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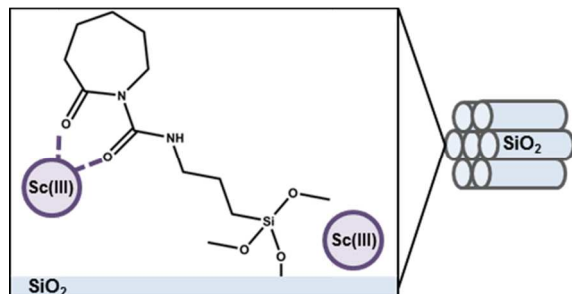
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Title: Analysis of Trivalent Cation Complexation to Functionalized Mesoporous Silica using Solid-State NMR Spectroscopy

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Table of Contents Entry:



The first comprehensive study of Al(III) and Sc(III) interactions with a novel hybrid material, N-[5-(trimethoxysilyl)-2-aza-1-oxopentyl]caprolactam functionalized mesoporous silica, was conducted using solid-state NMR spectroscopy.

1 Analysis of Trivalent Cation Complexation to Functionalized Mesoporous Silica using Solid-State NMR
2 Spectroscopy

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8 *Deceased

9

10 ABSTRACT

11

12 Functionalized mesoporous silica has applications in separations science, catalysis, and sensors. In this work, we
13 studied the fundamental interactions of trivalent cations with functionalized mesoporous silica. We contacted
14 trivalent cations of varying ionic radii with N-[5-(trimethoxysilyl)-2-aza-1-oxopentyl]caprolactam functionalized
15 mesoporous silica with the aim of probing the binding mechanism of the metal to the surface of the solid. We
16 studied the functionalized silica using solid-state nuclear magnetic resonance (NMR) spectroscopy before and
17 after contact with the metals of interest. We collected NMR spectra of the various metals, as well as of ²⁹Si and
18 ¹³C to probe the silica substrate and the ligand properties, respectively. The NMR spectra indicate that the
19 metals bind to the functionalized silica via two mechanisms. Aluminum sorbed to both the silica and the ligand,
20 but with different coordination for each. Scandium also sorbed to both the silica and the ligand, and unlike the
21 aluminum, had the same coordination number. Additionally, the functionalized silica was susceptible to acid
22 hydrolysis and two primary mechanisms of degradation were observed: detachment from the silica surface and
23 opening of the seven-membered ring in the ligand. Opening of the seven-membered ring may be beneficial in
24 that it decreases steric hindrance of the molecule for binding.

25

26 INTRODUCTION

27

28 Development of high-capacity solid-phase materials that selectively complex metals is necessary for the
29 advancement of chromatography resins, catalysts, sensors, and separation schemes for the treatment of spent
30 nuclear fuel. Currently, many large-scale metal separation techniques utilize liquid-liquid separations. Liquid-
31 liquid separations have the major disadvantage that they generate large volumes of hazardous organic waste. By
32 grafting selective ligands to the surface of a chemically robust high surface area solid support, selective and
33 efficient solid-phase metal extractants could be developed. Use of these materials for metal extractions would

34 reduce the production of hazardous organic waste and they could then be used to remove metals directly from
35 mineral acids. Additionally, by immobilizing ligands to solid supports, solid-phase metal extractants and solid
36 catalysts could be produced with the benefit of reusability.

37 While ligands have been grafted to silica for catalytic applications in the past, there has been minimal
38 characterization of the metal complexes formed.¹⁻³ There has been one X-ray absorption spectroscopy study of
39 the metal⁴ and nuclear magnetic resonance (NMR) spectroscopy studies characterizing the ligands bound to
40 metals^{5,6}, but no direct NMR characterization of the metals has been reported. The research presented here is
41 broadly aimed at understanding metal interactions with organically modified solid supports. By determining how
42 metals interact with these materials, improvements can be made in the development of separation and catalyst
43 materials.

44 This work focuses on organically-modified SBA-15 type mesoporous silica. SBA-15 type mesoporous
45 silica was chosen for this work because of its high surface area, chemical stability, tunable pore and particle size.
46 ⁷ By covalently bonding selective ligands to the surface of SBA-15, enhanced chemical stability and metal
47 capacity is expected relative to solid-phase metal extractants made by coating ligands on polymer or silica
48 supports. The ligand chosen for this work is a derivative of a carbamoyl acetamide (CA), and is expected to be
49 selective towards trivalent cations with large ionic radii ($>0.9 \text{ \AA}$). This ligand was selected because of its similarity
50 to a malonamide, which has been shown to successfully complex trivalent lanthanides for nuclear fuel cycle
51 application.⁸⁻¹⁶ We tested two smaller trivalent cations, Al ($0.39\text{-}0.535 \text{ \AA}$) and Sc ($0.745\text{-}0.870 \text{ \AA}$),¹⁷ to determine
52 if their ionic radius would impact how they bind to the functionalized mesoporous silica.

53 Earlier work on functionalized mesoporous silica focused mainly on the metal sorption experiments as
54 opposed to the binding mechanisms allowing for selectivity.¹⁸⁻²³ Additionally, the extent of degradation of
55 functionalized mesoporous silica was not thoroughly studied, which raises the question of whether the ligands
56 decomposed after acid contact. The goal of this work is to elucidate the fundamental interactions of two
57 trivalent cations with a CA-functionalized mesoporous silica using NMR spectroscopy. By using various NMR
58 spectroscopic techniques, a more thorough understanding of these interactions is possible. In this work, we

59 probed the metal, surface, and ligand nuclei to create a detailed picture of the chemistry involved with this
60 system. Despite the relatively large number of publications studying metal uptake on functionalized silica, NMR
61 has not been used to probe these metals directly. NMR has been used to probe metals in organometallic crystals
62 and in mineral environments of these same metals,^{24–30} but the work presented here is the first to examine the
63 binding mechanism of the metal to functionalized mesoporous silica using NMR of the metal nuclei.

64 We studied the binding behavior of two trivalent cations, Al and Sc to investigate the effect of cation size
65 on metal complexation. We performed all studies at low pH to ensure that hydrolysis products of the metals
66 were not present. The cations were chosen as representative metals because their NMR-active nuclei ²⁷Al and
67 ⁴⁵Sc are 100% naturally abundant, and easily observed directly. Additionally, the ligand and surface properties
68 were examined using ¹³C and ²⁹Si NMR, respectively, to determine if changes to either the ligand or surface
69 would be evident upon complexation with a metal.

70

71 **EXPERIMENTAL METHODS**

72

73 *Material Preparation*

74 Our method for synthesis of spherical particle SBA-15 type mesoporous silica with 8 nm pore diameter was
75 adapted from Katiyar et al.³¹ The “CA” ligand, N-[5-(trimethoxysilyl)-2-aza-1-oxopentyl]caprolactam (Gelest), was
76 grafted to the silica surface via toluene reflux using the method of Fryxell¹⁹ (Figure 1a). Methanol, water, and a
77 small fraction of the toluene were distilled at the end of the condensation. The functionalized silica (pristine
78 solid) was filtered, washed with 2-propanol, and air-dried. Final ligand density on the surface was 0.59 molecules
79 per nm² as measured by thermogravimetric analysis (TGA).

80

81 *Batch Sorption Experiments*

82 Stock solutions of 70 mM were prepared from the aluminum and scandium nitrate salts. Stock solutions had pH
83 values between 2.5 and 3.8. In polypropylene centrifuge cones, 40 mg of solid (either bare SBA-15 with 8 nm
84 pores or SBA-15 functionalized with the CA ligand) was combined with 11 mL of ultrapure water (18.0 MΩ·cm)
85 and , if necessary, acidified with 0.1 M nitric acid until the pH was less than 5.5. Samples were shaken and left to

86 pre-equilibrate for approximately 24 hours. After 24 hours, 1 mL of the appropriate metal nitrate stock solution
87 was added to the pre-equilibrated samples and acidified to pH 3.0 with 0.1 M nitric acid. The total metal ion
88 concentration was approximately 6 mM. Aliquots were removed from the supernatant of the samples every few
89 hours for solution-state NMR spectroscopy analysis. After 24 hours from the time of metal addition, the solid
90 was collected from the sample via suction filtration through a 0.22 μm filter, washed with ethanol, and allowed
91 to air dry overnight. A control sample was made by combining 40 mg of the CA functionalized silica with 12 mL
92 of pH 3 nitric acid, contacting for 24 hours, and collecting the solid via suction filtration. An additional
93 desorption on the Al-CA-SBA and Sc-CA-SBA samples was performed by contacting the solid with Milli-Q water
94 for 10 minutes prior to collecting the solid via suction filtration, washing with water then ethanol, and drying
95 overnight.

96
97 *NMR spectroscopy.*

98 The bulk of the NMR spectra were collected on a 300 MHz (7.5 T) Tecmag Apollo using a Bruker HX CP/MAS
99 probe configured for 4 mm (o.d.) rotors. A $^{29}\text{Si}\{^1\text{H}\}$ single pulse magic angle spinning (SP/MAS) spectrum was
100 collected on the pristine solid at a spinning rate of 10 kHz with a pulse delay of 120 s. The $^{29}\text{Si}\{^1\text{H}\}$ cross-
101 polarization magic angle spinning (CP/MAS) NMR spectra were collected on solid samples at a spinning rate of
102 10 KHz using 3 ms continuous wave (CW) polarization transfer and a 2 s pulse delay. The $^{13}\text{C}\{^1\text{H}\}$ CP/MAS NMR
103 spectra were collected at a spinning rate of 10 kHz and used a 1 ms ramped amplitude polarization transfer on
104 the ^{13}C channel, CW decoupling on the ^1H channel during acquisition, and a 2 s pulse delay. Operating
105 frequencies were 59.82, 75.73, and 301.13 MHz for ^{29}Si , ^{13}C , and ^1H , respectively, and spectra were referenced in
106 each case to tetramethylsilane (TMS). While not inherently quantitative, the CP/MAS spectra can be compared
107 between samples as they were collected under identical conditions for each respective nucleus. Additionally, it is
108 not expected that the CP kinetics will change due to reactions presented in this manuscript. ^{27}Al and ^{45}Sc NMR
109 were performed at operating frequencies of 78.46 and 73.15 MHz for ^{27}Al and ^{45}Sc , respectively. For aliquots of
110 supernatant solutions, 14.5 and 15.5 μs pulse widths (corresponding to a 90° tip angle) with recycle delays of 0.5
111 and 0.2 s were used for ^{27}Al and ^{45}Sc , respectively. To maintain consistency between measurements, the same

112 volume (65 μL) of solution was added to the 4 mm (o.d.) ZrO_2 rotor for each sample. The integrated peak
113 intensity for each sample was compared to that of a blank. The blank was prepared by combining 1 mL of the 70
114 mM metal nitrate stock solution with 11 mL of ultrapure water and acidifying to pH 3 with 0.1 M nitric acid. The
115 ^{27}Al and ^{45}Sc single pulse MAS spectra of solid samples were performed with same probe head using a spinning
116 rate of 10 kHz, and a pulse delay of 0.5 and 0.2 s for ^{27}Al and ^{45}Sc , respectively. Additionally, ^{45}Sc single pulse
117 MAS spectra of the solid samples were collected on a 500 MHz Bruker Avance spectrometer with a DOTY probe.
118 These were collected while spinning at 10 kHz with a pulse delay of 0.2 s. The spectra collected on the 500 MHz
119 spectrometer are presented in the supporting information. In each case short, 1 μs pulses were used to ensure
120 that quantitative results could be obtained and correspond to 6.2° and 5.8° tip angles for ^{27}Al and ^{45}Sc ,
121 respectively. The ^{27}Al and ^{45}Sc spectra were referenced to their respective 70 mM metal nitrate stock solution
122 ($\delta_{\text{Al}}=0.0$ ppm and $\delta_{\text{Sc}}=0.0$ ppm). All spectra were analyzed by fitting the peaks to pseudo-Voigt functions to
123 obtain integrated intensity and the chemical shift.

124 The $^1\text{H}\{^{45}\text{Sc}\}$ Transfer of Populations in Double Resonance (TRAPDOR)^{32,33} and ^1H Double Quantum (DQ)
125 correlation experiments were collected with a MAS rate of 50 kHz on a 600 MHz Avance III spectrometer
126 equipped with a Bruker Very Fast MAS probe configured for 1.3 mm o.d. rotors. The $^1\text{H}\{^{45}\text{Sc}\}$ TRAPDOR
127 experiment was collected as a set of two spectra where a spin-echo control spectrum (S_0) was collected in the
128 absence of ^{45}Sc irradiation, and a TRAPDOR spectrum (S) was collected where the ^{45}Sc channel was irradiated
129 with a 100 kHz dephasing pulse for 16 acquisitions each and a 10 s pulse delay using a 4.6 ms dephasing period
130 (230 rotor cycles). The ^1H DQ correlation experiment was collected using the Back to Back (BaBa) sequence.^{34,35}
131 A total of 128 spectra were collected in t_1 using the States-TPPI method for 64 acquisitions each at a 20 μs
132 increment corresponding to a spectral width of 50 kHz in the indirect dimension. ^1H spectra were all referenced
133 to an external standard of hydroxylapatite by setting the hydroxyl resonance to $\delta_{\text{H}} = 0.2$ ppm³⁶.

134 RESULTS

135 *Pre-conditioned solid*

136 Very few studies have examined the degradation of organically modified silica in the presence of acid. The state
137 of the functional layer of the silica after contact with acid is important, as it impacts the binding mechanism with
138 metals of interest as well as the lifetime of the material. A previous study on organically modified silica has
139 indicated slight degradation of these materials at the silane anchor in the presence of acid.³⁷ We used the results
140 from ²⁹Si and ¹³C NMR spectroscopy to investigate the mechanism of degradation for CA functionalized SBA-15 in
141 the presence of nitric acid. The ²⁹Si{¹H} CP/MAS NMR (Figure 2b) and ¹³C{¹H} CP/MAS NMR (Figure 3b) were
142 performed on the solid recovered after 24 hours of contact with pH 3 nitric acid (pre-conditioned solid) and
143 compared to the pristine functionalized material (Figures 2a and 3a, respectively). This experiment was done to
144 determine the extent of ligand degradation from pH 3 nitric acid prior to metal contact. In the ²⁹Si{¹H} CP/MAS
145 NMR spectrum, there are two groups of peaks: Q ($\delta_{\text{Si}} = -92$ to -112 ppm) and T ($\delta_{\text{Si}} = -50$ to -70 ppm) peaks, the
146 latter being silicon atoms bound to the ligand. Qⁿ and T^m peaks are defined as Si(OSi)_n(OH)_{4-n} and SiR(OSi)_m(OH)₃₋
147 _m, respectively, where R is a carbon chain. In the ²⁹Si{¹H} CP/MAS NMR spectrum of the pre-conditioned sample,
148 some T peaks remain and indicate that the acid treatment did not completely degrade the ligand-silane anchor
149 (Figure 2b). As the CP/MAS NMR spectra for the pristine and pre-conditioned samples were collected under
150 identical condition, the relative ratios of T¹ and T² can be compared to determine the relative change in
151 concentration of each of these species. This method was used as opposed to quantifying the T peaks of a single-
152 pulse (SP) experiment due to the minimal ligand surface coverage, resulting in very low T peak intensity even
153 after multiple days of collection (SI, Figure S1). The ratio of T¹ ($\delta_{\text{Si}} = -51$ ppm) to T² ($\delta_{\text{Si}} = -58$ ppm)³⁸ is lower (T¹:T²
154 is 4.1 and 0.36 for pristine and preconditioned, respectively) than the pristine solid prior to contact with acid.
155 The decrease in T¹ is not surprising as a T¹ linkage to the surface is the most hydrolysable of T¹, T², and T³.
156 However the extent of hydrolysis was more than expected at approximately 90% loss of T¹ peak intensity,
157 correlating to approximately the same loss in T¹ species on the surface under the aforementioned identical
158 collection conditions. Specifically, the T¹ species that hydrolyze are converted to Q³ species. The ¹³C{¹H} CP/MAS
159 NMR spectra look similar before and after contact with the pH 3 nitric acid, however, the peak at 48 ppm
160 decreases significantly after acid contact causing corresponding changes in peak intensities for the other -CH₂

161 groups. This peak is assigned to the carbon directly bound to the nitrogen in the seven-membered ring. The
162 decrease in the peak indicates that the ring opened in the presence of acid (Figure 1b). There is an increase in
163 intensity at 7 ppm resonance, which is indicative of the terminal $-\text{CH}_3$ produced by the opening of the ring.

164
165 *Metal Interactions with Bare SBA-15*

166 To understand the behavior of Al(III) and Sc(III) on functionalized SBA-15, we first characterized the interactions
167 of these metals with the bare SBA-15 surface. As the silica surface may not be coated in a perfect monolayer of
168 ligand based on the surface coverage compared to literature values,¹⁹ there are gaps between the ligand
169 molecules in which the metals can interact with the silica surface. The $^{29}\text{Si}\{^1\text{H}\}$ CP/MAS NMR spectrum (SI, Figure
170 S2a) of Al on SBA-15 (Al-SBA) indicated the presence of Q^4 , Q^3 , and Q^2 surface species. The relative ratios of each
171 of the Q peaks indicate that the Q^3 sites are the most prevalent surface species, which is expected in an
172 unfunctionalized silica material at this pH. The substitution of Al for a Si in the SBA-15 would result in a chemical
173 shift of between $\delta_{\text{Si}} = -97$ and $\delta_{\text{Si}} = -107$ ppm,³⁹ and would overlap with the Q^3 peak centered at $\delta_{\text{Si}} = -102$ ppm.
174 Based on the small amount of Al on the surface relative to the total number of surface Si sites, it is unlikely that
175 any change in the $^{29}\text{Si}\{^1\text{H}\}$ CP/MAS NMR spectrum would be observed from the pre-conditioned sample
176 compared to the Al-contacted sample.²⁵ Similar reasoning follows for why we would not expect to see a change
177 in the $^{29}\text{Si}\{^1\text{H}\}$ CP/MAS NMR spectrum for the Sc sorption on SBA-15 (Sc-SBA). The $^{29}\text{Si}\{^1\text{H}\}$ CP/MAS NMR
178 spectrum (SI, Figure S2b) of the Sc-SBA also contained Q^4 , Q^3 , and Q^2 peaks and is similar to that collected for Al-
179 SBA. The Al-SBA and Sc-SBA spectra do not differ significantly from one another or from acid treated silica that
180 has not been contact with a metal, following the expected behavior for low metal coverage.

181 The ^{27}Al SP/MAS NMR spectrum of Al-SBA (Figure 4a) has a broad asymmetric peak with a peak
182 maximum at approximately $\delta_{\text{Al}} = 50$ ppm and corresponds to Al in tetrahedral coordination. This result agrees
183 with the literature where Al was found to bind to the silanol groups on the silica surface in bidentate tetrahedral
184 complexes.^{24,25} As noted by Houston,²⁵ the width of this peak is indicative of a motion-constrained species which
185 supports a bidentate complex to the silica surface. The Al here is binding to the deprotonated silanol groups on
186 the silica surface forming an inner sphere complex. Additionally, a sharp peak near $\delta_{\text{Al}} = 0$ ppm is indicative of

187 $\text{Al}(\text{H}_2\text{O})_6^{3+}$ trapped in the pores of the silica. Nevertheless, approximately 88% of the Al bound to the solid had a
188 coordination number of 4 and was bound as an inner sphere complex to the surface.

189 The ^{45}Sc SP/MAS NMR spectrum (Figure 5a) of the Sc-SBA has a single, asymmetric peak with a peak
190 maximum at about $\delta_{\text{Sc}} = 46$ ppm and corresponds to that typical for a Sc coordination number of seven. The 7-
191 coordinated Sc observed here is unexpected given the well-ordered surface structure of the SBA-15. In well-
192 ordered environments Sc typical adopts a coordination of 6 or 8^{29,40-42}, but a 7-coordinated Sc is more typical of
193 a disordered local environment.^{27,28,41-43} Additionally, in aqueous systems at pH 3.5, Sc has been found to be
194 most stable in a 7-coordinate complex.⁴¹ The Sc has been assigned this coordination, however, due to the
195 measured chemical shift which is in the range expected for 7-coordinated species and far from the expected
196 chemical shifts of both the 6 and 8-coordinated species.²⁸ Unlike the Al-SBA solid spectrum, no peak
197 corresponding to aqueous $\text{Sc}(\text{NO}_3)_3$ was observed. We have not been able to identify what may cause retention
198 of the aqueous metal salt in some samples but not others.

199

200 *Metal Interaction with CA-SBA*

201 The interactions of Al(III) and Sc(III) as model trivalent cations with CA functionalized SBA (CA-SBA) were
202 examined by analyzing the solutions and solids after metal sorption. Initial analysis of these solids by ^{29}Si and ^{13}C
203 NMR indicate that the same mechanism of CA ligand degradation observed for the preconditioned solid occurs
204 in these systems as well (Figures 6 and 7). Insight into the binding of Al and Sc to the CA-SBA was gained from
205 the direct observation of the local Al and Sc environments using ^{27}Al and ^{45}Sc NMR spectroscopy, respectively.
206 The solution ^{27}Al NMR measurements of the aqueous aliquots removed during the Al sorption experiments
207 indicated that approximately 35% of the Al is bound to the functionalized silica surface after one day of metal
208 contact time (SI, Figure S3). An analogous measurement of ^{45}Sc on the solution phase from the Sc sorption
209 experiment indicated that approximately 49% of the Sc in solution sorbed to the solid (SI, Figure S4). While the
210 resonance in the solution phase of the Sc sorption experiment was shifted by -1 ppm relative to the Sc blank,
211 the sorption can still be determined from the integrated peak intensity, as the shift only indicates a change in

212 local environment for the Sc. Based on the post-functionalization surface area of the CA-SBA material and the
213 initial 6 mM Al and Sc concentrations for each of these samples, the metal ion coverages were 1.3 and 1.8
214 $\mu\text{mol}/\text{m}^2$ for Al and Sc, respectively.

215 The ^{27}Al SP/MAS NMR spectrum (Figure 4b) of aluminum sorbed to the CA-SBA-15 (Al-CA-SBA) contains
216 two distinct peaks with peak maxima at $\delta_{\text{Al}} = 10$ ppm and $\delta_{\text{Al}} = 48.5$ ppm that correspond to Al in octahedral and
217 tetrahedral coordination, respectively. Additionally, there is a sharp peak at $\delta_{\text{Al}} = 0$ ppm that is due to aqueous
218 $\text{Al}(\text{H}_2\text{O})_6^{3+}$ trapped in the pore structure, such as observed in the Al-SBA sample. Based on the Al-SBA results, the
219 tetrahedral Al is again assigned to Al sorbed directly to the silica surface. Given its absence in the Al-SBA sample,
220 the octahedral Al represents complexation with the ligand carbonyls. About 46% of the sorbed Al is 4-
221 coordinate compared to the 88% observed for the Al-SBA sample and 49% is now represented by a 6-coordinate
222 species. The remaining 12% and 5% of Al in the Al-SBA and Al-CA-SBA, respectively, are from the $\text{Al}(\text{H}_2\text{O})_6^{3+}$
223 species. If the aluminum had precipitated as a hydroxide, the chemical shift would be higher than that assigned
224 to the octahedral peaks.⁴⁴ Additionally, the concentration and pH used for these studies limits Al-hydroxide
225 formation.²⁴ Based on this spectrum and the total amount of sorbed Al, a 1:1 metal ligand coordination for the
226 octahedral Al is likely with the remaining space in the coordination sphere occupied by water molecules.

227 A desorption experiment was performed on the Al-CA-SBA solid to determine the reversibility of the
228 sorption reactions. The ^{27}Al SP/MAS NMR spectrum collected of the desorbed sample (Figure 8) indicates a near
229 complete loss of the peaks that had been present prior to water contact to the point where instrument noise
230 overwhelms any ^{27}Al signal. The lack of Al signal in this sample indicates that brief contact (10 min) with water
231 was sufficient to desorb the Al. Based on Al speciation,²⁴ we expect that at the pH of Milli Q water, Al
232 precipitates as $\text{Al}(\text{OH})_3$ which is subsequently washed off of the surface. This result indicates that the Al sorption
233 is reversible which is necessary for any materials used with chromatographic applications.

234 The ^{45}Sc SP/MAS NMR spectrum (Figure 5b) of the Sc sorbed onto the CA functionalized silica (Sc-CA-
235 SBA) has a single, broad asymmetric peak with the peak maximum located at approximately $\delta_{\text{Sc}} = 36$ ppm and
236 again corresponds to that expected for a 7-coordinated Sc species.^{28,45} We postulate that the Sc could adopt a

237 distorted capped trigonal prism geometry similar to that proposed before for Sc compounds with bidentate
238 oxygen-donor ligands.²⁷ In the spectrum collected on the 300 MHz spectrometer, the broad peak could
239 encompass a small shoulder centered at $\delta_{\text{Sc}} = -20$ ppm, corresponding to 8-coordinated Sc. To determine
240 whether a resonance at $\delta_{\text{Sc}} = -20$ ppm was present or whether the broadening of the peak to this range of
241 chemical shifts was due to the impact of the ligands on the electric field gradient, we measured the sample on a
242 500 MHz spectrometer (SI, Figures S5, S6). In this spectrum, it is clear that there is no peak at that chemical
243 shift, and thus the presence of 8-coordinated Sc has been ruled out. Despite Sc having the same coordination
244 number for both the Sc-SBA and the Sc-CA-SBA samples, the spectra were still unique in that the Sc-CA-SBA has
245 a much broader peak indicating a change in local coordination environment for the Sc nuclei in the Sc-CA-SBA
246 sample. As the only difference between these two samples is the presence of the CA ligand in the Sc-CA-SBA
247 sample, we assign this difference in coordination environment to Sc interacting with the CA ligand.

248 To further probe the Sc interactions with the CA-SBA material, ¹H spectra were collected for both the
249 pre-conditioned (Figure 9a) and the Sc-CA-SBA (Figure 9b) samples. In comparing these two spectra, a peak
250 unique to the Sc-CA-SBA sample is observed at 4.3 ppm that we attribute to the presence of inner sphere
251 waters on the Sc ion. This water is associated with Sc and not simply relic physisorbed water on the bare surface
252 as evidenced by the lack of a peak at this chemical shift for the preconditioned sample. We attribute the 3.9
253 ppm peak in the preconditioned sample to isolated silanols on the silica surface,⁴⁶ however, an additional
254 contribution to this resonance may be the rapid exchange of water with the silanols.⁴⁷ The peak at 4.3 ppm is a
255 new peak that is broader due to overlap with the 3.9 ppm peak present in both samples. As with the
256 preconditioned sample, the additional waters due to hydration of the Sc in the Sc-CA-SBA sample, are also likely
257 in rapid exchange with the surface.⁴⁷ We assign the remaining chemical shifts of the Sc-CA-SBA ¹H spectrum
258 based on a ring opened molecule. The resonances of the -CH₂ protons adjacent to the nitrogen and farther from
259 the nitrogen are expected to be $\delta_{\text{H}} = 3-4$ ppm and $\delta_{\text{H}} = 0.5-2.5$ ppm, respectively. Protons on the surface silanols
260 are expected to have a shift of $\delta_{\text{H}} = 5-6.5$ ppm.

261 In order to determine the association of the proton species with the sorbed Sc we performed $^1\text{H}\{^{45}\text{Sc}\}$
262 TRAPDOR NMR spectroscopy on the Sc-CA-SBA sample (Figure 10). The experiment proceeds by first collecting a
263 ^1H spin echo 'control' spectrum (Figure 10a) that contains the unmodified intensity of all the ^1H species present
264 in the sample. This 'control' spectrum is compared to that of the $^1\text{H}\{^{45}\text{Sc}\}$ TRAPDOR experiment (Figure 10b)
265 that presents a loss in signal for all ^1H species that are coupled to ^{45}Sc in the sample. This comparison allows us
266 to determine which protons in the solid are associated with the Sc. We observe a decrease in the intensity of
267 the proton resonances from $\delta_{\text{H}} = 4.3\text{-}7.5$ ppm as well as that at $\delta_{\text{H}} = 4.0$ ppm in the TRAPDOR spectrum
268 compared to the control. This result indicates that the Sc is correlated strongly with the surface silanols and the
269 water molecules on the Sc molecule, further confirming their presence in the inner sphere of the Sc atom. Based
270 on the structure of the ligand, the metal cation can only coordinate to the carbonyl oxygens. In this
271 conformation, the next nearest ligand proton would reside at a minimum of about 3 Å from the Sc, which is too
272 distant for the correlations observed in this TRAPDOR experiment.

273 The results of the TRAPDOR experiment only provide information that the Sc is interacting with the
274 surface of the CA-SBA, and further experiments utilizing ^1H DQ correlation experiments can be used to further
275 constrain the structure of the coordinating ligand and to determine if it interacts with the surface silanol
276 protons. These results produce a map of the through-space correlations between proton species (Figure 11). At
277 the short mixing time used, the chemical shifts in the DQ dimension occur at the linear combination of the
278 single quantum chemical shifts, and represent only the closest associations between the two ^1H species.

279 The results clearly show strong associations between the $-\text{CH}_2$ groups on the chains but additional
280 contours in the DQ dimension at 13.2 and 8.3 ppm reveal unique interactions of the amide and silanol
281 functional groups, respectively. The DQ correlation at 13.2 ppm results from a correlation between the amide
282 and its next adjacent proton at $\delta_{\text{H}} = 9.5$ ppm and $\delta_{\text{H}} = 3.7$ ppm, respectively. This result illustrates that for such
283 correlations to occur these protons must be in close association (< 3.5 Å)⁴⁸ with one another. The DQ shift of δ_{H}
284 = 8.3 ppm results from a close association between the protons represented by SQ shifts of $\delta_{\text{H}} = 6.7$ ppm and
285 $\delta_{\text{H}} = 1.5$ ppm. We assign this correlation to that between the surface silanols (6.7 ppm) and a ligand $-\text{CH}_2$ group

286 $\delta_{\text{H}} = 1.5$ ppm, indicating that the ring opened ligand is bent towards the surface near the silanols, therefore also
287 interacting with the Sc associated with the surface. Similar ligand interactions with a silica surface were
288 previously observed with molecules terminating in trimethylamine.⁴⁷

289 Based on the combined results from the SP/MAS, TRAPDOR, and DQ correlation experiment, we can
290 propose a mechanism of Sc(III) interaction with the CA-SBA material. The Sc(III) appears to be simultaneously
291 coordinating with the silanols on the SBA-15 surface and the carbonyls of the CA ligand. Based on the bidentate
292 CA ligand occupying two sites on the coordination sphere of the Sc, and the silanols occupying two to three
293 additional sites, there are most likely 2-3 waters in the inner coordination sphere of each Sc ion. The width of
294 the ⁴⁵Sc SP/MAS resonance, the decrease in proton intensity at $\delta_{\text{H}} = 4.3-7.5$ ppm and $\delta_{\text{H}} = 4$ ppm in the
295 TRAPDOR experiment, and the correlation between the silanol protons and the ligand $-\text{CH}_2$ protons in the DQ
296 experiment combine to support that Sc(III) is coordinated with the ligand, silanols, and water molecules
297 simultaneously.

298 A desorption experiment on the Sc-CA-SBA sample analogous to that performed on the Al-CA-SBA
299 sample was carried out. A ⁴⁵Sc SP/MAS NMR spectrum was collected on the desorbed sample (d-Sc-CA-SBA,
300 Figure 12b) and no change was observed relative to that of the Sc-CA-SBA (Figure 12a). We believe that this is
301 because the Sc is already hydrolyzed during the initial sorption to the CA-SBA at pH 3. Above pH 2, hydrolysis of
302 Sc has been observed, and at the concentrations of Sc used in this experiment, precipitation of ScOOH (s) is
303 expected above pH 3.8.⁴⁹ However, based on the results of the ⁴⁵Sc spectrum, it is clear that there is still a
304 substantial amount of Sc if not all of it remaining on the CA-SBA. Based on this result, within the timescale of
305 this desorption experiment, Sc interactions with the ligand and the silanols are favored over precipitation.

306 DISCUSSION

307

308 These results indicate the CA functionalized SBA-15, is not completely stable in aqueous environments and that
309 even in the presence of dilute (pH 3) nitric acid significant degradation of the ligand occurs. The results from the
310 ¹³C NMR indicate opening of the seven-membered ring on the ligands that remain attached to the silica. This
311 mechanism allows the ligand to obtain a less sterically-hindered conformation for complexing metals. However,

312 the resulting ligand may then be susceptible to further acid degradation and the rate of diffusion of metals
313 through the pores may decrease if the chain is long enough to clog pores. We also observed that occasionally
314 complete detachment of the ligand can occur at the silane anchor on the silica surface, as evident by the ^{29}Si
315 spectra. However, this partial retention of the ligand on the surface allows us to postulate the coordination
316 environment of the metal complex. The observed ligand degradation in pH 3 nitric acid suggests that it is
317 important to examine the characteristics of functionalized materials both before and after contact with acid as
318 the actual pre-conditioned surface that contacts the metal may be different than the pristine material.

319 The behavior of Al and Sc on the CA-SBA and on the bare SBA differed in their coordination. Al(III)
320 showed two different types of coordination when contacted with the CA-SBA, however, only one type of
321 coordination on the bare SBA-15. This is indicative that one of the two sites present on the functionalized
322 material is the Al(III) bound to the silica surface and the other interacted with the ligand. The Sc(III), however,
323 primarily binds with a coordination number of seven both for the bare SBA-15 and the functionalized SBA-15.
324 The functionalized sample, however, has a broader ^{45}Sc peak which we attribute to a more disordered structure
325 caused by Sc coordination with the ligand. We confirmed that the Sc was complexing with the surface silanols
326 and water molecules using $^1\text{H}\{^{45}\text{Sc}\}$ TRAPDOR NMR spectroscopy supported by a ^1H DQ correlation experiment.
327 Through the desorption experiment with Al (III) on CA functionalized SBA-15, we determined that Al can be
328 easily desorbed from the functionalized silica. The ability to desorb a species is important for any material that
329 will be used as an extraction chromatography material. Unlike Al however, Sc could not be desorbed from CA-
330 SBA under the same conditions. This means that under these conditions, if Al and Sc were both sorbed to CA-
331 SBA, Al could be selectively removed, isolating Al from Sc.

332 In the absence of the detailed characterization we have presented macroscopic batch metal sorption
333 experiments to functionalized solids cannot differentiate between metal sorbed to ligand sites or residual
334 surface sites. The difference between an interaction with the surface versus with the ligand, however, can have
335 major implications for the efficacy of the materials in either chromatography or catalysis applications. In the case
336 of the CA ligand that we are discussing here, the metal interacts with the ligand via the carbonyls in a

337 coordination complex. If, however, the cations sorb to the surface, they either form ionic bonds with
338 deprotonated silanols or interact via Van der Waals forces with the lone pairs on the silanol oxygen. The strength
339 of these interactions cannot be postulated based on the results presented here but, the difference will likely
340 affect a potential separation or catalyst application. Here we have shown that these cations can form multiple
341 types of complexes with a material, and it likely that each complex will have different stability constants and, in
342 turn, will behave like multiple distinct species in a single separation. At low pH values, metal-silanol interactions
343 are often assumed to be minimal due to the metal speciation and protonated state of the surface. We see from
344 the results presented here that these interactions cannot be ignored as they are a predominant mechanism in
345 the system.

346 Multinuclear solid-state NMR provided unique insights into the interaction of metal nuclei with an
347 organically modified silica surface. Based on these results, however, we also determined that the CA ligand may
348 not be the most suitable for the desired applications. Beyond the immediately problematic issue of ligand
349 degradation, the observation that the Sc(III) and Al(III) interact with both the silica surface and the ligand is also
350 problematic because this limits our ability to tune the material selectivity via ligand characteristics. Ideally, the
351 organically-modified silica would have a ligand that forms a strong enough complex with the metal ion that the
352 interactions with the silica surface are negligible. Future work towards improving upon this material will
353 incorporate ligands that complex the metal more strongly and are less susceptible to acid hydrolysis.

354
355 **CONCLUSION**

356
357 Al(III) and Sc(III) sorb to N-[5-(trimethoxysilyl)-2-aza-1-oxopentyl]caprolactam functionalized mesoporous silica
358 via interactions with both the surface and the ligand. The 7-membered ring opens during the acid pre-
359 conditioning, making it a less sterically hindered molecule. By probing not only the metal nuclei, but also carbon
360 from the ligand, and silicon from the surface, we obtained a better understanding of how metals complex to this
361 functionalized silica. While Al(III) and Sc(III) interact with the surface and the ligand, Al changes coordination
362 number based on whether it is complexing with the ligand, while Sc maintains the same overall coordination
363 number in both cases. While understanding the quantity of metals sorbed to these materials is important, we

364 must also examine the binding mechanisms and fundamental chemistry to most effectively develop new
365 materials. NMR spectroscopy has proved to be a useful tool for studying these mechanisms.

366

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368

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376 **FIGURES**

377

378 Figure 1. a) N-[5-(trimethoxysilyl)-2-aza-1-oxopentyl]caprolactam grafted to silica and b) ring-opened structure
379 | occurring after contact with pH 3 nitric acid.

380

381 Figure 2. $^{29}\text{Si}\{^1\text{H}\}$ CP/MAS NMR spectra for CA functionalized SBA-15 a) pristine solid (CA-SBA) and b) pre-
382 | conditioned solid (p-CA-SBA). The resonances for the bulk silicon atoms are the Q peaks (Q^2 , Q^3 , and Q^4 have
383 shifts of $\delta_{\text{Si}} = -93$, -97 , and -107 ppm, respectively) and for the surface silicon atoms are the T peaks (T^1 , T^2 , and T^3
384 have shifts of $\delta_{\text{Si}} = -51$, -58 , and -66 ppm, respectively).

385

386 Figure 3. $^{13}\text{C}\{^1\text{H}\}$ CP/MAS NMR spectra for CA functionalized SBA-15 a) pristine solid (CA-SBA) and b) pre-
387 | conditioned solid (p-CA-SBA). Dashed line highlights the 48 ppm resonance in the CA-SBA spectrum that is nearly
388 absent in the p-CA-SBA spectrum, indicating ring-opening.

389

390 Figure 4. ^{27}Al SP/MAS NMR spectra of solids from Al sorption to a) bare SBA-15 (Al-SBA) and b) CA functionalized
391 | SBA-15 (Al-CA-SBA).

392

393 Figure 5. ^{45}Sc SP/MAS NMR spectra of solids from a) Sc sorption to bare SBA-15 (Sc-SBA) and b) CA functionalized
394 | SBA-15 (Sc-CA-SBA) collected on 300 MHz spectrometer. The chemical shifts for 6, 7, and 8-coordinated Sc
395 occur in the ranges of $\delta_{\text{Sc}} = 100$ to 160 ppm, $\delta_{\text{Sc}} = 10$ to 70 ppm, and $\delta_{\text{Sc}} = -10$ to -50 ppm, respectively.²⁸

396

397 Figure 6. $^{29}\text{Si}\{^1\text{H}\}$ CP/MAS NMR spectra for solids from a) pre-conditioned CA functionalized SBA-15 (p-CA-SBA),
398 | b) Al sorption to CA functionalized SBA-15 (Al-CA-SBA), and c) Sc sorption to CA functionalized SBA-15 (Sc-CA-
399 SBA).

400

401 Figure 7. $^{13}\text{C}\{^1\text{H}\}$ CP/MAS NMR spectra for solids from a) pre-conditioned CA functionalized SBA-15 (p-CA-SBA),
402 | b) Al sorption to CA functionalized SBA-15 (Al-CA-SBA), and c) Sc sorption to CA functionalized SBA-15 (Sc-CA-
403 SBA).

404

405 Figure 8. ^{27}Al SP/MAS NMR spectra of solids from Al sorption to CA functionalized SBA-15 a) before (Al-CA-SBA)
406 | and b) after contact with water for desorption (d-Al-CA-SBA).

407

408 Figure 9. ^1H SP/MAS NMR spectra of a) pre-conditioned CA functionalized SBA-15 (p-CA-SBA) and b) solid from
409 | Sc sorption to CA functionalized SBA-15 (Sc-CA-SBA). The dotted line highlights the H_2O peak present in the Sc-
410 CA-SBA sample and absent in the p-CA-SBA sample. The dashed line highlights that the $-\text{CH}_2$ groups have not
411 shifted.

412

413 Figure 10. $^1\text{H}\{^{45}\text{Sc}\}$ a) control (black) and b) TRAPDOR (red) spectra of solids from Sc sorption to CA
414 | functionalized SBA-15.

415

416 Figure 11. ^1H DQ correlation spectra of solids from Sc sorption to CA functionalized SBA-15. Positions of the 13.2
417 | and 8.3 ppm DQ cross peaks are highlighted in red circles. ^1H chemical shift relative to external standard
418 hydroxylapatite with the hydroxyl resonance at 0.2 ppm.

419

420 Figure 12. ^{45}Sc SP/MAS NMR spectra of solids from Sc sorption to CA functionalized SBA-15 a) before (Sc-CA-
421 | SBA) and b) after contact with water for desorption (d-Sc-CA-SBA). Spectra collected on 500 MHz spectrometer.

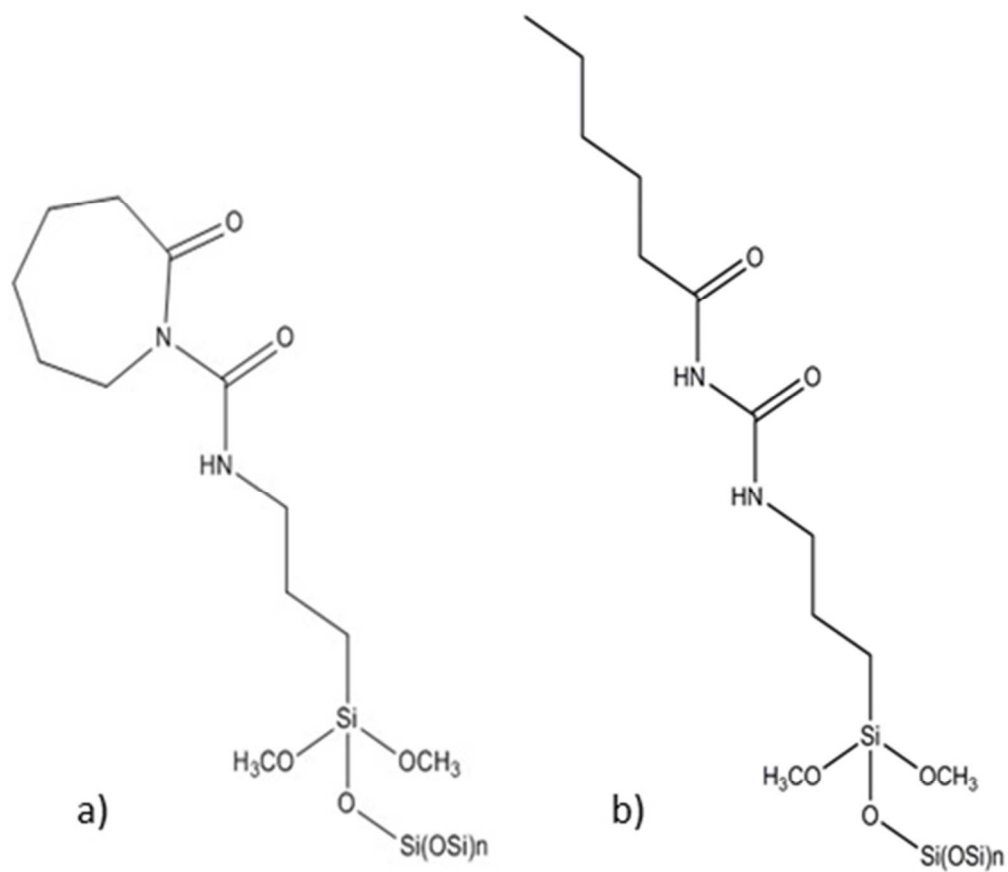
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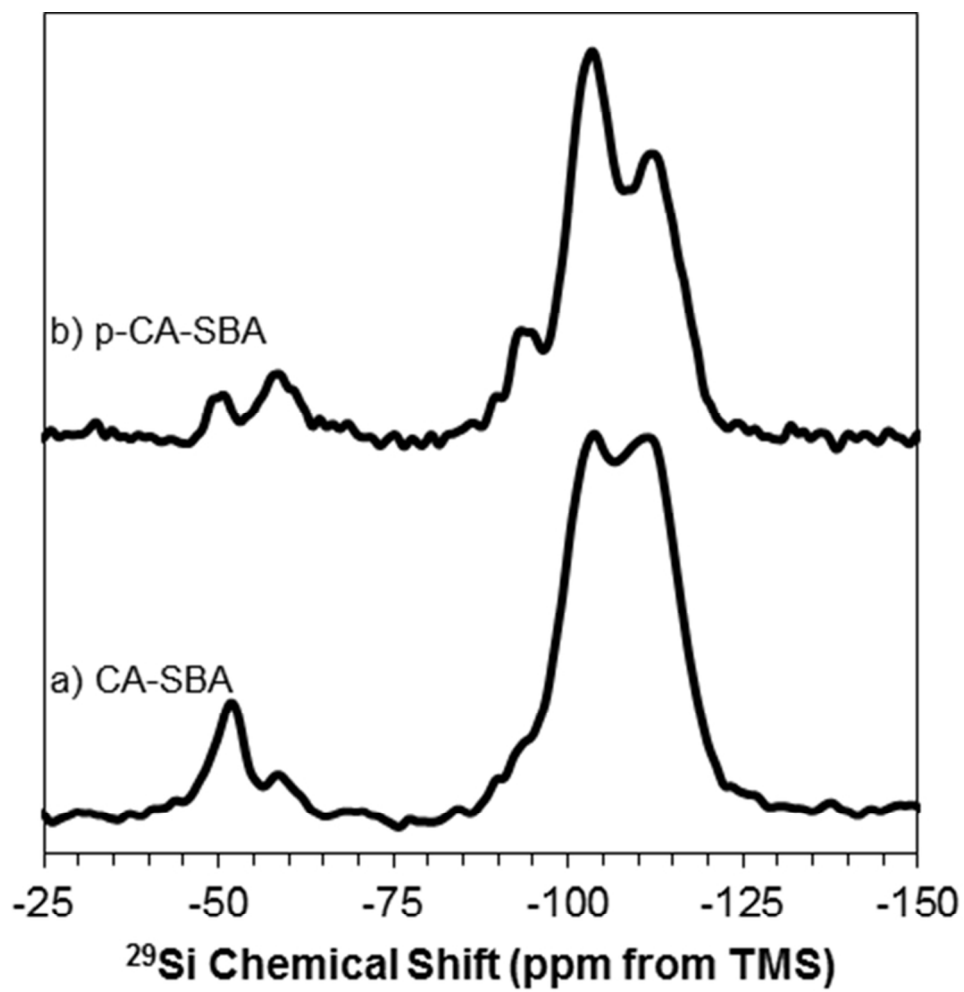
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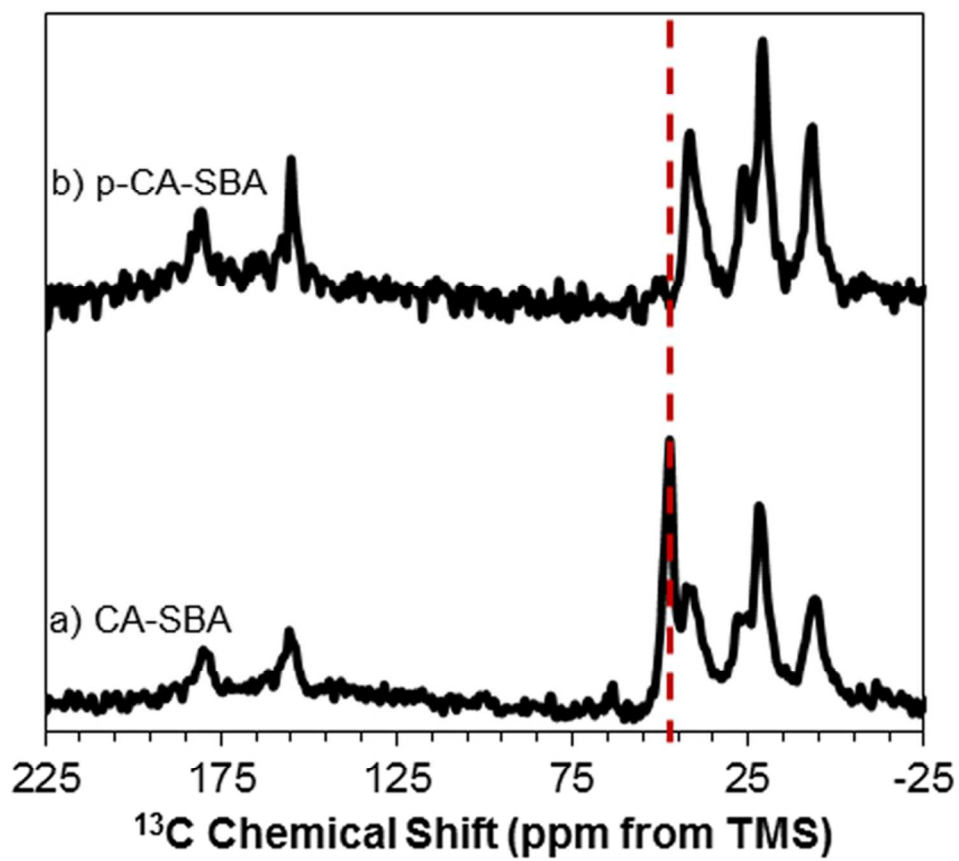
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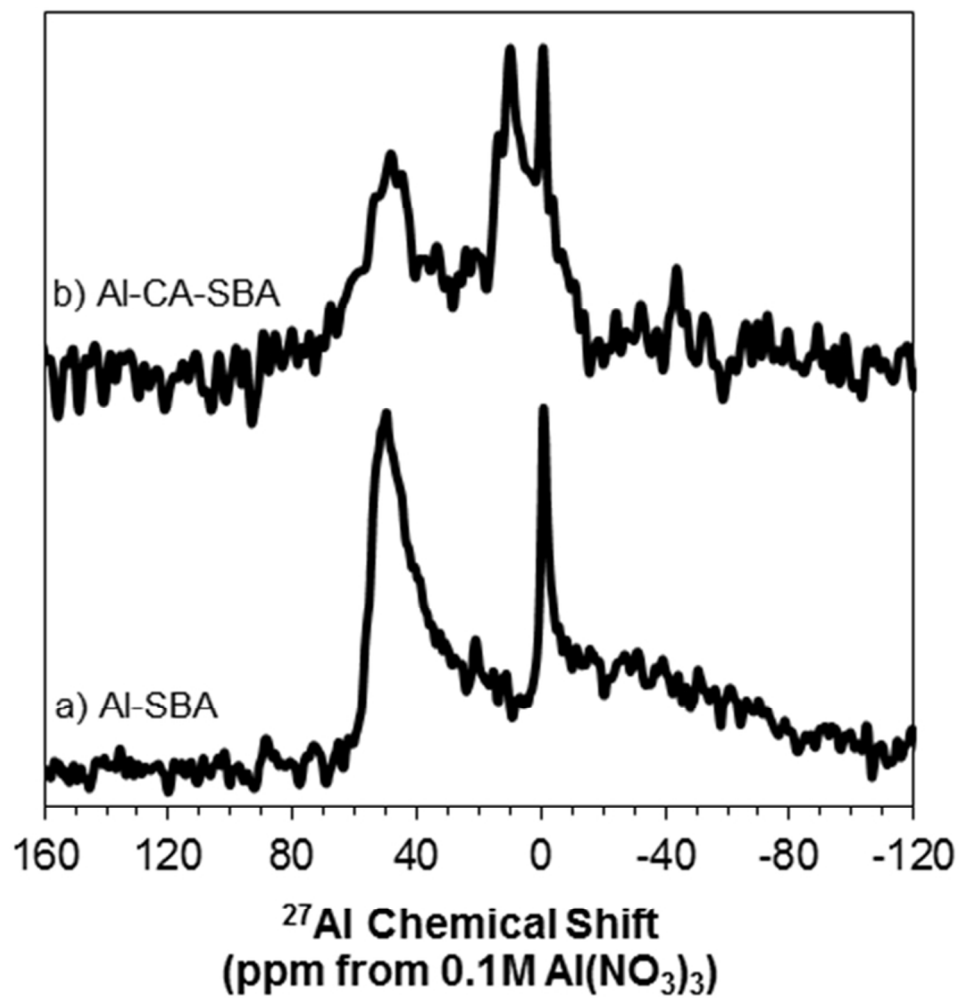
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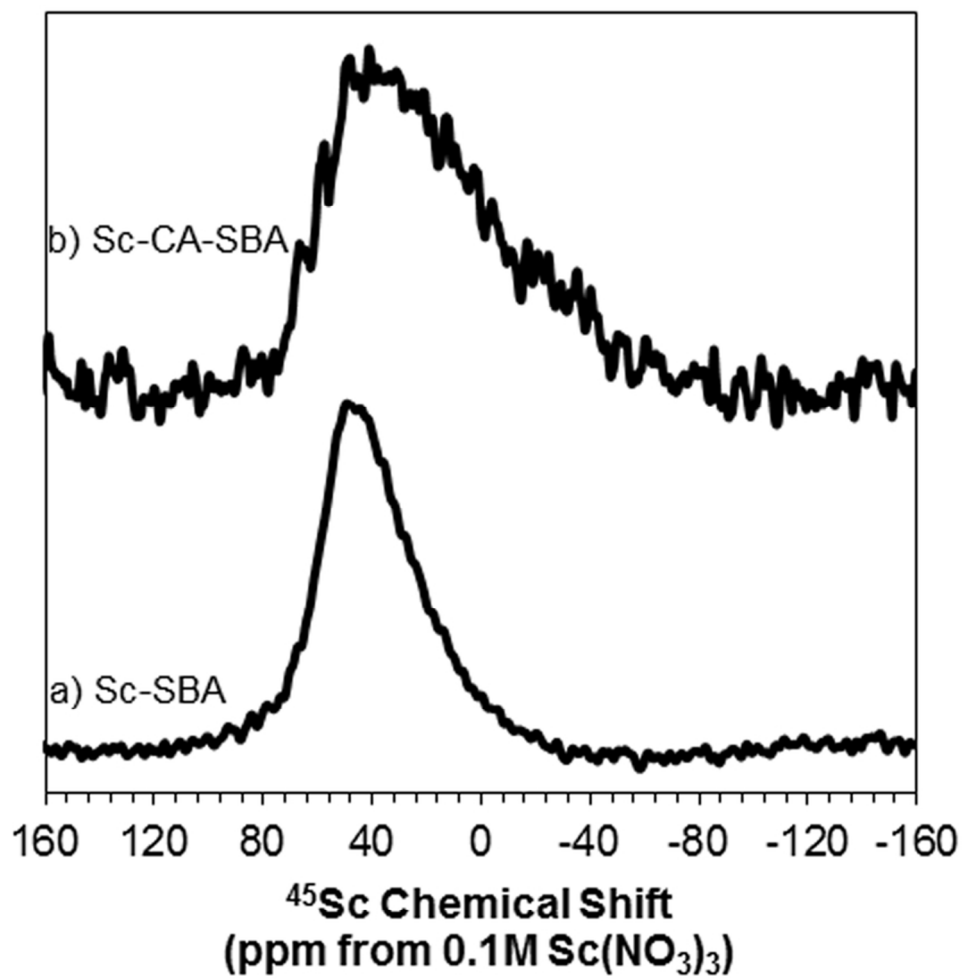
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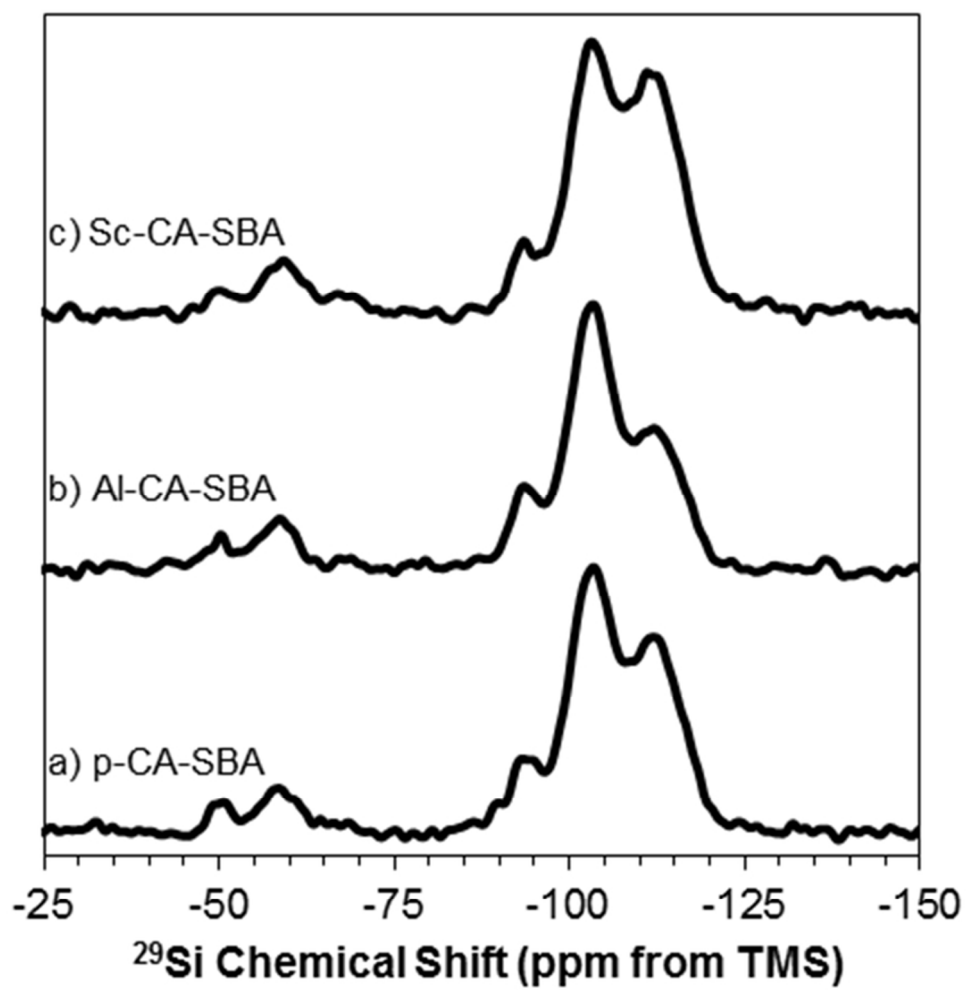
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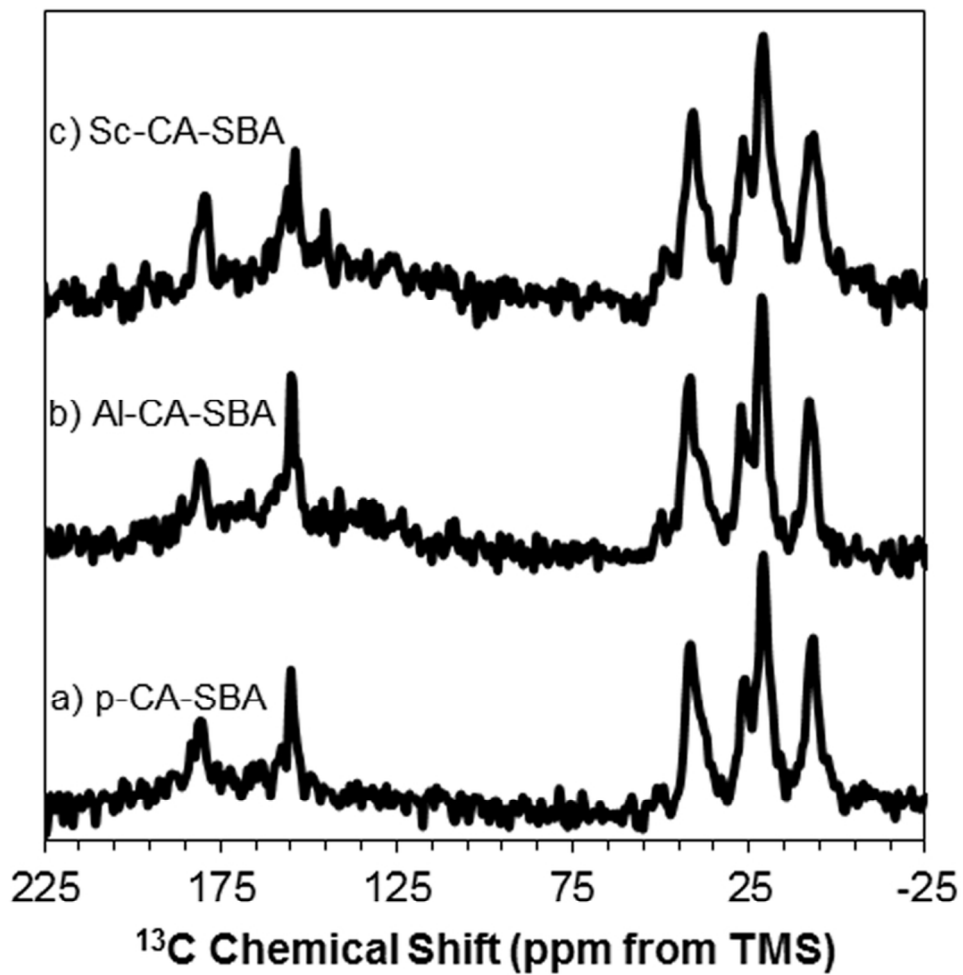
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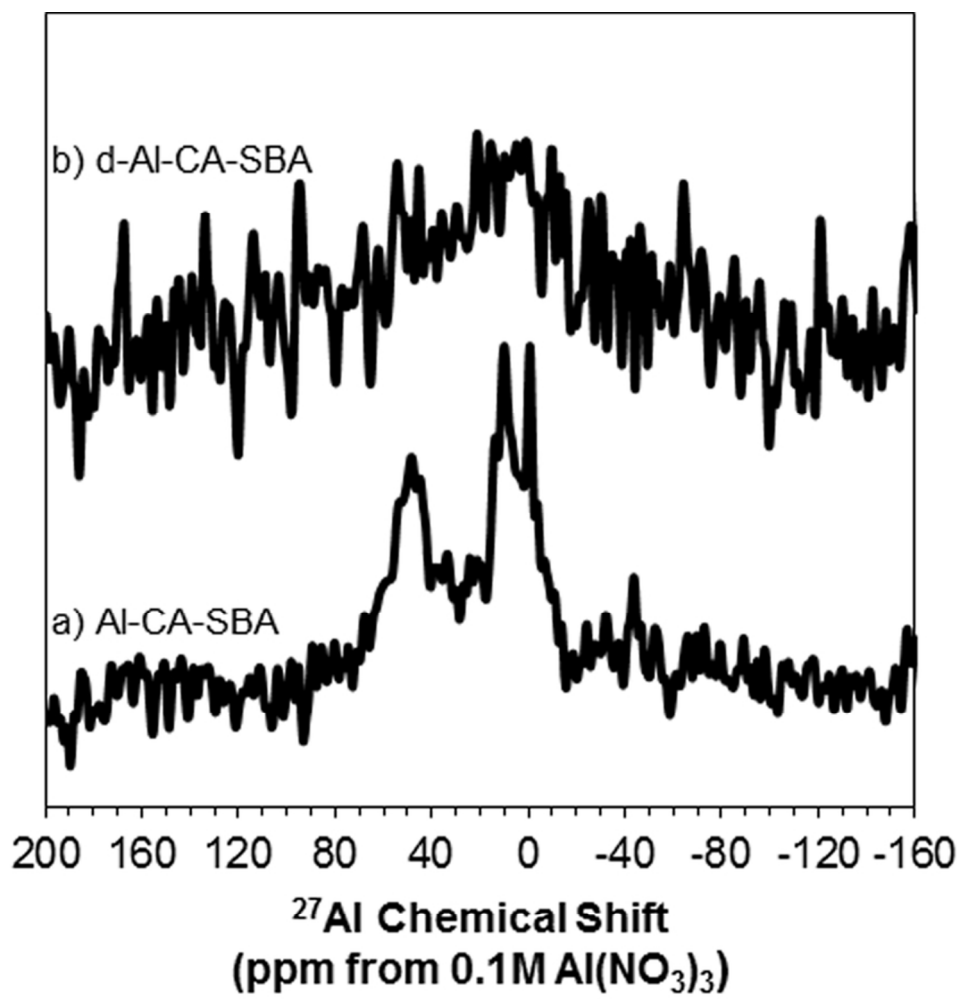
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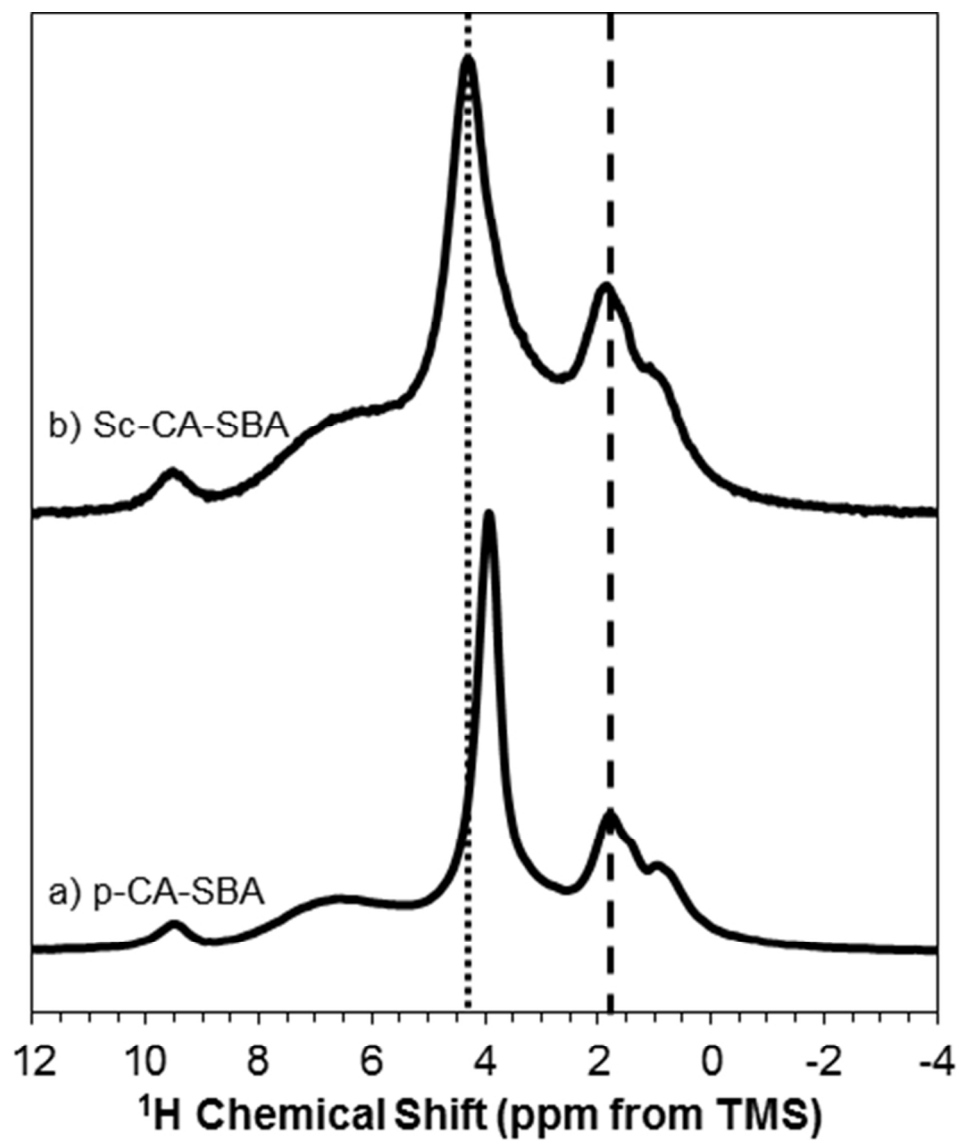
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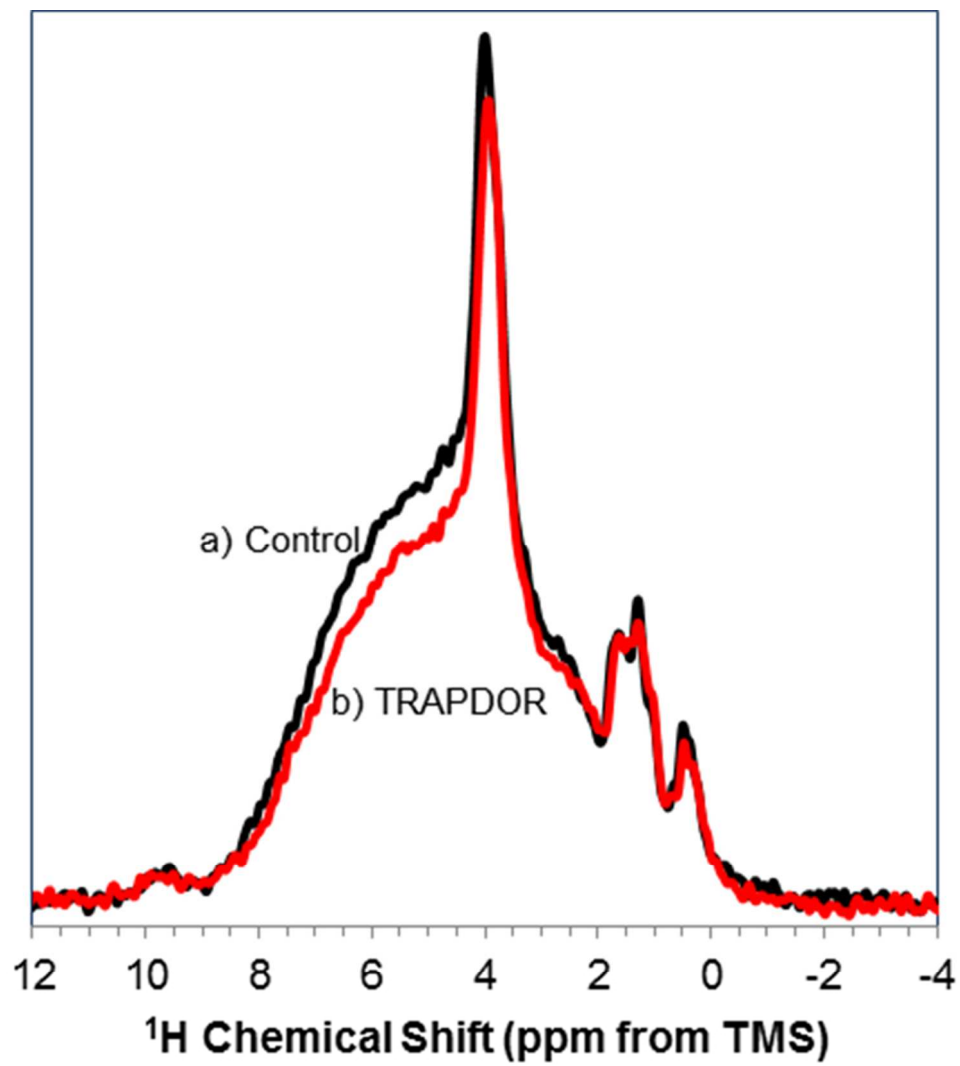
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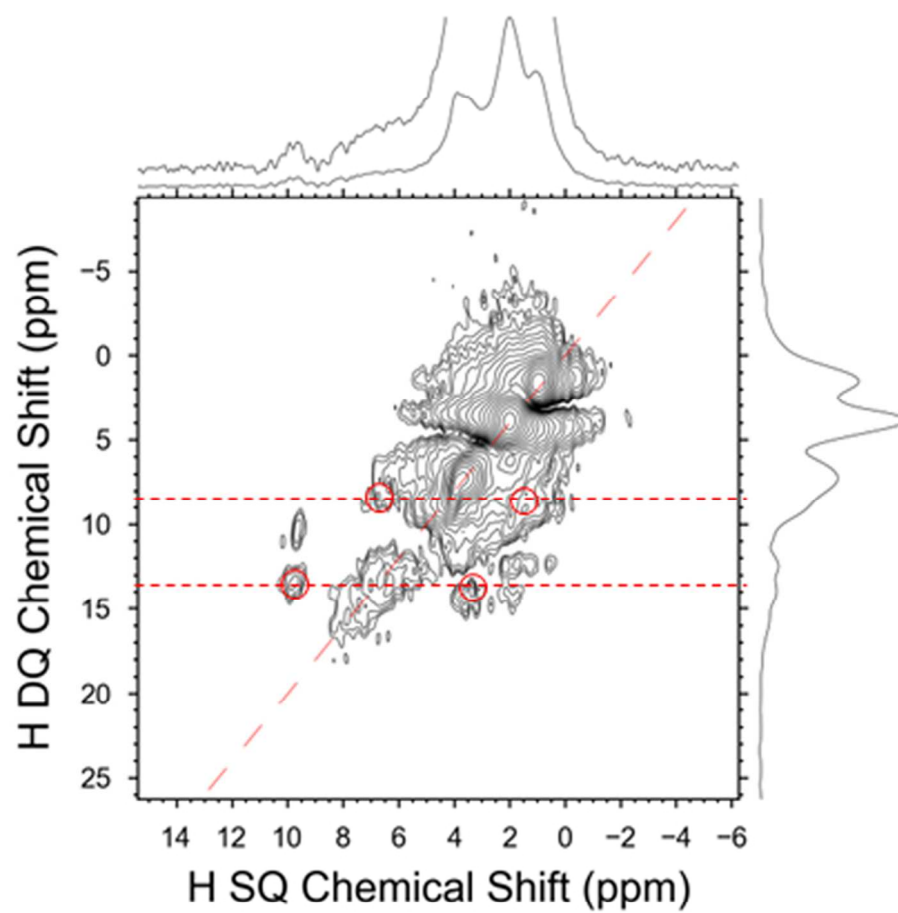
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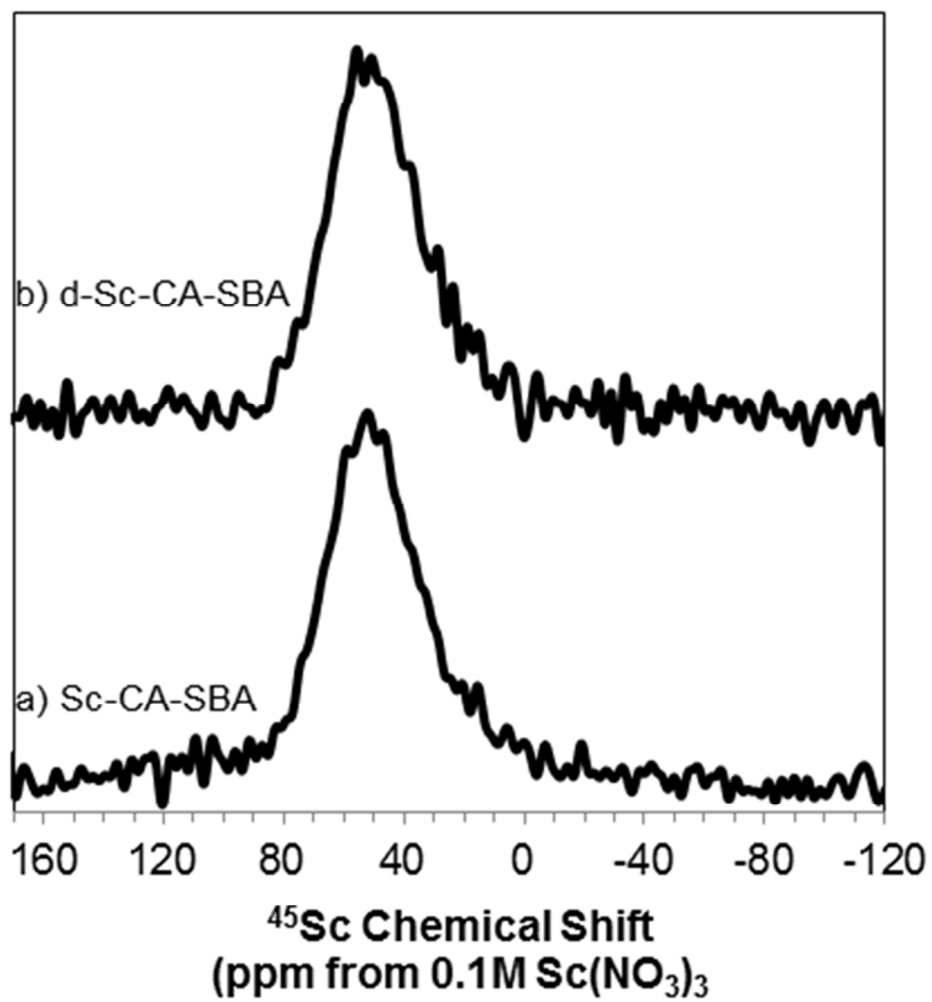
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