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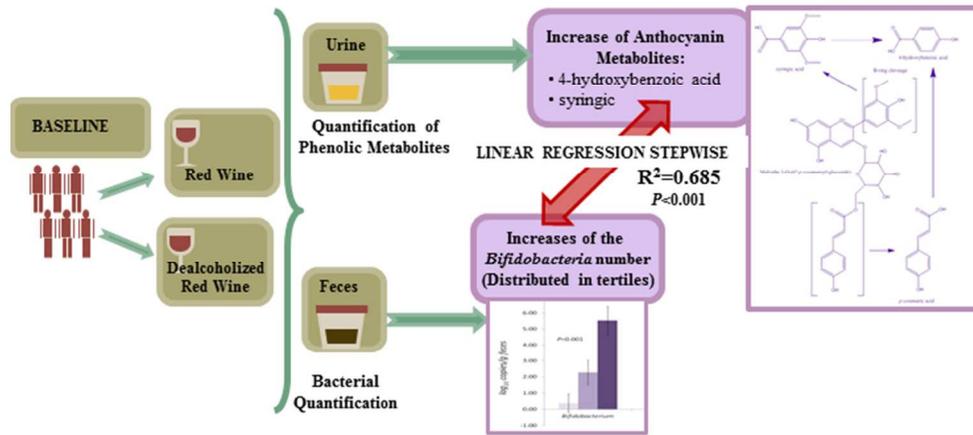


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1       **High levels of *Bifidobacteria* are associated with increased levels of**  
2       **anthocyanin microbial metabolites: A randomized clinical trial**

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17      **Keywords:** Wine, Microbiota, Bifidobacteria, Anthocyanins, *in vivo*, prebiotic.

18 **ABSTRACT**

19 The health benefits associated with the consumption of polyphenol-rich foods have been  
20 studied in depth, however, the full mechanism of action remains unknown. One of the  
21 proposed mechanisms is through microbiota interaction. In the present study, we aimed  
22 to explore the relationship between changes in fecal microbiota and changes in urinary  
23 phenolic metabolites after wine interventions. Nine participants followed a randomized,  
24 crossover, controlled interventional trial. After the washout period, they received red  
25 wine, dealcoholized red wine or gin for 20 days each. Polyphenol metabolites (n>60) in  
26 urine were identified and quantified by UPLC-MS/MS and the microbial content of  
27 fecal samples was quantified by from real-time quantitative PCR. Interventions with  
28 both red wine and dealcoholized red wine increased the fecal concentration of  
29 *Bifidobacterium*, *Enterococcus* and *Eggerthella lenta*, compared to gin intervention and  
30 baseline. When participants were categorized in tertiles of changes in fecal bacteria,  
those in the highest tertile of *Bifidobacteria* had higher urinary concentratio



65 microbiota incubated with polyphenols release phenolic metabolites whose presence  
66 may modulate their growth.<sup>16,17</sup>  
67 For that reason, we embarked on a study to evaluate the associations between changes  
68 in bacterial number produced at intestinal level and urinary changes in microbial  
69 phenolic acids in a randomized, crossover, controlled intervention study divided in three  
70 periods of 20 days each of RW, dealcoholized red wine (DRW) or gin consumption.  
71

72

**73 Materials and methods****74 Study subjects and design**

75 The study was an open, randomized, crossover, controlled intervention trial<sup>7</sup> that  
76 involved 9 adult men aged between 45 and 50. The study design was divided into 3  
77 consecutive periods of 20 days each with an initial washout period (baseline) during  
78 which the participants did not consume any alcohol or red wine. This period was  
79 followed in a random order by 3 consecutive periods during which the participants  
80 drank DRW (272 mL/d), or RW (272 mL/d, containing 30 g ethanol), or gin (100 mL/d,  
81 containing 30 g ethanol).

82 At baseline, and after each intervention period, participants provided fecal and 24 h  
83 urine samples, which were stored at -80 °C until analysis. They were asked to maintain  
84 their dietary habits and pattern and lifestyle and to avoid alcoholic beverages during the  
85 whole study. No significant differences were observed in daily energy and dietary  
86 intake at the beginning of the study and after each intervention<sup>7</sup>.

87 Participants had not received treatment for diabetes, hypertension, or dyslipidemia, any  
88 antibiotic therapy, prebiotics, probiotics, symbiotics, or vitamin supplements or any  
89 other medical treatment influencing intestinal microbiota during the 3 months before the  
90 start of the study or during the study (including the washout period). They did not have  
91 any acute or chronic inflammatory diseases, infectious diseases, viral infections, or  
92 cancer, and had not had a previous cardiovascular event at study entry. The Ethics  
93 Committee of the Virgen de la Victoria Hospital approved the clinical protocol. All the  
94 participants gave written informed consent. This trial was registered at controlled-  
95 trials.com as ISRCTN88720134.

**96 Red wine, dealcoholized red wine and gin**

97 The RW and DRW used in this study were elaborated with the Merlot grape variety,  
98 from the Penedès appellation (Catalonia). No differences in phenolic composition were  
99 found in the RW and DRW.<sup>7</sup>

#### 100 **Chemical and reagents**

101 Available phenolic acids and flavanols and  $\beta$ -glucuronidase/sulfatase (from *Helix*  
102 *pomatia*) were purchased from Sigma-Aldrich (St. Louis, MO, USA), PhytoLab GmbH  
103 & Co. KG (Vestenbergsgreuth, Germany), and Extrasynthèse (Genay, France) as  
104 previously described.<sup>14</sup> HPLC grade solvents were purchased from Scharlau Chemie,  
105 S.A. (Sentmenat, Spain) and Panreac Química, S.A.U. (Castellar del Vallès, Spain).  
106 Ultrapure water (Milli-Q) was obtained from Millipore System (Bedford, MA, USA).

#### 107 **Sample extraction**

108 Microbial-derived and conjugated metabolites present in urine were analyzed using  
109 solid-phase extraction (SPE) with an Oasis® MCX and HLB 96-well plates (Waters,  
110 Milford, Massachusetts), respectively, as previously described.<sup>14, 18, 19</sup> Briefly, urine  
111 samples (1mL) were loaded onto the conditioned cartridge plate, washed and eluted  
112 with methanol or acidified methanol, respectively, and evaporated to dryness.  
113 Reconstitution of the residues was carried out with 100  $\mu$ L of taxifolin in mobile phase.

#### 114 **UPLC-MS/MS Analysis**

115 Metabolites in urine were analyzed by UPLC-MS/MS equipped with a binary solvent  
116 manager and a refrigerated autosampler plate (Waters Acquity UPLC system, Milford,  
117 MA, USA), coupled to an AB Sciex API 3000 triple quadrupole mass spectrometer  
118 equipped with a turbo ion spray, ionizing in negative mode (PE Sciex). An Acquity  
119 UPLC BEH C18 column (Milford, MA, USA) (1.7  $\mu$ m, 2.1 mm  $\times$  5 mm), using a  
120 prefilter, working at 40 °C and with an injection volume of 5  $\mu$ L, was used as described  
121 before.<sup>14</sup> Mobile phases used were: A (0.1% formic acid) and B (0.1% formic acid in  
122 acetonitrile) at a flow rate of 500  $\mu$ L/min with the following proportions (v/v) of phase

123 A [t(min),% A]: (0,92); (2.5,50); (2.6,0); (3,0); (3.1,92); (3.5,92). The MS/MS  
124 parameters used were as previously described.<sup>14</sup> Phase II and microbial metabolites  
125 were quantified using the Multiple Reaction Monitoring (MRM) mode with a dwell  
126 time of 10 ms. Calibration curves were constructed with available standards in synthetic  
127 urine and subjected to the same procedure as the samples. If standard was not available,  
128 metabolites were quantified using the most similar compound standard curve and results  
129 were expressed as their equivalents.<sup>14</sup> The metabolites analyzed for this study are shown  
130 in the supplementary data. Quality parameters of the methodology accomplish with  
131 accuracy, precision and recovery <15%.<sup>19</sup>

### 132 **DNA extraction from fecal samples and analysis of fecal microbiota by polymerase** 133 **chain reaction (PCR)**

134 Extraction of DNA was from 200 mg stools by using a QIAamp DNA Stool Mini Kit  
135 (Qiagen) and concentration and purity were estimated with a NanoDrop  
136 spectrophotometer (NanoDrop Technologies). For bacterial quantification to  
137 characterize the fecal microbiota, specific primers targeting different bacterial genera  
138 were used by PCR as previously described.<sup>7</sup> Briefly, the LightCycler 2.0 PCR sequence  
139 detection system, by using the FastStart DNA Master SYBR Green Kit (Roche  
140 Diagnostics), was used for quantitative PCR experiments. Comparison among Ct values  
141 obtained from the standard curves with the LightCycler 4.0 software was carried out to  
142 calculate bacterial concentration. Standard curves were created by using a serial 10-fold  
143 dilution of DNA from pure cultures, corresponding to  $10^1$ – $10^{10}$  copies/g feces. The data  
144 presented were the mean values of duplicate real-time qPCR analyses.

### 145 **Statistical analysis**

146 Before the statistical analysis, a cube root transformation and a range scaling of the data  
147 for phenolic data through the MetaboAnalyst Web-based platform was performed for  
148 normalization<sup>14, 20</sup> and the bacterial copy numbers were converted into logarithm

149 values.<sup>7</sup> We only considered bacteria with significant changes after both wines  
150 compared to gin and baseline. These changes of bacteria were for two bacterial genera  
151 (*Bifidobacterium*, *Enterococcus*) and one species (*Eggethella lenta*). Changes of  
152 bacteria and phenolic acids after wine intervention were assessed checking the  
153 difference compared to baseline. The procedure consisted in categorize the participants  
154 based on tertiles of changes of bacterial genera or specie. To study the differences of  
155 urinary metabolites through bacterial genera or specie tertiles, we used one-way analysis  
156 of variance (ANOVA) (IBM SPSS Statistics software program for Windows version 20  
157 (Chicago, IL)). If changes of metabolites and bacteria presented a significant Spearman  
158 correlation, lineal regression stepwise analysis was performed in order to establish  
159 which of these metabolites were predictors of bacterial changes. Statistical significance  
160 was considered to be  $P < 0.05$ .  
161

## 162 Results

### 163 Tertiles of bacterial group changes after wine consumption

164 In this study, we considered the bacteria that showed significant modifications after  
165 both wine interventions and gin intervention compared to baseline.<sup>7</sup> No differences in  
166 number of bacteria (means $\pm$ SD, log<sub>10</sub> copies/g feces) were observed after DRW and  
167 RW: *Bifidobacterium* (9.93 $\pm$ 1.85 and 9.88 $\pm$ 1.78, respectively), *Eggerthella lenta*  
168 (9.84 $\pm$ 1.65 and 9.97 $\pm$ 1.77, respectively) and *Enterococcus* (6.94 $\pm$ 1.5 and 7.10 $\pm$ 1.1,  
169 respectively).<sup>7</sup> Tertiles of differences were calculated between bacterial number after  
170 wine interventions and baseline. The tertile distribution is presented in Fig. 1. The third  
171 tertile showed higher mean increases of *Bifidobacterium* (5.52 $\pm$ 0.88 log<sub>10</sub> copies/g  
172 feces), *Enterococcus* (2.83 $\pm$ 0.51 log<sub>10</sub> copies/g feces) and *Eggerthella lenta* (3.47 $\pm$ 1.03  
173 log<sub>10</sub> copies/g feces) while the first tertile showed lower mean increases of  
174 *Bifidobacterium* (0.38 $\pm$ 0.57 log<sub>10</sub> copies/g feces), *Enterococcus* (0.50 $\pm$ 0.81 log<sub>10</sub>  
175 copies/g feces) and *Eggerthella lenta* (1.10 $\pm$ 0.51 log<sub>10</sub> copies/g feces). The increases  
176 through tertiles of bacteria were significant ( $P$ <0.001).

177

### 178 Relationship among changes in bacterial population and urinary phenolic 179 metabolites

180 The tertiles of bacterial modifications were used to analyze phenolic metabolite changes  
181 after wine interventions (Table 1). From the lowest to the highest changes in  
182 *Bifidobacteria* tertiles, participants had a higher excretion of four phenolic metabolites  
183 related to anthocyanin metabolism (Figure 2): syringic, *p*-coumaric, 4-hydroxybenzoic  
184 and homovanillic acids. On the other hand, higher increases of *Eggerthella lenta*  
185 corresponded to lower excretion of hydroxycinnamates and syringic acid. In addition,  
186 higher increases of *Enterococcus* corresponded to lower excretion of 3,4-  
187 dihydroxyphenylacetic acid.

188 Additionally, correlation analysis indicated that only differences in *Bifidobacteria* were  
189 significantly correlated with differences in syringic ( $r=0.537$ ,  $P<0.022$ ), *p*-coumaric  
190 ( $r=0.621$ ,  $P<0.006$ ), 4-hydroxybenzoic ( $r=0.677$ ,  $P<0.002$ ) and homovanillic acids  
191 ( $r=0.507$ ,  $P<0.032$ ). Linear regression stepwise analysis evaluating *Bifidobacteria*  
192 changes included only two metabolites in the model ( $R^2=0.685$ ;  $P<0.001$ ), syringic acid  
193 and 4-hydroxybenzoic acid. This model explained 68.5% of the *Bifidobacterium*  
194 changes.  
195

196 **Discussion**

197 The increased knowledge about the role of microbiota in human health and the possible  
198 modulation through food consumption is an interesting field for developing new  
199 products in the food industry such as probiotics and prebiotics.<sup>21</sup> Food has demonstrated  
200 the capacity to modulate the growth of intestinal bacteria in several clinical trials<sup>8,22</sup> and  
201 produce bioactive metabolites.<sup>23</sup>

202 One of the main studied bacteria to be affected by food intake is *Bifidobacteria*.  
203 *Bifidobacterium* is one of the predominant genera in the human intestine, and it is  
204 considered health-promoting constituent of the microbiota.<sup>24</sup> In this study, the unique  
205 metabolites correlated to *Bifidobacterium* were those derived from anthocyanin  
206 degradation: 4-hydroxybenzoic, syringic, p-coumaric and homovanillic acid. The  
207 concentration of anthocyanins in wine is high but lower than flavanols, which are the  
208 main wine polyphenols.<sup>15, 25</sup> Nevertheless, their dietetic distribution in Mediterranean  
209 diets is more limited than flavan-3-ols and their metabolites have been proposed as  
210 excellent markers of wine consumption.<sup>14</sup> Anthocyanins were first supposed to have low  
211 bioavailability,<sup>26</sup> but in the last few years, studies with isotopically labeled anthocyanins  
212 have demonstrated that anthocyanins reach the colon where they are transformed,  
213 releasing new metabolites that differ from the original compound.<sup>27</sup>

214 Microbial metabolism of anthocyanins at colonic level involves reactions of breakage in  
215 the C-ring, resulting in hydroxylated aromatic compounds derived from the A-ring, and  
216 release of the B-ring in numerous phenolic acids, different depending on their  
217 hydroxylated pattern,<sup>12</sup> as well as deglycosylation.<sup>28</sup> Furthermore, *Bifidobacterium*  
218 enzymatic activity for polyphenols has not only been associated with ring fission,<sup>28</sup> but  
219 also hydrolysis<sup>29</sup> and glycosidase activity.<sup>28</sup> Figure 2 shows the principal origin of  
220 microbial metabolites derived from anthocyanin structure associated with *Bifidobacteria*

221 increase. One of these phenolic acids is 4-hydroxybenzoic acid, which has been  
222 proposed as a pelargonidin metabolite,<sup>30</sup> and comes from microbial degradation of *p*-  
223 coumaric<sup>31</sup> or could come from syringic acid demethylation, a reaction associated with  
224 certain intestinal bacteria.<sup>11</sup> Moreover, some studies have shown that the concentration  
225 of 4-hydroxybenzoic acid increased in plasma and urine after strawberry consumption  
226 by healthy volunteers<sup>32</sup> and in the urine of rats fed with wine powder.<sup>33</sup> Syringic acid  
227 may come from malvidin degradation described from *Lactobacillus* and  
228 *Bifidobacterium*.<sup>28, 34</sup> These two metabolites were the ones that entered the stepwise  
229 logistic regression, indicating that they were the strongest contributors to *Bifidobacteria*  
230 change after wine consumption. In the same study, *p*-coumaric was also formed when  
231 delphinidin and malvidin were incubated with these bacteria<sup>28</sup> via hydrolysis of *p*-  
232 coumaroyl-acylated anthocyanins, which are abundant in red wine (Fig. 2).  
233 Homovanillic acid has also been described as coming from malvidin glycoside  
234 degradation via demethoxylation and was one of the main urinary metabolites after  
235 berry purée consumption by humans.<sup>35</sup>

236 It is difficult to establish whether these compounds are primary anthocyanin metabolites  
237 or are derived from other sources. Homovanillic acid could also be formed from ferulic  
238 acid,<sup>35</sup> additionally *p*-coumaric acid could come from dehydroxylation of caffeic acid,  
239 and syringic from gallic acid.<sup>36</sup> Moreover, some of these metabolites, such as gallic  
240 acid, are also present in original wine composition.<sup>15, 25</sup>

241 Previous studies have already shown the role of anthocyanins in the bifidogenic effect  
242 as Guglielmetti *et al.* found after consumption of a wild blueberry drink by humans.<sup>37</sup>  
243 Biological effects associated with these changes have already been described.  
244 *Bifidobacterium* has been associated with antiobesity effects<sup>38</sup> and cholesterol  
245 regulation.<sup>39</sup> Metabolite 4-hydroxybenzoic could be responsible for the antioxidant

246 properties of polyphenol consumption, inhibiting tyrosine nitration through the  
247 formation of 4-hydroxy-3-nitrobenzoic acid, which is less reactive than nitrotyrosine.<sup>40</sup>  
248 Syringic acid has been proved to increase nitric oxide production<sup>41</sup> and *p*-coumaric acid  
249 has inhibitory activity over angiotensin-converting enzymes.<sup>42</sup> The biological activities  
250 attributed to the increase in metabolites could be responsible for benefits observed in  
251 blood pressure and improving plasma lipid profile or inflammation in this study.<sup>22, 43</sup>

252 The other bacteria species modified after red wine consumption was *Eggerthella lenta*,  
253 which is significantly abundant in intestinal microbiota.<sup>24</sup> Significant inverse  
254 associations were found between changes in *Eggerthella lenta* tertiles and changes in  
255 hydroxycinnamic acid concentrations and between changes in *Enterococcus* tertiles and  
256 changes in 3,4-dihydroxyphenylacetic acid concentration. This was probably due to the  
257 fact that both bacterial groups could be inhibited by phenolic compounds including  
258 gallic acid and resveratrol metabolites in *in vitro* studies.<sup>44-46</sup> In addition, *Enterococcus*  
259 genus bacteria have been inhibited by cloudberry intake.<sup>47</sup> Although we found  
260 significant inverse associations between *Eggerthella lenta* and *Enterococcus* tertiles and  
261 some phenolic acid concentrations, changes in *Eggerthella lenta* and *Enterococcus*  
262 cannot be predicted by phenolic acids changes.

263 Even one the main limitations of this study was the lack of washout periods between  
264 interventions, no carryover effect was observed, and the absence was therefore unlikely  
265 to affect the results obtained.<sup>7</sup> Moreover, the inclusion of washout periods between  
266 interventions would extend the study a further 6 weeks, making difficult to ensure  
267 compliance, so the subjects would be more inclined to withdraw from the study.<sup>7</sup> In  
268 addition, the limitations of this study suggest the need of future next steps that  
269 potentially will be to increase the number of subjects. And, future studies would be

270 designed to answer if changes in microbiota levels produced changes in phenolic acids  
271 concentration or inversely.

272

### 273 **Conclusion**

274 Bacteria changes after red wine consumption, with or without alcohol content have been  
275 associated with the excretion of phenolic metabolites. Specifically, *Bifidobacteria*  
276 increase correlates with increases in microbial metabolites derived from wine  
277 anthocyanins. Numerous *in vitro* studies have shown the ability of intestinal bacteria to  
278 metabolize polyphenols and release them to the medium. Those metabolites have been  
279 found in plasma, urine and tissues after food consumption. To our knowledge this is the  
280 first approach where colonic bacteria in feces and microbial metabolites present in  
281 biofluids are studied from the same volunteer in an *in vivo* study.

282 This study contributes with new data to understanding the role of phenolic compounds  
283 in the maintenance of intestinal health and opens the way to considering anthocyanins  
284 not only as new prebiotics, but also as being responsible for health benefits associated  
285 with the consumption of anthocyanin-rich food.

286

287

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298

299

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420 **Table 1** Changes in urinary phenolic metabolites after wine interventions (mean value  $\pm$ 

421 standard deviation) according to changes in bacterial tertiles.

Changes in bacterial population tertiles				<i>P</i> -trend
<i>Changes in Bifidobacterium tertiles<sup>a</sup></i>				
	1 (n=6) (<1.18)	2 (n=6) (1.18-4.47)	3 (n=6) (>4.47)	
4-Hydroxybenzoic acid <sup>b</sup>	-20.34 $\pm$ 15.6	-2.87 $\pm$ 22.07	18.04 $\pm$ 34.2	0.013
Syringic acid	-0.91 $\pm$ 1.75	-0.5 $\pm$ 1.23	1.37 $\pm$ 1.28	0.024
<i>P</i> -Coumaric acid	0.82 $\pm$ 1.04	1.16 $\pm$ 1.32	2.05 $\pm$ 1.29	0.038
Homovanillic acid	-81.83 $\pm$ 116.86	-29.65 $\pm$ 89.66	20.28 $\pm$ 93.29	0.043
<i>Changes in Enterococcus tertiles</i>				
	1 (n=6) (<1.36)	2 (n=6) (1.36-2.07)	3 (n=6) (>2.07)	
3,4-(dihydroxyphenyl)acetic acid	-0.22 $\pm$ 12.06	-1.88 $\pm$ 6.94	-13.09 $\pm$ 9.04	0.039
<i>Changes in Eggerthella lenta tertiles</i>				
	1 (n=6) (<1.88)	2 (n=6) (1.88-2.29)	3 (n=6) (>2.29)	
Caffeic acid	3.36 $\pm$ 2.69	0.69 $\pm$ 2.12	-1.76 $\pm$ 3.42	0.018
Ferulic acid	11.3 $\pm$ 12.41	-3.58 $\pm$ 3.12	-2.95 $\pm$ 3.6	0.009
Syringic acid	1.16 $\pm$ 1.21	-0.6 $\pm$ 2.16	-0.6 $\pm$ 1.07	0.037
Feruloylglycine	14.67 $\pm$ 18.45	4.73 $\pm$ 7.56	-5.46 $\pm$ 7.41	0.018

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423 <sup>a</sup> log<sub>10</sub> copies/g feces424 <sup>b</sup>  $\mu$ mol/24 h.

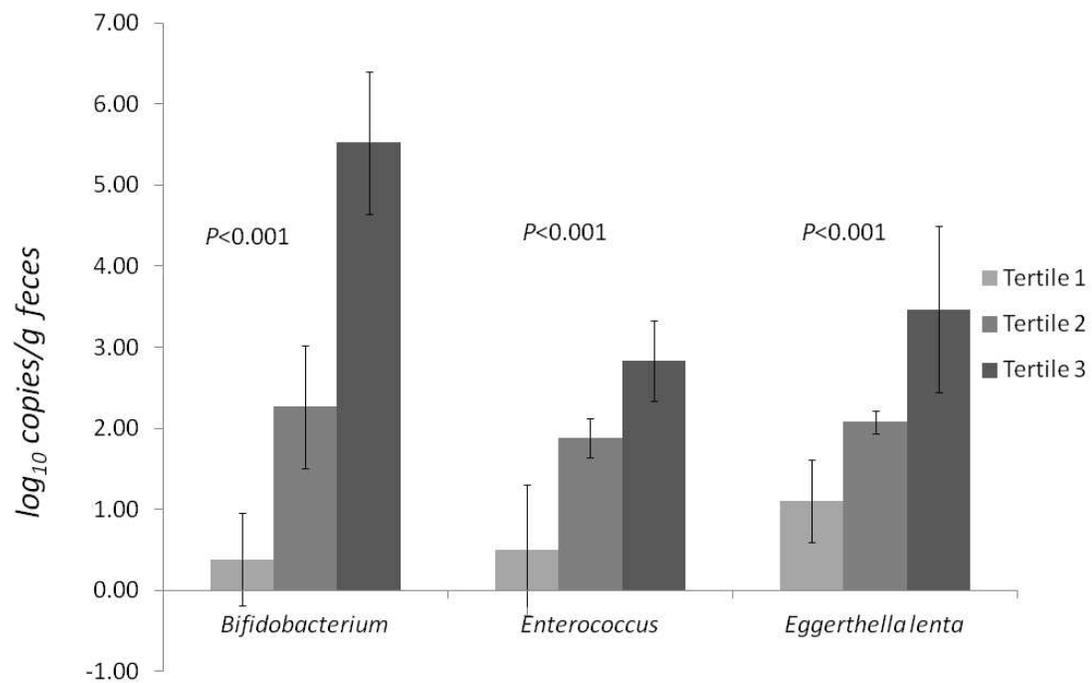
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426 **Table 2** Stepwise linear regression model showing the best metabolite predictors of  
 427 *Bifidobacterium* change.

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	B(SD)	$\beta$	<i>P</i>
<i>Bifidobacterium</i>			
Intercept	2.75 (0.37)		<0.001
4-Hydroxybenzoic acid	3.60 (1.27)	0.513	0.013
Syringic acid	3.63(1.51)	0.402	0.042

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431 **Fig. 1** Tertiles of bacterial differences of two genera (*Bifidobacterium*, *Enterococcus*)432 and one species (*Eggerthella lenta*) between wine interventions and baseline433 (mean $\pm$ SD).

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**Fig. 2** Proposed metabolic route of anthocyanin degradation by *Bifidobacteria*.