



**Large scale, Flexible and Three-dimensional Quasiordered
Aluminum Nanospikes for Thin Film Photovoltaics with
Omnidirectional Light Trapping and Optimized Electrical
Design**

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Complete List of Authors:	<p>Leung, Siu-Fung; Hong Kong University of Science & Technology, Electronic and Computer Engineering TSUI, Kwong Hoi; Hong Kong University of Science & Technology, Electronic and Computer Engineering Lin, Qingfeng; Hong Kong University of Science & Technology, Electronic and Computer Engineering Huang, Hongtao; Shang Advanced research institution, Lu, Linfeng; Shanghai Advanced Research Institute, Chinese Academy of Sciences, Shieh, Jia-Min; National Device Laboratory, Shen, Chang-Hong; National Device Laboratory, Hsu, Chin-Hung; National Device Laboratory, Zhang, Qianpeng; Hong Kong University of Science & Technology, Electronic and Computer Engineering Li, Dong Dong; Shanghai Advanced Research Institute, Chinese Academy of Sciences, Fan, Zhiyong; Hong Kong University of Science & Technology, Electronic and Computer Engineering</p>

ARTICLE

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12 *Siu-Fung Leung,^a Kwong-Hoi Tsui,^a Qingfeng Lin,^a Hongtao Huang,^b Linfeng Lu,^b*
13 *Jia-Min Shieh,^c Chang-Hong Shen,^c Chin-Hung Hsu,^c Qianpeng Zhang,^a*
14 *Dongdong Li^{*b} and Zhiyong Fan^{*a}*

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16 Nanostructured photovoltaics have attracted enormous attention in recent years owing to their
17 potency for significant device performance enhancement over the conventional technologies.
18 Nonetheless, conventional fabrication approaches for nanostructured scaffold are relying on
19 glass or silicon substrates which are costly, brittle and with limited scalability. Meanwhile,
20 rational design guidelines for optical and electrical performance optimization of solar cell are
21 of urgent need for their practical applications. In this work, flexible and quasi-ordered three-
22 dimensional (3-D) nanospike (NSP) arrays are fabricated in reasonable large scale with well
23 controlled geometry. Systematic investigations by experiments discovered that photovoltaic
24 devices based on NSP with optimal geometry can accommodate the trade-off between optical
25 absorption and electrical performance, demonstrating power conversion efficiency of 7.92 %,
26 which is among the highest efficiency reported for single junction a-Si:H solar cell on flexible
27 substrate. Furthermore, we have demonstrated superior omnidirectional device performance by
28 utilizing such 3-D NSP. This unique feature is of paramount importance for practical
29 photovoltaic applications.

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1 Introduction

Thin film photovoltaics (TF-PV) are highly enticing alternatives to the conventional crystalline silicon technology as low cost and portable energy sources owing to the substantially reduced material consumption, attractive light-weight and excellent flexibility.¹⁻¹² Nonetheless, utilization of ultra-thin materials often limits the optical absorption of the solar cell and thus put a constraint on performance of the TF-PV devices. Therefore, utilization of three-dimensional (3-D) nanostructures for photon management in optoelectronic devices including photovoltaic (PV) devices has become an intriguing topic.^{5, 10, 13-37} In this case, light absorption can be significantly enhanced with a relatively thin layer of active material and thus minority carrier diffusion path length is not increased. Therefore, various 3-D nanostructures such as nanocone,^{3, 4, 6, 8, 14, 38-46} nanopillar^{1, 15, 21, 47-52} and nanowell,^{51, 53-55} etc. have been explored and they have demonstrated remarkable effectiveness on improving performance of PV devices. However, most of the 3-D nanostructured substrates employed are based on non-flexible and brittle materials such as silicon and glass.³⁸ More importantly, fabrication of nanotexture on these substrates normally involved costly processes such as photolithography and dry etching that hindered the large-scale and cost-effective PV panel manufacturing.

On the other hand, conventional plastic flexible substrates have relatively low melting point which greatly limits the process temperature for fabrication of high performance TF-PV devices on them.⁵⁶ Therefore, from a practical standpoint, it is of interest to develop nanotextures for advanced light management on a higher melting point substrate at low cost and in large scale. In this regard, we have reported the fabrication of regular arrays of 3-D nanospike (NSP) structure on flexible aluminum (Al) foils with nanoimprint in conjunction with electrochemical anodization.^{10, 47, 57} It has been discovered that the regularity is beneficial in order to achieve thin films with reasonable uniformity. Meanwhile, our previous work has also demonstrated that the quasi-ordered 3-D NSPs achieved by the improved high voltage anodization without nanoimprint could efficiently capture light.⁴⁷ In fact, it is an intrinsically low cost process to fabricate quasi-ordered NSPs with natural anodization process. In this work, we report single junction amorphous silicon (a-Si:H) PV devices fabricated on the quasi-ordered 3-D aluminum NSP substrates with different morphologies. Solar cells fabricated on the optimal morphology resulted in power conversion efficiency (PCE) of 7.92% under air mass spectrum 1.5 global (AM 1.5G) illumination, which is 34% improvement over its planar counterpart and it is among the highest single junction a-Si:H PCE reported on flexible substrate to our best knowledge. In addition, the trade-off

between the enhanced optical absorption by high aspect ratio nanostructure and the increased carrier recombination due to thin film uniformity issue was revealed by systematic characterization and analysis. More importantly, we have demonstrated superior omnidirectional PV performance of NSP solar cell and large scale device with excellent flexibility which has practical significance to wide range of PV applications including utility power generation, building integrated PV and the next generation portable electronics.

2 Experiments

2.1 Preparation of NSP substrate

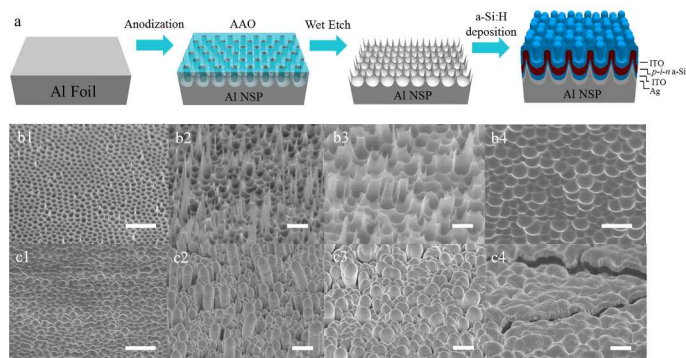


Figure 1 | Fabrication process and SEM of 3-D aluminum nanospike. (a) Schematic diagram of fabrication of 3-D aluminum nanospike. (b1-b4) 45° tilted SEM images of NSP fabricated by anodization with 200 V, 400 V, 500 V and 600 V respectively. (c1-c4) 45° tilted SEM images of a-Si:H thin film solar cell fabricated on 200 V, 400 V, 500 V and 600 V NSP respectively. (Scale bars in the SEM images are 2 μm)

The schematic of NSP fabrication process is displayed in Fig. 1a. First, aluminum foil was cut into 1 cm by 2 cm pieces and cleaned in acetone and isopropyl alcohol. The sheets were then electrochemically polished in a 1:3 (v:v) mixture of perchloric acid and ethanol for 2 min at 10 degree C. The polished Al chips were anodized with voltages of 200 V, 400 V, 500 V and 600 V for 9 ~ 16 hours. Electrolytes for anodization were mixture of citric acid, ethylene glycol, and phosphoric acid. For applied voltages of 200 V, the solution was 2 wt% citric acid, ethylene glycol, and 85 wt% phosphoric acid in volume ratio of 200:100:0.5 vt%; for 400V~500V voltage, the solution was 2:1 vt% for the 2 wt% citric acid and ethylene glycol; while for 600 V voltage, the solution was diluted to 1:1 vt% for the 2 wt% citric acid and ethylene glycol. Carbon rod was used as the counter-electrode during anodization. After anodization, 3-D Al NSP arrays was exposed by etching away the anodic aluminum oxide (AAO) layer with mixture of chromium acid (1.5 wt%) and phosphoric acid (6 wt%) solutions at 63 °C for 30 minutes. After etching, the chips were cleaned with deionized-water and blown dry with air for the subsequent thin film deposition. Aluminum foil anodized with 200 V, 400 V, 500 V and 600 V led to different morphologies of NSP and their scanning electron microscope (SEM) images are shown in Fig. 1b1 ~ 1b4 respectively. It can be observed that the pitch of NSPs (distance between the neighbors NSPs) increases with anodization voltage. Apparently, 400 V anodized aluminum has the tallest NSP structure (the highest aspect ratio), then followed by 500 V, whereas NSP made by 200 V and 600 V anodization are the shortest (lowest aspect ratio) which is consistent with our previous report.⁴⁷ The statistics of NSP height by different anodization voltages can be found in supplementary Fig. S1. On the other hand, it is known that aluminum have higher

coefficient of thermal expansion (CTE) than silicon and glass. Therefore, CTE mismatch between aluminum substrate and solar cell thin film can cause the failure of device. Also, aluminum diffusion under high temperature is detrimental for a-Si:H PV device.⁵⁸ To address these issues, the top 100 nm surface of the aluminum was anodized with 20 V DC, using 1.7 wt% H₂SO₄ as electrolyte. This thin layer of aluminum oxide can serve as a buffer layer to mitigate the CTE mismatch and block the aluminum diffusion. Since it is very thin, the flexibility of the solar cell would not be affected which is proven by the results shown in Fig. 5. Meanwhile, it is worth pointing out that in our 3-D device structure, a-Si:H thin film and the top and bottom ITO layers are essentially “folded” on the substrate, which can help to release the stress/strain caused by CTE mismatch as well according to our previous report.¹⁰

2.1 Fabrication of a-Si:H solar cell on 3-D NSP substrate

For a-Si:H solar cell fabrication, firstly 100 nm thick silver and 200 nm thick indium doped tin-oxide (ITO) were magnetron sputtered as the bottom contact. Subsequently, a-Si:H layers were deposited by plasma enhanced chemical vapor deposition (PECVD) with n-i-p layers of 20 nm, 350 nm and 12 nm respectively, using SiH₄ with H₂ dilution and B₂H₆ and PH₃ as P-type and N-type dopant. Finally, 3 mm diameter of top ITO contact with thickness of 200 nm was sputtered on the a-Si:H layer. SEM micrograph of solar cell devices on Al NSP substrate anodized by 200 V, 400 V, 500 V and 600 V were exhibited in Fig. 1c1 ~ 1c4 respectively. Notably, morphologies of the NSPs can be retained after solar cell deposition which allows the study of morphology dependent optical absorption and PV performance.

3 Result and Discussion

3.1 Optical reflectance characterization and electrical characterization of NSP-based thin-film solar cell

Figure 2a shows the UV-Visible (UV-Vis) optical absorption spectra of the solar cells on 200 – 600 V NSP from 380 ~ 720 nm wavelength which is considered as above band-gap region of a-Si:H (~ 1.7 eV). UV-Vis optical reflectance spectra of a planar and 200 V ~ 600 V NSP substrates can be found in supplementary Fig. S2.

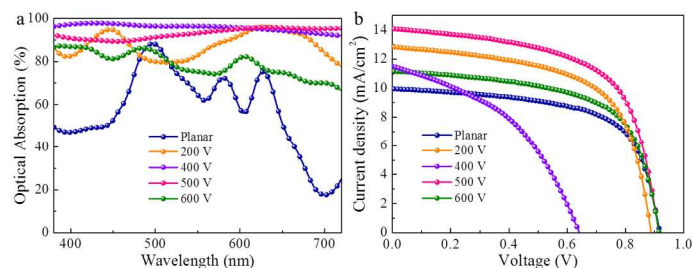


Figure 2 | Optical reflectance measurement and device performance characterization. (a) Optical reflectance spectra of NSP solar cell with different morphologies. (b) J-V characteristics of NSP solar cell with different morphologies.

Evidently, optical absorption of solar cells with NSP structure are far better than that of the planar control device which can be attributed to the effective light trapping effect by the NSP. Particularly, light absorption of the 400 V and 500 V devices are significantly higher than that of the 200 V and 600 V devices, especially for the 400 V, the absorption across the

entire measurement range is close to 100 %. These results agree with the fact that 400 V anodization could produce high aspect ratio NSP which can perform more efficient light trapping. Beside, PV performances of solar cells on different voltages NSP substrate were characterized under AM 1.5G (100 mWcm⁻²) illumination. Current density-voltage (J-V) characteristics of them are shown in Fig. 2b. The detail performance parameters of the best devices are summarized in Table 1 and the statistical data of PV device performances can be found in Fig. S3.

Anodization voltage	V _{oc} (V)	J _{sc} (mAcm ⁻²)	Fill Factor (%)	Efficiency (%)
Planar	0.917	9.97	64.39	5.89
200 V	0.882	12.85	61.84	7.01
400 V	0.642	11.52	43.31	3.21
500 V	0.914	14.08	61.52	7.92
600 V	0.915	11.17	61.96	6.33

Table 1 | Detail electrical parameters of NSP-based thin-film solar cells.

Apparently, even by introducing very short NSP structure with 200 V and 600 V anodization, PV device performances had already been improved substantially owing to the improved optical absorption especially in the long wavelength beyond 600 nm which is also evidenced by external quantum efficiency (EQE) measurement shown in Fig. S4. As a result, current density (J_{sc}) of 12.85 mAcm⁻² and 11.17 mAcm⁻² were achieved in the 200 V and 600 V devices respectively, which are 29 % and 12 % improved as compared to the planar counterpart with only marginal drop of open circuit voltage (V_{oc}) due to the increased surface recombination.⁴ And the 200 V device achieved PCE of 7.01 % which is consistent with our previous work.⁶ On the other hand, with the highest aspect ratio NSP, 400 V device was expected to have the strongest light trapping capability and yield the best PV performance. Conversely, it was found that the efficiency of 400 V is unexpectedly lower than the planar control device due to the low V_{oc} and fill factor. And among all the devices, 500 V device with 2nd highest aspect ratio of NSP has the highest J_{sc} of 14.08 mAcm⁻², with V_{oc} of 0.914 V and fill factor of 61 %, resulted in the best PCE of 7.92 % which is among the highest PCE of single junction a-Si:H being reported on flexible substrate to our best knowledge.

3.1 Solar cells morphologies characterization

The above intriguing results can be understood by analyzing the cross-sectional SEM micrograph of 200 V ~ 600 V devices which are shown in Fig. 3a ~ d respectively. In the SEM images, the relatively dark color layer represents the a-Si:H and the top and bottom layers are ITO. It can be clearly seen that a-Si:H film can be rather uniformly deposited on the 200 V, 500 V and 600 V NSP. While in the case of 400 V device, non-uniform deposition of a-Si:H was observed at the vertical sidewall which owing to the shadowing effect of the plasma radical by the high aspect ratio NSP tip during PECVD deposition. Inset of Fig. 3b shows the cross-sectional SEM of one of the tall NSP tips revealed that the a-Si:H thickness at the vertical sidewall is about 200 nm which is only ~ 50 % of the expected thickness. This phenomenon has also been observed in our previous work in which the regular array of NSPs was reported.¹⁰

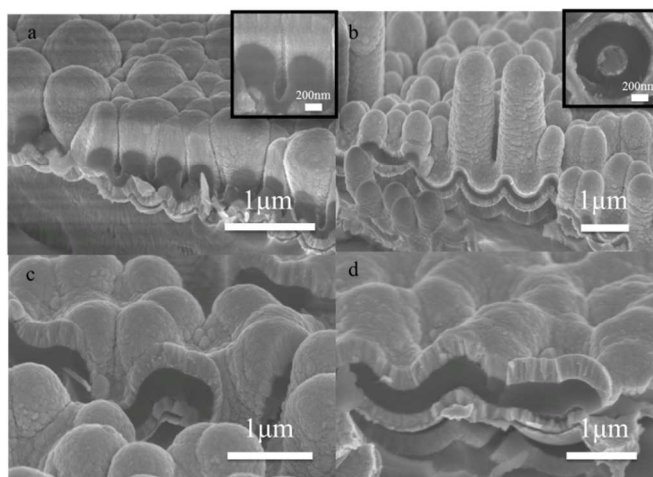


Figure 3 | Cross-sectional SEM images of solar cell device fabricated on (a) 200 V, (b) 400 V, (c) 500 V and (d) 600 V NSP respectively. Inset in (a) and (b) exhibited the void formed in 200 V NSP due to relatively short pitch and cross-section of a tall NSP in 400 V substrate indicating non-uniform a-Si:H film deposition at the vertical NSP sidewall.

While the thickness variation of the intrinsic a-Si:H will only affect light absorption, the thinner P-doped and N-doped layer will particularly affect the internal electrical field across the junction and therefore causing extra recombination which can explain the significant drop of V_{oc} in 400 V devices.^{4, 54, 59-61} Meanwhile, the presence of voids is observed in the 200 V devices (inset of Fig. 3a) due to the short NSP pitch. This kind of cavity structure was reported in literature that would cause the decrease in V_{oc} and fill factor which can explain the slight drop of V_{oc} in 200 V devices.⁴ In contrast, there is no void developed in 500 V NSP due to the relatively large pitch and the absence of tall and sharp NSP tip which mitigated the non-uniform a-Si:H film deposition issue. As a result, the optimal morphology of 500 V NSP can provide sufficient light trapping without sacrificing the electrical performance thus resulting in the best efficiency. This result suggests the balance between optical absorption and carrier collection is of crucial importance when designing high performance 3-D nanostructured PV.

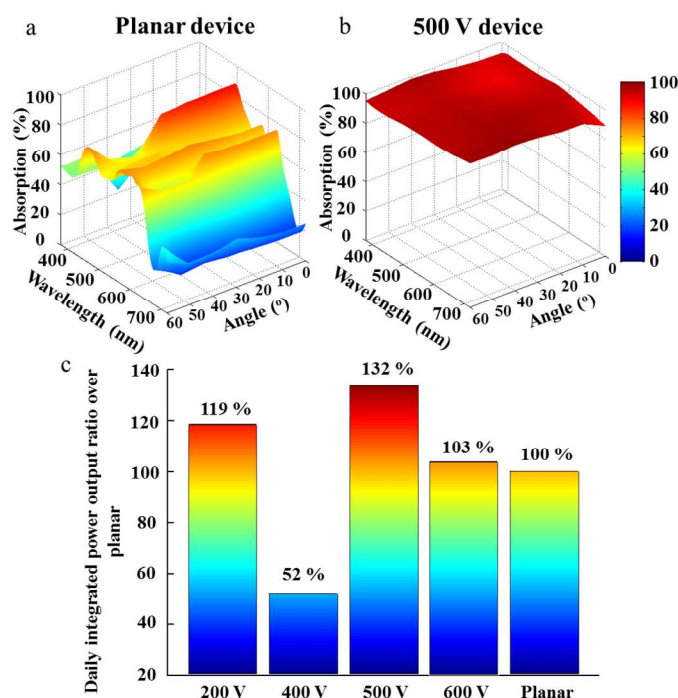


Figure 4 | Angular and wavelength dependent absorption spectra of solar cell on (a) planar and (b) 500 V NSP substrate. (c) Daily integrated power output ratio over planar control.

Besides PV performance measured with normal incident light, another important but often overlooked aspect is the omnidirectional characteristic. Since the sunlight incident angle varies throughout a day, it is a great advantage for solar cells to maintain strong absorption at oblique angle of incident light and there were several works reported previously regarding the efficient omnidirectional light trapping of nanowire arrays.^{14, 22, 24} In our work, angular dependent optical absorption of solar cells on different voltages NSPs were examined. Fig. 4a and 4b exhibited the optical absorption spectra of solar cells on planar and 500 V NSP for incident angle from 0 to 60° and those of 200 V, 400 V and 600 V can be found in supplementary Fig. S5. Manifestly, the optical absorption of a solar cell on a 500 V NSP is superior to that on a planar control and it maintains high absorption at oblique incident angle which is ascribed to the effectively light trapping by the 3-D NSP. Furthermore, the consistent trend can be observed in the daily integrated PV performance. Figure 4c shows the bar chart of daily integrated power ratio over planar to compare the power generated by solar cells on different voltages NSP and planar throughout a sunny day and the detailed experimental procedure can be found in supplementary information. Intriguingly, solar cell on the 500 V NSP substrate can generate 32 % more electricity than that on the planar substrate, followed by 19 % improvement on 200 V NSP. These results clearly demonstrate the outstanding angular dependent PV performance of solar cell on 3-D NSP substrate which is crucial from a practical point-of-view.

On top of these, aluminum based 3-D NSPs substrate has excellent flexibility and its fabrication is highly scalable, which cannot be achieved in conventional nanotextured substrate based on glass.^{4, 38}

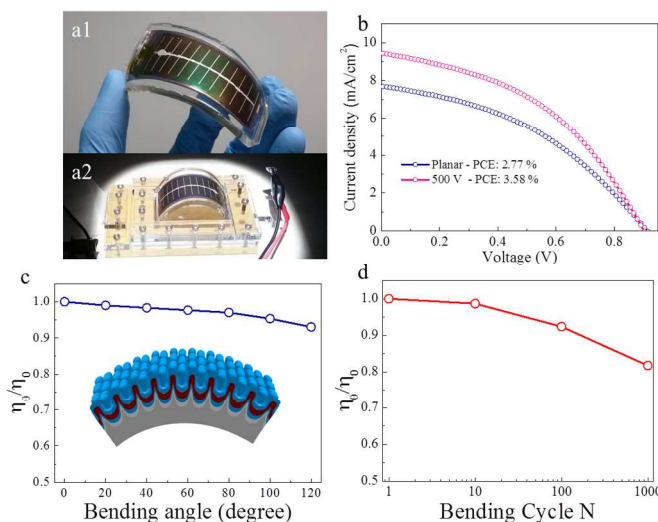


Figure 5 | Optical images of (a1) a large scale flexible NSP PV device and (a2) bending angle depending PV performance measurement setup. (b) J-V characteristic of large scale 500 V and planar PV devices. (c) Normalized efficiencies of NSP devices under bending angles up to 120° and inset is the schematic of a bendable NSP device. (d) Relative efficiency of NSP device after 10, 100 and 1000 bending cycle.

Fig. 5a1 displayed a large scale flexible 500 V NSP thin film solar cell with active area of 12 cm² and achieved PCE of 3.58% under AM 1.5 G illumination which is 29% more efficient than its planar counterpart. From the J-V curves of large scale NSP and planar solar cell displayed in Fig. 5b, it is apparently that the PCE increase is mainly due to the higher J_{sc} which implies the light trapping capability of NSP can be maintained under large scale fabrication. Note that the lower efficiency of the large scale device as compared with the small scale device can be attributed to the resistance of the un-optimized ITO, which is confirmed with the fill factor drop observed in Fig. 5b. To characterize the robustness of device performance upon bending, PCE under bending angle from 0° to 120° was measured with customized setup shown in Fig. 5a2 and Fig. 5c shows the efficiencies of a NSP device normalized with projection area of simulated light source with respect to bending angles up to 120°. Evidently, efficiencies only experienced marginal drop even at high bending angle. Moreover, the reliability of NSP solar cell under bending was examined and the results were presented in Fig. 5d. The efficiency remains ~ 82 % of the initial efficiency after 1,000 cycles of bending. These results demonstrated the excellent flexibility of aluminum based NSP substrate which is essential for flexible PV applications.

Conclusions

We have demonstrated thin film a-Si:H solar cell fabricated on flexible aluminum substrates with quasi-ordered 3-D NSP substrate. The fabrication processes of Al based 3-D NSP substrates are low-cost and highly scalable. And this type of PV substrate has multiple advantages over conventional glass with textures in terms of flexibility, weight and cost-effectiveness. Our device characterization showed that, with optimal pitch and height of NSP, a solar cell can achieve PCE of 7.92% which outperform planar counterpart by 34 %. More importantly, cross-sectional SEM revealed the optimal height of NSP

prevented excessive recombination by avoiding non-uniform a-Si:H deposition on the NSP sidewall. Furthermore, NSP solar cell demonstrated superior angular PV performance and the daily integrated power generated is 32 % higher than the planar control which has significant impact to the practical application. Meanwhile, large scale and flexible PV device with active area of 12 cm² was demonstrated and exhibited excellent flexibility and reliability under bending.

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^a Department of Electronic and Computer Engineering, Hong Kong University of Science and Technology, Clear Water Bay, Kowloon, Hong Kong, China. E-mail: eezfan@ust.hk

^b Shanghai Advanced Research Institute, Chinese Academy of Sciences, 99 Haik Road, Zhangjiang Hi-Tech Park, Pudong, Shanghai 201210, China. E-mail: lidd@sari.ac.cn

^c National Nano Device Laboratories (NDL), Hsinchu 30078, Taiwan, ROC.

† Electronic Supplementary Information (ESI) available: Methods for Morphology characterization, optical reflectance, device performance characterization and Daily integrated power output ratio of solar cell. Figures: Statistical data of NSP height and NSP PV device efficiencies. Normal incidence optical reflectance of NSP with different morphologies; External quantum efficiency (EQE) of a-Si:H solar cell on different morphologies NSP; Angular and wavelength dependent absorption spectra of solar cell on 200 V, 400 V and 600 V NSP substrate. See DOI: 10.1039/b000000x/

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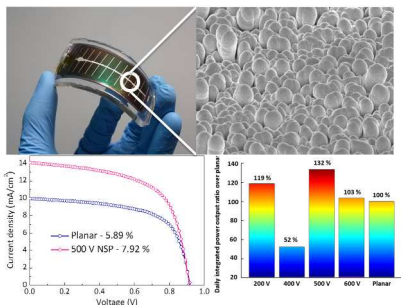
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Table of Content

Large scale, Flexible and Three-dimensional Quasi-ordered Aluminum Nanospikes for Thin Film Photovoltaics with Omnidirectional Light Trapping and Optimized Electrical Design



Broader context box

Large scale, flexible and three dimensional aluminum nanospike was fabricated by facile and low cost anodization process. Solar cell device on optimal morphology of NSP showed excellent angular dependent performance and achieved PCE of 7.92 % which the highest single junction a:Si:H PCE reported on flexible substrate by our knowledge. Furthermore, large scale NSP solar cell device with excellent flexibility was demonstrated which has significant impact to the flexible PV application.

