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Environmental Impact

Residual pharmaceuticals in the environment pose a serious threat to human health and aquatic ecosystems. Municipal wastewater treatment plants (WWTPs) are major barriers to the release of pharmaceuticals and their metabolites from wastewater to aquatic environment. In the present study, the fate and potential removal pathways of 30 pharmaceuticals of multiple classes in two WWTPs (conventional vs. upgraded) located in East China were clarified based on mass balance analysis, and their ecological risks to aquatic environment were assessed using calculated risk quotients. This study helps understand the behavior and fate of pharmaceuticals in WWTPs as well as the ecological risks induced by effluent discharge and sludge disposal, thus providing useful information for better control of micro-pollutants in WWTPs.

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**Distribution, mass load and environmental impact of multiple-class
pharmaceuticals in conventional and upgraded municipal wastewater
treatment plants in East China**

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27

ABSTRACT

28 The occurrence, fate and environmental impact of 30 pharmaceuticals including
29 sulfonamides, fluoroquinolones, tetracyclines, macrolides, dihydrofolate reductase
30 inhibitors, β -blockers, antiepileptics, lipid regulators, and stimulants were studied in
31 two municipal wastewater treatment plants (WWTPs) located in Wuxi City, East
32 China. A total of 23 pharmaceuticals were detected in wastewater samples, with a
33 maximum concentration of $16.1 \mu\text{g L}^{-1}$ (caffeine) in influent and 615.5 ng L^{-1}
34 (azithromycin) in effluent; 19 pharmaceuticals were detected in sludge samples at
35 concentrations up to 12.13 mg kg^{-1} , with ofloxacin, azithromycin and norfloxacin
36 being the predominant species. Mass balance analysis showed that biodegradation
37 primarily accounted for the removal of sulfonamides, most of the macrolides, and
38 other miscellaneous pharmaceuticals, while adsorption onto sludge was the primary
39 removal pathway for fluoroquinolones, tetracyclines, and azithromycin during
40 biological treatment. The total mass loads of target pharmaceuticals per capita in the
41 two WWTPs were in the ranges of 2681.8–4333.3, 248.0–416.6 and 214.6–374.5 μg
42 $\text{d}^{-1} \text{ inhabitant}^{-1}$ in the influent, effluent and dewatered sludge, respectively. The
43 upgraded Plant A adopting the combined anaerobic/anoxic/oxic and moving bed
44 biofilm process exhibited a much higher removal of target pharmaceuticals than the
45 conventional Plant B adopting the C-Orbal oxidation ditch process. The concentration
46 levels of sulfamethoxazole, ofloxacin, ciprofloxacin and clarithromycin in the effluent,
47 ofloxacin in the sludge, and the mixture of all target pharmaceuticals in both effluent
48 and sludge posed a high risk to algae in aquatic environment.

49

50 **Keywords:** Pharmaceuticals, wastewater treatment plant, mass balance, fate,
51 ecological risk

52

53 1. Introduction

54 Pharmaceuticals which contain diverse groups of organic compounds, such as
55 antibiotics, anti-inflammatories/analgesics, antiepileptics, steroid compounds,
56 β -blockers, lipid-regulating agents, and H₂-receptor antagonists, have attracted
57 considerable attention in recent years because of their potential undesirable effects on
58 human health and aquatic ecosystems.¹ These pharmaceuticals cannot be metabolized
59 completely in human and animal bodies, but are excreted as parent compounds,
60 metabolites or conjugates via urine and feces into the sewer systems.²

61 Municipal wastewater treatment plants (WWTPs) are major barriers to the
62 release of pharmaceuticals and their metabolites from wastewater to aquatic
63 environment. However, WWTPs are not specifically designed to eliminate
64 pharmaceuticals, but are built to remove biodegradable carbon, nitrogen, phosphorus,
65 and pathogens. WWTPs have limited capability in removing pharmaceuticals from
66 wastewater.^{3,4} Most pharmaceuticals are consistently present in effluent because of
67 their hydrophilic and recalcitrant properties, some of which such as carbamazepine
68 (CBZ), diclofenac and metoprolol (MET) are even more abundant in effluent than in
69 influent.^{5,6} Some pharmaceuticals, especially the hydrophobic ones, are prone to
70 release into the environment through sludge disposal because of their limited mobility
71 and low biodegradability in sludge.⁷ Therefore, determining the concentrations of
72 residual pharmaceuticals in both effluent and sludge can provide an important
73 indication of their pollution levels in the environment.

74 The occurrence and behavior of various pharmaceuticals in WWTPs have been
75 well investigated in America, Europe, Australia, and Asia.⁸ Their concentrations and
76 distributions vary from country to country because of the differences in usage patterns.
77 Meanwhile, their removal efficiencies also vary significantly in different WWTPs, as
78 affected by the compound-specific properties and the treatment processes adopted.
79 China, as the world's largest producer and user of pharmaceutical products, consumes
80 more than 25000 tons of antibiotics each year.⁹ This usage pattern may result in
81 significant occurrence and wide distribution of pharmaceuticals in the environment. In

82 recent years, many WWTPs have upgraded their biological treatment process to
83 improve the removal efficiency of chemical oxygen demand, nitrogen and
84 phosphorus.^{10,11} To date, most studies only focus on determination of pharmaceuticals
85 present in wastewater in conventional WWTPs;¹²⁻¹⁵ whereas the fate and mass load of
86 pharmaceuticals in both wastewater and sludge in upgraded WWTPs are rarely
87 reported. As a result, this work aimed to investigate the occurrence and behavior of 30
88 target pharmaceuticals, including sulfonamides (SAs), fluoroquinolones (FQs),
89 tetracyclines (TCs), macrolides (MLs), dihydrofolate reductase inhibitors, β -blockers,
90 antiepileptics, lipid regulators, and stimulants, in two WWTPs with different
91 treatment processes in Wuxi City, East China. Mass balance analysis was performed
92 to clarify the fate of pharmaceuticals and to explore their potential removal pathways
93 in the conventional and upgraded WWTPs. The ecological risks induced by residual
94 pharmaceuticals to aquatic environment were also assessed based on calculated risk
95 quotients (RQs). This study provides useful information to WWTPs for better control
96 of micro-pollutants.

97 **2. Materials and methods**

98 **2.1. Chemicals**

99 The standards for sulfadiazine (SDZ), sulfathiazole (STZ), sulfamerazine (SMR),
100 sulfamethizole (SML), sulfamethoxazole (SMX), sulfisoxazole (SFX), sulfamethazine
101 (SMN), sulfadimethoxine (SDM), trimethoprim (TMP), ofloxacin (OLF), norfloxacin
102 (NOR), ciprofloxacin (CIP), enrofloxacin (ENR), MET, propranolol (PROP), CBZ,
103 erythromycin (ERY), clarithromycin (CLA), roxithromycin (ROX), bezafibrate (BF),
104 and atenolol (ATE) were obtained from Sigma-Aldrich (St. Louis, MO, USA).
105 Lomefloxacin (LOM), oxytetracycline (OTC), chlortetracycline (CTC), tetracycline
106 (TCN), doxycycline (DOX), tiamulin (TIA), tylosin (TYL), azithromycin (AZN), and
107 caffeine (CAF) were provided by Dr. Ehrenstorfer GmbH (Augsburg, Germany).
108 Sulfamethazine-¹³C₆ hemihydrate (SMN-¹³C₆) and ofloxacin-D₃ (OLF-D₃) from
109 Witega (Berlin, Germany), caffeine-¹³C₃ (CAF-¹³C₃) from Cerilliant (Round Rock,
110 TX, USA), and demeclocycline (DMC) from Dr. Ehrenstorfer GmbH (Augsburg,

111 Germany), were used as internal standards. All of the standards were of the highest
112 purity available ($\geq 98\%$), and their major physicochemical properties are summarized
113 in [Table S1](#).

114 HPLC-grade methanol and formic acid ($> 99\%$) were obtained from Fisher
115 Scientific (Geel, Belgium) and Dikma Technologies, Inc. (Lake Forest, CA, USA),
116 respectively. Ultrapure water was produced by Milli-Q water purification system
117 (Advantage A10, Millipore, Billerica, MA, USA). Anhydro-erythromycin (ERY-H₂O),
118 a major degradation byproduct of ERY, was prepared using the method introduced by
119 [McArdell *et al.*](#)¹⁶ Stock solutions of individual compounds and internal standards
120 were prepared in methanol and stored in amber glass bottles at $-20\text{ }^{\circ}\text{C}$. The working
121 solutions with different concentrations were prepared immediately before use by
122 diluting the stock solutions.

123 2.2. Sample collection

124 Samples were collected from two full-scale municipal WWTPs (referred as
125 Plants A and B) in Wuxi City, Jiangsu Province. Plant A serves about 820,000
126 inhabitants and treats about $200,000\text{ m}^3\text{ d}^{-1}$ of mainly domestic wastewater. This plant
127 was upgraded in 2008 and was one of the first upgraded WWTPs in China. For the
128 upgrade, moving bed biofilm reactor (MBBR) was added to the original biological
129 treatment to enhance pollutant removal. As a result, the effluent discharge quality was
130 raised from Level 1-B to Level 1-A according to the Discharge Standard of Pollutants
131 for Municipal Wastewater Treatment Plant in China (GB 18918–2002). The
132 wastewater treatment processes in Plant A consist of screen, horizontal-flow grit
133 chamber, an upgraded anoxic/anaerobic/oxic (A/A/O) and MBBR process, and
134 secondary clarifier. The secondary effluent is treated further with rotary fiber disc
135 filters (RFDFs) before discharge to a receiving river. Plant B serves about 660,000
136 inhabitants and treats about $150,000\text{ m}^3\text{ d}^{-1}$ of mixed domestic and industrial
137 wastewater. The treatment processes in Plant B comprise screen, rotational-flow grit
138 chamber, C-Orbal oxidation ditch (OD), and secondary clarifier. The secondary
139 effluent is treated further with UV disinfection and RFDFs before discharge to
140 constructed wetlands. The schematic diagram of the two WWTPs is shown in [Fig. 1](#),

141 and the operational parameters and the characteristics of wastewater and sludge are
142 presented in [Tables S2](#) and [S3](#), respectively.

143 **Fig. 1**

144 Wastewater and sludge samples were collected from the two WWTPs during
145 November 10–30, 2013, and the sampling points along the treatment processes are
146 illustrated in [Fig. 1](#). During this sampling period, the wastewater temperature in
147 different treatment units was in the range of 12–15 °C, and there was no rainfall event
148 recorded. Flow-proportional (24 h) composite samples were collected using automatic
149 samplers (SD900, HACH, Loveland, CO, USA), except the return and excess sludge
150 samples, which were collected twice per day and mixed together. All the samples
151 were analyzed in triplicate.

152 **2.3. Analytical methods**

153 The extraction of pharmaceuticals from wastewater and sludge samples followed
154 the methods developed in our previous study.¹⁷ Briefly, the target pharmaceuticals
155 were extracted from sludge by ultrasonic solvent extraction. The sludge extract or
156 wastewater was enriched and purified by solid phase extraction (SPE) with an Oasis
157 HLB cartridge (6 mL, 500 mg, Waters, Milford, MA, USA). Subsequently, the target
158 pharmaceuticals were separated using Agilent 1290 UPLC system equipped with
159 Agilent Zorbax SB-C18 column (100 mm × 2.1 mm, 1.8 μm), and detected by Agilent
160 6420 Triple Quad LC/MS equipped with a positive electrospray ionization source
161 (Agilent, Wilmington, DE, USA) in multiple reaction monitoring mode ([Table S4](#)).
162 Quantification of the pharmaceuticals was performed with the internal standard
163 method to minimize the matrix effect. The recoveries of target pharmaceuticals were
164 in the range of 69–131% for wastewater and 58–130% for sludge at different spiked
165 concentration levels, and the limits of quantification (LOQs) ranged from 0.02 to 0.73
166 ng L⁻¹ in wastewater and from 0.02 to 1.00 μg kg⁻¹ in sludge ([Table S5](#)). Detailed
167 information on the pretreatment, extraction, and analysis of wastewater and sludge
168 samples is provided in [Text S1](#) (Supplementary Information).

169 **2.4. Mass balance analysis**

170 Mass balance was performed to analyze the mass flow of a target pharmaceutical

171 entering and leaving a WWTP through both wastewater and sludge. To evaluate the
 172 contribution of each treatment unit, the aqueous removal efficiency (RE_{aq}) is
 173 calculated with:

$$174 \quad RE_{aq} (\%) = \frac{C_{inf} - C_{eff}}{C_{inf}} \times 100 \quad (1)$$

175 where C_{inf} and C_{eff} (ng L^{-1}) are the concentrations of a target pharmaceutical in the
 176 influent and effluent of a treatment unit, respectively.

177 To assess the specific contributions of adsorption and biodegradation of a target
 178 pharmaceutical during the whole treatment processes, the influent is considered as the
 179 total mass input (100%), and the system output consists of the final effluent and
 180 dewatered sludge. The difference between the mass input and output is defined as the
 181 removed mass, which is calculated using:

$$182 \quad W_{rem} = W_{inf} - W_{eff} - W_{slu} \quad (2)$$

$$183 \quad W_{rem} (\%) = \frac{W_{inf} - W_{eff} - W_{slu}}{W_{inf}} \times 100 \quad (3)$$

184 where W_{inf} , W_{eff} and W_{slu} (g d^{-1}) are the mass load of a target pharmaceutical in the
 185 influent, effluent and dewatered sludge, respectively; and W_{rem} (g d^{-1}) is the removed
 186 mass.

187 In each treatment unit, the daily mass load of a target pharmaceutical can be
 188 calculated as follows:

$$189 \quad W = \frac{C_{aq} \times Q}{10^6} + \frac{C_{slu} \times Q \times C_{SS}}{10^9} \quad (4)$$

190 where W (g d^{-1}) is the daily mass load of a target pharmaceutical passing through each
 191 treatment unit; C_{aq} (ng L^{-1}) and C_{slu} ($\mu\text{g kg}^{-1}$) are the pharmaceutical concentrations
 192 in wastewater and sludge, respectively; Q ($\text{m}^3 \text{d}^{-1}$) is the daily wastewater flow; and
 193 C_{SS} (mg L^{-1}) is the concentration of suspended solids in the sludge.

194 **2.5. Potential risk assessment**

195 The ecological risk induced by the studied pharmaceuticals on aquatic organisms
 196 is assessed according to the European Commission's Technical Guidance Document.¹⁸

197 The RQ values for aquatic environment are calculated using:

$$198 \quad RQ = \frac{MEC}{PNEC} \quad (5)$$

199 where MEC is the maximum measured environmental concentration, and PNEC is the
200 predicted no-effect concentration. The PNEC for wastewater ($PNEC_{aq}$) is calculated
201 as follows:

$$202 \quad PNEC_{aq} = \frac{LC50 \text{ or } EC50}{AF} \quad (6)$$

203 where LC50 or EC50 is the lowest effective median concentration to aquatic
204 organisms at different trophic levels (*i.e.*, algae, invertebrates, and fish); and AF is the
205 safety factor set at 1000 as recommended by the Water Framework Directive
206 (Directive 2000/60/EC) for acute/short-term toxicity assessment. The L(E)C50 values
207 are mostly obtained from the literature (provided in the Supplementary Information);
208 if the literature data are unavailable, the Ecological Structure Activity Relationships
209 (ECOSAR, U.S. EPA) model is adopted to estimate the EC50 values.¹⁹ Assuming the
210 worst-case scenario, the maximum concentration detected, in combination with the
211 lowest L(E)C50 values, was applied in the risk assessment.

212 The PNEC for sludge ($PNEC_{slu}$) can be estimated from the above $PNEC_{aq}$.²⁰

$$213 \quad PNEC_{slu} = K_d \times PNEC_{aq} \quad (7)$$

214 where K_d is the solid-water distribution coefficient of a target pharmaceutical (*i.e.*,
215 C_{slu}/C_{aq}).

216 The total risk (RQ_{tot}) is calculated by summing up the RQs of all individual
217 pharmaceuticals at each trophic level:²¹

$$218 \quad RQ_{tot} = \sum_{i=1}^n RQ_i \quad (8)$$

219 Note that ERY-H₂O is structurally similar to its parent form (ERY) and may have
220 similar effects on non-target organisms. Hence, this compound is assessed based on
221 the toxicity of ERY as relevant information is unavailable.²² The common ranking
222 criteria are adopted: $RQ \geq 1$, high risk; $0.1 \leq RQ < 1$, medium risk; and $RQ < 0.1$, low

223 risk.

224 **3. Results and discussion**

225 **3.1. Occurrence of pharmaceuticals in two WWTPs**

226 *3.1.1 Influent and final effluent*

227 A total of 23 pharmaceuticals including 4 SAs (SDZ, STZ, SMN, SMX), 4 FQs
228 (NOR, OLF, CIP, LOM), 4 TCs (TCN, CTC, OTC, DOX), 4 MLs (CLA, ERY-H₂O,
229 ROX, AZN), and 7 other miscellaneous pharmaceuticals (ATE, MET, PROP, CBZ, BF,
230 CAF, TMP), were detected in the wastewater samples (Fig. 2). By contrast, SMR,
231 SML, SFX, DOX, ENR, TYL, and TIA, which are widely used in veterinary medicine
232 to control infection and promote the growth of livestock, were not detected in the
233 majority of wastewater samples from both WWTPs studied. Thus, these substances
234 are excluded from subsequent discussions.^{23,24}

235 **Fig. 2**

236 The most abundant compounds detected in the influent were CAF
237 (5763.3–16099.1 ng L⁻¹), OLF (338.8–1101.5 ng L⁻¹), and AZN (232.5–876.9 ng L⁻¹)
238 in the two studied WWTPs, probably because of the large consumption of soft drinks
239 containing CAF (*e.g.*, coffee, tea, and coke) and the extensive use of FQs and MLs in
240 China. Meanwhile, ROX, NOR, SMX, CIP, MET, and CLA also showed relatively
241 high concentrations (> 0.1 µg L⁻¹) in the influent. As illustrated in Fig. 2, the average
242 levels of target pharmaceuticals in the final effluent were all below 0.5 µg L⁻¹. OLF,
243 ROX, AZN, and MET were identified as the predominant pharmaceuticals in the final
244 effluent, with average concentrations of 230.5, 186.6, 163.1, and 136.5 ng L⁻¹ in Plant
245 A, and 327.3, 286.6, 495.6, and 106.2 ng L⁻¹ in Plant B, respectively. The
246 distributions of target pharmaceuticals in the influent of both WWTPs exhibited
247 similar trends, demonstrating similar consumption patterns in the same city.

248 SMX was the most frequently detected SA, whose concentration in the influent
249 was in the range of 72.27–182.3 ng L⁻¹ in Plant A and 86.43–283.85 ng L⁻¹ in Plant B.
250 OTC appeared to be the dominant TC and its maximum concentration was 114.5 ng
251 L⁻¹ in the influent and 37.17 ng L⁻¹ in the effluent of Plant B. Given the decreasing

252 efficacy in humans, TCs have been gradually replaced by other antibiotics such as
253 β -lactam and MLs in the last 20 years.²⁵ This change explains why TCs exhibited low
254 concentrations in the influent. Four FQs were frequently found in the influent and
255 effluent, whose concentrations showed a descending order: OLF > NOR > CIP >
256 LOM. This result agrees with those reported in other regions of China^{7,26} and in some
257 developed countries^{14,15,27}. In the present study, AZN appeared to be the most
258 abundant ML in all the influent and effluent samples, followed by ROX, CLA and
259 ERY-H₂O. To date, very limited information is available on the occurrence and fate of
260 AZN in WWTPs. The AZN level in Plant B was in the range of 529.6–876.9 ng L⁻¹ in
261 the influent and 414.7–615.5 ng L⁻¹ in the effluent, much higher than the results
262 obtained in Southwest China.^{26,28} The ERY-H₂O concentration (4.11–42.01 ng L⁻¹)
263 detected in the influent was much lower than those detected in South China.^{22,29} Of
264 the other miscellaneous pharmaceuticals, CAF and MET were dominant. The
265 concentrations of ATE, CBZ, TMP, PROP, and BF in the present study are much
266 lower than those measured in Korea¹², UK³⁰, and Finland¹⁵. It is seen that the
267 occurrence and distribution patterns of target pharmaceuticals can vary from region to
268 region in the same country and from country to country as well.

269 3.1.2 Sludge

270 Analyses of the sludge samples showed the presence of 19 out of the 30 target
271 pharmaceuticals (Fig. 3). SDZ, ATE, CBZ, and BF appeared either in only a few
272 samples or at a concentration below their LOQs in the sludge, thus they are excluded
273 from subsequent discussions.

274 Fig. 3

275 FQs, MLs, and TCs were dominant in the sludge, contributing more than 90% of
276 the total pharmaceutical load. For individual compounds, OLF (5528.0–12127.2 μg
277 kg^{-1}) was the most abundant in the sludge, followed by AZN (5315.5–8466.4 μg kg^{-1})
278 and NOR (1833.5–3661.4 μg kg^{-1}), as shown in Fig. 3. FQs and AZN, which contain
279 positively-charged nitrogen and dimethylamino moieties, have high adsorption
280 potential because of electrostatic interactions with negatively-charged sludge
281 particles.²⁶ High concentrations of OLF and NOR were also observed in other regions

282 of China^{7,31} as well as in Switzerland²⁷. At present, few reports are available on the
283 occurrence of AZN in WWTPs. Our study is the first to report the presence of AZN in
284 sludge in East China. SAs and β -blockers were detected at low levels, which could be
285 attributed to their weak adsorption capacity and persistency to remain in aqueous
286 phase. TCs can adsorb strongly onto solid particles through hydrogen bonding with
287 organic matter or complexing with metal cations.³² Therefore, the relatively low
288 concentrations of TCs in sludge show that they have been gradually replaced by other
289 antibiotics for treatment of human diseases in this region.

290 To summarize, the physicochemical properties and usage pattern of each target
291 pharmaceutical as well as the removal efficiency of the treatment process adopted can
292 all affect the presence of these substances in the sludge. In the present study, the
293 concentrations of each pharmaceutical in the sludge of the two studied WWTPs were
294 similar and kept stable during our investigation, implying that the presence of these
295 pharmaceuticals in sludge depends mostly on their physicochemical properties.

296 **3.2. Removal of pharmaceuticals in two WWTPs**

297 The concentrations of target pharmaceuticals in the wastewater and sludge
298 samples collected from various treatment units in both WWTPs are summarized in
299 [Tables S6–S8](#). Based on these values, the removal efficiencies of these
300 pharmaceuticals during the primary, secondary and tertiary treatments were calculated
301 and presented in [Table 1](#).

302 **Table 1**

303 The removal efficiency by the primary treatment was generally low except TCN,
304 PROP, and TMP (> 40%) in Plant A, indicating insignificant adsorption of most
305 pharmaceuticals to the suspended particles removed at this stage. The horizontal-flow
306 grit chamber combined with the primary clarifier in Plant A showed a better removal
307 of MLs and other miscellaneous pharmaceuticals than the rotational-flow grit
308 chamber in Plant B. In addition, the overall removal efficiency of target
309 pharmaceuticals was compound specific, which ranged from “negative” (*i.e.*, SMN
310 and CBZ) to 99.9% (CAF). [Gao et al.](#)⁶ reported a large variation in the removal of
311 SMX, LOM, and ROX in different WWTPs, which ranged from -5% to 62%, from

312 -60% to 100%, and from -190% to 37%, respectively. The removal efficiencies of
313 SMX and OLF were 0-84% and 0-62% in six Italian WWTPs, respectively.¹³
314 Negative removals were observed for SMN, LOM, and CBZ in Plant A and ERY-H₂O,
315 ROX, CBZ, and BF in Plant B, which have also been reported in previous studies.^{6,12}
316 This result probably arose from the hydraulic lag in sampling and the transformation
317 of unidentified human metabolites (*e.g.*, glucuronide conjugates, hydroxylated
318 metabolites, methylates, and glycines) into parent compounds in WWTPs.^{33,34} CAF
319 was almost completely removed and did not accumulate in the sludge regardless of
320 the type of treatment process adopted, so its removal was mainly attributed to
321 biodegradation.³⁵

322 The overall removal of target pharmaceuticals during the one-week monitoring
323 period was statistically assessed using the Paired Samples Test at a significance level
324 of 0.05 (SPSS 18, IBM, USA). SAs, FQs and MLs showed significantly different
325 removals between Plants A and B ($p < 0.01$), while the removals of TCs and Others
326 showed insignificant difference between the two plants. This result indicates that the
327 treatment efficiency of Plant A (upgraded) for pharmaceuticals was notably improved
328 compared with that of Plant B (conventional). The removal of pharmaceuticals in
329 different WWTPs depends on many factors, such as the treatment process adopted,
330 sludge retention time (SRT), hydraulic retention time, the physicochemical properties
331 of target compounds, and the sampling method used.^{36,37} However, the type of
332 biological treatment process is likely to be the dominant factor in this study, as
333 revealed by the statistical significance. For example, the removal efficiency of each
334 group of target pharmaceuticals ranged from 63.3% to 97.7% in Plant A
335 (A/A/O-MBBR) and from 31.1% to 97.1% in Plant B (C-Orbal OD). Meanwhile, the
336 removal efficiency of the tertiary treatment was negligible in both WWTPs (Table 1).
337 The RFDFs and UV disinfection were used to reduce suspended solids and inactivate
338 pathogens, respectively,³⁸ which could not effectively remove most of the
339 pharmaceuticals at trace levels ($< 1 \mu\text{g L}^{-1}$). Thus, advanced treatment technologies
340 (*e.g.*, nanofiltration/reverse osmosis, O₃, UV/H₂O₂) are required to enhance the
341 removal of residual pharmaceuticals in the effluent.

3.3. Mass load and mass balance of target pharmaceuticals

The mass flow and mass balance of target pharmaceuticals were determined to clarify their fate and potential removal pathways in the two studied WWTPs. The total mass loads of all target pharmaceuticals in the influent and effluent were 3553 and 203 g d⁻¹ in Plant A, and 1770 and 275 g d⁻¹ in Plant B, respectively (Fig. 4 and Fig. S1). Among the different groups, other miscellaneous pharmaceuticals (75.3–86.2%, mainly CAF) were dominant in the influent, whereas MLs (43.4–56.6%) were dominant in the effluent. The total mass load in the dewatered sludge was 307 and 142 g d⁻¹ in Plants A and B, respectively. FQs and MLs (mainly AZN) were dominant in the sludge, which accounted for more than 90% of the total mass load.

Fig. 4

The removal of the total mass load of target pharmaceuticals in Plant A reached 94.3%, much higher than the 84.5% removal in Plant B. Although Plant A was upgraded to improve the removal of biodegradable carbon, nitrogen and phosphorus, the combined A/A/O-MBBR process could also enhance the removal of pharmaceuticals because most pharmaceuticals are removed in the biological treatment (primarily under aerobic conditions). On the one hand, the possible removal mechanism of pharmaceuticals during the biological treatment is co-metabolism. In the present study, part of nitrogen was removed through nitrification/denitrification in the MBBR in Plant A, which could enhance the removal of some pharmaceuticals through co-metabolism. On the other hand, the longer SRT in Plant A could also improve the removal of target pharmaceuticals during biological treatment.³⁶ Batt *et al.*³⁹ reported that nitrifying bacteria were important for biodegradation of iopromide and TMP in the activated sludge process with an extended SRT. Dorival-García *et al.*⁴⁰ also found that the removal of FQs was enhanced by nitrification in a membrane bioreactor with a high SRT. In addition, the absence of primary clarifier in Plant B could lead to a higher load to the biological treatment process, and subsequently a lower removal of target pharmaceuticals.

The mass proportions of target pharmaceuticals, relative to the calculated initial mass load, in the effluent, dewatered sludge, and removed in both WWTPs are

372 illustrated in Fig. 5. The removed proportion was primarily attributed to
373 biodegradation, and other removal pathways such as volatilization, hydrolysis,
374 photolysis, and epimerization may also have certain contributions depending on the
375 specific physicochemical properties of each target pharmaceutical.

376 **Fig. 5**

377 The removed proportions of studied SAs (except SMN) were all above 50% in
378 the two WWTPs and only a low proportion (< 9%) of SAs was found in the sludge,
379 indicating that adsorption onto sludge was insignificant and biodegradation was the
380 primary removal pathway for most SAs. For FQs and TCs, high proportions (up to
381 731%) were detected in the dewatered sludge, indicating that sludge adsorption was
382 the primary removal pathway. Previous researches have reported that FQs and TCs
383 could be adsorbed strongly to sludge particles with little or even no biodegradation.⁴¹
384 FQs, as a group of hydrophobic and zwitterionic compounds, have a high adsorption
385 affinity for sludge as a result of electrostatic interactions with suspended solids.²⁹
386 Meanwhile, TCs can interact strongly with clay, natural organic matter and metal
387 oxides through cation exchange, surface complexation, hydrophobic partitioning, and
388 electron donor-acceptor interactions.⁴² The removed proportions of MLs varied from
389 -15.7% to 84.5%, while the proportions in the sludge ranged from 0.6% to 3.1% for
390 CLA, ERY-H₂O and ROX, and from 36.7% to 86.7% for AZN. Therefore,
391 biodegradation was mainly responsible for the removal of CLA, ERY-H₂O and ROX,
392 while sludge adsorption was important for the removal of AZN. AZN, with the
393 dimethylamino group positively charged under nearly neutral pH conditions, could be
394 easily adsorbed onto the negatively charged sludge particles through electrostatic
395 interactions.²⁶ For other miscellaneous pharmaceuticals, their low proportions (< 1%)
396 detected in the dewatered sludge implied that sludge adsorption was of minor
397 importance for their removal. CAF and ATE were biodegraded effectively with the
398 removed proportions reaching 90–100% in both WWTPs, which is consistent with
399 their aqueous removal efficiencies (Table 1). By contrast, large proportions of BF,
400 PROP, and TMP were observed in the effluent, indicating their low biodegradability.
401 From the aqueous removal efficiencies (< 5%) in the two plants, MET and CBZ

402 appeared resistant to both biodegradation and adsorption regardless of the type of the
403 treatment process adopted. The negative removal of CBZ was likely due to the
404 deconjugation of conjugated CBZ metabolites during the biological treatment.³³

405 The influent mass load of a target pharmaceutical per capita reflects its usage
406 pattern in the service area, whereas the mass load per capita in the effluent and sludge
407 denotes its potential pollution to the environment. The total influent mass load of
408 target pharmaceuticals amounted to 4333.3 $\mu\text{g d}^{-1}$ inhabitant⁻¹ in Plant A and 2681.8
409 $\mu\text{g d}^{-1}$ inhabitant⁻¹ in Plant B (Table 2), much lower than those reported in Spain⁴³
410 (7485 $\mu\text{g d}^{-1}$ inhabitant⁻¹) and the United States⁴⁴ (15440 $\mu\text{g d}^{-1}$ inhabitant⁻¹). The
411 OLF level (about 160 $\mu\text{g d}^{-1}$ inhabitant⁻¹) in the influent of both WWTPs was
412 comparable to the reported maximum values in Spain⁴³ (130.4 $\mu\text{g d}^{-1}$ inhabitant⁻¹)
413 and Sweden⁴⁵ (155.8 $\mu\text{g d}^{-1}$ inhabitant⁻¹), but much lower than that detected in Italy¹³
414 (614 $\mu\text{g d}^{-1}$ inhabitant⁻¹). The total mass loads of target pharmaceuticals per capita in
415 the two WWTPs were in the ranges of 248.0–416.6 and 214.6–374.5 $\mu\text{g d}^{-1}$
416 inhabitant⁻¹ in the effluent and dewatered sludge, respectively. Our results showed
417 that SAs, most of the MLs, and other miscellaneous pharmaceuticals reached the
418 environment mainly through effluent discharge, whereas FQs and AZN were mainly
419 released through sludge disposal.

420 Table 2

421 3.4. Environmental impact

422 Residual pharmaceuticals are released into the environment through effluent
423 discharge and sludge disposal, which may cause adverse impacts to ecosystems and
424 human health. Meanwhile, land application and landfill, as two common ways for
425 sludge disposal in China, are potential pollution sources of pharmaceuticals to soil,
426 surface water, and groundwater.⁴⁶ The continual input of pharmaceuticals to aquatic
427 environments have been shown to impose selective pressure on bacterial populations,
428 resulting in the prevalence of antimicrobial resistance.²⁶ Recently, bacteria that are
429 resistant or multi-resistant to antibiotics have been identified in aquatic environments
430 and soils, and significant correlations between the concentrations of SAs and MLs and
431 the presence of antibiotic resistance genes have been reported.^{47–49} Exposure to

432 antibiotics may exert adverse effects on the reproductivity of different organisms in
433 their early life stages.^{49,50} Therefore, it is necessary to assess the ecological risks of
434 pharmaceuticals in both effluent and sludge to the aquatic environment.

435 Each kind of test aquatic organism (*i.e.*, algae, invertebrates, and fish) exhibited
436 different susceptibilities to different pharmaceuticals (Table S9).⁵¹ The susceptibility
437 of the test organisms to pharmaceuticals generally followed a descending order: algae >
438 invertebrate > fish, suggesting that algae be the most susceptible to pharmaceuticals in
439 the aquatic environment.⁵² For the final effluent, high RQs of SMX (2.19), OLF
440 (17.96), CIP (9.05) and CLA (1.27) were found for algae in Plant A, and SMX (5.33),
441 OLF (25.10), and CIP (10.40) in Plant B, indicating a high risk of the effluent to algae.
442 Meanwhile, LOM, CTC, OTC, ERY-H₂O, ROX, and AZN could pose a medium risk
443 to algae, and OTC and AZN could further pose a medium risk to invertebrates in the
444 aquatic environment. A previous study conducted in France found that DOX, OLF,
445 CIP, CLA, ROX, AZN, SMX, and TMP required prioritized attention because of their
446 high ecological risk to aquatic environment.⁵³ In addition, the risk assessment on 6
447 most commonly used antibiotics in Italy revealed that ERY, lincomycin, and CLA
448 posed a high risk to the aquatic environment.⁵⁴

449 For the sludge, only OLF in Plant B posed a high risk to algae, while a medium
450 risk to algae could be induced by SMX, CIP, and CLA. All the RQs for other
451 miscellaneous pharmaceuticals were less than 0.1, no matter in the effluent or sludge.
452 In addition, the total risk of all target pharmaceuticals in the effluent or sludge was
453 estimated by RQ_{tot} for the worst case scenario. The RQ_{tot} values for algae ranged from
454 31.29 to 42.30 in the effluent and from 1.96 to 4.40 in the sludge, indicating a high
455 risk to algae. Meanwhile, the effluent could further pose a medium risk to
456 invertebrates (RQ_{tot} = 0.317–0.584). A most recent study conducted in the Three
457 Gorges Reservoir Area of China has also reported that SDZ, SMX, OLF, AZN,
458 ERY-H₂O, and the mixture of all detected pharmaceuticals in both WWTP effluent
459 and sludge could pose a high risk to algae.²⁶

460 To summarize, the results demonstrated that the occurrence of some
461 pharmaceuticals in the effluent and excess sludge could pose a medium-to-high risk to

462 aquatic organisms. Many pharmaceuticals, even if their ecological risks are estimated
463 to be low, are discharged continuously to the environment, which can induce adverse
464 impacts on aquatic ecosystems in the long term because of their chronic and
465 combined toxicities. Therefore, it is urgent to improve the removal of pharmaceuticals
466 during wastewater and sludge treatment, so as to reduce the ecological risks induced
467 by residual pharmaceuticals.

468 **4. Conclusions**

469 The occurrence and fate of 30 pharmaceuticals of multiple classes were
470 investigated in both conventional and upgraded WWTPs. A total of 23 and 19
471 pharmaceuticals were detected in wastewater and sludge, respectively. The removal
472 efficiencies of target pharmaceuticals in both WWTPs varied largely from “negative”
473 (*i.e.*, SMN and CBZ) to 99.9% (CAF), depending on their physicochemical properties
474 and the wastewater treatment process adopted. Based on the mass balance analysis,
475 the behavior and fate of target pharmaceuticals were clarified. The removal of SAs,
476 most of the MLs, and other miscellaneous pharmaceuticals was mainly attributed to
477 biodegradation, whereas the removal of FQs, TCs, and AZN was mainly attributed to
478 sludge adsorption. The total mass load of target pharmaceuticals was removed by 94.3%
479 in the upgraded Plant A adopting A/A/O-MBBR, which is considerably higher than
480 that in the conventional Plant B adopting C-Orbal OD (*i.e.*, 84.5%). The ecological
481 risk assessment indicated that four pharmaceuticals (SMX, OLF, CIP, and CLA) in the
482 effluent, one pharmaceutical (OLF) in the sludge, and the mixture of all target
483 pharmaceuticals in both effluent and sludge could pose a high risk to algae in the
484 aquatic environment. This study helps understand the behavior and fate of
485 pharmaceuticals in both conventional and upgraded WWTPs as well as the ecological
486 risks induced by effluent discharge and sludge disposal, thus providing useful
487 information for better control of micro-pollutants in WWTPs.

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496 **Electronic supplementary information (ESI) Available**

497 Additional information is available on the analytical method (Text S1),
498 physicochemical properties of pharmaceuticals, operational parameters of two studied
499 WWTPs, wastewater and sludge characteristics, optimized operational parameters of
500 MS/MS, pharmaceutical concentrations in wastewater and sludge, calculated RQs
501 (Tables S1–S9) and total mass flows of pharmaceuticals (Fig. S1). See DOI:

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604

605

606 **Figure captions**

- 607 Fig. 1 Schematic diagram of two studied WWTPs and sampling points.
- 608 Fig. 2 One-week monitored concentrations of target pharmaceuticals in the influent
609 and effluent of two studied WWTPs.
- 610 Fig. 3 One-week monitored concentrations of target pharmaceuticals in the excess
611 sludge of two studied WWTPs.
- 612 Fig. 4 Mass flow composition and daily mass load of target pharmaceuticals in the
613 influent, effluent, and dewatered sludge of two studied WWTPs.
- 614 Fig. 5 Mass proportion of target pharmaceuticals in the effluent, dewatered sludge,
615 and removed in two studied WWTPs.
- 616

Table 1 Aqueous removal efficiencies (%) of target pharmaceuticals in different treatment units of two studied WWTPs

| Compound | Plant A | | | Plant B | | |
|----------------------|-------------------|---------------------|--------------------|-------------------|---------------------|--------------------|
| | Primary treatment | Secondary treatment | Tertiary treatment | Primary treatment | Secondary treatment | Tertiary treatment |
| SDZ | 14.6 | 60.8 | 70.0 | 2.4 | 77.2 | 71.5 |
| STZ | 38.4 | 91.2 | 94.3 | ND ^a | ND | ND |
| SMN | -20.8 | -9.8 | -9.4 | 4.9 | 47.2 | 58.8 |
| SMX | 6.7 | 67.1 | 75.8 | 5.2 | 64.6 | 51.1 |
| NOR | 18.2 | 79.0 | 79.4 | 24.3 | 71.5 | 70.3 |
| OLF | 23.0 | 84.9 | 83.7 | 12.9 | 54.8 | 52.7 |
| CIP | 19.1 | 80.3 | 78.0 | 14.0 | 79.0 | 78.5 |
| LOM | -25.9 | 78.5 | 57.5 | 37.7 | 94.6 | 88.4 |
| TCN | 58.1 | 79.1 | 69.5 | 30.0 | 46.2 | 59.2 |
| CTC | 30.9 | 50.6 | 54.1 | 19.6 | 65.0 | 46.9 |
| OTC | 18.9 | 93.8 | 93.1 | 9.9 | 81.3 | 74.0 |
| DOX | 26.5 | 57.1 | 82.0 | 34.0 | 84.4 | 82.0 |
| CLA | 3.6 | 59.9 | 66.2 | 1.1 | 86.9 | 85.2 |
| ERY-H ₂ O | 13.0 | 60.8 | 75.8 | -3.2 | 52.5 | 53.9 |
| ROX | 13.6 | 53.7 | 60.3 | -2.1 | 20.8 | 27.9 |
| AZN | 17.5 | 76.2 | 71.0 | 7.1 | 29.7 | 32.8 |
| ATE | 30.3 | 83.1 | 89.9 | 9.5 | 83.8 | 94.3 |
| MET | 6.8 | 1.7 | 4.2 | 2.4 | 3.6 | 5.4 |
| PROP | 45.5 | 24.2 | 17.6 | 4.9 | 0.8 | 14.6 |
| CBZ | -4.1 | -49.4 | -43.8 | -8.8 | -80.3 | -69.7 |
| BF | 22.2 | 32.8 | 35.1 | -38.1 | 80.4 | 69.3 |
| CAF | 25.2 | 99.9 | 99.9 | 3.5 | 99.9 | 99.9 |
| TMP | 44.8 | 28.8 | 24.0 | 2.3 | 14.9 | 18.5 |
| ∑SAs | 6.8 | 66.0 | 74.5 | 5.1 | 64.0 | 51.9 |
| ∑FQs | 21.7 | 83.5 | 82.4 | 15.9 | 60.8 | 58.8 |
| ∑TCs | 23.7 | 89.0 | 88.8 | 14.0 | 79.7 | 73.4 |
| ∑MLs | 13.3 | 63.3 | 65.5 | 3.3 | 31.1 | 35.2 |
| ∑Others | 24.9 | 97.6 | 97.7 | 3.5 | 97.1 | 97.2 |

^a ND: not detected.

Table 2 Average mass loads of target pharmaceuticals per capita ($\mu\text{g d}^{-1}$ inhabitant $^{-1}$) in two studied WWTPs

| Compound | Plant A | | | Plant B | | |
|----------------------|----------|----------|-----------------|----------|----------|------------------|
| | Influent | Effluent | Dewater sludge | Influent | Effluent | Dewatered sludge |
| SDZ | 0.80 | 0.24 | ND ^a | 1.27 | 0.36 | ND |
| STZ | 0.79 | 0.04 | 0.07 | ND | ND | ND |
| SMN | 0.96 | 1.05 | 0.02 | 2.75 | 1.13 | 0.01 |
| SMX | 54.24 | 13.13 | 0.35 | 56.12 | 27.42 | 0.22 |
| NOR | 33.82 | 6.95 | 52.82 | 38.47 | 11.42 | 26.05 |
| OLF | 166.9 | 27.16 | 190.4 | 164.1 | 77.60 | 93.86 |
| CIP | 22.11 | 4.86 | 14.72 | 15.84 | 3.41 | 6.77 |
| LOM | 0.81 | 0.35 | 5.94 | 8.27 | 0.96 | 4.29 |
| TCN | 0.72 | 0.22 | 0.74 | 0.37 | 0.15 | 0.53 |
| CTC | 0.24 | 0.11 | 0.22 | 0.38 | 0.20 | 1.12 |
| OTC | 5.73 | 0.40 | 5.71 | 7.83 | 2.04 | 5.54 |
| DOX | 0.37 | 0.07 | 0.20 | 1.21 | 0.22 | 0.22 |
| CLA | 55.79 | 18.85 | 0.72 | 28.42 | 4.22 | 0.18 |
| ERY-H ₂ O | 1.53 | 0.37 | 0.04 | 1.25 | 0.58 | 0.04 |
| ROX | 137.8 | 54.64 | 0.73 | 130.1 | 93.74 | 0.61 |
| AZN | 116.7 | 33.83 | 101.1 | 204.1 | 137.1 | 74.91 |
| ATE | 7.24 | 0.73 | ND | 10.76 | 0.61 | ND |
| MET | 66.15 | 63.34 | 0.14 | 42.54 | 40.25 | 0.07 |
| PROP | 0.55 | 0.46 | 0.02 | 0.75 | 0.64 | 0.01 |
| CBZ | 5.13 | 7.38 | ND | 2.74 | 4.65 | ND |
| BF | 2.32 | 1.51 | ND | 0.49 | 0.15 | ND |
| CAF | 3641.3 | 3.73 | 0.35 | 1954.7 | 2.17 | 0.12 |
| TMP | 11.36 | 8.64 | 0.13 | 9.34 | 7.61 | 0.07 |
| Σ SAs | 56.79 | 14.46 | 0.43 | 60.15 | 28.92 | 0.23 |
| Σ FQs | 223.7 | 39.32 | 263.9 | 226.7 | 93.38 | 131.0 |
| Σ TCs | 7.06 | 0.79 | 6.88 | 9.79 | 2.61 | 7.41 |
| Σ MLs | 311.8 | 107.7 | 102.6 | 363.9 | 235.6 | 75.74 |
| Σ Others | 3734.0 | 85.78 | 0.64 | 2021.3 | 56.08 | 0.27 |
| Σ All | 4333.3 | 248.0 | 374.5 | 2681.8 | 416.6 | 214.6 |

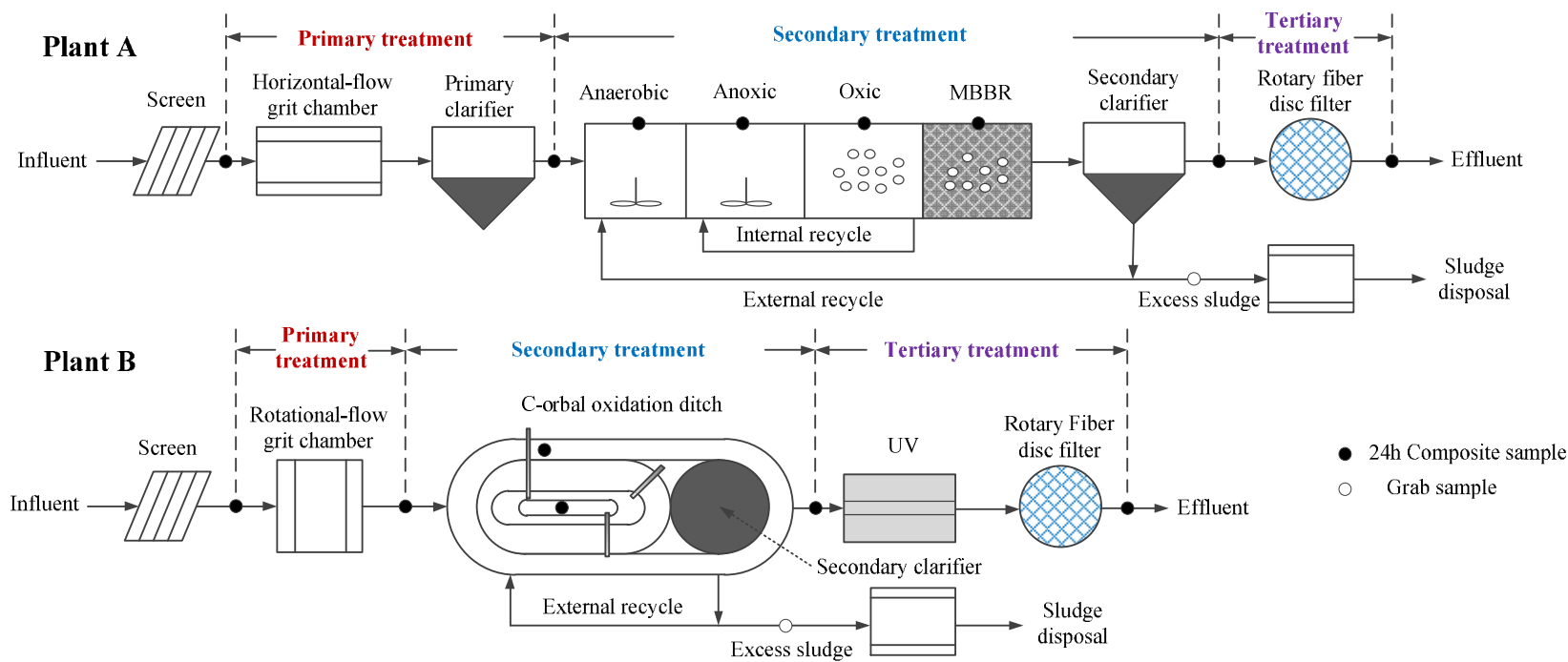


Fig. 1 Schematic diagram of two studied WWTPs and sampling points.

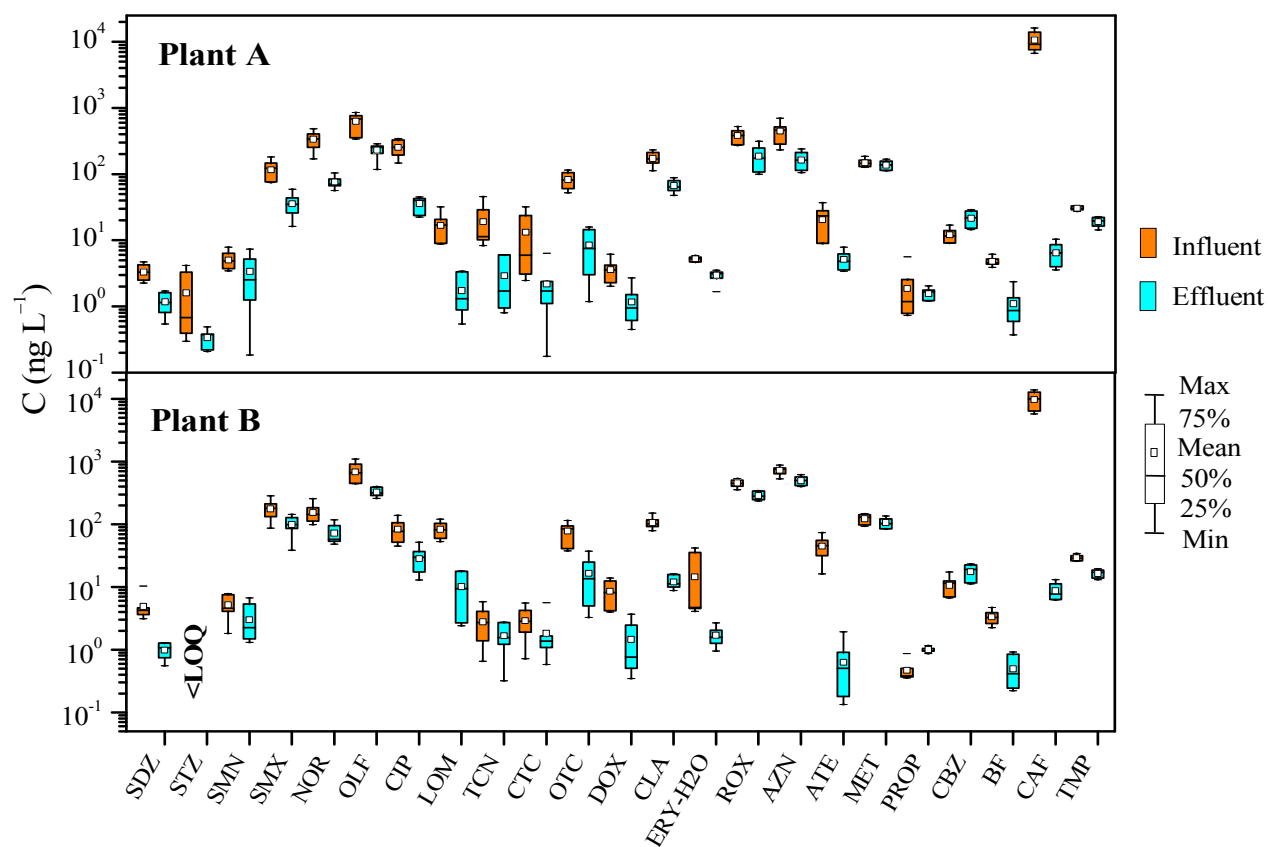


Fig. 2 One-week monitored concentrations of target pharmaceuticals in the influent and effluent of two studied WWTPs.

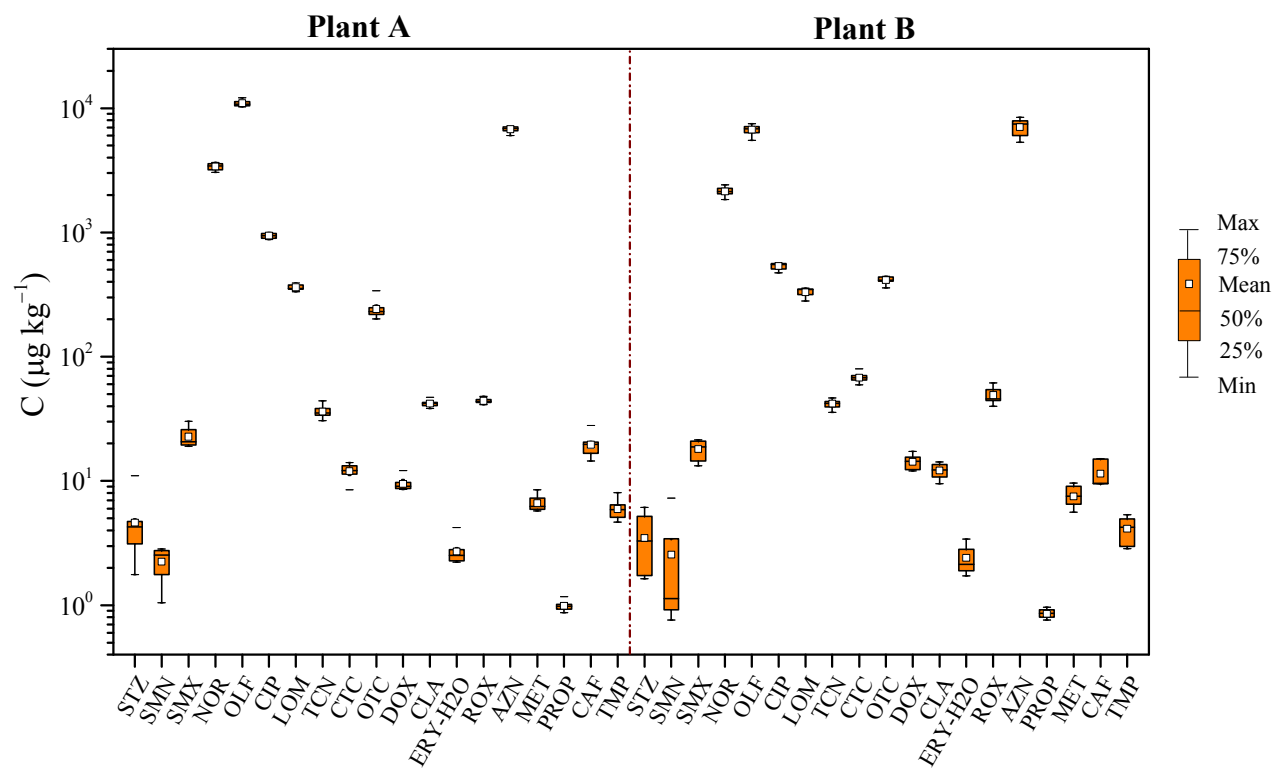


Fig. 3 One-week monitored concentrations of target pharmaceuticals in the excess sludge of two studied WWTPs.

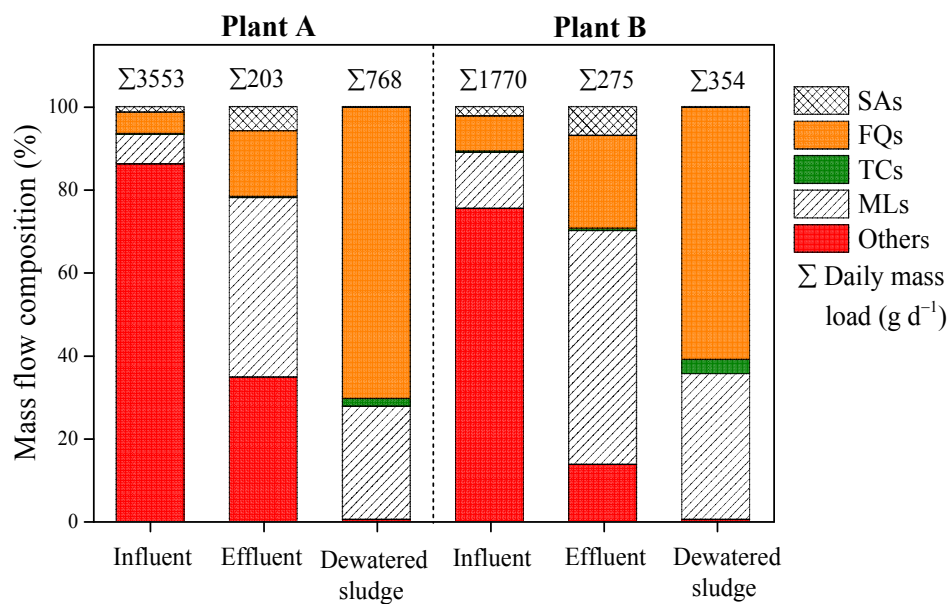


Fig. 4 Mass flow composition and daily mass load of target pharmaceuticals in the influent, effluent, and dewatered sludge of two studied WWTPs.

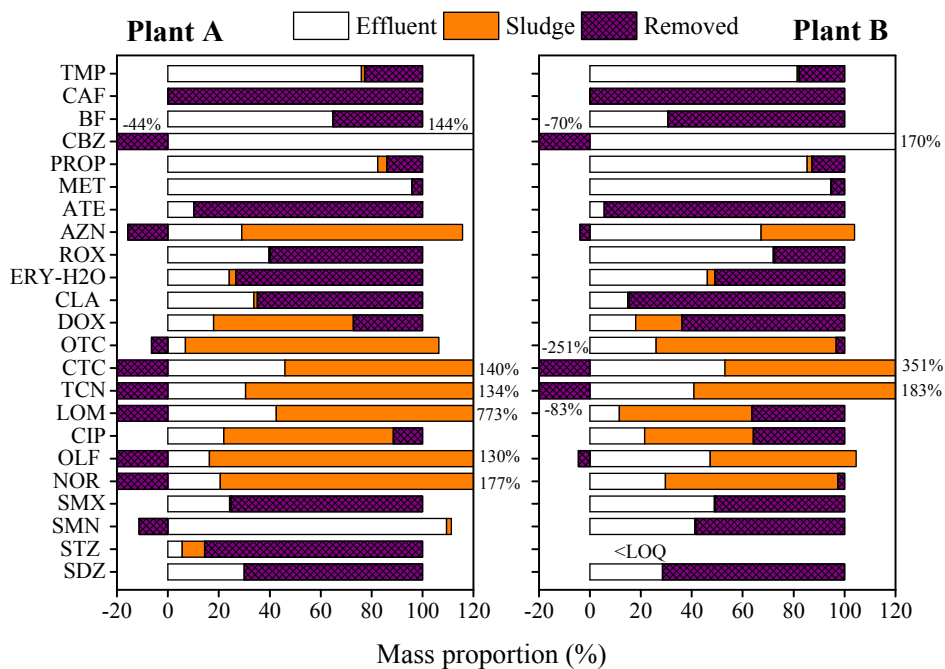


Fig. 5 Mass proportion of target pharmaceuticals in the effluent, dewatered sludge, and removed in two studied WWTPs.