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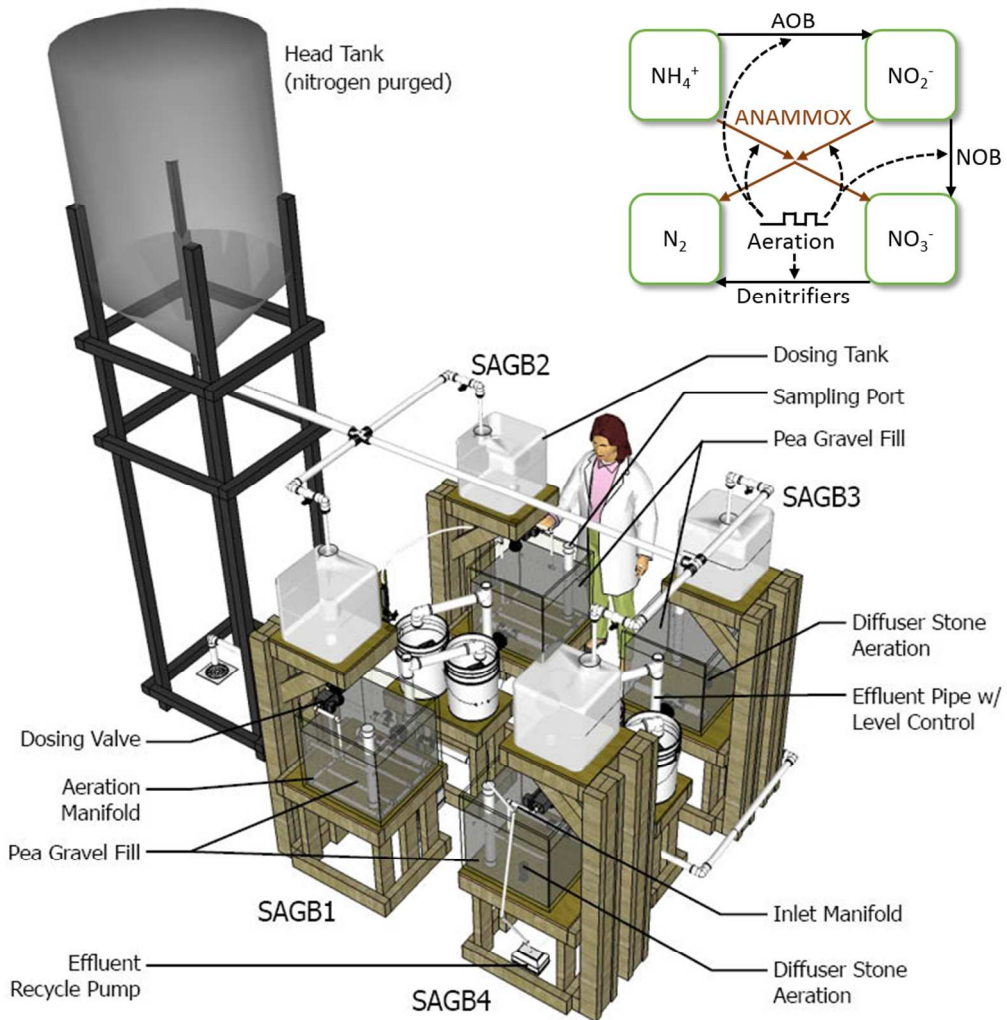
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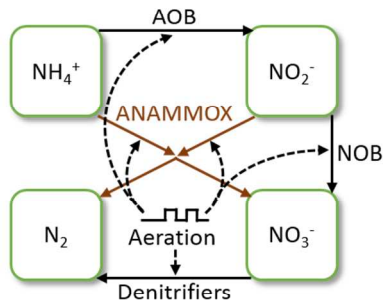
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Smart-aerated submerged attached growth bioreactors perform partial nitritation ANAMMOX at 20°C.

Experimental Data



Numerical Modeling



1 **Environmental Impact**

2 Limited infrastructure improvement budgets for small, rural communities have left countless
3 streams and rivers under threat by discharges of inadequately treated domestic wastewater. Acute
4 ammonia toxicity can kill organisms at the local scale and chronic nutrient releases contribute to
5 local, regional and coastal hypoxia attributable to undesirable, and often toxic, algal blooms.
6 Therefore, to protect the environment and to better ensure the long-term viability of rural
7 communities, relatively inexpensive, easy to maintain and increasingly energy efficient
8 wastewater treatment systems with nutrient removal capabilities are needed. This study
9 investigated how submerged attached growth bioreactors equipped with “smart”, pH-controlled
10 aeration could remove nitrogen from domestic wastewater via the partial nitrification ANAMMOX
11 process at 20°C.

**Partial Nitrification ANAMMOX in Submerged Attached Growth Bioreactors
with Smart Aeration at 20°C**

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1 Introduction

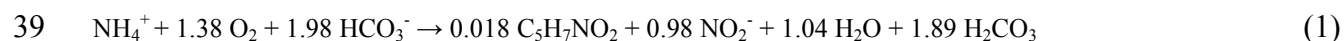
2 Effective and affordable treatment of municipal wastewater flows of less than $3.8 \times 10^3 \text{ m}^3 \text{ d}^{-1}$ (1
3 million gallons per day, MGD) poses significant design challenges for small communities,
4 especially in cooler climates. Increasingly stringent standards and guidelines for discharges of
5 ammonium (NH_4^+) and total nitrogen (TN) have driven the exploration of treatment systems that
6 maximize TN removal while minimizing aeration requirements. Submerged attached growth
7 bioreactors (SAGBs) have been successfully utilized for smaller wastewater flows (< 1 MGD)
8 due to relative ease of operation and robustness. Underground placement of SAGBs also
9 facilitates cold-climate treatment and improves aesthetics.¹ SAGBs can be continuously aerated
10 to maximize treatment of carbonaceous biological oxygen demand (cBOD) and total Kjeldahl
11 nitrogen (TKN) with greater than 95% cBOD and 90% and TKN removal.² A planted, vertical-
12 flow SAGB with continuous aeration achieved a loading-rate dependent NH_4^+ removal of 65-
13 87%.³ A similar system with continuous aeration removed 97%, 99% and 29% chemical oxygen
14 demand (COD), NH_4^+ and TN, respectively.⁴ Additionally, planted horizontal-flow SAGBs
15 removed up to 96.3% TN in summer (Montreal, Canada) and nearly 60% in a 5°C winter,
16 greenhouse temperature.⁵ A similar system removed 4-42% TKN in Montreal summer and 13-
17 29% TKN in winter.⁶

18 Other SAGBs utilize intermittent aeration to facilitate nitrification and denitrification which
19 reduces aeration costs as compared to constantly aerated systems. Our previous work
20 demonstrated that intermittently aerated, horizontal-flow SAGBs dosed with municipal primary
21 effluent removed 84- 93% cBOD and 65-95% TN in planted and unplanted cells.⁷ A planted,
22 intermittently aerated vertical flow SAGB removed 54-78% of NH_4^+ and 29-57% of TN as a

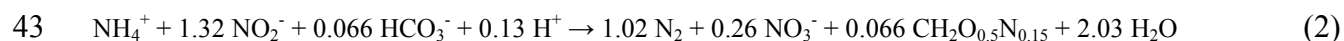
23 function of hydraulic loading.³ A similar system achieved 96% COD, 99% NH₄⁺ and 90% TN
 24 removal⁸ and another achieved 96% COD, 97% NH₄⁺, and 74% TN removal.⁴

25 The Amphidrome[®] SAGB treats domestic wastewater flows of up to 0.5 MGD to less than 30
 26 mg/L cBOD and less than 10 mg/L TN.^{9, 10} With methanol addition, a similar system removed
 27 over 94% cBOD and 52–72% TN from municipal wastewater.¹¹ Another study, performing
 28 sidestream treatment of dewatering centrate, achieved an average TN removal of 85% when
 29 methanol was added.¹² A similar SAGB with limited aeration favored nitrite (NO₂⁻) formation
 30 from NH₄⁺ while minimizing nitrate (NO₃⁻) production and with the addition of methanol and
 31 sodium bicarbonate, 25% of the TN was removed.¹³

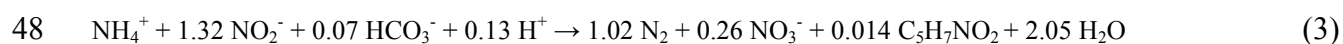
32 Partial nitrification (i.e. the fractional conversion of available NH₄⁺ to NO₂⁻) coupled with
 33 anaerobic ammonium oxidation (ANAMMOX) is a relatively new wastewater treatment
 34 approach that can treat TN while decreasing aeration needs.^{14, 15} Partial nitrification requires
 35 precision dissolved oxygen (DO) control (typically < 0.5 mg/L) to select for ammonium
 36 oxidizing archaea/bacteria (AOB) activity while limiting nitrite oxidizing bacteria (NOB). Under
 37 these conditions, NH₄⁺ is oxidized to NO₂⁻ as alkalinity is consumed and pH (typical range, 6.5–
 38 8) is driven lower¹⁵:



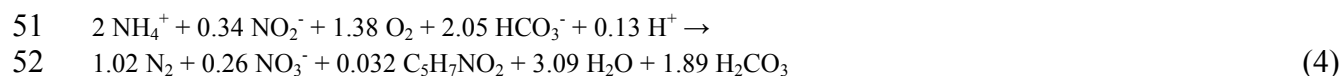
40 With a desired level of NH₄⁺ oxidation reached, aeration is turned off and ANAMMOX activity
 41 commences as DO tends toward zero. ANAMMOX bacteria recover alkalinity as NH₄⁺ and NO₂⁻
 42 are converted to nitrogen gas (N₂) and NO₃⁻ as described by Strous¹⁴:



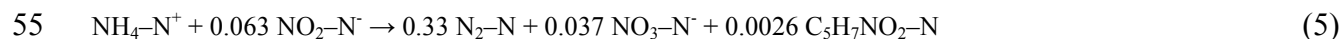
44 Combining these equations produces a nitrogen balance useful for inferring partial nitritation
 45 ANAMMOX activity from water chemistry. Modifying Equation 2 to include the same empirical
 46 formula for biomass ($C_5H_7NO_2$) that is used in Equation 1 and rebalancing the stoichiometric
 47 coefficients for HCO_3^- , H_2O , and biomass yields:



49 Combining Equations 1 and 3 reveals the net stoichiometry for the complete partial nitritation
 50 ANAMMOX process that occurs over two, rate independent steps:



53 Converting N-containing species in Equation 4 to “as N” equivalents and normalizing to NH_4-
 54 N^+ yields the nitrogen balance for the partial nitritation ANAMMOX process:



56 Similar to the nitrification–denitrification process, the consumption and subsequent recovery of
 57 alkalinity across the two stage partial nitritation ANAMMOX cycle results in a characteristic
 58 “saw tooth” pH pattern over time. This pH signal can serve as an aeration control parameter
 59 toward the creation of “smart–aerated” systems. The most common current application for
 60 smart–aerated, partial nitritation ANAMMOX is for large treatment systems without attached
 61 growth media that are operated at 25–40°C.¹⁶⁻²⁰ One planted SAGB with controlled DO of 0.2–
 62 0.6 mg/L achieved 87.2% cBOD removal and 68.7–85.1% TN removal.²¹ A SAGB using
 63 hydrophilic acryl fiber netting as the growth medium treated high NH_4^+ wastewater via partial
 64 nitritation ANAMMOX at 35°C with an aerobic zone at 2–3 mg/L DO for AOB activity and an
 65 anoxic zone for ANAMMOX achieved 60–80% NH_4^+ removal.²²

66 Partial nitritation ANAMMOX theoretically requires 0.7 moles of O_2 to yield 0.5 mole of N_2
67 compared to 1.8 moles required for nitrification–denitrification and 1.3 moles required for
68 nitritation–denitrification (Figure 1).²³ Smart–aerated SAGBs operating in partial nitritation
69 ANAMMOX mode hold promise to remove nitrogen from wastewater using less aeration and
70 thus reducing treatment costs for small communities. But, many of these communities experience
71 wastewater temperatures below the generally accepted 25°C minimum for effective
72 ANAMMOX–based nitrogen removal.²⁴ Therefore, this study is what we understand to be the
73 first report of partial nitritation ANAMMOX below the 25°C threshold in pilot–scale, smart–
74 aerated SAGBs operated at 20°C.

75 **Materials and Methods**

76 *ANAMMOX Seed Reactor Activity and DNA Analysis*

77 ANAMMOX seed material (1.6 L) from the Hampton Roads Sanitation District, York River,
78 Virginia, treatment plant that was recently retrofitted to be the first DEMON[®] sidestream
79 deammonification system in North America.²⁵ The seed was maintained in a 2 L, glass container
80 that was table shaken at 100 RPM at 38.5°C. A nitrogen purge line with diffuser stone was
81 inserted to maintain anaerobic conditions and the seed reactor was periodically fed NH_4^+ (~25
82 mg/L, variable), NO_2^- (~25 mg/L, variable), $NaHCO_3$ (100 mg/L), NaH_2PO_4 (25 mg/L), K_2HPO_4
83 (30 mg/L), $MgCl_2$ (40 mg/L), and $CaCl_2$ (60 mg/L). ANAMMOX activity was measured during
84 a 72 hour NH_4^+ and NO_2^- (initial concentrations of 25 mg/L) utilization experiment with analysis
85 by ion chromatographs (AS2000 and AS900, Thermo Scientific Dionex, Sunnyvale, CA)
86 equipped for cations (CS15 column) and anions (AS22 column) with software control and data
87 processing (Chromeleon, version 7). Dissolved oxygen was measured by luminescence with an
88 electronic probe and meter (IntelliCAL™ LDO101 probe, HQ40d meter, Hach Company).

89 Presence of ANAMMOX bacteria was determined by DNA extraction, polymerase chain
90 reaction (PCR) amplification of the 16S rRNA gene, cloning, sequencing and phylogenetic
91 analysis. Genomic DNA was extracted (PowerWater[®] Sterivex[™] DNA Isolation Kit, MO BIO
92 Laboratories, Carlsbad, CA) from 200 mL of 20-fold diluted (RNase-free water, Qiagen,
93 Germantown, MA) ANAMMOX seed and PCR inhibiting substances were removed (QIAquick
94 PCR Purification Kit, Qiagen). A 25 µL PCR reaction mix containing 12.5 µL of *Taq* PCR
95 Master Mix (Qiagen), 600 nM each of the forward and reverse primers (A438f/A684r; specific
96 for the ANAMMOX 16S rRNA gene²⁶) and 450 ng of DNA template was used. Partial 16S
97 rRNA genes (246 base pair (bp) expected product size) were amplified (Eppendorf MasterCycler
98 EP S, Hamburg, Germany) and the products were purified (MinElute PCR Purification Kit,
99 Qiagen). The purified PCR products were ligated overnight at 4°C into the pCR[®]2.1 vector using
100 the TA Cloning[®] Kit (Invitrogen, Carlsbad, CA) with a 1:1 molar insert to vector ratio. Ligations
101 were transformed into One Shot[®] TOP10 Chemically Competent *E. coli* (Invitrogen) and
102 transformants were analyzed according to the cloning kit instructions. Plasmids were extracted
103 using QIAprep Spin Miniprep Kit (Qiagen) and clones were PCR-screened with M13 primers
104 (both F and R). Appropriately sized inserts were Sanger-sequenced with the M13F primer (5'-
105 GTAAAACGACGGCCAG -3') at the Iowa Institute of Human Genetics, Genomics Division.
106 The 16S rRNA gene sequences were analyzed for nearest neighbor sequences via the SimRank
107 function in Greengenes (<http://greengenes.lbl.gov>), a chimera-checked 16S rRNA database.²⁷
108 SimRank estimates the similarity between two sequences with respect to how many unique 7
109 nucleotide sequence runs (7-mers) they share. SimRank similarity scores do not necessarily
110 equate with % identities that are obtained by sequence alignment. Nucleotide sequences derived
111 from this study have been deposited in Genbank (Accession nos. KM401817-KM401837).

112 *Pilot-Scale SAGB Setup and Operation*

113 Four pilot-scale SAGBs (Figure 2) were constructed within a temperature controlled chamber
114 operated at 20°C. Synthetic wastewater was stored in a 950 L polypropylene head tank
115 positioned 4 m above floor level. The head tank was connected via 3.8 cm diameter PVC pipe to
116 four 45 L polypropylene dosing tanks positioned above the inlet of each 61 cm x 61 cm x 46 cm
117 SAGB. The dosing tank outlets were connected to an electronic valve and that to an inlet
118 manifold. The inlet manifold was a 1.3 cm diameter PVC down pipe connected to a 1.3 cm
119 diameter, 55 cm long, horizontal pipe with eight 2.4 mm dosing holes. Treated wastewater exited
120 each SAGB via a horizontal, 3.8 cm diameter PVC pipe, 55 cm long with sixteen 3.6 mm
121 diameter holes. The effluent manifold piping penetrated the SAGB wall before connecting to a
122 water level control apparatus. The level control apparatus was a vertical 3.8 cm diameter, 30.5
123 cm tall PVC pipe open to atmosphere. The level control apparatus was contained in a 19 L
124 bucket that drained to the sanitary sewer via 3.8 cm diameter pipe. SAGB1 and SAGB2
125 contained aeration manifolds consisting of four, 1.3 cm diameter PVC pipes, 50 cm long, with
126 3.2 mm outlet holes connected via 61 cm long distribution piping including a 76 cm tall inlet
127 pipe. SAGB3 and SAGB4 were aerated via a 2 cm diameter diffuser stone placed 13 cm from the
128 inlet, 2.5 cm above bottom. Compressed air was provided by a pump (Pondmaster AP 100,
129 Danner Manufacturing, Islandia, NY) connected to a distribution manifold with adjustable
130 needle flow valves. Additionally, SAGB4 was equipped with a recirculation pump that delivered
131 effluent water to the sampling port at 0.1 LPM.

132 Washed pea gravel (~0.1 m³) was added to each SAGB before inoculation with 45 L of
133 municipal primary effluent (Iowa City, IA). A synthetic wastewater, comprised of yeast extract
134 (10 mg/L), casamino acids (10 mg/L), NaHCO₃ (100 mg/L), NaH₂PO₄ (25 mg/L), K₂HPO₄ (30

135 mg/L), MgCl₂ (40 mg/L), and CaCl₂ (60 mg/L), sodium acetate (110 mg/L), glucose (100 mg/L)
136 and glycine (67 mg/L) (modified from Klatt²⁸), was added gradually to each SAGB over 7 days
137 to minimize bacterial washout. The head tank was filled with synthetic wastewater that was
138 continuously sparged with nitrogen to slow microbial degradation prior to dosing. The dosing
139 valves were microcontroller (Arduino UNO R3, SmartProjects, Italy) programmed to deliver 3 L
140 of synthetic wastewater every 6 hours to achieve a mean hydraulic residence time of
141 approximately 4 days. All SAGBs were operated for 19 weeks with SAGB1 and SAGB2 aerated
142 at 1.2 LPM on a 6 hour on, 6 hour off cycle to mimic conditions from our previous work.⁷
143 SAGB3 and SAGB4 received no mechanical aeration during this period.

144 Synthetic wastewater dosing was then halted, and 0.25 L of the ANAMMOX seed was added to
145 each SAGB followed by a 7 day attachment period. At this point, SAGB3 and SAGB4 were each
146 equipped with pH-controlled (IntelliCAL™ PHC101 probe and SC200 universal controller,
147 Hach Company, Loveland, CO) air flow meters (FMA5518, Omega Engineering, Stamford,
148 Connecticut) that delivered 2.0 LPM when on. Given the established pH range of 6.5–8.0 for
149 partial nitritation ANAMMOX processes, two pH ranges between 7.0 and 7.5 were chosen for
150 this study. The pH-control for SAGB3 was programmed to begin aeration at pH 7.25 and to
151 cease aeration at pH 7.05 and the pH-control for SAGB4 had set points of 7.45 and 7.25,
152 respectively. The SAGBs were inoculated again with primary effluent 3 weeks and 7 weeks into
153 the 10 week partial nitritation ANAMMOX operational period that culminated in a 48 hour
154 intensive sampling event.

155 *SAGB Nitrogen Removal Assessment and ANAMMOX Analysis*

156 The transient nitrogen-species behavior and overall nitrogen removal of each SAGB was
157 assessed during an intensively-sampled 48 hour period in the 30th week of operation. During this

158 time, the influent NH_4^+ (n = 1), TN (n = 4), COD (n = 4), total organic carbon (TOC, n = 4),
159 NO_2^- (n = 1) and NO_3^- (n = 1) concentrations and effluent NH_4^+ (n = 21), TN (n = 4), COD (n =
160 4), TOC (n = 4), NO_2^- (n = 21) and NO_3^- (n = 21) concentrations were determined. Dissolved
161 oxygen was directly measured and SAGB samples were collected for NH_4^+ , NO_2^- and NO_3^-
162 analyses every 2 hours from a 3.8 cm diameter sample port (Figure 2) installed 13 cm from the
163 inlet. The port spanned the depth of the pea gravel and contained several 1 cm diameter holes
164 along its length and was wrapped with porous fabric. Samples for NH_4^+ , NO_2^- and NO_3^- were
165 filtered, stored at 4°C and analyzed by ion chromatography within 48 hours. Total nitrogen was
166 measured by persulfate digestion method 4500-N C²⁹ and COD was determined using the
167 dichromate method 5220D.²⁹ Dissolved oxygen was measured as described previously and the
168 pH was measured either by the continuously operating probes already described or by a glass
169 electrode and meter (AR15, Fisher Scientific, Pittsburg, PA). Total organic carbon was measured
170 by direct method 415.3³⁰ and alkalinity was measured via Hach Method 10239 (Hach Company).
171 At the conclusion of the experiment, pea gravel (700 grams) from each SAGB was collected near
172 the inlet at approximately 20 cm below surface for ANAMMOX DNA analysis. The samples
173 were collected in sterile, glass containers and 100 mL of autoclaved deionized water was added.
174 The samples were shaken vigorously to dislodge biomass which was analyzed using the DNA
175 protocol previously described.

176 *Dynamic Kinetic Modeling of Partial Nitritation ANAMMOX Associated TN Removal*

177 A numerical stock and flow model, with dynamic coupling to aeration events, was built and
178 utilized to explore the linked N-transformations performed by AOBs, NOBs, denitrifiers, and
179 ANAMMOX bacteria in SAGB3 and SAGB4 over time (Figure 3). When aeration was on, AOB
180 and NOB activity was allowed. When aeration was off, denitrification and ANAMMOX activity

181 was allowed. The ANAMMOX rates for NO_2^- utilization, NO_3^- formation and N_2 formation were
182 stoichiometrically-coupled to the ANAMMOX rate for NH_4^+ utilization according to Equation 3.
183 Production of ANAMMOX biomass is relatively small in comparison to transformations of other
184 N stocks and was, therefore, lumped with N_2 production (N removal). The differential equations
185 that comprise the model were solved numerically using Euler's method with 1 hour time steps
186 (STELLA version 8.0, ISEE Systems, Inc., Lebanon, New Hampshire).

187 **Results and Discussion**

188 *ANAMMOX Seed Reactor Activity and Bacterial Identification*

189 At the onset of the ANAMMOX activity experiment, NH_4^+ and NO_2^- concentrations in the seed
190 reactor were 25.5 and 23.9 mg-N/L, respectively. After 72 hours, the NH_4^+ concentration was 5
191 mg-N/L and NO_2^- was 8.7 mg-N/L with first-order decay coefficients 0.54 d^{-1} and 0.34 d^{-1} ,
192 respectively. Dissolved oxygen was $< 0.1 \text{ mg/L}$. The removal of NH_4^+ under anaerobic
193 conditions was viewed as one line of evidence that ANAMMOX bacteria were active in the seed
194 reactor. Furthermore, SimRank analysis of the partial 16S rRNA gene (246 bp) showed top hits
195 as a *Candidatus Brocadia* sp. (of the Planctomycetales order) with a 75–97% SimRank identity.
196 *Candidatus Brocadia* and *Candidatus Kuenenia* were found in biomass samples from the
197 wastewater treatment plant in Strauss, Austria³¹, which provided the ANAMMOX seed for the
198 York River plant that supplied our seed material. Other bacteria identified as possibly present for
199 the seed reactor and for the SAGBs included *Candidatus Anammoxoglobus*, *Candidatus*
200 *Jettenia*, and *Candidatus Scalindua* which are all known ANAMMOX bacteria.

201 *SAGBs with Timer-Controlled Aeration (SAGB1 and SAGB2)*

202 The sample port results for SAGB1 (Figure 4) showed that DO concentrations varied between
203 zero and approximately 8 mg/L with DO being utilized with each dosing of synthetic

204 wastewater. SAGB2 (Figure 4) showed slightly higher overall DO concentrations within the
205 sample port, but less synchronicity between wastewater dosing time and DO consumption than
206 for SAGB1. Nitrate was the dominant nitrogen form measured in the sample ports for SAGB1
207 and SAGB2 which, considered with the DO data, indicates that nitrification occurred during the
208 aerated phases of the operational cycle. Periods of anaerobic conditions, suggested by DO data
209 for SAGB1, and periods of low DO measured in SAGB2 indicated that denitrification (to N₂
210 and/or N₂O) was possible in these bioreactors when aeration was off. A total of 1,728 L of air
211 was delivered to SAGB1 and SAGB2 during the four, 6-hour aeration cycles that occurred
212 during the 48 hour intensive measurement period.

213 The 45% reduction of TN concentration from average influent values to average effluent values
214 in SAGB1 and SAGB2 (Table 1) suggests that nitrification–denitrification was indeed occurring.
215 The nitrification phase suggested activity by AOBs and by NOBs as effluent nitrate
216 concentrations reached 36±4 mg-N/L. But, the denitrification potential would have been limited
217 by organic carbon availability (1.4±0.3 mg/L in the effluent, Table 1) in SAGB1 and SAGB2
218 remaining from a dosed amount of 16 mg/L. Compared to our previous work⁷, these SAGBs
219 underperformed on TN removal (65–95% previously) and performed similarly with respect to
220 oxygen demand reduction (84–93% cBOD removal previously). These results were expected
221 given that mean DO concentrations were quite high (4.6±2.6 mg/L) and that these SAGBs were
222 operated as controls for the partial nitritation ANAMMOX SAGBs. DNA results from pea gravel
223 samples collected at the conclusion of the 30 week experiment confirmed the presence of
224 *Candidatus* Brocadia with a SimRank of >91% for the four samples analyzed. But, ANAMMOX
225 activity was assumed to be negligible given the periods of high DO and given that conditions
226 clearly favored NOB growth and activity.

227 *Partial Nitrification ANAMMOX SAGB without Recirculation (SAGB3)*

228 Sample port results for SAGB3 (Figure 5, A & B) indicated that DO concentrations were at or
229 near zero throughout the 48 hour sampling period. The pH-controlled aeration cycle was
230 triggered five times (Figure 5) and the presence of NO_2^- (~1 mg-N/L) and NO_3^- (~10 mg-N/L)
231 in the sample port was an indication that available oxygen was consumed, at least partially, by
232 AOB and NOB activity. With additional oxygen, the NOBs would have converted all NO_2^- to
233 NO_3^- as demonstrated in the more fully aerated SAGB1 and SAGB2. Therefore, SAGB3 was
234 shown capable of performing partial nitrification – the first step in the partial nitrification
235 ANAMMOX process. A total of 1,344 L of air was delivered to SAGB3 from the 5 aeration
236 cycles during the 48 hour intensive measurement period.

237 In the effluent, total nitrogen was reduced 48% and the NH_4^+ concentration was reduced 67% as
238 compared to the influent (Table 1) in SAGB3. The removal of NH_4^+ in an anaerobic bioreactor
239 that contains NO_2^- is strong evidence for ANAMMOX activity. Assuming the entire 55 mg-N/L
240 influent TN in SAGB3 was available as NH_4^+ for partial nitrification ANAMMOX, Equation 5
241 predicts 3.5 mg-N/L NO_2^- would be produced and consumed, 2.1 mg-N/L NO_3^- and 18.2 mg/L
242 N_2 would be formed and 0.14 mg-N/L would accumulate into ANAMMOX biomass. Total
243 nitrogen removal predicted by partial nitrification ANAMMOX would therefore be 18.3 mg-N/L
244 (N_2 plus biomass-N) and would account for 68% of the 27 mg-N/L TN removed from SAGB3
245 (Table 1).

246 The kinetic modeling results (Figure 5C) showed dynamic coupling to aeration events through
247 stepwise utilization of NH_4^+ , NO_2^- and dissolved oxygen. The production of partial nitrification
248 ANAMMOX associated NO_3^- (as opposed to NOB-associated production) was modeled as a
249 steady increase over the 48 hour period since NO_2^- was constantly present (Figure 5B) and,

250 therefore, did not limit the ANAMMOX reaction. The rate coefficients for AOBs, NOBs,
251 denitrification, ANAMMOX NH_4^+ utilization and ANAMMOX NO_2^- utilization were used in the
252 model to generate partial nitrification ANAMMOX associated conversion rates for NH_4^+ , NO_2^- ,
253 NO_3^- , and O_2 (Table 2). Again, assuming the entire 55 mg-N/L influent TN in SAGB3 was
254 available as NH_4^+ for partial nitrification ANAMMOX, the modeled NH_4^+ conversion rate of 3
255 mg/L/d over a 4 day retention time would indicate a loss of 12 mg/L, or 44.4%, of the 27 mg-
256 N/L removed. This result, coupled with the analysis above, suggests that partial nitrification
257 ANAMMOX associated TN removal was between 44 and 68% in SAGB3.

258 Nitrogen removal may have alternatively occurred via denitrification and/or denitrification.
259 Theoretical removals were estimated assuming 100% of the COD removal (51 mg/L) occurred as
260 a result of these processes. Given that 2.86 mg COD is required to convert 1 mg-N NO_3^- and
261 1.71 mg COD is required to convert 1 mg-N NO_2^- (calculated using methods described in
262 Rittmann and McCarty³²) to N_2 , up to 17.8 mg-N/L NO_3^- and up to 29.8 mg-N/L NO_2^- could
263 have been removed via denitrification or denitrification, respectively. Additionally, using a net
264 biomass yield of 0.4 and assuming that biomass was 12.4% nitrogen³², an estimated 2.5 mg-N/L
265 was incorporated into biomass. Collectively, these quantities represent 75% and over 100% of
266 the TN removal measured in SAGB3, respectively. However, it is highly unlikely that 100% of
267 the COD removal occurred via anaerobic processes since faster growing aerobic heterotrophs
268 would have consumed some of the DO during times of aeration. For example, if 50% of the DO
269 was consumed by heterotrophs, the denitrification/denitrification potential would have been
270 reduced to a level where significant partial nitrification ANAMMOX would be required to close
271 the nitrogen mass balance. Furthermore, effluent NO_3^- concentrations of 17 ± 1.5 mg-N/L suggest
272 additional oxygen was consumed by autotrophic NOBs. The elevated NO_3^- concentrations also

273 indicate that denitrification potential was limited by carbon scarcity, providing further indirect
274 evidence for significant partial nitrification ANAMMOX. DNA results from pea gravel samples
275 collected in SAGB3 at the conclusion of the 10 week nitrification ANAMMOX operational phase
276 had top hits for *Candidatus* Brocadia with a SimRank of 75–79% for three samples.

277 *Partial Nitrification ANAMMOX SAGB with Recirculation (SAGB4)*

278 Sample port results for SAGB4 (Figure 5 D & E) showed that a single, pH-controlled aeration
279 cycle was triggered during the sampling period which caused a momentary DO increase from
280 <0.1 mg/L to ~ 0.7 mg/L. The NH_4^+ concentrations were slightly greater than those measured in
281 the SAGB3 sampling port, but the NO_3^- and NO_2^- concentrations were substantially lower than
282 SAGB3. Collectively, these results indicate that the DO concentrations were lower overall in
283 SAGB4 compared to SAGB3 and that NOB activity was consequently much lower in SAGB4 as
284 well. The lack of measureable NO_2^- in the SAGB4 sample port indicates low AOB activity
285 and/or rapid NO_2^- utilization by ANAMMOX bacteria. A total of 660 L of air was provided to
286 SAGB4 during the 48 hour intensive measurement period.

287 In the effluent, the total nitrogen was reduced by 53% in SAGB4 (Table 1). If the entire 61 mg–
288 N/L of influent TN were transformed through partial nitrification ANAMMOX, 3.8 mg–N/L NO_2^-
289 would have been formed and utilized, 20.1 mg–N/L N_2 would have been emitted, 2.3 mg–N/L
290 NO_3^- would have been produced and 0.16 mg–N/L of biomass would have grown (based on
291 Equation 5). If this were the case, 63% of the 32 mg–N/L TN removed (Table 1) by SAGB4
292 would be attributable to partial nitrification ANAMMOX. Effluent COD was reduced by 83% and
293 NO_3^- and NO_2^- were not detected (Table 1). The kinetic modeling results (Figure 5F) revealed
294 the oxygen dependence of NO_2^- formation by AOBs and the lack of partial nitrification
295 ANAMMOX associated NH_4^+ utilization when NO_2^- is absent (Figure 5E). Again, various rate

296 coefficients were used in the model to generate associated conversion rates (Table 2) and the TN
297 removal attributed to partial nitrification ANAMMOX was 16% using this approach. Therefore, a
298 range of 16 to 63% of partial nitrification ANAMMOX associated TN removal was achieved for
299 SAGB4.

300 Using the same approach as described for SAGB3, up to 25.2 mg-N/L NO_3^- or up to 42.2 mg-
301 N/L NO_2^- could have been removed via denitrification or denitritation if 100% of the COD was
302 removed during those processes. Incorporation of N into biomass would have removed
303 approximately 3.6 mg-N/L. These values represent 90% and over 100% of the 32 mg-N/L TN
304 removed. However, the low DO conditions and lack of NO_3^- production measured in the sample
305 port suggests that denitrification was not a significant removal mechanism. Nitritation-
306 denitritation could have been a significant nitrogen loss mechanism in SAGB4, but partial
307 nitritation ANAMMOX would have been a viable removal mechanism as well. And, the unlikely
308 scenario that 100% of the COD removal was a consequence of denitritation increases the
309 likelihood of significant partial nitritation ANAMMOX removal being required to close the
310 nitrogen mass balance. DNA analysis of the attached growth at the end of the experiment again
311 suggested the presence of *Candidatus* Brocadia with a SimRank of 78–97% for the four samples
312 analyzed.

313 This study provides compelling evidence that SAGBs with pH-controlled aeration can remove
314 similar amounts of TN via the partial nitritation ANAMMOX process at 20°C while utilizing
315 substantially less aeration than timer-controlled SAGBs that perform nitrification-
316 denitrification. This result is significant since only recently has a stable nitritation ANAMMOX
317 culture been reported to operate below 25°C at the lab-scale.^{24, 33} De Clippeleir's work utilized a
318 lab-scale, rotating biological contactor at 15°C. Hu's experiment was done in a lab-scale

319 sequencing batch reactor initially at 30°C followed by a gradual temperature reduction to as low
320 as 12°C. Both studies showed partial nitrification ANAMMOX to be feasible at these lower
321 temperatures while treating relatively low-ammonia wastewater. Our data also supports the
322 feasibility of utilizing one-stage bioreactors³⁴ for partial nitrification ANAMMOX at a larger,
323 pilot-scale and with autonomous, pH-controlled aeration. Our partial nitrification ANAMMOX
324 associated NO₂⁻ utilization rates of 1.7-3.2 mg-N/L/d were much lower than reported rates for
325 suspended cell cultures (400-1100 mg/L/d).³⁴ But, this was expected since the cell cultures were
326 studied at ideal temperatures and at a much higher cell densities than can be expected in SAGBs.
327 The relatively high NH₄⁺ concentrations in the effluent of the smart-aerated SAGBs is an
328 indication that more research is needed to optimize AOB and ANAMMOX activity while
329 minimizing NOB activity. Nonetheless, this study expands our understanding of the promise and
330 current limitations of smart-aerated, partial nitrification ANAMMOX SAGBs for biological
331 nitrogen removal.

332 **Conclusions**

333 The SAGBs with smart-aeration, operating in partial nitrification ANAMMOX mode, required
334 less aeration (1344 L and 660 L) than the timer-controlled SAGBs (1728 L) during the 48 hour
335 intensive sampling period while achieving a similar level of TN removal at 20°C. This represents
336 an aeration-associated energy efficiency benefit of over 50%. But, high effluent NH₄⁺
337 concentrations (11–21 mg/L) in the smart-aerated SAGBs indicated that research is needed to
338 optimize the operational parameters to maximize TN removal, meet NH₄⁺ discharge limits and
339 gain the reduced aeration benefit from partial nitrification ANAMMOX SAGBs.

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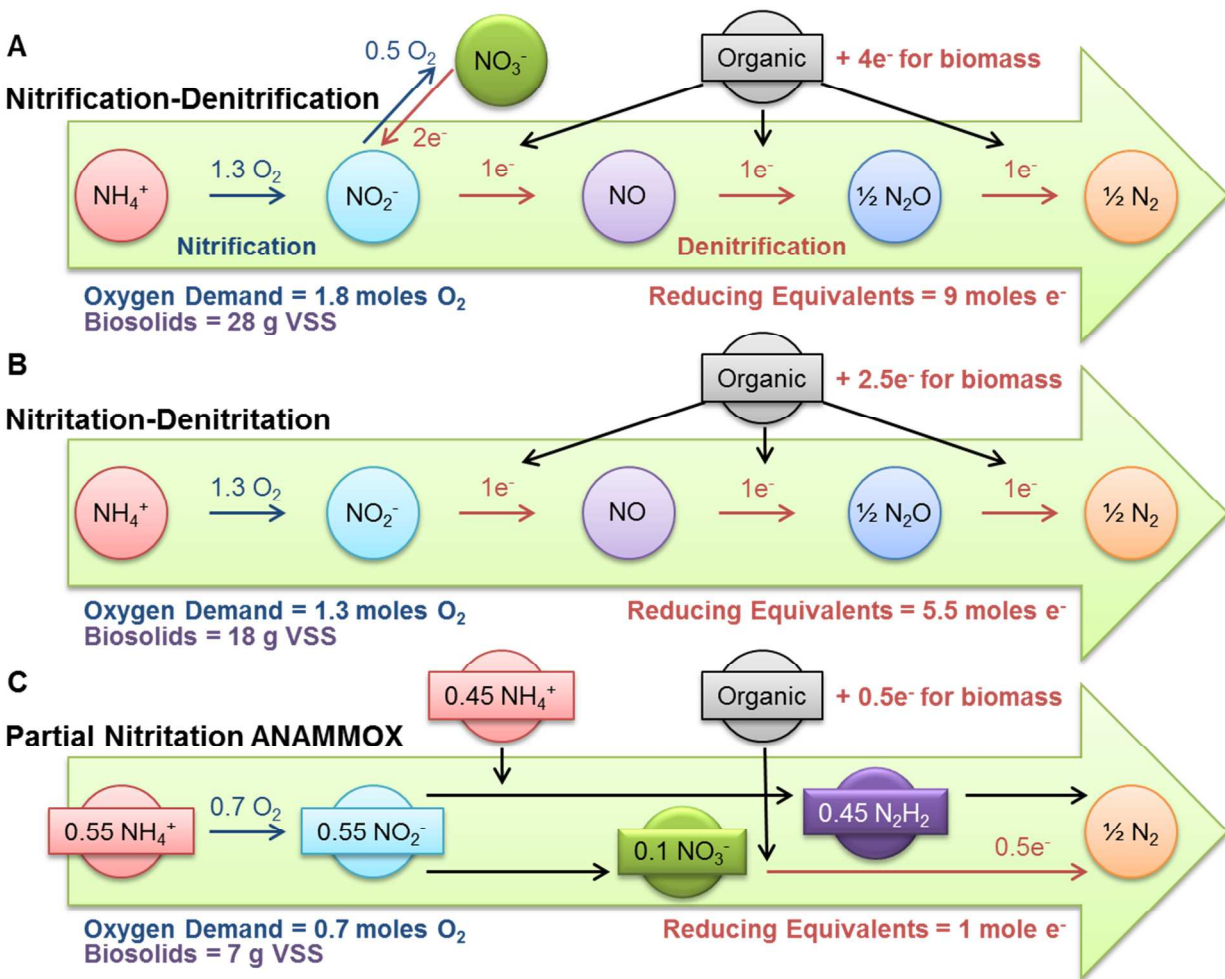


Figure 1: Comparison of oxygen demand, reducing equivalents and biosolids production for (A) nitrification-denitrification, (B) nitritation-denitritation, and (C) partial nitritation ANAMMOX. Adapted from Gao et al.²³

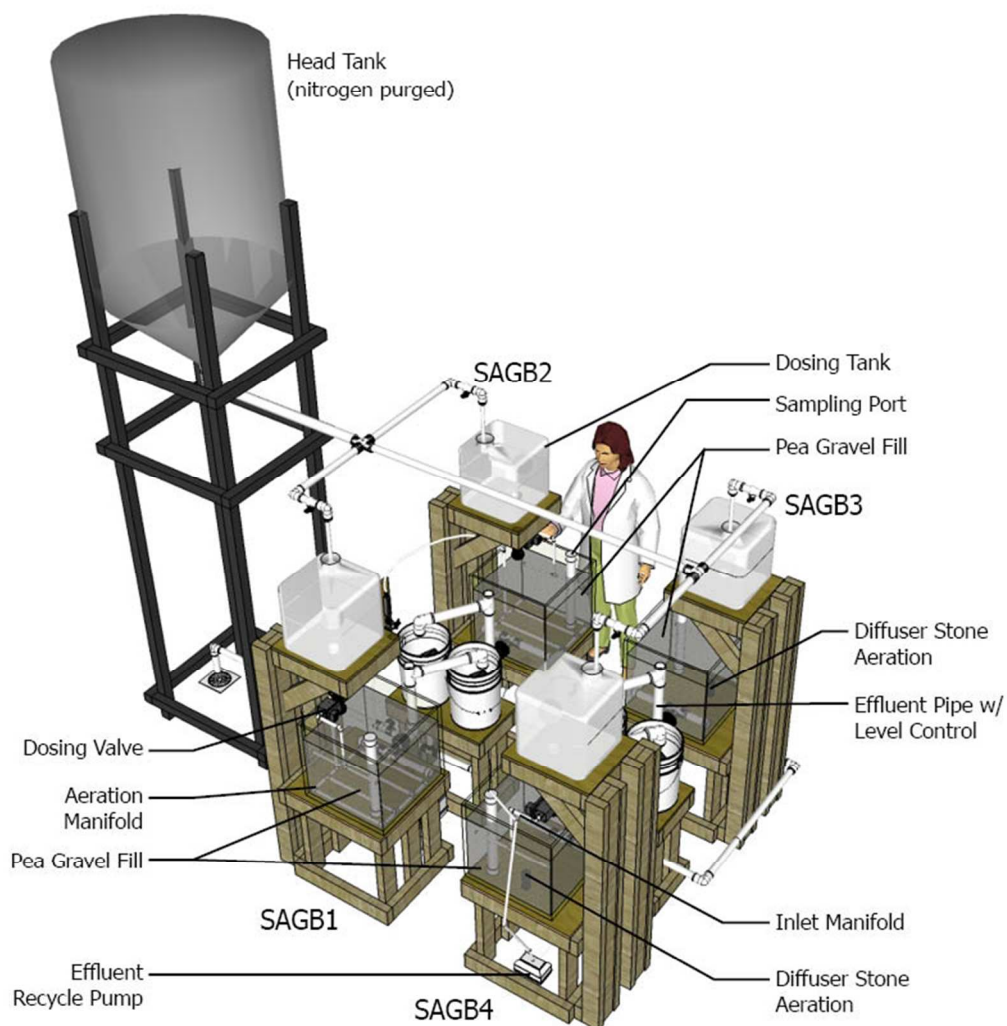


Figure 2: The pilot-scale submerged attached growth bioreactors with timer-controlled aeration (SAGB1 and SAGB2), pH-controlled aeration with no effluent recycle (SAGB3), and pH-control with effluent recycle (SAGB4).

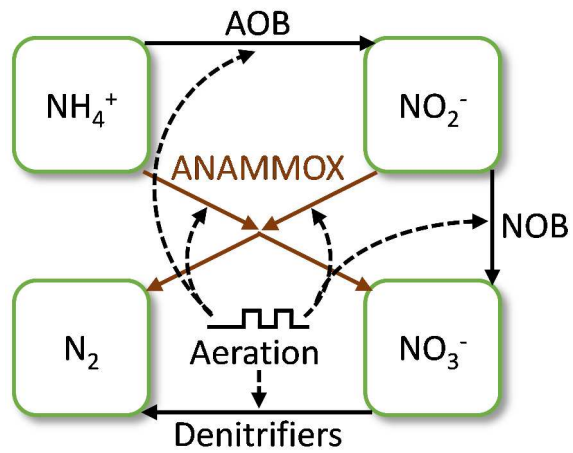


Figure 3: Graphical representation of the aeration-coupled, numerical stock and flow model used to describe the partial nitritation ANAMMOX kinetics in SAGB3 and SAGB4.

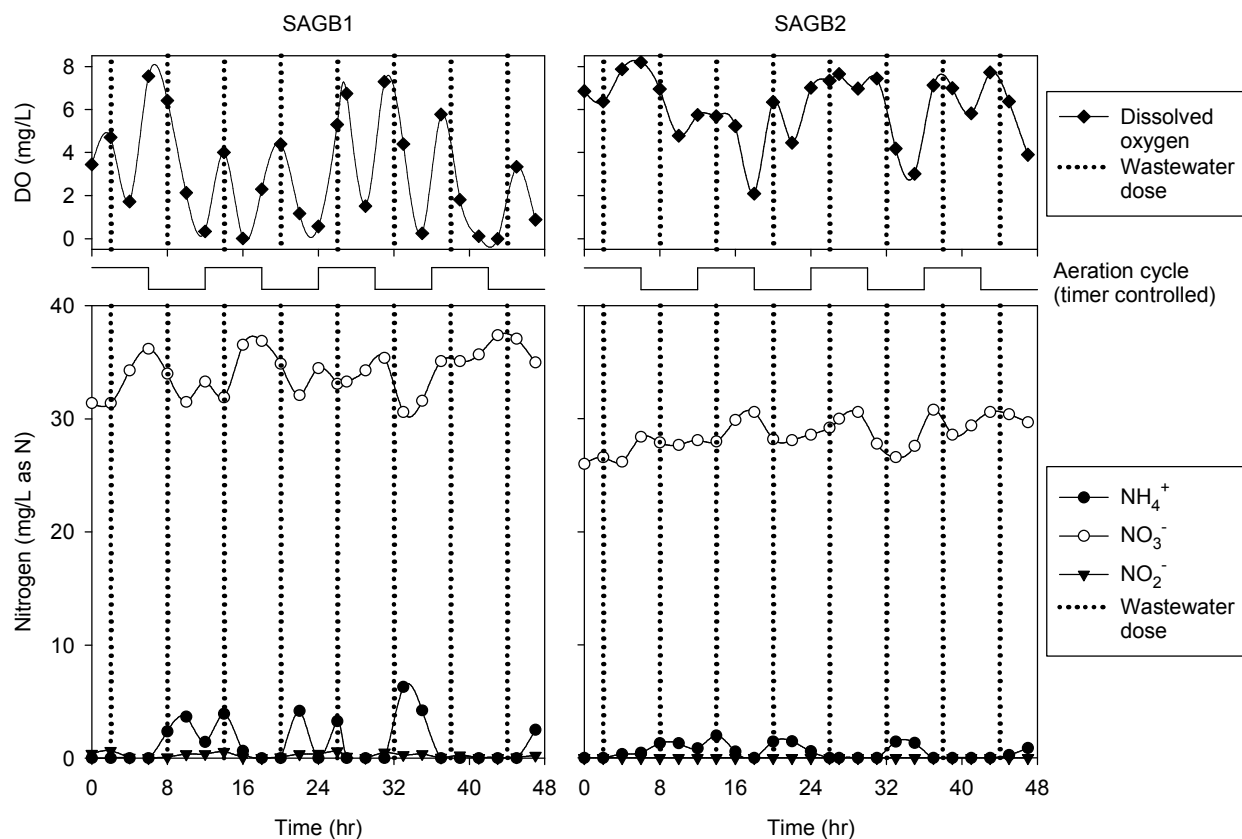


Figure 4: Sample port data from SAGB1 and SAGB2 showing concentrations of DO, NH_4^+ , NO_3^- and NO_2^- measured every two hours during the 48 hour intensive sampling period. Wastewater dosing times are indicated by dotted, vertical lines and timed-aeration cycles are shown by the saw tooth, horizontal lines.

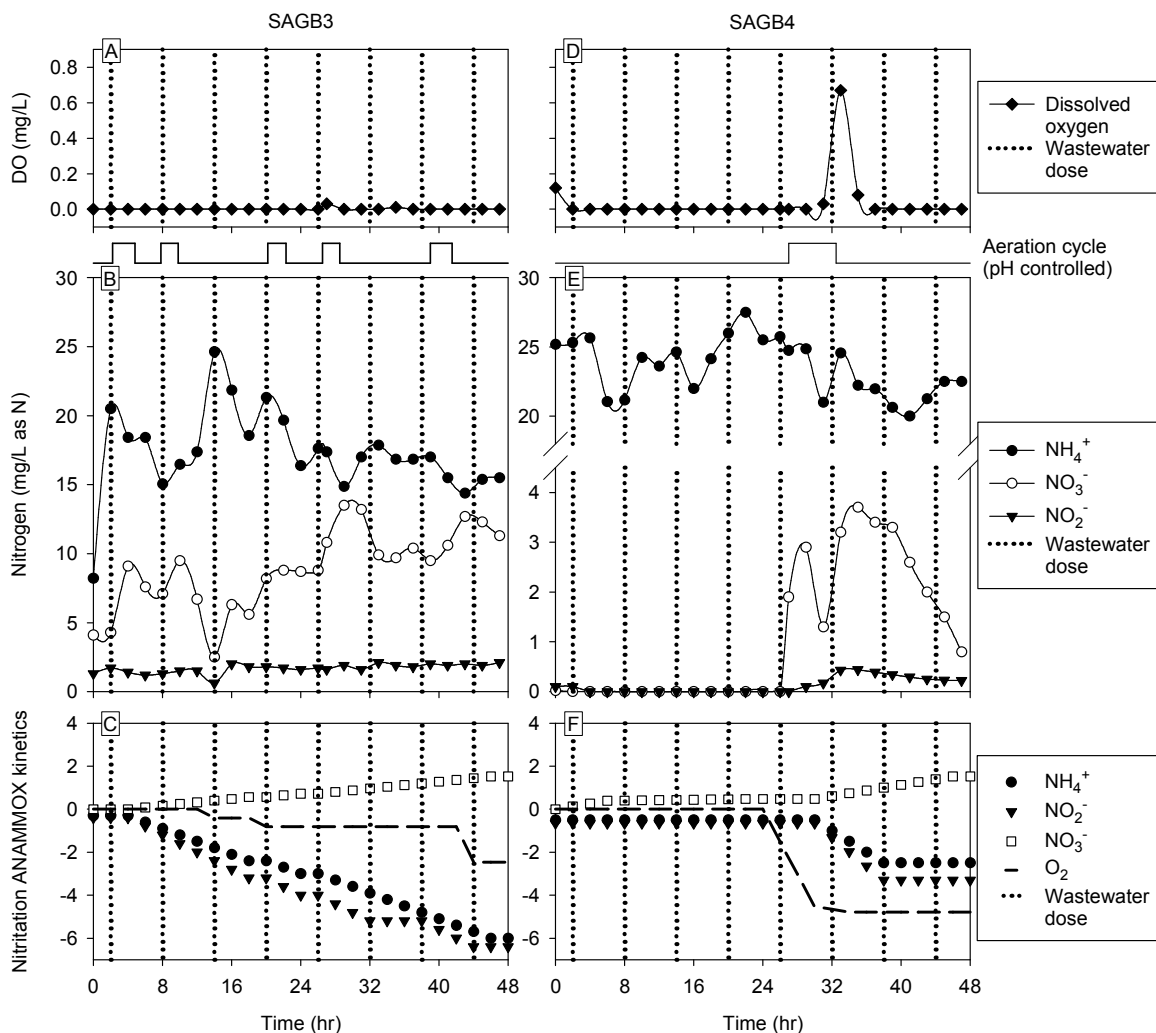


Figure 5: Sample port data from SAGB3 (A & B) and SAGB4 (D & E) showing concentrations of DO, NH_4^+ , NO_3^- and NO_2^- measured every two hours during the 48 hour intensive sampling period. Kinetic modeling results for SAGB3 (C) and SAGB4 (F) for a simulated 48 period that dynamically mimicked aeration events under experimental conditions. Wastewater dosing times are indicated by dotted, vertical lines and pH-controlled aeration cycles are shown by the saw tooth, horizontal lines.

Table 1: Influent and effluent concentrations and removal percentages for TN, NH₄⁺, COD, TOC, alkalinity, NO₃⁻, and NO₂⁻.

SAGB	Influent Concentration (mg/L) Average ± S.D.							Effluent Concentration (mg/L) Average ± S.D.						
	Total N	NH ₄ ⁺	COD	TOC	Alk	NO ₃ ⁻	NO ₂ ⁻	Total N (removal)	NH ₄ ⁺ (removal)	COD (removal)	TOC (removal)	Alk (removal)	NO ₃ ⁻	NO ₂ ⁻
1 & 2	58*	30	77*	16*	143*	<0.1	<0.1	32±3 (45%)	<0.1 (100%)	2±4 (97%)	1.4±0.3 (91%)	N.A.	36±4	<0.1
3	55±3	34	67±6	15±4	141	<0.1	<0.1	28±0.7 (48%)	11±1.5 (67%)	16±10 (76%)	2.0±2.4 (87%)	64 (55%)	17±1.5	0.8±0.3
4	61±9	33	86±34	17±2	145	<0.1	<0.1	29±2 (53%)	21±2 (36%)	14±5 (83%)	1.4±0.9 (92%)	105 (28%)	<0.1	<0.1

*estimated from values obtained from the SAGB3 and SAGB4 dosing tanks.

Table 2: Kinetic modeling rate coefficients and resulting partial nitrification ANAMMOX conversion rates and associated total N removal.

SAGB	Rate Coefficients (hr^{-1})					Partial Nitrification ANAMMOX Associated Conversion Rates ($\text{mg L}^{-1} \text{d}^{-1}$)				
	AOB	NOB	Denitrification	ANAMMOX NH_4^+	ANAMMOX NO_2^-	NH_4^+	NO_2^-	NO_3^-	O_2	Total N % Removal
3	1.2	0.1	0.01	0.3	0.4	-3.0	-3.2	0.76	-0.82	44
4	1.0	0.1	0.01	0.5	0.66	-1.3	-1.7	0.34	-2.4	16