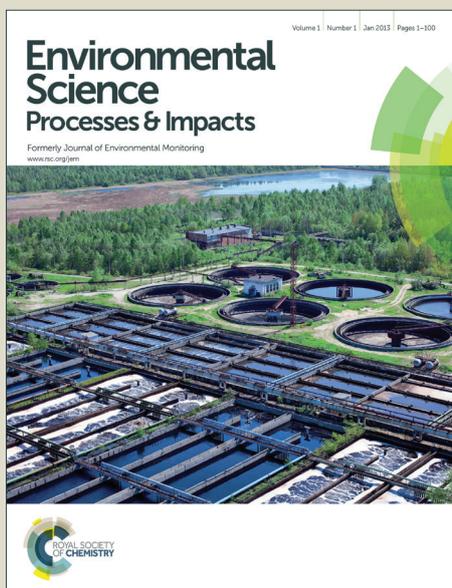


Environmental Science Processes & Impacts

Accepted Manuscript



This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

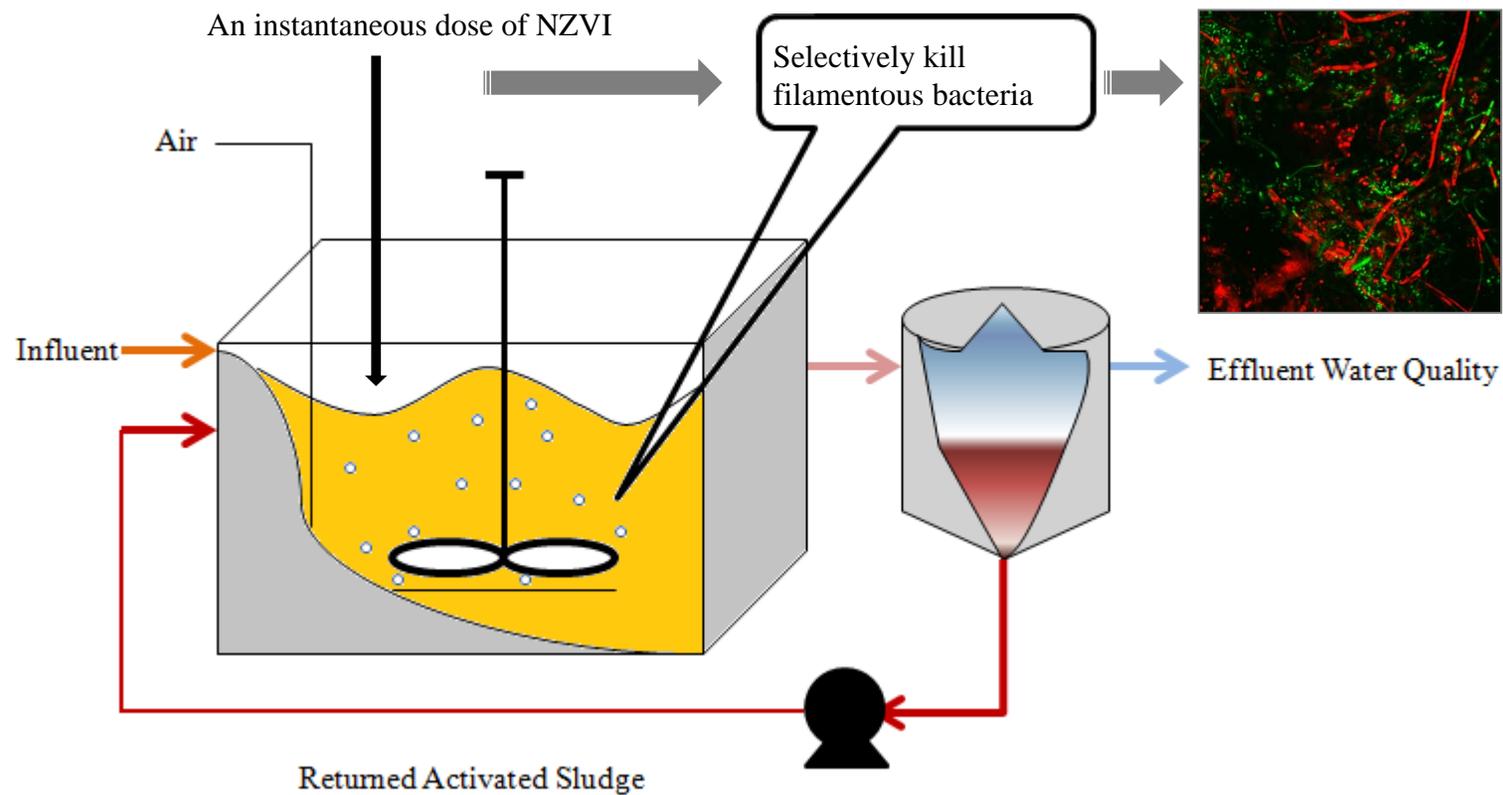
Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this *Accepted Manuscript* with the edited and formatted *Advance Article* as soon as it is available.

You can find more information about *Accepted Manuscripts* in the [Information for Authors](#).

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard [Terms & Conditions](#) and the [Ethical guidelines](#) still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.



rsc.li/process-impacts



1 Title:

2 **Filamentous sludge bulking control by nano zero-valent iron in activated sludge**
3 **treatment systems**

4 Authors:

5 Shengnan Xu[†], Minghao Sun[†], Chiqian Zhang[†], Rao Surampalli[‡] and Zhiqiang Hu^{†*}

6

7 Affiliation:

8 [†]Department of Civil and Environmental Engineering, University of Missouri

9 [‡]US Environmental Protection Agency, Kansas City, KS 66101

10

11 ****Corresponding Author:**

12 Dr. Zhiqiang Hu, University of Missouri, E2509 Lafferre Hall, Columbia, MO 65211

13 Tel.: (573) 884 0497, Fax: (573) 882 4784 Email: huzh@missouri.edu

14

15 **Abstract**

16 Sludge bulking causes loss of biomass in the effluent and deterioration of effluent water
17 quality. This study explored the use of nano zero-valent iron (NZVI with an average particle
18 size of 55 ± 11 nm) for sludge bulking control. In two Modified Ludzack-Ettinger (MLE)
19 activated sludge treatment systems, a single dose of NZVI at the final concentration of 100
20 mg Fe/L in the mixed liquor reduced the number of filamentous bacteria Type 021N by 2-3
21 log units (a reduction of 99.9 and 96.7% in MLE tank #1 and #2, respectively). The side
22 effect of the use of NZVI depended on sludge bulking conditions and biomass concentration.
23 In the system with sludge bulking and significant sludge loss already (average biomass
24 concentration of $1,022 \pm 159$ COD mg/L or at the ratio of 0.098 g Fe/g biomass COD), the
25 use of NZVI increased effluent COD, NH_4^+ -N and NO_2^- -N concentrations, as also evident
26 with loss of nitrifying populations and nitrifying activities resulting in more than 40 days to
27 have the full recovery of the activated sludge system. In contrast, in the system with the early
28 stages of bulking and the biomass concentration of $1,799 \pm 113$ COD mg/L (at the ratio of
29 0.056 g Fe/g biomass COD), the effluent water quality and overall bioreactor performance
30 were only slightly affected for a few days.

31

32 **Keywords:** filamentous bacteria; nano zero-valent iron; sludge bulking control; qPCR;
33 sludge volume index

34

35 Introduction

36 Sludge bulking, which is often caused by excessive growth of filamentous organisms in
37 activated sludge, ¹ results in poor sludge settling, sludge loss from secondary clarifiers and
38 deterioration of effluent water quality. ²⁻⁵ The kinetic selection theory is commonly used to
39 explain sludge bulking and to explore engineering solutions to control bulking. ^{4, 6} Most
40 filamentous bacteria are slow growing organisms with lower maximum specific growth rate
41 (μ_{\max}) but higher affinity constant (Ks) than floc-forming bacteria ⁴ at low substrate
42 concentrations. When the substrate concentration (S) is low (i.e., $S \ll K_s$), filamentous
43 bacteria may have higher growth rates, thus outcompeting floc-forming bacteria (e.g.,
44 *Zoogloea*) in activated sludge. ⁷ Nowadays, selectors are therefore commonly used by
45 creating a substrate concentration gradient to improve sludge settling. ^{6, 8} Metabolic selection
46 is another approach for filamentous sludge bulking control as most filamentous bacteria
47 cannot grow under anoxic or anaerobic conditions. ⁴ Other theories and factors such as
48 substrate diffusion limitation, ⁹ intracellular polymer storage, ^{10, 11} and the difference in decay
49 rates between filaments and floc-forming bacteria ¹² have also been proposed. While an
50 integrated framework combining kinetic selection and substrate diffusion limitation has been
51 suggested for sludge bulking, ¹³ there is still no single mechanism that can fully explain the
52 sludge bulking problems. In practice, the causes for filament growth in activated sludge
53 treatment are complex and include factors such as low food-to-microorganisms (F/M), long
54 solids retention time (SRT), low nutrients, low dissolved oxygen (DO), low pH or high
55 sulfide levels. ^{1, 4, 14} Eikelboom type 021N (Type 021N), Type 1701, Type 0041, *Microthrix*
56 *parvicella*, *Thiothrix* spp, *Gordonia* spp., among others, have been found to be responsible for

57 most of the bulking and foaming events.^{4, 15, 16}
58
59 Practical control methods for filamentous sludge bulking include specific and non-specific
60 methods.^{17, 18} Specific methods like the use of selectors are preferred as they eliminate the
61 causes favorable for filamentous growth. In order to apply the principles of kinetic selection
62 and metabolic selection, approaches such as modifying process operating conditions and
63 process configurations are necessary but can be costly.¹⁷ Non-specific methods can be a
64 quick fix by adding toxicants (biocides) such as chlorine and hydrogen peroxide to improve
65 sludge settleability.^{1, 3} This approach is based on the fact that filaments protrude from the
66 flocs are more susceptible to toxicant exposure, while most of floc-forming bacteria are
67 embedded inside the flocs therefore protected from exposure to toxicants. Chlorination is the
68 most widely applied method to control sludge bulking due to its low cost. Chlorine dose can
69 be properly managed to control filamentous bulking without impairing nitrification
70 performance.¹ However, chlorination often causes side effects on wastewater treatment
71 performance by deflocculating activated sludge resulting in poor effluent water quality.^{19, 20}
72 There is also a concern about the development of chlorine-resistant filamentous bacteria in
73 sludge.^{3, 21} Other types of toxicants such as cetyl trimethylammonium bromide (CTAB),
74 ozone and hydrogen peroxide are generally too costly to use, not mention the generation of
75 harmful disinfection byproducts (DPBs), odor and chemical scum.^{1, 3} Synthetic polymers and
76 coagulants (e.g. lime, iron salts) may also be used to improve sludge sedimentation through
77 bridging between flocs,²² but coagulation/flocculation did not kill filamentous bacteria.

78

79 Nanomaterials having antiseptic (antimicrobial) properties may have beneficial uses in
80 wastewater treatment. Nano zero-valent iron (NZVI) is one of the most commonly used and
81 studied engineered nanoparticles due to its broad applications.^{23,24} NZVI has been evaluated
82 in wastewater treatment for nitrogen removal through chemical reduction of nitrate^{24,25} and
83 phosphate removal through chemical precipitation.²⁶ The associated release of Fe²⁺ due to
84 oxidative dissolution of NZVI helps sludge flocculation and settling.²⁷ More importantly,
85 NZVI is an effective biocide that can kill a broad range of microorganisms²⁸⁻³⁰ with its mode
86 of action through reductive decomposition of cell membrane due to strong reducing
87 conditions ($E_H^\circ(\text{Fe}^{2+}/\text{Fe}) = -0.447 \text{ V}$) at the NZVI surface.^{23,29} The antibacterial effect of
88 NZVI may also involve the generation of intracellular reactive oxygen species (ROS) by
89 dissociative recombination of H₃O⁺ ($\text{H}_3\text{O}^+ + e^- \rightarrow \cdot\text{HO} + \text{H}_2$) catalyzed by Fe⁰/Fe (II).³¹
90 Remarkably, NZVI was also reported to be highly selective,³² with its EC₅₀ on cyanobacteria
91 20-100 times lower than that on algae, daphnids, water plants and fishes. It is well established
92 that the filaments have higher cell loss from biocide because a larger fraction of their
93 population compared to floc formers is exposed to the bulk liquid.¹ Similarly, because both
94 filaments and NZVI have high surface/volume ratios, it is hypothesized that filamentous
95 bacteria are more susceptible to NZVI exposure than floc-forming bacteria while
96 agglomeration of nanoparticles in sludge may reduce the negative effect of NZVI on floc
97 formers, thus resulting in selective removal of filamentous species. The main objective of this
98 research was to explore the use of NZVI for sludge bulking control and to determine the side
99 effect of the use of NZVI, which is likely related to sludge bulking conditions and biomass
100 concentration (or NZVI/biomass ratio) in activated sludge wastewater treatment systems.

101

102 Materials and Methods**103 Nano zero-valent iron synthesis**

104 NZVI particles were synthesized by the sodium borohydride reduction method ($2\text{Fe}^{2+} + \text{BH}_4^-$
105 $+ 3\text{H}_2\text{O} \rightarrow 2\text{Fe}^0 + \text{H}_2\text{BO}_3^- + 4\text{H}^+ + 2\text{H}_2$). A diluted carboxymethyl cellulose (CMC,
106 Sigma-Aldrich, St. Louis, MO) solution (0.2%, w/w) served as a capping agent.³³ Briefly,
107 160 mL of the CMC solution was sparged with nitrogen for at least 20 min before use. Then
108 40 mL of freshly prepared $\text{FeCl}_2 \cdot 4\text{H}_2\text{O}$ (0.25 M) was gradually added to the CMC solution
109 under nitrogen gas protection. Finally, a total of 50 mL freshly prepared NaBH_4 (0.4 M,
110 Sigma-Aldrich) solution was added dropwise to the CMC solution that was magnetically
111 stirred at 1,100 rpm at room temperature. Nitrogen sparging was continued for another 10
112 min to remove hydrogen gas. The final concentrations of NZVI in the solution were 0.04 M.
113 The NZVI stock suspension was purged with nitrogen gas throughout the synthesis process to
114 ensure that only nano- Fe^0 was formed.²³ The NZVI particles had an average size of 55 ± 11
115 nm as reported in our recent study.³⁴

116

117 Bioreactor set-up and operation

118 Replicate lab-scale activated sludge systems (Tanks #1 and #2) employing Modified
119 Ludzack-Ettinger (MLE) process were used in this study. The MLE process was chosen so
120 that the approach of metabolic selection was applied in sludge bulking control while
121 filamentous species such as Type 021N would not be affected.⁴ Each system had a working
122 volume of 7.4 L and consisted of anoxic and aerobic chambers separated by a glass baffle.

123 The effective volumes of the anoxic, aerobic, and internal settling chambers were 1.9, 3.8 and
124 1.7 L, respectively. The feed flow rate was set at 7.2 L/d resulting in an average hydraulic
125 retention time (HRT) of 0.8 d. There was a recirculation at a flow rate equal to the influent
126 flow rate from the aerobic chamber to the anoxic chamber in each MLE tank. For each
127 bioreactor, a fine bubble diffuser in conjunction with the use of a magnetic stirrer provided
128 mixing and aeration in the aeration chamber to maintain DO concentrations of 2-4 mg/L and
129 only a magnetic stirrer was used to provide mixing in the anoxic chamber. Both bioreactors
130 were inoculated with activated sludge obtained from the Columbia WWTP (Columbia, MO)
131 and fed with synthetic wastewater. The synthetic wastewater ($\text{pH} = 6.9 \pm 0.1$) prepared
132 with tap water mainly contained nonfat dry milk powder with a target chemical oxygen
133 demand (COD) concentration of 400 mg/L, 40 mg/L total nitrogen, 25 mg/L NH_4^+ -N and 8
134 mg/L PO_4^{3-} -P. It also contained the following macro- and micronutrients per liter: 44 mg
135 MgSO_4 , 14 mg $\text{CaCl}_2 \cdot 2\text{H}_2\text{O}$, 2 mg $\text{FeCl}_2 \cdot 4\text{H}_2\text{O}$, 3.4 mg $\text{MnSO}_4 \cdot \text{H}_2\text{O}$, 1.2 mg
136 $(\text{NH}_4)_6\text{Mo}_7\text{O}_{24} \cdot 4\text{H}_2\text{O}$, 0.8 mg CuSO_4 , 0.3 mg $\text{NiSO}_4 \cdot 6\text{H}_2\text{O}$, and 1.8 mg $\text{Zn}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$.³⁵
137 The wastewater was prepared every 3 days and stored at room temperature (23 ± 1 °C) in a
138 covered 130 L (volume) plastic storage bin.

139

140 The bioreactors were operated and monitored for 150 days after the start-up period, and
141 divided into two phases. Phase I lasted for the first 60 days at the target SRT of 10 days by
142 wasting the mixed liquor directly from the aerobic chamber. Phase II started from day 61
143 onwards at a long SRT (20 days) associated with high bulking potential. To determine
144 bulking conditions, the sludge volume index (SVI) was regularly monitored following the

145 standard methods³⁶ with modification (by taking 100-mL of the waste sludge). Through SVI
146 measurements and microscopic observations, an instantaneous dose of NZVI in the anoxic
147 chamber at the final concentration of 100 mg Fe/L in the entire mixed liquor was applied for
148 sludge bulking control on day 89 and day 104 for Tank #1 and #2, respectively. The NZVI
149 concentration was selected based on the results from a microtiter assay (details in Supporting
150 Information or SI).

151

152 **Filamentous bacterial DNA and polymerase chain reaction analysis**

153 Bacterial DNA samples were collected from each bioreactor before and after NZVI dosing at
154 a predetermined time. Total genomic DNA was extracted from the mixed liquor taken from
155 the aeration chamber using a MoBio Ultraclean™ Soil DNA Isolation Kit (MioBio
156 Laboratories, Inc., Carlsbad, CA). An average of 0.5 g biomass was collected in DNA
157 extraction. The DNA was quantified by Nanodrop ND 1000 (NanoDrop Technologies,
158 Wilmington, NC, USA) and its purity was analyzed by measuring the 260/280 nm absorbance
159 ratio. The extracted DNA samples were stored at -20°C before use.

160

161 A broad range of filamentous bacteria including *Microthrix parvicella*, Eikelboom type 021N,
162 *Gordonia* spp., *Thiothrix eikelboomii* were detected in the MLE systems by conventional
163 polymerase chain reaction (PCR) methods as described elsewhere.³⁷⁻³⁹ All primers were
164 synthesized by Integrated DNA Technologies (Coralville, IA) and their detailed sequence
165 information is available in SI Table S1.

166

167 For quantitative microbial analysis, Type 021N was selected as a representative filamentous

168 species through quantitative real-time PCR (qPCR) analysis. Type 021N stands for a large
169 group of filamentous bacteria and their growth is strongly related to an unbalanced influent
170 composition, low molecular weight organic substrates and low oxygen concentrations in
171 aeration tanks.^{1, 17, 40} The bacteria have also been shown to be present at moderate to high
172 SRT.¹⁷ The qPCR assays were performed with the ABI 7500 Real time PCR System and the
173 7500 SDS system software (version 1.4, Applied Biosystems, CA), according to the protocols
174 described previously with modification.³⁸ To avoid PCR inhibition, NZVI/iron ions in the
175 DNA samples were removed with EDTA following a method described previously.⁴¹
176 Detailed information of qPCR procedure is available in SI Methods.

177

178 The copy numbers of 16S rRNA genes of Type 021N and total bacteria in all the samples
179 were determined at least in triplicate. Standard curves (mean cycle threshold (Ct) value of
180 triplicate assays versus log of cell number/PCR reaction) were constructed through serial
181 dilutions of plasmid DNA carrying a cloned 16S rRNA gene of Type 021N or total bacteria
182 using a TOPO[®] TA Cloning[®] kit (Invitrogen, CA). The PCR amplification efficiencies for
183 Type 021N and total bacteria were 92.4% and 94.8%, respectively (SI Figure S1). The
184 standard curves of the PCR assay without EDTA and MgCl₂ served as control to determine
185 the effect of EDTA and MgCl₂ on PCR amplification efficiencies (SI Figure S1). The
186 quantified 16S rRNA copy numbers were converted to cell numbers, according to the
187 genomic information (available at <http://www.microbesonline.org>), with the assumption that
188 Type 021N cell contains one 16S rRNA gene copy and total bacterial cell contains an average
189 of 3.6 16S rRNA gene copies.⁴²

190

191 **Effect of NZVI dosing on nitrifying bacterial population and nitrifying activity**

192 The side effect of NZVI dosing in activated sludge treatment systems was inferred from its
193 effect on the growth of sensitive nitrifying bacteria, which include ammonia-oxidizing
194 bacteria (AOB) and nitrite-oxidizing bacteria (NOB). To analyze the impact of NZVI on the
195 nitrifying population, the collected DNA samples were analyzed by Terminal Restriction
196 Fragment Length Polymorphism (T-RFLP) targeting the 16S rRNA genes of AOB^{43,44} and
197 NOB,⁴⁵ during which a fluorescent dye, 6-FAM, was incorporated at the 5' end of the labeled
198 oligonucleotides. PCRs were conducted in a PCR DNA thermocycler (Eppendorf, Westbury,
199 NY). The thermal profiles used for each PCR amplification have been described elsewhere.⁴⁶
200 The PCR amplification products were purified and digested with *MspI* restriction
201 endonuclease (Promega, Madison, WI) at 37 °C for 3 hrs. The DNA products were then
202 diluted 10 times and run through an ABI 3730 DNA Analyzer (Applied Biosystems, Carlsbad,
203 CA) at the University of Missouri DNA Core Facility. An internal lane standard ranging from
204 20-600 bases (Genescan 600 LIZ) was added to each sample for precise sizing of each
205 fragment by adjusting for lane to lane loading variation.

206

207 To determine the change in nitrifying bacterial activity, aliquots of mixed liquor were
208 periodically taken from the aeration chamber to determine the specific oxygen uptake rates
209 (SOUR), with detailed procedures described in Supporting Information.

210

211 **Microscopic, chemical and statistical analysis**

212 Activated sludge in the aeration chamber was periodically subjected to light microscopic
213 examination (Axioskop Zeiss microscope). One day after NZVI dosing into each MLE
214 bioreactor, the activated sludge samples were subjected to live/dead analysis after fluorescent
215 staining with the LIVE/DEAD[®] BacLight[™] bacterial viability kit (Invitrogen Co., Carlsbad,
216 CA), according to the work reported elsewhere.⁴⁷ A laser-scanning confocal microscope
217 (Zeiss LSM 510 META) was used for fluorescence imaging of bacterial cells.

218

219 The influent and effluent water quality parameters such as COD, $\text{NH}_4^+\text{-N}$, $\text{NO}_2^-\text{-N}$, $\text{NO}_3^-\text{-N}$,
220 and orthophosphorus in the MLEs were measured in duplicate following the standard
221 methods.³⁶ Biomass concentration was measured in COD units.^{48, 49} One-way ANOVA
222 analysis was conducted to assess the significance of the differences among groups, with $p <$
223 0.05 indicating statistical significance.

224

225 **Results and Discussion**

226 **Sludge bulking associated with long SRT operation and bioreactor performance**

227 Both MLE bioreactors were initially operated at the SRT of 10 days for about two months.
228 The SRT increased to 20 days from day 61 onwards. As long SRT operation often favors
229 filamentous bacterial growth⁴ while short SRTs (< 5.7 d) suppress the growth of filamentous
230 bacteria (*Microthrix parvicella*),⁵⁰ an increase in SRT from 10 to 20 days encouraged sludge
231 bulking as indicated from the SVI measurements and confirmed by light microscopy (Figure
232 1 and SI Figure S2). In Tank #1, the SVI value increased from < 100 mL/g at the SRT of 10

233 days to 333 mL/g after about 20 days of operation at the SRT of 20 days. For comparison, the
234 SVI increase was slower in Tank #2, where the SVI increased from < 100 mL/g at the SRT of
235 10 days to 210 mL/g after about 40 days of operation at the SRT of 20 days (Figure 1).
236 Though SVI values above 150 mL/g indicate sludge bulking,⁵¹ the different trends in SVI
237 change suggest the uncertainty and complex sludge bulking mechanisms involved in each
238 bioreactor, even though the two tanks were identical and operated at the same HRT and SRT.

239

240 Correspondingly, the degree of loss of sludge differed between the two bioreactors during
241 sludge bulking. At the SRT of 10 days with no evidence of sludge bulking, the average
242 biomass COD concentrations in Tank #1 and #2 were $2,332 \pm 255$ mg/L and $2,269 \pm 235$
243 mg/L, respectively (Figure 2). There was no significant difference in the biomass
244 concentration between the two bioreactors ($p = 0.67$). At the SRT of 20 days, due to sludge
245 loss in the effluent associated with bulking, the biomass COD concentration in Tank #1
246 gradually reduced to $1,022 \pm 159$ mg/L in on day 89. For comparison, in Tank #2, the
247 biomass COD concentration was only reduced to $1,799 \pm 113$ mg/L on day 104 (after about
248 40 days of operation at an average SRT of 20 days). The SVI data (Figure 1) and microscopic
249 observation (SI Figure S2) also confirmed that sludge in Tank #1 was already bulking
250 resulting in sludge loss while sludge in Tank #2 was in the early stages of bulking.

251

252 Figures 3 and 4 demonstrate that sludge bulking affected effluent water quality. At the SRT of
253 10 days (with no sludge bulking) and influent COD concentration of 403 ± 47 mg/L, the
254 effluent COD concentrations from Tank #1 and #2 were 21 ± 5 mg/L and 20 ± 5 mg/L,

255 respectively, resulting in a similar average removal efficiency of 95% (Figure 3). There were
256 also no significant differences in effluent $\text{NH}_4^+\text{-N}$ ($p = 0.75$), $\text{NO}_2^-\text{-N}$ ($p = 0.73$) or $\text{NO}_3^-\text{-N}$ (p
257 $= 0.66$) concentrations between the two MLE bioreactors. The effluent $\text{NH}_4^+\text{-N}$
258 concentrations from Tank #1 and #2 were 0.4 ± 0.1 mg/L and 0.4 ± 0.2 mg/L, respectively,
259 with removal efficiencies of 98% and 99% respectively, indicating almost complete
260 nitrification (Figure 4). Correspondingly, the effluent $\text{NO}_2^-\text{-N}$ concentrations from Tank #1
261 and #2 were 0.4 ± 0.6 mg/L and 0.5 ± 0.7 mg/L, respectively, and the effluent $\text{NO}_3^-\text{-N}$
262 concentrations were 20.2 ± 1.9 mg/L and 20.4 ± 1.9 mg/L, respectively.

263

264 As the SRT was increased to 20 days from day 61 onwards, the average effluent COD
265 concentrations before NZVI dosing in Tank #1 and #2 increased to 40 ± 13 mg/L and 37 ± 7
266 mg/L, respectively (Figure 3), which was mainly attributed to the loss of sludge in the
267 effluent due to sludge bulking. Meanwhile, the average effluent $\text{NH}_4^+\text{-N}$ and $\text{NO}_2^-\text{-N}$
268 concentrations in Tank #1 increased significantly to 1.2 ± 1.4 mg/L and 1.9 ± 1.6 mg/L,
269 respectively, while the effluent $\text{NO}_3^-\text{-N}$ concentration decreased to 15.9 ± 6.3 mg/L. The
270 much higher effluent $\text{NH}_4^+\text{-N}$ and $\text{NO}_2^-\text{-N}$ concentrations in Tank #1 were linked to its more
271 serious sludge bulking and biomass loss in the effluent, suggesting that nitrifying bacteria are
272 more easily washed out and susceptible to perturbation associated with filamentous sludge
273 bulking. For comparison, with the sludge in the early stages of bulking in Tank #2, the
274 average effluent $\text{NH}_4^+\text{-N}$ and $\text{NO}_2^-\text{-N}$ concentrations before NZVI dosing remained relatively
275 low at 0.5 ± 0.2 mg/L and 0.4 ± 0.2 mg/L, respectively.

276

277 Effectiveness of NZVI in killing filamentous bacteria

278 Among the filamentous bacteria studied, Type 021N and *Gordonia* spp. were detected most
279 often (Figures S4) while *Thiothrix eikelboomii* was only detected in Tank #1 (data not shown).
280 Type 021N bacteria were found to be excessive at the SRT of 20 days in Tank #1 on days 88
281 and 89 and Tank #2 on day 104 (Figure 5). The bloom of Type 021N species in Tank # 2 was
282 delayed for about 15 days with smaller population for unknown reasons, which was in
283 agreement with the sludge bulking conditions observed through SVI measurements and light
284 microscopy.

285

286 In Tank #1, before NZVI dosing, the average concentration of Type 021N were increased
287 from $(2.00 \pm 0.69) \times 10^6$ cells/g biomass on day 40 to $(2.67 \pm 0.09) \times 10^9$ cells/g biomass on
288 day 88 and $(2.43 \pm 0.07) \times 10^9$ cells/g biomass on day 89 (Figure 5a). One day after NZVI
289 dosing, however, Type 021N was reduced to $(1.37 \pm 0.54) \times 10^6$ cells/g biomass, a significant
290 decrease (in 3 log units). In the following 20 and 40 days, the average concentrations of Type
291 021N were $(1.35 \pm 0.80) \times 10^6$ cells/g biomass and $(9.56 \pm 0.26) \times 10^6$ cells/g biomass,
292 respectively, indicating a slight and slow recovery of the bacteria under long SRT operation.

293 In Tank #2, Type 021N species increased from $(2.70 \pm 0.69) \times 10^6$ cells/g biomass on day 40
294 to $(1.09 \pm 0.01) \times 10^9$ cells/g biomass on day 104 (before NZVI dosing) (Figure 5a). One day
295 after NZVI treatment, the number of Type 021N was reduced to $(3.58 \pm 0.20) \times 10^7$ cells/g
296 biomass, a significant decrease (in about 2 log units or a 96.7% reduction). After 20 more
297 days of operation at the SRT of 20 days, the number of Type 021N remained low at $(3.40 \pm$
298 $0.23) \times 10^7$ cells/g biomass. For comparison, the average concentration of total bacteria

299 ranged from $(1.38 \pm 0) \times 10^{11}$ cells/g biomass to $(1.68 \pm 0.15) \times 10^{11}$ cells/g biomass in Tank
300 #1 and from $(1.30 \pm 0.05) \times 10^{11}$ cells/g biomass to $(1.49 \pm 0) \times 10^{11}$ cells/g biomass in #2
301 throughout the study period (Figure 5b), which were generally consistent with the range of
302 biomass concentrations in municipal WWTPs. ⁴² Type 021N was characterized by having
303 extremely high SVI values. ⁵² The results demonstrated the successful use of NZVI in sludge
304 bulking control by significantly reducing the number of Type 021N bacteria.

305

306 Due to its high specific surface area, it is likely that NZVI was capable of directly attaching
307 to the cell surface thus more likely killing filamentous bacteria, which also have high
308 surface/volume ratio (SI Figure S3). Interestingly, the use of NZVI did not cause significant
309 deflocculation one hour after the dosing while the nanoparticles appeared to be agglomerated.
310 Live/dead staining results showed that unlike the control group (SI Figure S4), NZVI
311 effectively killed the filamentous bacteria in both bioreactors, while a large fraction of
312 floc-forming species were still alive with a larger amount of dead cells for the higher NZVI to
313 biomass ratio in Tank #1 (Figure 6), because the filamentous bacteria are not protected by the
314 floc. NZVI was also effective in killing *Gordonia* spp. through regular PCR analysis, as the
315 disappeared bands right after NZVI dosing indicated the significant bactericidal effect of
316 NZVI against *Gordonia* (SI Figure S5).

317

318 **Impact of sludge bulking and NZVI dosing on nitrifying bacterial population and**
319 **activity**

320 T-RFLP analysis (SI Figure S6) shows the change in nitrifying bacterial community structure

321 in Tank #1 before and after NZVI dosing. On day 40, *Nitrosomonas* was the dominant genus
322 of AOB while *Nitrospira* was dominant among NOB. With significant sludge bulking on day
323 89, there was a large decrease in the AOB population as indicated from the change in peak
324 intensity (at 155 bp and 161 bp) of *Nitrosomonas*; in contrast, only one of the *Nitrospira*
325 peaks (272 bp) was reduced considerably. Consistent with the higher effluent $\text{NH}_4^+\text{-N}$ and
326 $\text{NO}_2^-\text{-N}$ concentrations, the decrease in *Nitrosomonas* and *Nitrospira* population was
327 attributed to overgrowth of filamentous bacteria and associated biomass loss in the effluent.
328 Additional decrease in the numbers of *Nitrosomonas* and *Nitrospira* species were observed
329 one day after NZVI dosing (day 90), showing the bactericidal effect of NZVI on nitrifying
330 bacteria. Thereafter, the peak sizes of *Nitrosomonas* and *Nitrospira* species increased
331 gradually as indicated from the data on days 110 and 130, correlating well with the effluent
332 water quality data (Figures 3 and 4).

333

334 Furthermore, consistent with the change in nitrifying population and the effluent water quality,
335 the autotrophic SOUR values in Tank #1 were decreased by $46 \pm 4\%$ due to sludge bulking
336 on day 89 (SI Figure S7). One day after NZVI dosing, the nitrifying bacteria activity
337 decreased further, with the full recovery of the activated sludge system taking more than 40
338 days. There was also a slight decrease in nitrifying activity in Tank # 2 on day 104 followed
339 by a significant decrease ($34 \pm 1\%$) one day after NZVI dosing. Thereafter, the nitrifying
340 bacteria activity was fully recovered within a few days.

341

342 **Bioreactor performance recovery and other benefits associated with NZVI dosing**

343 In Tank #1 with sludge bulking and sludge loss already (average biomass concentration of
344 $1,022 \pm 159$ COD mg/L or at the ratio of 0.098 g Fe/g biomass COD), the use of NZVI
345 caused a significant increase in effluent COD, NH_4^+ -N and NO_2^- -N concentrations with the
346 full recovery of the activated sludge system taking more than 40 days (Figures 3 and 4). For
347 comparison, in Tank #2 with the early stages of bulking and the biomass concentration of
348 $1,799 \pm 113$ COD mg/L (at the ratio of 0.056 g Fe/g biomass COD), except for NH_4^+ -N
349 accumulation during the first week after NZVI dosing, the effluent COD concentration was
350 stabilized at 22 ± 1 mg/L and effluent NH_4^+ -N concentration was quickly reduced to $0.02 \pm$
351 0.03 mg/L (Figures 3 and 4). Hence, the effluent water quality and overall activated sludge
352 bioreactor performance were only affected for a few days in Tank #2.

353

354 Additional benefits of the use of NZVI included an improved phosphorus removal and sludge
355 settling. A single dose of NZVI resulted in fast and enhanced reduction of effluent PO_4^{3-} -P
356 concentration (SI Figure S8), possibly due to iron phosphate precipitates (e.g., $\text{Fe}_3(\text{PO}_4)_2$,
357 FePO_4 , and $\text{Fe}_x(\text{OH})_y(\text{PO}_4)_3$)⁵³ and the formation of iron oxides/hydroxides for P adsorption.
358 ⁵⁴ However, the effluent PO_4^{3-} -P concentrations resumed to the previous level three HRTs
359 after NZVI treatment, indicating rapid loss of NZVI reactivity as nanoparticles were mainly
360 associated with sludge. Nevertheless, due to the dissolution of NZVI, the oxidized forms
361 (Fe^{2+} , Fe^{3+}) of iron could improve the sludge flocculation and settleability, as was also
362 confirmed in this study where the SVI was generally below 100 mg/L in both bioreactors
363 after the one-time NZVI treatment (Figure 1). Although more questions remain as to whether

364 or how the NZVI treated sludge would affect sludge digestion, it is expected that NZVI could
365 be converted to iron ions and their complexes through fast NZVI dissolution (within an hour,
366 data not shown) and therefore would not pose problems.

367

368 In chlorination-based bulking control, filamentous and floc-forming bacteria do not appear to
369 largely differ in their chlorine susceptibility. Unlike chlorine, NZVI may serve as a new
370 bulking control agent that can selectively kill filamentous organisms due to the unique fate
371 and transport characteristics associated with NZVI dissolution and agglomeration (SI Figure
372 S3). Nevertheless, the findings of this paper are more of an exploratory nature. A NZVI final
373 concentration of 100 mg/L is very high, which shows side effects in a way that excess
374 chlorine treatment does. More research is needed to show if there is a NZVI specific dose that
375 can control filaments without causing nitrification inhibition by adjusting the particle size and
376 dose of NZVI. Also there could be more complicated instances of filamentous bulking that
377 are much more challenging to resolve in full-scale wastewater treatment plants. Further
378 research is needed to design and test NZVI related nanomaterials for better sludge bulking
379 control.

380

381 **Conclusions**

382 A new approach of filamentous sludge bulking control with NZVI was proposed. NZVI is an
383 effective biocide. A single dose of NZVI at the final concentration of 100 mg Fe/L in the
384 mixed liquor reduced filamentous bacteria such as Type 021N by 2-3 log units. Meanwhile,
385 the nitrification efficiency was also reduced while the side effect of the use of NZVI

386 depended on sludge bulking conditions and sludge concentration. Because the filamentous
387 bacteria are not protected by the floc while agglomeration of nanoparticles in sludge may
388 reduce the negative effect of NZVI on floc formers, the research opens up the potential to use
389 NZVI as a more selective sludge bulking control agent.

References

1. D. Jenkins, M. G. Richard and G. T. Daigger, *Manual on the causes and control of activated sludge bulking, foaming, and other solids separation problems*, 3rd Edition, IWA Publishing, London, 2004.
2. P. H. Nielsen, C. Kragelund, R. J. Seviour and J. L. Nielsen, *FEMS Microbiol. Rev.*, 2009, **33**, 969-998.
3. J. Guo, Y. Peng, Z. Wang, Z. Yuan, X. Yang and S. Wang, *Water Res.*, 2012, **46**, 6531-6542.
4. C. P. L. Grady, Jr., G. T. Daigger, N. G. Love and C. Filipe, *Biological Wastewater Treatment, 3rd Edition.*, CRC Press, New York, 2011.
5. J. Kappeler and W. Gujer, *Water Res.*, 1994, **28**, 311-322.
6. J. Chudoba, P. Grau and V. Ottova, *Water Res.*, 1973, **7**, 1389-1406.
7. C. Cenens, I. Y. Smets, V. G. Ryckaert and J. F. Van Impe, *Water Res.*, 2000, **34**, 2525-2534.
8. D. M. D. Gray, V. P. De Lange, M. H. Chien, M. A. Esquer and Y. J. Shao, *Water Environ. Res.*, 2010, **82**, 541-555.
9. A. M. P. Martins, J. J. Heijnen and M. C. M. Van Loosdrecht, *Water Res.*, 2003, **37**, 2555-2570.
10. R. Goel, T. Mino, H. Satoh and T. Matsuo, *Water Sci. Technol.*, 1998, **38**, 85-93.
11. M. C. M. Van Loosdrecht, M. A. Pot and J. J. Heijnen, *Water Sci. Technol.*, 1997, **35**, 41-47.
12. C. L. In and F. L. De Los Reyes III, *Water Environ. Res.*, 2005, **77**, 287-296.
13. I. C. Lou and F. L. De Los Reyes III, *Biotechnol. Bioeng.*, 2008, **101**, 327-336.
14. J. Wanner, *Activated sludge bulking and foaming control.*, Technomic Publishing, Pennsylvania, USA, 1994.
15. P. Madoni, D. Davoli and G. Gibin, *Water Res.*, 2000, **34**, 1767-1772.
16. T. Kanagawa, Y. Kamagata, S. Aruga, T. Kohno, M. Horn and M. Wagner, *Appl. Environ. Microb.*, 2000, **66**, 5043-5052.
17. A. M. P. Martins, K. Pagilla, J. J. Heijnen and M. C. M. Van Loosdrecht, *Water Res.*, 2004, **38**, 793-817.
18. S. M. Kotay, T. Datta, J. Choi and R. Goel, *Water Res.*, 2011, **45**, 694-704.
19. R. F. Wimmer and N. G. Love, *Water Environ. Res.*, 2004, **76**, 213-219.
20. T. Mascarenhas, L. H. Mikkelsen and P. H. Nielsen, *Water Environ. Res.*, 2004, **76**, 327-333.
21. M. A. Séka, Y. Kalogo, F. Hammes, J. Kielemoes and W. Verstraete, *Appl. Environ. Microb.*, 2001, **67**, 5303-5307.
22. V. Agridiotis, C. F. Forster and C. Carliell-Marquet, *Bioresource Technol.*, 2007, **98**, 2926-2934.
23. C. Lee, Y. K. Jee, I. L. Won, K. L. Nelson, J. Yoon and D. L. Sedlak, *Environ. Sci. Technol.*, 2008, **42**, 4927-4933.
24. K. H. Shin and D. K. Cha, *Chemosphere*, 2008, **72**, 257-262.
25. Y. Hwang, D. Kim, Y. T. Ahn, C. M. Moon and H. S. Shin, *Environ. Eng. Res.*, 2012, **17**, 111-116.

26. N. B. Chang, M. Wanielista, F. Hossain, L. Zhai and K. S. Lin, *Nano*, 2008, **3**, 297-300.
27. B. M. Wilén, K. Keiding and P. H. Nielsen, *Water Res.*, 2004, **38**, 3909-3919.
28. M. Auffan, W. Achouak, J. Rose, M. A. Roncato, C. Chanéac, D. T. Waite, A. Masion, J. C. Woicik, M. R. Wiesner and J. Y. Bottero, *Environ. Sci. Technol.*, 2008, **42**, 6730-6735.
29. J. Y. Kim, H. J. Park, C. Lee, K. L. Nelson, D. L. Sedlak and J. Yoon, *Appl. Environ. Microb.*, 2010, **76**, 7668-7670.
30. J. Y. Kim, C. Lee, D. C. Love, D. L. Sedlak, J. Yoon and K. L. Nelson, *Environ. Sci. Technol.*, 2011, **45**, 6978-6984.
31. V. Zhaunerchyk, W. D. Geppert, S. Rośn, E. Vigren, M. Hamberg, M. Kamińska, I. Kashperka, M. Af Ugglas, J. Semaniak, M. Larsson and R. D. Thomas, *J. Chem. Phys.*, 2009, **130**, 214302.
32. B. Marsalek, D. Jancula, E. Marsalkova, M. Mashlan, K. Safarova, J. Tucek and R. Zboril, *Environ. Sci. Technol.*, 2012, **46**, 2316-2323.
33. Y.-H. Lin, H.-H. Tseng, M.-Y. Wey and M.-D. Lin, *Science of The Total Environment*, 2010, 408, 2260-2267.
34. Y. Yang, J. Guo and Z. Hu, *Water Res.*, 2013, **47**, 6790-6800.
35. Z. Liang, A. Das and Z. Hu, *Water Res.*, 2010, **44**, 5432-5438.
36. APHA, *Standard Methods for the Examination of Water and Wastewater*, American Public Health Association, Washington, DC, 2002.
37. S. K. S. Kumari, Z. Marrengane and F. Bux, *Appl. Microbiol. Biot.*, 2009, **83**, 1135-1141.
38. H. Vervaeren, K. De Wilde, J. Matthys, N. Boon, L. Raskin and W. Verstraete, *Appl. Microbiol. Biotechnol.*, 2005, **68**, 695-704.
39. T. J. Dumonceaux, J. E. Hill, C. P. Pelletier, M. G. Paice, A. G. Van Kessel and S. M. Hemmingsen, *Can. J. Microbiol.*, 2006, **52**, 494-500.
40. G. Gaval and J. J. Pernelle, *Water Res.*, 2003, **37**, 1991-2000.
41. F. Teng, Y. Guan and W. Zhu, *J. Microbiol. Meth.*, 2008, **75**, 362-364.
42. G. Harms, A. C. Layton, H. M. Dionisi, I. R. Gregory, V. M. Garrett, S. A. Hawkins, K. G. Robinson and G. S. Sayler, *Environ. Sci. Technol.*, 2003, **37**, 343-351.
43. R. I. Amann, B. J. Binder, R. J. Olson, S. W. Chisholm, R. Devereux and D. A. Stahl, *Appl. Environ. Microbiol.*, 1990, **56**, 1919-1925.
44. B. K. Mobarry, M. Wagner, V. Urbain, B. E. Rittmann and D. A. Stahl, *Appl. Environ. Microbiol.*, 1996, **62**, 2156-2162.
45. J. M. Regan, G. W. Harrington and D. R. Noguera, *Appl. Environ. Microbiol.*, 2002, **68**, 73-81.
46. S. Siripong and B. E. Rittmann, *Water Res.*, 2007, **41**, 1110-1120.
47. Z. Hu, K. Chandran, D. Grasso and B. F. Smets, *Environ. Sci. Technol.*, 2003, **37**, 728-734.
48. E. v. Münch and P. C. Pollard, *Water Res.*, 1997, **31**, 2550-2556.
49. E. M. Contreras, N. C. Bertola, L. Giannuzzi and N. E. Zaritzky, *Water SA*, 2002, **28**, 463-467.
50. C. Noutsopoulos, D. Mamais and A. Andreadakis, *Water SA*, 2006, **32**, 315-321.

51. Metcalf and Eddy, *Wastewater Engineering: Treatment and Reuse*, 4th ed., McGraw-Hill Higher Education, New York, 2003.
52. M. A. Séka, S. Cabooter and W. Verstraete, *Water Environ. Res.*, 2001, **73**, 237-242.
53. W. Stumm and J. J. Morgan, *Aquatic Chemistry*, John Wiley & Sons, New York, 1996.
54. T. Almelbi and A. Bezbaruah, *J. Nanopart. Res.*, 2012, **14**, 900.

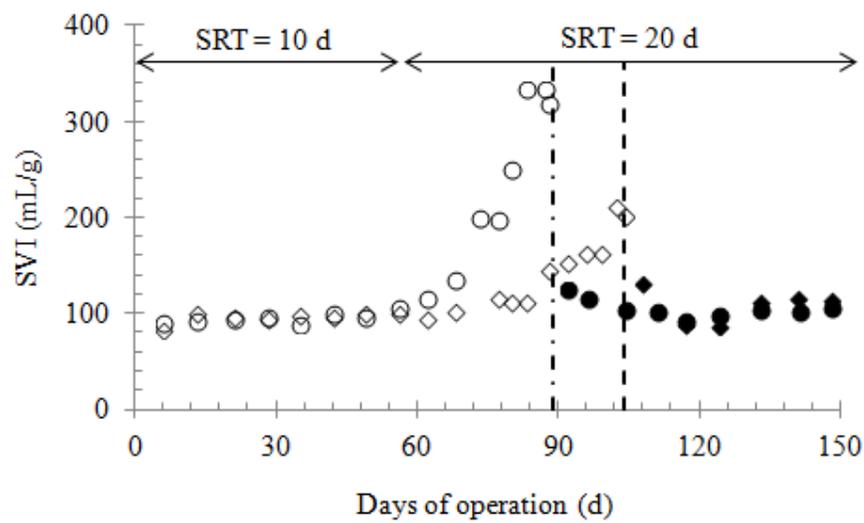


Figure 1. SVI values in Tank #1 before (○) and after (●) NZVI dosing on day 89 and SVI values in Tank #2 before (◇) and after (◆) after NZVI dosing on day 104. Two vertical hashed lines show the days of NZVI addition in Tanks #1 and #2, respectively.

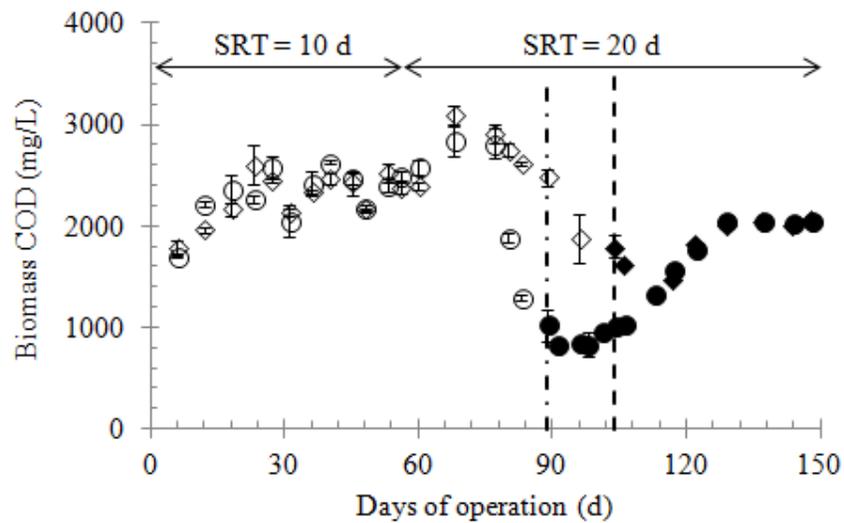


Figure 2. Biomass concentrations in Tank #1 (\circ) and Tank #2 (\diamond) before NZVI dosing and in Tank #1 (\bullet) and Tank #2 (\blacklozenge) after NZVI dosing on day 89 and day 104, respectively. Two vertical hashed lines show the days of NZVI addition in Tanks #1 and #2, respectively. The SRT was increased from 10 to 20 day from day 61 onwards. Error bars represent the range of duplicate samples.

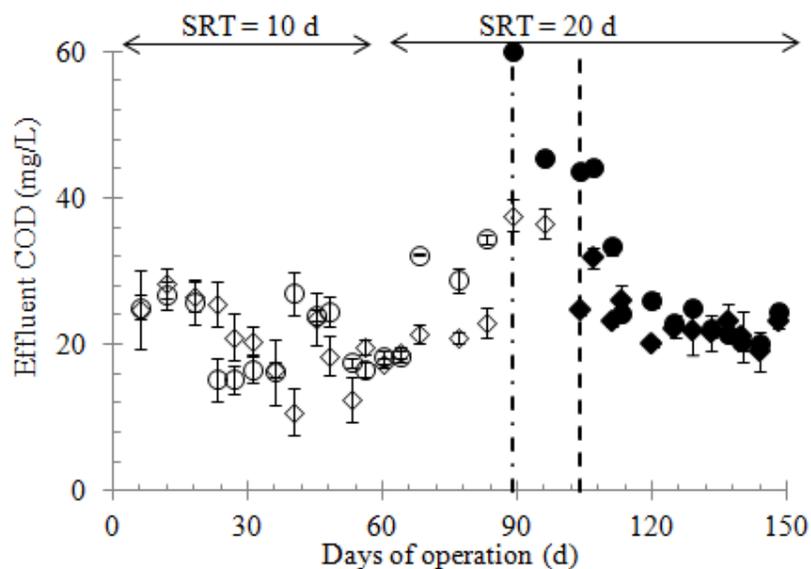


Figure 3. Effluent COD concentrations in Tank #1 (\circ) and Tank #2 (\diamond) before NZVI dosing and in Tank #1 (\bullet) and Tank #2 (\blacklozenge) after NZVI dosing on day 89 and day 104, respectively. Two vertical hashed lines show the days of NZVI addition in Tanks #1 and #2, respectively. Error bars represent the range of duplicate samples.

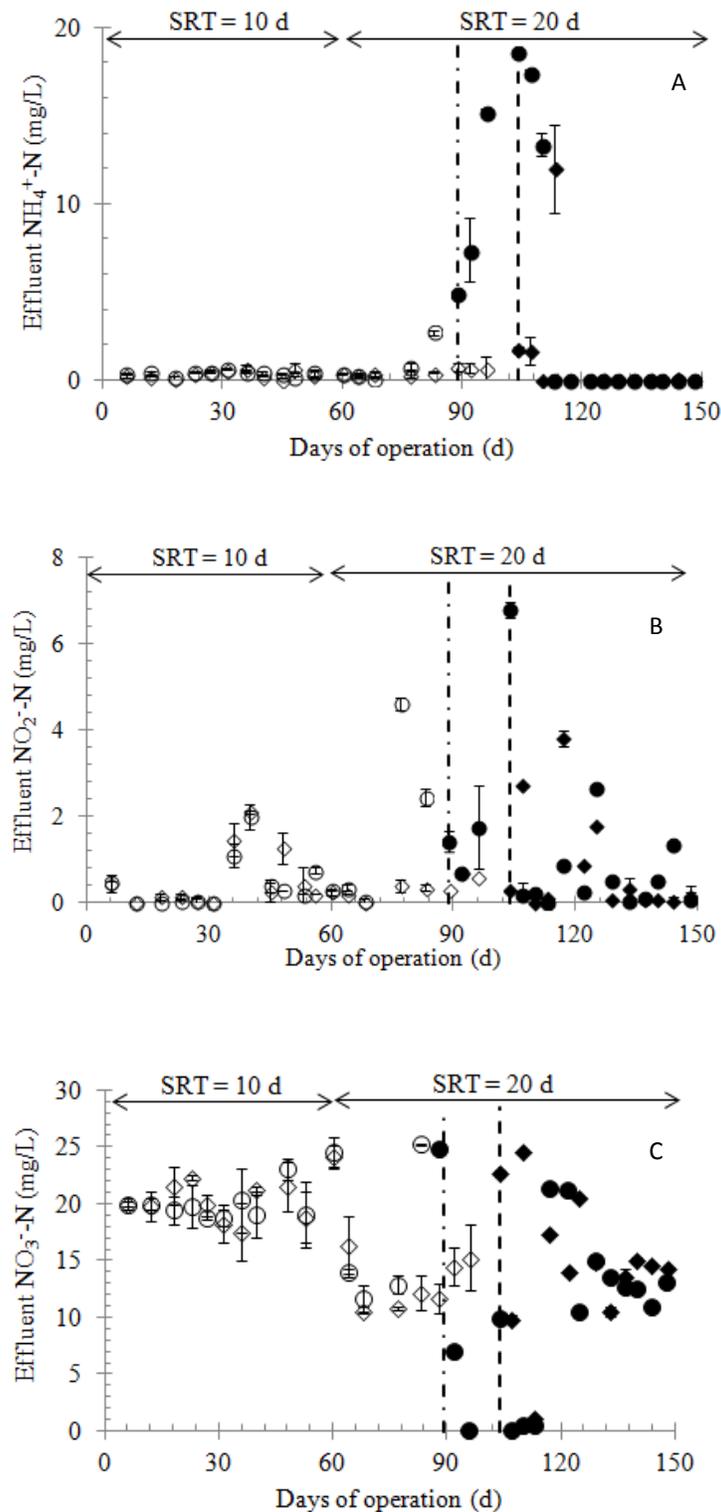


Figure 4. Effluent $\text{NH}_4^+\text{-N}$ (A), $\text{NO}_2^-\text{-N}$ (B) and $\text{NO}_3^-\text{-N}$ (C) concentrations in Tank #1 (\circ) and Tank #2 (\diamond) before NZVI dosing and in Tank #1 (\bullet) and Tank #2 (\blacklozenge) after NZVI dosing on day 89 and day 104, respectively. Error bars represent the range of duplicate samples.

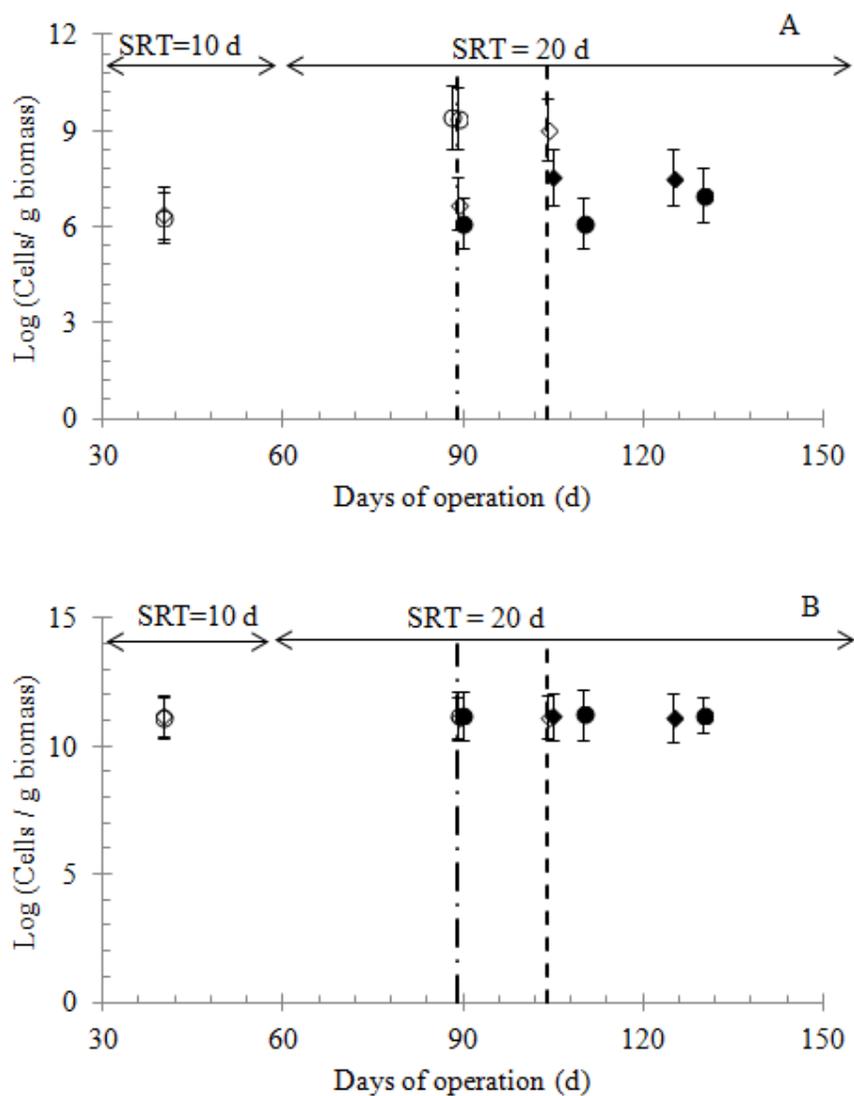


Figure 5. Type 021N (A) and total bacterial population (B) dynamics in Tank #1 and Tank #2. A single dose of NZVI at the final concentration of 100 mg Fe/L in the mixed liquor was applied on day 89 and day 104 in Tank #1 and Tank #2, respectively. Two vertical hashed lines show the days of NZVI addition in Tanks #1 and #2, respectively. Error bars represent one standard deviation from the mean of at least triplicate samples.

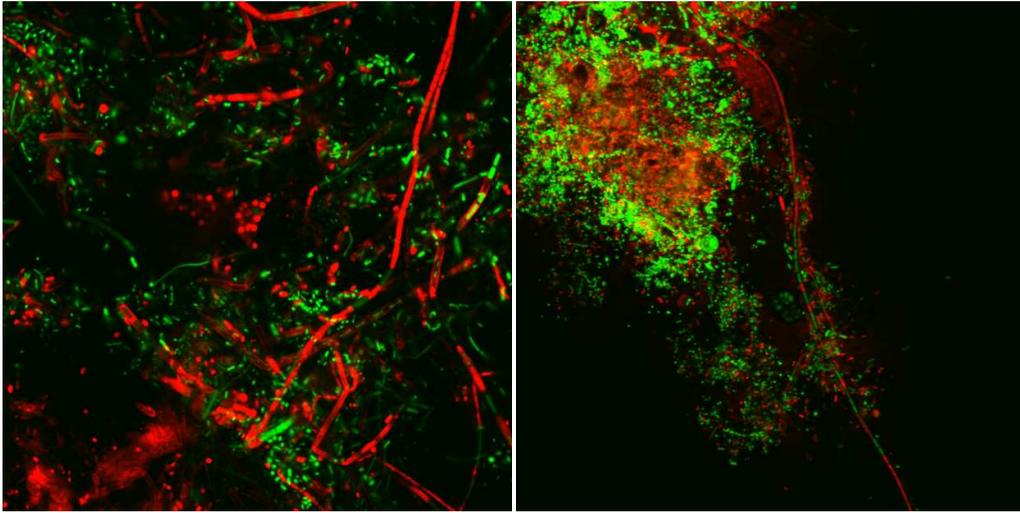


Figure 6. The viability of activated sludge from Tank #1 (with sludge bulking and significant sludge loss already, left) and Tank #2 (with the early stages of bulking, right) after the NZVI treatment on day 90 and 105, respectively. Under florescence microscopy, living cells were stained green and dead cells were stained red.