubilised in 2ml dichloromethane.

4.4. Analytical methods

The sugar analysis, gel permeation chromatography and thioacidolysis of lignins have been described in a previous paper¹⁵. For GPC analysis of the catalytic conversion products, equal volumes of the methanol-water and acetone solubles were mixed together, evaporated to dryness, acetylated, and solubilised in THF before injection. GC/MS analysis of the catalytic conversion products was performed as follows. An aliquot (10μl) of the products extracted in dichloromethane was added to 30μl pyridine and 70μl TMS. Qualitative and quantitative analyses were performed using a Shimadzu GC-MS-QP2010S coupled to a Shimadzu GC-2010 GC equipped with a ZB-5MS capillary column (30m x 0.25mm x 0.25 μm). The quantification of the products was measured on the TIC and based on reference compounds with hexadecane as an internal standard.

NMR spectra were acquired on a Bruker Avance III 500 MHz spectrometer equipped with a BBFO+ probe. The central DMSO solvent peak was used as internal reference (δ_C 39.5, δ_H 2.49 ppm). The 1H , ^{13}C -HSQC experiment was acquired using standard Bruker pulse sequence 'hsqcetgpsp.3' (phase-sensitive gradient-edited-2D HSQC using adiabatic pulses for inversion and refocusing). The composite pulse sequence 'garp4' was used for broadband decoupling during acquisition. 2048 data points was acquired over 12 ppm spectral width (acquisition time 170 ms) in the F2 dimension using 24 scans with 1 s interscan delay and the d4 delay was set to 1.8 ms (1/4J, J = 140 Hz). Spectral width of 170 ppm, 256 increments were acquired in F1 dimension (acquisition time 5.6 ms) resulting in a total experimental

- time of 2 h. The spectrum was processed using squared cosinebell in both dimensions and
- 2 LPfc linear prediction (32 coefficients) in F1. Volume integration of cross peaks in the HSQC
- 3 spectra was carried out using MestReNova software ¹⁷.

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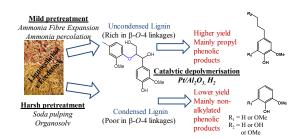
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Catalytic depolymerisation of lignins with different β -O-4 linkages percentages affected both the yield and the nature of aromatic monomeric products