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COMMUNICATION

An Integrated Strategy for the Conversion of Cellulosic Biomass into γ -Valerolactone

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An integrated method for the production of γ -valerolactone from cellulosic biomass is here presented. A combination of acidic water hydrolysis of the biomass followed by extraction with methyl-tetrahydrofuran is used to generate a levulinic acid feed, further hydrogenated into the platform chemical γ -valerolactone using Raney Nickel as catalyst.

Fuels and chemicals produced in a sustainable fashion from non-edible lignocellulosic biomass are currently considered as a promising alternative to fossil feedstocks.¹ A variety of different molecules have been identified as possible high value products of the catalytic upgrade of biomass. One of the illustrative examples in this direction is represented by γ -valerolactone (GVL),² a cyclic ester with optimal properties as fuel additive³ and solvent⁴ as well as precursor for different platform chemicals and fuels.^{3, 5} GVL can be produced by hydrogenation of levulinic acid (LA), obtained in turn by hydrolysis of the cellulosic portion of raw biomass.⁶ Several hydrogenation schemes relying on the use of different heterogeneous catalysts have been proposed for the conversion of LA into GVL.⁷⁻¹¹ In general, Ru-based catalysts have shown the highest efficiency, followed by other transition metal-based catalysts containing Pd, Pt, Re or Raney Nickel.^{2, 12-16} Since LA is usually produced by acidic hydrolysis of biomass with mineral acids (sulfuric acid in particular), novel preparations of catalysts with increased tolerance towards acids and poisoning agents like sulfur have been attempted,⁵ in order to enable the direct processing of biomass derived LA. Despite the success, the stability of such catalysts still requires additional

improvements to become compatible with high throughput conversion schemes. Alternative solutions have been proposed to bypass this problem, for instance, reactive extraction has been used to convert LA into different aliphatic esters,^{17, 18} with the drawback of adding a synthetic step to the process. Alternatively, extraction with alkylphenol solvents has been proposed to selectively separate LA from the water phase,¹⁹ although in this case, the use of an environmentally questionable solvent was required. Ideally, the use of a sustainable and green solvent to replace the alkylphenol could tremendously improve such an approach. In addition, in order to

favor the industrial application of these methodologies, the exploitation of rare and expensive transition metals for the preparation of the hydrogenation catalysts should be avoided, while the development of catalytic schemes based on cheap and widely available metals should be encouraged.

In this work we propose an efficient integrated strategy to convert biomass into GVL, which is based on the use of the biomass derived solvent 2-methyltetrahydrofuran (2-MeTHF) to extract LA from aqueous feeds obtained by acidic hydrolysis of lignocellulosic materials. The so obtained organic feed is thus subjected to a continuous flow hydrogenation catalyzed by the commercial and relatively economical²⁰ Raney-Nickel.

The suggested integrated scheme for the conversion of lignocellulosic carbohydrates into GVL is depicted in Fig.1.

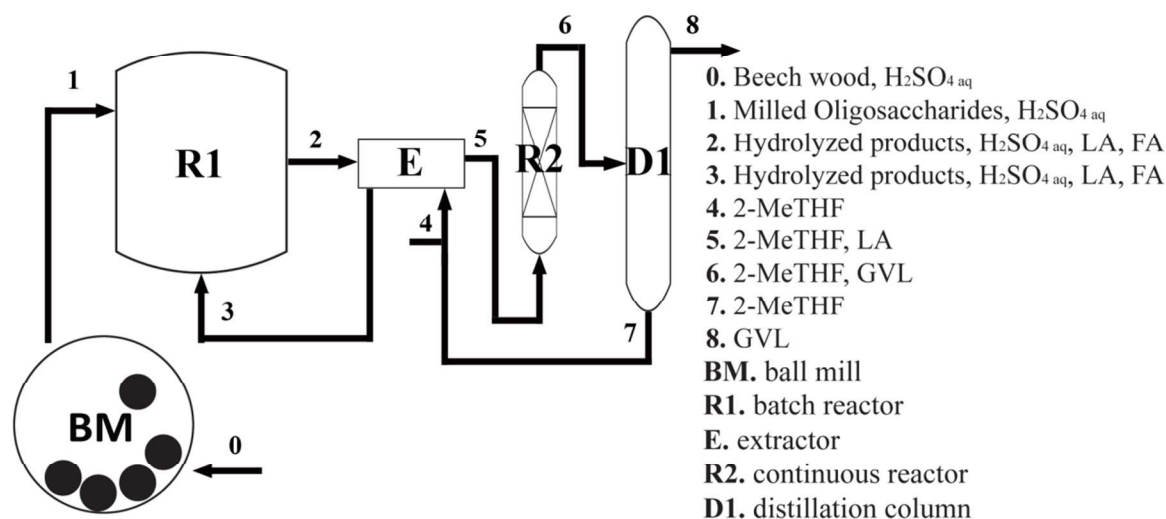


Fig.1. Schematic representation of the integrated strategy for the multistep synthesis of GVL from lignocellulosic biomass.

Initially, following a previously published route,²¹ biomass is conveniently pretreated by ball milling to obtain water soluble partially depolymerized ligno-cellulosic fragments. Then, a batch reactor is employed to perform carbohydrate hydrolysis using sulfuric acid as the catalyst. The water phase can be introduced into an extractor after separation of the byproducts derived from the decomposition of carbohydrates. Extraction of LA using 2-MeTHF regenerates the water phase, which can be recycled for iterative hydrolysis steps, while producing a LA containing organic feed ready to be introduced in the continuous reactor R2. Here, hydrogenolysis with Raney-Ni yields GVL that can be conveniently separated from 2-MeTHF by distillation. 2-MeTHF is distilled as stable azeotrope with water²², still suitable for further reactions.

We commenced our studies by evaluating the possible hydrogenation of LA using commercial Raney-Nickel. Interestingly, the successful use of Raney-Nickel for the hydrogenation of ethyl levulinate to GVL has been recently reported on simulated feeds.¹⁴ In contrast, impurities and poisoning agents contained in biomass derived LA were not tolerated. Noteworthy, the use of Raney-Ni for the direct hydrogenation of LA in batch has also been proposed,^{12, 13} although the authors reported very low efficiency under moderate conditions, whereas adequate preparative conditions were attained at high temperatures (175–200 °C) and pressure (35 bars).

We believe that such findings could be due to a non-optimized reaction setup, while milder reaction conditions could be obtained by careful engineering of the reaction parameters in a continuous

reactor. In this direction, a recent work proposed the continuous synthesis of γ -valerolactone exploiting Raney Nickel as catalyst and water as solvent, obtaining interesting results.²³ We therefore investigated the use of a continuous system, but focused on the use of organic solvents, which better integrate in our strategy. In particular, we screened the effect of temperature and solvent on the reactions using a fixed bed reactor equipped with packed catalyst column (0.9 g of wet Raney-Ni) and supplied with a LA and H₂ feeds (for details see SI). Results are summarized in Table 1.

Table 1. Effect of flow and temperature on the hydrogenation of LA into GVL in different solvents.

Entry	Solvent	T (°C)	Conversion (%)
1	EtOH	115	57
2	EtOH	125	>99
3	EtOH	135	>99
4	EtOH/H ₂ O	125	>99
5	2-MeTHF	125	>99

Reaction conditions: 0.9 g RaNi (wet), 12 bar, 0.3 mL min⁻¹, 50 mM LA in EtOH, EtOH/water and 2-MeTHF.

LA was fully converted into GVL already at moderate conditions (entry 1–4). We continued to explore the possible use of other solvents characterized by a low environmental footprint and we focused our attention on 2-MeTHF, a biomass derivable solvent,²² which can be in fact obtained by reduction of GVL.^{24, 25}

Interestingly, during hydrogenation of LA in ethanol and ethanol water, we did not observe the formation of 2-MeTHF, which probably requires more severe conditions. The use of 2-MeTHF as the reaction solvent (entry 5) yielded GVL with full conversion and selectivity under the same conditions applied in the case of ethanol and ethanol/water. Having demonstrated the viability of reducing LA in flow using Raney-Ni under moderate conditions, we proceeded evaluating a possible strategy to extend this approach to LA feeds extracted from acidic hydrolysate of lignocellulosic material. As mentioned above, one of the major problems associated with the hydrogenation of LA in biomass derived feeds is the presence of mineral acids and impurities which result in the deactivation of the catalyst.

The results obtained with 2-MeTHF (table 1, entry 5) appeared therefore particularly attractive since this solvent could be used in principle to extract LA from acidic aqueous solutions. For instance, exploiting a continuous extraction system would enable accumulation of LA in the organic phase and potentially lead to the recycling of the aqueous sulfuric acid for iterative production of LA. Interestingly, 2-MeTHF has been recently exploited for biomass processing in water containing biphasic mixtures to extract apolar lignin fragments from the aqueous phase.²⁶

To assess the feasibility of such an approach, we performed experiments to determine the partition ratio (Kd) for LA in the system 2-MeTHF/H₂SO₄ (0.5 M) (Fig. SI 4). The estimated Kd resulted in a value of ca. 1.57, anticipating the possible extraction of LA into 2-MeTHF.

We went on performing the reduction of LA using a simulated feed obtained by partition of a water solution of LA in 0.5 M sulfuric acid with 2-MeTHF (table 2, entries 1–3). The efficiency of the reaction resulted unaffected after the extraction (>99% conversion, corresponding to a GVL yield of 96%, based on GC analysis), independently of the temperatures indicating that no significant amounts of sulfuric acid were carried into the organic feed. At this point, the robustness of the reduction step was challenged by processing real levulinic acid feeds obtained by acidic hydrolysis of biomass models and lignocellulosic biomass, in order to take in account the presence of possible additional poisoning agents originated during sugar depolymerization. While glucose was used initially as a model substrate, we later focused our attention on real biomass. The direct use of biomass is nevertheless complicated by the relative hydrolytic stability and low solubility of cellulose and lignin. To overcome this problem, several techniques have been

recently applied to process biomass into water soluble-partially depolymerized cellulosic fragments. Among others, the mechano-catalytic depolymerization of lignocellulose has been successfully exploited as entry process for the preparation of oligosaccharides, alcohols and furfurals.^{21, 27, 28} The main benefits of this technique reside in the efficient comminution of the substrate, which makes it compatible with various reaction setups, and the simultaneous partial depolymerization of polysaccharides and lignin, which facilitates the successive hydrolytic treatments. We performed our experiments using water soluble oligosaccharides prepared by mechano-catalytic processing of cellulose and beech wood. LA was synthesized following known procedures^{6, 29} treating the desired precursor in 0.5 M aqueous sulfuric acid at 220 °C in autoclaves for 8 hours. Reaction time was not further optimized, while a range of concentrations between 30 and 50 mg mL⁻¹ was explored without observing significant changes in the yield. The obtained water phased were thus filtered to remove the humins and the insoluble products generated during the hydrolysis.³⁰ LA was thus extracted with 2-MeTHF and the resulting concentration, depending on the initial concentration of biomass used for the hydrolysis, were measured by chromatographic methods. Formic acid is a known co-product of the hydrolysis of sugars into levulinic acid.²⁵ Although the formic acid potentially extracted in the organic phase can undergo transfer hydrogenation processes,^{31, 32} its presence was neglected due to the excess of hydrogen applied during hydrogenation. Three different standardized feeds obtained from glucose, milled cellulose, and milled wood respectively were supplied to the continuous reactor and the effect of temperature and flow rate on the conversion were analyzed.

Hydrolysis of glucose afforded LA in 32 % weight yield, ca. 50 % on a molar basis (table 2, entry 4). Hydrogenation of a 2-MeTHF extract over Raney-Nickel proceeded smoothly yielding to full conversion already at 125 °C (table 2, entry 4). Increasing the flow rate (entry 5, 6 and 7) resulted in a progressive decrease of the conversion. This effect could be compensated by increasing the reaction temperature (entries 8–11). Interestingly, these experiments showed that the use of a feed obtained from real biomass does not affect the efficiency of the conversion of LA into GVL.

Performing hydrolysis of water soluble oligosaccharides (WSO) obtained by mechano-catalytic depolymerization of cellulose with sulfuric acid³³ (entry 12) produced LA with yields which are similar to the ones obtained from glucose, confirming the efficiency of ball milling for biomass pretreatment.

Table 2. Hydrothermal synthesis of LA using different biomass precursor and effect of flow and temperature on the continuous hydrogenation of the corresponding LA feeds in 2-MeTHF over Raney-Nickel.

Entry	Substrate	Hydrolysis		Hydrogenation		Conversion (%) ^e
		LA yield (w/w %) ^c	Feed conc.(mM)	Flow (mLmin ⁻¹)	T (°C)	
1	Simulated Feed ^a		52 ^d	0.3	125	>99
2	"		"	0.5	135	98
3	"		"	1.0	150	>99
4	Glucose	32 ^c	52 ^d	0.3	125	>99
5	"	-	"	0.4	125	87
6	"	-	"	0.5	125	64
7	"	-	"	1.0	125	54
8	"	-	"	0.3	135	>99
9	"	-	"	0.4	135	98
10	"	-	"	0.5	135	85
11	"	-	"	1.0	135	64
12	Cellulose ^b	23 ^c	36 ^d	0.5	125	>99
13	"	-	"	0.7	125	66
14	"	-	"	1.0	125	36
15	Beech Wood ^b	13 ^c	40 ^d	0.5	125	93
16	"	-	"	0.7	125	81
17	"	-	"	1.0	125	49
18	"	-	"	0.5	135	>99
19	"	-	"	1.0	135	88

Hydrolysis Conditions: substrate in 0.5 M H₂SO₄, 220 °C, 8 h. Hydrogenation conditions: LA in 2-MeTHF, Raney-Nickel, H₂ 12 bar. ^aObtained by partition of a LA solution in 0.5 M H₂SO₄ with 2-MeTHF. ^bPretreated via ball milling with catalytic H₂SO₄. ^cDetermined by HPLC analysis; reactions were carried out multiple times and yields were averaged. ^dDetermined by HPLC analysis measuring the difference in the concentration of LA in the water phase before and after extraction with 2-MeTHF. ^eDetermined by GC-MS analysis.

Hydrogenation of a 32 mM LA extracted in 2-MeTHF afforded GVL quantitatively at 125 °C with a flow of 0.5 mL min⁻¹.

In this case, the advantage of working with dilute LA feeds was clearly visible, since in comparison, use of a 48 mM solution of LA and a volumetric flow of 0.5 mL min⁻¹ resulted in only 64 % conversion at 125°C (entry 6). Direct hydrolysis of milled beech wood as exemplary real biomass afforded LA in 13% yield (w/w). Considering the composition of Beech Wood, which contains ca. 41 wt% glucans (see SI), this corresponds to a LA yield on a molar basis of 44%.

HPLC analysis of the water phase revealed the presence of LA, FA and acetic acid, together with additional minor impurities. Insoluble products, containing humins and lignin fragments were isolated at the end of the reaction by filtration. Extraction of a

concentrated beech wood hydrolysate with 2-MeTHF was used to prepare a 40 mM LA feed. Interestingly GC analysis of this solution revealed the presence of the sole LA in 2-MeTHF, indicating that the extraction proceeds in a very selective manner. Hydrogenation was performed at different temperatures and full conversion could be achieved at 135°C with a flow of 0.5 mL min⁻¹ (entry 18), in line with the trend observed for glucose and cellulose derived LA feeds. Noteworthy, the efficiency of LA hydrogenation proved to be independent of the nature of the precursor exploited whereas the feed concentration played a determining role. The stability of Raney-Ni as a function of the time was evaluated showing that the catalyst retains its activity up to 130 hours of time on stream with the values for the conversion of LA into γ -valerolactone remaining stable (Fig. SI 6). The efficiency of the proposed integrated scheme relies on the

possibility of recycling the acidic aqueous phase for iterative hydrolytic steps. In order to verify this condition, we recycled the acidic water phase for the hydrolysis of glucose after extraction with 2-MeTHF over 4 cycles without observing a substantial decrease on the yield of LA (Fig.4, red bars). Similarly, recycling of the water phase after hydrolysis of beech wood over four cycles did not compromise LA formation (green bars). Noteworthy, performing recycling experiments after extraction with 2-MeTHF prevented the formation of insoluble precipitates. In fact, at the end of the reaction we observed the formation of a biphasic system composed of water and a less dense organic layer. We attributed this to the presence of 2-MeTHF (ca. 7–8 %) dissolved in the water phase after extraction. A control experiment performed treating a 7 % solution of 2-MeTHF in 0.5 M H₂SO₄ at 220 °C for 8 hours resulted in the formation of a similar bio-oil. GC-MS analysis revealed a complicated mixture of different aliphatic and aromatic molecules, probably originated by non-selective decomposition pathways involving 2-MeTHF. In addition, gel permeation chromatography and ESI-mass analysis of a bio oil obtained after hydrothermal treatment of beech wood during recycling experiments revealed the presence of molecular species with mass in the range of 500–100 g mol⁻¹. We surmised that such a “bio-oil” could be responsible for the solubilization of the solid byproducts otherwise observed during the acidic hydrothermal treatment of the biomass, including lignin fragments corresponding to different degree of depolymerization.

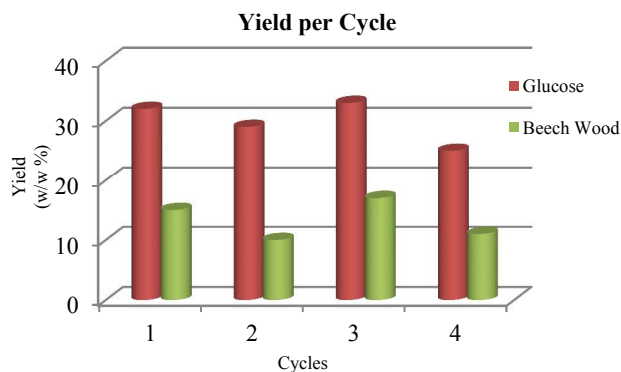


Fig.2. Levulinic acid yield per cycle during recycling experiments of the acidic aqueous phase for the hydrolysis of glucose and beech wood.

Conclusions

In conclusion, we described a convenient integrated strategy for the conversion of biomass into GVL. Ball milling is used as efficient entry step to generate water soluble, partially depolymerized

lignocellulosic feeds. Thus, an acidic hydrothermal treatment is used to produce LA. Following extraction with 2-MeTHF to prepare a LA containing feed, a final Raney-Nickel catalyzed hydrogenation affords GVL. Ball milling was performed with catalytic amounts of sulfuric acid in line with the following sulfuric acid catalyzed hydrolysis. The use of 2-MeTHF resulted particularly convenient providing LA in acid/sulfur-free organic feeds which do not compromise the activity of Raney-Nickel. Furthermore, 2-MeTHF proved as an optimal solvent for hydrogenation reactions.

One of the main advantages of the proposed scheme is the possibility of recycling both the water and the organic streams for iterative processing. Noteworthy, blending of the water phase with little amounts 2-MeTHF during hydrolysis prevented the formation of insoluble precipitates and in turn, resulted in the formation of an enriched “bio-oil”, which in the case of beech wood contained different lignin fragments. This renders the proposed refining schemes particularly attractive due to the possible simultaneous upgrading of both the cellulosic and lignin fractions of the biomass. Since 2-MeTHF is considered a green/ecofriendly solvent^{22, 34, 35}, and Raney-Ni is prepared from an alloy of the two widely available metals Al and Ni, the strategy disclosed in this paper represents a very sustainable alternative to methods based on the use of rare transition metals or non-green reaction media and could facilitate the preparation of GVL from biomass on industrial scale. The possible extension of the proposed integrated strategy also to the preparation of 2-MeTHF, which would render the proposed approach even more sustainable, will be the subject of future studies.

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Notes and references

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† Electronic Supplementary Information are available online free of charge.

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