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Mechanistic insights into electrocatalytic CO₂ reduction within [Ru^{II}(tpy)(NN)X]ⁿ⁺ architectures

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6 Abstract

A series of Ru^{II} -polypyridyl complexes of the design $[Ru^{II}(tpy)(NN)X]^{n+}$ (tpy = 2,2':6',2''-7 8 terpyridine; NN = bidentate polypyridine; $X = Cl^{-}$ or CH_3CN ; n = 1 or 2) have been synthesized 9 and analyzed for their ability to function as electrocatalysts in the reduction of CO₂ to CO. 10 Varying the electron-donating/withdrawing character of the NN polypyridyl ligand has allowed for modification of electron density at the formally Ru^{II} metal center. Complexes where $X = Cl^{-1}$ 11 display ligand substitution for CH₃CN with differing rates of Cl⁻ dissociation (k_{-Cl}), therefore 12 providing a degree of insight into the electron density and thus the chemical activity at the Ru^{II} 13 14 center. Detailed analysis of the cyclic voltammograms under argon vs. CO₂ atmospheres using 15 multiple switching potentials and scan rates ranging from v = 25-2000 mV/s has painted a picture 16 of how monodentate ligand lability due to NN polypyridyl electron-donating character is related to electrocatalytic CO₂ reduction activity of Ru^{II}-polypyridyl complexes. From these studies, 17 multiple mechanistic pathways towards generating the catalytically active $[Ru(tpy^{-})(NN^{-})CO_2]^0$ 18 19 species are proposed and differ via the order of electrochemical and chemical processes.

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1 Introduction

2 High levels of thermodynamically stable, kinetically inert CO₂ within Earth's biosphere from anthropogenic sources have forced the scientific community to develop a means for CO₂ 3 4 fixation. Converting CO_2 to higher-energy species capable of providing energy in a fashion 5 similar to fossil fuels is of great interest and importance in the quest for alternative fuel sources. An attractive approach to this issue involves the use of photochemical¹⁻⁴ and/or electrochemical^{5,} 6 ⁶ reductive processes to convert CO₂ into CO, HCOOH, CH₃OH, or CH₄. Multi-electron/proton 7 transfer processes provide a lower thermodynamic potential compared to the one-electron 8 reduction which generates a high energetic CO_2^{-} radical anion. Equations 1-6 show the 9 10 thermodynamic formal potential (E°) for each reductive process measured in V vs. NHE at 25 °C in pH = 7 aqueous solution.⁷ 11

 $12 \quad \operatorname{CO}_2 + 1e^- \to \operatorname{CO}_2^{-\bullet} \qquad -1.90 \,\mathrm{V} \tag{1}$

13
$$CO_2 + 2e^- + 2H^+ \rightarrow HCO_2H$$
 -0.61 V (2)

14
$$CO_2 + 2e^- + 2H^+ \rightarrow CO + H_2O$$
 -0.53 V (3)

15
$$CO_2 + 4e^- + 4H^+ \rightarrow H_2CO + H_2O$$
 -0.48 V (4)

16
$$CO_2 + 6e^- + 6H^+ \rightarrow CH_3OH + H_2O$$
 -0.38 V (5)

17
$$CO_2 + 8e^- + 8H^+ \rightarrow CH_4 + 2H_2O$$
 -0.24 V (6)

18 Transition metal-based catalysts are able to circumvent the energetically unfavorable CO_2^{-1} 19 radical anion by providing a lower energy pathway for CO_2 reduction *via* multi-electron 20 processes. Of equal importance is the necessity for CO_2 -selective reduction catalysts as protons 21 are able to be reduced to H₂ at potentials more negative than -0.41 V vs. NHE. Combining the 22 appropriate balance of electronics (provided by the ligand architecture) and the intrinsic 23 properties of the metal center has generated several molecular architectures incorporating

cyclams (Co^{II}, Ni^{II})⁷⁻¹⁰, porphyrins (Fe⁰)^{11, 12}, phosphines (Rh^I, Pd^{II}, Ir^{III})¹³⁻¹⁶, and polypyridines
(Mn^I, Ru^{II}, Rh^{III}, Re^I, Ir^{III})¹⁷⁻²⁹ that function as electrocatalysts for CO₂ reduction. The utilization
of electrochemical methods provides further insight into the factors that affect CO₂ reduction
(redox potentials, electron transfer rates, chemical kinetics) and assist in elucidating mechanistic
pathway(s) towards product formation. This powerful tool can therefore be used to not only
compare catalysts, but also contribute to the design of future, more efficient catalysts.

7 Polypyridine-containing molecular architectures present a subset of CO₂-reducing catalysts 8 that are of great interest given the ability to tune the electronics *via* ligand modification and the potential to store multiple reducing equivalents within the polypyridyl scaffold.^{23, 30} Molecular 9 architectures of type $[Ru^{II}(NNN)(NN)X]^{n+}$ (where NNN = tridentate polypyridyl ligand, NN = 10 11 bidentate polypyridyl ligand, X = monodentate ligand) have recently been demonstrated to be viable catalysts for H_2O oxidation³¹⁻³⁸, H_2O reduction³⁹, and CO_2 reduction^{18, 21, 40, 41}. 12 13 Incorporation of electron-donating or -withdrawing groups in the polypyridyl ligand is expected to increase or decrease electron density at the formally Ru^{II} reactive metal center, respectively, 14 15 and drive formation of specific products (i.e. Ru-hydrido, Ru-carboxylato, Ru-formato) in the 16 presence of various educts (i.e. H⁻, H⁺, CO₂, H₂O). As one might expect, the rate of product formation should also be affected by electron density at the Ru^{II} center. While numerous studies 17 18 have been performed to assess the impact polypyridyl ligand modification has on H₂O oxidation, 19 surprisingly few studies report the role polypyridyl ligand modification has on CO₂ reduction within a Ru^{II} architecture.^{21,40} 20

21 Reported herein are the electrochemical properties and electrocatalytic activity for a series of 22 $[Ru^{II}(tpy)(NN)X]^{n+}$ complexes **1-6** (NN = bidentate polypyridyl ligand; X = monodentate ligand) 23 with varying degrees of electron-donating/withdrawing capabilities within the bidentate ligand

set, Figure 1. A thorough and systematic approach to understanding the observed 1 2 electrochemical processes provides insight into the electrocatalytic activity towards CO₂ 3 reduction and generates a picture of the factors impacting catalysis. Complexes where $X = Cl^{-1}$ (labeled $[Ru^{II}-Cl]^+$) provide a probe into monodentate ligand lability at the Ru^{II} center as a 4 5 function of bidentate ligand electron-donating ability and are found to correlate with the 6 observed trend for CO_2 reduction activity. Similarly, complexes where $X = CH_3CN$ (labeled [Ru^{II}-NCCH₃]²⁺) serve as not only reference complexes for deciphering electrochemical 7 mechanisms within [Ru^{II}-Cl]⁺ complexes, but also to confirm observed trends in electrocatalytic 8 CO₂ reduction using various bidentate ligands. Electrochemical studies further suggest that CO₂ 9 binding can occur at the one-electron reduced [Ru^{II}-Cl]⁰ level or the two-electron reduced [Ru^{II}-10 $NCCH_3]^0$ level when bidentate ligands with electron-donating character are incorporated. 11



12

13 **Fig. 1** Structures of Ru^{II}-polypyridyl complexes for electrocatalytic CO₂ reduction in this study.

14 **Experimental Section**

1 Materials. All solvents were reagent grade and all materials were used as received unless 2 otherwise stated. RuCl₃•3H₂O and triethylamine (TEA) were purchased from Acros Organics. 2,2':6',2"-Terpyridine (tpy), 4,4'-Di-*tert*-butyl-2,2'-bipyridine (4,4'-^tBu₂bpy), and lithium 3 4 chloride were purchased from Sigma-Aldrich. 4,4'-Dimethyl-2,2'-bipyridine (4,4'-Me₂bpy), 4,4'-5 dimethoxy-2,2'-bipyridine (4,4'-(MeO)₂bpy), 1,10-phenanthroline (phen), and 2,2'-bipyrimidine 6 (bpm) were purchased from Aldrich. Ammonium hexafluorophosphate and tetrabutylammonium 7 hexafluorophosphate ($\geq 99.0\%$) were purchased from Fluka. CO₂(g) (99.998%) was purchased from Air Liquide. Glassware used for electrochemical analyses were kept in a 120°C oven until 8 9 needed.

 $[Ru^{III}(tpy)Cl_3]^{43}$, $(dppz)^{42}$, dipyrido[3,2-a:2',3'-c]phenazine 10 The bidentate ligand $(1-Cl)^{44}$. $(2-Cl)^{38}$, $[Ru^{II}(tpy)(4,4'-Me_2bpy)Cl](PF_6)$ $[Ru^{II}(tpy)(4,4'-{}^{t}Bu_{2}bpy)Cl](PF_{6})$ 11 $(3-Cl)^{40}$, $(4-Cl)^{45}$, $[Ru^{II}(tpy)(phen)Cl](PF_6)$ 12 $[Ru^{II}(tpy)(4,4'-(MeO)_2bpy)Cl](PF_6)$ $(5-Cl)^{46}$, $[Ru^{II}(tpy)(bpm)Cl](PF_6)$ $[Ru^{II}(tpy)(dppz)Cl](PF_6)$ $(6-Cl)^{47}$, 13 $[Ru^{II}(tpy)(phen)NCCH_3](PF_6)_2$ (4-CH₃CN)⁴⁵, $[Ru^{II}(tpy)(bpm)NCCH_3](PF_6)_2$ (5-CH₃CN)⁴⁶ and 14 $[Ru^{II}(tpy)(dppz)NCCH_3](PF_6)_2$ (6-CH₃CN)⁴⁸ were synthesized as previously reported. 15

[Ru^{II}(tpy)(4,4'-Me₂bpy)NCCH₃](PF₆)₂ (1-CH₃CN). [Ru^{II}(tpy)(4,4'-Me₂bpy)Cl](PF₆) (0.081 16 17 g, 0.12 mmol) was heated at reflux in the dark overnight using 1:1 CH₃CN/H₂O (50 mL). The 18 orange solution was cooled to room temperature and the volume reduced to ~20 mL which 19 induced precipitation of an orange solid. Additional orange precipitate formed upon adding ~15 20 mL of 0.2 M NH₄PF₆(aq). The precipitate was filtered and washed with cold water and diethyl 21 ether to yield a bright orange solid (0.064 g, 0.075 mmol, yield = 65%). Elemental analysis 22 calculated for C₂₉H₂₆F₁₂N₆P₂Ru•1H₂O: C, 40.15; H, 3.25; N, 9.69. Found: C, 40.41; H, 3.24; N, 23 9.66. ¹H-NMR (400 MHz, CD₃CN) δ 9.39 (d, J = 5.7 Hz, 1H), 8.53 (d, J = 8.1 Hz, 2H), 8.47 (s,

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1 1H), 8.40 (d, J = 8.1 Hz, 2H), 8.27 (t, J = 8.1 Hz, 1H), 8.21 (s, 1H), 7.99 (td, J = 7.9, 1.5 Hz, 2 2H), 7.79 (dd, J = 5.7, 1.2 Hz, 1H), 7.70 – 7.66 (m, 2H), 7.39 – 7.31 (m, 2H), 7.06 (d, J = 5.8 Hz, 3 1H), 6.90 (dd, J = 6.1, 1.3 Hz, 1H), 2.75 (s, 3H), 2.36 (s, 3H). ESI-MS(+): [M-PF₆]⁺, m/z = 4 703.8 (calc: m/z = 704.6); [M-2PF₆]²⁺, m/z = 279.5 (calc: m/z = 279.8).

 $[Ru^{II}(tpv)(4.4'-{}^{t}Bu_{2}bpv)NCCH_{3}](PF_{6})_{2}$ (2-CH₃CN). The same procedure for the 5 $[Ru^{II}(tpy)(4,4'-Me_2bpy)NCCH_3](PF_6)_2$ was followed using $[Ru^{II}(tpy)(4,4'-^tBu_2bpy)CI](PF_6)_2$ 6 7 (0.081 g, 0.10 mmol) to yield a bright orange solid (0.050 g, 0.054 mmol), yield = 52%). Elemental analysis calculated for C₃₅H₃₈F₁₂N₆P₂Ru•1H₂O: C, 44.17; H, 4.24; N, 8.83. Found: C, 8 9 44.11; H, 4.22; N, 8.82. ¹H-NMR (400 MHz, CD₃CN) δ 9.44 (d, J = 6.0 Hz, 1H), 8.59 (d, J = 2.0 10 Hz, 1H), 8.54 (d, J = 8.2 Hz, 2H), 8.41 (d, J = 8.1 Hz, 2H), 8.33 (d, J = 1.9 Hz, 1H), 8.31 – 8.25 11 (m, 1H), 8.00 (td, J = 7.9, 1.5 Hz, 2H), 7.94 (dd, J = 6.0, 2.0 Hz, 1H), 7.71 – 7.65 (m, 2H), 7.36 12 (ddd, J = 7.6, 5.5, 1.3 Hz, 2H), 7.11 (d, J = 6.1 Hz, 1H), 7.05 (dd, J = 6.1, 2.1 Hz, 1H), 1.60 (s, J = 6.1 Hz, 1H), 1.60 (s, J = 6.1 Hz, 1H), 1.60 (s, J = 6.1, 2.1 Hz, 1Hz, 1H), 1.609H), 1.25 (s, 9H). ESI-MS(+): $[M-PF_6]^+$, m/z = 787.8 (calc: m/z = 788.8); $[M-2PF_6]^{2+}$, m/z = 788.8); 13 14 321.3 (calc: m/z = 321.9).

 $[Ru^{II}(tpy)(4,4'-(MeO)_{2}bpy)NCCH_{3}](PF_{6})_{2}$ (3-CH₃CN). The same procedure for the 15 $[Ru^{II}(tpy)(4,4'-Me_2bpy)NCCH_3](PF_6)_2$ was followed using $[Ru^{II}(tpy)(4,4'-(MeO)_2bpy)CI](PF_6)_2$ 16 17 (0.070 g, 0.095 mmol) to yield a bright orange solid (0.054 g, 0.061 mmol), yield = 64%). 18 Elemental analysis calculated for C₂₉H₂₆F₁₂N₆O₂P₂Ru•1H₂O: C, 38.72; H, 3.14; N, 9.34. Found: 19 C, 38.52; H, 3.10; N, 9.18. ¹H-NMR (400 MHz, CD₃CN) δ 9.32 (d, J = 6.4 Hz, 1H), 8.52 (d, J = 20 8.1 Hz, 2H), 8.40 (d, J = 8.1 Hz, 2H), 8.25 (t, J = 8.1 Hz, 1H), 8.13 (d, J = 2.7 Hz, 1H), 7.99 (td, 21 J = 7.9, 1.5 Hz, 2H), 7.87 (d, J = 2.8 Hz, 1H), 7.78 – 7.72 (m, 2H), 7.52 (dd, J = 6.5, 2.7 Hz, 22 1H), 7.37 (ddd, J = 7.6, 5.5, 1.3 Hz, 2H), 6.97 (d, J = 6.6 Hz, 1H), 6.61 (dd, J = 6.6, 2.8 Hz, 1H),

4.18 (s, 3H), 3.83 (s, 3H). ESI-MS(+): [M-PF₆]⁺, m/z = 735.7 (calc: m/z = 736.6); [M-2PF₆]²⁺,
 m/z = 295.1 (calc: m/z = 295.8).

Physical Measurements. ¹H-NMR spectra were measured in CD₃CN solvent using a JEOL 3 400 MHz spectrometer at 293 K. The chemical shifts given in ppm are internally referenced to 4 the residual CH₃CN solvent signal (1.96 ppm).⁴⁹ HPLC-MS data were obtained using a Dionex 5 6 UltiMate 3000 system on a Phenomenex Gemini C18 column (150 x 3.0 mm, 5µm) coupled to a 7 Thermo LCQ Deca XP Max with electrospray ionization. Solvents used for HPLC: 0.05% HCO₂H in H₂O and 0.05% HCO₂H in CH₃CN. Electronic absorption spectra were measured in 8 9 CH₃CN solvent using a Varian Cary 50 UV-Vis spectrophotometer with 1 nm resolution. 10 Elemental analysis (C,H,N) was performed by Analytische Laboratorien GmbH (Lindlar, 11 Germany).

12 Electrochemistry. Cyclic voltammetry (CV) experiments were performed using a one-13 compartment, three-electrode configuration connected to an Autolab PGSTAT100 potentiostat 14 controlled with GPES 4.9 software (EcoChemie). The electrode setup included a glassy carbon 15 disc (0.071 cm²) working electrode that was polished using 0.05 μ M alumina prior to use, a carbon rod auxiliary electrode, and a Ag/Ag⁺ (0.01 M AgNO₃ in CH₃CN, -0.060 V vs. Fc^{0/+}; Fc 16 = $[Fe(C_5H_5)_2])$ non-aqueous reference electrode. Potential values were converted to versus $Fc^{0/+}$ 17 18 by addition of 60 mV. CVs containing 1 mM of each complex were measured using 0.1 M Bu₄NPF₆ supporting electrolyte in either argon- or CO₂-saturated CH₃CN solutions at room 19 20 temperature with scan rates (v) varying from 25 to 2000 mV/s. Rate constants for Cl⁻ dissociation (k_{-Cl}) were obtained by fitting experimental CVs with simulated CVs using 21 DigiElch[™] 7 electrochemical simulation software. Overlaid simulated and experimental CVs for 22

complex 3-Cl, along with the fitting parameter conditions, are shown in Figure S5 in Supporting
 Information to provide as an example.

3 **Bulk Electrolysis.** Bulk electrolysis experiments were performed using a one-compartment cell, three-electrode configuration which comprised a glassy carbon rod (3.67 cm^2) working 4 electrode, carbon cloth auxiliary electrode, and Ag/Ag⁺ (0.01 M AgNO₃ in CH₃CN, -0.060 V vs. 5 Fc^{0/+}) reference electrode controlled by the Autolab PGSTAT100 potentiostat. Experimental 6 conditions involved purging 0.1 M Bu₄NPF₆/CH₃CN solution (10 mL) containing 1 mM of 7 $[Ru^{II}(tpy)(4,4'-Me_2bpy)(NCCH_3)]^{2+}$ (1-CH₃CN) with CO₂ for 30 minutes prior to applying a 8 constant potential of -2.04 V vs. Fc^{0/+}, providing a [CO₂] = 0.28 M.⁵⁰ Gas samples were 9 10 analyzed using a Perkin Elmer Clarus 500 series gas chromatogram (GC) equipped with a 11 thermal conductivity detector (TCD), stainless-steel column packed with molecular sieves (60/80 12 mesh), and N_2 as the carrier gas (flow rate = 35 mL/min). The operating temperatures of the injection port, the oven/column, and detector were 100°C, 80°C, and 100°C, respectively. 13 14 Aliquots (250 µL) of the gas headspace were injected into the GC after 10 min electrolysis to 15 analyze the gas products formed.

16 **Results and Discussion**

17 Synthesis. The Ru^{II}-polypyridyl complexes were synthesized (Scheme 1) *via* step-wise 18 reactions whereby the tridentate tpy ligand was first coordinated to RuCl₃•3H₂O, followed by 19 bidentate ligand coordination to afford [Ru(tpy)(NN)Cl](PF₆) as dark maroon/purple solids. 20 Converting the Cl⁻ substituted complexes to CH₃CN substitution was achieved by heating the 21 complexes at reflux in CH₃CN/H₂O mixtures to afford [Ru(tpy)(NN)NCCH₃](PF₆)₂ as orange 22 solids. Isotopic distribution patterns, obtained using ESI-MS operating in positive mode, were in agreement with calculated spectra as mass-to-charge ratios displayed [M–PF₆]⁺ and [M–2PF₆]²⁺
for the newly reported [Ru(tpy)(4,4'-Me₂bpy)NCCH₃](PF₆)₂ (1-CH₃CN), [Ru(tpy)(4,4'^tBu₂bpy)NCCH₃](PF₆)₂ (2-CH₃CN), and [Ru(tpy)(4,4'-(MeO)₂bpy)NCCH₃](PF₆)₂ (3-CH₃CN)
complexes.



5

6 **Scheme 1** Synthetic scheme for the step-wise assembly of $[Ru^{II}(tpy)(NN)X]^{n+}$ complexes.

Electrochemical Properties. Electrochemistry provides insight into the redox-active nature of $[Ru^{II}(tpy)(NN)X]^{n+}$ complexes, their electron affinities and chemical stability(**Figure 2**; **Figures S6-S11**). The redox potentials of electrochemical processes are summarized in **Table 1** and displayed in **Figure 3**. Anodic scans display a reversible, one-electron $Ru^{II/III}$ oxidation that is strongly influenced by the nature of the monodentate ligand (Cl⁻ vs. CH₃CN) and, to a lesser degree, the electron-donating/withdrawing ability of the bidentate ligand set. The $[Ru^{II}-Cl]^+$ 1 complexes are oxidized at fairly mild potentials (+0.44 V to +0.66 V vs. $Fc^{0/+}$), with the 2 complexes containing electron-donating ligands (1-Cl, 2-Cl, 3-Cl) being easier to oxidize than 3 those containing electron-withdrawing ligands (5-Cl, 6-Cl). This trend is expected as increased 4 electron density at Ru^{II} shifts the Ru-based HOMO to higher energy. Substituting the stronger π -5 donor Cl⁻ by a weaker π -donor CH₃CN to generate [Ru^{II}-NCCH₃]²⁺ species stabilizes the Ru(d π) 6 orbital set. Consequently, Ru^{II/III} couple is observed at ~0.50 V more positive potentials, 7 indicative of a decrease in electron density at the Ru center.



8

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- 1 Fig. 2 Cyclic voltammograms of 1 mM solutions of $[Ru^{II}(tpy)(NN)Cl]^+$ (A) and
- 2 $[Ru^{II}(tpy)(NN)NCCH_3]^{2+}$ (**B**) complexes (NN = 4,4'-(MeO)₂-bpy, bpm, or dppz) in Ar-saturated
- 3 CH₃CN using 0.1 M Bu₄NPF₆ supporting electrolyte at v = 200 mV/s.



4

5 **Fig. 3** Reduction and oxidation potentials of $[Ru^{II}(tpy)(NN)X]^{n+}$ complexes (NN = bidentate 6 ligand; X = Cl⁻ or CH₃CN). Energy values represent measured $E_{1/2}$ potentials which were 7 obtained using cyclic voltammetry. Black and red lines correspond to complexes where X = Cl⁻ 8 and CH₃CN, respectively.

Complex	$[Ru-X]^{(n+1)/n}$	$[\operatorname{Ru-X}]^{n/(n-1)}$	$[Ru-X]^{(n-1)/(n-2)}$	k_{-Cl}
	$E_{\frac{1}{2}}, V (\Delta E_p, mV)$	$E_{{}^{\prime}\!\!_{2}},V\;(\Delta E_p,mV)$	$E_{^{1\!/_{\!\!2}\!}},V\;(\Delta E_p,mV)$	(s^{-1})
1-Cl	0.502 (82)	-1.709 (91)	b	1.1
1-CH ₃ CN	0.996 (90)	-1.546 (81)	-1.882 (110)	
2-Cl	0.511 (83)	-1.696 (82)	b	0.60
2-CH ₃ CN	0.985 (91)	-1.555 (73)	-1.884 (95)	
3-Cl	0.443 (81)	-1.731 (94)	b	0.45
3-CH ₃ CN	0.855 (90)	-1.573 (81)	-1.896 (101)	
4-Cl	0.547 (77)	-1.690 (84)	b	0.20
4-CH ₃ CN	1.055 (81)	-1.537 (81)	-1.838 (72)	

9 **Table 1** Redox Potentials for $[Ru^{II}(tpy)(NN)X]^{n+}$ Complexes^{*a*}

5-Cl	0.659 (81)	-1.412 (84)	-1.811 (79)	1 x 10 ⁻³
5-CH ₃ CN	1.181 (81)	-1.303 (81)	-1.658 (72)	
6-Cl	0.582 (80)	-1.280 (79)	-1.759 (87)	5 x 10 ⁻⁴
6-CH ₃ CN	1.082 (81)	-1.240 (81)	-1.600 (81)	

^{*a*} Measurements performed using 1 mM of Ru^{II} complex in an argon-saturated CH₃CN solution with 0.1 M Bu₄NPF₆ supporting electrolyte at v = 200 mV/s. Values reported are measured vs. Fc^{0/+}. Values in parentheses correspond to the cathodic and anodic peak potential separation for each redox couple. The value of n = 1+ and 2+ for X = Cl⁻ and CH₃CN complexes, respectively. ^{*b*} Reduction corresponding to [Ru^{II}-Cl]^{0/-} is not observed up to 2000 mV/s, only reduction for CH₃CN-substituted species. ^{*c*} Rate constant for Cl⁻ dissociation following first reduction of [Ru^{II}-Cl]⁺ complexes calculated using DigiElchTM 7 software by fitting experimental CVs with simulated CVs.

8 Cathodic scans under an argon atmosphere present varied electrochemical properties throughout the [Ru^{II}-Cl]⁺ and [Ru^{II}-NCCH₃]²⁺ series that are strongly influenced by bidentate 9 10 ligand identity. Each series of complexes possess sequential, one-electron, ligand-based 11 reductions with NN = Me₂bpy, ^tBu₂bpy, (MeO)₂bpy, and phen complexes 1-4 possessing a 12 $tpy(\pi^*)$ -based first reduction. Conversely, NN = bpm and dppz complexes 5 and 6 possess a $NN(\pi^*)$ -based first reduction due to the strong electron-accepting capability of the pyrimidine 13 14 and phenazine subunits. This orbital inversion assignment is supported by comparing the 1st reduction potential of the respective tris homoleptic complexes $(E_{1/2}([Ru(tpy)_2]^{2+/+}) = -1.67 \text{ V}$ 15 vs. $Fc^{0/+}$; $E_{1/2}([Ru(bpm)_3]^{2+/+}) = -1.38 \text{ V vs. } Fc^{0/+}$; $E_{1/2}([Ru(dppz)_3]^{2+/+}) = -1.35 \text{ V vs. } Fc^{0/+})^{51-53}$, 16 as well as a previously reported assignment for [Ru^{II}(tpy)(bpm)Cl](PF₆).⁴⁶ Switching scan 17 direction following the first reduction displays the chemical reactivity in [Ru^{II}-Cl]⁺ complexes 1-18 Cl to 4-Cl. As representatively shown in Figure 4A, the reverse scan in the CV of 19 $[Ru^{II}(tpy)(4,4'-(MeO)_2bpy)CI]^+$ (3-CI) displays two anodic waves (E_p^a) , one at -1.68 V for the 20 expected $[Ru^{II}-Cl]^{0/+}$ oxidation, and a second oxidation at -1.53 V for the ligand-exchanged 21 $[Ru^{II}-NCCH_3]^{+/2+}$ oxidation. Similar chemical reactivity is observed for NN = Me₂bpy, ^tBu₂bpy, 22 and phen complexes, Figure S12. Varying the scan rate from 25-2000 mV/s allows for 23

1 measuring the rate of Cl⁻ dissociation (k_{-Cl}) within these systems, providing a degree of insight 2 into monodentate ligand lability as a function of electron-donating ability from the bidentate ligand to the formally Ru^{II} metal center. DigiElchTM 7 electrochemical simulation software was 3 4 used to generate simulated CVs and fit those with experimentally obtained CVs by varying 5 parameters such as the reduction potential (E), the rate constant for heterogeneous electron 6 transfer (k_s) , and the equilibrium constant and rate constant for a chemical reaction (i.e. Cl⁻ dissociation). The calculated k_{-Cl} values range from 5 x 10⁻⁴ to 1.1 s⁻¹ depending on electron-7 donating character of the bidentate ligand, Table 1. Chloride ligand lability is not observed in the 8 one-electron reduced bpm or dppz-containing [Ru^{II}-Cl]⁺ complexes 5-Cl and 6-Cl (Figure 4B 9 10 and Figure S12, respectively) in which the injected electron is localized on the electron-11 deficient bidentate ligands that are evidently electronically isolated from the Ru-Cl bond. The decreased electron density at Ru^{II} of 5 and 6 suppresses Cl- ligand dissociation at the applied 12 13 potentials and therefore predicts 5 and 6 to function poorly as efficient catalysts for metalcentered, reductive catalysis. [Ru^{II}-NCCH₃]²⁺ complexes, regardless of bidentate ligand identity, 14 15 display a reversible one-electron, ligand(π^*)-based reduction as CH₃CN dissociation is slow on 16 the electrochemical time scale at the applied potentials (Figure S13). The potential difference between the anodic and cathodic waves ($\Delta E_p \approx 80 \text{ mV}$) and the ratio of anodic to cathodic 17 current $(i_p^{a}/i_p^{c} \approx 0.9)$ remain constant at all measured scan rates, indicating electrochemical and 18 chemical reversibility within $[Ru^{II}-NCCH_3]^{2+}$ complexes. When comparing $\Delta E_{\frac{1}{2}}$ values between 19 $[Ru^{II}-Cl]^{+/0}$ and $[Ru^{II}-NCCH_3]^{2+/+}$, the $E_{\frac{1}{2}}$ shifts to more positive potential by ~110-160 mV upon 20 CH₃CN coordination for all complexes except NN = dppz. The observed $\Delta E_{\frac{1}{2}}$ value of only 40 21 22 mV indicates the very strong electron-withdrawing nature of the phenazine component, as

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CH₃CN substitution has a minimal affect and suggests some degree of electronic isolation
 between the bipyridine and phenazine portions of the dppz ligand.



 $[Ru^{II}(tpy)(4,4'-(MeO)_2bpy)Cl]^+,$ voltammograms of 4 Cyclic **3-Cl** (A) Fig. and 4 $[Ru^{II}(tpy)(bpm)Cl]^+$, 5-Cl (B) complexes with v ranging from 25 mV/s (black line) to 2000 mV/s 5 (purple line). The 1st reduction at v = 200 mV/s (green dashed line) for [Ru^{II}(tpv)(4.4'-6 (MeO)₂bpy)NCCH₃]²⁺, **3-CH₃CN** (**A**) and [Ru^{II}(tpy)(bpm)NCCH₃]²⁺, **5-CH₃CN** (**B**) complexes 7 are included as a reference. [Ru^{II}-Cl] and [Ru^{II}-NCCH₃] labels correspond to the presence of Cl⁻ 8 9 or CH₃CN bound species.

10 Continued cathodic scanning presents further varied electrochemical properties and chemical reactivity. [Ru^{II}-Cl]⁺ complexes 1-Cl to 4-Cl do not display a [Ru^{II}-Cl]^{0/-} reduction under argon 11 12 due to Ru-Cl bond labilization and CH₃CN coordination upon further applied negative bias. Therefore, only the reduction corresponding to $[Ru^{II}-NCCH_3]^{+/0}$ is observed, Figure 5A and 13 14 Figure S14. As expected, return anodic scans display only re-oxidations that arise from the CH₃CN-bound complexes. Electron-withdrawing ligands as in **5-Cl** and **6-Cl** stabilize the [Ru^{II}-15 Cl]⁰ species which exhibit a [Ru^{II}-Cl]^{0/-} reduction that is tpy(π^*)-based at E_n^c = -1.85 V (bpm) 16 and -1.80 V (dppz). For 5-Cl, two-electron reduction forms $[Ru^{II}(tpy^{-})(bpm^{-})Cl]^{-}$ which is 17 quasi-stable as the presence of both $[Ru^{II}-Cl]^{-/0}$ and $[Ru^{II}-NCCH_3]^{0/+}$ re-oxidations are observed 18 at $E_p^a = -1.77$ V and -1.62 V, respectively, Figure 5B. Re-oxidation of both one-electron 19

reduced species, $[Ru^{II}-Cl]^{0/+}$ and $[Ru^{II}-NCCH_3]^{+/2+}$, is only observed when the potential has been 1 2 scanned past two reductive couples, indicating that Ru-Cl bond labilization for NN = bpm 3 requires two electrons. Complex 6-Cl is able to undergo two-electron reduction to form $[Ru^{II}(tpv^{-})(dppz^{-})Cl]^{-}$ with the Cl⁻ ligand remaining coordinated to Ru^{II} at v = 200 mV/s4 (Figure 2A). This lack of Cl⁻ lability emphasizes the impact strongly electron-withdrawing 5 6 ligands have on chemical reactivity at the sixth coordination site within this architecture which 7 requires ligand dissociation prior to formation of a catalytically active species. Assignments for 8 each redox couple within the Cl⁻ series of complexes are justified by using the synthesized [Ru^{II}(tpy)(NN)NCCH₃]²⁺ complexes as a reference given the observed redox couples will only 9 correspond to [Ru^{II}-NCCH₃] species. 10



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Fig. 5 Cyclic voltammograms (including the 2nd reduction) of $[Ru^{II}(tpy)(4,4'-(MeO)_2bpy)Cl]^+$, 3-Cl (A) and $[Ru^{II}(tpy)(bpm)Cl]^+$, 5-Cl (B) complexes with *v* ranging from 25 mV/s (black line) to 2000 mV/s (purple line). The CVs of $[Ru^{II}(tpy)(4,4'-(MeO)_2bpy)NCCH_3]^{2+}$, 3-CH₃CN (A) and $[Ru^{II}(tpy)(bpm)NCCH_3]^{2+}$, 5-CH₃CN (B) at *v* = 200 mV/s (green dashed line) are included as a reference. $[Ru^{II}-Cl]$ and $[Ru^{II}-NCCH_3]$ labels correspond to the presence of Cl⁻ or CH₃CN bound species.

While the synthesized [Ru^{II}-NCCH₃] complexes are expected to display chemical stability 1 2 upon multi-electron reduction, two trends were observed. The complexes containing NN = bpm3 (5-CH₃CN) or dppz (6-CH₃CN) displayed two reversible, one-electron reductions (Figure S15). 4 However, complexes where NN = Me₂bpy (1-CH₃CN), ^tBu₂bpy (2-CH₃CN), or (MeO)₂bpy (3-5 CH₃CN) deviate substantially from chemical reversibility for the second reduction as CH₃CN 6 dissociation is observed at scan rates as low as 200 mV/s (Figure S15). This assignment is in direct agreement with a previous observation for [Ru^{II}(tpy)(bpy)NCCH₃]^{2+,54} Substitution of 7 8 CH_3CN for a substrate, such as CO_2 , is therefore expected to be favorable due to ligand lability 9 at the sixth coordination site in complexes containing electron-donating substituents (methoxy, 10 tert-butyl, or methyl). Complexes containing strong, electron-withdrawing subunits (pyrimidines 11 or phenazines) are expected to display minimal-to-negligible reactivity towards CO₂ substitution 12 as ligand reduction does not greatly labilize the Ru-X bond. Complexes where NN = phen (4-Cl 13 and 4-CH₃CN) present an intermediary regime as the phenanthroline ligand contains appropriate electron-donating ability to labilize the Ru-Cl bond upon one-electron reduction for [Ru^{II}-Cl]⁺, 14 15 but also possesses sufficient electron-withdrawing character to minimize CH₃CN dissociation upon two-electron reduction for $[Ru^{II}-NCCH_3]^{2+}$ complexes (Figure S15). 16

17 Electrocatalytic Properties. Cyclic voltammograms were obtained under a CO_2 atmosphere 18 to assess the capabilities of $[Ru^{II}(tpy)(NN)X]^{n+}$ complexes for electrocatalytic CO_2 reduction. 19 Bulk electrolysis of a CO_2 -saturated solution of **1-CH₃CN** was performed and the headspace 20 analyzed by gas chromatography to confirm the formation of CO as the major product, **Figure** 21 **S16**, as well as measuring reference gas samples to identify retention times. Anodic scans did not 22 alter the redox-active nature, chemical stability, or potential of the Ru^{II/III} couple in any 23 complexes, indicating that Cl⁻ or CH₃CN do not dissociate at applied positive bias and CO₂ is

1 unable to coordinate. However, performing cathodic scans at multiple scan rates and switching 2 potentials provided insight into the mechanism of catalytic CO₂ reduction and the electronic 3 factors impacting catalysis. Scheme 2 summarizes the proposed electrochemical and chemical processes that lead to catalytic CO₂ reduction. Figure 6 shows cathodic scans of $[Ru^{II}(tpy)(4,4'-$ 4 $(MeO)_2bpy)X]^{n+}$ (3-Cl and 3-CH₃CN) in Ar- and CO₂-saturated acetonitrile solutions with 5 cathodic current enhancements visible at potentials more negative than -1.74 V vs Fc^{0/+} under a 6 CO₂ atmosphere. Qualitatively similar voltammograms are obtained for complexes 1, 2, and 4 7 (Figures S17-S19). While previous reports using $[Ru^{II}(tpy)(bpy)NCCH_3]^{2+}$ ascribe this cathodic 8 9 current enhancement to multiple electron transfer steps within the catalytic cycle for CO₂ reduction to CO,^{18, 21} the present study gives a more intimate picture of the order of chemical and 10 11 electrochemical steps, as well as the impact NN ligand donor strength has on catalytic activity.

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Fig. 6 (A) CVs displaying the cathodic region of $[Ru^{II}(tpy)(4,4'-(MeO)_2bpy)CI]^+$ under Ar (solid black line) and CO₂ (dashed black line) atmospheres at v = 200 mV/s. Inset: Isolation of 1st reduction process under Ar (solid) and CO₂ (dashed) atmospheres. (B) CVs displaying the cathodic region of $[Ru^{II}(tpy)(4,4'-(MeO)_2bpy)NCCH_3]^{2+}$ under Ar (solid red line) and CO₂ (dashed red line) atmospheres at v = 200 mV/s. Inset: Isolation of 1st reduction process under Ar (solid) and CO₂ (dashed) atmospheres.

10 Comparing the reductive waves for complexes 1-4 in the absence and presence of CO_2 allows 11 the detection of processes that arise from CO_2 -related chemistry. Analysis of the Cl⁻ substituted

complex under CO₂ is presented first. As shown in the inset of Figure 6A, switching scan 1 direction after the one-electron reduction of $[Ru^{II}-C1]^{+/0}$ (I) reveals only $[Ru^{II}-C1]^{0/+}$ oxidation and 2 an absence of the $[Ru^{II}-NCCH_3]^{+/2+}$ oxidation that was observed in the voltammograms obtained 3 under Ar. This observation is significant and suggests [Ru^{II}-NCCH₃]⁺ that is partially formed in 4 the one-electron reduction of $[Ru^{II}-CI]^+$ either reacts further in the presence of CO₂, or that CO₂ 5 binds directly to a five-coordinate [Ru]⁺ intermediate that arises from Cl⁻ dissociation (Scheme 6 2). Either way, we ascribe the absence of the anodic wave associated with $[Ru^{II}-NCCH_3]^+$ 7 oxidation to the formation of a one-electron reduced, CO_2 -adduct $[Ru^{II}-CO_2]^+$. The only 8 remaining feature in the anodic scan following [Ru^{II}-Cl]^{+/0} reduction originates from trivial [Ru^{II}-9 Cl]^{0/+} re-oxidation to regenerate the original species. Current enhancement beyond -1.80 V 10 (cathodic to the reduction wave) should correspond to $[Ru^{II}-CO_2]^{+/0}$ reduction, while the small 11 12 current magnitude indicates this to be a minor pathway towards formation of the two-electron reduced $[Ru^{II}-CO_2]^0$ complex. The potential range that is observed for the reduction of the CO₂-13 14 bound species (via the carbon atom) is plausible, as it can be expected to occur at more positive 15 potential than that of the analogous CH₃CN-bound species given the δ^+ character of the CO₂ 16 carbon atom. The broad current response between -1.80 V and -2.00 V (II) corresponds to slow conversion of the $[Ru^{II}-Cl]^0$ species to $[Ru^{II}-CO_2]^+$ and subsequent reduction to $[Ru^{II}-CO_2]^0$. The 17 lack of a large catalytic wave at -1.89 V, as seen for the $[Ru^{II}-NCCH_3]^{2+}$ complexes (*vide infra*), 18 also suggests that a significant portion of [Ru^{II}-Cl]⁺ is stable under the reaction conditions. More 19 20 negative applied potential induces a large catalytic wave at -2.09 V (III) that corresponds to a second reduction to $[Ru^{II}-Cl]^{-}$, followed by Cl^{-} dissociation, CO_2 coordination and further 21 reduction processes of the thereby generated [Ru^{II}-CO₂]⁰ species to produce CO and to 22 regenerate the [Ru^{II}-NCCH₃]ⁿ⁺ pre-catalyst.^{18, 21} 23

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In contrast to the behavior observed for [Ru^{II}-Cl]⁺, the CVs for the one-electron reduction of 1 $[Ru^{II}-NCCH_3]^{2+}$ (I) are identical in the presence and absence of CO₂ (Figure 6B inset). This 2 finding indicates that the one-electron reduced $[Ru^{II}-NCCH_3]^+$ is not sufficiently electron rich to 3 4 initiate CH₃CN substitution by CO₂. Continued negative scanning past -1.74 V displays a 5 catalytic wave at −1.89 V (II) whereby the potential and normalized current response vary with scan rate. This process is labeled as a second, one-electron reduction to form [Ru^{II}-NCCH₃]⁰, 6 followed by CH₃CN dissociation and CO₂ coordination to form $[Ru^{II}-CO_2]^0$ (Scheme 2). Given 7 the large, yet unequal, current response between complexes 1-CH₃CN to 4-CH₃CN, some 8 9 degree of catalysis is occurring. Peak potential corresponding to this catalytic wave varies from 10 -1.93 V for the electron-donating complexes (NN = (MeO)₂bpy, Me₂bpy, ^tBu₂bpy) to -1.83 V 11 for the intermediary complex (NN = phen) to -1.77 V for the electron-withdrawing complex 12 (NN = bpm). The large catalytic wave at -2.09 V (III) in complexes 1-4 is attributed to further complex reduction and ensuing catalysis, as is observed for the [Ru^{II}-Cl]⁺ complexes. The varied 13 current response at waves II and III between complexes (i_{cat}) indicate that NN ligand donor 14 15 strength impacts catalysis, with electron-donating ligands enhancing catalysis. Consequently, 16 complexes possessing stronger electron-donating ligands require a larger negative applied bias 17 for reduction to occur; therefore the expected overpotential (η) for CO₂ reduction will be larger. 18 Recent work by Savéant and co-workers has illustrated the intimate relationship between turnover frequency (TOF) of the catalyst and the overpotential for substrate reduction.⁵⁵ 19 20 Assuming wave II to contain catalytic activity further supports this statement as CH_3CN_2 21 substituted complexes with stronger donating NN ligands (1-3) are reduced at more negative potential and display larger i_{cat} than NN = phen (4) or bpm (5) complexes. 22



Scheme 2 Proposed mechanisms and intermediate species of the electrochemical and chemical processes prior to catalysis in the absence and presence of CO_2 for complexes where the first reduction is tpy(π^*)-based (complexes 1-4). The specified potentials (vs. Fc^{0/+}) correspond to 3-Cl and 3-CH₃CN complexes as an illustrative example and the synthesized complexes are shown in italics.

7 Decreased electron-density at Ru^{II} *via* bpm or dppz incorporation diminishes CO_2 8 coordination and subsequent catalytic activity as evidenced by the lack of substantial current 9 enhancement in the presence of CO_2 , **Figure S20 and S21**. The minimal-to-negligible catalytic 10 activity for this strongly electron-withdrawing architecture emphasizes the need for appropriate 11 energetics to efficiently drive catalysis.

12 Thorough analysis of all the collected data presents a complex picture as to how electron 13 density at the Ru^{II} center affects the lability and catalytic activity at the sixth coordination site 14 within this molecular architecture. Complexes where the first reduction is primarily $tpy(\pi^*)$ -

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1 based (1-4) contain NN ligands with a greater degree of electron-donating character as opposed 2 to complexes 5 and 6 where the first reduction is NN(π^*)-based. As described above, the rate 3 constant for Cl⁻ dissociation correlates well with the degree of electron-donating/withdrawing 4 character, providing knowledge as to how the polypyridyl ligand set influences the lability at the formally Ru^{II} metal center. From a CO₂ reduction viewpoint, complexes with increased electron 5 density at Ru^{II} (Cl⁻ complexes 1-3) displayed much larger current enhancement ratios when 6 measured under a CO₂ atmosphere vs. an Ar atmosphere (i_{cat}/i_p) than complexes with decreased 7 electron density at Ru^{II} (Cl⁻ complexes 5 and 6). Complex 4-Cl displayed an intermediate 8 9 current enhancement value compared to the two extremes, as well as possessing a balance of 10 electron-donating and withdrawing character. This observation of catalytic activity as a function of electron density at the formally Ru^{II} metal center is in direct correlation with the rate of Cl⁻ 11 dissociation through the first reductive couple in [Ru^{II}(tpy)(NN)Cl]⁺ complexes. By plotting 12 current enhancement under a CO₂ atmosphere (i_{cat}/i_p) vs. the rate constant for Cl⁻ dissociation 13 14 (k_{-Cl}) , Figure 8, the effect of how electron-donating character of the bidentate ligand impacts 15 chemical reactivity and catalytic activity can be seen. Strongly electron-donating polypyridyl ligands increase electron density at Ru^{II} and labilize the Ru-Cl or Ru-NCCH₃ bond, thereby 16 producing a coordinatively unsaturated, electron-rich Ru^{II} metal center to coordinate with CO₂. 17 Electron-withdrawing ligands are expected to decrease electron density at Ru^{II} and inhibit 18 19 efficient reductive, metal-based catalysis.



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Fig. 8 Plot depicting catalytic current enhancement in the presence of CO₂ (i_{cat}/i_p) vs. the rate constant for Cl⁻ ligand dissociation (k_{-Cl}) in $[Ru^{II}(tpy)(NN)Cl]^+$ complexes where the degree of electron-donating ability of NN varies. Using the chloro-substituted complexes, the i_{cat} values were obtained from the large current response at -2.10 V vs. Fc^{0/+} in the presence of CO₂ and the i_p values were obtained from the current response of the 2nd cathodic wave near -2.0 V vs. Fc^{0/+} in the absence of CO₂.

8 Conclusions

The relative ease of synthesis and purification for this $[Ru^{II}(tpy)(NN)X]^{n+}$ molecular 9 10 architecture permitted generation of a small library of complexes with varying degrees of electron-donating/withdrawing ability to study their electrochemical and electrocatalytic 11 properties. Isolating the redox couples throughout the cathodic region for [Ru^{II}-Cl]⁺ and [Ru^{II}-12 $NCCH_3$ ²⁺ complexes display how the rate of monodentate ligand dissociation is directly related 13 to electron density at the formally Ru^{II} metal center through electron donation from the bidentate 14 ligand. This observation is in direct correlation with the reactivity at the sixth coordination site 15 on Ru^{II} as catalytic activity for CO_2 reduction (measured by the ratio of current response in 16 presence and absence of CO₂, i_{cat}/i_p) correlates well with the rate constant for Cl⁻ ligand 17

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1 dissociation (k_{-Cl}) . As shown, complexes 1-3 where the bidentate ligand contains electrondonating substituents (methyl, *tert*-butyl, methoxy) possess a much larger value for k_{-Cl} and i_{cat}/i_p 2 3 compared to analogous complexes (5 and 6) containing electron-withdrawing components 4 (pyrimidines, phenazine). The phenanthroline-containing complex 4 presented intermediate 5 values for k_{-Cl} and i_{cat}/i_p which indicates the unique properties of the phenanthroline ligand that 6 does not appear to function as either a strong electron-donating or -withdrawing species. Interestingly, $[Ru^{II}-Cl]^+$ complexes where the first reduction was tpy(π^*)-based (1-4) showed that 7 8 after one-electron reduction under CO₂ atmosphere, none of the corresponding CH₃CN-bound 9 species was present upon switching scan direction. While the CH₃CN-bound complex was observed under an argon atmosphere, this lack of $[Ru^{II}-NCCH_3]^{+/2+}$ oxidation upon scan 10 direction reversal suggests that CO₂ is able to coordinate after one-electron reduction of [Ru^{II}-11 12 CI⁺. This unique finding proposes that at least two mechanistic pathways towards CO_2 reduction 13 are present within this architecture and differ by the order of electrochemical vs. chemical steps 14 (i.e. EC vs. CE processes). Such observations of varying mechanisms towards catalysis have 15 been shown to exist for proton and CO₂ reduction using various transition metal-based catalysts.^{56, 57} Ongoing studies within the lab involve incorporating this architecture into 16 17 heterogeneous systems for electrocatalysis, as well as utilizing the strong light absorbing nature and catalytic activity for CO₂ reduction of this $[Ru^{II}(NNN)(NN)X]^{n+}$ motif for photocatalytic 18 19 systems.

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Supporting Information. Electronic absorption spectra, cyclic voltammograms, and ¹H-NMR
 spectra of complexes. This material is available free of charge *via* the Internet at
 http://pubs.acs.org.

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3 Notes

4 The authors declare no competing financial interest.

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Synopsis and TOC



Modifying the electron density within a Ru^{II} -polypyridyl molecular architecture *via* electrondonating/withdrawing character of the bidentate ligand set has provided insight into the electronic requirements for catalytic CO₂ reduction.