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1 Effect of tannic acid–fish scale gelatin hydrolysate hybride nanoparticles
2 on intestinal barrier function and α -amylase activity
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28 Abstract

29 Practical application of tannic acid is limited because tannic acid readily bind proteins to
30 form insoluble aggregates. In this study, tannic acid was self-assembled with fish scale gelatin
31 hydrolysates (FSGH) to form stable colloidal complex nanoparticles. The nanoparticles
32 prepared from 4mg/ml tannic acid and 4 mg/ml FSGH had a mean particle sizes of 260.8 ± 3.6
33 nm, and showed a poitive zeta potential (20.4 ± 0.4 mV). The nanoparticles acted as an effective
34 nano-biochelator and free radical scavenger because it provided a large number of adsorption
35 sites for interacting with heavy metal ions and scavenging free radicals. The maximum
36 adsorption capacity for Cu^{2+} ions was 123.5 mg/g and EC_{50} of DPPH radical scavenging activity
37 was 21.6 ± 1.2 $\mu\text{g/ml}$. Hydroxyl radicals scavenging effects of the nanoparticles were
38 investigated by electron spin resonance spectroscopy. The copper-chelating capacity and free
39 radicals scavenging activity of the nanoparticles were associated with its capacity to inhibit Cu^{2+}
40 ions-induced barrier impairment and hyperpermeability of Caco-2 intestinal epithelial tight
41 junction (TJ). However, α -amylase inhibitory activity of the nanoparticles was significantly
42 lower than that of free tannic acid. The results suggest that the nanoparticles can ameliorate Cu^{2+}
43 ions induced intestinal epithelial TJ dysfunction without severely inhibiting the activity of the
44 digestive enzyme.

45 Keywords: tannic acid, fish gelatin, nanoparticles, biochelator, antioxidant, tight junction

46 **Introduction**

47 Heavy metals can be accumulated in plants and fish, and are ingested by human populations
48 through the food chain. They were transported through the intestinal mucosa which is the
49 normal route of entry into the body and also the first target for its toxicity. Tight junctions (TJ)
50 create a paracellular barrier in intestinal epithelial cells that modulate selectivity to the
51 permeability of the small intestine. Those metal ions alter tight junction permeability in
52 intestinal monolayer because the toxic properties can affect and impair intestinal epithelial
53 functions.¹ Impairment of tight junction proteins can lead to influx of bacterial endotoxin and
54 result in unwanted immune reactions and systemic inflammation. It has been reported that
55 several naturally occurring compounds exhibit promotive and protective effects on intestinal TJ
56 barrier functions.²⁻⁴

57 Tannins are a group of secondary plant metabolites, including hydrolyzable, condensed and
58 complex tannic acid, which can be obtained from various plants such as grapes, tea leaves,
59 beans, vegetables, apples, berries, and oak. Tannic acid exhibits several physiological properties
60 such as antioxidant, anti-inflammatory and antimicrobial effects.^{5,6} Those health improving and
61 antimicrobial characteristics make this compound a very interesting raw material for biological,
62 medical and food applications.⁷ Especially, tannic acid containing abundant adjacent phenolic
63 hydroxyls exhibit excellent binding capacity towards heavy metal ions.⁸ However, practical
64 application of tannic acid as an antioxidant and chelating agent in the gastrointestinal tract is
65 limited because it can bind protein in foods in a nonspecific way to form insoluble precipitates
66 and aggregates.⁹ Moreover, tannic acid can inhibit the activities of digestive enzymes.¹⁰

67 Fish scale is a major fish processing waste which is very rich in collagen.¹¹ The nutritional
68 values of fish scale collagen are low because they are majorly composed of nonessential amino
69 acids including glycine (Gly), proline (Pro) and hydroxyproline (Hyp).¹² Nevertheless,

70 enzymatic hydrolyzed collagens from the skin or scales of various fishes, usually called fish
71 gelatin hydrolysate, have been reported to possess some benefit properties, such as free-radical
72 scavenging activity.^{13–17}

73 Incorporation of bioactive flavonoids in nanoparticles can improve the stability, activity and
74 oral bioavailability of the naturally occurring compounds.^{18–24} The study aims to develop natural
75 products based nanoparticles to act as effective nano-biochelators and free radical scavengers
76 because the functional nanoparticles can provide a large number of adsorption sites for
77 interacting with heavy metal ions and scavenging toxic free radicals. An easy and mild method
78 was developed in this work to prepare stable colloidal nanoparticles based on tannic acid and
79 fish scale gelatin hydrolysate (FSGH). We found that FSGH had high binding affinity to tannic
80 acid and could form stable colloidal nanoparticles in aqueous solution. The interactions between
81 tannic acid and FSGH, and the chemical and physical properties of tannic acid/FSGH
82 nanoparticles were all characterized. The effect of the nanoparticles on the digestive enzyme
83 activity was compared with their free tannic acid counterpart. Moreover, the protective effect of
84 the nanoparticles on the alterations of epithelia integrity induced by copper(II) ions was
85 investigated using Caco-2 cell monolayers as an in vitro model.

86 **Materials and methods**

87 **Materials**

88 FSGH with an average molecular weight less than 3.0 KD was kindly gifted from Challenge
89 Bioproducts Co., Ltd, Taiwan. Tannic acid (Mw 1.7kD), 5,5-Dimethyl-1-pyrroline N-oxide
90 (DMPO), and propidium iodide (PI) were purchased from Sigma–Aldrich Co., Ltd. Copper(II)
91 sulfate pentahydrate and nickel(II) sulfate hexahydrate were obtained from Showa Chemical
92 Industry Co., Ltd (Japan) and used without further purification.

93 **Amino acid analysis**

94 The FSGH samples were hydrolyzed with 6 M HCl containing 1% phenol (v/v). The working
95 temperature was kept at 110 °C while the reaction continued for 24 h. The digested products
96 were analyzed with an amino acid analyzer (Hitachi L-8900, Japan). Qualitative and quantitative
97 analysis was performed by comparing the amino acid products with the standard amino acids
98 and calculating the area under the peak of each product in chromatography.

99 **Preparation of self-assembled tannic acid/FSGH complex nanoparticles**

100 An amount of FSGH (0.4 g) was dissolved in 100 ml of deionized (DI) water to prepare 4.0
101 mg/ml FSGH solution. The FSGH solution was flush mixed with different concentrations (2.0,
102 4.0, 6.0, 8.0 mg/ml) of tannic acid aqueous solutions and the tannic acid/FSGH colloidal
103 nanoparticles formed spontaneously. The suspensions of nanoparticles were continuously stirred
104 for 10 min at 25 °C. A Malvern 3000HS Zetasizer was used to determine the particle sizes and
105 zeta potentials of the nanoparticle suspensions. A drop of the suspensions was placed onto
106 carbon-coated copper grid. Subsequent to deposition for 2 min, surface water on the grid was
107 removed by tapping the grid with a filter paper. The morphology of each sample was
108 characterized by transmission electron microscopy (TEM, Hitachi H-600). To determine the
109 tannic acid loading content, the tannic acid/FSGH complex nanoparticles were centrifuged and
110 the supernatant were quantitatively determined by measuring the absorbance at 275 nm using
111 various concentrations of tannic acid (10-50 ppm) as standards for tannic acid loading assay.
112 The nanoparticles prepared from a tannic acid-to-FSGH weight ratio of 4 mg/4 mg were used
113 for the following analyses.

114 **Characterization of tannic acid and FSGH interactions**

115 Tannic acid, FSGH and tannic acid/FSGH complex nanoparticles were respectively mixed with
116 KBr and the mixtures were pressed into disks for measurement. FTIR spectra were recorded on
117 a Perkin Elmer RX1 Fourier transform infrared (FTIR) spectroscopy in the wavenumber region

118 between 4000 and 500 cm^{-1} . X-ray diffraction (XRD) data of tannic acid, FSGH and tannic
119 acid/FSGH complex nanoparticles were collected on an X-ray diffractometer (PANalytical,
120 model X'pert pro system). The scan was performed using a monochromatized X-ray beam with
121 $\text{Cu K}\alpha$ radiation ($\lambda = 0.154 \text{ nm}$) to collect 2θ data from $4\text{--}50^\circ$. Calorimetric measurements were
122 accomplished by a differential scanning calorimetry (DSC), using a DSC TA 2010 (TA
123 Instruments, USA). Precisely weighed tannic acid, FSGH and tannic acid/FSGH complex
124 nanoparticles (3–5 mg) were sealed in aluminium pans and heated at a rate of $10^\circ\text{C}/\text{min}$ from
125 0°C to 200°C under an inert atmosphere (10 ml min^{-1} of nitrogen), after performing the
126 calibration with an indium standard. Tannic acid/FSGH complex nanoparticles were added into
127 400 ppm Cu(II) or Ni(II) ion solutions. After 24 h of adsorption, the tannic acid/FSGH/ Ni^{2+} and
128 tannic acid/FSGH/ Cu^{2+} complexes were centrifuged and washed with deionized water. Dried
129 tannic acid/FSGH/ Ni^{2+} and tannic acid/FSGH/ Cu^{2+} complexes analyzed first by XRD according
130 to the abovementioned process.

131 **Heavy metal removal**

132 An amount of $\text{CuSO}_4 \cdot 5\text{H}_2\text{O}$ was dissolved in DI water to prepare the stock solution (1000 mg/L).
133 Tannic acid/FSGH complex nanoparticles (0.2 g) were added in 1000-mL conical flasks
134 containing 500 ml Cu(II) ion solutions (25–400 mg/L, dilution of the stock solution). The
135 mixture was shaken at 100rpm. Adsorption capacities with respect to changes in adsorption
136 temperature were investigated in a temperature range between 30 and 60°C . At predetermined
137 time intervals, the concentrations of metal ions were measured by a PerkinElmer Optima 200DV
138 ICP-OES. The amount of metal ions adsorbed onto the nanoparticles was calculated by:

$$139 \quad Q = [(C_0 - C_t) \times V] / W$$

140 where Q (mg/g) is the adsorption capacity of metal ions on the nanoparticles, C_0 (mg/L) is the
141 initial concentrations of metal ions used in the experiment, C_t (mg/L) is the measured

142 concentrations of metal ions at time t, V and W are the volume of metal ion solutions (L) and
143 the weight of dry sorbent (g) (tannic acid/FSGH complex nanoparticles), respectively. X-ray
144 diffraction (XRD) data of the

145 **DPPH· radical scavenging assay**

146 The reduction and decolorization of the free radical DPPH· were determined by the previous
147 method.²⁵ DPPH· was dissolved in methanol (60 μM) with an absorbance value of 0.7 at 517 nm.
148 The tannin/FSGH complex nanoparticles were mixed with deionized water and were serially
149 diluted. An aliquot (3.9 ml) of a 100 mM DPPH· was mixed with 0.1 ml of the diluted
150 nanoparticle samples, making a further 40-fold dilution. The mixtures were incubated in the
151 dark at room temperature for 10 min. A Hitachi U-1900 UV-Vis spectrophotometer was used to
152 measure the decrease of DPPH· concentration at 516 nm. The scavenging ratio for DPPH· radical
153 is calculated as follow:

$$154 \text{ Scavenging ratio for DPPH· radical (\%)} = (1 - \text{Abs}_{\text{test sample}} / \text{Abs}_{\text{blank}}) \times 100$$

155 **Electron spin resonance (ESR) analysis**

156 ESR spectrometry was used to measure hydroxyl radical (OH·) scavenging capacities of the
157 nanoparticles. Fenton-reaction mixture was used to generate hydroxyl radical while DMPO was
158 used to trap the radical. The mixture contained 20 μL of FeSO₄ (500 μM)/EDTA (500 μM)
159 mixture, 10 μL of 200 μM H₂O₂, 10 μL of 2 M DMPO, and 30 μL of free tannic acid or
160 nanocomplex. Free tannic acid (50 μg/ml) or complex nanoparticles (50 μg tannic acid
161 equivalent/ml) was added in the Fenton reagent mixture as a final concentration. ESR spin
162 trapping experiments were performed at ambient temperature by an ESR spectrometer
163 (EMX-6/1, Bruker, Karlsruhe, Germany) in a magnetic field of 3482 Gauss (G) with a field
164 modulation amplitude of 2G at X-band (100kHz).

165 **TEER measurements**

166 Caco-2 cells were cultured and grown into monolayers on polycarbonate membrane transwell
167 inserts (Corning Costar Corp., NY). After 3 weeks, the tightness of Caco-2 monolayer was
168 evaluated by measurement of transepithelial electrical resistance (TEER larger than $800 \Omega \text{ cm}^2$)
169 using a Millipore Millicell[®]-ERS meter after pre-equilibrated with Hanks' balanced salt solution
170 (HBSS) buffer. Evaluation the effects of Cu(II) ions on the opening of epithelial tight junctions
171 (TJs) was investigated in Caco-2 cell monolayers in HBSS buffer (Sigma–Aldrich Co., Ltd) with
172 or without the addition of $30 \mu\text{M CuCl}_2$.¹ Additionally, tannic acid ($100 \mu\text{g/ml}$) or test
173 nanoparticles ($100 \mu\text{g}$ tannic acid equivalent/ml) was added to the donor compartment,
174 respectively. The change of TEER for the tightness of cell monolayers was measured with a
175 Millicell[®]-Electrical Resistance System (Millipore Corp., Bedford, MA) to evaluate their
176 protection efficiency.

177 Fluorescein isothiocyanate–dextran (Mw 4000, Sigma–Aldrich Co., Ltd) was used as a
178 fluorescent probe to study cell permeability. Transport of FITC-dextran ($25 \mu\text{g/ml}$ in the apical
179 compartment) across Caco-2 cell monolayer was quantitatively analyzed by measuring
180 fluorescence intensity in the receiver compartment at different time periods. The intensity of
181 fluorescence emission (FL intensity) was determined by a microplate reader (Victor X,
182 PerkinElmer, USA), with excitation and emission wavelengths set to 488 and 519 nm,
183 respectively. Amount of transported FITC-dextran was calculated using calibration curve of
184 FITC-dextran. The apparent permeability coefficients (Papp) were determined as following:

$$185 \text{ Papp (cm/s)} = (\Delta Q / \Delta t) / (A \times C_0)$$

186 where $\Delta Q / \Delta t$ ($\mu\text{g/s}$) is the cumulative amount transported, A is the diffusion area (1.12 cm^2),
187 and C_0 is the initial FITC-dextran concentration in the donor side ($\mu\text{g/ml}$).

188 **CLSM visualization of tight junction protein**

189 Caco-2 cell monolayers were incubated with Cu(II) ions ($30 \mu\text{M}$) and tannic acid ($100 \mu\text{g/ml}$) or

190 nanoparticles (100 μg tannic acid equivalent/ml). After 120 min, cells were fixed in paraaldehyde,
191 permeabilized with 0.2% Triton X-100, and treated with RNase (100 $\mu\text{g}/\text{ml}$) after removal of
192 Cu(II) ions, tannic acid and test nanoparticles. A normal goat serum was used to block the cells
193 (Jackson ImmunoResearch Laboratories, West Grove, PA). The cells were incubated with rabbit
194 anti-ZO-1 monoclonal antibody (Zymed Laboratories) and subsequently stained by a Cy-3
195 conjugated goat anti-rabbit IgG (Jackson ImmunoResearch Laboratories). Dislocation of ZO-1
196 protein in Caco-2 cells was examined under a confocal laser scanning microscopy (CLSM, Leica
197 TCS SP2).

198 **Inhibition of α -amylase activity**

199 Experiments on the inactivation of α -amylase by tannic acid or tannic acid/FSGH complex
200 nanoparticles were carried out using the method according to the literature.¹⁰ A reaction mixture
201 of starch solution (1%) and α -amylase solution (1–5 U/ml) was incubated at 37 °C and was
202 subsequently assayed by measuring the absorbance at 540 nm. The α -amylase inhibitory assay
203 was measured using starch as a substrate (1.0 w/v) with or without containing tannic acid (0.5
204 mg/ml) or nanoparticles (0.5 mg tannic acid eq./ml). Lineweaver–Burk (LB) analysis was
205 performed by measuring the inhibition at different concentrations of starch (0.5, 0.75, 1.0, 1.5,
206 2.0 and 5.0% w/v). The data were fitted to the Michaelis-Menten equation using LB plot to
207 analyze the inhibition mode.

208 **Statistical analyses**

209 All measurements were replicated three times and data were expressed as the mean \pm standard
210 deviation. Statistical analysis was performed with the analysis of variance (ANOVA) procedure
211 using SAS version 9.1 (SAS Institute, Cary, NC, USA). The differences among the
212 experimental data were determined using Duncan's multiple range tests with significance level
213 at $P < 0.05$.

214 **Results and discussion**

215 **Amino acid compositions**

216 The amino acid composition of FSGH shown in Table 1 was expressed as units for amino acids
217 (% of total amino acid residues). Because FSGH is hydrolyzed collagen-derived small peptides,
218 glycine (Gly) is the most abundant amino acid (335.87/1000) in the polypeptide. The second
219 and third abundant amino acids in FSGH are proline (125.60/1000) and hydroxyproline
220 (89.35/1000), which play important roles in the interaction of tannic acid with FSGH.

221 **Characterization of tannic acid/FSGH colloidal complex**

222 In this study, the colloidal complex nanoparticles were spontaneously formed by adding tannic
223 acid into the FSGH solution, indicating the presence of specific interactions between tannic acid
224 and FSGH. The chemical structures of tannic acid, FSGH and tannic acid/FSGH complex
225 nanoparticles were characterized by FT-IR spectra. Tannic acid demonstrated absorption bands
226 at around 1713 cm^{-1} and 1609 cm^{-1} due to the vibration of ester and benzene ring. FSGH
227 demonstrated characteristic absorptions of C=O stretch (amide I, 1657 cm^{-1}) and N-H stretch
228 (amide II, 1541 cm^{-1}) (Fig. 1A). The large bands at around 1331 cm^{-1} and 1202 cm^{-1} were
229 assigned to the vibrations of $-\text{CH}_3$ symmetrical deformation and O-H deformation. Other
230 characteristic peaks at $3100\text{--}3400\text{ cm}^{-1}$ and $2850\text{--}3000\text{ cm}^{-1}$ were assigned to O-H stretch of
231 tannic acid (hydroxyl groups bonded to the aromatic ring) and C-H stretch of FSGH. The
232 intensity of the characteristic absorptions of ester (1713 cm^{-1} , C=O stretch) in tannic acid
233 decreased while the absorption band of benzene ring shifted from 1608 cm^{-1} to 1616 cm^{-1} after
234 the formation of tannic acid/FSGH complex nanoparticles. Moreover, the amide II absorption
235 band (N-H stretch) in FSGH shifted from 1541 cm^{-1} to 1536 cm^{-1} . The results indicated that the
236 existence of interspecific interactions between tannic acid and FSGH. The formation of colloidal
237 tannic acid/FSGH nanoparticles may be associated with hydrogen bonding between the

238 hydroxyl groups in tannic acid and the hydroxyproline residues in FSGH or even by π - π
239 stacking interactions between the benzene rings in tannic acid and the aromatic amino acids in
240 FSGH.²⁶

241 Fig. 1B shows the DSC analysis of FSGH, tannic acid and tannic acid/FSGH complex
242 nanoparticles (TA/FSGH NPs). FSGH and tannic acid demonstrated endothermic peaks at 132.2
243 °C and 123.7 °C, respectively. The endothermic transitions of FSGH and tannic acid occurred
244 from the loss of bound water which was corresponding to the moisture content and water
245 evaporation. This peak disappeared due to the displacement of bound water from the binding
246 sites through the formation of tannic acid/FSGH complex nanoparticles. A new endothermic
247 transition appeared at a lower temperature (76.5 °C) because tannic acid creates new interchain
248 hydrogen bonding in FSGH. This interaction was also accompanied with the hydrophobic
249 interactions between the benzene ring in tannic acid and the hydrophobic amino acid residues in
250 the polypeptides.²⁶ After the adsorption of metal ions, the temperature of endothermic transition
251 increased to 88.4 °C (Cu²⁺ ions) and 105.7 °C (Ni²⁺ ions), and the peaks became sharper owing
252 to the formation of metal complexes. Fig. 1C shows the photographs of colloidal solutions of
253 tannic acid/FSGH nanoparticles. The tannic acid and FSGH solutions were both clear. However,
254 the solutions turned milk-white after adding FSGH into tannic acid solutions because of the
255 formation of colloidal complex nanoparticles. Visualization of the photographs indicated that
256 the turbidity of colloidal solutions increased with increasing the concentrations of tannic acid.
257 TEM image confirms the spherical shape of the nanoparticles prepared from 4 mg/ml tannic
258 acid and 4 mg/ml FSGH (tannic acid-to-FSGH weight ratio = 4 mg/4 mg) (Fig. 1D). However,
259 the nanoparticles prepared from 8 mg/ml tannic acid and 4 mg/ml FSGH (tannic acid-to-FSGH
260 weight ratio = 8 mg/4 mg) was slightly aggregated. Therefore, the nanoparticles prepared from a
261 tannic acid-to-FSGH weight ratio of 4 mg/4 mg were used for the following analyses because of

262 their high stability and dispersibility in water.

263 **Properties of self-assembled tannic acid/FSGH colloidal complex nanoparticles**

264 Particle sizes and surface charges of the complex nanoparticles were affected by tannic
265 acid-to-FSGH ratio. By keeping FSGH concentration at 4 mg/ml, the nanoparticles prepared at
266 low tannic acid concentrations (2 mg/ml) were small and the average particle size was
267 190.5 ± 2.9 nm (Table 2). In contrast, the particle size increased to larger than 500 nm when
268 tannic acid concentration increased to 8 mg/ml. The results suggest that the complex
269 nanoparticles start to aggregate at high tannic acid concentrations. Accordingly, the tannic acid
270 concentration plays an important role to affect the stability of prepared complex nanoparticles.

271 The zeta potentials of complex nanoparticles linearly decreased with the increase of tannic
272 acid concentrations. It is known that tannic acid is an ester formed by the condensation of gallic
273 acid, which contains phenolic hydroxyls on the aromatic rings. The phenolic hydroxyls (Ar-OH)
274 can be deprotonated in aqueous solutions to form phenoxide anions (Ar-O⁻). In contrast, FSGH
275 has an average isoelectric point similar to type A gelatin (pI = 7.0–9.0). As shown in Table 2,
276 the positive zeta potentials were observed for the complex nanoparticles, revealing that a
277 positively charged FSGH layer was closely attached to the surface of nanoparticles, which
278 ensured the colloidal stabilization. As shown in Table 2, the tannic acid loading content
279 increases with the increase of tannic acid concentration.

280 **Adsorption of heavy metals**

281 Fig. 2A shows the X-ray diffractograms (XRD) for tannic acid, FSGH and tannic acid/FSGH
282 complex nanoparticles. As can be seen, tannic acid and FSGH respectively show diffraction
283 peaks at $2\theta = 24.9^\circ$ and 20.8° . XRD results showed a new diffraction peak around 22.5° because
284 the collagen-like, triple-helical structure was renatured in FSGH. The diffractograms of
285 Cu²⁺-binding nanocomplexes (tannic acid/FSGH/Cu²⁺) demonstrated strong, characteristic

286 diffraction peaks of copper complexes at 18.1° and 25.9° , and Ni^{2+} -binding nanocomplexes
287 (tannic acid/FSGH/ Ni^{2+}) at 20.1° , 22.9° and 30.6° . Fish gelatin is a poor metal-chelating ligand
288 showing low affinity for metal ions. However, after hydrolysis, the metal-chelating ability of
289 FSGH can be improved due to the formation of more electron-donating, carboxyl and amino
290 groups.²⁷ The high electrophilicity of the pyrogallol ring in tannic acid has high affinity to
291 several heavy metal ions, while the galloyl groups can improve the reaction ability of tannic acid
292 with metal ions.²⁸ As shown in Fig. 1B, Cu^{2+} and Ni^{2+} ions stabilized FSGH by shifting the
293 endothermic transitions to slightly higher temperatures (from 76.5°C to 88.4°C and 106.7°C)
294 because the lone electron pairs of the amine and carboxylic acid in FSGH were donated into d
295 orbitals of those transition metal ions to form coordinate covalent bonds. The result reveals that
296 tannic acid/FSGH complex nanoparticles may act as a potent biosorbent for removing hazardous
297 metal ions.

298 Copper ions catalyze the production of reactive oxygen species (ROS) via Fenton and
299 Haber–Weiss reactions. Cu^{2+} ions can be reduced by intracellular glutathione (GSH) to catalyze
300 the conversion of H_2O_2 to hydroxyl radicals.²⁹ Cu^{2+} ions can also act as electron acceptors which
301 show high affinity to lone pair electrons on the phenolic hydroxyls of tannic acid. Therefore,
302 Cu^{2+} was selected for the examination of the isothermal and kinetic adsorption properties of
303 tannic acid/FSGH complex nanoparticles. The pyrogallol or galloyl groups in tannic acids binds
304 metal ions at high affinity as the phenolic hydroxyls were deprotonated.²⁸ Tannic acid with two
305 or three charged phenolic hydroxyl groups adjacent to each other, is an effective bidentate
306 ligand capable of forming a five-membered ring chelate complex of Cu(II) ion. Langmuir
307 isotherms can be used to evaluate the equilibrium adsorption of Cu(II) ion on tannic acid/FSGH
308 complex nanoparticles.³⁰ The adsorption capacity increased with increasing the equilibrium
309 concentration of Cu^{2+} ions. The expression for the Langmuir isotherm is

$$Q_e = \frac{Q_m K_L C_e}{1 + K_L C_e}$$

where Q_e and C_e are the adsorption capacity (mg/g) and the concentration of Cu(II) ions at equilibrium (mg/L). $(1/Q_e)$ is plotted against $(1/C_e)$ to calculate Q_m , the monolayer adsorption capacity of Cu(II) ions (mg/g), and K_L (L/mg) (Fig. 2B). The Langmuir isotherm model considers the adsorbent surface as homogeneous and can provide identical sites for a single molecular layer adsorption. Maximum adsorption occurs subsequent to the formation of a monolayer of saturated solute (Cu^{2+} ions) on the surface of the nanoparticles. The maximum adsorption values estimated from the equation for Cu^{2+} ions was 123.5 mg/g (Table 3), suggesting that the nanoparticles were efficient in adsorbing Cu^{2+} ions.

The kinetics of uptake of Cu^{2+} ions by nanoparticles was determined with respect to pseudo-second-order kinetic model shown as the follows:³¹

$$t/Q_t = 1/k Q_e^2 + t/Q_e$$

where Q_e (mg/g) and Q_t (mg/L) are the amount of adsorbed Cu^{2+} (mg/g) at equilibrium and at time, t . The k is the pseudo-second-order rate constant (min^{-1}). The rate constants k and the correlation coefficient (R^2) were determined by linear plots of t/Q_t vs. t (Fig. 2C). Table 3 summarizes adsorption kinetic parameters. The straight line plots of pseudo-second-order kinetic models acquires correlation coefficients of 0.9975 for the adsorption of Cu^{2+} ions, suggesting that pseudo-second-order equation is a suitable model to describe the kinetic adsorption. Chemisorption involving the electron donor-acceptor couple between the nanoparticles and metal ions became the rate-limiting step in the adsorption process.

Energies and entropy (ΔG° , ΔH° , and ΔS°) are useful thermodynamic parameters which can be employed to determine the energy change and spontaneity of an adsorption process. The apparent equilibrium constant (K_c) of the adsorption was calculated as follow:³¹

335
$$K_c = X_e / (C_i - X_e)$$

336 where X_e and C_i are the adsorbed and initial concentration of Cu^{2+} ions (mg/L). The
337 relationship between the Gibbs free energy change (ΔG°) and K_c is temperature dependent and
338 was allowed to calculate ΔH° and ΔS° by using the following relationships:

339
$$\Delta G^\circ = -RT \ln K_c$$

340
$$\ln K_c = - \frac{\Delta H^\circ}{RT} + \frac{\Delta S^\circ}{R}$$

341
342
343 where R (8.314 J/mol K) is the gas constant and T is the absolute temperature (K). The values of
344 ΔH° and ΔS° calculated from a linear plot of $\ln K_c$ vs. $1/T$ are shown in Table 3. The adsorption
345 process was endothermic and spontaneous according to the positive signs of ΔH° and the
346 negative signs of ΔG° . The ΔG° values decreased with increasing the temperature, suggesting
347 that high temperatures are of benefit to adsorption. The irregularly increased randomness in the
348 nanoparticles-metal ion interaction is confirmed by the positive values of ΔS° .

349 **Electron spin resonance (ESR)**

350 Plant polyphenols was known to possess potent free radical scavenging activity. Tannic acid, a
351 polymeric polyphenolic compound, is a more powerful free radical scavenger than its
352 monomeric phenolic counterparts, due to the propinquity of aromatic hydroxyl groups in the
353 condensed tannic acid.³² The tannin equivalent required to scavenge 50% of initial DPPH·
354 radical by the complex nanoparticles was expressed as EC_{50} . The EC_{50} values were reduced as
355 the tannin-to-FSGH ratios in the nanoparticles increased (Table 2). The results shows that the
356 tannin/FSGH nanoparticles prepared with larger tannin-to-FSGH ratios has considerable
357 antioxidant powers in scavenging DPPH·-radical as indicated by the lower EC_{50} values.

358 It is known that reduced glutathione (GSH) catalyzes the redox cycling of
359 exogenous/endogenous copper ions to produce superoxide anion ($\text{O}_2^{\cdot-}$). The free radical isn't

360 stable and quickly decomposes to hydroxyl radicals ($\text{OH}\cdot$).²⁹ As shown in Fig. 2D, ESR spectra
361 of hydroxyl radical, produced from Fenton-reaction reagents and DMPO, consisted of a 1:2:2:1
362 quartet signal amplitude of DMPO-OH ($a_{\text{N}} = 1.50 \text{ mT}$, $a_{\text{H}} = 1.50 \text{ mT}$). The $\text{OH}\cdot$ scavenging
363 capacity of free tannic acid, FSGH, and the complex nanoparticles, were investigated by ESR
364 analysis. The additions of free tannic acid ($50 \mu\text{g/ml}$) or tannic acid/FSGH complex
365 nanoparticles ($50 \mu\text{g}$ tannic acid equivalent/ml) to the mixture efficiently decreased the intensity
366 of the DMPO-OH spin adduct signal. The $\text{OH}\cdot$ scavenging ratios of the nanoparticles were
367 estimated from the signal-intensity ratio of the sample to the control. The free tannic acid had
368 high $\text{OH}\cdot$ scavenging activity, showing a $\text{OH}\cdot$ scavenging ratio of 47.2%. However, at the same
369 tannic acid equivalent, the $\text{OH}\cdot$ scavenging ratio of the nanoparticles was 1.2 folds (56.6%)
370 higher than its free tannic acid counterpart.

371 The results suggested that both free tannic acid and tannic acid/FSGH complex nanoparticles
372 showed powerful $\text{OH}\cdot$ scavenging activities. As shown in this figure, free tannic acid is a
373 stronger $\text{OH}\cdot$ scavenger than that of FSGH, indicating that different components of the
374 nanoparticles (tannic acid and FSGH) may differ in their $\text{OH}\cdot$ scavenging activity. However, the
375 $\text{OH}\cdot$ scavenging ratio of the nanoparticles was higher than that of free tannic acid at the same
376 tannic acid equivalent due to the assistance of FSGH. Although the free radical scavenging
377 activity of FSGH was less than that of tannic acid, FSGH has a tendency to inhibit the
378 generation of $\text{OH}\cdot$. Therefore, the combination of tannic acid and FSGH in nanoparticles
379 enhanced the $\text{OH}\cdot$ scavenging activity. It was assumed that the hydroxyl radical could be
380 quenched by the nanoparticles through metal ion chelation. This assumption was confirmed by
381 high Cu^{2+} chelating capacity of the nanoparticles (Table 3). Furthermore, the hydroxyl radical
382 scavenging activity of the nanoparticles may also attributed to their superior free radical
383 scavenging capability (Table 2). The results suggested that the nanoparticles inhibited the

384 generation of $\text{OH}\cdot$ by two actions, including direct scavenging of $\text{OH}\cdot$ and chelation with Cu^{2+}
385 ions.

386 **Tight junction permeability**

387 Heavy metal ions can interact with cell membrane, inducing the generation of intracellular ROS
388 and tight junction dysfunction.³³ Some polyphenols were reported to protect the epithelial
389 barrier function of Caco-2 Cells.³⁴ Measurements of TEER in Caco-2 cell monolayers were
390 shown in Fig. 3A. By treating Caco-2 cell with $30\ \mu\text{M}$ Cu^{2+} ions for 2 h, the TEER value
391 decreased to $47.3\pm 4.2\%$ of its initial value. Tannic acid ($100\ \mu\text{g}/\text{ml}$) effectively inhibited
392 tight-junction opening induced by Cu^{2+} ions. The TEER value slightly decreased to $71.4\pm 5.2\%$ of
393 initial value. However, the inhibition efficiency of complex nanoparticles against Cu^{2+} -induced
394 tight junction opening decreased with increasing the time of treatment. After 2h of treatment, the
395 TEER value of the nanoparticle-protected group ($100\ \mu\text{g}/\text{ml}$ tannic acid equivalent/ml) was
396 similar to that of the non-protected group ($53.4\pm 6.3\%$ vs $47.3\pm 4.2\%$ of the initial values).
397 Tannic acid is a water soluble compound which reacts immediately with Cu^{2+} ions to form
398 tannic acid/ Cu^{2+} complex. In contrast, adsorption of Cu^{2+} into tannic acid/FSGH nanoparticle is
399 time-dependent. As shown in Fig. 2C, adsorption of Cu^{2+} by nanoparticles follows a
400 pseudo-first-order kinetic model. This result suggests that that adsorption of Cu^{2+} ions by the
401 nanoparticles was not as faster as free tannic acid. The TEER value reversibly increased after
402 removing exogenous Cu^{2+} ions, indicating that the removal of copper ions was able to induce a
403 reversible increase in tight junction permeability (Fig. 3B). After 2400 minutes of recovery, the
404 TEER values of Caco-2 cell treated with Cu^{2+} ions in the presence of either tannic acid or
405 tannic acid/FSGH nanoparticles were $91.8\pm 2.5\%$ and $82.4\pm 3.6\%$ of their initial values while
406 those without containing nanoparticles only recovered to $75.1\pm 3.2\%$ of their initial values.

407 The transepithelial permeability of Caco-2 cell monolayers were also determined by

408 measuring paracellular flux of fluorescein isothiocyanate (FITC) labeled dextrans
409 (FITC-dextran). The permeability coefficient (P_{app}) of macromolecular FITC-dextran increased
410 by adding 30 μM Cu^{2+} ions to Caco-2 monolayer (Fig. 3C). The increases in FITC-dextran
411 permeability was corresponding to the increases in opening of tight-junction as indicated by the
412 TEER analysis. Dextran is a macromolecule which gains access through the tight junctions only
413 when TEER decreases below a certain value. However, as Caco-2 cells monolayer was
414 co-treated with Cu^{2+} ions and tannic acid, the amount of FITC-dextran transported through
415 Caco-2 cells was considerably reduced. These results implied that the complex nanoparticles
416 can be used as a biochelator to reduce the effects of Cu^{2+} ions on the tight junction barrier
417 impairment and paracellular transport of macromolecules. The protective effects may be
418 attributed to its copper-chelating capacity and free radicals scavenging activity therefore
419 reduced oxidative stress in Caco-2 cells.

420 Confocal laser-scanning microscopy (CLSM) was carried out to investigate the tight
421 junction protein, ZO-1, in Cu^{2+} -treated Caco-2 cells. The fluorescent images of ZO-1 in Caco-2
422 cells after 2 h of incubation with Cu^{2+} ions (30 μM) were shown in Fig. 3D. The green
423 fluorescence indicated ZO-1 protein whereas the red fluorescence indicated nuclei. Localization
424 of the tight junction protein, ZO-1, wasn't affected by treatment with Cu^{2+} ions, in either the
425 presence or absence of nanoparticles (100 $\mu\text{g}/\text{ml}$ tannic acid equivalent/ml). The result indicated
426 that changes in the tight-junction tightness weren't associated with the localization of the
427 junctional protein.

428 **Inhibition of α -amylase activity**

429 Polyphenols can interact with digestive enzymes and has a negative effect on intestinal digestion
430 of protein and starch, consequently reduces the nutritional value of foods.³⁵ Tannic acid
431 inhibited the activity of α -amylase in a dose-dependent way. It was reported that tannic acid is a

432 reversible inhibitor can bind to α -amylase through weak non-covalent interactions including
433 hydrophobic interactions and hydrogen bonds.¹⁰ As shown in Fig. 4A, α -amylase inhibitory
434 activities were measured at different concentrations of tannic acid. The inhibition ratio increases
435 linearly with tannic acid concentration up to 80%. The extent of inhibition of α -amylase by
436 nanoparticles was much lower than free tannic acid at the same concentrations. The IC_{50}
437 values (inhibition of 50% enzyme activity) indicated that α -amylase inhibitory activity of
438 nanoparticles (1.94 mg/ml) was about 6.3-fold less than that of free tannic acid (0.31 mg/ml).

439 Fig. 4B shows a Lineweaver-Burk plot of the reciprocal of reaction rate ($1/V$) vs. the
440 substrate concentration ($1/[S]$). The maximum velocity (V_{max}) and constant (K_m) were estimated
441 by fitting the Michaelis-Menten equation. The plot lines show that α -amylase was
442 noncompetitively inhibited by tannic acid, suggesting that the binding of tannic acid to the
443 enzyme reduces its activity but does not affect the binding of substrate. This figure also shows
444 the effect of tannic acid/FSGH complexation on inhibition activity of α -amylase. By changing
445 the inhibitor from free tannic acid to complex nanoparticles, the maximum velocity (V_{max})
446 increased, however, the Michaelis-Menten constant (K_m) remained the same. These results
447 indicate that the inhibitions (free tannic acid and complex nanoparticles) were non-competitive
448 patterns. However, the inhibition activity against α -amylase of the complex nanoparticles was
449 significantly lower than that of free tannic acid.

450 Tannic acid is a noncompetitive inhibitor can bind to digestive enzymes at a different site than
451 the active site specific for the substrate. FSGH has strong binding affinity to tannic acid,
452 consequently rendered its enzyme inhibitory activity after forming nanoparticles. Although the
453 complex nanoparticle has high adsorption capacity for Cu(II) ions and effective free radicals
454 scavenging capability, the enzyme inhibitory activity of the tannic acid/FSGH nanoparticle was
455 significantly reduced as compared to its free tannic acid counterpart. The results reveal that the

456 nano-chelator and radical scavenger may not severely affect the functions of digestive enzymes
457 in the GI tract.

458 **Conclusions**

459 We have developed the nanoparticles containing tannic acid and FSGH, and their
460 physicochemical properties, free radical scavenging activities and Cu^{2+} adsorption capacities
461 were evaluated. The nanoparticle was an effective adsorbent to remove Cu^{2+} , and the maximum
462 adsorption capacity was high (123.5 mg/g). The nanoparticle was efficient in scavenging
463 hydroxyl radicals ($\text{OH}\cdot$) due to a synergistic free radical scavenging activity of tannic acid with
464 FSGH. The nanoparticles showed a potential in ameliorating Cu^{2+} ions induced intestinal
465 epithelial TJ dysfunction without severely inhibiting the activity of the digestive enzyme.
466 Suppression of Cu^{2+} ions induced intestinal epithelial TJ dysfunction and hyperpermeability by
467 the nanoparticles was associated with the free radicals scavenging activity and Cu^{2+} -binding
468 capability.

469 **Acknowledgments**

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472 Co., Ltd for the kindly gifted FSGH.

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535 **Figure Captions**

536 Fig. 1. (A) FTIR analysis of the chemical structures of tannic acid, FSGH, and tannic
537 acid/FSGH complex nanoparticles (TA/FSGH NPs), (B) DSC analysis of tannic acid,
538 FSGH, tannic acid/FSGH complex nanoparticles (TA/FSGH NPs), and their metal
539 complexes (TA/FSGH/Cu²⁺ and TA/FSGH/Ni²⁺ complexes), (C) photographs of
540 colloidal dispersion of tannic acid/FSGH nanoparticles (TA/FSGH NPs), tannic
541 acid-to-FSGH weight ratio = 2/4, 4/4, 6/4, and 8/4 (mg/mg), (D) TEM micrographs of
542 tannic acid/FSGH nanoparticles (TA/FSGH NPs): (a) tannic acid-to-FSGH weight ratio
543 = 4 mg/4 mg), (b) tannic acid-to-FSGH weight ratio = 8 mg/4 mg)

544 Fig. 2. (A) X-ray diffractograms (XRD) for tannic acid, FSGH, tannic acid/FSGH nanoparticles
545 (TA/FSGH NPs), and their metal complexes (TA/FSGH/Cu²⁺ and TA/FSGH/Ni²⁺
546 complexes), (B) fitting experimental data of Langmuir isotherm for adsorption isotherm,
547 (C) fitting experimental data of the pseudo-second-order model for adsorption kinetic,
548 (D) ESR spectra of the scavenging of hydroxyl radical generated from Fenton-reaction
549 by tannic acid (50 µg/ml), FSGH (50 µg/ml) and TA/FSGH NPs (50 µg/ml tannic acid
550 equivalent).

551 Fig. 3. Effect of Cu²⁺ ions on TEER in Caco-2 cell monolayers. TEER values were measured in
552 untreated cells (control), copper-treated cells (30µM CuCl₂), and in copper-treated cells
553 with addition of 100 µg/ml tannic acid (30µM CuCl₂/tannic acid) or 100 µg/ml tannic acid
554 equivalent of nanoparticles (50µM CuCl₂/TA/FSGH NPs) after 2 h of treatment (A) and
555 24 h of recovery (B); (C) Effect of Cu²⁺ ions on permeability coefficient (P_{app}) of
556 macromolecular FITC-dextran in untreated cells (control), copper-treated cells (30µM
557 CuCl₂), and in copper-treated cells with addition of 100 µg/ml tannic acid (30µM
558 CuCl₂/tannic acid) or 100 µg/ml tannic acid equivalent of nanoparticles (30µM

559 CuCl₂/TA/FSGH NPs), (D) fluorescent images of ZO-1 in original Caco-2 cells and in
560 the Cu²⁺-treated cells (after 2 h of incubation).

561 Fig. 4. (A) The inhibition effects of different concentration of tannic acid, FSGH, and tannic
562 acid/FSCH nanoparticles (TA/FSGH NPs) on α -amylase activities, (B)
563 Lineweaver–Burk analysis of inhibition mode in the presence of free tannic acid (0.5
564 mg/ml) and tannic acid/FSCH nanoparticles (TA/FSGH NPs, 0.5 mg tannic acid
565 equivalent/ml).

566 Table 1. Amino acid composition of FSGH

567			
568	FSGH	Amino acids g/ 100g protein	Units for amino acids (%)
569			
570	Aspartic acid	5.17	4.24
571	Threonine	2.84	2.60
572	Serine	3.33	3.46
573	Glutamic acid	10.18	7.54
574	Glycine	23.14	33.58
575	Alanine	9.25	11.31
576	Cysteine	0.62	0.56
577	Valine	2.60	2.42
578	Methionine	1.62	1.18
579	Isoleucine	1.67	1.39
580	Leucine	3.04	2.53
581	Tyrosine	0.95	0.57
582	Phenylalanine	2.23	1.47
583	Lysine	2.97	2.22
584	Histidine	1.50	1.05
585	Arginine	4.63	2.89
586	Proline	13.26	12.6
587	Hydroxyproline	10.75	8.94
588			

589 Table 2. Average size, zeta potential, tannic acid loading content of tannic acid (2-8 mg)/FSGH
 590 (4 mg/ml) nanoparticles
 591

592	Tannic acid	average size	zeta potential	loading content	DPPH scavenging
593	(mg/ml)	(nm)	(mV)	($\mu\text{g}/\text{mg}$)	EC_{50} ($\mu\text{g}/\text{ml}$)
594	tannic acid/FSGH nanoparticles				
595	2	190.5 \pm 2.9	22.7 \pm 0.9	243.3 \pm 7.9	34.8 \pm 2.6
596	4	260.8 \pm 3.6	20.4 \pm 0.4	389.5 \pm 8.5	21.6 \pm 1.2
597	6	447.7 \pm 5.5	19.7 \pm 0.5	469.1 \pm 11.4	17.8 \pm 0.5
598	8	627.9 \pm 4.8	17.5 \pm 0.5	513.6 \pm 15.5	16.1 \pm 0.6
599 600	free tannic acid				14.3 \pm 0.8

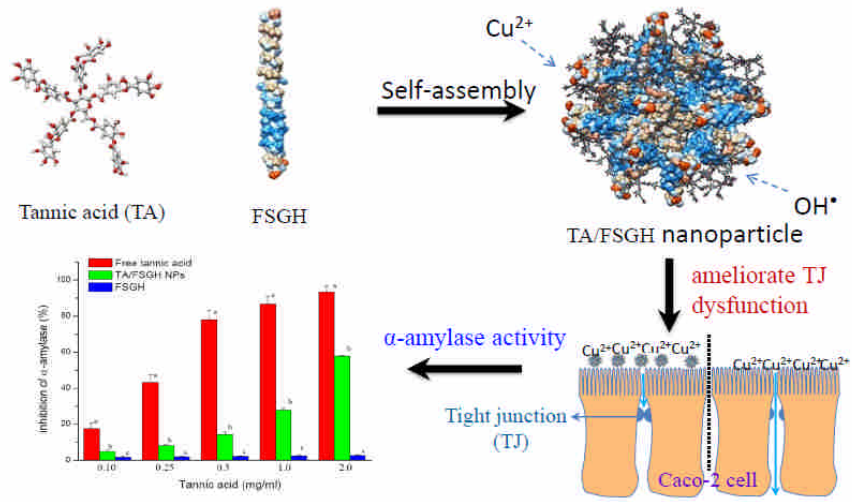
601 Table 3. Isotherm and Kinetic constants for adsorption of Cu(II) ion onto tannic acid/FSGH
 602 nanoparticles (tannic acid-to-FSGH weight ratio = 4 mg/4 mg).

604	model	Cu(II) ion
605	Langmuir isotherm	
606	Q_m (mg/g)	123.45
607	K_L (L/mg)	0.0162
608	R^2	0.9996
609	Kinetic model	
610	$Q_e(\text{exp.})$ (mg/g)	24.37
611	Pseudo-second order constant	
612	K (min^{-1})	4.5×10^{-3}
613	$Q_e(\text{theor.})$ (mg/g)	24.51
614	R^2	0.9975
615	Thermodynamic parameters	
616	ΔG° (J/mol)	
617	30°C	-979.26
618	35°C	-1199.1
619	40°C	-1249.6
620	ΔH° (KJ/mol)	15.56
621	ΔS° (J/mol)	48.31

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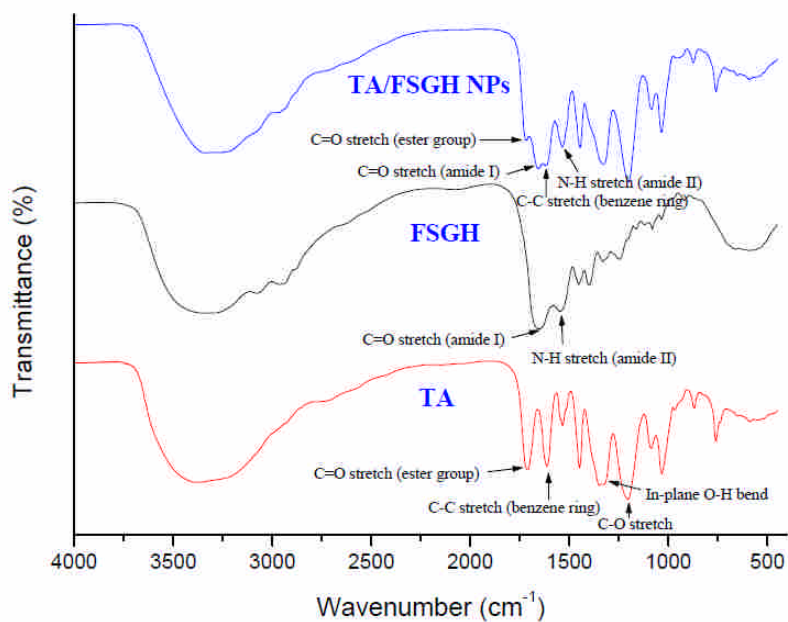
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Graphic Abstract



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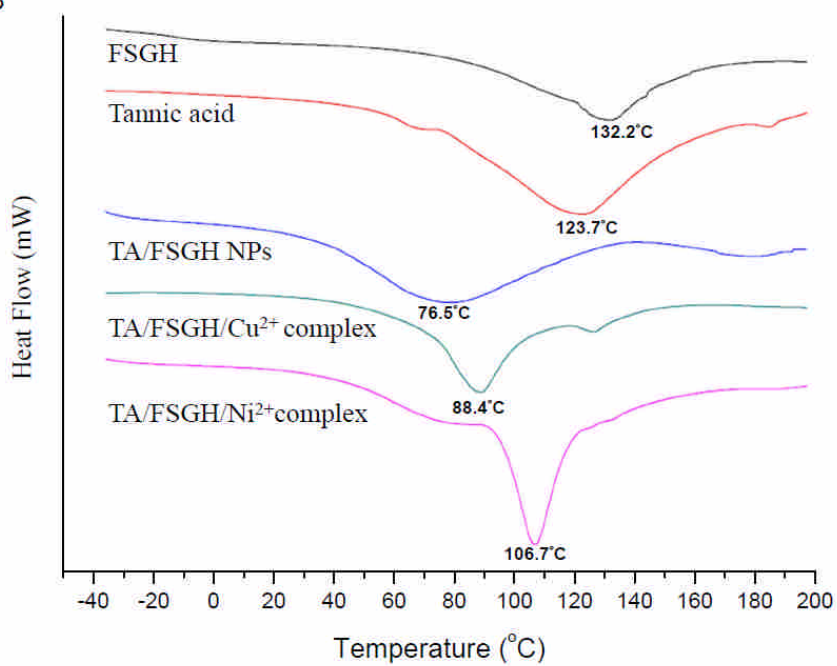
Fig. 1A



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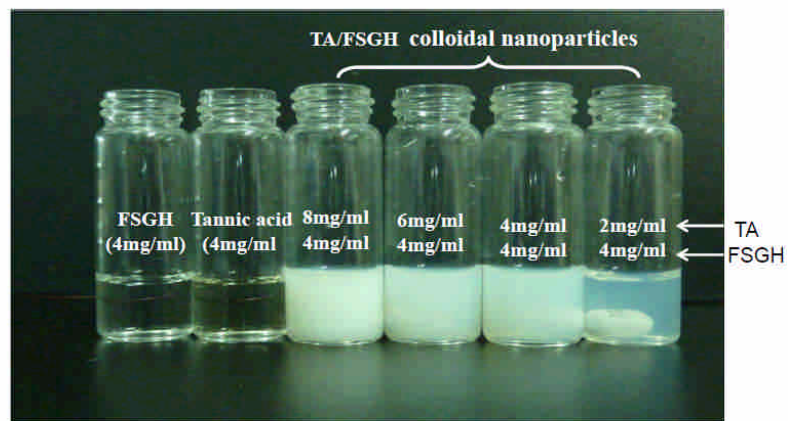
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Fig. 1B



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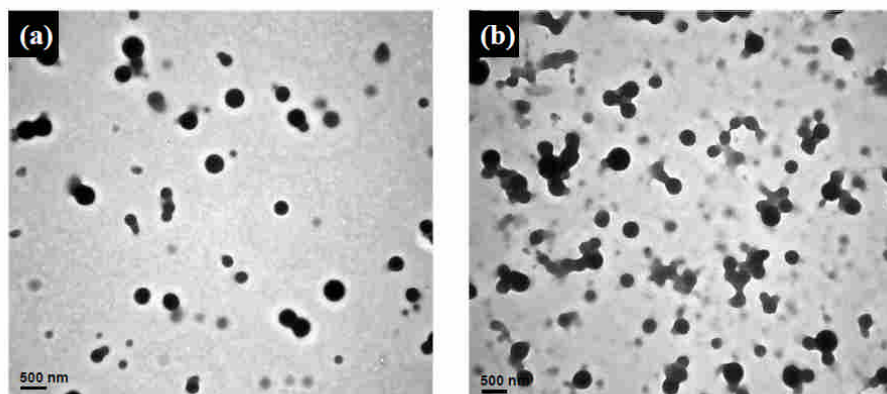
Fig. 1C



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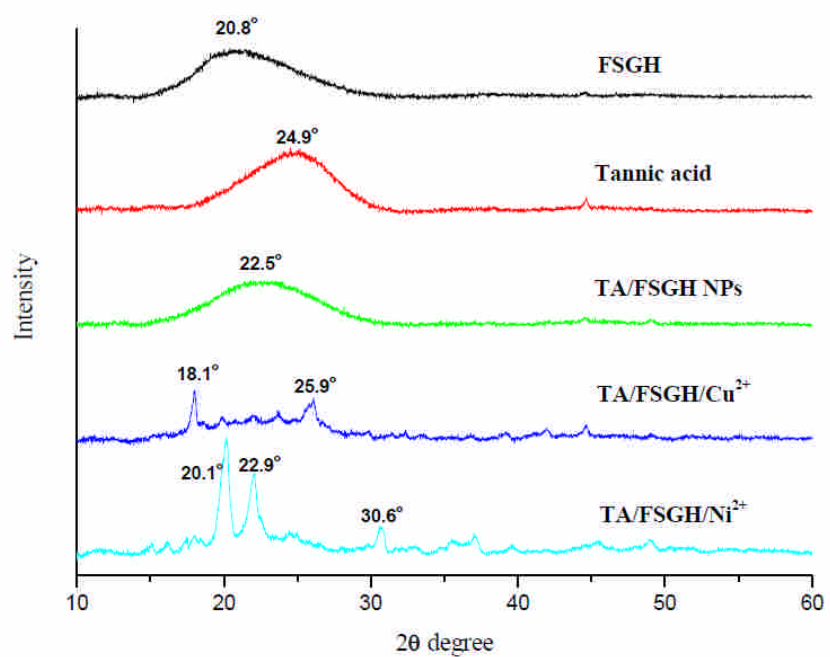
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Fig. 1D



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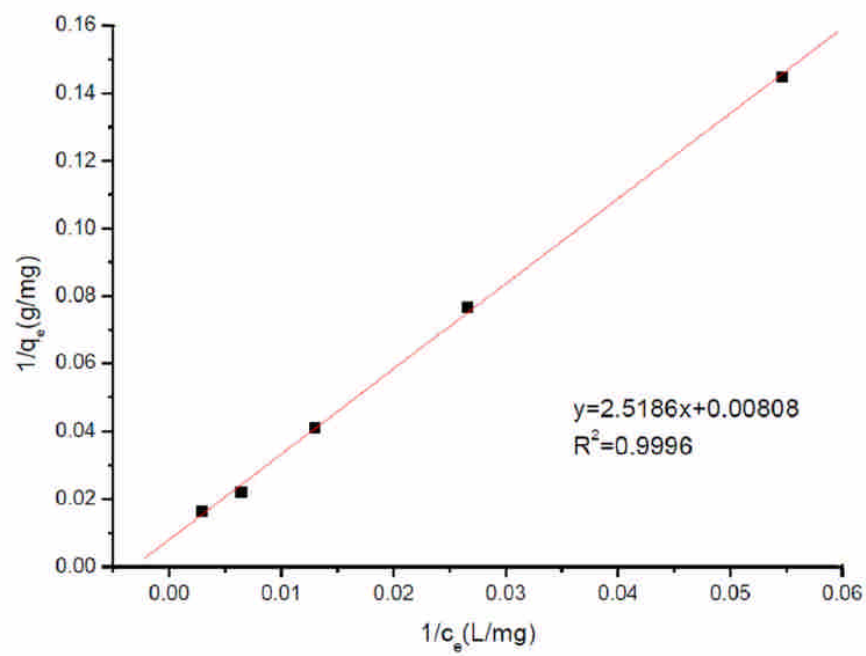
Fig. 2A



631

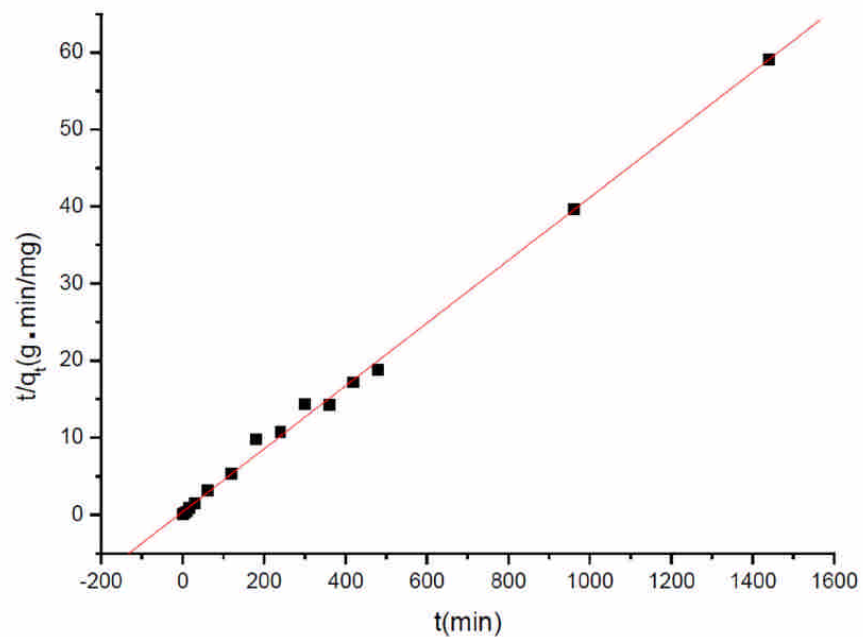
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Fig. 2B



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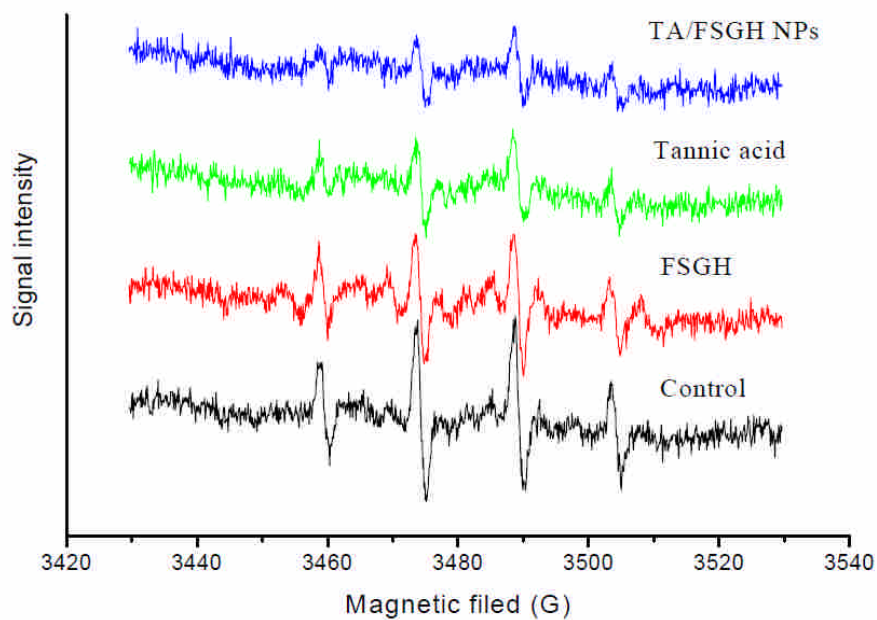
Fig. 2C



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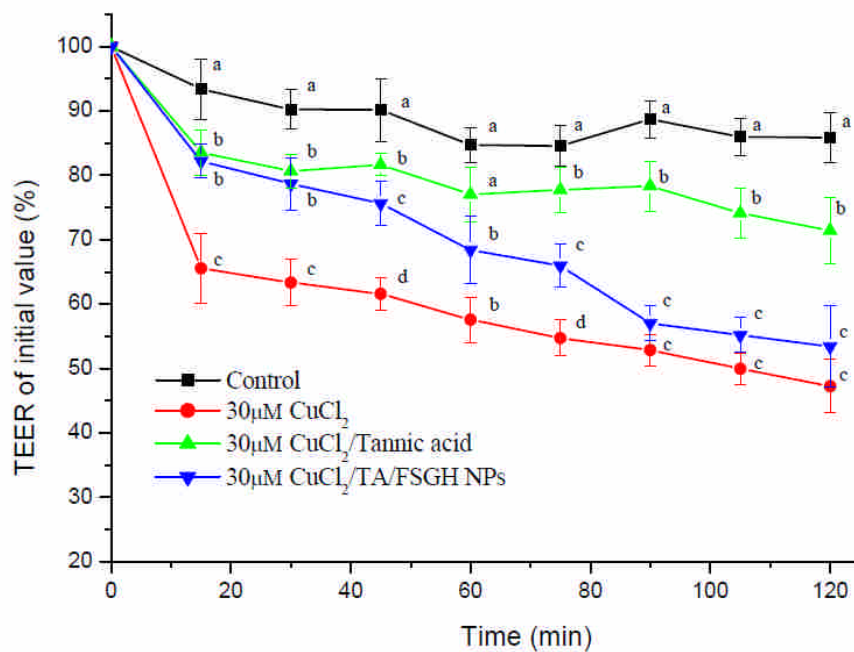
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Fig. 2D



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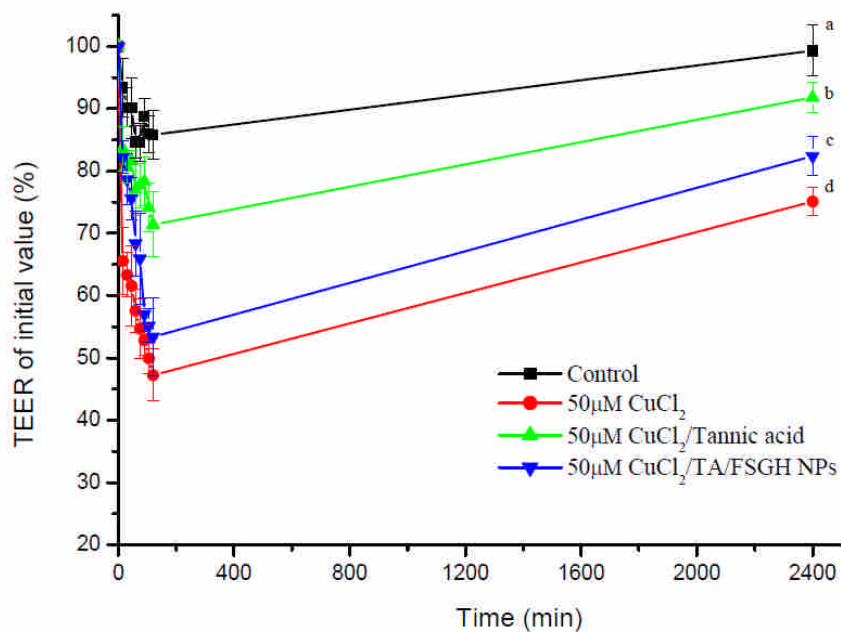
Fig. 3A



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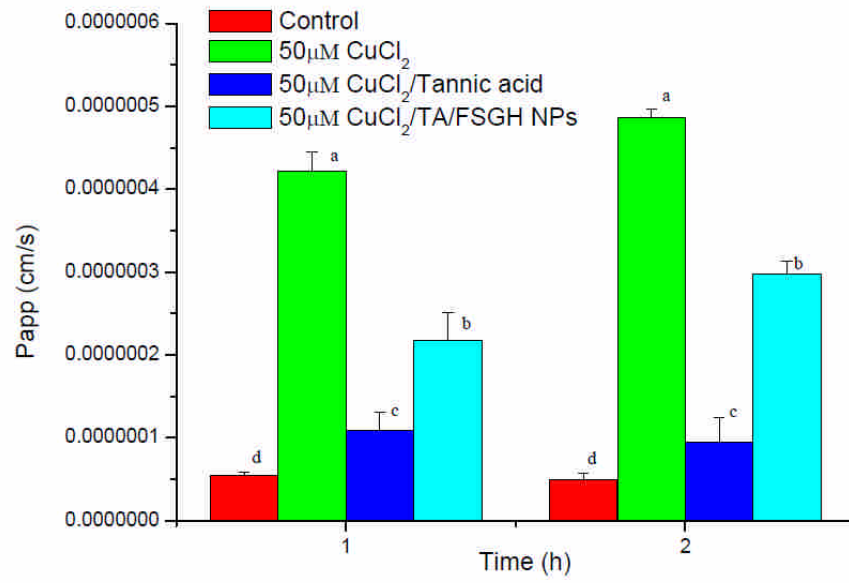
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Fig. 3B



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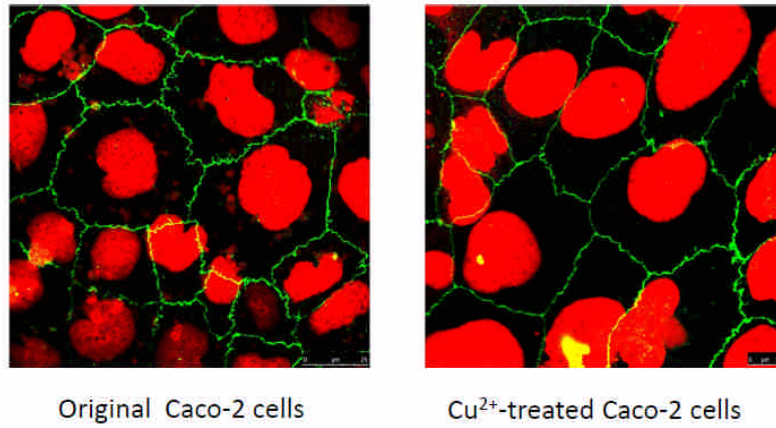
Fig. 3C



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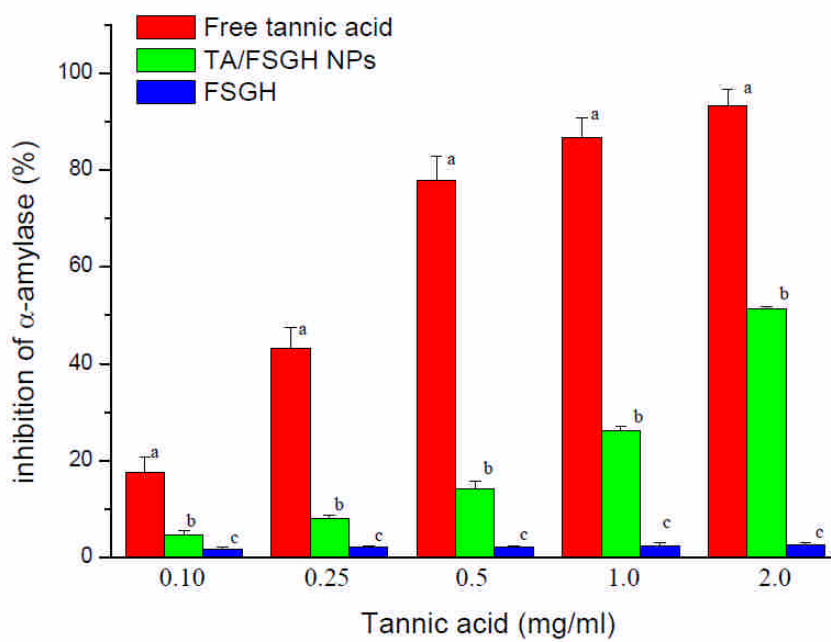
Fig. 3D



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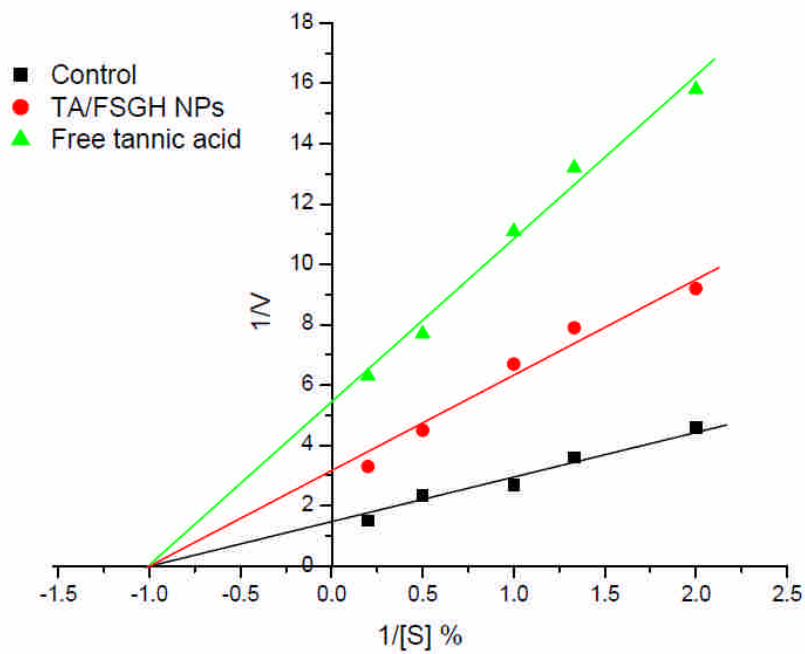
Fig. 4A



644

645

Fig. 4B



646