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1 **A methanolic extract of *Ganoderma lucidum* fruiting body inhibits the growth of a**
2 **gastric cancer cell line and affects cellular autophagy and cell cycle**

3

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26 **Abstract**

27 *Ganoderma lucidum* is one of the most extensively studied mushrooms as functional
28 food and as chemopreventive agent due to its recognized medicinal properties. Some *G.*
29 *lucidum* extracts have shown promising antitumor potential. In this study, the bioactive
30 properties of various extracts of *G. lucidum*, from both the fruiting body and the spores,
31 were investigated. The most potent extract identified was the methanolic fruiting body
32 extract, which inhibited the growth of a gastric cancer cell line (AGS) by interfering
33 with cellular autophagy and cell cycle.

34

35 *Keywords:* *Ganoderma lucidum*; methanolic extract; gastric cancer cell line; AGS;
36 growth inhibition; autophagy.

37 Introduction

38 The exhaustive search for new ways of treating and preventing cancer has led to the
39 discovery of new drugs based on either natural products or analogs inspired by them.¹

40 Indeed, although lacking objective biological mechanistic responses, some natural
41 matrices have been investigated as a source of anti-cancer agents and some encouraging
42 findings have been identified.^{2,3}

43 Mushrooms are examples of natural matrices which appear to hold potential health
44 benefits, being recognized as functional foods and as a source of compounds for the
45 development of nutraceuticals or medicines, including compounds with antitumor
46 properties.⁴ Medicinal mushrooms are generally well-tolerated with few, if any, side
47 effects. Nevertheless, it is still not completely well understood whether their vast
48 bioactive effects are caused only by a single component or if they are the result of an
49 additive, or even synergistic outcome due to several compounds. It is believed that these
50 benefits are mainly attributed to their richness in polysaccharides (*e.g.*, β -glucans), the
51 primary active immune-enhancing constituents.^{5,6}

52 *Ganoderma lucidum* extracts and compounds have demonstrated interesting advantages
53 as adjuvants in the prevention and treatment of cancer, possessing anti-proliferative or
54 growth inhibitory properties in various types of human tumor cell lines such as the
55 LNCaP cell line (prostate cancer),⁷ sarcoma 180 and Lewis lung carcinoma cell lines
56 (lung cancer),⁸ monocytic THP-1 cell line (acute myelogenous leukemia),⁹ MCF-7 cell
57 line (breast cancer),¹⁰ and HUC-PC and MCT-11 cell lines (bladder cancer).¹¹ As a
58 supplement during chemo- or radiotherapy, *G. lucidum* can enhance curative effects and
59 reduce detrimental side-effects associated to this kind of treatments, such as fatigue,
60 immunosuppression, anorexia, hair loss and bone marrow suppression.¹²⁻¹⁵

61 Taking into consideration the enormous potential of this mushroom and the fact that the
62 underlying molecular mechanisms of the bioactive metabolites are far from being fully
63 understood, the main goal of this work was to further investigate the effect of different
64 extracts of *G. lucidum* (from the fruiting body and spores) on various human tumor cell
65 lines. In addition, the effect of the most potent extract (methanolic extract from the
66 fruiting body) was further studied in the most sensitive cell line, a gastric cancer cell
67 line (AGS), by studying the effect on cellular proliferation, cell cycle profile,
68 programmed cell death and autophagy.

69

70 **Experimental**

71

72 **Preparation of the *Ganoderma lucidum* extracts**

73 Samples of *Ganoderma lucidum* (Curtis) P. Karst. were collected in Bragança
74 (Northeast Portugal) in July 2011. After taxonomic identification of the sporocarps,¹⁶⁻¹⁸
75 specimens were deposited at the herbarium of Escola Superior Agrária of Instituto
76 Politécnico de Bragança under the number BRESA-gl01-2011. The fruiting bodies were
77 further separated from spores using a scalpel, and all the samples were lyophilised and
78 powdered (20 mesh). Phenolic (methanolic and ethanolic) and polysaccharidic (boiling
79 water) extracts were prepared from the lyophilised powder following the procedure
80 previously described by us.¹⁹ The phenolic and polysaccharidic extracts from *G.*
81 *lucidum* fruiting body and spores were chemically characterized in a previous report.²⁰
82 The extracts were kept in DMSO and stored at -20 °C.

83

84 **Cell culture of human tumor cell lines**

85 The following cell lines were used in this study: AGS (gastric adenocarcinoma), MCF-7
86 (breast adenocarcinoma), NCI-H460 (non-small cell lung cancer) and HCT-15
87 (colorectal adenocarcinoma). Cells were routinely maintained in RPMI-1640 medium with
88 Ultraglutamine I (Lonza) supplemented with 5% or 10% (depending on the assay to be
89 performed as explained below) heat inactivated fetal bovine serum (FBS, PAA) at 37 °C
90 in a humidified atmosphere containing 5% CO₂. Cell number and viability were
91 assessed with trypan blue exclusion assay.

92

93 **Primary culture of porcine liver cells**

94 A cell culture was prepared from a freshly harvested porcine liver obtained from a local
95 slaughter house, and it was designed as PLP2. Briefly, the liver tissues were rinsed in
96 hank's balanced salt solution containing 100 U/ml penicillin, 100 µg/ml streptomycin
97 and divided into 1×1 mm³ explants. Some of these explants were placed in 25 cm²
98 tissue flasks in DMEM medium supplemented with 10% fetal bovine serum, 2 mM
99 nonessential amino acids and 100 U/ml penicillin, 100 mg/mL streptomycin and
100 incubated at 37 °C with a humidified atmosphere containing 5% CO₂. The medium was
101 changed every two days. Cultivation of the cells was continued with direct monitoring
102 every two to three days using a phase contrast microscope. Before confluence was
103 reached, cells were subcultured and plated in 96-well plates at a density of 1.0×10⁴
104 cells/well, and cultivated in DMEM medium with 10% FBS, 100 U/ml penicillin and
105 100 µg/ml streptomycin.²¹

106

107 **Screening for *in vitro* cell growth inhibition**

108 Cell growth inhibition of tumor cell lines and of primary porcine liver cells was studied
109 with the sulforhodamine B (SRB) assay. Tumor cells were plated in 96-well plates

110 (7.5×10^3 cells/well for the AGS cells, 5×10^3 cells/well for MCF7 and NCI-H460 cells
111 and 1×10^4 cells/well for HCT-15 cells) and incubated at 37 °C for 24 h. Porcine liver
112 cells were plated as indicated above in 2.3. Exponentially growing cells were then
113 treated with 5 serial dilutions (1:2) of each extract (ranging from 25 to 400 µg/ml).
114 Following 48 h treatment, cells were fixed with 10% ice cold trichloroacetic acid,
115 washed with water and stained with SRB. After washing with 1% acetic acid, bound
116 SRB was solubilized with 10 mM Tris Base and absorbance measured at 510 nm in a
117 microplate reader (Biotek Instruments Inc. Synergy Mx, USA). For each extract, the
118 corresponding GI_{50} (concentration which inhibited 50% of net cell growth) was
119 determined, as previously described.^{22,23} The effect of the vehicle solvent (DMSO) on
120 the growth of each cell line was also analysed by treating cells with the maximum
121 concentration of DMSO used in each assay (0.4%).

122

123 **AGS cellular treatment with the *G. lucidum* methanolic extract**

124 AGS cells were plated at 1.5×10^5 per well in 6-well plates (in RPMI-1640 medium with
125 Ultraglutamine I supplemented with 10% FBS) and incubated for 24 h. Cells were then
126 treated with complete medium (blank), with *G. lucidum* methanolic fruiting body
127 extract (106 µg/ml) or the equivalent volume of the extract solvent (DMSO).

128 Following 48 h of treatment, cells were further processed as indicated in the protocols
129 below.

130

131 *Cell proliferation analysis.* Proliferation was analysed with the BrdU incorporation
132 assay. One hour before the 48 h treatment, cells were incubated for 1 h with 10 µM
133 BrdU (Sigma). Cells were then fixed in 4% paraformaldehyde (PFA) in PBS. Cytospins
134 were prepared and incubated in 2M HCl for 20 min. Following incubation with mouse

135 anti-BrdU (1:10, Dako), cells were further incubated with fluorescein-labeled rabbit
136 anti-mouse antibody (1:100, Dako), as previously described.²⁴ Slides were mounted in
137 Vectashield Mounting Media with DAPI (Vector Laboratories) and cells were observed
138 in a DM2000 fluorescence microscope (LEICA). A semi-quantitative evaluation of the
139 proliferation levels was obtained by counting a minimum of 500 cells per slide.

140

141 *Cell cycle profile analysis.* Cells were fixed in ice-cold 70% ethanol and kept at 4°C for
142 at least 12 h. Prior to analysis, cells were incubated with propidium iodide (5 µg/mL)
143 and RNase A in PBS (100 µg/ml) for 30 min on ice. Cellular DNA content was
144 analyzed using a FACS Calibur (BD Biosciences) flow cytometer.^{25,26} Analysis of cell
145 cycle profile was carried out using the FlowJo 7.6.5 software (Tree Star, Inc., Ashland,
146 OR, USA) after cell debris and aggregates exclusion.

147

148 *Apoptosis analysis.* The levels of apoptosis were analysed by flow cytometry using the
149 Human Annexin V-FITC/PI apoptosis kit (Bender MedSystems, Vienna, Austria),
150 according to the manufacturer's instructions. Flow cytometry was carried out using a
151 FACS Calibur (BD Biosciences) flow cytometer and plotting at least 20,000 events per
152 sample, as previously described.²⁷ Data was analysed using the FlowJo 7.6.5 software
153 (Tree Star, Inc., Ashland, OR, USA).

154

155 *Protein expression analysis.* Cells were lysed in Winman's buffer (1% NP-40, 0.1 M
156 Tris-HCl pH 8.0, 0.15 M NaCl and 5 mM EDTA) with EDTA-free protease inhibitor
157 cocktail (Roche). Protein content was quantified with the DC Protein Assay kit
158 (BioRad). Protein lysates (20 µg) were electrophoresed on 12% SDS-PAGE and
159 transferred to a nitro-cellulose membrane (GE Healthcare). Membranes were incubated

160 with the following primary antibodies: rabbit anti-VPS34 (1:1000, Cell Signaling),
161 rabbit Beclin-1 (1:1000, Cell Signaling), rabbit anti-Light Chain 3 B, LC3 (1:1000, Cell
162 Signaling), goat anti-Actin antibody (1:2000, Santa Cruz Biotechnology) and with the
163 corresponding secondary antibody: donkey anti-goat IgG-HRP (1:2000, Santa Cruz
164 Biotechnology) or goat anti-mouse IgG-HRP (1:2000, Santa Cruz Biotechnology).
165 Signal was detected using Amersham™ ECL Western Blotting Detection Reagents (GE
166 Healthcare), the Amersham Hyperfilm ECL (GE Healthcare) and the Kodak GBX
167 developer and fixer (Sigma), as previously described.²⁸

168

169 *Visualization of autophagosomes.* Cells were incubated for 1 h with freshly prepared 50
170 μ M monodansylcadaverine (MDC, Biochemika) and fixed in 4% paraformaldehyde
171 (PFA) in PBS. Cytosins were prepared and mounted in Vectashield Mounting Media
172 with DAPI. Cells were then observed using a fluorescence microscope (Axio Imager.Z1
173 coupled with ApoTome Imaging System microscope, Zeiss) for the observation of
174 autophagosomes, as previously described.²⁹

175

176 **Statistical analysis**

177 Statistical significance was determined with a two tailed Student's t-test, except for the
178 data presented in Table 2 in which the unpaired Student's t-test was used.* Indicates
179 $p < 0.05$.

180

181 **Results and discussion**

182

183 **Effect of the different *G. lucidum* extracts on the in vitro growth of human tumor**
184 **cell lines**

185 It is known that the use of different fractions of *G. lucidum* may have different
186 outcomes in disease treatments.³⁰ Therefore, in this study, different *G. lucidum* extracts,
187 obtained from the spores or from the fruiting body of this mushroom, were evaluated
188 regarding their effect on the *in vitro* growth of four human tumor cell lines: AGS
189 (gastric adenocarcinoma), MCF-7 (breast adenocarcinoma), NCI-H460 (non-small cell
190 lung cancer) and HCT-15 (colorectal adenocarcinoma). This was carried out using the
191 sulforhodamine B (SRB) assay which quantifies protein thereby allowing to indirectly
192 assess cell growth^{22,31} and to determine the GI₅₀ concentration of the extracts
193 (corresponding to the concentration that inhibits 50% of net cell growth).

194 Results (**Table 1**) showed that polysaccharidic extracts from *G. lucidum* presented no
195 cytotoxic activity since all of them presented GI₅₀ higher than 400 µg/ml (for all of the
196 cell lines studied). The mentioned extracts were previously characterized by the authors,
197 being detected, after polysaccharides hydrolysis, the same sugars in the fruiting body
198 and spore extracts: fructose (0.65 and 2.15 g/100 g dry weight, respectively), glucose
199 (0.55 and 0.83 g/100 g), mannitol (7.36 and 8.24 g/100 g) and trehalose (2.76 and 3.27
200 g/100 g) in the fruiting body extract.²⁰

201 On the other hand, the phenolic extracts (methanolic) from both the fruiting body and
202 the spores showed *in vitro* cell growth inhibitory activity, particularly the one from the
203 fruiting body, which was the most potent extract in all cell lines studied. The mentioned
204 extract contains *p*-hydroxybenzoic acid (0.58 mg/100 g dry weight), *p*-coumaric acid
205 (0.38 mg/100 g) and cinnamic acid (0.28 mg/100 g), as previously reported by the
206 authors.²⁰ The higher activity revealed by the extract obtained from fruiting body might
207 be related to its higher phenolic compounds content in comparison with the extract
208 prepared from spores, that included only *p*-coumaric (0.28 mg/100 g) and cinnamic
209 (0.33 mg/100 g) acids.

210 Regarding the phenolic extract (methanolic) from the spores, different effects were
211 observed depending on the cell lines analyzed. This extract was more potent in the
212 HCT15 cells followed by NCI-H460 cells, but showed no cytotoxic effect in the other
213 two cell lines studied (MCF-7 and AGS), presenting a GI₅₀ concentration higher than
214 the maximum concentration tested (400 µg/ml). This may indicate that the mechanisms
215 of action of this extract are more relevant in the HCT15 and NCI-H460 cell lines than in
216 the MCF-7 and AGS cells, possibly due the different genetic background of those
217 human tumour cell models. However, the GI₅₀ concentrations determined for the
218 methanolic extract of the spores were considered high and therefore this extract was no
219 further studied in the present work.

220 The fact that the phenolic (methanolic) extract showed cytotoxic towards human tumor
221 cells is in agreement with what has been previously published.³² Furthermore, up to 400
222 µg/ml, the evaluated extracts did not show cytotoxicity against the primary culture of
223 porcine liver cells, PLP2.

224 The most potent extract against tumor cell lines, the methanolic extract from the *G.*
225 *lucidum* fruiting body, was chosen to be further studied regarding its effect in cellular
226 proliferation, cell cycle profile and cell death. For this, AGS cells were analyzed
227 following treatment with 106 µg/ml of phenolic extract (methanolic) of the fruiting
228 body, hereafter referred to as “*G. lucidum* methanolic fruiting body extract”.

229

230 **Effect of the *G. lucidum* methanolic fruiting body extract in AGS cellular** 231 **proliferation and cell cycle profile**

232 Prompted by the effect found on cell growth, it was intended to investigate if that effect
233 was due to alterations in cellular proliferation. Therefore, the effect of *G. lucidum*
234 extract on AGS proliferation was analyzed by determining the percentage of BrdU-

235 incorporating cells 48 h following treatment (**Figure 1**). Results showed a decrease on
236 the proliferation levels of AGS cells (from 36% in blank and from 35% in DMSO,
237 respectively) to approximately 19%, following treatment with the *G. lucidum* extract
238 (**Figure 1**).

239 In addition, possible alterations in the cycle profile were investigated by flow cytometry
240 following PI labeling of the cells. Results (**Figure 2**) showed that the *G. lucidum* extract
241 caused a statistically significant increase in the percentage of AGS cells in the G1-phase
242 of the cell cycle, together with a decrease in the percentage of cells in the G2-phase of
243 the cell cycle. Moreover a decrease in S-phase was observed, although this was not
244 considered statistically significant.

245 Other authors had previously reported a G1 cell cycle arrest in breast cancer (MCF-7)
246 cells treated with *G. lucidum* extracts.³³

247

248 **Effect of the *G. lucidum* methanolic fruiting body extract in programmed cell death**

249 The fact that no alteration in the sub-G1 peak was previously observed in the cell cycle
250 analysis of AGS cells following treatment with the extract suggested that its mechanism
251 of action did not involve apoptosis. Nevertheless, other studies have indicated that some
252 *G. lucidum* extracts (such as unboiled aqueous extract and a methanol-extracted
253 column-chromatography semipurified fraction) induced apoptosis.³⁴ Likewise, an
254 ethanolic fraction of *G. lucidum* was shown to induce apoptosis in AGS cells, not only
255 via the intrinsic mitochondrial pathway but also through the death receptor-mediated
256 extrinsic apoptotic pathway.³⁵

257 Therefore, it was further confirmed if the methanolic extract from the fruiting body of
258 *G. lucidum* induced programmed cell death, by analyzing the levels of apoptosis by
259 flow cytometry following Annexin V/PI labeling, which is an apoptosis-specific assay.

260 Results (**Table 2**) showed that no alterations in the levels of apoptosis following
261 treatment with this extract. This is possibly due to the concentrations and time points
262 tested in the present study. Indeed, in the previously mentioned study of Calvino and
263 collaborators, concentrations tested were far superior and the time points were inferior
264 to the ones tested in the present study.³⁴

265

266 **Effect of the *G. lucidum* methanolic fruiting body extract in autophagy**

267 It has been suggested that *G. lucidum* associates with autophagy.³⁶⁻³⁸ In fact, treatment
268 with a triterpene extract from *G. lucidum* suppressed proliferation of HT-29 colon
269 cancer cells and inhibited growth of the respective xenograft tumor model. This effect
270 was shown to be due to the induction of autophagy, with the extract inducing the
271 formation of autophagic vacuoles and upregulating the expression of autophagy-
272 associated proteins, such as Beclin-1 and LC-3, both in HT-29 colon cancer cells as
273 well as in the xenograft tumors.³⁶ Recently, *G. lucidum* was also shown to induce
274 autophagy in a breast cancer cell line, promoting cell death.³⁷

275 Therefore, in this study, in order to confirm if the extract was interfering with cellular
276 autophagy, the expression levels of some autophagic proteins were analysed. Results
277 (**Figure 3**) showed no alterations in the levels of VSP-34 and Beclin-1. However, a
278 clear increase in the autophagy marker LC3-II, was evident in AGS cells treated with
279 the extract. As expected, there were no alterations in the Bcl-2 (antiapoptotic) protein
280 levels when cells treated with the extract were compared with control (DMSO treated)
281 cells.

282 This interference with cellular autophagy was further confirmed by an increase in the
283 monodansylcadaverine (MDC) labeling of autophagosomes, observed in AGS cells
284 following treatment with the extract (**Figure 4**).

285

286 **Conclusion**

287 In summary, the *G. lucidum* methanolic fruiting body extract inhibits the growth of a
288 human gastric cancer cell line (AGS), by interfering with cellular autophagy and cell cycle.
289 Further studies of the cellular and molecular mechanisms involved will be pursued in future
290 work.

291

292 **Competing interests**

293 The authors declare no competing financial interest.

294

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Table 1. GI₅₀ concentrations of various extracts from the fruiting body or spores of *G. lucidum* in four human tumor cell lines.

		GI ₅₀ (μg/ml)			
		NCI-H460	HCT-15	MCF-7	AGS
Fruiting body	Phenolic extract (Methanolic)	107.5 ± 5.3	103.4 ± 13.2	112.6 ± 6.7	93.3 ± 9.1
	Phenolic extract (Ethanollic)	> 400	> 400	> 400	> 400
	Polysaccharidic (Boiling water)	> 400	> 400	> 400	> 400
Spores	Phenolic extract (Methanolic)	386.9 ± 11.15	280.8 ± 11.17	> 400	> 400
	Phenolic extract (Ethanollic)	> 400	> 400	> 400	> 400
	Polysaccharidic (Boiling water)	> 400	> 400	> 400	> 400

Results are the mean ± SE of 3 independent experiments. Values >400 indicate that the GI₅₀ concentration was not found when testing extracts up to 400 μg/ml (maximum concentration tested).

Table 2. Levels of apoptosis of AGS cells following treatment with *G. lucidum* methanolic fruiting body extract.

	Apoptotic cells (%)
Blank	7.9 ± 1.1
DMSO	5.9 ± 1.1
Extract	9.5 ± 3.2

Results are the mean ± SE of 3 independent experiments.

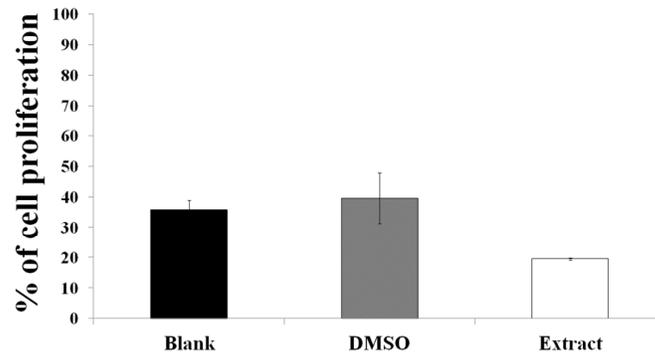


Figure 1 – Effect of *G. lucidum* methanolic fruiting body extract in AGS cellular proliferation. Cells were treated for 48 h with complete medium (Blank), *G. lucidum* extract or with corresponding vehicle (DMSO, control). Results are the mean \pm SE of three independent experiments.

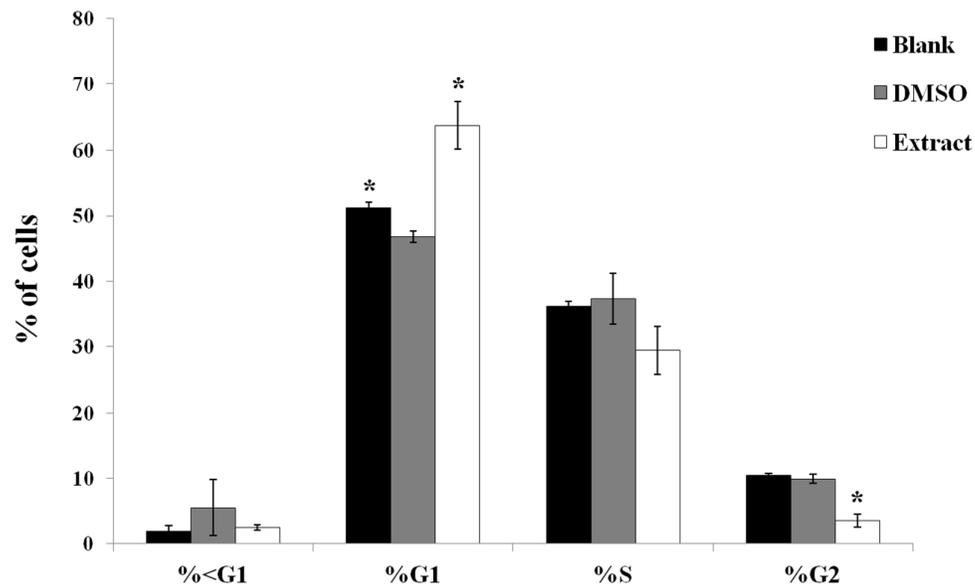


Figure 2. Effect of *G. lucidum* methanolic fruiting body extract in AGS cell cycle profile. Cells were treated for 48 h with medium (Blank), *G. lucidum* extract or with corresponding vehicle (DMSO, control). Results are the mean \pm SE of 3 independent experiments. *Indicates $p \leq 0.05$ between treatment with the extract and with the DMSO (control).

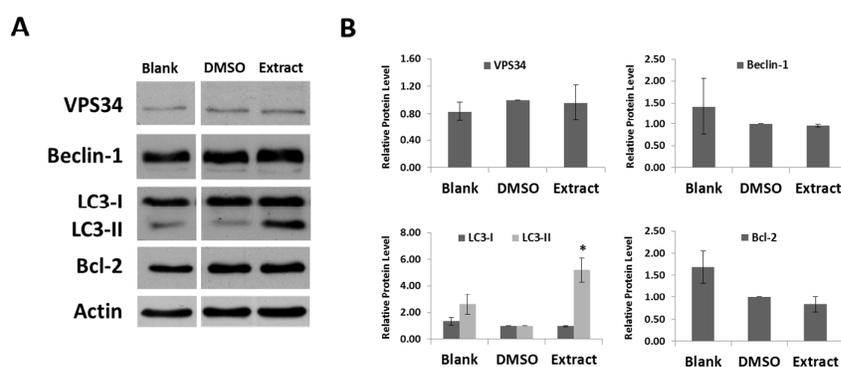


Figure 3. Expression of autophagy- and apoptosis-related proteins in AGS cells following 48 h treatment with *G. lucidum* methanolic fruiting body extract. A) Western Blot images representative of at least 3 independent experiments. Actin was used as loading control. B) Densitometry analysis of the Western blots. Results are the mean \pm SE of three independent experiments and are expressed after normalization of the values obtained for each protein with the values obtained for actin and further expressed in relation to control cells. * Indicates $p < 0.05$ between treatment with the extract and with the DMSO (control).

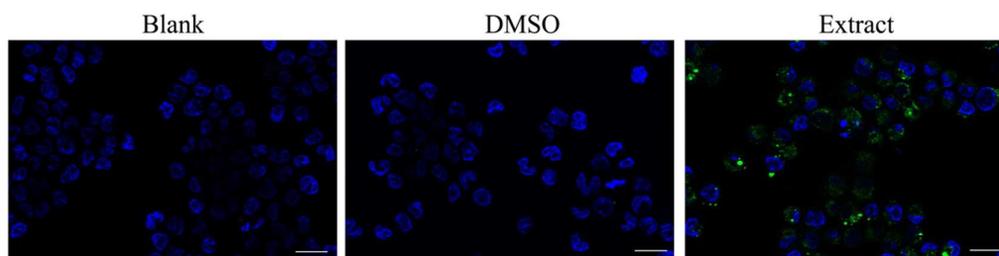
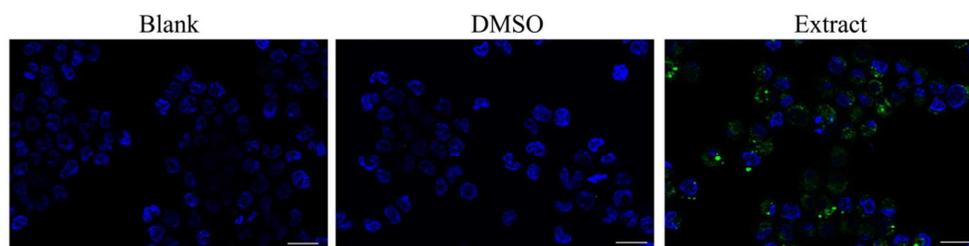


Figure 4. Analysis of the effect of *G. lucidum* methanolic fruiting body extract in the presence of autophagosomes. Fluorescence microscopy images after MDC incorporation (green) are representative of 2 independent experiments. Cell nuclei are stained with DAPI (blue). Bar corresponds to 20 μm .

TOC graphic

A methanolic extract of *Ganoderma lucidum* fruiting body inhibits the growth of a gastric cancer cell line and affects cellular autophagy and cell cycle

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G. lucidum methanolic extract inhibited the growth of a gastric cancer cell line (AGS) by interfering with cellular autophagy and cell cycle.
