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Cite this: DOI: 10.1039/c0xx00000x

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COMMUNICATION

Breakdown of the geometry restriction of crystallographic site on the valence state of Eu in CaGdAlO₄: realization of white emission from Eu singly-doped phosphors

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s Received (in XXX, XXX) Xth XXXXXXXX 20XX, Accepted Xth XXXXXXXX 20XX DOI: 10.1039/b000000x

We demonstrate a strategy to manipulate the valence state of Eu in CaGdAlO₄ based on breakdown of the geometry restriction on the activators, which would shed light on the ¹⁰ exploration of novel phosphors for white light emission diodes (WLEDs).

Considering the global issues of energy demand and climate deterioration, solid-state lighting (SSL) technique has become a promising alternative to conventional incandescent and ¹⁵ fluorescent lamps on the illumination.¹⁻³ As the mainstream in SSL, phosphors-converted white light-emitting diodes (pc-WLEDs) have aroused fast growing interests because of their merits of high efficiency, long lifetime, environmental friendliness and energy saving.⁴⁻⁷ Thus, phosphors applying to ²⁰ WLEDs are expected to have good performances including suitable excitation range, high quantum yield, chemical stability and low thermal quenching. So, the development of novel phosphors is critical for advanced technological applications.⁸⁻¹⁰

- Eu, as the most widely used activator, has been playing an ²⁵ indispensable role in modern lighting and display fields due to the fact that both Eu^{3+} and Eu^{2+} can function as an emission center in the host lattice.¹¹⁻¹⁴ Eu^{3+} ions mainly show characteristic parity-forbidden 4f-4f transitions of ${}^{5}D_{0}$ - ${}^{7}F_{J}$ (J = 0,...,4), resulting a red emission. While the line emission and color characteristics of
- ³⁰ Eu³⁺ ions are promising for WLEDs, the low oscillator strength (about 10⁻⁶) would restrict their applications due to the low absorption efficiency.⁴ Eu²⁺ activated phosphors usually have broad excitation band and tunable emission colors ranging from blue to deep red because the 4f-5d transitions of Eu²⁺ ion are
- ³⁵ parity-allowed, which is more suitable for WLEDs application.^{15,16} Obviously, calcination of the raw materials at high temperature under a reducing atmosphere is the easiest way to reduce Eu³⁺ to Eu²⁺. However, the optical properties of activators are sensitive to their local environment including the
- ⁴⁰ crystal structure and crystallographic site size, which would hinder the valence transform feasibility to some extent.¹⁷⁻²¹ Thus, how to break down the geometry restriction on the valence state of Eu is crucial to optimizing its optical properties for future applications. In addition, majority of research has been dedicated
- $_{45}$ to using the principle of energy transfer (ET) from sensitizer (Eu^{2+} or Ce^{3+}) to activator (Tb^{3+} or Mn^{2+}) for generating white

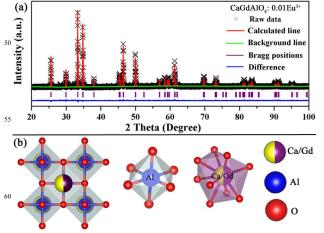


Fig. 1 (a) The Rietveld refinement to XRD pattern for CaGdAlO₄: 0.01Eu³⁺ sample (b) Typical crystal structure of CaGdAlO₄ and the Al³⁺ ⁶⁵ and Ca²⁺/Gd³⁺ sites are depicted with six- and nine-coordination with oxygen atoms, respectively.

light. It is unavoidable that energy is consumed during the ET process, which would decrease the WLEDs efficiency.²²⁻²⁵ Thus, it is a promising strategy of combine intrinsic transitions of the ⁷⁰ Eu ion from different valence states in a single host lattice directly to produce white light, based on the characteristic emissions of Eu²⁺ from blue to green and that of Eu³⁺ from orange to red.

Herein, we design and control the valence state of Eu in 75 CaGdAlO₄ via release the geometry restriction on activators through the replacement of Al³⁺-Gd³⁺ by Si⁴⁺-Ca²⁺. Rietveld refinements and detailed PL measurements have been performed on the synthesized samples, and lead to the following conclusions.²⁶ (1) Direct reduction of Eu^{3+} to Eu^{2+} under a ⁸⁰ reducing atmosphere is not realized in CaGdAlO₄ owing to the compression of Ca²⁺ sites; (2) Incorporation of Si⁴⁺-Ca²⁺ into the CaGdAlO₄ host to replace Al^{3+} -Gd³⁺ has been attempted to expand the activator site, then fulfill the reduction of Eu^{3+} to Eu^{2+} , because Si⁴⁺ has a smaller radius than Al³⁺ and Ca²⁺ replacing ⁸⁵ Gd³⁺ can achieve charge compensation in the whole structure; (3) Following this mechanism, $Ca_{0.99+x}Gd_{1-x}Al_{1-x}Si_xO_4$: Eu (x = 0-0.25) phosphors present tunable emission colors from coexistence luminescence of Eu²⁺ and Eu³⁺, which holds great promise for application in WLEDs.

The samples of Ca_{0.99+x}Gd_{1-x}Al_{1-x}Si_xO₄: Eu_{0.01} (x = 0-0.25) were prepared by conventional high temperature solid state reaction process under a reducing atmosphere of N₂ (90%) and H₂ (10%). Fig. 1a describes the results of Rietveld refinement for CaGdAlO₄: $> 0.01Eu^{3+}$ sample. The final refinement converged with weighted profiles of R_p = 2.34% and R_{wp} = 3.29%, indicating

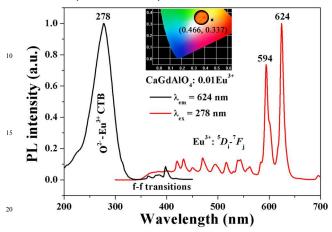


Fig. 2 The PLE and PL spectra of CaGdAlO₄: 0.01Eu³⁺ sample ($\lambda_{em} = 624$ nm, $\lambda_{ex} = 278$ nm) with corresponding photograph and CIE coordinates.

²⁵ a good quality of fit. As the crystallographic data shown in Table S1, CaGdAlO₄ belongs to tetragonal crystal system with space group *I*4/*mmm* (No. 139). The coordination numbers (CNs) for Ca^{2+}/Gd^{3+} and Al³⁺ are 9 and 6, respectively, which means there is only one site for Ca^{2+}/Gd^{3+} to occupy with the composition

 $_{30}$ ratio of 1:1. It is obvious that the (Ca/Gd)O₉ polyhedron is compactly surrounded by AlO₆ octahedrons to form an cage structure due to the rigid framework of CaGdAlO₄ as displayed in Fig. 1b.

- Fig. 2 presents the photoluminescence excitation (PLE) and ³⁵ emission (PL) spectra of CaGdAlO₄: $0.01Eu^{3+}$. Monitored at 624 nm, the PLE spectrum reveals a broad band in the range of 200-350 nm related to the charge transfer band (CTB) of O_{2p}-Eu₄₆, along with some weak peaks in the range of 350 to 450 nm due to the 4f-4f transitions of Eu³⁺. The characteristic line emissions
- ⁴⁰ under 278 nm excitation can be assigned to forced electric dipole transitions (${}^{5}D_{0}$ - ${}^{7}F_{J}$) of Eu³⁺, producing a red emission as the photograph and CIE coordinates shown inset of Fig. 2.^{17, 27} It can be confirmed that Eu³⁺ could not be directly reduced to Eu²⁺ in CaGdAlO₄ system under a reducing atmosphere. Considering the
- ⁴⁵ local environment of activators, the Ca²⁺ site is highly compressed due to the condensed packing of AlO₆ polyhedrons. Besides, Eu²⁺ (${}^9r = 1.30$ Å) has a larger size than Ca²⁺ (${}^9r = 1.18$ Å). Thus, it is obvious that geometry restriction is an important factor to determine the valence state of Eu in host lattice.^{17, 19}
- ⁵⁰ With the aim to breakdown the limitation, $Si^{4+}-Ca^{2+}$ has been incorporated into the CaGdAlO₄ host to replace $Al^{3+}-Gd^{3+}$, attempting to shrink the AlO₆ polyhedron, accompanied by the expansion of Ca²⁺ site, and then realize the reduction of Eu³⁺. Firstly, Rietveld refinement has been performed to reveal the
- ⁵⁵ formation of a single phase in Ca_{0.99+x}Gd_{1-x}Al_{1-x}Si_xO₄: Eu_{0.01} (CGASO: Eu, x = 0.05-0.25) as summarized in Fig. S1 and Table S2. The lattice parameters of intermediate compounds varied linearly with changing x (Fig. S2) obeying the Vegard's rule.¹⁷ In

addition, the comparison of the Raman spectra of the CGASO: ⁶⁰ Eu (x = 0, 0.10 and 0.25) samples also indicates that the crystal structure and phonon modes basically would not change with incorporation of Si⁴⁺-Ca²⁺ (Fig. S3). Furthermore, the (Al/Si)-O bond length decreases with increasing *x* in CGASO as presented in Fig. 3. Accordingly, the (Ca/Gd)-O bond length is further ⁶⁵ elongated due to contraction of the (Al/Si)O₆ octahedron,

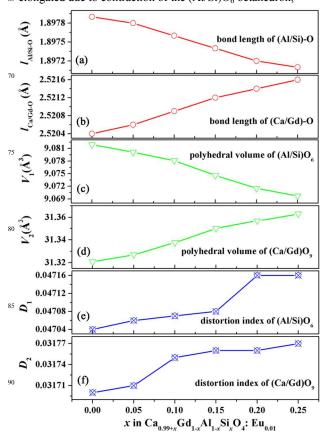


Fig. 3 The average bond length of (a) (Al/Si)-O, (b) (Ca/Gd)-O; the polyhedral volumes of (c) (Al/Si)O₆, (d) (Ca/Gd)O₉ and the related distortion index (e and f) in $Ca_{0.99+x}Gd_{1-x}Al_{1-x}Si_xO_4$: $Eu_{0.01}$ (x = 0-0.25) samples with changing x.

thus expanding the Ca²⁺ site. This is favorable for Eu³⁺ to be reduced to Eu²⁺. Fig. 3c-f show the changing polyhedral volume and distortion index as *x* increases.^{16, 28} Generally, the attractive force of the central cations towards the anions can be roughly evaluated by the ionic potential (φ), which can be calculated from $\varphi = Z/r$, where *Z* is the electric charge number of ion, and *r* is the ion radius (pm).²⁹ Once incorporating Si⁴⁺-Ca²⁺ to replace Al³⁺-¹⁰⁵ Gd³⁺, the attractive force of the Si⁴⁺-O²⁻ becomes stronger compared with Al³⁺-O²⁻, meanwhile, the attractive force of the Ca²⁺-O²⁻ becomes weaker compared with Gd³⁺-O²⁻. Thus, the geometry restriction on activators was released to some extent; as a result, a part of Eu³⁺ was successfully reduced to Eu²⁺ as the ¹¹⁰ scheme shown in Fig. 4.

Taking CGASO: Eu_{0.01} (x = 0.15) for example, the PLE and PL spectra were systematically studied as shown in Fig. S4. In the PLE spectrum monitored at 500 nm, a strong broad band appears around 200-450 nm with maximum at 335 nm. Accordingly, the ¹¹⁵ CGASO: Eu_{0.01} (x = 0.15) phosphor present a very broad symmetric emission band centred around 500 nm due to the

 $4f^{6}5d^{1}-4f^{7}$ transition of Eu^{2+} ions under the excitation of 335 nm.³⁰ As displayed in Fig. S4, a conspicuous overlap of PLE spectrum between Eu^{2+} and Eu^{3+} is found at about 300 nm. Thus,

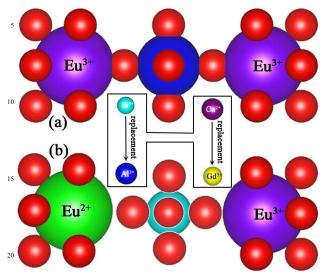
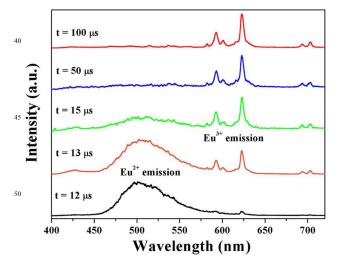


Fig. 4 Local structural coordination of Eu ions in the lattices of (a) CaGdAlO₄: 0.01Eu^{3+} , (b) Ca_{0.99+x}Gd_{1-x}Al_{1-x}Si_xO₄: Eu_{0.01} (x = 0-0.25) series. ²⁵ Breakdown the geometry restriction on the valence state of Eu at selected Ca²⁺ sites is proposed for the coexistence luminescence of Eu²⁺ and Eu³⁺.

efficient PL of Eu^{2+} and Eu^{3+} can be expected to occur simultaneously in CGASO host under the 300 nm excitation, which is confirmed by the PL spectrum (the blue line) shown in

³⁰ Fig. S4. In addition, the decay curves of Eu^{2+} and Eu^{3+} in CGASO: $Eu_{0.01}$ (x = 0.0.25) have been performed and the life times have been summarized in Table S3. Taking CGASO: $Eu_{0.01}$ (x = 0.15) sample for simplicity, the corresponding decay curves could be well fitted to single exponential functions as I = I₀exp(-

 $_{35}$ t/ τ) as presented in Fig. S5, from which the lifetimes of Eu²⁺ and Eu³⁺ were calculated to be 0.863 μ s and 1.11 ms, respectively, confirming the coexistence of Eu²⁺ and Eu³⁺ activators.



55 **Fig. 5** The corresponding time-resolved emission spectra of $Ca_{0.99+x}Gd_{1-x}Si_xO_4$: $Eu_{0.01}$ (x = 0.15) sample ($\lambda_{ex} = 300$ nm).

Furthermore, the Eu³⁺ luminescence can be distinctly separated from Eu²⁺ from kinetic perspective through time-resolved ⁶⁰ spectra.³¹ The emission spectra under short delay time (t = 12 µs) show a dominate band from Eu²⁺ (4f⁶5d¹-4f⁷ transition) as shown in Fig. 5. The stronger Eu³⁺ (⁵D₀ - ⁷F_{0,1,2} transition) emission can be observed by monitoring at a longer delay time (t = 100 µs) after laser excitation along with the disappearance of Eu²⁺ ⁶⁵ emission, demonstrating that two valence states, +2 and +3, are available for Eu ions. Thus, we can conclude that partial of Eu³⁺ was successfully reduced to Eu²⁺ in CGASO: Eu_{0.01} (x = 0.05-0.25) system.

Under the excitation with 300 nm, the PL spectra of CGASO: ⁷⁰ Eu_{0.01} (x = 0.0.25) present both green emission of Eu²⁺ (4f⁶5d¹- $4f^7$, broadband around 500 nm) and red emission of Eu³⁺ (${}^5D_0 - {}^7F_1$ 2, 594 and 624 nm) simultaneously, as shown in Fig. 6a. This result can be therefore attributed to that Eu³⁺ is partially reduced to Eu^{2+} in CGASO system with increasing x. Besides, the PL 75 intensity of Eu^{2+} increases with increasing x in CGASO system, suggesting that the reduction process becomes easily with the gradual introduction of Si⁴⁺-Ca^{2+,17} Due to the simultaneous presence of emission of Eu^{2+} and Eu^{3+} , the CIE coordinates of CGASO: $Eu_{0.01}$ (x = 0-0.25) upon 300 nm excitation are regularly so shifted from (0.424, 0.308) to (0.282, 0.430) with changing x as depicted in Fig. 6b, and the inset shows the corresponding luminescent photographs. Especially the CIE coordinates (x =0.372, y = 0.363) of CGASO: $Eu_{0.01}$ (x = 0.05) sample locate in white light zone, indicating the potential application for WLEDs. 85 Under excitation with 335 nm, the PL spectra of CGASO: Eu_{0.01} (x = 0.0.25) only present the broad band emission of Eu²⁻ producing a bright green emission with the CIE (0.184, 0.474) as the photograph shown inset of Fig. 6c. Similarly, the PLE intensity gradually increases with increasing x due to the ⁹⁰ increasing level of Eu²⁺ as shown in Fig. 6d. In addition, the QY have been listed in Table S3. Furthermore, the influence of doping concentration of Eu ions on the emission intensity of the obtained $Ca_{1+x-y}Gd_{1-x}Al_{1-x}Si_xO_4$: Eu_y (x = 0.25, y = 0.005-0.07) phosphor is displayed in Fig. S6. It is apparent that the optimum $_{95}$ doping concentration of Eu ions is y = 0.03 and the corresponding QY is 36.5% ($\lambda_{ex} = 335$ nm). Thus, this approach is promising because only a single activator, Eu, generates the multiband even a white light by optical combination of different valences of europium (Eu²⁺ and Eu³⁺ emission).

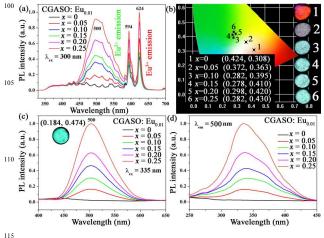


Fig. 6 The PL spectra (a) and CIE coordinates, photographs (b) of CGASO: Eu_{0.01} (x = 0-0.25) samples under excitation of 300 nm, (c, d) The PL and PLE spectra of CGASO: Eu_{0.01} (x = 0-0.25) samples ($\lambda_{ex} = 335$ nm and $\lambda_{em} = 500$ nm).

- ⁵ In summary, we presented a facile method to break down the geometry restriction on the valence state of Eu in CaGdAlO₄ through the replacement of $Al^{3+}-Gd^{3+}$ by Si⁴⁺-Ca²⁺. The Rietveld refinement analysis reveals that the activator site is expanded because the average bond lengths of Al-O and Ca-O are
- ¹⁰ systematically shortened and elongated simultaneously, which plays a critical role in the reduction of Eu³⁺ to Eu²⁺. This research reveals the correlations between structure and property of host lattice, which would facilitate the discovery of novel phosphors. Furthermore, the relative intensity of Eu²⁺ and Eu³⁺ could be
- ¹⁵ easily tuned through changing *x* in $Ca_{0.99+x}Gd_{1-x}Al_{1-x}Si_xO_4$: Eu_{0.01} (*x* = 0-0.25), producing tunable emission colors in a wide range including white light, indicative of the potential application in WLEDs.
- Financial support from the National Natural Science ²⁰ Foundation of China (Grants NSFC 51472234, 51202239, 51332008), the National Basic Research Program of China (Grants 2010CB327704) and the Joint Funds of the National Natural Science Foundation of China and Guangdong Province (Grant No. U1301242) are acknowledged.

25 Notes and references

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† Electronic Supplementary Information (ESI) available: See DOI: 10.1039/b000000x/

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³⁵

TOC Figure

The geometry restriction of crystallographic site on the valence state of Eu in CaGdAlO₄ has been overcome by the replacement of $Al^{3+}-Gd^{3+}$ by $Si^{4+}-Ca^{2+}$. Tunable colors originated from the ⁵ coexistence of Eu²⁺ and Eu³⁺ have been obtained in Ca_{0.99+x}Gd_{1-x}Al_{1-x}Si_xO₄: Eu_{0.01} (*x* = 0-0.25) system.

