

Food & Function

Accepted Manuscript



This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this *Accepted Manuscript* with the edited and formatted *Advance Article* as soon as it is available.

You can find more information about *Accepted Manuscripts* in the [Information for Authors](#).

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard [Terms & Conditions](#) and the [Ethical guidelines](#) still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.

1 **Enhanced action of apigenin and naringenin combination on estrogen**
2 **receptor activation in non-malignant colonocytes: Implications on sorghum-**
3 **derived phytoestrogens**

4 **Running title:** Enhanced actions of sorghum-derived phytoestrogens

5
6 **Liyi Yang^a, Kimberly F. Allred^b, Linda Dykes^a, Clinton D. Allred^b, Joseph M. Awika^{a,b*}**

7
8 ^aCereal Quality Laboratory, Soil & Crop Science Department, Texas A&M University, College
9 Station, Texas, USA

10 ^bNutrition and Food Science Department, Texas A&M University, College Station, Texas, USA
11 Cereal Quality Laboratory, Soil & Crop Science Department, Texas A&M University, College
12 Station, Texas, USA

13
14 ***Correspondence to:** Joseph Awika, 2474 TAMU, Texas A&M University, College Station,
15 Texas 77843-2474. Phone 979-845-2985; Fax 979-845-0456; Email: jawika@ag.tamu.edu

16
17
18
19
20
21
22
23

24 **Abstract**

25 Activation of estrogen receptor- β (ER β) is an important mechanism for colon cancer prevention.
26 Specific sorghum varieties that contain flavones were shown to activate ER in non-malignant
27 colonocytes at low concentrations. This study aimed to determine positive interactions among
28 estrogenic flavonoids most relevant in sorghum. Apigenin and naringenin were tested separately
29 and in combination for their ability to influence ER-mediated cell growth in non-malignant
30 young adult mouse colonocytes (YAMC). Sorghum extracts high in specific flavanones and
31 flavones were also tested. Apigenin reduced ER-mediated YAMC cell growth comparable to
32 physiological levels of estradiol (E₂, 1 nM) at 1 μ M; naringenin had similar effect at 10 μ M.
33 However, when combined, 0.1 μ M apigenin plus 0.05 μ M naringenin produced similar effect as
34 1 nM E₂; these concentrations represented 1/10th and 1/200th, respectively, of the active
35 concentrations of apigenin and naringenin, demonstrating a strong enhanced action. A sorghum
36 extract higher in flavones (apigenin and luteolin) (4.8 mg/g) was more effective (5 μ g/mL) at
37 activating ER in YAMC than a higher flavanone (naringenin and eriodictyol) (28.1 mg/g)
38 sorghum extract (10 μ g/mL). Enhanced actions observed for apigenin and naringenin were
39 adequate to explain the level of effects produced by the high flavone and flavanone sorghum
40 extracts. Strong positive interactions among sorghum flavonoids may enhance their ability to
41 contribute to colon cancer prevention beyond what can be modeled using target compounds in
42 isolation.

43

44

45 **Keywords:** Estrogenic activity, apigenin, naringenin, sorghum, colon cancer prevention

46

47 Introduction

48 Consumption of whole grains is linked to reduced risk of colon cancer. Given the
49 prevalence of cereal grains as staples in the human diet, understanding the mechanisms by which
50 they contribute to cancer prevention is important in order to maximize their impact on human
51 health. Among the components that contribute the chemopreventive benefits of whole grain
52 consumption are polyphenols. Polyphenols are capable of contributing to cancer prevention via
53 various mechanisms depending on their structure, estrogenic activity being among the well-
54 recognized mechanisms^{1,2}. Phytoestrogens reduce the risk of colon cancer by mimicking
55 estrogen activity; the protective effects of estrogen against colon cancer are largely mediated via
56 estrogen receptor- β (ER β)³⁻⁵, the predominant ER in the colon. Among the important
57 mechanisms by which ER β activation contributes to colon cancer prevention are induction of
58 apoptosis⁶ and tumor suppressor genes⁷ in colonocytes *in vitro* and *in vivo*. Given the central
59 role ER β activation plays in colon cancer prevention, a clear understanding of the relative
60 contribution of whole grain phytoestrogens in colon cancer prevention is warranted.

61 Sorghum consumption has been linked to a significant reduction in risk of various
62 gastrointestinal cancers when compared to other cereal grains⁸⁻¹⁰. Limited laboratory evidence
63 using animal models and/or cell culture suggest the chemoprotective properties of sorghum is
64 attributable to its unique polyphenol composition¹¹⁻¹³. For example, sorghum is unusual among
65 cereal grains in that it contains high levels of specific flavonoids with known estrogenic activity,
66 such as flavones and flavanones^{14,15}. Of particular interest among these compounds are apigenin
67 and naringenin, the two most abundant flavone and flavanone, respectively, in sorghum with a
68 lone para-hydroxyl group in the B-ring (Fig 1). The structural conformation of these two
69 molecules makes them significantly more estrogenic than other respective flavones and

70 flavanones with catechol moieties on the B-ring¹⁶⁻¹⁹, e.g., luteolin and eriodictyol, also abundant
71 in sorghum^{14, 15}. In addition, apigenin has been demonstrated to be a more potent ER β activator
72 than naringenin¹⁹. Sorghums are genetically diverse with distinct flavonoid compositional
73 differences. Therefore an understanding of how the composition of estrogenic flavonoids in
74 sorghum influence colon cancer prevention is important.

75 We recently demonstrated that sorghum varieties that contain flavones were capable of
76 activating ER α and ER β in MCF-7 breast cancer cells and non-malignant young adult mouse
77 colonocytes (YAMC), respectively, at relatively low concentrations²⁰. In the same study, a
78 sorghum that did not contain any flavones did not show estrogenic activity. Polyphenol content
79 and composition of other components did not correlate with ER activation. Of particular interest
80 was the fact that both sorghum samples that activated ER had high levels of apigenin (>1,000
81 $\mu\text{g/g}$ extract). These findings are interesting because they demonstrate that ER activation is a
82 potential mechanism by which sorghum contributes to cancer prevention, and that composition
83 of sorghum has a major impact on its ability to influence ER activation. However, an anomaly
84 observed in this study was the fact that the concentrations at which the sorghum extracts
85 activated ER were much lower than could be explained by their content of estrogenic flavonoids.
86 Additionally, a sorghum extract that was high in flavanones did not activate ER. We hypothesize
87 that synergistic interactions of flavones in sorghum with other less potent ER agonists accounts
88 for the high ER activation potential of sorghum extracts in non-malignant colonocytes. In this
89 study, we use apigenin and naringenin, as well as extracts from sorghums with different flavone
90 and flavanone profiles to demonstrate the interactive effect of estrogenic flavonoids on possible
91 ER activation using YAMC cells as a model.

92

93 **Materials and Methods**

94 **Materials**

95 Commercially available apigenin (> 97% pure) was obtained from Indofine Chemical Company,
96 Inc., Hillsborough, NJ; naringenin (> 99% pure) was purchased from MP Biomedicals, Solon,
97 OH). Two sorghum varieties were selected for this study: A red pericarp variety with tan
98 secondary plant color (99LGWO50), previously shown to be high in flavones, mainly apigenin
99 and luteolin; and a lemon-yellow pericarp variety with purple secondary plant color (SC748),
100 which is high in flavanones, mainly glycosides of eriodictyol and naringenin. The sorghum
101 grains were kindly provided by Dr. W. L. Rooney, Department of Soil & Crop Sciences of Texas
102 A&M University. The sorghum grains were harvested in 2008 at College Station, TX and were
103 stored at -20 °C until use.

104 Young Adult Mouse Colonocytes (YAMC) cells were supplied by Dr. Robert Chapkin
105 (Department of Nutrition and Food Science, Texas A&M University). These cells are a well-
106 characterized non-malignant cell line derived from the Immortomouse, and are morphologically
107 primitive epithelial cells with no evidence of differentiation. We have used the YAMC cells as a
108 model to study the role of estrogen and estrogenic compounds in mediating cellular changes
109 related to colon cancer prevention and the results correlated with *in vivo* studies well^{6, 7, 21}. The
110 YAMC cells predominantly express ER β . Estradiol, administered at non-permissive conditions,
111 inhibits the growth of YAMC cells^{6, 7}.

112 **Sorghum extraction**

113 Whole kernels of sorghum grain were ground by a cyclone mill (UDY, Boulder, CO) to pass
114 through 0.1 mm screen before extraction. Ground samples were defatted using hexane at a ratio
115 of 1:2 (w:v) by stirring for 2 h. The matrix was then centrifuged at 3100 \times g and the residue was

116 dried inside a fume hood overnight at room temperature. Defatted samples were extracted with
117 70% (v/v) aqueous acetone with stirring for 30 min. Supernatant was collected by centrifuging
118 (3100 × g) for 15 min at 4 °C. Acetone was immediately removed from the supernatant under
119 vacuum at 40 °C. The aqueous phase was freeze-dried and used as a crude extract. Extracts were
120 kept at -20°C till use.

121 Acid hydrolysis of sorghum extract. Preliminary analysis confirmed that the lemon-yellow
122 sorghum extract contained mostly flavonoid glycosides which are known to be easily hydrolyzed
123 by digestive and microbial enzymes in the GI tract to their more biologically active aglycones²²,
124 ²³. The lemon-yellow sorghum extract was hydrolyzed in acidified aqueous methanol (HCl :
125 water : methanol = 0.1 : 49.9 : 50, v/v/v), at 60 °C for 27 h in a water bath to obtain flavanone
126 aglycones. Based on quantitative HPLC data, 90% of the flavanone glycosides were hydrolyzed
127 to their corresponding aglycones. Methanol was rotary evaporated and the aqueous fraction was
128 freeze-dried.

129 **LC-MS analysis of sorghum extracts**

130 The phenolic profile of the sorghum extracts were characterized using protocols recently detailed
131 ^{24, 25}. A Waters-ACQUITY UPLC/MS system (Waters Corp., Milford, MA) was used to
132 structurally identify polyphenols as previously described²⁶ with a modified gradient as follows:
133 solvent A (0.05% formic acid in water), solvent B (acetonitrile), and the percentage of solvent B
134 was 12-41% from 0-23.5 min, 41-75% from 23.5-25.5 min, 75% isocratic from 25.5-28.5 min,
135 then 75-12% from 28.5-29.5 min, and 12% isocratic for 5 minutes. The monitoring wavelength
136 for 3-deoxyanthocyanidins and derivatives was 485 nm; for phenolic acids and flavones was 340
137 nm; for flavanones and other polyphenols was 280 nm. Mass spectrometric (MS) data were
138 acquired in positive mode for 3-deoxyanthocyanidins as well as its derivatives, and in negative

139 mode for all the rest of compounds. The MS scan was recorded in the range of 100–1200 Da.
140 Mass parameters were optimized as follows: capillary voltage, 3.5/3.0 kV; and cone voltage,
141 60/30 V for positive/negative ionization, respectively. The MS/MS scan was optimized as
142 follows: cone voltage of 60/(30–50) V and collision energy of (35-45)/(15–40) V. Compound
143 identification was based on matching UPLC retention profile, UV-*vis* spectra and MS data with
144 authentic standards. Where standards were not available, compounds were identified based on
145 the fragment patterns compared with reports in the literature.

146 An Agilent 1200 series HPLC system (Agilent Technologies, Santa Clara, CA) was used for
147 quantitative analysis of polyphenols as previously described²⁰ with minor modifications. Solvent
148 A was 1% formic acid in water and solvent B was 1% formic acid in acetonitrile. The gradient
149 based on solvent B was as follows: 0-3 min, 10%; 5 min, 18%; 10 min, 20%; 23 min, 26%; 25
150 min, 28%; 28 min, 40%; 30 min, 60%; 30-32 min, 60%; 34-40 min 10%.

151 **Effect of apigenin, naringenin, and sorghum extracts on YAMC cell growth**

152 YAMC cells were maintained and cultured as previously described²⁰. The cells were maintained
153 at permissive conditions (33 °C with 10 units of interferon gamma) and cultured at non-
154 permissive conditions (39 °C without interferon gamma) for all treatments as previously
155 described²⁰. Cells were cultured in charcoal-dextrose treated FBS (CDFBS) supplemented media
156 48 hr before plating and thereafter in order to deplete the estrogen present in culture media.
157 Cells were then plated at 25,000 cells per well, after attachment to the bottom of plate (24 hr
158 later), cells were treated with control (0.1 % DMSO), positive control (1 nM estradiol), and
159 treatments (sorghum extract, 1, 5, 10, and 50 µg/mL; apigenin and naringenin 0.01-10 µM) for
160 96 hr under non-permissive conditions (39 °C, without IFN γ). At the end of 96 hr incubation,
161 cells were harvested with trypsin and cell numbers of each treatment were counted with a

162 Beckman Coulter particle counter ($> 20 \mu\text{m}$, Beckman Coulter, Brea, CA). Relative growth of
163 each treatment was calculated by comparing the cell numbers of each treatment with that of the
164 control. Each experiment was repeated 3 times.

165 **ER antagonist assay**

166 In order to confirm the effect of sorghum extracts on YAMC cell growth was mediated through
167 ER, ER antagonist ICI (ICI 182, 780; Fulvestrant, Sigma-Aldrich, St. Louis, MO) was used. All
168 the handling and plating procedures were the same as in determining YAMC cell growth. The
169 only difference was that in this set of experiments, each treatment and control was additionally
170 co-treated with $1 \mu\text{M}$ ICI, each to 3 wells, respectively. Cell number and relative cell growth
171 were determined as previously described by a Beckman Coulter particle counter. Each
172 experiment was repeated 3 times.

173 **Statistical analysis**

174 The data were analyzed with one way analysis of variance (ANOVA). Means were compared by
175 Dunnett's t-test as a multiple comparison technique to determine the difference between
176 treatments and their corresponding control. Paired comparisons between treatments was analyzed
177 by two-tailed t-test. All statistical analysis was performed using SAS 9.2 (Cary, NC).

178

179 **Results and Discussion**

180

181 **Interactive effects of apigenin and naringenin on ER activation**

182 Interactive effects of flavonoids on ER activation have been shown to be mostly additive
183 or mildly antagonistic²⁷. Apigenin and naringenin, being the most abundant and estrogenic
184 flavonoids in sorghum, were used to determine the potential nature of the interactive effect of

185 sorghum-derived phytoestrogens using the YAMC cells as the model. This helped explain why
186 sorghum extracts were able to show estrogenic activity in the YAMC at such low concentrations
187 ²⁰. Apigenin inhibited YAMC cell growth similar to 1 nM E₂ at 1 μM; naringenin produced a
188 similar effect at 10 μM (Fig 2A). Administration of the ER antagonist ICI reversed the cell
189 growth inhibitory effect of apigenin (1 μM) and naringenin (10 μM) to the level of control (Fig
190 2B), which suggested the growth inhibition effect was likely mediated through ER. The
191 difference in relative estrogenic potency of apigenin and naringenin on the ER in YAMC cells
192 was consistent with other reporter assays targeting ERβ signaling ^{28, 29}. The YAMC cell model,
193 under the non-permissive culturing conditions, has clear physiological relevance to the cellular
194 characteristics of colonic epithelia *in vivo* ³⁰ and we have effectively used the model in the past
195 to predict how colonic epithelia will respond to compounds that elicit protective effects in the
196 colon ^{6, 7}.

197 The molar concentration at which apigenin activated ER in the YAMC cells was about 15
198 – 30 times higher than the total content of the putative estrogenic flavones and flavanones in the
199 sorghum extracts that activated ER in the previous study ²⁰. A likely explanation is that in a
200 natural mixture, small quantities of phytoestrogenic compounds may favorably interact to induce
201 physiologically relevant estrogenic response. Because it is practically impossible to truly isolate
202 and determine the absolute contribution of an individual compound in a complex mixture
203 characteristic of most natural food matrices, we proceeded to use apigenin and naringenin to gain
204 insight on the possible interactive effects of sorghum phytoestrogens.

205 The combination of sub-optimal concentrations of apigenin (0.1 μM) and naringenin
206 (0.05, 0.1, and 1 μM) significantly inhibited the growth of YAMC cells, suggesting ER
207 activation (Fig 3). For example, apigenin at 0.1 μM co-treated with naringenin at 0.05 μM

208 showed activity similar to 1 nM E₂ (Figure 3A); these concentrations corresponded to 1/10 and
209 1/200, respectively, of their optimal concentrations (producing effect similar to 1 nM E₂) for ER
210 activation in the YAMC cells (Fig 2). Such strong enhanced action was somewhat unexpected
211 and has not been previously reported. Wong et al,³¹ observed mostly additive effects when they
212 tested various mixtures of flavones (apigenin and luteolin) and flavonols (kaempferol and
213 quercetin) in ER α and ER β models. The fact that increasing concentrations of naringenin, 20-
214 fold, (from 0.05 μ M to 1 μ M) while holding apigenin level constant at 0.1 μ M did not change the
215 YAMC response may suggest different activation mechanisms for the two molecules. Apigenin,
216 a flavone, like the more widely studied phytoestrogen, genistein (an isoflavone), is achiral due to
217 the presence of a double bond between C2 and C3 of the heterocyclic ring (Fig 1), and thus has a
218 3-dimensional conformation very similar to genistein. The two molecules may thus bind to ER in
219 a similar manner. In fact apigenin and genistein appear to have similar estrogenic activity in
220 YAMC cells under similar experimental conditions²¹. Naringenin (a flavanone), on the other
221 hand, has a chiral center at C2 which makes its 3-D conformation markedly different from
222 apigenin (or genistein), and perhaps accounts for its lower estrogenic activity, despite apparent
223 structural similarity to apigenin.

224 The above observation is interesting because it indicates that the potential bioactivity of a
225 given compound in a complex natural mixture typical of most foods may be much higher than
226 what can be predicted or modeled using isolated/pure compounds. Thus using purified
227 compounds to infer magnitude of bioactivity of polyphenols in foods can be misleading.

228 Interestingly, we observed that sub-optimal concentrations of naringenin (0.1 and 1 μ M)
229 did not affect the YAMC cell response to optimal concentration of apigenin; the extent of growth
230 inhibition was the same as apigenin alone, when we combined optimal levels of apigenin (1 μ M)

231 with naringenin (0.1-1.0 μM) (Fig 3). However, at higher concentrations of 5 and 10 μM ,
232 naringenin surprisingly eliminated the YAMC response to 1 μM apigenin (Fig 3), where the
233 growth inhibition was reversed. This apparent antagonistic effect was unexpected. Naringenin
234 has been reported as both a weak ER agonist or antagonist depending on concentration ¹⁷.
235 Given that both apigenin and naringenin, like most estrogenic flavonoids, are only partial ER
236 agonists, the data suggests that co-interference at high concentrations may be at play. The
237 possible loss of estrogenic activity after combining optimal levels of both apigenin and
238 naringenin potentially suggests that more is not always better when it comes to use of
239 phytochemicals to prevent disease, and the moderating effect of foods may perhaps be more
240 beneficial than, for example, concentrated supplements.

241

242 **Estrogenic activity of the red and lemon-yellow sorghum extracts in young adult mouse** 243 **colonocytes (YAMC)**

244 These sorghum varieties were selected based on their distinctly different profiles of
245 putative estrogenic flavonoids, flavones and flavanones, and low levels of other flavonoids with
246 unknown estrogenic properties, e.g., 3-deoxyanthocyanins ^{14,15}. The composition of the major
247 flavonoids in the two sorghum varieties was confirmed using LC-MS and is summarized in
248 Table 1. The lemon-yellow sorghum had much higher levels of flavanones (eriodictyol and
249 naringenin), primarily as glycosides, than the red sorghum. The red sorghum on the other hand
250 had higher levels of flavones, in particular apigenin (Table 1). The lemon-yellow sorghum
251 extract was further hydrolyzed to release flavanone aglycones and better reflect the fate of these
252 compounds in the GI tract ^{22,23}; high amounts of flavanones (28.1 mg/g total), with almost equal

253 amounts of naringenin and eriodictyol, were obtained (Table 1) and relatively low levels of
254 flavones (1.12 mg/g total, mainly as luteolin and its glycosides) were detected.

255 Among the two sorghum varieties tested, the red sorghum extract showed a higher
256 inhibitory effect on YAMC cell growth than the unhydrolyzed lemon-yellow one (Fig 4A). The
257 red sorghum extract (5 $\mu\text{g/mL}$) inhibited YAMC growth (20.5% reduction) similar to 1 nM E_2
258 positive control, (19.1% growth reduction). The lemon-yellow sorghum extract, on the other
259 hand, showed similar ($p > 0.05$) YAMC growth inhibition effects (23.6% inhibition) at 50
260 $\mu\text{g/mL}$; it showed a smaller but significant growth inhibition (9.6%) at 10 $\mu\text{g/mL}$ (Fig 4A). Use
261 of the ER antagonist, ICI, reversed the growth inhibition by the sorghum extracts, indicating the
262 effect is likely mediated via ER (Fig 4B). The major compositional differences between these
263 two sorghum extracts are flavone and flavanone types and content (Table 1). The red sorghum
264 had apigenin as the major flavone at 4.1 mg/g extract, and naringenin glycosides (2.6 mg/g) as
265 the major flavanones. On a molar basis, these two compounds were present in the 5 $\mu\text{g/mL}$
266 treatment, which was equivalent in effect to the E_2 positive control, at 76.5 nM and 29.4 nM,
267 respectively. These values were within order of magnitude of the 100 nM and 50 nM mixture of
268 apigenin and naringenin, respectively, which showed significant ER activation in YAMC cells
269 (Fig 3). Thus considering the enhanced effect demonstrated by the combination of the pure
270 compounds, it is reasonable to assume that the levels of flavones and flavanones in the red
271 sorghum were adequate to account for its estrogenic effect in YAMC cells. Even though the red
272 sorghum also contained small amounts of 3-deoxyanthocyanins that were not present in the
273 lemon-yellow sorghum, these compounds likely had no contribution to ER activation. This is
274 because apigeninidin and its *O*-methyl derivatives, the major 3-deoxyanthocyanins in the red

275 sorghum, had no effect on YAMC cell growth when tested individually at up to 50 μ M (data not
276 shown).

277 In the unhydrolyzed lemon-yellow sorghum extract, relatively high levels of flavanones
278 were present, primarily as glycosides of naringenin (42.7 mg/g) and eriodictyol (43.6 mg/g).
279 Among flavones, luteolin glucoside was the dominant compound (3.9 mg/g), while apigenin and
280 luteolin aglycones were only present in very small amounts (Table 1). Glycosides of estrogenic
281 flavonoids have markedly reduced activity than their aglycones^{32,33}, thus the limited content of
282 estrogenic aglycones may have accounted for the lower apparent ER activating properties of the
283 unhydrolyzed lemon-yellow sorghum extract in YAMC cells compared to the red sorghum
284 extract. The 50 μ g/mL lemon-yellow sorghum extract treatment (that produced an effect
285 equivalent to E₂) contained 5 μ M each, of naringenin and eriodictyol glycosides, and 689 nM of
286 luteolin glucoside.

287 Testing the estrogenic flavonoid glycosides *in vitro* may underestimate their potential
288 effects *in vivo* given that flavonoid glycosides are easily hydrolyzed in the digestive tract by
289 native or microbial enzymes into the more active aglycones. This enzyme hydrolysis has been
290 shown to result in near identical estrogenic activity of estrogenic aglycones and their glycosides
291 *in vivo*²². For this reason, we were interested in demonstrating how the high levels of flavanones
292 in the lemon-yellow sorghum may impact ER activation when deglycosylated. As expected, the
293 hydrolytic release of flavanone aglycones resulted in a significant increase in estrogenic activity
294 of the lemon-yellow sorghum extract in YAMC cells (Fig 4), with a significant ($p < 0.05$) 12%
295 growth inhibition at 5 μ g/mL and 25.2% inhibition at 10 μ g/mL. Thus, though not as effective as
296 the higher flavone red sorghum extract at estrogen-mediated YAMC growth inhibition at low
297 concentrations, the high flavanone hydrolyzed lemon-yellow extract was more effective than the

308 non-hydrolyzed version. The 10 $\mu\text{g}/\text{mL}$ lemon-yellow extract contained approx. 450 nM
309 naringenin and 382 nM eriodictyol, within the range that would likely show estrogenic activity in
310 YAMC cells in a synergistic environment (Fig 3). The overall data suggests higher estrogenic
311 potency of high flavone sorghum compared to high flavanone sorghum.

312 Evidence indicates that significant absorption and metabolism of dietary flavonoids by
313 phase II enzymes occurs in intestinal epithelial cells, and that the ABC (ATP-binding cassette)
314 transporter proteins play an important role in effective bioavailability (and by extension
315 bioactivity) of the flavonoids and their metabolites^{34,35}. The ABC transporters are generally
316 reported to reduce bioavailability of flavonoids by primarily increasing the efflux of their
317 conjugated (mainly glucuronides/sulfates) metabolites into the apical side of epithelial cells.
318 Depending on their structure, the flavonoids themselves have been reported to either inhibit³⁶, or
319 induce³⁷ the ABC transporters in the intestinal epithelial cells, with most estrogenic flavonoids
320 (including apigenin and naringenin used in this study) generally acting as inhibitors^{38,39}. This
321 suggests that the estrogenic effects we observed for the flavonoids in the YAMC model may be
322 partly dependent on their interaction with the ABC transporters. In fact, apigenin is a stronger
323 inhibitor of ABC transporters than naringenin⁴⁰, which may partly account for its higher
324 estrogenic potency. Furthermore, Brand et al³⁹, recently demonstrated that co-administration of
325 hesperetin with other flavonoids that inhibit the ABC transporter, BCRP (breast cancer resistance
326 protein), significantly diminished hesperetin metabolism and apical efflux, and enhance its
327 excretion on basolateral side of Caco-2 cells, signifying increased bioavailability³⁹. The
328 enhanced estrogenic activity of apigenin-naringenin combination, and sorghum flavonoids at low
329 concentrations in YAMC is thus possibly contributed by complex interactions involving different
330 mechanisms that may involves the ABC transporters.

321 Conclusion

322 We have demonstrated that combination of apigenin and naringenin significantly
323 enhances their action on estrogen receptor activation in YAMC cells. This enhanced action is
324 adequate to explain the relatively low concentrations of sorghum extracts containing flavones
325 and flavanones needed to significantly influence ER-mediated cell response in the non-malignant
326 colonocytes. Thus our findings suggest that ER activation in colonocytes is an important
327 mechanism by which sorghum may contribute to colon cancer prevention. The evidence also
328 indicates that the composition of flavonoids in sorghum, rather than the content of the
329 polyphenolics, is the critical factor in determining potential ER activity. More importantly, it is
330 apparent that modeling bioactivity with pure/isolated compounds may lead to misleading
331 conclusions regarding potential health benefits by not accounting for potentially strong
332 synergistic effects among different compounds typical of natural food components.

333

334

335

336

337

338

339

340

341

342

343

344 **References:**

- 345 1. L. Yan, E. L. Spitznagel and M. C. Bosland, *Cancer Epidemiology Biomarkers and Prevention*,
346 2010, **19**, 148-158.
- 347 2. M. Cotterchio, B. A. Boucher, M. Manno, S. Gallinger, A. Okey and P. Harper, *Journal of*
348 *Nutrition*, 2006, **136**, 3046-3053.
- 349 3. G. Heiss, R. Wallace, G. L. Anderson, A. Aragaki, S. A. Beresford, R. Brzyski, R. T. Chlebowski, M.
350 Gass, A. LaCroix, J. E. Manson, R. L. Prentice, J. Rossouw and M. L. Stefanick, *JAMA*, 2008, **299**,
351 1036-1045.
- 352 4. R. T. Chlebowski, S. L. Hendrix, R. D. Langer, M. L. Stefanick, M. Gass, D. Lane, R. J. Rodabough,
353 M. A. Gilligan, M. G. Cyr, C. A. Thomson, J. Khandekar, H. Petrovitch and A. McTiernan, *JAMA*,
354 2003, **289**, 3243-3253.
- 355 5. J. E. Rossouw, G. L. Anderson, R. L. Prentice, A. Z. LaCroix, C. Kooperberg, M. L. Stefanick, R. D.
356 Jackson, S. A. Beresford, B. V. Howard, K. C. Johnson, J. M. Kotchen and J. Ockene, *JAMA*, 2002,
357 **288**, 321-333.
- 358 6. C. C. Weige, K. F. Allred and C. D. Allred, *Cancer Res.*, 2009, **69**, 9118-9124.
- 359 7. C. C. Weige, K. F. Allred, C. M. Armstrong and C. D. Allred, *J. Steroid Biochem. Mol. Biol.*, 2012,
360 **128**, 113-120.
- 361 8. F. Chen, P. Cole, Z. B. Mi and L. Y. Xing, *Intl J. Cancer*, 1993, **53**, 902-906.
- 362 9. S. J. Van Rensburg, *Journal of the National Cancer Institute*, 1981, **67**, 243 - 251.
- 363 10. C. Isaacson, *Medical Hypotheses*, 2005, **64**, 658-660.
- 364 11. J. H. Park, P. Darvin, E. J. Lim, Y. H. Joung, D. Y. Hong, E. U. Park, S. H. Park, S. K. Choi, E.-S. Moon
365 and B. W. Cho, *PLoS one*, 2012, **7**, e40531.
- 366 12. L. Y. Yang, J. D. Browning and J. M. Awika, *Journal of Agricultural and Food Chemistry* 2009, **57**,
367 1797-1804.
- 368 13. C. H. Shih, S. O. Siu, R. Ng, E. Wong, L. C. M. Chiu, I. K. Chu and C. Lo, *Journal of Agricultural and*
369 *Food Chemistry*, 2007, **55**, 254-259.
- 370 14. L. Dykes, G. C. Peterson, W. L. Rooney and L. W. Rooney, *Food Chemistry*, 2011, **128**, 173-179.
- 371 15. L. Dykes, L. M. Seitz, W. L. Rooney and L. W. Rooney, *Food Chemistry*, 2009, **116**, 313-317.
- 372 16. S. P. Wong, J. Li, P. Shen, Y. Gong, S. P. Yap and E. L. Yong, *Assay and drug development*
373 *technologies*, 2007, **5**, 355-362.
- 374 17. J. Vaya and S. Tamir, *Curr. Med. Chem.*, 2004, **11**, 1333-1343.
- 375 18. R. J. Miksicek, *Molecular Pharmacology*, 1993, **44**, 37-43.
- 376 19. G. G. Kuiper, J. G. Lemmen, B. Carlsson, J. C. Corton, S. H. Safe, P. T. van der Saag, B. van der
377 Burg and J. A. Gustafsson, *Endocrinology*, 1998, **139**, 4252-4263.
- 378 20. L. Yang, K. F. Allred, B. Geera, C. D. Allred and J. M. Awika, *Nutr Cancer*, 2012, **64**, 419-427.
- 379 21. A. Billimek, Texas A&M University, 2011.
- 380 22. C. D. Allred, Y. H. Ju, K. F. Allred, J. Chang and W. G. Helferich, *Carcinogenesis*, 2001, **22**, 1667-
381 1673.
- 382 23. X.-Y. Lu, D.-L. Sun, Z.-J. Chen, T. Chen, L.-P. Li, Z.-H. Xu, H.-D. Jiang and S. Zeng, *Journal of*
383 *Agricultural and Food Chemistry*, 2010, **58**, 10661-10667.
- 384 24. L. Yang, K. F. Allred, B. Geera, C. D. Allred and J. M. Awika, *Nutrition and cancer*, 2012, **64**, 419-
385 427.
- 386 25. L. O. Ojwang, L. Dykes and J. M. Awika, *Journal of Agricultural and Food Chemistry*, 2012, **60**,
387 3735-3744.
- 388 26. L. O. Ojwang, L. Yang, L. Dykes and J. Awika, *Food Chem*, 2013, **139**, 35-43.
- 389 27. S. P. Wong, J. Li, P. Shen, Y. Gong, S. P. Yap and E. L. Yong, *Assay and drug development*
390 *technologies*, 2007, **5**, 355-362.

- 391 28. D. M. Harris, E. Besselink, S. M. Henning, V. L. Go and D. Heber, *Exp. Biol. Med. (Maywood)*,
392 2005, **230**, 558-568.
- 393 29. G. M. Kuiper, J. G. Lemmen, B. Carlsson, C. J. Corton, S. H. Safe, B. van der Burg and J.
394 Gustafsson, *Endocrinology*, 1998, **139**, 4252-4263.
- 395 30. J. I. Fenton and N. G. Hord, *Carcinogenesis*, 2006, **27**, 893-902.
- 396 31. C. H. Shih, S. O. Siu, R. Ng, E. Wong, L. C. Chiu, I. K. Chu and C. Lo, *Journal of agricultural and food*
397 *chemistry*, 2007, **55**, 254-259.
- 398 32. H.-G. Hur, J. O. Lay Jr, R. D. Beger, J. P. Freeman and F. Rafii, *Arch Microbiol*, 2000, **174**, 422-428.
- 399 33. G. Hostetler, K. Riedl, H. Cardenas, M. Diosa-Toro, D. Arango, S. Schwartz and A. I. Doseff,
400 *Molecular Nutrition & Food Research*, 2012, **56**, 558-569.
- 401 34. W. Brand, P. A. I. van der Wel, M. J. Rein, D. Barron, G. Williamson, P. J. van Bladeren and I. M. C.
402 M. Rietjens, *Drug Metabolism and Disposition*, 2008, **36**, 1794-1802.
- 403 35. Y. Liu and M. Hu, *Drug Metabolism and Disposition*, 2002, **30**, 370-377.
- 404 36. M. E. Morris and S. Zhang, *Life Sciences*, 2006, **78**, 2116-2130.
- 405 37. B. Ebert, A. Seidel and A. Lampen, *Toxicological Sciences*, 2007, **96**, 227-236.
- 406 38. A. I. Alvarez, R. Real, M. Pérez, G. Mendoza, J. G. Prieto and G. Merino, *Journal of*
407 *Pharmaceutical Sciences*, 2010, **99**, 598-617.
- 408 39. W. Brand, B. Padilla, P. J. van Bladeren, G. Williamson and I. M. C. M. Rietjens, *Molecular*
409 *Nutrition & Food Research*, 2010, **54**, 851-860.
- 410 40. K. Katayama, K. Masuyama, S. Yoshioka, H. Hasegawa, J. Mitsuhashi and Y. Sugimoto, *Cancer*
411 *Chemother Pharmacol*, 2007, **60**, 789-797.

412

413

414 **Tables:**

415 Table 1. Composition of the major flavonoids in the red, lemon yellow and hydrolyzed lemon
 416 yellow sorghum extracts used in this study^a.

Compound	Red sorghum	Lemon-yellow sorghum	Hydrolyzed lemon-yellow sorghum
Total phenols^b	103 ± 8.7	58.5 ± 3.3	187.6 ± 2.6
Luteolin glycosides ^c	ND	3,940 ± 64	592 ± 4
Luteolin	712 ± 89	379 ± 16	444 ± 18
Apigenin glycosides ^d	100 ± 15	ND	ND
Apigenin	4,130 ± 170	224 ± 35	Trace
Tricin ^e	ND	126 ± 21	81 ± 3
Total flavones	4,840 ± 260	4,670 ± 0.09	1,120 ± 18
Eriodictyol glycosides ^f	ND	43,600 ± 1460	1,910 ± 01
Eriodictyol	ND	217 ± 05	11,000 ± 660
Naringenin glycosides ^g	2,550 ± 29	42,700 ± 1180	2,770 ± 14
Naringenin	62 ± 14	2,170 ± 60	12,200 ± 20
Total flavanones	2,610 ± 300	88,600 ± 2700	28,100 ± 670
Total 3-deoxyanthocyanins	231 ± 76	ND	ND

417

418

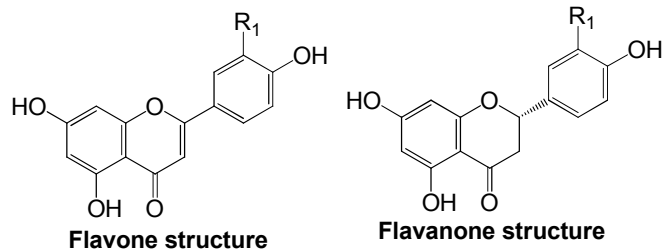
419 ^aAll values are expressed as µg/g extract (mean ± SD) of two separate HPLC runs. ^bDetermined
 420 by Folin-Ciocalteu method, expressed as mg gallic acid equivalent / g extract. ^cExpressed as
 421 luteolin-7-*O*-glucoside. ^dExpressed as apigenin-7-*O*-glucoside. ^eExpressed as luteolin. ^fExpressed
 422 as eriodictyol-7-*O*-glucoside. ^gExpressed as naringin (naringenin-7-*O*-rutinoside). Total flavones,
 423 flavanones, and 3-deoxyanthocyanins were sum of major HPLC peaks. ND = not detected.

424

425

426

427



428

Apigenin: R₁ = H
Luteolin: R₁ = OH

Naringenin: R₁ = H
Eriodictyol: R₁ = OH

429

430 **Figure 1.** Skeletal structure of the primary flavones and flavanones found in sorghum.

431

432

433

434

435

436

437

438

439

440

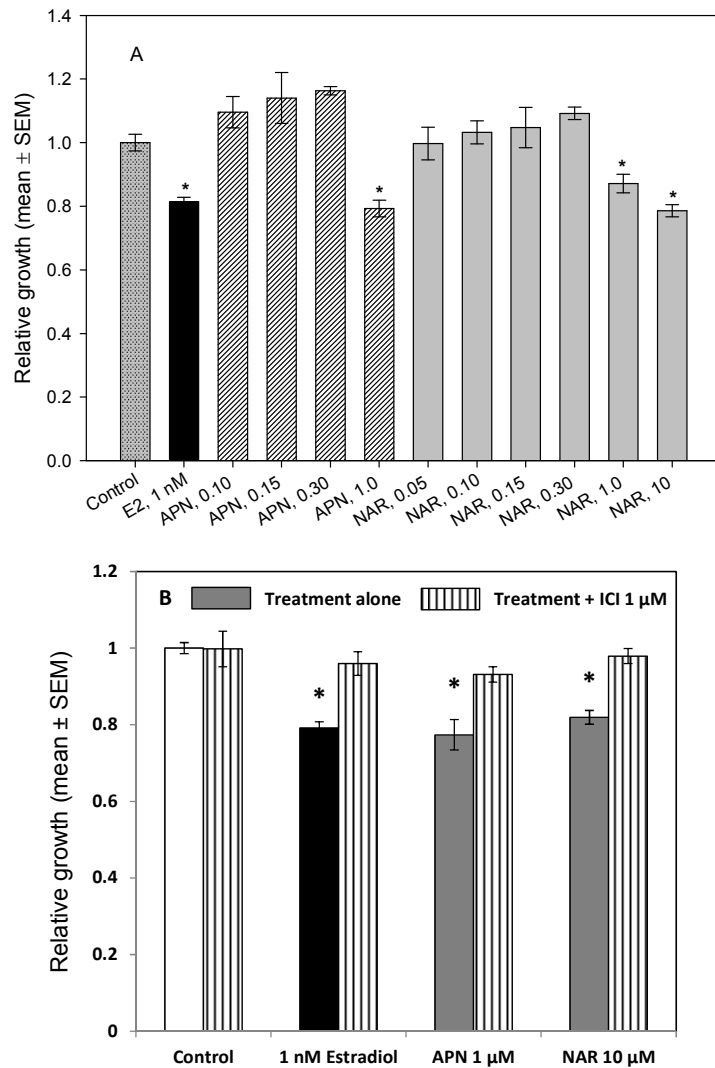
441

442

443

444

445



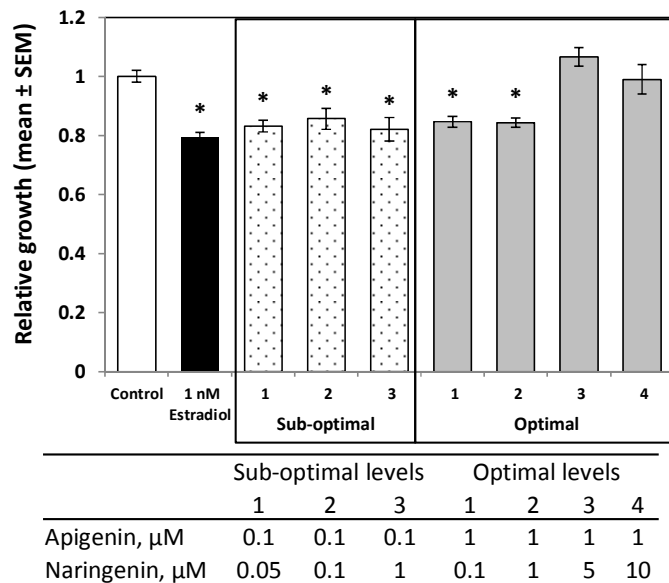
446

447 **Figure 2.** Effect of apigenin (APN) and naringenin (NAR) (μM) on the growth of non-malignant448 young adult mouse colonocytes (YAMC) (A); Effect of co-treatment of 1 μM ICI 182, 780449 (estrogen receptor antagonist) with apigenin (1 μM) and naringenin (10 μM) on the growth450 YAMC (B). Data expressed as mean \pm SEM from three separate experiments. Dunnett's t test

451 was used to compare the least squares means of each treatment with corresponding control post a

452 one-way ANOVA analysis. Treatments with an asterisk are significantly lower than control ($p <$

453 0.05).

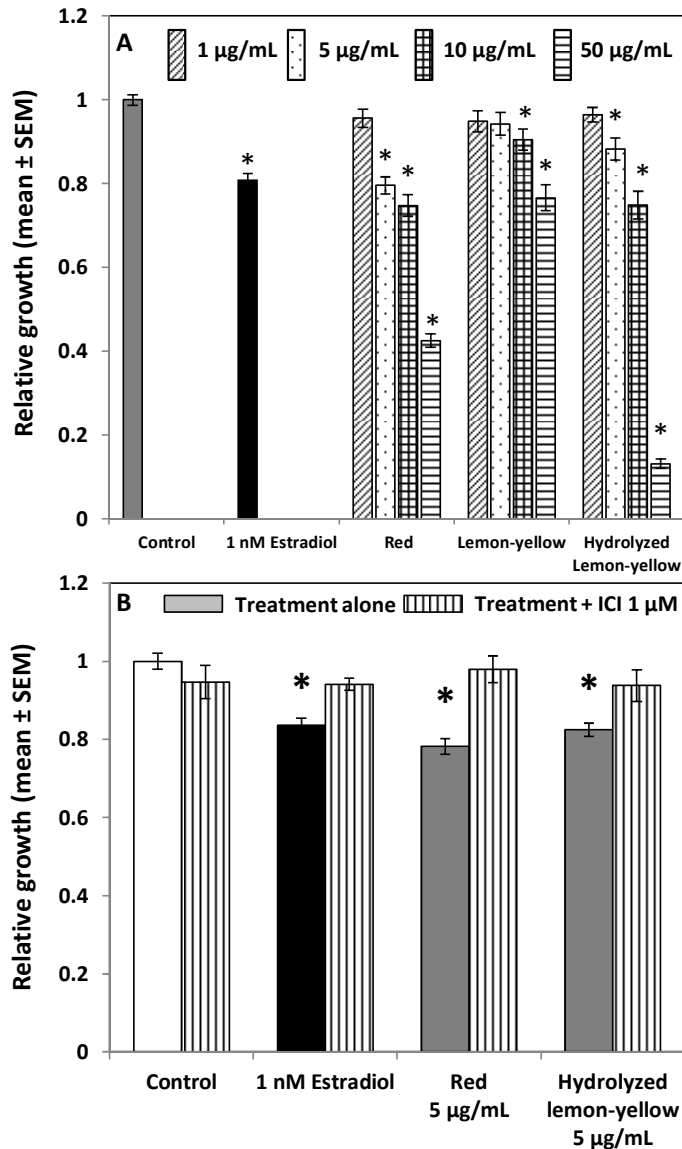


454

455

456 **Figure 3.** Effect of sub-optimal (0.1 μM) and optimal (1.0 μM) levels of apigenin co-treated
 457 with naringenin (0.05-10 μM) on the growth of non-malignant young adult mouse colonocytes
 458 (YAMC). Data expressed as mean \pm SEM from three separate experiments. Dunnett's t test was
 459 used to compare the least squares means of each treatment with corresponding control post a
 460 one-way ANOVA analysis. Treatments with an asterisk indicated significant difference from the
 461 control ($p < 0.05$).

462



463

464 **Figure 4.** The effect of red, lemon-yellow, and hydrolyzed lemon-yellow sorghum extracts on
 465 the growth of non-malignant young adult mouse colonocytes (YAMC) (A); effect of co-
 466 treatment of 1 µM ICI 182, 780 (estrogen receptor antagonist) with red and hydrolyzed lemon-
 467 yellow sorghum extracts (5 µg/mL) on YAMC growth (B). Data expressed as mean ± SEM from
 468 three separate experiments. Dunnett's t test was used to compare the least squares means of each
 469 treatment with control post a one-way ANOVA analysis. Treatments with an asterisk indicated
 470 significant difference from the control ($p < 0.05$).