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Graphical Abstract:



Highlights:

- Lb. plantarum DM5 exhibited in vitro probiotic properties such as high resistance to gastric juice and bile salt, adherence to human adenocarcinoma (HT-29) cells.
- It showed bile salt hydrolase and cholesterol assimilation activity, thus able to reduce hypercholesterolemia.
- > It showed broad bacteriocin activity against several food borne pathogens.
- Cytotoxicity analysis of purified bacteriocin (~15.2 kDa) on human cell lines revealed its nontoxic biocompatible nature rendering its use as biopreservant.

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1	Characterization of a noncytotoxic bacteriocin from probiotic Lactobacillus
2	plantarum DM5 with potential as food preservative
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5	Deeplina Das and Arun Goyal*
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7	Department of Biotechnology,
8	Indian Institute of Technology Guwahati,
9	Guwahati - 781 039, India
10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26	*Corresponding author and address for correspondence: Dr. Arun Goyal Professor Department of Biotechnology, Indian Institute of Technology Guwahati Guwahati 781 039, Assam Email: arungoyl@iitg.ernet.in
27	Tel. 361-258 2208
28 29	Fax: 301-258 2249
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38 ABSTRACT:

39 The aim of this work was to purify and characterize the bacteriocin produced by 40 probiotic Lactobacillus plantarum DM5 in order to evaluate its potential as nutraceuticals. 41 Lb. plantarum DM5 exhibited in vitro probiotic properties such as high resistance to gastric 42 juice and bile salt, adherence to human adenocarcinoma (HT-29) cells, Bile salt hydrolase 43 and cholesterol assimilation activity. Additionally, Lb. plantarum DM5 showed bacteriocin 44 activity against several major food borne pathogens. Zymogram analysis of purified 45 bacteriocin (plantaricin DM5) displayed the molecular size of ~15.2 kDa. Plantaricn DM5 was sensitive to proteolytic enzymes but stable in the pH range of 2.0-10.0. Plantaricin DM5 46 47 was heat resistant (121°C for 15 min) and remained active upon treatment with surfactants 48 and detergents. Cytotoxicity analysis of plantaricin DM5 on human embryonic kidney 293 49 (HEK 293) and human cervical cancer (HeLa) cell lines revealed its nontoxic and 50 biocompatible nature. This is to our knowledge, the first study on isolated strain expressing 51 probiotic properties and broad antimicrobial activity without any cytotoxic effect on 52 mammalian cells from indigenous fermented beverage Marcha from India, and thus 53 contributes towards a growing concern to food industry as novel bio-preservant.

54 Keywords: Lactobacillus plantarum, Probiotic, bacteriocin, Marcha

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Probiotics are live microbial feed supplement which beneficially affect the host 64 animal by improving its intestinal balance¹. In order to provide beneficial health effect to the 65 host, probiotic bacteria must survive through the barriers in the gastrointestinal tract in 66 sufficient numbers, tolerating acid, bile and gastrointestinal enzymes, and then adhere and 67 colonize in the intestinal epithelium ^{2,3}. Species of the genus Lactobacillus are widespread 68 69 commensals in the gastrointestinal tract of humans and are potentially probiotic organisms that confer a health benefit of the host^{1,4}. Production of bacteriocin is one of the desirable 70 71 traits among probiotic bacteria from the perspective of controlling microbial populations in 72 the gastrointestinal tract of host. In addition, bacteriocins offer potential applications in food preservation by reducing the use of chemical preservatives⁵. Several unique properties, such 73 as activity over a wide pH range and stability at high temperature, make them suitable as 74 75 biological preservatives to extend the shelf-life of refrigerated semi-preserved foods and canned foods^{5,6}. In recent years, the main concern of the food industry has been used such a 76 preservative that can selectively inhibit certain high risk bacteria such as Listeria 77 monocytogenes, Staphylococcus aureus, Camphylobacter jejuni in food products without 78 compromising the quality and taste of the product^{5,7}. The potential application of probiotic 79 80 and bacteriocin in the food industry have attracted tremendous interest, which has led to the 81 search for a novel strains with bacteriocin producing probiotic characteristics.

The strain *Lactobacillus plantarum* has gained great attention in food industry due to its application as a starter culture for fermentation of vegetables^{8,9} and as probiotic^{10,11}; however; it is also well known for production of bacteriocin. Several bacteriocins producing *Lactobacillus plantarum* strains have been isolated earlier from fermented food sources such as, plantaricin C11 from cucumber¹²; plantaricin UG1 from sausage¹³, plantaricin C19 from meat¹⁴, plantaricin TF711 from Tenerife cheese¹⁵, plantaricin ST16Pa from *Carica papaya*¹⁶

and plantaricin ST71KS from goat feta cheese¹⁷. Although these strains did not exhibit *in vitro*probiotic properties such as resistance to gastric juice and bile salts or *in vitro* adhesion ability. Till
date only two commercial probiotic strains, *Lactobacillus casei* Shirota and *Lactobacillus johnsonii*La1 produce bacteriocins¹⁸. Therefore, bacteriocin producing probiotic *Lb. plantarum* strain remains
to be explored, which will replace the chemical preservatives in food industry. This has prompted the
screening of potential probiotic lactic acid bacteria with strong antimicrobial activity capable of
inhibiting food borne pathogens.

95 In this regard, Lactobacillus plantarum DM5 was isolated from fermented beverage Marcha of Sikkim¹⁹ and was explored for bioprotective potential. In the present study, 96 probiotic properties of Lb. plantarum DM5 were evaluated by in vitro analysis. The 97 98 production of bacteriocin by Lb. plantarum DM5 was also studied. The bacteriocin named as 99 plantaricin DM5 was purified by ammonium sulphate precipitation, cation exchange 100 chromatography and gel filtration. The purified plantaricin DM5 was also evaluated for 101 cytotoxicity tests on human embryonic kidney (HEK 293) and human cervical cancer (HeLa) 102 cell lines in order to develop it as a novel biopreservant with superior probiotic properties.

103 2.0 Results and Discussion

104 2.1 In vitro probiotic properties of Lb. plantarum DM5

105 2.1.1 Sensitivity of Lb. plantarum DM5 to gastric juice and bile salt

Resistance to gastric acidity and bile salts are most widely used *in vitro* test for the 106 assessment of probiotic potential of a bacterial strain². Probiotic microorganisms should not 107 108 only be capable of surviving passage through the digestive tract but also have the capability 109 to proliferate in the gut; as a result they must be resistant to gastric juices and able to grow in 110 the presence of bile salt in the intestines. The sensitivity of *Lb. plantarum* DM5 towards the 111 gastric juice (pH 2-4) and bile salts (0.3-1%) was determined and shown in Table 1. Exposure 112 of Lb. plantarum DM5 to a simulated gastric juice containing pepsin at pH 2.0 resulted in a 113 rapid loss of cell viability and 48% cell survival was observed after 5 h of incubation.

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Compared to this, it showed 91% survival at pH 3 as the residual count decreased from 10^7 114 CFU mL⁻¹ to 10⁶ CFU mL⁻¹ after 5 h of incubation; however; at pH 4 almost no change in 115 cell viability was recorded after 5 h of incubation. This was significantly higher than Lb. 116 plantarum 17 isolated from cooked meat product which could resist pH 3.0 for only 1 h and 117 did not revive even after 4 h²⁰. The isolate *Lb. plantarum* DM5 showed 15% higher survival 118 rate as compared with novel isolate Lb. plantarum ST-III (~76% survival at artificial gastric 119 juice after 5 h of incubation) which possessed probiotic as well as α -glucosidase inhibitory 120 activity²¹. The percent survival of Lb. plantarum DM5 was also very high as compared to 121 probiotic Lb. plantarum IMAU30055²², Lb. acidophilus BFE 6056³, Lb. plantarum Lp9²³ 122 and Lb. plantarum B23²⁴ which showed 76%, 80%, 82% and 86% survival at pH 2 after 2 h 123 124 of incubation. The elevated survival rate of Lb. plantarum DM5 at pH 2 indicated the good 125 tolerance of *Lb. plantarum* DM5 against acidic conditions prevalent in the stomach.

126 Lactobacillus plantarum DM5 demonstrated excellent tolerance in presence of 0.3% 127 and 0.5% bile salt as the viable cell count increased by 20% and 18%, respectively, after 30 h 128 of incubation (Table 1). Although in presence of 1% bile salt marginal decrease of 3% observed in viable cell population. Lactobacillus plantarum DM5 confirmed high bile salt 129 130 tolerance as it could survive 2.5 fold higher concentration (1%) than the usual bile salt concentration present in human stomach $(0.3\%)^{25}$. The results suggested that *Lb. plantarum* 131 DM5 could survive passage through the high bile salt concentration of small intestine and 132 may be used as potent probiotic. 133

134 2.1.2 Bile salt hydrolase (BSH) activity and in vitro cholesterol assimilation of Lb. 135 plantarum DM5

Bile salt hydrolase (BSH) activity is an important colonisation factor for probiotic bacteria as it provides resistance to the toxicity of conjugated bile salts in the duodenum^{25,26}. The most abundant bile salts in humans are cholate, chenodeoxycholate and deoxycholate, which are normally conjugated with either glycine (75%) or taurine (25%)²³. Therefore, the 140

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BSH activity *Lb. plantarum* DM5 was measured for both glycine and taurine conjugated bile salt and is shown in Table 1. The isolate *Lb. plantarum* DM5 exhibited BSH activity of 0.63±0.02 U mg⁻¹ towards TDCA, however, when bile salt mixture containing glycocholic, glycochenodeoxycholic, taurocholic, taurochenodeoxycholic and taurodeoxycholic acid was used it exhibited higher BSH activity of 1.18±0.03 U mg⁻¹. Similar results were also observed in case of *Lb. acidophilus* ATCC 4357 (0.64 U mg⁻¹) and *Lb. plantarum* Lp91 (0.12 U mg⁻¹) which showed lower activity towards TDCA^{27,28}, although; the strain *Lb. acidophilus* ATCC 4357 displayed higher BSH activity of 1.20 U mg⁻¹ towards conjugated bile salt mixture. It has been reported that the strain *Lb. plantarum* Lp91 showed higher affinity towards bile salt GDCA as compared to TDCA²⁸; however; *Lb. plantarum* BBE7 had a relatively high BSH activity toward both bile salts with a higher cholesterol-removing activity of 72.8%²⁹. It also has been reported that the bile salt hydrolysing probiotic strains are able to

151 control hypercholesterolaemia through interaction with host bile salt metabolism^{26,27}. A high 152 153 concentration of cholesterol in the blood streams of humans has been recognized as a risk factor for coronary heart disease³. The isolate Lb. plantarum DM5 was also tested for its 154 ability to reduce cholesterol in the presence of TDCA and conjugated bile salt mixture (Table 155 156 1). The isolate Lb. plantarum DM5 was able to assimilate the in vitro cholesterol level in the range of 68-73%. However, higher cholesterol reduction was observed when the conjugated 157 158 bile salt mixture was used, as compared with TDCA. This was in agreement with bile salt 159 hydrolase activity of *Lb. plantarum* DM5 as cholesterol assimilation ability was dependent on bile salt hydroalse activity³⁰. The aforementioned results indicate that *Lb. plantarum* DM5 160 161 could potentially decrease the concentration of bloodstream cholesterol in human beings.

162 2.1.3 In vitro cell adherence of Lb. plantarum DM5

163 A probiotic bacterium should be able to adhere and colonize in the human 164 gastrointestinal tract for sustaining health promoting effect. The adherence ability of putative

plantarum DM5 showed a good adhesion percentage of 8.63±3.03% with HT-29 cell line. The 166 adhesion percentage of Lb. plantarum strains with HT-29 cell line were reported in between 167 5-13% ^{31,32}. A probiotic strain Lb. plantarum Lp9 and Lb. plantarum STIII showed adhesion 168 percentage of $7.4\pm1.3\%$ and $13\pm0.2\%$ respectively with Caco-2 cell line²¹. The adhesion rate 169 of probiotic Lb. plantarum S3 (0.9±0.3%) isolated from pickle was very low as compared to 170 *Lb. plantarum* DM5suggesting the superior probiotic property of *Lb. plantarum* DM5²¹. The 171 adhesion ability of Lb. plantarum DM5 with HT-29 cell line was also investigated by direct 172 173 microscopic observation after Giemsa staining and is shown in Fig. 1. The microscopic image 174 also confirmed the good adhesion ability of isolate Lb. plantarum DM5 with HT-29 cells. In 175 our earlier study, we reported that Lb. plantarum DM5 possessed the good cell surface hydrophobicity of 53% and autoagrregasion of 43%, which is a required criterion for cell 176 adhesion¹⁹. In the present study it is confirmed by adhesion assay of *Lb. plantarum* DM5 to 177 178 HT-29 cell line. This result ensures the capability of Lb. plantarum DM5 to adhere in the gastrointestinal tract and resist their immediate elimination by peristalsis. The functional 179 properties of bacterial strain such as gastrointestinal tolerance and adhesion to intestinal 180 181 epithelium are critical factors in maintaining probiotic efficacy, therefore the strain Lb. plantarum DM5 can potentially used as commercial probiotic. Although future research is 182 183 needed to determine the complex cell adhesion process between the bacterial cell membrane 184 and interacting cell surfaces of mammalian cell line.

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185 2.2 Antimicrobial spectrum of bacteriocin produced by *Lb. plantarum* DM5

186 The use of bacteriocins in the food industry can help to reduce the addition of 187 chemical preservatives as well as the intensity of heat treatments, resulting in foods which are more naturally preserved and richer in organoleptic and nutritional properties⁵. In addition to 188 functional probiotic properties, the strain Lb. plantarum DM5 showed broad antimicrobial 189

190 spectrum against major food borne pathogens such as Listeria monocytogenes, Bacillus 191 cereus, Alcaligenes feacalis, Pseudomonas aerogenosa, Staphylococcus epidermis, 192 Salmonella enterica as shown in Table 2. The antimicrobial activity of the cell free supernatant of Lb. plantarum DM5 was obtained as 6400 AU mL⁻¹ against gram positive 193 indicator strain S. aureus 737 and gram negative indicator strain E. coli DH5a as measured 194 by agar well diffusion method³³. In our earlier study, we have also reported that the 195 196 bacteriocin from Lb. plantarum DM5 showed bactericidal action by repressing the growth of 197 *Escherichia coli*, *Staphylococcus aureus* and *Listeria monocytogenes* as analyzed by flow cytometry¹⁸; therefore it can be potentially used as bio-preservant. 198

199 **2.3 Purification of bacteriocin from** *Lb. plantarum* **DM5**

200 The cell free supernatant of Lb. plantarum DM5 containing bacteriocin (6400 AU mL^{-1}) was purified by ammonium sulphate precipitation. The precipitate obtained within 40-201 80% ammonium sulphate saturation showed specific activity of 2196 AU mg⁻¹ with 5.4 fold 202 203 increase in specific activity (Table 3) and was loaded on CM Sepharose column pre-204 equilibrated with 25 mM ammonium acetate buffer (pH 5.4). The sample was eluted in form of single-symmetrical peak at about 0.24 M to 0.27 M sodium chloride (Fig.2A). The 205 bacteriocin activity was confined between 23th to 27th fractions which also showed maximum 206 protein content (A_{280}). All the five fractions were pooled, which gave specific activity of 207 3137 AU mg⁻¹ with 7.7 fold purification (Table 3). The pooled fraction was dialyzed and 208 209 subjected to next step of purification by gel filtration. The sample eluted in form of two 210 consecutive peaks as observed by A₂₈₀ measurements, however, the bacteriocin activity was found only in the first peak of A₂₈₀, within 13th to 18th fractions. Among these fractions, the 211 212 fraction 14 and 15 were pooled as they showed maximum bacteriocin activity and higher protein content (Fig. 2B). The pooled fractions showed specific activity of 10667 AU mg⁻¹ 213

with 26 fold purification (Table 3). The pooled bacteriocin sample was lyophilised and 214 subjected to SDS-PAGE for analyzing its purity and molecular mass. 215

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2.4 Molecular mass of purified bacteriocin

217 The molecular size of purified bacteriocin by gel filtration was found to be approximately, 15.2 kDa as determined by SDS-PAGE (Fig. 3A). The result was also 218 219 confirmed from the graph of relative mobility (R_f) versus log molecular weight (Log Mw) of 220 the standard protein molecular mass markers (Fig. 3C). A prominent inhibition zone (Fig. 3B) 221 was observed corresponding to the protein band of molecular weight 15.2 kDa, in the activity 222 gel against the indicator strain S. aureus MTCC 737. The bacteriocin from Lb. plantarum DM5 was larger than bacteriocin produced by Lb. plantarum ST16PA (Mol. mass 6.5 kDa)¹⁵, 223 Lb. plantarum ST194BZ (Mol. mass 14 kDa)³³ but smaller than bacteriocin produced by 224 Pediococcus pentosaceus ACCEL (Mol. mass 17.5 kDa)³⁴. To the best of our knowledge, the 225 226 present study is the first to report the molecular mass of bacteriocin from Lactobacillus 227 plantarum sp. to be 15.2 kDa.

2.5 Sensitivity of bacteriocin to heat, pH, proteolytic enzymes and detergents 228

229 The sensitivity of bacteriocin was tested at different temperatures (40, 60, 80, 100 and 230 120°C) and under pH conditions from pH 4 to 10, at which it displayed remarkable thermal and pH stability (Table 4), however; no activity was observed at pH 12. The activity of 231 232 bacteriocin decreased after incubation at 120°C for 30 min and completely lost thereafter 233 (data not shown). Furthermore, the antimicrobial activity of bacteriocin remained unaltered 234 when treated with catalase, α -amylase and lysozyme, suggesting that the antimicrobial 235 activity is not dependent on hydrogen peroxide, carbohydrate or any lipid molecule present in 236 cell free supernatant (Table 4). However, it was inactivated by proteolitic enzymes such as pepsin, trypsin and protinase K confirming its proteinaceous nature. Similar observations 237 were also reported for plantaricin C1914, plantaricin 1636, plantaricin ST16Pa16 and 238

plantaricin L4/14³⁵. The sensitivity of antimicrobial compound from *Lb. plantarum* DM5 to 239 240 heat and proteolytic enzymes demonstrated its proteinaceous nature and confirmed the antimicrobial compound produced by Lb. plantarum DM5 is bacteriocin. The 241 242 physicochemical properties of bacteriocin identified in the present study are in agreement 243 with the characteristic features of bacteriocin from other Lactobacillus plantarum sp and 244 named as plantaricin DM5. The high thermostability of planatricin DM5 suggests that it can 245 be easily exploited in food industry as bio-perseverant and will not get affected during the 246 food processing and can satisfy the increasing consumer's demands for safe, fresh-tasting, 247 and minimally-processed foods. In our previous study, we have shown the cell morphology 248 of plantaricin DM5 treated E. coli, S. aureus and L. monocytogenes was completely deformed and vesiculated¹⁹. The aforementioned results suggest that the plantaricin DM5 is heat stable 249 and acts by a pore formation mechanism, corroborating with the hypothesis that this is a class 250 251 IIa bacteriocin³⁶. Similar observation was also reported in *Lactobacillus sakei* MBSa1, 252 isolated from salami produced Class II bacteriocin with anti-Listeria activity (6400 AU mL⁻ 1)⁷. The activity of plantaricin DM5 was unaffected after treatment with ethanol, methanol, 253 acetone, ethyl acetate, n-butanol, isopropanol, Tween 20, Tween 80, Triton X-100 and SDS 254 (Table 4) like other reported plantaricin 163⁶, plantaricin ST16Pa¹⁶ and plantaricin 255 ST71KS¹⁷. 256

257 2.6 In vitro cyotoxicity assay of plantaricin DM5

The plantaricin DM5 was subjected to cytotoxicity assay using HEK-293 and HeLa cells in order to elucidate if it is biocompatible and if it can be commercially exploited as food additive and bio-preservative. The HEK-293 and HeLa cells were exposed to various concentrations of purified plantaricin DM5 (0, 20, 50, 100, 250, 500 and 1000 μ g mL⁻¹) dissolved in serum free DMEM medium for 3, 6, 12, 24 and 48 h. It was observed that the viability of both HEK-293 and HeLa cells remained constant at all concentrations of

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bacteriocin revealing its non-toxic nature (Fig. 4). In the presence of 1000 μ g mL⁻¹ of 264 plantaricin DM5, almost 85-90% of HEK-293 and HeLa cells remained viable over an 265 incubation period of 48 h, however plantaricin DM5 did not influence the cell proliferation. It 266 was observed that the viability of treated HEK cells (Fig. 4A) and HeLa cells (Fig. 4B) was 267 alike as compared with the respective untreated cells in serum free medium with no 268 269 significant toxic effect at all concentrations. All these results suggested that the bacteriocin 270 from Lb. plantarum DM5 possessed the ability to eliminate or retard the growth of potent 271 food borne pathogens viz. S. aureus, E. coli and L. monocytogens without any cytotoxic effect 272 on mammalian cells and can be exploited for bio-preservation of various food, feed and 273 beverages.

274 **3.0 Experimental section**

275 3.1 Bacterial strains, mammalian cell lines and growth conditions

276 Lactobacillus plantarum DM5 was isolated from an ethnic fermented beverage Marcha of north eastern Himalayas¹⁹ and was maintained in MRS medium³⁷ at 37°C. The 277 indicator strains (as listed in Table 2) were procured from Agricultural Research Service 278 Culture Collection (Peoria, USA) and Microbial Type Culture Collection (MTCC), Institute 279 280 of Microbial Technology, Chandigarh, India. The human embryonic kidney (HEK-293), 281 human colon adenocarcinoma (HT-29) and the human cervical cancer (HeLa) cell lines were purchased from National Centre for Cell Science (NCCS), Pune, India. The mammalian cell 282 lines were maintained in DMEM containing 4.0 mmol L^{-1} L-glutamine and 110 mg L^{-1} 283 284 sodium pyruvate (Sigma Aldrich, USA) supplemented with 10% (v/v) heat-inactivated FBS (Sigma Aldrich, USA), 50 μ g mL⁻¹ streptomycin and 50 IU mL⁻¹ penicillin (Hi-Media Pvt. 285 286 Ltd., India) at 37°C in 5% carbon dioxide atmosphere.

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289 *3.2 In vitro* probiotic properties of *Lb. plantarum* DM5

290 *3.2.1 Resistance to gastric juice and bile salt*

291 The tolerance of Lb. plantarum DM5 to artificial gastric juice and bile salt was 292 evaluated. The artificial gastric juice was prepared by supplementing MRS medium with pepsin (Sigma Aldrich, USA) solution of 1000 UmL⁻¹ and the pH of the medium was 293 adjusted to 2, 3 or 4 with 2N HCl³⁸. The isolate *Lb. plantarum* DM5 was inoculated in each 5 294 ml artificial gastric juice at a conc. of 10⁷ CFU mL⁻¹ and incubated at 37°C for 5 h under 295 static condition. The total number of viable cells was counted after exposure to acidic 296 297 conditions for 5 h by plate count method. The resistance of Lb. plantarum DM5 to bile salt was determined according to the method of Zheng et al.²⁴. Lactobacillus plantarum DM5 298 (initial conc. $\sim 10^7$ CFU mL⁻¹) was grown separately in sterile 50 mL MRS medium 299 supplemented with 0.3, 0.5 and 1% (w/v) of bile salt (Sigma Aldrich, USA) and incubated at 300 301 37°C for 30 h under static condition. Bacterial growth was analyzed by determination of CFU mL^{-1} at 30 h. 302

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3.2.2Bile salt hydrolase activity

Bile salt hydrolase activity of Lb. plantarum DM5 was quantitatively measured by 304 determining the amount of amino acids liberated from conjugated bile salts²⁷. The strain *Lb*. 305 306 plantarum DM5 was grown in MRS medium at 37°C for 20 h under static condition and the 307 cell pellet was obtained by centrifugation at 10,000g at 4°C for 10 min. The cell pellet was 308 washed twice with deionised water and re-suspended into 10 mL of 0.1M sodium phosphate 309 buffer (pH 7.0). The cell concentration was adjusted to an absorbance (A_{600}) of 1 unit at 600 310 nm and 5 mL of the cell suspension was subjected to sonication (Sonics, Vibra cell) for three, 311 1 min intervals, by keeping cells in an ice bath. The cell debris was removed by centrifugation 312 at 10,000g at 4°C for 10 min and the cell free extract obtained of 100 µL was mixed with 1.8 mL of 0.1 M sodium phosphate buffer (pH 7.0) and 0.1 mL of 6 mmol L^{-1} conjugated bile 313

salts. The conjugated bile salts used in the experiment were 6 mmol L^{-1} TDCA or 6 mmol L^{-1} 314 315 conjugated bile salt mixture. The mixture was incubated at 37°C for 30 min and the enzymatic 316 reaction was terminated by adding 0.5 mL of trichloroacetic acid (15% w/v) to 0.5 mL of 317 sample. The mixture was centrifuged (780g at 4°C for 10 min) and 0.2 mL of supernatant 318 obtained was added to 0.5 mL of distilled water and 0.5 mL of ninhydrin reagent (0.5mL of 319 1% ninhydrin in 0.5 M citrate buffer pH 5.5, 1.2 mL of 30% (v/v) glycerol, 0.2 mL of 0.5M 320 citrate buffer pH 5.5). The preparation was mixed on a vortex and boiled for 15 min. After 321 subsequent cooling, the absorbance (A_{570}) was measured at 570 nm. The glycine (in case of 322 conjugated bile salt mixture) or taurine (in case of TDCA) was used as standards. One unit of 323 BSH activity was defined as the amount of enzyme that liberated 1 µmole of amino acid 324 (glycine or taurine) from substrate per min at 37°C.

325 *3.2.3 In vitro cholesterol assimilation activity*

In vitro cholesterol assimilation of *Lb. plantarum* DM5 was determined by growing cells at 37°C in 50 mL MRS broth supplemented with 0.5% w/v TDCA and 0.1 g of watersoluble cholesterol/L (Sigma Aldrich, USA). After the incubation, bacterial cells were harvested by centrifugation (16,000g at 4°C for 5 min), and 100 μ L of cell free supernatant was used for determining the cholesterol content³. An un-inoculated MRS medium supplemented with TDCA and cholesterol was used as reference.

332 *3.2.4 In vitro* cell adhesion assay

The *in vitro* adhesion ability of isolate *Lb. plantarum* DM5 was assayed using HT-29 cell line. The HT-29 cells were seeded in each well of six-well tissue culture plates at a density of 4×10^4 cell/cm² and incubated at 37°C for 24 h. After 24 h, the DMEM medium was removed and the cells were washed with 1x PBS (pH-7.4). The *Lb. plantarum* DM5 was initially grown in MRS medium at 37°C for 18 h under static condition and the cell pellet was obtained by centrifugation at 10,000g at 4°C for 10 min. The cell pellet was washed twice

with 1x PBS (pH-7.4) and re-suspended in DMEM medium without serum and antibiotics to 339 obtain 1x10⁸ CFU mL⁻¹. Adhesion assay was done by adding 1 mL of bacterial cell 340 341 suspension to each well of the six-well tissue culture plate containing monolayer of HT-29 cells. The tissue culture plate was then incubated at 37°C for 2 h in the presence of 5% 342 $CO_2/95\%$ air atmosphere. After incubation, the monolayer was washed with sterile 1x PBS 343 (pH-7.4) and 2 mL of methanol was added to each well for fixing and incubated at 37°C for 344 345 10 min. After that the methanol was completely removed and the fixed cells were stained with 1 mL of Giemsa solution (1x) at 37°C for 20 min³¹. The wells were washed with absolute 346 347 ethanol to remove the excess stain and air dried. The plate was then monitored under inverted 348 microscope (TS100-F, Nikon International Inc.). To determine the percent adhesion of isolate 349 Lb. plantarum DM5 to HT-29 cells, bacterial cells were detached from monolayer by 350 trypsinization using 500 μ L of Trypsin-EDTA solution. The tissue culture plate was then 351 incubated at 37°C for 15 min and the cell suspension was platted on MRS agar plate by serial 352 dilution for determining the adherent bacterial cells. The MRS agar plate was incubated at 37°C for 24 h and colonies were counted. The results of the adhesion assay were expressed as 353 adhesion percentage²³; 354

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Adhesion (%) = (B_1/B_0) x100;

where, B_1 and B_0 = No of viable cell (CFU mL⁻¹) of *Lb. plantarum* DM5 before and after adhesion.

358 **3.3 Production of antimicrobial compound from** *Lb. plantarum* **DM5 and spectrum of** 359 activity

The agar well diffusion method using indicator strains *E. coli* DH5 α and *S. aureus* MTCC 737 was used for preliminary screening of antimicrobial activity of neutralized cell free supernatant (pH 6.0) of *Lb. plantarum* DM5¹⁹. The efficacy of antimicrobial compound produced by *Lb. plantarum* DM5 was further tested against several indicator strains listed in Table 2. The sensitivity of a strain was scored according to the diameter of the inhibition

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zone around the well by the agar well diffusion method. Antimicrobial activity was expressed
 as arbitrary units (AU) per mL³³.

367 **3.4 Purification of antimicrobial compound from** *Lb. plantarum* DM5

368 The cell free supernatant containing extracellular bacteriocin from Lb. plantarum DM5 was partially purified by ammonium sulphate precipitation. Ammonium sulphate was 369 370 added very slowly to the supernatant with stirring at 4°C to 80% saturation. This mixture was 371 then centrifuged at 10,000g at 4°C for 30 min and the resulting pellet was re-suspended in 25 372 mM ammonium acetate buffer (pH 6.0) and extensively dialyzed using a dialysis membrane 373 (Molecular mass cut off 3.5 kDa) against the same buffer with regular change of buffer for a 374 time period of 24 h. The ensuing sample was further purified by cation exchange 375 chromatography using CM sepharose as matrix. The column (1.5x5.0 cm) containing CM 376 sepharose was pre-equilibrated with 25 mM ammonium acetate buffer (pH 5.4) and 20 mL partially purified bacteriocin obtained after dialysis was loaded to the column. After sample 377 378 loading, the column was washed with 20 mL of 25 mM ammonium acetate buffer (pH 5.4) at a flow rate of 1 mL min⁻¹. The bound protein was eluted with a linear gradient of NaCl (0.0 to 379 0.5 M) in 25 mM ammonium acetate buffer (pH 5.4) with a flow rate of 1.0 mL min⁻¹ in 380 381 FPLC (Akta Prime, GE Healthcare) and 2 mL fractions were collected for estimation of protein content (A₂₈₀) and bacteriocin activity (AU mL⁻¹) by agar well diffusion method using 382 383 S. aureus MTCC 737 as indicator strain. The fractions having bacteriocin activity were 384 pooled and dialyzed against 25 mM ammonium acetate buffer (pH 6.0) at 4°C. The dialyzed 385 sample was further subjected to the next step of purification by gel filtration using a column 386 (1.5x50.0 cm) containing Sephacryl S-200HR matrix. The column was pre-equilibrated with 387 25 mM ammonium acetate buffer (pH 6.0) and 2 mL partially purified bacteriocin by cation 388 exchange chromatography was loaded onto the column. The sample was eluted by 25 mM ammonium acetate buffer (pH 6.0) at a flow rate of 0.5 mL min⁻¹ and 2 mL fractions were 389

collected. The purified fractions showing maximum bacteriocin activity against *S. aureus*MTCC 737 by agar well diffusion method were pooled and analysed for protein
concentration and specific activity.

393 **3.5 Estimation of molecular mass of bacteriocin from** *Lb. plantarum* **DM5**

The homogeneity of the purified plantaricin DM5 by gel filtration and its molecular 394 395 mass was determined by SDS-PAGE using 15% (w/v) 1.5 mm gel run on a vertical slab mini 396 gel unit (Mini-PROTEAN®Tetra cell, BioRad, USA). The purified plantaricin DM5 was subjected to denaturing conditions for molecular mass determination and the samples were 397 prepared in 0.0625 mol L^{-1} Tris-HCl buffer (pH 6.8) containing 2.8% (w/v) SDS, 10% (w/v) 398 399 glycerol, 5%(w/v) β -mercaptoethanol and 0.05% (w/v) bromophenol blue and boiled at 100°C for 4 min³⁹. Electrophoresis was carried out using Tris-glycine buffer (pH 8.3) with a 400 401 current of 2 mA per lane and after the electrophoresis, the gel containing protein bands were 402 stained with Coomassie Brilliant Blue R250 staining solution. In situ bacteriocin activity was determined by agar gel overlay method⁴⁰. After electrophoresis the gel was divided into two 403 404 vertical parts and one half of the gel was stained with Coomassie Brilliant Blue R250 as 405 described earlier and the other part was used for detection of antimicrobial activity. For 406 determining the in situ antimicrobial activity, the gel was fixed in 20% isopropanol and 10% 407 acetic acid and washed in sterile double distilled water for 6 h with frequent water exchange. 408 The gel was placed above the Nutrient base agar (1.8%) and after that it was overlaid with Nutrient soft agar (0.7%) medium inoculated with the indicator strain S. aureus MTCC 737 409 $(\sim 10^{6} \text{ CFU mL}^{-1})$ and incubated at 37°C for 24 h. 410

411 **3.6** Characterization of bacteriocin from *Lb. plantarum* DM5

412 3.6.1 Effect of enzymes, pH, detergents and temperature on bacteriocin activity from Lb. 413 plantarum DM5

The bacteriocin from *Lb. plantarum* DM5 was characterized with respect to thermal and pH stability and susceptibility towards different enzymes, organic solvents and

416 detergents. The effect of temperature on the bacteriocin was determined by heating the cell-417 free supernatants (pH 6.0) at different temperature ranging from 40, 60, 80, 100 and 120°C 418 for 90 min and the residual antimicrobial activity was determined by agar well diffusion 419 method using S. aureus MTCC 737 as indicator strain. For pH stability of plantaricin DM5, 420 the cell-free supernatant of Lb. plantarum DM5 was adjusted to pH 2.0-12.0 with sterile 1 N 421 HCl or 1 N NaOH and incubated for 2 h of at 30°C. After incubation, the pH of samples were 422 readjusted to 6.5 with sterile 1 N HCl or 1 N NaOH and the antimicrobial activity was determined as described earlier. Bacteriocin was also tested for its susceptibility towards 423 enzymes (1 mg mL⁻¹ of pepsin, trypsin, α -amylase, catalase, lysozyme or proteinase K), 424 organic solvents (1%, v/v of ethanol, methanol, acetone, ethyl acetate, n-butanol, 425 isopropanol), surfactants (1%, v/v of Tween 20, Tween 80, Triton X-100) and detergent 1%, 426 w/v of SDS following the method of Todorov and Dicks, $(2005)^{33}$. The 2 ml cell free 427 428 supernatant of Lb. plantarum DM5 was incubated at 37°C for 1 h with above mentioned 429 reagents and after incubation, residual antimicrobial activity was determined by agar well 430 diffusion method using S. aurues MTCC 737 as indicator strain.

431 3.6.2 Assessment of cytotoxicity of bacteriocin from Lb. plantarum DM5

Lyophilized plantaricin DM5 with specific activity of 2196 AU mg⁻¹ was used for 432 cytotoxicity assay by colorimetric assay using MTT⁴¹. The human embryonic kidney cell line 433 434 (HEK-293) and the human cervical cancer cell line (HeLa-293) were seeded separately in 250 mL, 75 cm² vent cap tissue culture flasks and after \sim 90% confluence, the cells were detached 435 436 by 1x trypsin EDTA solution (Sigma Aldrich, USA) and counted by haemocytometer. For 437 cytotoxicity test, the cells were re-suspended in FBS containing DMEM medium and 200 µL of this solution containing 2×10^4 HEK 293 or HeLa cells per well were separately seeded in a 438 439 96 well plate. The plates were incubated at 37°C for 12 h for cell adherence in CO₂ incubator (5%). After the incubation, the complete DMEM medium was completely removed and the 440

adhered cells were exposed to different concentrations of bacteriocin (ranging between 10 µg 441 mL⁻¹ and 1000 µg mL⁻¹) dissolved in FBS free DMEM medium. The MTT assay was done at 442 regular time interval by removing the whole 200 μ L medium and washing the each well 443 444 containing the adherent cells in the bottom of the well with 200 μ L of 1x PBS (pH 7.1) to remove any bacterial contamination. Finally, 100 µL MTT (500 µg mL⁻¹) was added to each 445 well and the plates containing MTT solution was further incubated at 37°C for 4 h. After the 446 447 incubation, the 100 μ L of MTT solution from each well was replaced with equal volume (100 448 μ L) of DMSO. The absorbance at 570 nm, (A₅₇₀) was measured using a multi-mode micro-449 plate reader (Tecan, Infinite 200 Pro) and the viability (%) was calculated by an equation as 450 mention below;

451

Cell viability (%) = $(N_t/N_c) \times 100$

452 where, N_t is absorbance (A₅₇₀) of cells treated with glucan and N_c is the absorbance (A₅₇₀) of 453 untreated cells.

454 **4.0 Conclusions**

455 Lactobacillus plantarum DM5 isolated from fermented beverage Marcha of Sikkim 456 was analyzed for acid and bile tolerance to evaluate its potential probiotic properties. Lb. plantarum DM5 exhibited fairly high level of acid tolerance (pH 2-4) and bile tolerance (0.3-457 458 1%), suggesting that it could survive passage through the low acidic condition of stomach and 459 high bile salt concentration of small intestine and may be used as potent probiotic. Apart from 460 this, the isolate *Lb. plantarum* DM5 also expressed bile salt hydrolase activity and had ability 461 to assimilate cholesterol in vitro. The strain Lb. plantarum DM5 was identified as bacteriocin 462 producer and showed broad antimicrobial activity against Gram-positive and Gram-negative 463 bacteria. The novel bacteriocin, plantaricin DM5, produced by Lb. plantarum 163 was 464 purified by salt precipitation, cation exchange chromatography and gel filtration and showed 465 molecular mass of 15.2 kDa. The plantaricin DM5 was further subjected to cytotoxicity assay 466 using HEK-293 and HeLa cells in order to commercially exploit it as food additives and bio-467 preservative; which revealed nontoxic biocompatible nature. Therefore, it can be used as can 468 be used as alternative to chemical preservatives in food industry without any toxic effect to 469 mammalian cells. With the aim of increasing food safety, the isolate *Lb. plantarum* DM5 has 470 thus been considered as bacteriocin-producing probiotic strain and can be potentially used as 471 "natural" food preservatives and as probiotic ingredient in foods products. Nevertheless, 472 further investigations in an *in vivo* system are needed to validate the information.

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477 **Conflict of Interest:**

478 The authors have no conflict of interests.

479 Abbreviations

HT-29 cell: Human adenocarcinoma cell line, HeLa-293: Human cervical cancer cell
line, Caco-2 cell: Human epithelial colorectal adenocarcinoma cell line, DMEM: Dulbecco's
modified Eagle's medium, FBS: Fetal bovine serum, TDCA: Sodium taurocholate, CFU:
Colony forming units, EDTA: Ethylenediaminetetraacetic acid, PAGE: Polyacrylamide gel
electrophoresis, SDS: Sodium dodecyl sulphate, MTT: 3-(4,5-dimethylthiazol-2-yl)-2,5diphenyltetrazolium bromide, DMSO: Dimethyl sulfoxide, GDCA: Sodium glycholate.

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1	Table 1: Determination	n of probiotic characteristics	s of Lactobacillus plantarum DM5
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Sensitivity of Lb. plantarum DM5 to gastric juice and bile slat					
Gastric juice ^a	Log CFU mL ⁻¹ at 0 h		Log CFU mL ⁻¹ after 5 h		
pH 2	7.32±0.1	18	3.53±0.22		
pH 3	7.32±0.1	18	6.61±0.16		
pH 4	7.32±0.1	18	7.14±0.24		
Bile salt conc. (w/v)	Log CFU mL	⁻¹ at 0 h	Log CFU mL ⁻¹ after 30 h		
0.3%	7.11±0.1	17	8.85±0.26		
0.5%	7.11±0.1	17	8.62±0.19		
1%	7.11±0.17		6.90±0.17		
Bile salt hydrolase (BSH) activity and Cholesterol assimilation of Lb. plantarum DM5					
Dile celt	BSH Activity ^b	Specific Activity	% assimilation of cholesterol		
Dhe san	(U mL ⁻¹)	(U mg ⁻¹)			
Sodium taurocholate	1 1/1+0 15	0.63+0.02	68.3±2.3		
(TDCA)	1.14-0.15	0.03 ± 0.02			
Conjugated bile	2.11±0.36	1.18±0.03	72.7±1.9		

2 All the experiments were carried out in triplicate (n = 3) and the results are expressed as mean $\pm S.D$.

^aGastric juice was prepared by supplementing MRS medium with pepsin solution of 1000 UmL^{-1} and

the pH of the medium was adjusted to 2, 3 or 4 with 2N HCl.

5 ^bBSH activity was measured according to Liong and Shah, 2005 and the conjugated bile salts used in

6 the experiment was 6 mM sodium taurocholate or 6 mM conjugated bile salt mixture.

22 Table 2: Antimicrobial spectrum of bacteriocin produced by *Lactobacillus plantarum* DM5

Test microorganism	Growth condition	^a Activity
Alcaligenes feacalis MTCC 2952	Nutrient broth/30°C	+
Bacillus cereus MTCC 8776	Nutrient broth/37°C	+
Bacillus subtillis NRRL B-14596	Nutrient broth/37°C	-
Enterobacter aerogenes MTCC 7016	Nutrient broth/30°C	-
Escherichia coli DH5α	Nutrient broth/37°C	+++
Listeria monocytogenes MTCC 1143	Nutrient broth/37°C	++
Klebsiella oxytoca MTCC 3030	Nutrient broth/37°C	-
Pseudomonas aeruginosa MTCC 7523	Nutrient broth/37°C	+
Staphylococcous aureus MTCC 737	Nutrient broth/30°C	+++
Staphylococcus epidermis MTCC 6810	Nutrient broth/30°C	++
Salmonella enterica MTCC 1165	Nutrient broth/37°C	+
Lactobacillus plantarum NRRL B-4496	MRS/ 30°C	++
Lactobacillus acidophilus NRRL B-4495	MRS/30°C	-
Pediococcus pentosaceus SPA	MRS/30°C	++

²⁴ ^aActivity: (+++): zone>15 mm^b, (++): 10 mm<zone< 15 mm^b, (+): 5mm<zone<10mm^b, (-): no zone of inhibition.
 ^bValues in millimeter are the distance of zone of inhibition of growth of microorganism. All the

individual experiments were carried out in triplicates and the results represent mean values of three
 data.

Table 3: Purification of bacteriocin from *Lb. plantarum* DM5

	Activity (AU/ml)	Total Units (AU)	Protein Conc. (mg/ml)	Total protein (mg)	Specific Activity (AU/mg)	Overall Activity Yield (%)	Fold Purification
Crude (CFS)	6400	640000	15.6	1560	410	100	_
Ammonium sulphate precipitation (80%)	12800	256000	5.83	116.6	2196	40	5.4
Ion exchange chromatography by CM sepharose	3200	32000	1.02	10.2	3137	5	7.7
Gel filtration by Sephacryl S-200HR	1600	6400	0.15	0.60	10667	1	26

81 82	Tabla 4.	Effects of enzyme, temper	ature nH surfactant and detergents on th	e antimicrobial
83 84	Table 4:	activity of plantaricin DM5	Antimicrobial activity ^a	
		Control ^b	+++	-

Treatment	Antimicrobial activity ^a		
Control ^b	+++		
• Enzyme			
Catalase	+++		
α-amylase	+++		
Lysozyme	+++		
Pepsin	-		
Trypsin	-		
Protinase-K	-		
Temperature			
40	+++		
60	+++		
80	+++		
100	++		
120 ^c	+		
• pH			
2	++		
4	++		
6	+++		
8	++		
10	++		
12	+		
Solvents			
Ethanol	+++		
Methanol	+++		
1-Butanol	+++		
Iso-propanol	+++		
Ethyl acetate	+++		
Acetone	+++		
• Surfactants/detergents			
SDS	+++		
1 Ween 80	+++		
Triton X 100	+++		
Triton X-100	+++		

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^acompared with the highest antimicrobial activity (6400 AU/mL) against indicator strain S. aureus by agar well diffusion method.

^bThe cell free supernatant (pH 6.0) of Lb. plantarum DM5 without any treatment.

^cincubated at 120°C for 30 min instead of 90 min.

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Figure Legends:

- Fig. 1 Adhesion of *Lb. plantarum* DM5 on HT-29 cell line observed under inverted microscope (40x) after Giemsa staining. A) Control HT-29 cell line (B) and (C) treated HT-29 cells with *Lb. plantarum* DM5 for 2 h at 37°C. The arrows indicate the attachment of bacterial cell to the mammalian HT-29 cells.
- Fig. 2 Elution profile of (A) 80% ammonium sulphate precipitated plantaricin DM5 run on cation exchange chromatography using CM sepharose. The bound protein was eluted with linear salt gradient in range of 0.0-0.5M NaCl with a flow rate of 1 mL min⁻¹ and 2 mL fractions were collected. (B) Plantaricin DM5 purified by cation exchange chromatography run on gel filtration column using Sephacryl S-200HR as matrix. The flow rate was 0.5 mL min⁻¹ and 2 mL fractions were collected. In both the cases fractions were assayed for bacteriocin activity against *S. aureus* MTCC 737 (--●--) and protein concentration (--□--).
- Fig. 3 SDS-PAGE analysis of purified plantaricin DM5 (A) Gel was stained with Coomassie Brilliant Blue R250. Lane M: Molecular mass marker (3.5-43 kDa), Lane 1: 80% ammonium sulphate purified fraction, Lane 2: plantaricin DM5 purified by cation exchange chromatography, Lane 3: plantaricin DM5 purified by gel filtration showing single band. (B) Clear zone of inhibition of indicator strain *S. aureus* MTCC 737 corresponding to the position of the protein band of 15.2 kDa. The gel was overlaid with indicator strain *S. aureus* MTCC 737 (~10⁶) embedded in NB soft agar (0.7%) and incubated at 37°C for 24 h. (C) Molecular mass determination of purified plantaricin Dm5 by R_f vs Log Mw plot.
- Fig. 4 The *in vitro* cytotoxicity assay of plantaricin DM5 showing the cell viability (%) of
 (A) HEK-293 and (B) HeLa cells treated with various concentrations of plantaricin
 DM5 (10-1000 μg mL⁻¹) over a period of 3- 48 h of incubation.

Fig. 1





Fig. 2





Fig. 3





