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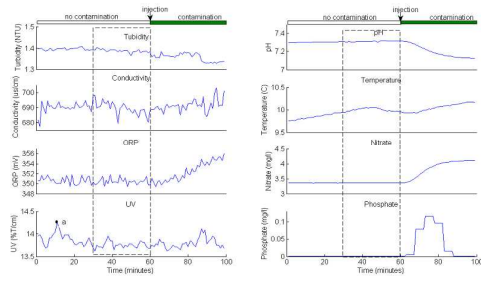
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Correlative relationship of multiple types of conventional sensors can be used to detect contamination event.



Early warning systems are often used to detect deliberate and accidental contamination events in a water system. Conventional methods normally detect a contamination event by comparing the predicted and observed water quality values from one sensor, which suffer from high false positive and false negative rates. This paper proposes a new method for event detection by exploring the correlative relationships between multiple conventional water quality sensors, which could detect a contamination event 9 minutes after the introduction of lead nitrate solution (0.01mg/l). By implementing the proposed method, the accuracy and efficiency of an early warning system can be improved.



22 detection, but also for contaminant identification.

23

## 24 **Keywords**

25 Contamination event detection, conventional sensor, correlative response, early  
26 warning system, water quality

27

## 28 **1. Introduction**

29 Water systems are vulnerable to contamination accidents and bioterrorism attacks  
30 because they are relatively unprotected, accessible, and often isolated<sup>1-3</sup>. In 2005, for  
31 example, the Songhua River was contaminated by nitrobenzene from a chemical plant  
32 explosion, which resulted in a 4-day suspension of water supply service to Harbin,  
33 China<sup>4</sup>. Besides this, about 1906 contamination accidents occurred per year in China  
34 between 1992-2006<sup>5</sup>. An emerging area of water security research involves  
35 developing methods to minimize the public health and economic impact of a  
36 large-scale accident or attack. An intense effort is currently underway to improve  
37 analytical monitoring and detection of biological, chemical, and radiological  
38 contaminants in drinking water systems as part of the overall aim of securing drinking  
39 water supplies<sup>6</sup>. One approach for avoiding or mitigating the impact of contamination  
40 is to establish an Early Warning System (EWS). EWS should provide a fast and  
41 accurate means to distinguish between normal variations and contamination events<sup>7</sup>.  
42 Ideally, it should be inexpensive, easy to maintain and integrate into network

43 operations and reliable, with few false positives and negatives<sup>8</sup>.

44

45 A key part of an EWS is the detection module, which utilizes online sensors to  
46 evaluate water quality and detect the presence of contamination. Generally, there are  
47 two types of online water quality sensors. The first type refers to non-compound  
48 specific or conventional water quality sensors, which are normally used for routine  
49 water quality parameters, including pH, chlorine, total organic carbon (TOC),  
50 oxidation reduction potential (ORP), conductivity and temperature. Many  
51 commercially-available technologies for these parameters provide reliable means of  
52 detecting anomalies within water systems. The second type is compound specific  
53 water quality sensors or advanced sensors, which are capable of confirmative  
54 detection at low concentrations for a specific component and are mainly based on  
55 emerging detection technologies. Examples are Algae Toximeter for detection of the  
56 presence of toxic substances<sup>9</sup>, Daphnia Toximeter for pesticides<sup>10</sup>, Fish Activity  
57 Monitoring System for toxins<sup>11</sup>, biological sensors relying on the detection of specific  
58 biomolecules (including adenosine triphosphate (ATP), enzymes, immunoassay and  
59 polymerase chain reaction (PCR) techniques), evaporative light scattering, refractive  
60 index measurement, fluorescence, and Raman spectroscopy<sup>12-14</sup>.

61

62 Although compound specific sensors are capable of confirmative detection for  
63 contaminants at low concentration, their application in EWS for quick contamination

64 screening and detection is not popular since the type of potential contaminant is  
65 unknown at the time of sensor selection. It is difficult to determine which contaminant  
66 must be tested at such an early stage. In the past, conventional water quality sensors  
67 were generally used by operators in process control and regulatory compliance. In  
68 recent years, they have played a growing role in EWS. These sensors are  
69 advantageous in operational economics<sup>15</sup>. This type of ‘dual-use’ is practically  
70 attractive. For example, in the Water Security Initiative program in the United States,  
71 pH, turbidity, temperature, conductivity, TOC, and chlorine were chosen on the basis  
72 of their sustainability for long-term operation, and to provide ‘dual-use’ benefits to  
73 drinking water utilities, such as improved water quality management<sup>16-17</sup>.

74

75 For an EWS with conventional water quality sensors, performance is highly  
76 dependent on the contamination detection method. Numerous publications have been  
77 devoted to discussing different ways of event detection using data from conventional  
78 water quality sensors. These mainly include statistical, artificial intelligence and data  
79 mining methods. For example, Hart et al.<sup>18</sup>, reported two state estimation based  
80 algorithms for event detection, a linear prediction coefficient filter (LPCF) and a  
81 multivariate nearest-neighbor (MVNN) algorithm. These algorithms process the water  
82 quality data at each time step to identify periods of anomalous water quality and  
83 provide the probability of a water quality event existing at that time step. The LPCF  
84 method predicts the water quality at a future time step and evaluates the residual

85 between predicted and observed water quality values. The MVNN approach provides  
86 a measure of the similarity between the sampled water quality and the previously  
87 measured samples contained in the history window. Klise and McKenna<sup>19</sup> developed  
88 an algorithm to classify the current measurement as normal or anomalous by  
89 calculating multivariate Euclidean distance. Bucak and Kalik<sup>20</sup> and Bouamar and  
90 Ladjal<sup>21</sup> utilized artificial neural networks (ANN) and support vector machines (SVM)  
91 to classify water quality data into normal and anomalous classes. A common feature  
92 of these methods is to compare observed and predicted responses from time series  
93 data for one sensor. Meanwhile, several papers were published on optimal location of  
94 sensors for event detection and response actions<sup>22-25</sup>. In most of these studies, an ideal  
95 sensor is assumed. Actually, routine operation or equipment noise could result in  
96 fluctuation, which might lead to a high false alarm rate.

97

98 Several researchers have reported the phenomenon of correlative responses. For  
99 example, Hall et al.<sup>26</sup> reported a sensor response experiment for 9 types of  
100 contaminants and realized that more than one sensor responded to each tested  
101 contaminant. After noticing this phenomenon, researchers have attempted to develop  
102 contaminant detection methods using responses from multiple types of sensors. Yang  
103 et al.<sup>15</sup> explored a real-time event adaptive detection, identification and warning  
104 (READiw) methodology in a drinking water pipe. The suggested adaptive  
105 transformation of sensory measurements reduced background noise and enhanced



106 contaminant signals. In the method employed by Yang et al.<sup>15</sup>, the relative value of  
107 concentrations of free and total chlorine, pH and ORP are used for contaminant  
108 classification. This allowed for contaminant detection and further classification based  
109 on chlorine kinetics. Kroll<sup>27</sup> reported the Hach HST approach using multiple sensors  
110 for event detection and contaminant identification. In the Hach HST approach, signals  
111 from 5 separate orthogonal measurements of water quality (pH, conductivity,  
112 turbidity, chlorine residual, TOC) are processed from a 5-parameter measure into a  
113 single scalar trigger signal. The deviation signal is then compared to a preset  
114 threshold level. If the signal exceeds the threshold, the trigger is activated<sup>28</sup>. Murray  
115 et al.<sup>29</sup> used Bayesian belief networks to detect a contamination event based on data  
116 from multiple sensors. Alier and A. Ostfeld<sup>30</sup> reported the application of SVM to  
117 detect contamination based on data from multiple sensors. Perelman et al.<sup>31</sup> and Arad  
118 et al.<sup>32</sup> reported a general framework that integrates a data-driven estimation model  
119 with sequential probability updating to detect quality faults in water distribution  
120 systems using multivariate water quality time series. In particular, in Arad et al.'s  
121 work, univariate event probabilities are fused to give a unified multivariate event  
122 probability. The multivariate probability reflects the likelihood of a contamination  
123 event based on all data analyzed from all parameters<sup>32</sup>. A common feature of these  
124 methods is that efforts based on multivariate water quality measurements consider  
125 correlations between parameters, even if a correlation coefficient is sometimes not  
126 calculated explicitly.

127

128 In this paper, we will describe a new method for real-time contamination detection  
129 using multiple types of conventional water quality sensors for source water. The  
130 proposed method aims to achieve contamination detection by exploring the  
131 correlative relationship between responses from multiple sensors for the same type of  
132 contaminant. The proposed method is tested using data from contaminant dosing  
133 experiments in a laboratory.

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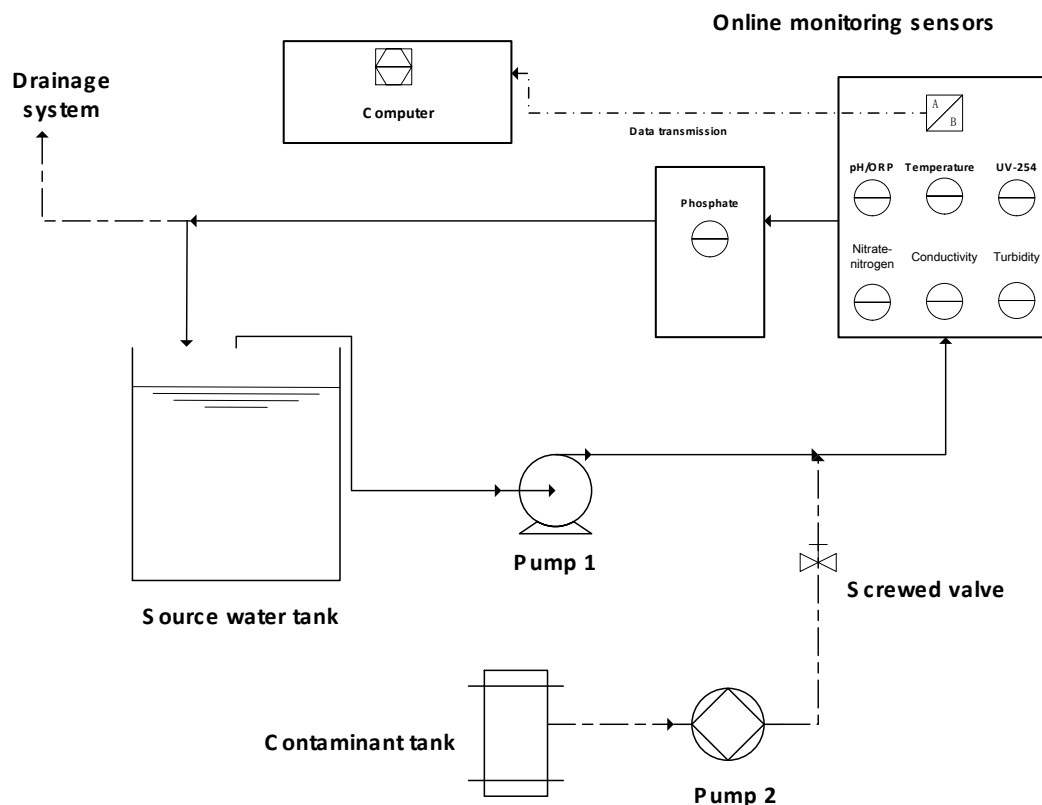
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## 136 **2. Materials and methods**

137

### 138 **2.1 Pilot-scale contaminant injection and monitoring system**

139 The pilot-scale system used in this study is a recirculating system simulator in the  
140 School of Environment Laboratory at Tsinghua University, Beijing, China. A process  
141 flow schematic of the pilot-scale system used for baseline establishment and  
142 single-pass contaminant tests is shown in Figure 1 and the photograph of the  
143 experiment setup (P1, supporting information). The water tank is approximately 85  
144 cm high with a diameter of 70 cm, and has a total capacity of 300 L. The tank is  
145 linked with on line water quality sensors via a peristaltic pump at 0.5 L per minute.



146

147

Figure 1 A process flow schematic of the pilot-scale system

148

The system was operated in recirculation mode for baseline establishment. In this

149

mode, 300L source water flows through the multi-sensors and back to the tank. The

150

characteristic of the source water is shown in Table 1. The entire volume of water in

151

the loop is replaced every 72 hours if no contaminant test is conducted. Generally, the

152

process of establishing baseline takes 2-3 hours before any contaminant experiments

153

can be carried out. When operating in single-pass contaminant mode, the target

154

contaminant is injected into the pipe connecting the tank and sensors via another

155

peristaltic pump<sup>33</sup>. It is injected at a rate of 2-20 mL per minute depending

156

concentration requirement. The water combined with contaminant flows through the

157

sensors directly into a specific waste liquid bucket, avoiding pollution of the water in

158 the tank.

159 Table 1 Characterization of source water in current study

Parameter	Concentration	Parameter	Concentration
Temperature	10 °C	pH	7.31
DO	12.77 mg/L	Turbidity	1.39 NTU
COD	4 mg/L	BOD <sub>5</sub>	<2 mg/L
Conductivity	690 $\mu\text{s} / \text{cm}$	NH <sub>3</sub> -N	0.03 mg/L
ORP	350 mV	NO <sub>x</sub> -N	3.36 mg/L
Sulfate	170 mg/L	Total phosphorus	0.06 mg/L
Chloride	28 mg/L	Sulfide	<0.02 mg/L

160

161

## 162 2.2 Sensors investigated

163 8 sensors developed by Hach Homeland Security Technologies were utilized in this

164 study. They can measure the following 8 parameters simultaneously and continuously:

165 temperature, pH, turbidity, conductivity, oxidation reduction potential (ORP),

166 UV-254, nitrate-nitrogen and phosphate. Table 2 shows a list of the parameters and

167 the detailed information of their associated sensors.

168 Table 2 Detailed information of the parameters and sensors

Parameter	Sensor name	Measuring range	Sensitivity	Measuring interval
Temperature	DPD1R1-WDMP	-10-50°C	$\pm 0.01^\circ\text{C}$	1 min
pH	DPD1R1-WDMP	-2.00-14.00	$\pm 0.01$	1 min
Turbidity	LXV423.99.10100	0.001-4000 NTU	$\pm 0.001$ NTU	1 min
Conductivity	D3725E2T-WDMP	0-2000000 $\mu\text{s} / \text{cm}$	$\pm 1$ $\mu\text{s} / \text{cm}$	1 min
ORP	DRD1R5-WDMP	-1500-1500 mV	$\pm 0.5$ mV	1 min
UV-254	LXG418.99.20000	0.01-60 1/m	$\pm 0.01$ 1/m	1 min
Nitrate-nitrogen	LXG.717.99.50000	0.1-100.0 mg/L	$\pm 0.1$ mg/L	1 min
Phosphate	LXV422.99.20102	0.05-15 mg/L	$\pm 0.05$ mg/L	5 min

169

## 170 2.3 Contaminants investigated

171 Specific quantities of various contaminants were injected into the system simulator.

172 The contaminants were determined according to statistical reports on water pollution  
173 incidents in urban water supply systems in China in the past 20 years and included  
174 three types of the most commonly seen pollutants: herbicides (glyphosate), pesticides  
175 (atrazine) and heavy metals (lead nitrate, cadmium nitrate, nickel nitrate and trivalent  
176 chromium). They were also selected based on China's national standards regarding  
177 source water quality GB3838-2002. The concentration ranges were decided by the  
178 concentration limit given in the standards (Table 3).

179 Table 3 Concentration limits in GB3838-2002

Contaminant	Concentration limit (mg/L)
Glyphosate	0.7
Lead	0.01
Atrazine	0.003
Nickel	0.02
Chromium	0.01
Cadmium	0.001

180

#### 181 2.4 Experimental procedure

182 Sensors were calibrated in accordance with the manufacturer's recommendations and  
183 were verified with a calibration check standard. Before the introduction of  
184 contaminants, the experimental system was kept running to establish a baseline.  
185 Sensor data were collected continuously and archived electronically to establish stable  
186 baseline conditions and to record sensor responses to injected contaminants. Data  
187 from the ORP, nitrate, temperature, pH, conductivity, turbidity and UV sensors were  
188 monitored and recorded every 1 minute during the test period, while the phosphate  
189 sensor was recorded every 5 minutes. After the baseline was established, a specific

190 concentration of contaminant was injected. Each contaminant injection lasted for over  
 191 30 minutes to reach a stabilized reading. The sensors were then supplied with  
 192 uncontaminated raw water and the responses returned to the baseline. Another  
 193 different concentration of the same contaminant was injected after sensor responses  
 194 had returned to the baseline following the previous test.

195

## 196 2.5 Detection Method

197 In this research, it is assumed that multiple water quality sensors can respond to a  
 198 contaminant simultaneously. The proposed method detects contamination by  
 199 exploring the correlative relationship between responses from multiple water quality  
 200 sensors. This relationship is evaluated using the Pearson correlation coefficient. The  
 201 *window size* is the number of past observations used to calculate the Pearson  
 202 correlation coefficient. For each sensor, a new observation enters the sliding window  
 203 at every time step  $t$  and the oldest observation exits (i.e., first in first out).

204

205 The value of  $r_{xy}$  is between -1 and 1. In this study, a *correlation indicator*  $C_{xy}$  is  
 206 calculated using

$$207 \begin{cases} C_{xy} = 0 & \text{if } |r_{xy}| < \text{threshold}_{indicator} \text{ or } x = y \\ C_{xy} = 1 & \text{if } \text{threshold}_{indicator} \leq |r_{xy}| < 1 \end{cases} \quad (1)$$

208 A contamination alarm will be triggered if

209

$$210 \sum_x \sum_y C_{xy} \geq \text{threshold}_{alarm} \quad (2)$$

211 in which  $x \neq y, x \in (pH, ORP, UV \dots \dots), y \in (pH, ORP, UV \dots \dots)$ . The value of  
212  $threshold_{indicator}$  and  $threshold_{alarm}$  can be determined based on experimental  
213 and practical analysis.

214

215 The performance of the detection method is measured through detection time ( $DT$ ),  
216 true positive rate ( $TPR$ ) and false positive rate ( $FPR$ ).  $TPR$  and  $FPR$  can be calculated  
217 by

$$218 \quad TPR = \frac{TP}{TP+FN} \quad (3)$$

$$219 \quad FPR = \frac{FP}{FP+TN} \quad (4)$$

220 where  $TP$  (true positive) is the detection of an actual event (alarm on);  $FP$  (false  
221 positive) refers to a routine operation being incorrectly classified as a contamination  
222 event (alarm on);  $TN$  (true negative) refers to a routine operation correctly being  
223 classified as such (alarm off);  $FN$  (false negative) is the situation that an actual event  
224 is not detected (alarm off). A greater  $TPR$  means the method is more capable to detect  
225 a real event, while a small  $FPR$  implies the method is less likely to classify a routine  
226 operation as an event.

227

228  $DT$  is defined as the time difference between a contamination event taking place and  
229 when it is detected, and is evaluated by

$$230 \quad DT = T_1 - T_0 \quad (5)$$

231 where  $T_0$  is the time when the contamination event occurs and  $T_1$  is the time when

232 the contamination event is detected. A smaller  $DT$  means the detection method is  
 233 more effective and can detect contamination within a shorter time frame.

234 In this study, the calculation is based on a 1 minute step. A contaminant injection with  
 235 period of  $t$  is assumed to be  $t$  contamination events. Then  $TPR$  is used to evaluate the  
 236 performance. For example, for a contamination injection with period of 30 minutes, if  
 237 a contamination event is first detected at the 10<sup>th</sup> minute and 4 contamination events  
 238 are detected within the remaining 20 minutes,  $DT$  and  $TPR$  will be 10 minutes and  
 239  $\frac{(1+4)}{30}=0.17$ .

240

## 241 2.6 Evaluation of reproducibility

242 In this research, the reproducibility of the proposed detection method is evaluated  
 243 using a concordance correlation coefficient method<sup>34</sup>. Taking the Pearson correlation  
 244 coefficients as an example, the concordance correlation coefficient method is briefly  
 245 introduced here. Let us assume that pairs of Pearson correlation coefficients of one  
 246 type of sensor to the others  $(Y_{i1}, Y_{i2})$ ,  $i = 1, 2 \dots, n$ , are calculated from two  
 247 independent contaminant injection experiments with means of  $\mu_1$  and  $\mu_2$  and  
 248 covariance matrix

$$249 \begin{pmatrix} \sigma_1^2 & \sigma_{12} \\ \sigma_{12} & \sigma_2^2 \end{pmatrix} \quad (6)$$

250 The concordance correlation coefficient is calculated by

$$251 \rho_c = \frac{2\beta_1\sigma_2^2}{(\sigma_1^2 + \sigma_2^2) + [\beta_0 + (\beta_1 - 1)\mu_2]^2} \quad (7)$$

252 in which  $\beta_1 = (\sigma_1/\sigma_2)r$  and  $\beta_0 = \mu_1 - \beta_1\mu_2$  represent the regression slope and  
 253 intercept, respectively.  $r$  is the Pearson correlation coefficient. If  $\rho_c = 1$ , two



254 groups of Pearson correlation coefficients of one sensor from two experiments are in  
255 perfect agreement or in perfect reversed (if  $\rho_c = -1$ ) agreement. For more information  
256 about the concordance correlation coefficient method, the readers can refer to Lin's  
257 work<sup>34</sup>.

258

### 259 **3. Experiments and Results**

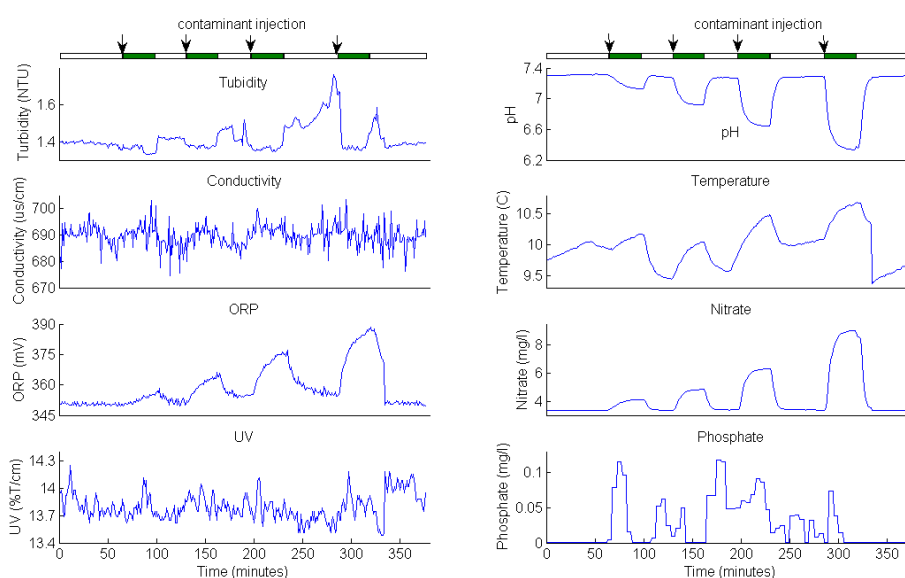
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#### 261 **3.1 Correlative responses**

262 As an example, the results from the experiment involving lead nitrate are shown in  
263 Figure 2. The experimental results for glyphosate, atrazine, cadmium nitrate, nickel  
264 nitrate and trivalent chromium can be found in the supporting information (See Figure  
265 F1, F2, F3, F4, F5, supporting information). In the experiment, lead nitrate solutions  
266 with concentrations of 0.01mg/l, 0.02mg/l, 0.04mg/l and 0.08mg/l were added in  
267 sequence. The concentrations are at the sensors, not in the contaminant tank. This is  
268 illustrated using solid green bars at the top of Figure 2. As shown in Figure 2, ORP  
269 and nitrate increase due to the presence of lead nitrate, while pH decreases. Sensor  
270 responses show correlative relationships, especially for pH, nitrate, ORP and  
271 temperature. This suggests the correlative response is caused by the introduction of  
272 contaminant and implies that this type of phenomenon can be utilized for detection of  
273 the presence of contamination. The magnitudes of the sensors' responses were related  
274 to contaminant concentrations. In order to justify the applicability of the proposed

275 method, the lead nitrate injection experiment with the same configuration was  
 276 repeated with drinking water. The sensors' responses are shown in Figure F6  
 277 (supporting information). As shown in Figure F6, the responses of sensors are similar  
 278 to the case of source water. This suggests the proposed method can also be used for  
 279 contamination detection for drinking water. By comparing with results from other  
 280 types of contaminants (See Figure F1, F2, F3, F4, F5, F6, supporting information), it  
 281 is clear that the response curves are contaminant-specific, which implies that the  
 282 correlative response could be utilized not only for contamination detection, but also  
 283 for contaminant identification.

284



285

286 Figure 2 Sensor responses for lead nitrate (concentrations: 0.01, 0.02, 0.04, 0.08mg/l)

287

288 Table 4 summarizes the responding sensors for different contaminants. As shown in

289 Table 4, the nitrate, conductivity and UV sensors have correlative responses to the

290 introduction of atrazine. For ORP, pH, conductivity and nitrate also respond  
 291 simultaneously to the presence of cadmium nitrate. ORP shows response to atrazine,  
 292 glyphosate and lead nitrate. Other studies have also revealed a similar phenomenon.  
 293 For example, Hall et al.<sup>26</sup> conducted a sensor response experiment for 9 types of  
 294 contaminants and realized that there was more than one sensor responding to each  
 295 tested contaminant. Yang et al.<sup>15</sup> also reported similar findings from an experiment  
 296 for 11 contaminants. Drinking water was used in the studies by Hall et al. and Yang et  
 297 al., while source water is utilized in the current study. The sensor arrays adopted and  
 298 manufacturers were also different in these three experiments. Therefore, comparisons  
 299 are difficult to make. However, as shown in Table 4, for all tested contaminants, it  
 300 was found that multiple types of sensors respond to the introduction of a contaminant  
 301 and a sensor can respond to different types of contaminants. This verified the  
 302 correctness of the assumption of the proposed method.

303 Table 4 The responding sensors to different contaminants

Contaminant	Responding sensors		
	Hall et al. (2007)	Yang et al. (2009)	Current study
	Sensor array: A, B, D, E, G, H, I	Sensor array: A, B, C, D, F	Sensor array: D, F, G, I, J, K, L, M,
	Water type: Drinking water	Water type: Drinking water	Water type: Source water
Aldicarb	A,B,D,E,I	A,B,C,D	
Arsenic trioxide	A,B,D,G,H,I		
Atrazine			D,G,I,J,K,L,M
Cadmium nitrate			D,F,G,I,J,K,M
Colchicine		A,B,C,D	
Dicamba		D,F	
<i>E. coli</i>	A,B,E,H,I	A, B, C, D, F	

Glyphosate	A,C,D,E	A, B, C, D, F	D,F,G,I,J,M
K Ferricyanide		B,C,D	
Lead nitrate			D,F,G,I,J,M
Malathion	A,D,E,I		
Mercuric Chloride		A,C,D,F	
Nickel Nitrate			D,F,G,I,J
Nicotine	A,B,D,E,G,H	A,B,D,F	
Nutrient broth		A,B,C,D	
Potassium Ferricyanide	A, C,D,E, G,H,		
Terrific broth	A,B,D,E,I	A,B,D,F	
Trivalent chromium			D,F,G,I,J
Typtic soy broth		A,B,C,D	

304 Note: A - Free chlorine; B – Total chlorine; C – Chloride; D – ORP; E – TOC; F – pH;  
 305 G – Nitrate-nitrogen; H – Ammonia-nitrogen; I – Turbidity; J – Temperature; K –  
 306 Conductivity; L – UV; M - Phosphate

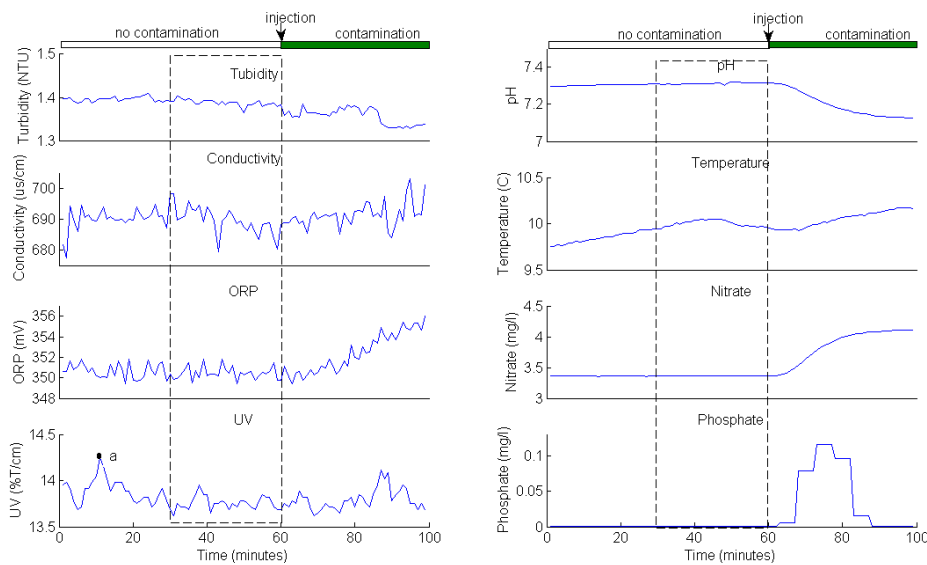
307

### 308 3.2 Contamination detection

309 Taking lead nitrate as an example, the implementation of the proposed method is  
 310 demonstrated here. Figure 3 presents the responses of 8 types of sensors before and  
 311 after introduction of lead nitrate solution with concentration of 0.01mg/l (the 1<sup>st</sup> lead  
 312 nitrate experiment). As shown in Figure 3, from the 1<sup>st</sup> to the 60<sup>th</sup> minute, the system  
 313 was running on baseline and no contaminant was added. The lead nitrate solution was  
 314 introduced at the 61<sup>st</sup> minute and lasted for 37 minutes. The values of  
 315  $threshold_{indicator}$ ,  $threshold_{alarm}$  and  $window\ size$  adopted were 0.8, 6 and 30  
 316 respectively. The Pearson correlation coefficients for each couple of sensors and the  
 317 correlation indicators for ‘no contamination’ and ‘contamination’ scenarios were

318 calculated and are listed in Table 5 and Table 6 respectively. The ‘no contamination’  
 319 and ‘contamination’ scenarios represent two extreme situations. In the ‘no  
 320 contamination’ scenario, the dataset containing observations from the 31<sup>st</sup> to 60<sup>th</sup>  
 321 minute (the baseline) were used, while in the ‘contamination’ scenario, data from the  
 322 69<sup>th</sup> to 98<sup>th</sup> minutes (lead nitrate injected) were adopted.

323



324

325 Figure 3 Sensor responses for lead nitrate (concentration: 0.01mg/l)

326

327 As shown in Table 5, the relationships between sensors’ responses in the ‘no  
 328 contamination’ scenario are weak. Only turbidity and pH show a moderate negative  
 329 relationship with a coefficient of -0.61. All Pearson correlation coefficient values are  
 330 smaller than the value of  $threshold_{indicator}$ , and the value of the correlation  
 331 indicator is 0. Therefore, no contamination alarm was triggered off for the ‘no  
 332 contamination’ scenario.

333

334 Table 5 Pearson correlation coefficients and correlation indicators (no contamination)

	Turb.	pH	Cond.	Temp	ORP	Nitra.	UV	Phos.
Turb.	1.00(0)	-0.61(0)	0.34(0)	0.04(0)	0.09(0)	-0.12(0)	-0.19(0)	0.00(0)
pH	<b>-0.61(0)</b>	1.00(0)	-0.45(0)	-0.25(0)	0.14(0)	0.49(0)	0.07(0)	0.00(0)
Cond.	0.34(0)	-0.45(0)	1.00(0)	0.16(0)	0.08(0)	-0.13(0)	0.05(0)	0.00(0)
Temp.	0.04(0)	-0.25(0)	0.16(0)	1.00(0)	0.28(0)	-0.35(0)	0.20(0)	0.00(0)
ORP	0.09(0)	0.14(0)	0.08(0)	0.28(0)	1.00(0)	0.09(0)	0.17(0)	0.00(0)
Nitra.	-0.12(0)	0.49(0)	-0.13(0)	-0.35(0)	0.09(0)	1.00(0)	-0.35(0)	0.00(0)
UV	-0.19(0)	0.07(0)	0.05(0)	0.20(0)	0.17(0)	-0.35(0)	1.00(0)	0.00(0)
Phos.	0.00(0)	0.00(0)	0.00(0)	0.00(0)	0.00(0)	0.00(0)	0.00(0)	1.00(0)
Sum of correlation indicator: 0								

335 Note: numbers outside of brackets are Pearson correlation coefficients; numbers in  
336 brackets are correlation indicators.

337

338 In the 'contamination' scenario, as shown in Table 6, ORP and nitrate show strong  
339 negative relationships with pH. The Pearson correlation coefficients are -0.88 (ORP),  
340 and -0.99 (nitrate) respectively. ORP shows strong positive relationships with nitrate  
341 (0.84), and negative relationships with phosphate (-0.84) and pH (-0.89). The value of  
342 the correlation indicator was calculated to be as 18. Based on this evaluation, a  
343 contamination event was confirmed at the 99<sup>th</sup> minute.

344 Table 6 Pearson correlation coefficients and correlation indicators (lead nitrate, the 1<sup>st</sup>  
345 experiment)

	Turb.	pH	Cond.	Temp	ORP	Nitra.	UV	Phos.
Turb.	1.00(0)	0.59(0)	-0.14(0)	-0.68(0)	-0.75(0)	-0.50(0)	-0.38(0)	0.73(0)
pH	0.59(0)	1.00(0)	-0.26(0)	<b>-0.98(1)</b>	<b>-0.89(1)</b>	<b>-0.99(1)</b>	-0.48(0)	<b>0.82(1)</b>
Cond.	-0.14(0)	-0.26(0)	1.00(0)	0.32(0)	0.28(0)	0.25(0)	-0.07(0)	-0.27(0)
Temp.	-0.68(0)	<b>-0.98(1)</b>	0.32(0)	1.00(0)	<b>0.93(1)</b>	<b>0.95(1)</b>	0.38(0)	<b>-0.84(1)</b>
ORP	-0.75(0)	<b>-0.89(1)</b>	0.28(0)	<b>0.93(1)</b>	1.00(0)	<b>0.84(1)</b>	0.43(0)	<b>-0.84(1)</b>

Nitra.	-0.50(0)	<b>-0.99(1)</b>	0.25(0)	<b>0.95(1)</b>	<b>0.84(1)</b>	1.00(0)	0.49(0)	-0.76(0)
UV	-0.38(0)	-0.48(0)	-0.07(0)	0.38(0)	0.43(0)	0.49(0)	1.00(0)	-0.44(0)
Phos.	0.73(0)	<b>0.82(1)</b>	-0.27(0)	<b>-0.84(1)</b>	<b>-0.84(1)</b>	-0.76(0)	-0.44(0)	1.00(0)

Sum of correlation indicator: 18

346 Note: numbers outside of brackets are Pearson correlation coefficients; numbers in  
347 brackets are correlation indicators.

348

349 Figure 3 shows that graphs for turbidity, conductivity and UV have a number of peaks  
350 and troughs. No significant differences before and after introduction of lead nitrate  
351 (the left and right parts of each graph) can be observed. The peaks and troughs are  
352 mainly due to equipment noises. These noises are independent and not related to other  
353 sensors' responses. This is verified by the weak Pearson correlation coefficients for  
354 turbidity, conductivity and UV in Table 5 and Table 6. This also suggests that  
355 turbidity, conductivity and UV do not respond to the presence of lead nitrate. If a  
356 detection decision were drawn in the light of these peaks or troughs, false positive and  
357 false negative errors would be obtained.

358

359 A common question for the contamination detection method is how fast the  
360 contamination event can be detected or what the *detection time* is. In a practical  
361 situation, the proposed method will calculate the Pearson correlation coefficients and  
362 correlation indicators, and make a detection decision at each time step (1 minute for  
363 the sensors used in this research) once the new readings from online sensors are  
364 received. As shown by the rectangle with a dashed line in Figure 3, the calculation

365 starts from the 30<sup>th</sup> minute with the time step of 1 minute. The sums of correlation  
 366 indicators and detection time are listed in Table 7. It is shown that the proposed  
 367 method can detect a contamination event 9 minutes after a 0.01 mg/l lead nitrate  
 368 solution is added to the water, with the *window size* of 30, *threshold<sub>indicator</sub>* value  
 369 of 0.8 and *threshold<sub>alarm</sub>* value of 6. Meanwhile, using Equation 3 and 4, the *TPR*  
 370 and *FPR* were calculated as being 78.95% and 0, respectively.

371 Table 7 The correlation indicator and detection time

Time (m)	Sum of $C_{xy}$	$DT(m)$	Time (m)	Sum of $C_{xy}$	$DT(m)$	Time (m)	Sum of $C_{xy}$	$DT(m)$
30	2	N/T	53	0	N/T	76	6	16
31	2	N/T	54	0	N/T	77	6	17
32	2	N/T	55	0	N/T	78	6	18
33	2	N/T	56	0	N/T	79	6	19
34	2	N/T	57	0	N/T	80	6	20
35	2	N/T	58	0	N/T	81	10	21
36	2	N/T	59	0	N/T	82	10	22
37	2	N/T	60	0	N/T	83	10	23
38	2	N/T	61	0	N/T	84	8	24
39	2	N/T	62	0	N/T	85	8	25
40	2	N/T	63	0	N/T	86	10	26
41	2	N/T	64	2	N/T	87	10	27
42	2	N/T	65	2	N/T	88	12	28
43	2	N/T	66	2	N/T	89	12	29
44	2	N/T	67	4	N/T	90	12	30
45	2	N/T	68	2	N/T	91	12	31
46	2	N/T	<b>69</b>	<b>6</b>	<b>9</b>	92	12	32
47	2	N/T	70	6	10	93	12	33
48	0	N/T	71	6	11	94	12	34
49	0	N/T	72	6	12	95	12	35
50	0	N/T	73	6	13	96	14	36
51	0	N/T	74	6	14	97	16	37
52	0	N/T	75	6	15	98	18	38

372 Note: N/T means 'not detected'.

373 Meanwhile, for the contaminants examined in this study, Table 8 summarizes  
 374 detection performances. As shown in Table 8, by taking the default parameter values,



375 the proposed method has rather good performance for all contaminants under  
376 discussion.

377 Table 8 Summary of detection performance for different contaminants

Contaminant	<i>TPR</i>	<i>FPR</i>	Detection time (minute)
Glyphosate	0.80	0.10	2
Cadmium	1.00	0.00	1
Atrazine	1.00	0.00	1
Nickel	0.76	0.00	3
Chromium	0.79	0.00	8

$threshold_{indicator} = 0.6$ ,  $threshold_{alarm} = 8$  and  $window\ size = 30$

378

## 379 4. Discussion

### 380 4.1 Discrimination of equipment noise and contamination

381 In previous studies, a detection alarm was normally set off if the deviation between  
382 the predicted and observed sensor values was greater than a preset threshold value.  
383 However, this type of judgment is greatly dependent on the reliability and stability of  
384 a sensor. For example, as shown in Figure 3, some peaks and troughs (e.g. point *a* in  
385 the UV graph) shifted significantly from the previous reading due to equipment noise.  
386 This type of shift is difficult to predict and a big deviation between prediction and  
387 observation can be expected. If the detection decision is made based on the deviation,  
388 a false positive error would occur. Taking the autoregressive moving average method  
389 reported by Hou<sup>35</sup> as an example, and setting the 1% deviation of prediction and  
390 observation as the detection threshold, point *a* in Figure 3 (also in Figure F7 in the  
391 supporting information) was grouped into a contamination event, which is obviously a  
392 false positive. Meanwhile, Figure F7 shows all the deviations between the predictions

393 and observations. The red line is the detection threshold. The *TPR* and *FPR* were  
394 calculated as 0.28 and 0.32. In this study, the proposed method overcomes this by  
395 exploring the correlative response between sensors. As shown in Figure 3 and Table 5,  
396 although conductivity, UV and ORP show obvious fluctuations at the same time  
397 period, their correlative relationships are weak, which means the fluctuations are more  
398 related to the equipment noise than external reasons, for example, presence of  
399 contamination. By exploring the internal relationship proposed in this method, the  
400 influence of equipment reliability and stability on detection can be reduced.

401

402 In the case of ORP, as shown in Table 5 and Table 6, the Pearson correlation  
403 coefficients of ORP with other sensors are 0.09 (turbidity), 0.14 (pH), 0.08  
404 (conductivity), 0.09 (nitrate), 0.17(UV) and 0.00 (phosphate) for the left part (no  
405 contamination) and -0.75 (turbidity), -0.89 (pH), 0.28 (conductivity), 0.84 (nitrate),  
406 0.43 (UV) and -0.84 (phosphate) for the right part (contaminant added) respectively.

407 This means the fluctuations in the left part are mainly due to random equipment  
408 noises, while the fluctuations in the right part are mainly due to the introduction of  
409 contaminant. The significant values of Pearson correlation coefficients in Table 6 also  
410 further indicate the correlative responses to the introduction of lead nitrate. A key to  
411 an efficient contamination detection method is being able to discriminate between  
412 these two types of fluctuations. As shown in the left part of the ORP graph, the peaks  
413 or troughs shift significantly from other readings. If a detection decision is made

414 based on the deviation of response from the sensor, a false positive can be expected.

415 In the proposed method, the difference between these two types of fluctuations is

416 evaluated and differentiated using the Pearson correlation coefficients.

417

#### 418 **4.2 Impacts of parameters**

419 In the proposed method, there are three parameters:  $threshold_{indicator}$ ,

420  $threshold_{alarm}$  and  $window\ size$ . The values of these parameters might influence the

421 performance of the detection method. In order to understand this, their impact on the

422 performance of the detection method was investigated. To facilitate the analysis, the

423 other two parameters were kept constant when analyzing one parameter. The default

424 values for  $threshold_{indicator}$ ,  $threshold_{alarm}$  and  $window\ size$  were 0.8, 6 and 30

425 respectively. The performance of detection was evaluated using  $TPR$  and  $FPR$ .

426

427 The data used for this analysis were originally from the first lead nitrate experiment

428 (Figure 2) with some arbitrary combinations. Four datasets were regrouped. Datasets

429 1, 2, 3, and 4 are the data for baseline and for lead nitrate with concentration of 0.01

430 mg/l, 0.02 mg/l, 0.04mg/l and 0.08 mg/l respectively. For each parameter

431 configuration,  $TPR$  and  $FPR$  were calculated with the time step of 1 minute. The total

432 number of calculations for each dataset for 1 parameter equals the difference between

433 the length of dataset and  $window\ size$ . The averaged  $TPR$  and  $FPR$  over the entire time

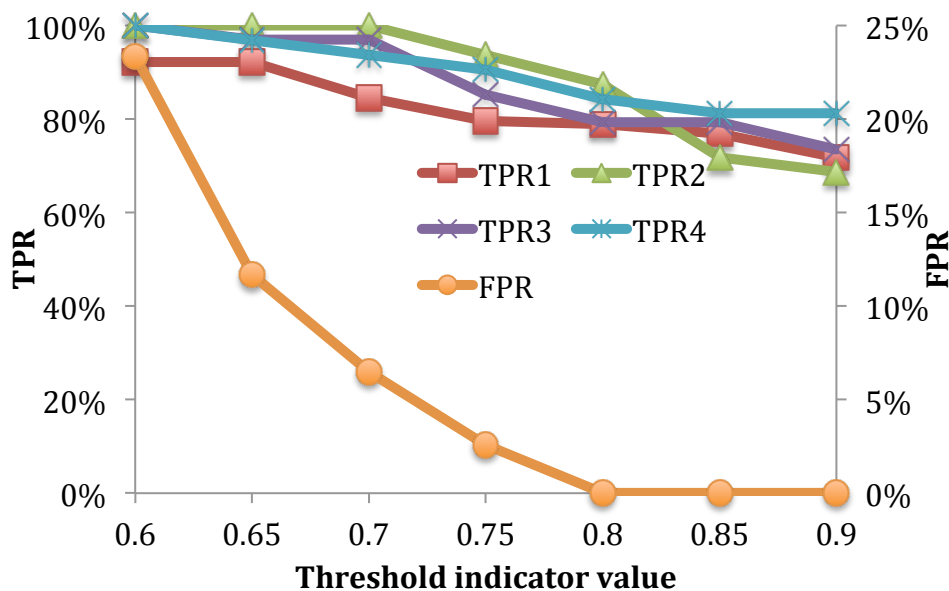
434 period were deemed to be the true positive rate and false positive rate associated with

435 this parameter configuration. The analysis results are shown in Figure 4, Figure 5 and  
 436 Figure 6, in which  $TPR_1$ ,  $TPR_2$ ,  $TPR_3$  and  $TPR_4$  refer to the  $TPRs$  for the  
 437 concentration of 0.01 mg/l, 0.02 mg/l, 0.04 mg/l and 0.08 mg/l.

438

#### 439 4.2.1 Parameter $threshold_{indicator}$

440 In the analysis for  $threshold_{indicator}$ , its value changes from 0.6 to 0.9 with the step  
 441 of 0.1 while  $window\ size$  and  $threshold_{alarm}$  are kept constant. As shown in Figure  
 442 4,  $FPR$  and  $TPRs$  decrease with an increase in the  $threshold_{indicator}$  value. This  
 443 indicates that a small  $threshold_{indicator}$  value could incorrectly classify equipment  
 444 noise as a contamination event. Meanwhile, it also suggests that a high  
 445  $threshold_{indicator}$  might result in the overlook of a real contamination event.



446

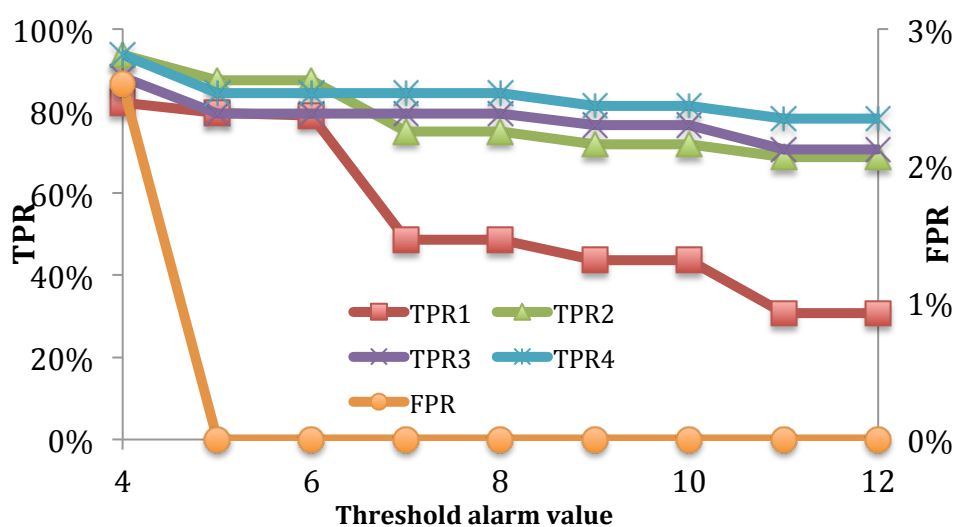
447 Figure 4 Impact of  $threshold_{indicator}$  on detection performance

448

#### 449 4.2.2 Parameter $threshold_{alarm}$

450 In the analysis for  $threshold_{alarm}$ , its value changes from 4 to 12 in step of 1. As  
 451 shown in Figure 5, when the  $threshold_{alarm}$  increases, both  $FPR$  and  $TPRs$  decrease.  
 452 From  $threshold_{alarm} = 5$ ,  $FPR$  approaches 0, which means a large  
 453  $threshold_{alarm}$  value can significantly reduce false positives. However, a large  
 454  $threshold_{alarm}$  can also lead to false negatives and result in a low true positive rate,  
 455 especially for the case of low concentrations. It also shows that the  $TPRI$  for dataset 1  
 456 (lead nitrate concentration at 0.01 mg/l) drops significantly with an increase in the  
 457  $threshold_{alarm}$  value. This might be because the correlative responses are rather  
 458 weak at low concentration. This is consistent with the graphs in Figure 2.

459



460

461 Figure 5 Impact of  $threshold_{alarm}$  on detection performance

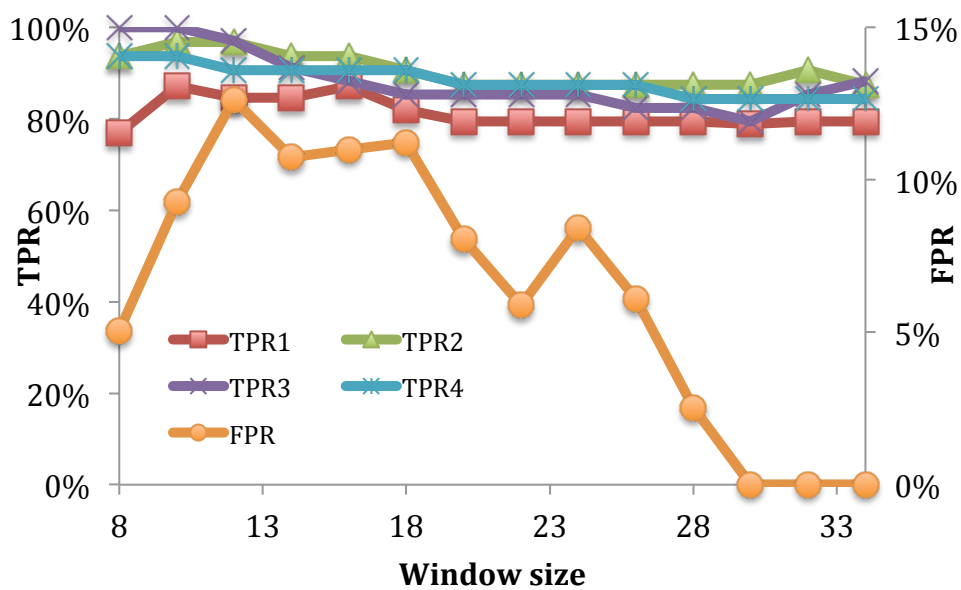
462

### 463 4.2.3 Parameter window size

464 Window size denotes the number of data involved in the calculation of the Pearson

465 correlation coefficient. In this analysis, the window size from 8 to 34 in step of 2. The  
 466 maximum value of the *window size* is based mainly on the contaminant injection  
 467 period. As shown in Figure 6, when *window size* is smaller than 18, *TPRs* decrease  
 468 with an increase in the value of *window size*, while they keep rather flat after 18. This  
 469 implies that the performance of the detection method is more sensitive to the small  
 470 values of *window size*. Figure 6 also suggests that *FPR* reaches peak values with  
 471 medium values of *window size*.

472



473

474

Figure 6 Impact of window size on detection performance

475

476 From Figures 4, 5 and 6, it is concluded that the values of parameters have impacts on  
 477 the performance of the detection method, which suggests that they should be  
 478 determined carefully to achieve a better detection performance in practical application.

479 For example, by taking the value of  $threshold_{indicator} = 0.75$ ,  $threshold_{alarm} = 6$

480 and *window size* = 30, as shown in Figure 4, a 0.01mg/l lead nitrate contamination  
481 event can be detected with a true positive rate of 80% and false positive rate of 2%.  
482 The detection time is 9 minutes (shown in Table T1 in supporting information). A  
483 comprehensive sensitivity analysis would benefit the implementation of the proposed  
484 method and the optimal values of parameters should be determined for a specific  
485 contaminant through experiment and analysis.

486

### 487 4.3 Reproducibility

488 Data from two independent lead nitrate injection experiments were used to evaluate  
489 the reproducibility of the proposed detection method. Figure F8 shows the sensors'  
490 responses from the second lead nitrate injection with the sequence of 0.08mg/l,  
491 0.04mg/l, 0.02mg/l and 0.01mg/l (Figure 2 is for the first injection experiment). The  
492 experiment conditions in the two experiments are the same. Similar to Table 6, Table  
493 9 shows the Pearson correlation coefficients for the 2nd experiment, which are  
494 directly calculated from the experiment data. Each column represents the Pearson  
495 correlation coefficients of other sensors and this sensor. For example, the 2<sup>nd</sup> column  
496 lists the Pearson correlation coefficients of turbidity and other sensors. Using equation  
497 7, the concordance correlation coefficients of data in Table 6 and Table 9 were  
498 obtained and are shown at the bottom of Table 9. As shown in Table 9, the  
499 concordance correlation coefficients for turbidity, pH, temperature, ORP, nitrate and  
500 phosphate are greater than 0.81, which suggests high agreement between the

501 calculation results of the data from the 1<sup>st</sup> and the 2<sup>nd</sup> lead nitrate experiments. It is  
 502 also noticed the sensors with high concordance correlation coefficients consist with  
 503 the one having high correlative coefficients in Table 6 and Table 9. This implies that  
 504 the responses of these sensors are mostly due to injection of lead nitrate, rather than  
 505 equipment noises. For conductivity and UV, the concordance correlation coefficients  
 506 are low, which is consistent with their low values of Pearson correlation coefficients  
 507 in Table 6 and Table 9. This suggests the responses of conductivity and VU are  
 508 mostly from equipment noises. Therefore, their reproducibility is low. This will not  
 509 affect the reproducibility of the proposed method since the low Pearson correlation  
 510 coefficient values are not taken into account for the correlation indicator. By using the  
 511 same parameter values (the default ones), the *TPR* and *FPR* were calculated as being  
 512 78.95% and 0 respectively, which are the same as the ones from the first experiment.  
 513 In a summary, the proposed method has a good reproducibility.

514

515 Table 9 The Pearson correlation coefficients and the concordance correlation  
 516 coefficients  $\rho_c$  (lead nitrate, the 2<sup>nd</sup> experiment)

	Turb.	pH	Cond.	Temp	ORP	Nitra.	UV	Phos.
Turb.	1.00	0.53	-0.09	-0.62	-0.73	-0.45	-0.46	0.71
pH	0.53	1.00	-0.18	-0.98	-0.88	-0.99	-0.62	0.74
Cond.	-0.09	-0.18	1.00	0.24	0.17	0.17	0.00	-0.22
Temp.	-0.62	-0.98	0.24	1.00	0.92	0.96	0.56	-0.78
ORP	-0.73	-0.88	0.17	0.92	1.00	0.83	0.61	-0.83
Nitra.	-0.45	-0.99	0.17	0.96	0.83	1.00	0.61	-0.67
UV	-0.46	-0.62	0.00	0.56	0.61	0.61	1.00	-0.53
Phos.	0.71	0.74	-0.22	-0.78	-0.83	-0.67	-0.53	1.00
$\rho_c$	<b>0.81</b>	<b>0.96</b>	-0.06	<b>0.96</b>	<b>0.86</b>	<b>0.95</b>	0.53	<b>0.88</b>

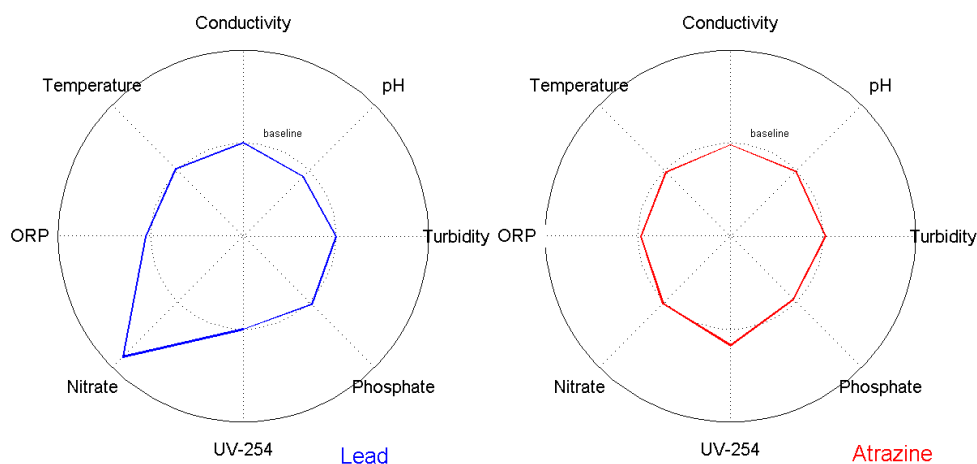


517

## 518 4.4 Future works

519 Using lead nitrate as an example, this study shows that the detection performance is  
520 highly sensitive to the values of parameters. Meanwhile, in a real situation, the type of  
521 contaminant is not known a priori. Therefore, the values of the parameters should not  
522 be determined for a specific type of contaminant, but for a large group of  
523 contaminants. A generalized set of values of parameters is more deserved. Therefore,  
524 in the future, the optimal determination of the values of the parameters should be  
525 conducted.

526 In an EWS, the question after detection of contamination is how to identify the type  
527 of contaminant quickly. A commercially available Hach method relies on correlations  
528 between responses of different types of sensors for contaminant identification. From  
529 this study, as shown in the Figure F1, F2, F3, F4 and F5, the sensors' responses are  
530 contaminant dependent. For a clearer view, Figure 7 shows all sensors' responses for  
531 lead nitrate and atrazine using radar map. Obviously, the shapes formed by axes for  
532 lead nitrate and atrazine are different. By utilizing the contaminant dependent feature,  
533 it is possible to differentiate the types of the contaminants. Therefore, in the future,  
534 more work should be done on how to extract features and patterns for contaminant  
535 identification. Possible techniques are data mining and pattern recognition. For  
536 example, by comparing the Euclidean distance between samples and classes for  
537 different contaminants, it is possible to identify the type of the contaminant.



538

539

Figure 7 Radar map of sensor's responses for lead and atrazine

540 **5. Conclusion**

541 EWS should provide a fast and accurate means to distinguish between normal  
 542 variations and contamination events. Compared with component-specific sensors,  
 543 conventional water quality sensors are still widely used because they are low cost and  
 544 easily maintained. For an EWS with conventional water quality sensors, a key issue is  
 545 how to efficiently detect the presence of contamination. In this study, a platform with  
 546 8 types of online water quality sensors was established and utilized for contaminant  
 547 injection experiment. By analyzing the results from the experiment, the following  
 548 conclusions are drawn.

- 549 1) A contamination detection method utilizing the correlations between multiple  
 550 types of conventional water quality sensors was proposed in this study. The  
 551 results from the experiment and analysis show that the proposed method could  
 552 detect a lead nitrate contamination 9 minutes after the introduction of  
 553 contaminant at the concentration of 0.01mg/l using responses from online water

554 quality sensors. The *TRP* and *FPR* are 80% and 2% respectively.

555 2) It was noticed that multiple sensors responded simultaneously to the presence of  
556 contamination. Initial analysis showed that the correlative response is  
557 contaminant-specific, which implies that the correlative response could be  
558 utilized not only for contamination detection, but also for contaminant  
559 identification and even for quantification. Meanwhile, in some previous studies,  
560 an ideal sensor is assumed. In a real situation, this is not always the case. If the  
561 sensor fails to function properly, a false positive is expected. The proposed  
562 method can overcome this by utilizing the correlations between sensors.

563 3) The proposed method employs three parameters:  $threshold_{indicator}$  ,  
564  $threshold_{alarm}$  and window size. From the analysis, it was concluded that  
565 these parameters have an impact on the detection performance. For a specific  
566 contaminant, the optimal values of parameters should be determined through  
567 experiment and analysis.

568 4) The basis of the proposed method is that multiple sensors respond to one type of  
569 contaminant simultaneously. This has been verified by experiment in this study  
570 and other studies. However, it should be envisaged that the types of  
571 contaminants previously tested are still limited. More experiments should be  
572 conducted in the future.

573

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578

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