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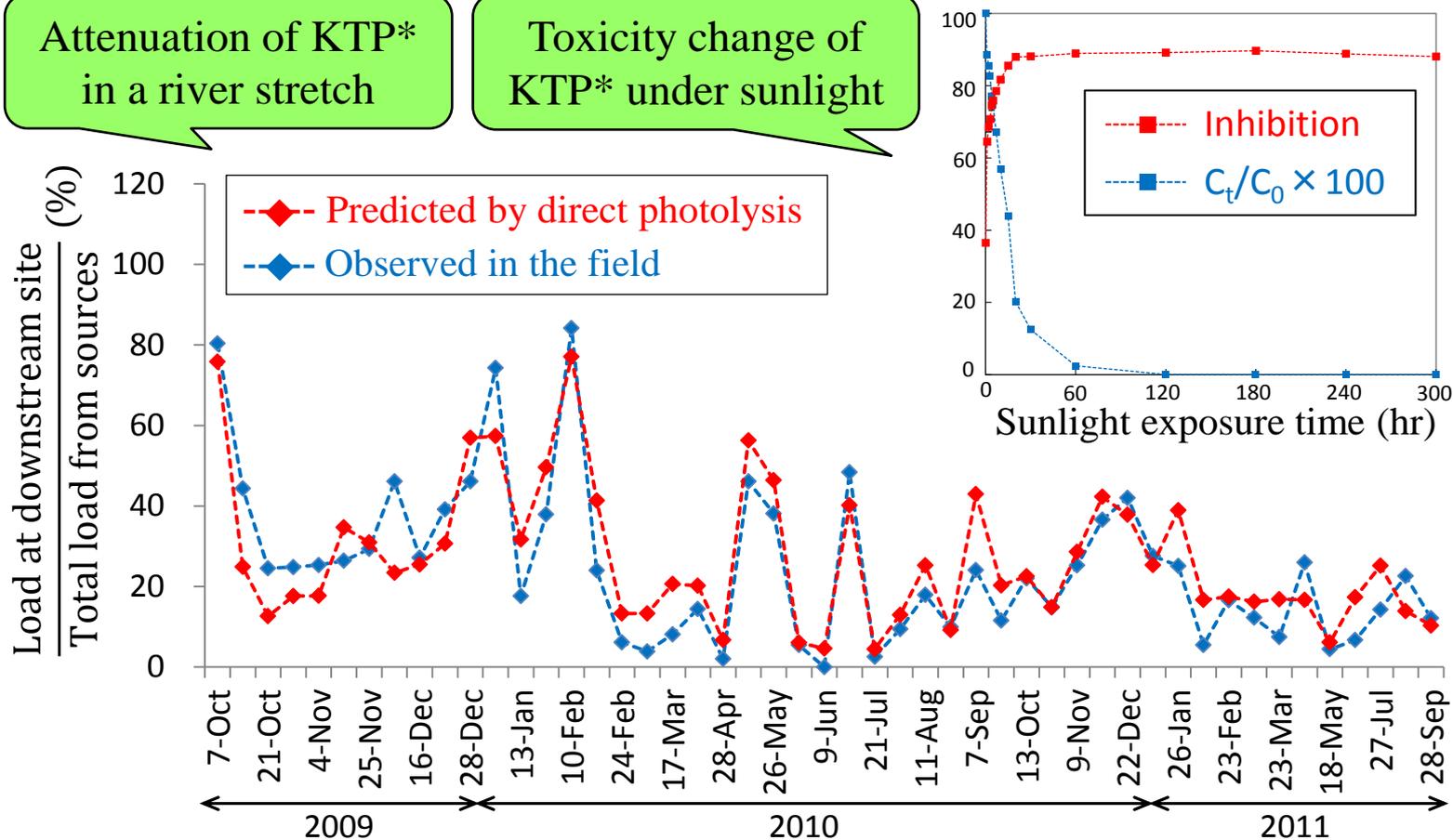
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A step forward was made in estimating direct photolysis of chemicals and their photoproducts in the aquatic environment

Attenuation of KTP*
in a river stretch

Toxicity change of
KTP* under sunlight



* KTP = ketoprofen (pharmaceutical)

Environmental impact

In recent years, numerous hazardous chemicals such as pharmaceuticals have been detected in wastewaters. Modeling their photochemical attenuation in the aquatic environment is important to estimating their concentrations and ecological risks. We corroborated existing method for estimating direct photolysis of chemicals in the aquatic environment by monitoring photolabile pharmaceuticals over a full 2 years in an urban river. The observed attenuation showed good agreement with photochemical attenuation estimated by existing method over 2 full years, which considerably enhanced the practical utility of the method. In addition, existence of toxic and photostable photoproducts were suggested for a photolabile pharmaceutical by Microtox test, which indicated the necessity to incorporate photoproducts into the estimation method and provided useful information for doing it.

1 Evaluation of the photolysis of pharmaceuticals within a river by 2-year 2 field observations and toxicity changes by sunlight[†]

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5 Abstract

6 To improve the risk assessment of pharmaceuticals, it is helpful to know how rapidly they are
7 removed from river water. Direct photolysis by sunlight could be an important process, but so
8 far few studies have attempted to compare modeled with actual losses in a river. Therefore,
9 we quantified natural attenuation by monitoring 56 pharmaceuticals and personal care
10 products over 2 full years in a 2.6-km stretch of an urban river. In addition, to screen
11 photoproducts, we used the Microtox test with *Vibrio fischeri* to evaluate changes in the
12 toxicity of two photolabile pharmaceuticals, ketoprofen and diclofenac, under sunlight.
13 During transport along the river stretch, ketoprofen and the photolabile pharmaceutical
14 furosemide were attenuated by median values of 77% and 39%. The observed attenuation
15 showed good agreement with photochemical attenuation estimated by a existing method at
16 each sampling, suggesting that the method appeared to be effective for estimating the direct
17 photolysis of the pharmaceuticals during river transport. The toxicity of diclofenac decreased
18 under sunlight, while that of ketoprofen increased immediately after exposure (around 12
19 times in EC₂₀) and remained high, indicating the existence of toxic and photostable
20 photoproducts of ketoprofen. Therefore, ecological risks of photolabile pharmaceuticals may
21 increase during river transport in some cases, indicating the necessity to incorporate their
22 photoproducts into the estimation method.

23 1. Introduction

24 In recent years, numerous potentially hazardous chemicals such as pharmaceuticals and
25 personal care products (PPCPs),¹ endocrine-disrupting chemicals,² perfluorinated compounds,
26 ³ fluorescent whitening agents,⁴ and nitrosamines⁵ have been detected in wastewaters. Once
27 the chemicals enter the aquatic environment, they might be attenuated by physical, chemical,
28 or biological factors. Because some of them are photolabile in sunlight (e.g., pharmaceuticals,
29 ketoprofen;⁶ personal care products, triclosan;⁷ fluorescent whitening agents, distyryl
30 biphenyl;⁸ and nitrosamines, N-nitrosodimethylamine⁹), modeling their photochemical
31 attenuation in the aquatic environment is important to estimating their concentrations and

32 ecological risks.

33 Zepp et al.¹⁰ proposed an equation for estimating direct photolysis rate constants of
34 chemicals in the aquatic environment. However, solar spectral distribution, an important
35 parameter for estimating direct photolysis rate constants, cannot be measured everywhere on
36 account of the high cost of its analysis. Therefore, measurements of bands of sunlight (e.g.
37 UVA, UVB, and global radiation) are often substituted in the equation.^{6,7} Although we have
38 corroborated the equation over several days,⁶ it has not hitherto been corroborated under field
39 conditions over the long term. The solar spectral distribution on a horizontal surface was
40 fluctuated during the year by solar altitude, atmospheric ozone content, and cloud cover.
41 Therefore, it is important to test the practicality of substituting measurements of bands of
42 sunlight for those of solar spectral distribution in estimating photon number absorbed by
43 chemicals under solar spectral distributions during the year. In addition, since the quantum
44 yields of chemicals (i.e., fraction of absorbed light that results in photoreaction) are often
45 obtained as average values within the wavelengths of light absorption,⁶⁻⁹ it is also important
46 to test the practicality of using them in the estimation under solar spectral distributions during
47 the year. Furthermore, unknown parameters might be discovered by corroboration under field
48 conditions during the year.

49 Until about 2005, most studies of the natural attenuation of chemicals were limited to the
50 laboratory owing to difficulties in their isolation in the field. Since then, several studies have
51 reported the natural attenuation of chemicals during river transport,^{6,11-22} including diurnal
52 variation.^{6,21,22} However, no studies have measured seasonal variation of the natural
53 attenuation throughout the year. Therefore, the natural attenuation should be clarified under
54 field conditions over at least a year, and the method for estimating direct photolysis should be
55 corroborated under the same conditions.

56 In addition, the method is desirable to incorporate the production rates and persistence of
57 photoproducts in the aquatic environment in order not to overlook the potential risks of
58 breakdown products, and be corroborated with them too. Because many photoproducts are
59 often produced from a single chemical, the photoproducts should be screened by comparing
60 the toxicity of each with the total. Since few of the photoproducts exist as purified reagents,
61 the total toxicity should be estimated first by measuring the change in toxicity under sunlight.
62 We previously identified three photolabile pharmaceuticals (i.e., ketoprofen, furosemide, and
63 diclofenac) which showed appreciable attenuation during river transport.⁶ Although several
64 photoproducts of ketoprofen have been identified,²³⁻²⁶ changes in its ecotoxicity under
65 sunlight have been little studied. Wang et al.²⁷ reported that the ecotoxicity of ketoprofen

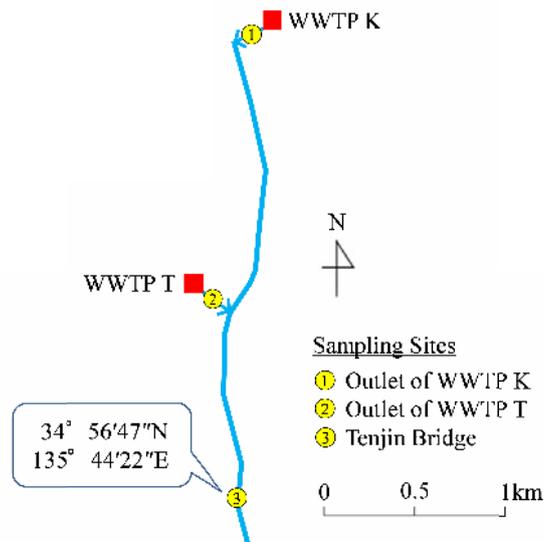
66 increased but then disappeared under sunlight, in contradiction of reports that photoproducts
67 of ketoprofen are stable.^{24,25} The reason for this contradiction should be clarified. Although
68 the toxicity of diclofenac evaluated by inhibition of algal reproduction increased under
69 sunlight,²⁸ there is no information on other organisms. There is no information on changes in
70 the toxicity of furosemide under sunlight, which could be due to its low toxicity.

71 We quantified natural attenuation by monitoring 56 PPCPs over a full 2 years in an urban
72 river in the city of Kyoto, Japan, and estimated attenuation caused by direct photolysis using
73 the method proposed in our previous study.⁶ The method was corroborated under field
74 conditions using ketoprofen and furosemide. We also used the Microtox test with *Vibrio*
75 *fischeri* in evaluating changes in the toxicity of ketoprofen and diclofenac under sunlight.

76 2. Methods

77 2.1. Site Descriptions

78 Field surveys were conducted along a 2.6-km stretch of the Nishitakase River (Figure 1), in
79 the city of Kyoto. The UV intensity in Kyoto at midday is UVA = 37.6 ± 10.6 W/m², UVB =
80 0.85 ± 0.23 W/m² in August, and UVA = 14.9 ± 4.4 W/m², UVB = 0.22 ± 0.06 W/m² in
81 December. The average river depth along the stretch is 0.5 m, and the decadic absorption
82 coefficient of surface water at Tenjin Bridge at 340 nm averages 2.1 m⁻¹. The riverbed
83 consists mainly of gravel, sand and concrete. There is little vegetation along the river. The
84 stretch receives water from two wastewater treatment plants (WWTPs; sites 1, 2), and travel
85 times from site 1 to 3 and from site 2 to 3 averaged 2.9 h and 1.0 h, respectively. Information
86 on these WWTPs and the quality of their effluents is summarized in the Supporting
87 Information (SI) Tables S1 and S2. Because there is no additional significant inflow in the
88 stretch or upstream of WWTP K (site 1), during dry weather the river water consists solely of
89 treated wastewater. WWTP T (site 2) is the major source of most of the target PPCPs in the
90 stretch (SI Figure S1), mainly because WWTP T uses chlorination, whereas WWTP K uses
91 ozonation as disinfection.²⁹



92
93 **Figure 1.** Locations of the wastewater treatment plants and sampling sites on the Nishitakase
94 River.

95 2.2. Field Study

96 Surface water samples were collected at 3 sites (Figure 1) one to four times a month between
97 October 2009 and September 2011, yielding total of 49 samplings. The samples were
98 collected by grab in a stainless steel bucket around midday. Considering the travel time,
99 samples at site 3 were collected around 1 h after collecting samples at site 2, the major source
100 of most of the PPCPs (SI Figure S1). The samples were stored in brown glass bottles with
101 ascorbic acid at 1.0 g/L in darkness and taken to the laboratory. The 56 selected PPCPs in the
102 dissolved phase were concentrated by solid-phase extraction, measured by ultra-performance
103 liquid chromatography / tandem mass spectrometry (LC-MS/MS), and quantified by the
104 alternative surrogate method or the absolute standard method.³⁰

105 We used the mass balance approach to estimate the attenuation of the PPCPs. The
106 amount of a compound still remaining at the most downstream site (site 3) relative to the total
107 inflow from the WWTPs (site 1, 2) is defined as mass recovery (eq 1). The ratio of the flow at
108 site 1 (Q_1) to that at site 2 (Q_2) was estimated for each sampling from the mass balance of
109 carbamazepine (eq 2), which is persistent in aquatic environments^{31–33} and whose diurnal
110 variation in mass loading discharged from WWTP T is low.⁶

$$111 \quad r = \frac{(Q_1 + Q_2)C_3}{Q_1C_1 + Q_2C_2} \times 100 = \frac{(\beta + 1)C_3}{\beta C_1 + C_2} \times 100 \quad (1)$$

$$112 \quad \beta(C_c)_1 + (C_c)_2 = (\beta + 1)(C_c)_3 \quad (2)$$

113 where r = mass recovery of a compound (%), C_i = concentration of the compound at site i
114 (ng/L), Q_i = flow at site i (m^3/s), β = ratio of flow at site 1 (Q_1) to that at site 2 (Q_2) (–), and

115 $(C_c)_i$ = concentration of carbamazepine, a persistent pharmaceutical, at site i (ng/L).

116 **2.3. Estimation of Attenuation Caused by Direct Photolysis in the River**

117 The attenuation of PPCPs in the stretch caused by direct photolysis was estimated for each
118 sampling using the equation proposed in our previous study,⁶ and evaluated as mass recovery.
119 The stretch was divided into two reaches at WWTP T. The parameters were set as follows
120 (Table 1). Monitoring data in the city of Kyoto at each sampling time^{34,35} were substituted for
121 sunlight intensity in the UVB and UVA regions (UVB , UVA). If the intensities at Kyoto were
122 not available, those of Otsu, the city next to Kyoto, were substituted for them. Theoretical
123 values at midday at latitude 40°N ¹⁰ were substituted for annual average values of sunlight
124 intensity in the UVB and UVA regions (UVB_b , UVA_b) and the spectrum of sunlight (L_{λ}). Since
125 most UVA and UVB reaching Earth's surface is sky radiation, constants for sky radiation¹⁰
126 were substituted for the fraction of sunlight reflected at the surface of the water body (R_{UVB} ,
127 R_{UVA}) and for the path length of sunlight in the water (l_i). The fraction of sunlight shaded by
128 aquatic plants (B_{UVB} , B_{UVA}) was set to 0, because little vegetation covered the water surface.
129 To clarify the light penetration in the river, we collected water samples 12 times during the
130 sampling period at Tenjin Bridge, and measured the absorptivity between 290 and 500 nm
131 with a UV-Vis spectrophotometer (UV-2500PC, Shimadzu, Kyoto, Japan). Because the
132 absorptivities at <380 nm, which is the main region of absorbance for most of the PPCPs,⁶ did
133 not differ significantly among the 12 sampling days (coefficients of variation [CVs] $< 20\%$),
134 the mean values at each wavelength were substituted for the absorption coefficient of the
135 water body (α_{λ}). Travel time and depth of water were monitored three times during the
136 sampling period around the study area. Because flow rate at Tenjin Bridge did not differ
137 significantly among the 49 samplings (CV $< 20\%$),³⁶ the mean values were substituted for the
138 travel time (t_i) and depth of water (D_i). Measurements at each sampling were substituted for
139 mass loadings of the PPCPs at sources (L_{0i}). Experimental values obtained in our previous
140 study⁶ were substituted for quantum yields (ϕ) and molar absorption coefficients (ϵ_{λ}) of the
141 PPCPs. For the PPCPs whose average degradation was $<20\%$ during the photolysis
142 experiment conducted in our previous study,⁶ quantum yields were set to 0.

Table 1. Parameters Used for Estimation of PPCPs Attenuation Caused by Direct Photolysis in the Nishitakase River

		unit	outline of used data
UVB/UYA	sunlight intensity at Earth's surface in those wavelengths	W/m^2	monitoring data in Kyoto or Otsu at sampling time ^a
UVB_t/UYA_t	theoretical annual average sunlight intensity at Earth's surface in those wavelengths	W/m^2	theoretical value at midday at latitude 40°N ^b
$L_{\lambda t}$	theoretical annual average sunlight intensity at Earth's surface at wavelength λ	10^{-3} einsteins $cm^{-2} h^{-1}$	theoretical value at midday at latitude 40°N ^b
B_{UVB}, B_{UYA}	fraction of sunlight shaded by water plants in those wavelengths	-	estimate from field observation
R_{UVB}, R_{UYA}	fraction of sunlight reflected at the surface of the water body in those wavelengths	-	theoretical value for sky radiation ^b
l_i	path length of sunlight in the water body in reach i	m	theoretical value for sky radiation ^b
$\alpha_{\lambda i}$	decadic absorption coefficient of the water body at wavelength λ in reach i	m^{-1}	mean value of measurements
t_i	travel time in reach i	h	mean value of measurements
D_i	depth of the water in reach i	m	mean value of measurements
L_{0i}	mass loading of the compound at the source in reach i	$\mu g s^{-1}$	measurement at each sampling
ϕ	quantum yield of the compound (average value within the wavelengths of light absorption)	-	experimental value ^{c,d}
ε_{λ}	molar absorption coefficient of the compound at wavelength λ	$M^{-1} cm^{-1}$	experimental value ^c

^a reference 34, 35. ^b reference 10. ^c reference 6. ^d For PPCPs whose degradation was <20% on average during the photolysis experiment conducted by Hanamoto et al. (6), quantum yields were set to 0.

143

144 2.4. Toxicity Change of Ketoprofen and Diclofenac under Sunlight

145 Ultrapure water was autoclaved and the pH was adjusted to 6.9 with phosphate buffer (20
 146 mM). Ketoprofen and diclofenac were added to give an initial concentration of 50 mg/L and
 147 20 mg/L, respectively. The solutions (100 ml) were poured into 100 ml beaker made of
 148 borosilicate glass and exposed to artificial sunlight (Ultra-Vitalux, 300 W, Osram, Munich,
 149 Germany) from directly above. The radiation intensity was set at around 1600 W/m^2 . The
 150 water temperature was maintained at 20 ± 1 °C during the experiment by a water circulator
 151 (CTP-300, Tokyo Rikakikai Co, Ltd., Tokyo, Japan). A 2-ml aliquot was collected from the
 152 solution containing ketoprofen at 0, 1, 2, 3, 4, 5, 7, 10, 15, 20, 30, 60, 120, 180, 240 and 300
 153 min, and from the solution containing diclofenac at 0, 15, 30, 60, 120, 180, 240 and 300 min
 154 after the start of sunlight exposure. Absorbance (1-cm cell, 490 nm) was 0 at 0 min in both
 155 solutions, but 0.005 in the ketoprofen solution and 0.049 in the diclofenac solution at 300 min,
 156 which would be due to their photoproducts. The pH did not change during the exposure.
 157 Changes in concentrations in darkness were negligible (data not shown).

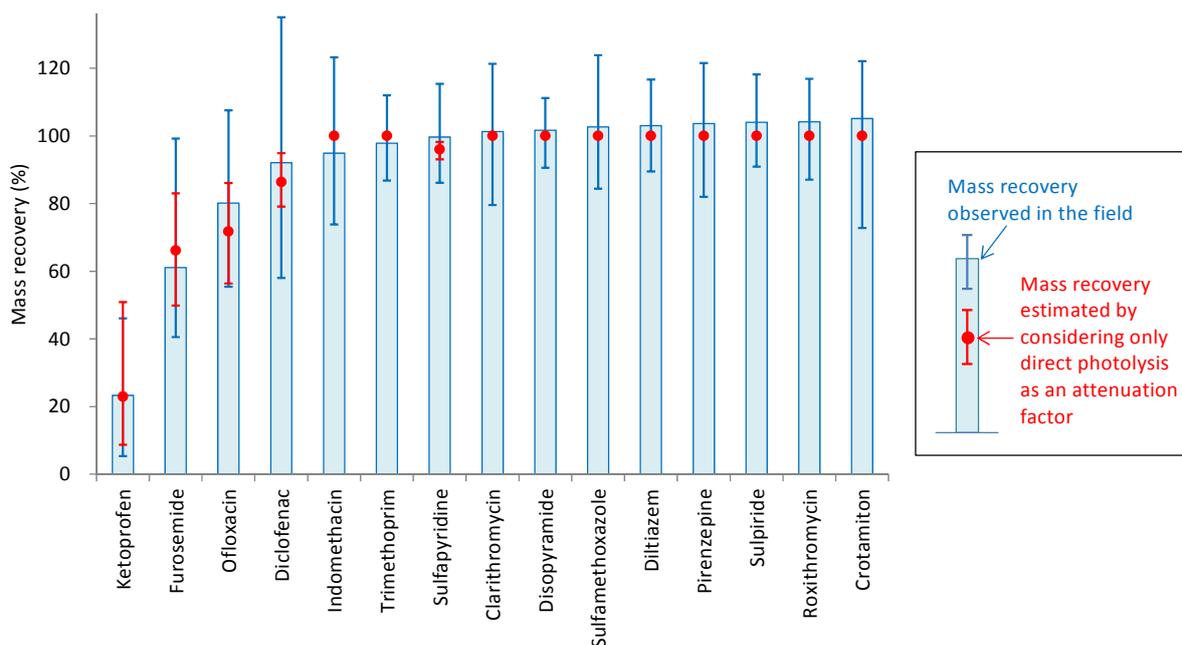
158 We measured the concentrations of the selected pharmaceuticals in all samples and the
 159 Microtox acute toxicity of the samples. In addition, we made dilution series of the samples
 160 collected from the ketoprofen solution at 0 and 300 min, and measured their Microtox acute

161 toxicities. The Microtox test was performed with the luminescent marine bacterium *Vibrio*
162 *fischeri* on a model 500 analyzer (AZUR Environmental, Fairfax, CA, USA) in accordance
163 with the Microtox[®] Acute Toxicity Basic Test Procedures.³⁷ Phosphate-buffered saline (PBS;
164 2% NaCl, 20 mM phosphate, pH 7) was added to the samples to control salinity and pH.
165 Decreases in bioluminescence were measured in duplicate after 15-min exposure at 15 ±
166 0.5 °C. Toxicities are expressed as the percentage inhibition of luminescence in the test
167 solutions relative to a control solution (i.e., solution without the addition of sample).

168 **3. Results and Discussion**

169 **3.1. Natural Attenuation of PPCPs and Effect of Direct Photolysis in the River**

170 We detected 28 PPCPs consistently at more than one of the WWTPs (SI Table S3), and the
171 CVs of mass loadings of 16 of them at WWTP T (site 2) within a day were low (median <
172 20%) in dry weather.⁶ These low CVs indicate that diurnal variations in mass loadings
173 discharged at WWTP T would not produce substantial error in estimates of the attenuation of
174 PPCPs in the river stretch. Because WWTP K is a minor source of the 16 PPCPs in the stretch
175 (SI Figure S1), diurnal variations in mass loadings discharged at WWTP K also would not
176 produce substantial error in the estimation. The mass recoveries observed in the field and
177 estimated by considering only direct photolysis as an attenuation factor are shown in Figure 2
178 for 15 of the PPCPs (carbamazepine was excluded owing to its use in calculating mass
179 recovery). Mass recoveries of crotamiton, sulpiride and several others observed in the field
180 were around 100%, indicating no appreciable attenuation. On the other hand, the median mass
181 recoveries of ketoprofen and furosemide were <70%, indicating appreciable attenuation along
182 the river stretch. Comparison of the mass recoveries with that estimated by considering only
183 direct photolysis as an attenuation factor suggested that the attenuation of the PPCPs was due
184 mainly to direct photolysis. This is not consistent with our finding that adsorption to
185 sediments is responsible for the attenuation of disopyramide, trimethoprim, roxithromycin,
186 and ofloxacin in the Katsura River,⁶ which would be due to differences between components
187 of the rivers such as fine sediment, natural water from upstream, and water quality (e.g., pH,
188 water temperature, and ionic strength).



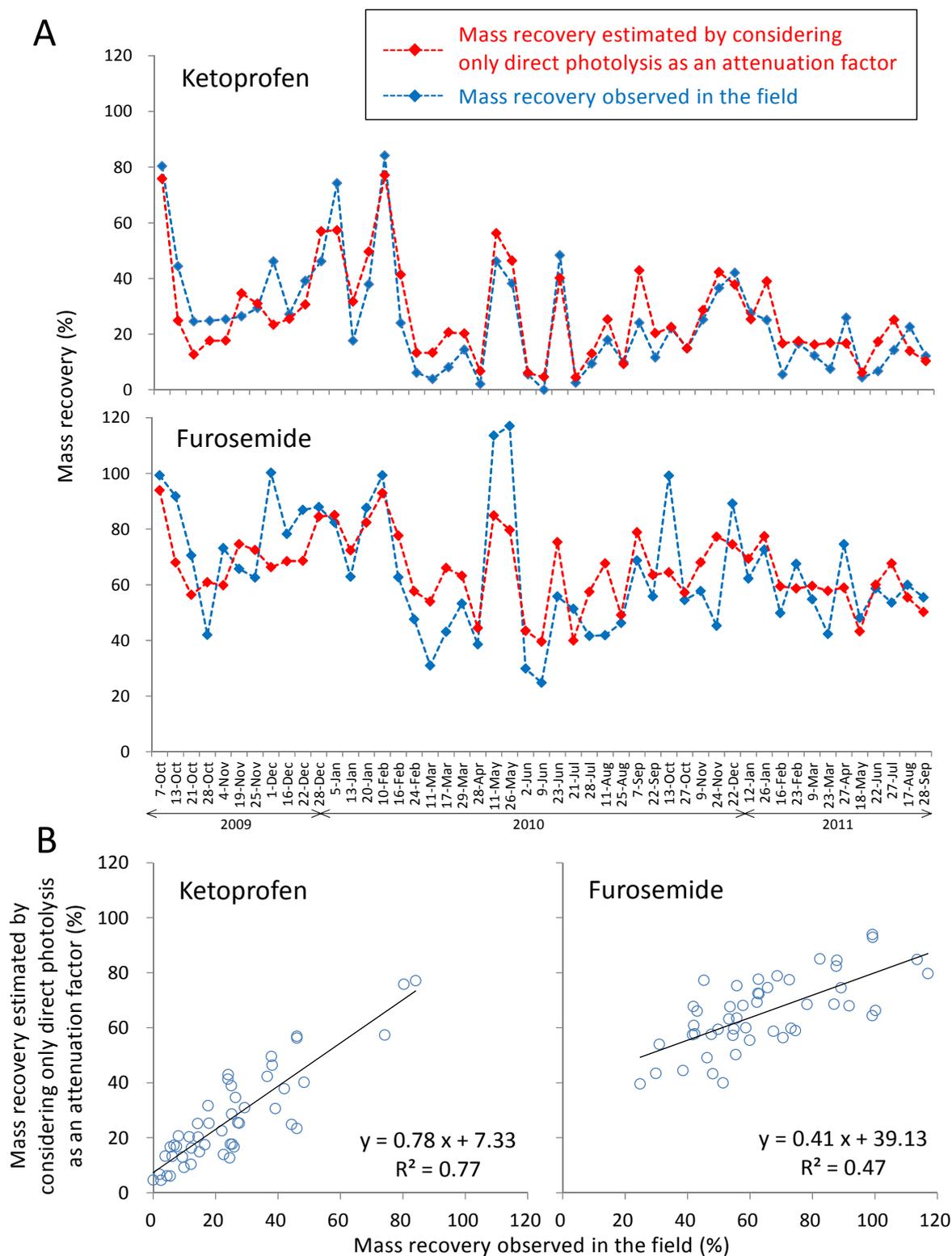
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190 **Figure 2.** Mass recoveries of 15 PPCPs at Tenjin Bridge (site 3) relative to the total inflow
 191 from the sources (sites 1, 2) observed in the field and estimated by considering only direct
 192 photolysis as an attenuation factor. Field surveys were conducted along a 2.6-km stretch of
 193 the effluent-dominated river over 2 full years ($n = 49$); vertical bars and plots denote 50th
 194 percentile; error bars denote 10th and 90th percentiles.

195 3.2. Corroboration of Method for Estimating Direct Photolysis in River under Field 196 Conditions over the Long Term

197 For corroborating the method for estimating direct photolysis,⁶ target chemicals should be
 198 insensitive to attenuation factors other than direct photolysis in order to reveal attenuation
 199 attributable solely to direct photolysis. Because ketoprofen and furosemide are insensitive to
 200 attenuation factors other than direct photolysis (see SI and our previous study⁶) and their
 201 photodegradability is little affected by pH and water temperature (SI Table S4), we used them
 202 to corroborate the method. The mass recoveries estimated by considering only direct
 203 photolysis as an attenuation factor agreed closely with those observed in the field at each
 204 sampling, especially for ketoprofen (Figure 3). The correlation coefficient (R^2) and slope of
 205 the regression line of furosemide are lower than those of ketoprofen, as the experimental error
 206 of the observed mass recovery became larger because of its lesser attenuation. Thus, the
 207 equation appears to be effective for estimating the direct photolysis of the pharmaceuticals
 208 during river transport under field conditions over the long term. Therefore, it would be
 209 reasonable to substitute measurements of bands of sunlight for those of solar spectral
 210 distribution and use average values within the wavelengths of light absorption for quantum

211 yields in the estimation for the pharmaceuticals. Although the result is affected somewhat by
212 the absorption spectra and wavelength dependency of the quantum yield of chemicals,
213 ketoprofen and furosemide represent the absorption spectra of photolabile PPCPs shown in
214 our previous study except for those that absorb both UV and Vis.⁶ These results have
215 considerably enhanced the practical utility of the method for estimating direct photolysis of
216 chemicals in the aquatic environment.



217

218 **Figure 3.** Comparison of mass recoveries of two pharmaceuticals observed in the field and

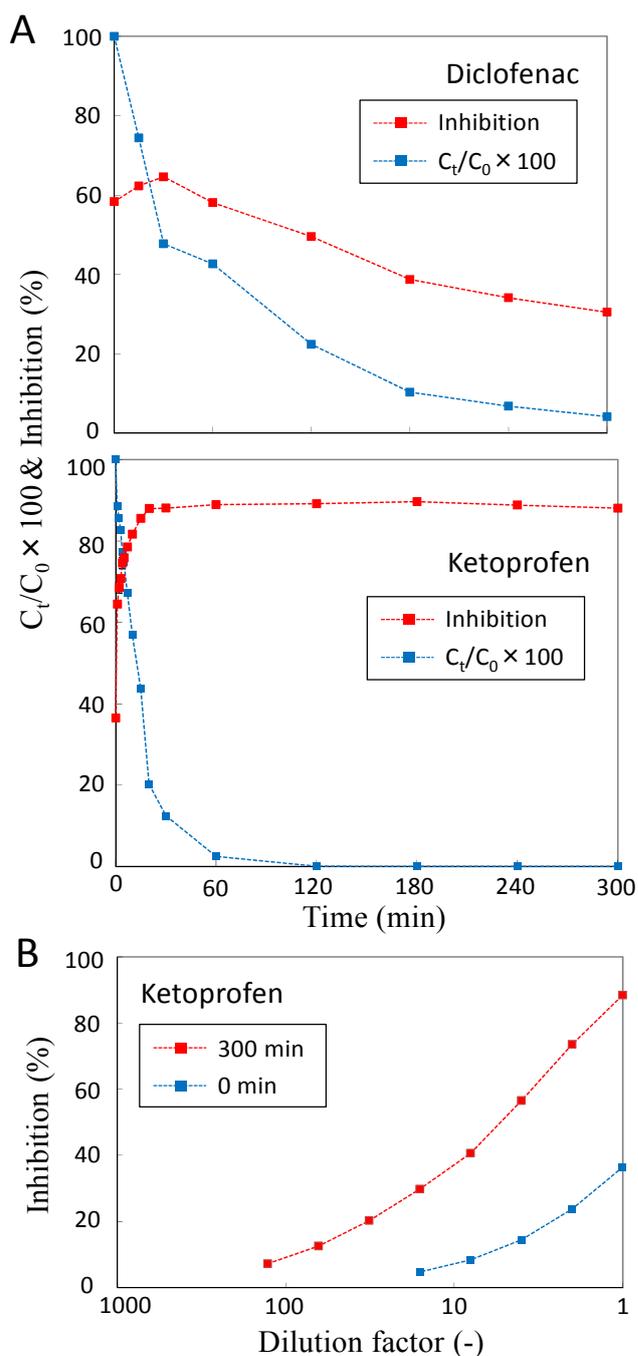
219 estimated by considering only direct photolysis as an attenuation factor, (A) by sampling date

220 and (B) by correlation. Estimated mass recoveries were obtained using the equation proposed

221 by Hanamoto et al.⁶

222 3.3.Toxicity Change of Pharmaceuticals under Sunlight

223 Photolysis of diclofenac did not appear to release by-products which were toxic to *Vibrio*
224 *fischeri* (Fig. 4), although a previous study indicated that photoproducts were toxic to algae.²⁸
225 The toxicity of ketoprofen solution increased immediately on exposure and remained steady
226 thereafter, indicating the existence of toxic and photostable photoproducts of ketoprofen. The
227 toxicity of ketoprofen solution collected at 300 min exposure, which would represent the total
228 toxicity of its photostable photoproducts, is around 12 times that before exposure when
229 expressed as EC₂₀ (i.e. effective concentration at 20% inhibition). This result is consistent
230 with previous reports that photoproducts of ketoprofen are stable,^{24,25} but not with the report
231 that the Microtox acute toxicity of ketoprofen measured with *Vibrio fischeri* increased but
232 then disappeared under sunlight.²⁷ The radiation intensity in the latter study was lower than
233 that in this study, but that difference cannot explain the disappearance of the toxicity shown in
234 that study. The discrepancy could be attributed to the much lower initial concentration of
235 ketoprofen in the latter study: because the initial concentration of ketoprofen was only 2% of
236 that here, the toxicity of its photostable photoproducts would be under the detection limit of
237 the Microtox test in the latter study.²⁷ The early toxicity in the latter study would be
238 attributable to photolabile photoproducts of ketoprofen, which would be much more toxic
239 than the photostable photoproducts. In this study, the appearance and disappearance of
240 toxicity due to the photolabile photoproducts would be included in the early sharp increase in
241 toxicity.



242
 243 **Figure 4.** (A) Change of concentration ratio (C_t/C_0) and Microtox acute toxicities (inhibition)
 244 of two pharmaceuticals under sunlight. (B) Microtox acute toxicities (inhibition) of dilution
 245 series of samples collected from ketoprofen solution at 0 and 300 min after the start of
 246 sunlight exposure. Mean of duplicate was shown for the inhibition.

247 4. Conclusions

248 In this study we quantified natural attenuation for 15 PPCPs over a full 2 years in an urban
 249 river, and 2 photolabile pharmaceuticals (ketoprofen and furosemide) showed appreciable

250 attenuation along the river stretch. The observed attenuation showed good agreement with
251 photochemical attenuation estimated by existing method at each sampling for the 2
252 photolabile pharmaceuticals, suggesting that the method appeared to be effective for
253 estimating the direct photolysis of the pharmaceuticals during river transport. The result has
254 considerably enhanced the practical utility of the method for estimating direct photolysis of
255 chemicals in the aquatic environment.

256 The total toxicity of diclofenac and its photoproducts to *Vibrio fischeri* decreased under
257 sunlight, while that of ketoprofen increased immediately after exposure and remained high,
258 indicating the existence of toxic and photostable photoproducts of ketoprofen. Therefore,
259 ecological risks of photolabile pharmaceuticals may increase during river transport in some
260 cases, indicating the necessity to incorporate their photoproducts into the estimation method.

261 In our future work, toxicity of each photoproduct should be quantified and compared with
262 the total to screen the photoproducts. The production rates and persistence of the screened
263 photoproducts in the aquatic environment should be incorporated into the method for
264 estimating direct photolysis rate constants of chemicals, and the method should be
265 corroborated using their measured aquatic concentrations.

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272 Notes and references

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276 † Electronic Supplementary Information (ESI) available: [Details of WWTPs; detection,
277 concentrations, and source distributions of PPCPs along the river stretch; effects of water
278 temperature and pH on direct photolysis; indirect photolysis, and biodegradation; and other
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