



**Towards the next generation of biomedicines by site-selective conjugation**

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## ARTICLE

## Towards the next generation of biomedicines by site-selective conjugation

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Bioconjugates represent an emerging class of medicines, which offers therapeutic opportunities overtaking the ones of the individual components. Many novel bioconjugates have been explored in order to address various emerging medical needs. The last decade has witnessed exponential growth of new site-selective bioconjugation techniques, however very few methods have made the way into human clinical trial. Here we discuss various applications of site-selective conjugation in biomedicines, including half-life extension, antibody-drug conjugates, conjugate vaccines, bispecific antibodies and cell therapy. The review is intended to highlight both the progress and challenges, and identify a potential roadmap to address the gap.

## 1 Introduction

Bioconjugation strategies for the covalent crosslink of a synthetic or semisynthetic molecule (e.g. drugs, carbohydrates, peptides and other bio- or synthetic polymers) to a biomolecule (e.g. proteins, nucleotides or polysaccharides) have attracted increasing attention in the biopharmaceutical field.<sup>1</sup> Bioconjugates represent an emerging class of medicines, which offers therapeutic opportunities overtaking the ones of the individual components. The history of medical application of bioconjugates can be traced back to as early as 1920s, when Avery and Goebel reported that a non-immunogenic bacterial capsular polysaccharide can stimulate an immune response upon covalent conjugation to a protein carrier.<sup>2</sup> It took a long journey before this remarkable observation could be finally translated into ProHIBIT<sup>®</sup>, the first licensed conjugate vaccine against *Haemophilus influenzae* type b (Hib) for the US market, in 1987 (Figure 1). In parallel, bioconjugation for half-life extension of therapeutic proteins has been extensively investigated, and led to the launch of a PEGylated Adenosine deaminase Adagen<sup>®</sup> as a remedy for severe combined immunodeficiency disease in the US in 1990. Later in 1994, PEGylated asparaginase Oncaspar<sup>®</sup> was licensed for the treatment of pediatric leukemia, expanding the scope of bioconjugation to anti-tumor therapy.<sup>3</sup> In the same decade, the idea of targeted therapy conceived by Ehrlich a century ago was realized first by the registration of several antibody-based imaging agents,<sup>4</sup> and then by the FDA approval of the antibody-drug conjugate (ADC) Gemtuzumab ozogamicin (Mylotarg<sup>®</sup>, Wyeth-Pfizer) in 2000.<sup>5</sup> Genetics/Takeda, and Kadcylla<sup>®</sup>, Genentech-Roche/ImmunoGen) were approved by FDA in 2010.

Unfortunately, the compound was withdrawn from the market in 2010 due to its marginal benefits. The concept resurged with improved technology, and two other ADCs (Adcetris<sup>®</sup>, Seattle

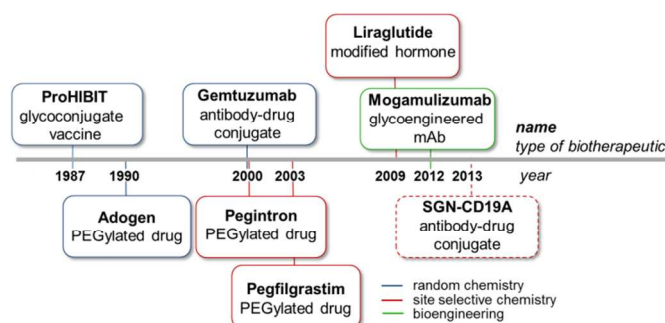


Fig. 1. Important milestones achieved with bioconjugate medicines show how the scientific discoveries in protein modifications through chemical approaches and bioengineering over the last twenty years are impacting the pharma industry. Licensed biomedicines are indicated with a full frame line, products in clinical trials with dot frame line.

Currently, there are more than 40 ADCs at various stages of clinical trials,<sup>6</sup> and some of them will hopefully make their way to market.

In 2009, the first site selectively modified biotherapeutic, the hormone Liraglutide (Victoza<sup>®</sup>) developed by Novo Nordisk, was licensed, representing a breakthrough for bioconjugate medicines.<sup>7</sup>

The recent introduction of the first glycoengineered monoclonal antibody (Mogamulizumab, Poteligeo<sup>®</sup>, Kyowa Hakko Kirin Co)<sup>8</sup> in Japan highlighted the potential of precisely modified therapeutics also via an engineered cell line (Fig. 1).

1 Table 1. Bioconjugate medicines among the Top 50 pharmaceutical products  
2 by global sales in 2014.

Rank	Brand names	Indication	Sales 2013 (\$m)
16	Neulasta <sup>®</sup>	Neutropenia	4596
17	Pevnar13 <sup>®</sup>	Pneumococcal disease	4464
39	Levemir <sup>®</sup>	Type 1, 2 diabetes	2454
42	Victoza <sup>®</sup>	Type 2 diabetes	2318

3  
4 In 2014 four bioconjugate medicines, namely the white blood  
5 cell booster Neulasta<sup>®</sup>, the glycoconjugate vaccine Pevnar13<sup>®</sup>,  
6 and the antidiabetics Levemir<sup>®</sup> and Victoza<sup>®</sup>, ranked at relevant  
7 positions in the list of the Top 50 pharmaceutical products  
8 based on annual global sales (Table 1).<sup>9</sup> The success of  
9 bioconjugates is driving the development of a variety of  
10 biomolecules to address unmet medical needs in different  
11 disease areas.<sup>10</sup>

12 Over the recent years, the request of conjugation strategies  
13 ensuring improved pharmacokinetic and/or pharmacodynamic  
14 properties, increased efficacy and safer profile of bioconjugate  
15 medicines has promoted the development of many *in vitro* and  
16 *in vivo* site selective methods.

17 These techniques offer great opportunities for the design of  
18 tailored biopharmaceuticals tackling therapeutic challenges, but  
19 also introduce new challenges that need to be overcome before  
20 fulfilling their promise. This review is intended to cover the  
21 recent applications of site-selective bioconjugation methods in  
22 various therapeutic areas. After a brief introduction of general  
23 tactics for the site-selective protein modification, we discuss the  
24 application of these techniques in different classes of protein-  
25 based pharmaceuticals, including long acting proteins,  
26 antibody-drug conjugates, conjugate vaccines, and cell  
27 therapies. Particular focus is given to examples with *in vivo* or  
28 clinical data to elucidate opportunities and challenges towards a  
29 successful translation in humans.  
30

## 31 2. Tactics in regioselective conjugation

32 Generally protein-based bioconjugate medicines involve the  
33 coupling of different class of molecules which include  
34 primarily (a) polymers to extend the circulating half-life of  
35 protein therapeutics<sup>11</sup>; (b) cytotoxic anti-cancer drugs,<sup>12,13</sup> that  
36 are coupled to mAbs for selective delivery to cancer cells; or  
37 (c) glycans which can be linked to proteins in order to (i)  
38 induce an anti-carbohydrate response,<sup>14</sup> (ii) enhance the  
39 immunological activity of antigens,<sup>15</sup> or (iii) modulate the  
40 pharmacokinetic and/or pharmacodynamic properties of  
41 antibodies.<sup>16</sup> In addition, small molecules  
42 (immunopotentiators) targeting specific receptors have also  
43 been conjugated to modulate the immune activity of antibodies  
44 or protein antigens.<sup>17,18</sup>

Classic procedures to modify proteins typically target the most  
abundant surface residues, including K, D/E or C residues.<sup>19</sup>  
The  $\epsilon$ -amino group of K can be directly coupled by different  
methods, mainly reductive amination or amide bond  
formation.<sup>20</sup> Alternatively, a variety of bifunctional linkers can  
be used to modify the protein for further incorporation of the  
target payload/glycan. Likewise, carboxylic acids of D/E

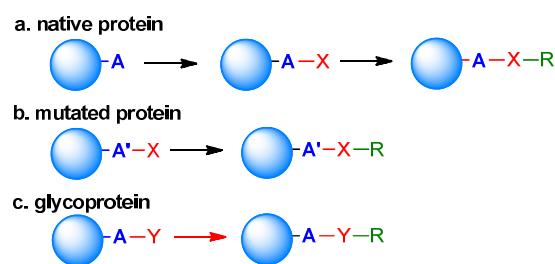
residues can react with amines by condensing agents or  
modified with bifunctional linkers.<sup>14</sup> Generally these methods  
are inherently associated with low regioselectivity which results  
in unpredictable variability of the product quality.

Differently from K and D/E, C can be targeted in selective  
manner by forming, for example, mixed disulfides or by  
alkylation with suitable electrophiles, such as  $\alpha$ -halocarbonyls  
(e.g., iodoacetamide)<sup>21</sup> and Michael acceptors (e.g., maleimides  
or vinyl sulfones).<sup>22</sup> On the other hand, C is typically presented  
in disulphide bridges, and its involvement could have  
deleterious results on the protein structure.

In general, the use of random conjugation methods can  
introduce changes on protein conformation, producing  
detrimental effects on cell-biomolecule interactions.<sup>23</sup>

One of the key requirements to achieve optimal efficacy of a  
protein therapy, is the preservation of the original protein  
functionality upon conjugation. In addition, the payload  
potency and loading, linker, and immunogenicity of the payload  
or linker are integral factors to be considered in the  
optimisation.<sup>18,19</sup>

Site-selective conjugation methods hold the central role in this  
regard.<sup>1,19,24</sup> Performing a regioselective chemical reaction on  
a protein and maintaining its integrity is highly challenging,  
primarily due to the distinct requirements for manipulating a  
protein as compared to small organic molecules. Protein  
conjugation uses water as the sole solvent at nearly neutral pH.  
The reaction temperature is usually below 40°C, and the  
reactant concentration is lower than mM. Therefore, the  
reaction typically requires high kinetics with compatibility to  
water and extensive functionalities on the protein.  
Conceptually, the selectivity can be accessed by targeting the  
most differentiating canonical amino acid(s) on protein, or pre-  
installing an orthogonal functionality by protein engineering  
(Scheme 1).



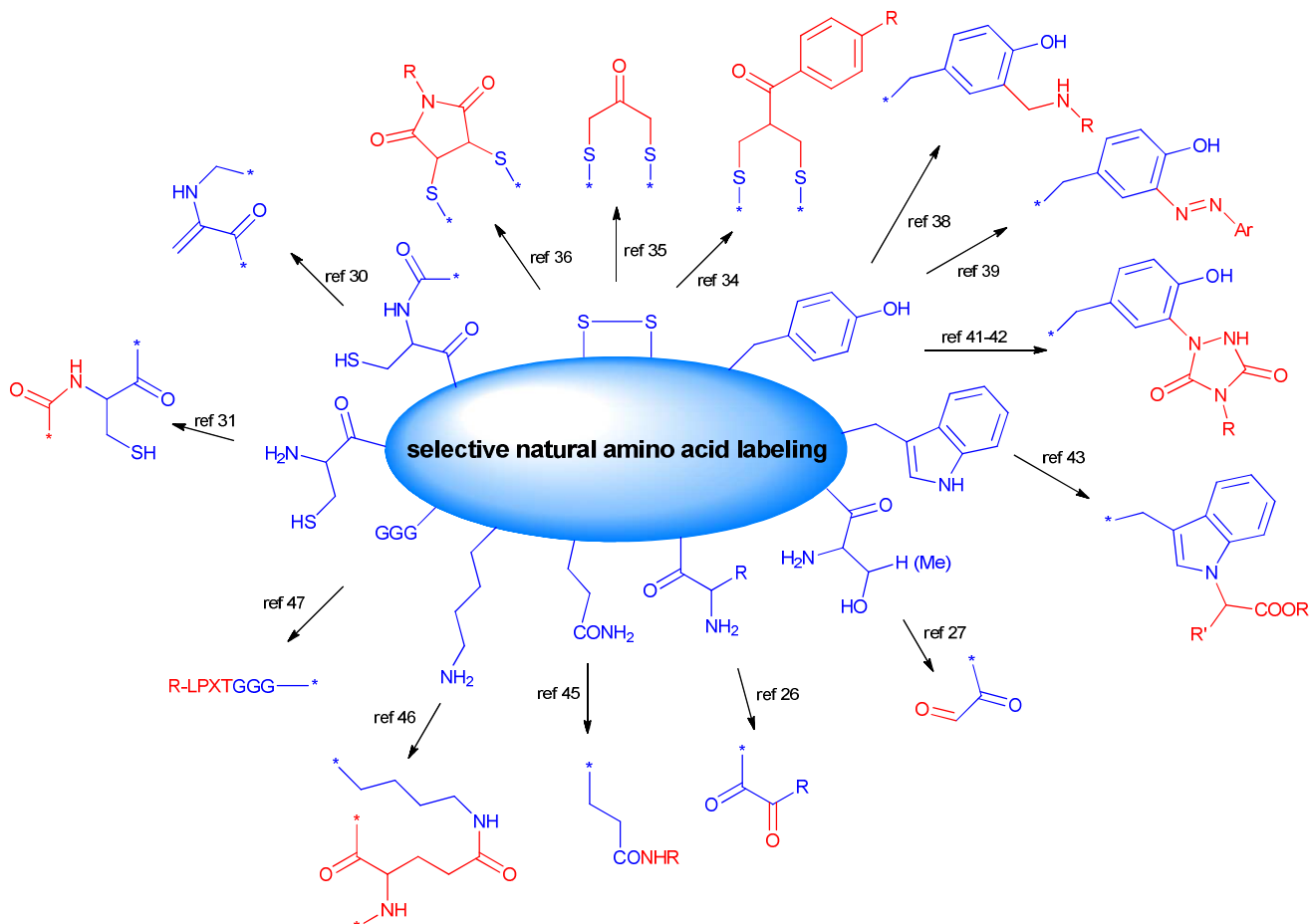
Scheme 1. General approaches to site-selective bioconjugates. a) An  
endogenous amino acid residue (A) with unique reactivity is modified  
with functionality (X) ready for further coupling with payload or glycan  
(R). b) The functionality X is already present in a residue A' genetically  
introduced in the protein. c) Post translational modifications (typically  
glycan) occurring at certain positions can be targeted to introduce the  
substituent R.

### 2.1 Selective bioconjugation reactions targeting canonical amino acids

There are 20 types of canonical amino acids in proteins. Among

1

2



Scheme 2. Major site-selective conjugation methods targeting canonical amino acids.

1 these, H, D, R, C, Q, E, K, N, S, Y, T, W residues and N- or C-  
 2 terminus are potentially reactive. Traditional conjugation  
 3 methods are mainly addressed to the most reactive residues  
 4 (e.g., K), due to the favourable reaction kinetics. The high  
 5 nucleophilicity of the amine in the K side chain and the relatively  
 6 good surface exposition of K residues in water soluble proteins often  
 7 pose significant challenges to achieve good regioselectivity. Many  
 8 excellent methods have been developed for site directed  
 9 modification, and we will briefly introduce the major strategies  
 10 (Scheme 2).<sup>24, 25</sup> It is known that the N-terminal amine has  
 11 slightly higher pKa than the corresponding  $\epsilon$ -amine group of K.  
 12 At slightly acidic condition, N-terminal amine retains good  
 13 reactivity, while lysines are less prone to reaction due to  
 14 protonation. A series of strategies have been developed by  
 15 taking advantage of this feature, including reductive amination,  
 16 acylation, and Pyridoxal phosphate (PLP) mediated  
 17 transamination reaction.<sup>26</sup>  
 18 N-terminal S or T present a unique adjacent amino alcohol  
 19 moiety, which can undergo efficient oxidative cleavage to  
 20 aldehyde by treating with sodium periodate.<sup>27</sup> The newly  
 21 formed aldehyde is a great orthogonal conjugation handle for  
 22 the subsequent manipulation.

C is traditionally a highly favourable conjugation site due to its  
 outstanding reactivity and low population. Besides the reactions  
 mentioned above, the thiol group of C<sup>28</sup> can undergo different  
 modifications, including the disulfide exchange reaction to  
 form mixed disulfides<sup>29</sup> or SeS-derivatives,<sup>30</sup> and the oxidative  
 elimination of C to generate a dehydroalanine, which is a useful  
 acceptor for Michael-type reactions with thiol nucleophiles.<sup>31</sup>  
 N-terminal C is suitable for native chemical ligation with a  
 thioester to form an amide linkage.<sup>32</sup> This reaction has been  
 frequently used in the semi-synthesis of proteins.<sup>33</sup>  
 Most proteins have no free cysteine on surface, and most of  
 them are present in disulphide bonds, which are potentially  
 crucial to the protein tertiary structure. A few excellent  
 strategies selectively cleave the disulfide bond first, and  
 subsequently reconnect two cysteines together by the  
 introduction of a short covalent bridge between them.<sup>30, 31</sup> The  
 bridge also serves as the attachment point for payloads.  
 Bisulfone linkers,<sup>34</sup> dihaloacetone<sup>35</sup> or dibromomaleimides<sup>36, 37</sup>  
 represent the frontier in this direction.  
 Aromatic amino acid Y or W have lower population than K, as  
 well as lower exposition on surface. The reactivity of these  
 aromatic amino acids has been explored for site-selective

conjugation in recent years. A seminal example was the reaction of formaldehyde with the electron-rich aniline to give the iminium intermediate which then undergoes a Mannich condensation with the phenol of tyrosine residues to provide bioconjugates.<sup>38</sup> Alternatively, diazonium salts can react with the phenol group to provide ortho-substituted tyrosine residues.<sup>39</sup> Among the different proposed methods,<sup>40</sup> a unique class of compounds which have been proven useful for the modification of proteins therapeutics is given by triazolinediones which condense with tyrosine residues.<sup>41</sup> This reaction has been shown to proceed with high selectivity towards tyrosine when tris(hydroxymethyl)aminomethane (Tris) buffer is used, to trap the isocyanates derived from the *in situ* degradation of the triazolinediones that would direct the reaction to the lysine residues instead.<sup>42</sup>

1 Attempts to target W by transition metal catalysed reaction  
2 based on rhodium carbenoids yielded mixtures of N- and C-  
3 adducts.<sup>43</sup> However, the high acidic condition might limit its  
4 applicability.

5 Modification of certain residues, such as K, Q and G, can be  
6 selectively achieved by chemoenzymatic methods.<sup>44</sup> These strategies  
7 target residues within an enzyme recognition sequence introduced by  
8 protein engineering (Scheme 2).

9 Transglutaminases (TGases), a family of widely expressed  
10 enzymes, have been used to selectively label Q<sup>45</sup> or K.<sup>46</sup>  
11 Sortases from *Staphylococcus aureus* or *Streptococcus pyogenes*  
12 have also been applied for specific conjugation of N-terminal  
13 (G)<sub>n</sub> (n ≥ 3) or C-terminal LPXTG sequence.<sup>47</sup>

14 Other enzymes potentially suited for protein modifications include:  
15 (i) *Escherichia coli* biotin ligase (BirA), capable of recognizing and  
16 biotinylating an engineered 15-residue 'acceptor peptide' (AP)  
17 sequence, which can be fused to the N-terminus or C-terminus of  
18 any target protein;<sup>48</sup> (ii) *E. coli* lipotic acid ligase (LplA) attaching  
19 lipotic acid analogs to the LplA acceptor peptide;<sup>49</sup> (iii) Protein  
20 Farnesyl Transferase (PFTase) and Protein Geranylgeranyl  
21 Transferase (PGGTase) catalyzing protein prenylation.<sup>50</sup> In addition,  
22 enzymes catalyzing glycosylation of S/T residues with GlcNAc can  
23 be the starting point for further chemoenzymatic glycan  
24 modification.<sup>51</sup> A series of glycosyltransferases, including  
25 sialyltransferases or galactosyltransferases, have also been employed  
26 to generate site-specifically modified biotherapeutics.<sup>52</sup>

27 A plethora of methods have been described for site specific  
28 chemical or chemoenzymatic incorporations of probes,  
29 radioactive agents or fluorophores that we are not discussing  
30 here, but that could offer a starting point for bioconjugation of  
31 small/macro- molecules.<sup>24</sup> Many of these techniques can  
32 potentially be used in orthogonal manner to place copies of the  
33 conjugated molecules or even different types of molecules.<sup>53</sup>

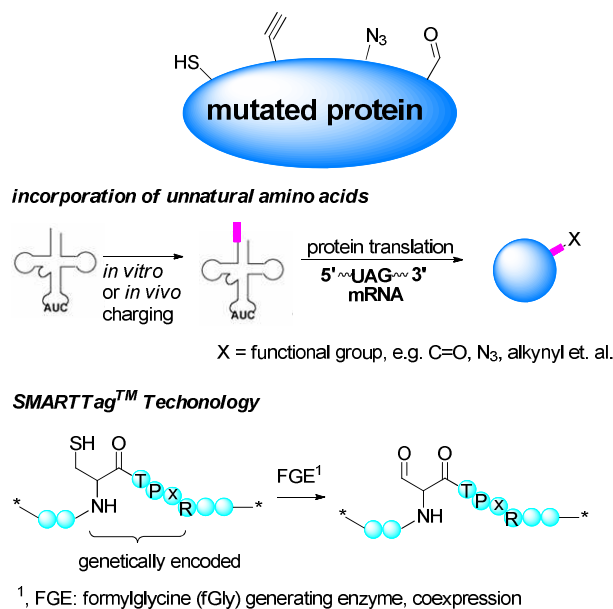
## 35 2.2 Regioselectivity via preinstalled functionality by 36 molecular biology

Methods targeting native amino acids are generally substrate-dependent, and the selectivity obtained on one substrate is often not transferable to another substrate. The choice of conjugation sites is also limited. On the other hand, molecular biology

methods introduce functionalities suitable for site-specific conjugation, potentially at any position of choice. Currently, several variants in this class of modifications have been developed (Scheme 3).

### 2.2.1 Cysteine mutation

C is an excellent soft nucleophile, rare on protein surface, and usually present as disulphide. C can be introduced by mutation at various positions, and can undergo highly specific conjugation with reagents such as maleimide derivatives.<sup>54, 55</sup> This strategy has been applied in the preparation of various bioconjugates. The expression of cysteine mutation proteins can result in lower yield lower than the parent protein. In addition, the introduced cysteine is usually capped by another exogenous cysteine or other small molecules via a disulphide bond, when the protein is expressed and secreted. This phenomenon requires additional reduction-oxidation steps to free the cysteine for the subsequent conjugation.



Scheme 3. Regioselectivity via preinstalled functionality by molecular biology.

### 2.2.2 Unnatural amino acid method

A very attractive approach is represented by site-specific incorporation of unnatural (i.e. not naturally found or encoded) amino acids (uAAs) into proteins. This technology utilizes a modified translational machinery to incorporate uAAs via a stop codon by a suitable orthogonal tRNA/aminoacyl-tRNA synthetase pair (Scheme 3).<sup>56, 57</sup> By this approach, over a hundred amino acid analogs have been successfully incorporated into proteins.<sup>58-60</sup> Conceptually, uAAs could be introduced at any desired position to enable site-specific conjugation.

In recent years, this method has been demonstrated successful in the formation of homogeneous covalent protein–protein, protein–small molecule and glycan–protein linkages, heterodimeric protein conjugates,<sup>61</sup> antibody–drug conjugates<sup>62</sup> and glycoconjugates.<sup>63, 64</sup>

1 Very recently, quadruplet-decoding transfer RNAs have been  
2 developed to enable encoding multiple pairs of distinct uAAs into a  
3 single protein.<sup>63</sup> This technique represents a major breakthrough in  
4 this field.

5 uAAs incorporation by open cell free synthesis (OCFS) has been  
6 tackled. In 2009, Goerke and Swartz successfully employed an  
7 *Methanocaldococcus jannaschii* aminoacyl tRNA synthetase  
8 (aaRS)-tRNA for cell free incorporation of the uAAs para-azido-L-  
9 phenylalanine (pAzF) into dihydrofolate reductase.<sup>65</sup> Despite of the  
10 initial low product yield, the concept holds potential to overcome  
11 some limitations associated with cell based expression system.  
12 Taking advantage of an *M. jannaschii* tyrosyl tRNA derived  
13 synthetase/uAA pairs in an *E. coli*-based cell-free expression  
14 system,<sup>66</sup> Otting et al. optimized a method enabling a more robust  
15 cell-free based expression of uAA-containing proteins.<sup>67</sup>

16 Selenocysteine can be engineered into proteins, and provides an  
17 alternative uAA as the site-specific conjugation handle for  
18 generating homogeneous biotherapeutics.<sup>68</sup> Selenocysteine is  
19 recognized as the 21st amino acid and its specific incorporation is  
20 directed by the UGA codon.<sup>69</sup> Unique tRNAs that have  
21 complementary UGA anticodons are aminoacylated with serine.  
22 Conversion of the seryl-tRNA into selenocysteyl-tRNA and  
23 following specific binding to a special elongation factor, leads to  
24 ribosomal-mediated synthesis of selenoproteins.

### 26 2.2.3 SMARTTag™ Technology

27 Another approach exploiting protein engineering to insert unnatural  
28 functionalities is the so called SMARTTag™ Technology, which  
29 was derived from the seminal work of Bertozzi and coworkers  
30 (Scheme 3).<sup>70-72</sup> By this technology a formylglycine-generating  
31 enzyme (FGE) recognition sequence is first inserted at the desired  
32 location along the protein backbone using standard molecular  
33 biology techniques. Upon expression, FGE, which is endogenous to  
34 eukaryotic cells, catalyses the conversion of the cysteine within the  
35 consensus sequence to a formylglycine residue (fGly), which can be  
36 targeted for further modifications.

### 38 2.3 Modification of inserted functionalities

39 One of the essential requisites in the design of bioconjugate  
40 medicines, is the choice of a selective chemistry enabling orthogonal  
41 connection of the coupling partner (small molecule/glycan) with the  
42 functionalized protein (Scheme 4).

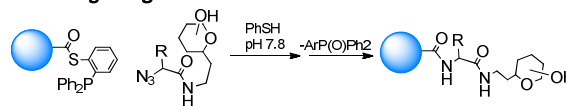
43 Among the different proposed ligation strategies,<sup>73, 74</sup> chemical  
44 ligation between an N-terminal C and a partner containing an  $\alpha$ -  
45 thioester group can generate an amide bond at the ligation junction,<sup>75</sup>  
46 via an initial trans-thioesterification followed by spontaneous  
47 intramolecular S to N acyl shift. This reaction has given access to  
48 Glycosylphosphatidylinositol (GPI)-anchored glycoproteins.<sup>76</sup> The  
49 approach generates a free cysteine, which is usually undesirable to a  
50 therapeutic protein. Several methods have been developed to  
51 selectively remove the free thiol, such as hydrogenation in the  
52 presence of Raney Ni or Pd on Al<sub>2</sub>O<sub>3</sub>.<sup>77</sup> Very recently, Wan and  
53 Danishefsky reported a powerful radical desulfurization, which  
54 highly efficient and general in the total synthesis of  
55 (glyco)proteins.<sup>78</sup>

The reaction of a phosphine and an azide to form an iminophosphorane, described in 1919 by Staudinger and Meyer,<sup>79</sup> has also been harnessed as a bioconjugation tool.<sup>80</sup> Since in the original reaction a phosphine oxide remained as part of the product, “traceless” variants of the reaction have been developed,<sup>81, 82</sup> finding application in the preparation of glycoproteins and modified proteins.<sup>83</sup>

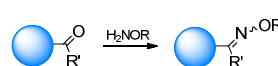
The sulfhydryl from cysteine can be exploited to produce adducts with payloads by displacement of halogens,<sup>84</sup> thiol-ene addition with olefins,<sup>85</sup> Michael type reactions with maleimides,<sup>86</sup> or exchange with disulfide/selenenylsulfide to provide dithioesters.<sup>87</sup> Similarly to the sulfhydryl of C, the selenol group of selenocysteine can be modified by formation of mixed Se-S ethers.<sup>26,85</sup>

The Cu(I) catalyzed Huisgen 1,3-dipolar cycloaddition reaction of azides and alkynes has gained particular attention since their first report in 2001.<sup>88, 89</sup> This reaction ensures orthogonality with the amino acid residues.

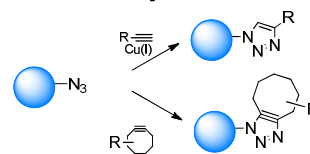
#### Staudinger ligation



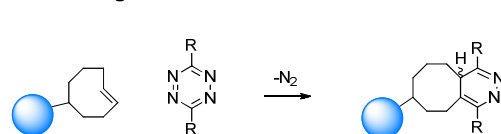
#### oxime formation



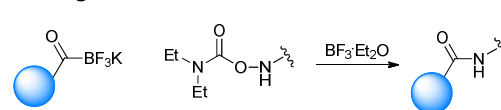
#### click chemistry



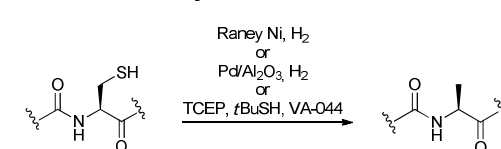
#### tetrazine ligation



#### KAT ligation



#### desulfurization of cysteine



Scheme 4. Examples of reactions enabling orthogonal connection of the coupling partner (small molecule/glycan) with the functionalized protein.

Cu(I) salts are known to be cytotoxic, and this has prevented their use for imaging studies in living organisms. Although it has been

estimated that the amount used to catalyze the cycloaddition reaction for the preparation of bioconjugate medicines is far below the proposed permitted daily exposure,<sup>90</sup> a valid alternative is represented by the strain promoted version of the reaction.<sup>91, 92</sup>

1 A number of substituted cycloalkynes has been currently used for  
2 fast cycloaddition with azides.<sup>93, 94</sup> The catalyst-free inverse-  
3 electron-demand Diels-Alder cycloaddition between 1,2,4,5-  
4 tetrazine and trans-cyclooctene (TCO) is another highly selective  
5 and efficient ligation reaction.<sup>95, 96</sup> The need of Cu(I) catalysis has  
6 been observed when a glycan partner is condensed.<sup>64</sup>

7 Reaction of a carbonyl with a hydrazide or an aminoxy group has  
8 also been proven useful in bioconjugation strategies. Particularly  
9 oximes, which can be efficiently formed under acidic conditions or  
10 at closely neutral pH by aniline catalysis,<sup>32</sup> are thermodynamically  
11 stable comparably to the corresponding hydrazones.

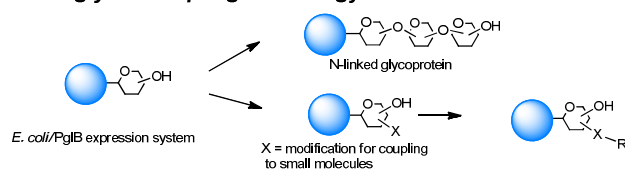
12 Bode and coworkers have developed a highly efficient amide-  
13 forming ligation of potassium acyltrifluoroborates and  
14 hydroxylamines in water (*KAT Ligation*). The method has showed  
15 potential in the synthesis of proteins.<sup>97</sup>

16 The utility of the described chemistries has also been proven in a  
17 large number of examples in combination with uAAs. For instance  
18 positioning of a tyrosine with a ketone handle has been used for  
19 hydrazine/oxime formation,<sup>98</sup> insertion of azidohomoalanine has  
20 been followed by coupling of a ligand through click chemistry<sup>99</sup> or  
21 Staudinger ligation,<sup>100</sup> dehydroalanine has been incorporated as  
22 useful intermediate for Michael type additions,<sup>101</sup> and 4-iodo-L-  
23 phenylalanine-containing protein has been chemoselectively  
24 modified by means of a Mizoroki–Heck reaction to create C-C  
25 bonds.<sup>102</sup>

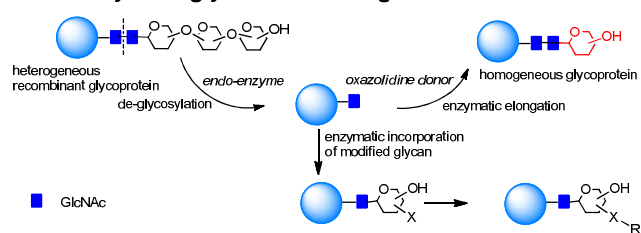
## 27 2.4 Post-translational protein modifications

28 N-linked glycosylation of proteins is the most abundant post  
29 translational protein modification and, therefore, has been targeted  
30 for its potential to deliver site specifically modified glycoproteins,

### protein glycan coupling technology



### chemoenzymatic glycan remodelling



31  
32 Scheme 5. Bioengineering approaches for the preparation of homogeneous  
33 glycoproteins.

34  
35 and more recently to link payloads to functionalized sugar residues  
36 (Scheme 5).

## 2.4.1 Bioengineering defined glycoproteins

In this context, an approach which is gaining considerable attention is the so called *protein glycan coupling technology* (Scheme 5).

Generally in eukaryotes, the oligosaccharide is preassembled on the lipid carrier dolichyl pyrophosphate at the membrane of endoplasmic reticulum and then selectively transferred to asparagine residues within the sequence NXST of nascent polypeptide chains.<sup>103</sup> Bacterial and eukaryotic N-linked glycosylation pathways are, however, homologous processes. In particular, *Campylobacter jejuni* possesses a general N-linked glycosylation system where the oligosaccharide is assembled on the lipid carrier undecaprenyl-pyrophosphate (Und-PP) at the cytoplasmic side of the inner membrane, and translocated to the periplasm by the ABC transporter homologue PglK.<sup>104</sup> Finally, the oligosaccharyltransferase (OTase) PglB transfers the oligosaccharide from the lipid carrier to the acceptor proteins.

The assembly of the O-antigen constituting the outer component of the LPS of Gram-negative bacteria, involves according to the so called “Wzy-dependent mechanism” the synthesis of repeating subunits on the lipid carrier Und-PP at the cytoplasmic side of the inner membrane. Once completed, O-antigen subunits are flipped across the cytoplasmic membrane, polymerized by the Wzy polymerase in the periplasmic space, and transferred to the lipid A core by the WaaL ligase.<sup>105</sup> Alternatively, the formation of a polymeric O antigen by reactions can occur at the cytosolic face of the cytoplasmic membrane in the “ABC transporter-dependent” pathway.<sup>105</sup>

The nascent polysaccharide chain is transported across the inner membrane by an ATP-binding cassette transporter, and subsequently ligated to the lipid A core.<sup>106</sup> In *Escherichia coli*, the WecA UDP-GlcNAc:undecaprenylphosphate GlcNAc-1-phosphate transferase can initiate either assembly pathway.<sup>107</sup> The *C. jejuni* N-glycosylation machinery can be functionally transplanted to *E. coli*.<sup>108</sup> PglB expressed in a WaaL mutant strain of *E. coli* can efficiently accept diverse Und-PP-linked glycans as substrates.<sup>109</sup> By using this glycosylation machinery, a variety of polysaccharides can be potentially transferred to recombinant proteins, enabling the *one pot* biosynthesis of glycoproteins.<sup>109</sup> This approach appears suited for the incorporation of a limited but precise number of glycans, with variable length. Similarly to engineering of cysteines or uAAs, the attachment sites is given by the NXST tag, therefore the connectivity point can be theoretically varied to find the optimal portion of the protein for modification.

Glycoengineering has been also used to generate human carbohydrate structures on the surface of recombinant Gram-negative bacteria, such as *E. coli* and *Salmonella enterica*. In particular, polymers of the ubiquitous glycan Gal $\beta$ 1-4GlcNAc, a typical motif in N-glycosylated mammalian proteins, were expressed and used as acceptors for fucosylation leading to polymers of Lewis X antigens.<sup>110</sup> Glycoengineered lipooligosaccharides (LOSs) allowed studying pro-inflammatory responses in murine dendritic cells.

Alternative N-glycosylation systems with different peculiarities have been recently discovered. The NGT tag found in *Actinobacillus pleuropneumoniae*, which is involved in the biosynthesis of autotransporter adhesins mediating adhesion to the host cells, a crucial property for colonization and pathogenesis, has been found to

yield homogeneous glycoforms modified with glucose (Glc), either at S or T residues, representing a valuable starting material for further transglycosylation reactions.<sup>111</sup> Attempts to engineer yeasts to produce defined glycosylated proteins have been conducted with low success in a mutant strain of *Saccharomyces cerevisiae*.<sup>112</sup> O-linked<sup>113</sup> and S-linked<sup>114, 115</sup> modifications have also been proposed as viable alternatives to N-glycosylation.

1

## 2 2.4.2 Manipulation of post-translational modification

3 Enzymes involved in post-translational modifications have been  
4 increasingly isolated and characterized, and a solid foundation  
5 has been established for utilizing the pathway for site-selective  
6 bioconjugation. In particular, several strategies have been  
7 developed around glycosylation.

8 Chemical manipulations of certain sugar residues can be used  
9 alone or in association with enzymes to achieve site-selective  
10 bioconjugation. For instance, *cis*-diol on sialic acid (NeuNAc)  
11 can undergo selective oxidative cleavage to aldehyde at mild  
12 conditions, offering a functional group for protein  
13 modification.<sup>116</sup>

14 The use of the enzymes involved in post translational  
15 modifications and the corresponding substrate mimetics have  
16 been combined for *chemoenzymatic remodelling* of glycoproteins  
17 (Scheme 5).<sup>117</sup> Initial heterogeneous glycoform mixtures are treated  
18 with an endoglycosidase (“Endo”) to trim off the variable portions of  
19 the oligosaccharides attached to the first GlcNAc residue of the N-  
20 glycosylated sites. Subsequent enzyme-mediated transfer of a  
21 synthetic glycan, in the form of activated glycan oxazoline, to the  
22 GlcNAc moiety by an endoglycosynthase mutant provides a  
23 homogeneous glycopeptide or glycoprotein.<sup>118</sup> Different  
24 endoglycosidases (EndoH or EndoS)<sup>119, 120</sup> with complementary  
25 potential have been demonstrated able to degrade heterogeneous  
26 glycans to a single N-linked GlcNAc residue. This has been  
27 extended by transglycosylation using either Endo-M, (from *Mucor*  
28 *hiemalis*),<sup>121</sup> Endo-A from *Arthrobacter protophormiae*,<sup>122</sup> Endo-  
29 CE from *Caenorhabditis elegans*,<sup>123</sup> and Endo-BH from alkaliphilic  
30 *Bacillus halodurans* C-125.<sup>124</sup> Enzymatic installation of defined  
31 glycans at predetermined glycosylation site of peptides during the  
32 solid-phase peptide synthesis (SPPS) has allowed the preparation of  
33 libraries of homogeneous glycoconjugates.<sup>125</sup>

34 Selective post-translational modifications, such as  
35 glycosylation, occur in the majority of mammalian proteins.  
36 Mammalian cell lines can be re-engineered to express  
37 glycoforms which can be used for further glycan remodelling.

38 For example, in the so called GlycoDelete approach<sup>126</sup> an endoT  
39 from the fungus *Hypocrea jecorina* was first targeted to the Golgi  
40 apparatus of 293SGnTI(-) cells, human embryonic kidney (HEK)  
41 293S cells which were engineered by deletion of GnTI encoded by  
42 the gene MGAT1 to produce glycoproteins bearing Man5GlcNAc2  
43 N-glycans (293SGnTI(-) cells). The attained GlcNAc N-glycan  
44 ‘stumps’ were then selected by specific lectins and finally modified  
45 by galactosyltransferases and sialyltransferases. By this approach,  
46 instead of dozens of different glycoforms normally produced by  
47 mammalian cells, glycoproteins incorporating primarily a Gal-  
48 GlcNAc disaccharide or its  $\alpha$ -2,3-sialylated trisaccharide derivative  
49 and some of the monosaccharide intermediate were obtained.

Release of the variable oligosaccharides linked at the conserved N297 of antibodies has been also used to next remodel the glycan or chemically modify it for incorporation of small molecules.<sup>127</sup> In some examples, sugar analogues can be efficiently incorporated into protein, when incubated in the cell media leading to modified glycosylation patterns.<sup>128</sup>

## 3. Site-selective bioconjugate medicines

### 3.1 Half-life extension of protein therapeutics

Protein therapeutics are typically administrated by invasive injection route, and the patient compliance is often an issue. In addition, the cost for the production of protein therapeutics is typically high, and frequent injections inevitably increase the total cost for the treatment. Many efforts have been devoted to the development of strategies for the extension of circulating half-life.<sup>129</sup> The clearance of protein therapeutics occurs primarily via renal filtration, but is also related to their potential proteolytic degradation, and the potential antidrug immune response. The clearance is dependent on the hydrodynamic size of the protein. Typically, molecules with molecular weight equal or above 60 kDa are unable to pass through the renal glomerular capillaries into the Bowman's capsule, remaining in circulation.<sup>130</sup> Various conjugation strategies have been developed to increase the hydrodynamic size of protein therapeutics, including (i) polymer (e.g. polyethylene glycol) conjugation; (ii) fatty acid conjugation; (iii) IgG or Fc conjugation; and (iv) albumin conjugation.<sup>7, 131</sup>

A central question associated with any above strategies is how to maximally maintain the protein activity after conjugation. Here site-selective bioconjugation appears the logic choice.

The conjugation of a large polymer to a therapeutic protein can increase the hydrodynamic size of the resulting conjugate, and eliminate the potential renal clearance via filtration. Polyethylene glycol (PEG) is the most extensively applied polymer in many bioconjugate therapeutics. The large flexible PEG can potentially interfere with the protein binding to its target. Therefore, the regioselectivity of conjugation is critical to maximally maintain the protein activity. Some representative examples will be discussed below.

#### 3.1.1 Pegylated Granulocyte colony-stimulating factor (G-CSF)

*Granulocyte colony-stimulating factor* (G-CSF) is a major regulator of the development of antibacterial neutrophilic granulocytic leukocytes (neutrophils).<sup>132</sup> Filgrastim is a recombinant methionyl granulocyte-colony stimulating factor (r-metHuG-CSF). This 175 amino acid protein can be expressed in *E. coli*.<sup>132</sup> It is used to prevent or treat neutropenia, and lowers the potential risk of serious infections after cancer chemotherapy or other treatments.<sup>132</sup> Filgrastim requires frequent daily injections, and the patient compliance to the treatment can be problematic. Therefore, many efforts have been directed to the development of a long acting version of G-CSF. For this purpose, PEGylation appears to be the choice, and different site-selective conjugation strategies have been



tested to maximally preserve the interaction of the protein factor with the cognate receptor.

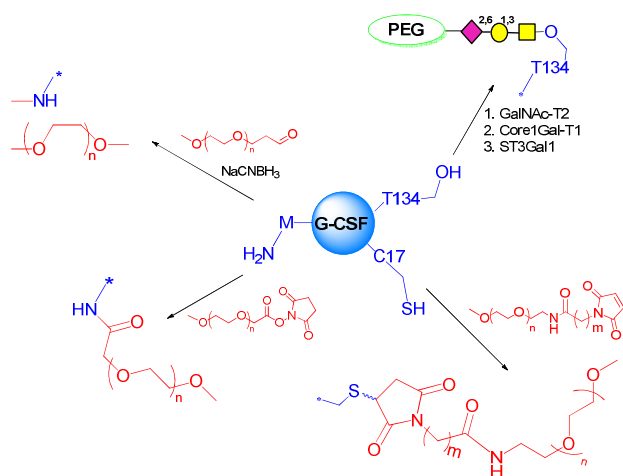
1 Under denaturing conditions and in absence of reducing agents,  
2 thiol PEG has been shown to target selectively C17 rather than  
3 the other four cysteine residues involved in two disulfide  
4 bridges.<sup>133</sup> After conjugation, the protein was refolded by  
5 eliminating the denaturant through dialysis or gel  
6 chromatography.

7 At near-neutral pH, maleimide-PEG has also been proven to be  
8 almost exclusively attached to the thiol group of C17.<sup>134</sup> In  
9 another example, C residues were introduced by mutagenesis  
10 for conjugation to maleimide-PEGs.<sup>135</sup> Good selectivity was  
11 achieved, due to the fact that C17 is more buried in comparison  
12 to the bioengineered ones. However, major drawbacks  
13 associated with cysteine modifications are the impact on the 4  
14 helix structure of G-CSF, which is stabilized by disulfide bonds  
15 and it is known to be essential for the therapeutic activity, the  
16 need of renaturation, and the tendency to form aggregates  
17 following C modification. In addition, cytokines are typically  
18 very sensitive to changes in structure with respect to  
19 immunogenicity. Therefore, other approaches have been  
20 pursued.

21 K is another common conjugation site of choice for  
22 PEGylation. However, all 4 K residues of G-CSF are located  
23 around the key receptor binding regions. Thus, targeting these  
24 sites result in significant reduction (often by 10–100 fold) of the  
25 bioactivity<sup>136</sup> and increased amount (and cost) of drug  
26 requested to achieve the same benefit. Consequently,  
27 alternative strategies are preferred.

28 N-terminal M has an  $\alpha$ -amino group with pKa around 7.6–  
29 8.0.<sup>137</sup> In contrast, the pKa of the  $\epsilon$ -amino group of K is 10–  
30 10.2. The different pKa values can be utilized for the site-  
31 specific PEGylation. Scientists at Amgen studied this route by  
32 acylation with carboxymethyl-N-hydroxysuccinimidyl (NHS)

33



34  
35 Scheme 6. Methods for PEGylation of G-CSF.

36 ester functionalized mPEG, or by reductive alkylation by mono-functional mPEG propionaldehyde (Scheme 6). Both PEGylated G-CSF showed excellent regiospecificity, and well

maintained physical and biological properties compared to the parent G-CSF.

Importantly, the alkylated conjugate showed 4 times slower aggregation rate than the corresponding acylated conjugate, due to unaltered PI value.<sup>138</sup> It was selected for the further development into Pegfilgrastim, which was approved by FDA in 2002 (Neulasta<sup>®</sup>).

Recently, it has been shown that the mPEGs of high molecular weight demonstrated better N-terminal site-specific selectivity, separation purity and improved production yield.<sup>139</sup>

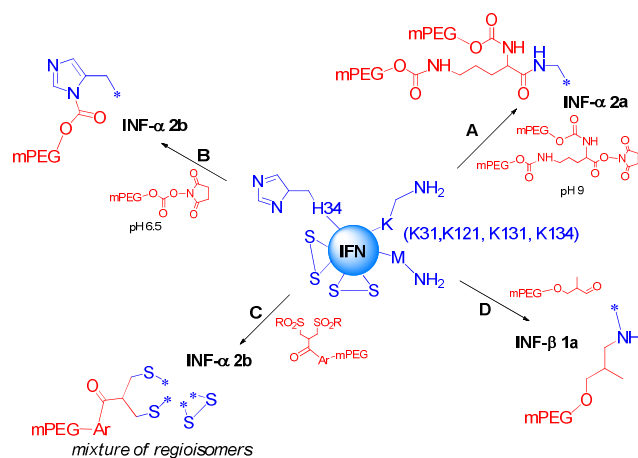
T134 is the naturally occurring glycosylation site on G-CSF. This site is remote from the active one, and has been targeted for PEGylation. Based on the concept, scientists at Neose Technologies Inc. have developed an excellent approach called GlycoPEGylation (Scheme 6). The method involves a sequence of enzymatic GalNAc O-glycosylation at specific S and T residues of recombinant aglycosylated proteins, followed by enzymatic transfer of Gal and NeuNAc bearing a 20 kDa PEG to the initially introduced GalNAc.<sup>51</sup>

Teva Biopharmaceuticals has developed this product as Lipegfilgrastim or Lonquex<sup>®</sup>, which has been licensed by EMA in 2013, and marketed in Germany. PEGylated filgrastim has a human half-life of 15 to 80 hours, much longer than the parent filgrastim (3–4 hours). Therefore, it can be dosed once-per-chemotherapy cycle administration instead of the daily injection of filgrastim. In addition, patients dosed with the PEGylated form also observed lower incidence of febrile neutropenia than patients receiving filgrastim. Overall, the PEGylated filgrastim demonstrated superior efficacy, safety profile, and also offered convenience of administration.<sup>140, 141</sup> It has received great uptake by physicians. In 2014, the global sales of Pegfilgrastim topped \$5.9 billion.

### 3.1.2 PEGylated Interferons

Interferons (IFNs) are a group of cytokines produced by host cells in response to pathogens, such as viruses.<sup>142</sup> They have long been explored for therapeutic purposes. Interferon- $\alpha$  (IFN- $\alpha$ , Intron-A, Schering-Plough) can be used to treat hepatitis B and hepatitis C, typically in combination with other antiviral drugs. IFN- $\alpha$  in combination with chemotherapy and radiation is also used in the treatment of cancer, including some types of leukemias, follicular non-Hodgkin's lymphoma, malignant melanoma and giant cell angioblastoma, a destructive pediatric tumor.<sup>143</sup> Interferon- $\beta$  (IFN- $\beta$ ) is used to treat and control multiple sclerosis. Recombinant forms of IFN- $\beta$  1a (Avonex<sup>®</sup>, BiogenIdec; Rebif<sup>®</sup>, Serono) and IFN- $\beta$  1b (Betaferon<sup>®</sup>, Schering AG) are approved for the treatment of multiple sclerosis, while non-recombinant forms of IFN- $\beta$  (e.g. Feron, Toray) are approved in Japan for the treatment of HCV. In general, the administered native interferons are rapidly cleared via kidney. This requires inconvenient frequent injections. Moreover, the drug exposure at the trough level is below the level for suppression of disease rebound. Therefore, the development of long acting versions by PEGylation has been extensively explored, and yielded several marketed drugs. Some strategies developed in this field are reported hereafter.

1 Pegasys<sup>®</sup> was prepared by reacting IFN- $\alpha$  2a with 40 kDa 2-  
 2 branched mono-methoxypoly(ethylene glycol)-succinimidyl  
 3 carbonate (mPEG) in sodium borate buffer (pH 9) (Scheme  
 4 7A).<sup>144</sup> The position of PEGylation was determined by isomers  
 5 separation, peptide mapping, sequencing and mass spectrometry.  
 6



7  
 8 Scheme 7. PEGylation of different residues of IFN.  
 9

10 Interestingly, 96% PEGylation was on K31, K121, K131, and  
 11 K134 among total 11 lysines. In an early study, the PEGylation  
 12 with 5 kDa PEG resulted in isomers at all 11 lysines. Steric  
 13 hindrance appears to be the key driver to the regioselectivity. It  
 14 is also worth to note that the N-terminal cysteine does not  
 15 appear to be a conjugation site, perhaps due to less  
 16 nucleophilicity and higher steric hindrance. This conjugate has  
 17 ~7% of native IFN activity as tested *in vitro*. On the other hand,  
 18 the *in vivo* efficacy was markedly improved due to the sustained  
 19 exposure. The compound was approved by FDA in 2002, and  
 20 currently marketed by Genentech-Roche.

21 Scientists at Enzon investigated PEGylation of interferon- $\alpha$  2b  
 22 with succinimidyl carbonate PEG at various pHs (Scheme 7B).  
 23 It was found that PEG preferentially attached to H34 when the  
 24 reaction was performed at pH 6.5.<sup>145</sup> The regioselectivity on  
 25 imidazole was also determined by NMR, and the N1 position  
 26 was identified as the attachment point. A 12 kDa PEG was  
 27 selected for further development. The H34 PEGylated IFN- $\alpha$   
 28 2b was found to be stable at pH 6.8 for 1 month at room  
 29 temperature. However, the final product was formulated in  
 30 lyophilized powder, which needs to be reconstituted prior to  
 31 injection. This conjugate was approved by FDA in 2000, and  
 32 was marketed as PEG-Intron<sup>®</sup>.

33 PEG-Intron<sup>®</sup> and PEGasys<sup>®</sup> have been compared extensively  
 34 and the published data showed numerous interesting features in  
 35 terms of efficacy, pharmacokinetic, safety, and cost.<sup>146</sup> In  
 36 particular, PEGasys exhibits a more prolonged  
 37 pharmacokinetic, a lower administration dose and a better cure  
 38 rate for Hepatitis C compared to PEG-Intron<sup>®</sup>.

Balan et. al. at University of London developed an appealing  
 strategy for the site-selective PEGylation using native disulfide  
 bonds as the attachment points without the aid of protein re-  
 engineering (Scheme 7C).<sup>147</sup> The conjugation inserted a 3-atom

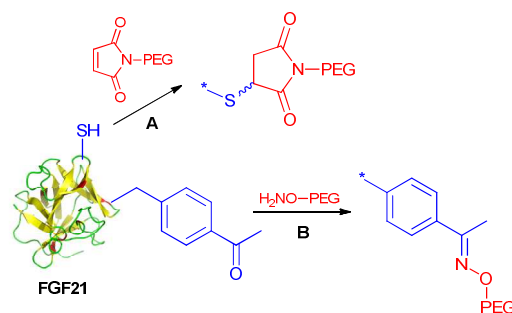
bridge between two cysteines, and therefore the tertiary  
 structure was maintained. In the PEGylation of IFN, both  
 disulfides were labelled, giving a mixture of 2 regioisomers and  
 the double PEGylated IFN. The mon-PEGylated IFN was  
 isolated, and showed > 50% activity retention in *in vitro* assay.  
 The compound has been planned to enter into clinical trials.

In contrast to PEGylation of IFN- $\alpha$ , PEGylation of IFN- $\beta$  1a at  
 the N-terminal methionine with 20 kDa mPEG-O-2-  
 methylpropionaldehyde gave nearly the single N-terminal  
 regioisomer (Scheme 7D).<sup>148</sup> The resulting conjugate  
 (Plegridy<sup>®</sup>) fully retained *in vitro* antiviral activity. This clearly  
 supports the importance of modifying sites distal to receptor  
 binding site(s).<sup>149</sup> This biotherapeutic has been approved by  
 FDA in 2014 as a multiple sclerosis treatment.

### 3.1.3 Pegylated FGF21

Fibroblast growth factor 21 (FGF21) has emerged as a  
 promising class of protein drug candidate for metabolic  
 diseases particularly as protein therapeutic alternative to insulin  
 and GLP1 analogues for the treatment of type 2 diabetes.<sup>150</sup> The  
 intravenous administration of wild type FGF21 improves  
 metabolic profiles in preclinical models, but the duration of its  
 action was short due to fast clearance from circulation.  
 Therefore, significant efforts have been directed to the long  
 acting version of FGF21.

Xu et. al. at Amgen explored the utility of cysteine mutation  
 approach for FGF21 PEGylation.<sup>151</sup>



Scheme 8. Cysteine mutation approach for FGF21 PEGylation.

The approach utilizes protein engineering to incorporate free  
 cysteines at strategically attachment points. The selection of  
 multiple positions for the maleimide-mediated PEGylation  
 enables to systematically develop structure-activity  
 relationship. In this study it was found that the conjugation site  
 is relevant to the conjugation efficiency and activity.  
 Furthermore, the study unveiled a seemingly correlation  
 between the PEGylation site and vacuole formation potential.  
 Potential vacuolization in kidney is considered as a safety  
 concern, and would potentially lead to kidney failure. FGF21  
 aims to be a chronic treatment for diabetic patients. Hence  
 accumulation of PEG associated vacuoles needs careful monitoring  
 in the target population. The study suggested that certain  
 PEGylation sites have non-detectable vacuolization in respect  
 to the moderate vacuolization observed for other sites. Overall,  
 the cysteine mutation method has flexibility and efficiency to

enable an evaluation of various sites for PEGylation. Noteworthy, FGF21 has an endogenous disulfide, therefore the newly introduced cysteine should avoid disulfide scrambling (Scheme 8). This is perhaps true to many proteins with endogenous disulfides.

antibody-dependent cellular cytotoxicity in cells expressing membrane TNF.<sup>155</sup>

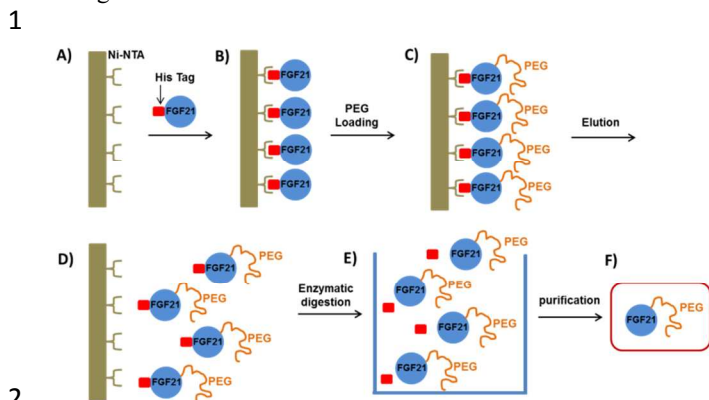
### 3.2 Modulating half-life of hormones

Site-selective modifications have also been applied to tune the pharmacokinetic properties of hormones, such as insulin and glucagon, involved in the control of the glucose levels in the bloodstream. Insulin is composed of two polypeptide chains (A and B) joined by disulfide bridges, is a key diabetic treatment. One limitation in the use of insulin is its short half-life in the circulatory system. Glycosylation could be one of the possible strategies to achieve long-acting insulin formulations. However expression system in mammalian cells of glycosylated forms is highly uncontrolled in terms of the structure of the glyco chain, glycosylation site, and number of glycans. To ensure controlled site-specific glycosylation a chemoenzymatic method was developed,<sup>156</sup> involving the introduction of mono-, di-, and trisialyloligosaccharides to mutant insulins through enzymatic reactions. Sugar chains were first attached by transglutaminase (TGase) at an accessible N-terminal glutamine residue of the B-chain, and then sialylated by  $\alpha$ -2,6-sialyltransferase (R2,6-SiaT). Sia2,6-di-LacNAc-Ins(BF1Q) and Sia2,6-tri-LacNAc-Ins(B-F1Q), displaying two and three sialyl-N-acetylglucosamines, respectively, were administered to hyperglycemic mice. Both branched glycoinsulins showed prolonged glucose-lowering effects compared to native or lactose-carrying insulins, showing that NeuNAc is important in obtaining a prolonged effect. Sia2,6-tri-LacNAc-Ins(B-F1Q) (Chart 1), in particular, induced a significant delay in the recovery of Glc levels and was elected as the most efficacious form to prevent insulin shock. This effect was explained with the multivalent effect of the sialooligosaccharides on the stability of insulin in the blood stream and low affinity for the insulin receptor.

Glucagon-Like Peptide 1 (7-36) amide (GLP-1) has been attracting considerable attention as a therapeutic agent for the treatment of type 2 diabetes.<sup>157</sup> By a glycoengineering strategy glycosylated analogues of GPL-1 with *N*-acetylglucosamine (GlcNAc), *N*-acetylglucosamine (LacNAc), and  $\alpha$ -2,6-sialyl *N*-acetylglucosamine (sialyl LacNAc) were chemoenzymatically prepared. Addition of sialyl LacNAc to GLP-1 greatly improved *in vitro* stability against the proteolytic activity of dipeptidyl peptidase-IV (DPP-IV) and neutral endopeptidase (NEP) as compared to the native type, thus extending the blood glucose-lowering activity *in vivo*. The di- and triglycosylated analogues with sialyl LacNAc showed further prolonged blood glucose lowering activity.

An elegant example of combination of bioengineering and chemical modification is given by the synthesis of Liraglutide. This is a biologically active medicine that mimics a natural product, the native human Glucagon Like Peptide-1 (GLP-1). GLP-1 is a 30 amino acid peptide hormone, naturally produced by intestinal L-cells, which regulates insulin.

Native human GLP-1(7–37) has a plasma half-life of approximately 2 minutes. Liraglutide (Victoza<sup>®</sup>) replaced K34



Scheme 9. Solid-phase nickel affinity PEGylation strategy. Adapted from ref. 152.

Song et. al. recently developed recombinant human FGF21 variants by strategically introducing cysteine residues via site-directed mutagenesis. Their approach was based on a solid-phase nickel affinity PEGylation strategy, where engineered surface exposed cysteine residues of immobilized proteins were used as a platform for efficient and site-selective conjugation with PEG-maleimide (Scheme 9). This method offered improved PEGylation yield and streamlined purification process.<sup>152</sup> Incorporation of uAAs has been also exploited by Ambrx for selective PEGylation of FGF21 (Scheme 8B).<sup>153</sup> Using homology modeling and structure-based design, specific sites were identified in human FGF21 for site-specific PEGylation ensuring preservation of receptor binding regions. The *in vitro* activity of the PEGylated FGF21 analogs was dependent on the site of PEG placement and corresponded to the one anticipated by the binding model. Site-specific PEGylated analogs demonstrated *in vivo* dramatically increased circulating half-life and enhanced efficacy.

#### 3.1.4 PEGylated antigen-binding fragment

Certolizumab pegol (Cimzia<sup>®</sup>) is a recombinant humanized antibody Fab' fragment against anti-tumor necrosis factor (TNF- $\alpha$ ). TNF- $\alpha$  is used for the treatment of Crohn's disease (CD), an inflammatory disorder that can affect any portion of the gastrointestinal tract. Engineering the Fab' fragment with a free cysteine in the hinge region enabled site-selective attachment of a 40 kDa PEG molecule, increasing the half-life of the therapeutic agent up to 2 weeks.<sup>154</sup> The approach allowed to preserve the Fab' functionality, since Certolizumab pegol binds and neutralizes both soluble and transmembrane TNF- $\alpha$ . The lack of the fragment crystallizable (Fc) provides Certolizumab pegol some important benefits in comparison to other anti-TNF- $\alpha$ -agents, such as the incapacity of inducing apoptosis of activated lymphocytes and monocytes, and of inducing *in vitro* complement-mediated cytotoxicity or

26

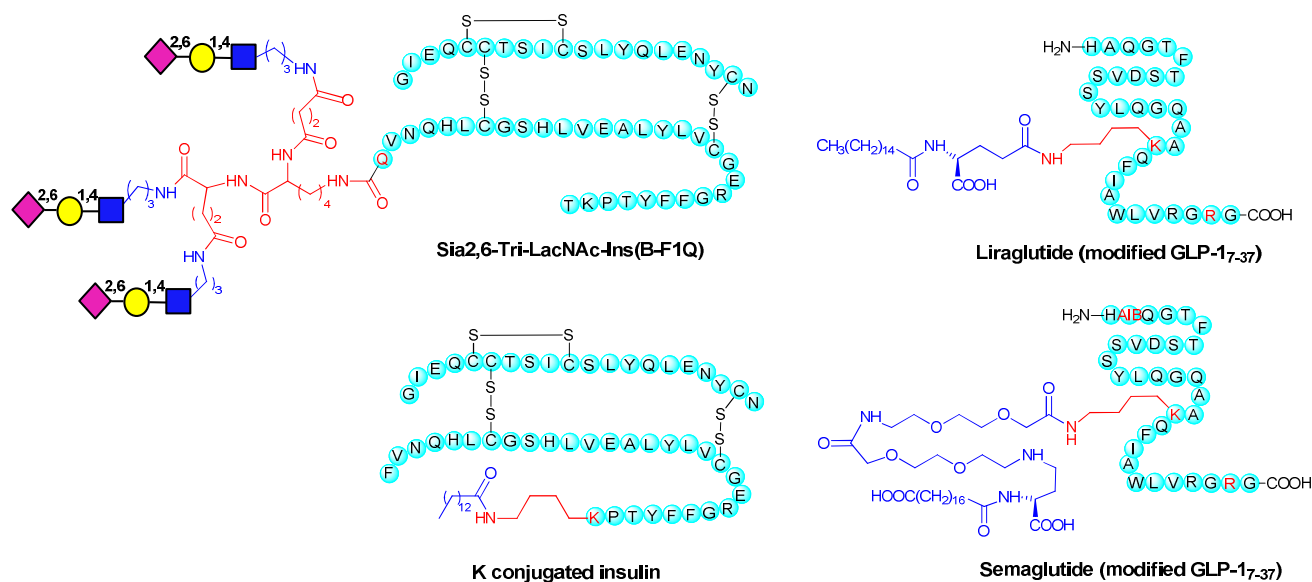


Chart 1. Examples of modified insulin and GLP-1.

of the native GLP-1(7–37) to R, and attached a 16-carbon fatty-acid chain with a glutamic acid spacer to K26 (Chart 1).

This biotherapeutic has been developed by Novo Nordisk and is currently manufactured using recombinant DNA technology and cultured in *Saccharomyces cerevisiae* yeast.<sup>158</sup>

The fatty acid binds albumin in circulation, and avoids fast renal clearance, and protease mediated degradation. This results in extended plasma half-life of 13 hours, which makes the modified hormone possible for once-daily administration.<sup>159</sup> Liraglutide was first licensed by EMA in 2009, and then by FDA in 2010.

Replacement of Q8 in Liraglutide with  $\alpha$ -aminoisobutyric acid (AIB) and slight modification of the fatty acid side led to the development of Semaglutide (Chart 1), which has improved circulating half-life suitable for once weekly dosing. It is interesting that it is also pursued as an oral GLP-1 agonist in Phase III clinical trials for type 2 diabetes.<sup>7</sup>

An approach similar to that employed in Liraglutide was pursued by Novo Nordisk for the development of a half-life extended insulin (Detemir or Levemir<sup>®</sup>) by deletion of the B-30 threonine and coupling with a 14-C fatty acid at the C-terminal lysine on the B-chain (Chart 1).<sup>160</sup>

Cysteine modification has also been exploited to obtain site-specific conjugates of dicoumarol, an oral anticoagulant that interferes with the metabolism of vitamin K (4-Hydroxycoumarin) and is known to bind tightly to human serum albumin (HSA). By this method long-acting and highly biologically active GLP-1 derivatives were obtained.<sup>161</sup> PEGylation represents another feasible strategy for site-selective modification of hormones. PEGylation of glycosyl modifications of the recombinant form of human TSH, a gonadotropin that stimulates the thyroid gland to secrete thyroid hormones, has been also designed with the scope of prolonging the short half-life of rhTSH in the circulation avoiding a multidose

regimen.<sup>162</sup> Periodate oxidation of NeuNAc or Gal residues was employed for targeting PEG to the three N-linked glycosylation sites on the protein. Conjugates of different PEG sizes and number of incorporated copies were screened to eventually identify a 40 kDa mono-PEGylated NeuNAc-mediated conjugate, which exhibited a 3.5-fold more prolonged action than rhTSH in rats, as a 5-fold lower affinity was more than compensated by a 23-fold extension of circulation half-life.

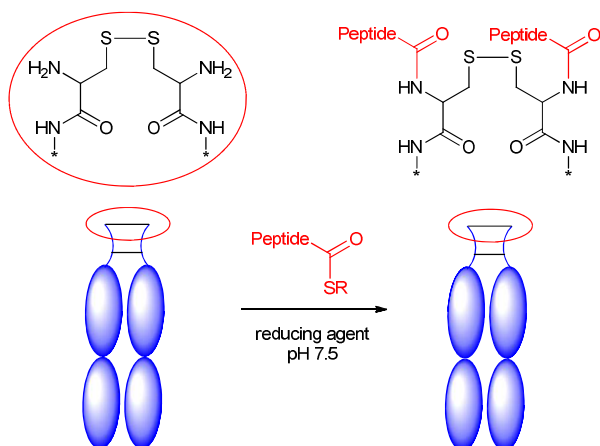
Incorporation of uAA *p*-acetylphenylalanine (pAcF) at distinct locations of the human growth hormone (hGH) allowed site-specific PEGylation to produce homogeneous hGH variants. A mono-PEGylated mutant hGH modified at residue 35 demonstrated favorable pharmacodynamic properties in GH-deficient rats.<sup>163</sup>

### 3.3 IgG conjugation

IgGs have long half-life (21 days) due to the FcRn recycling effect and the size. Therefore, many efforts have been directed to genetically fuse Fc to various peptide/protein to extend half-life. However, the genetic fusion strategy might not be applicable when therapeutic peptides deriving from synthesis or containing uAAs need to be used. Several chemical fusion strategies have been developed to overcome this limitation. Scientists at Biogen Inc. utilized native chemical ligation to chemically fuse a synthetic Atrial Natriuretic Peptide (ANP) to the N-terminus of Fc (Scheme 10).<sup>164</sup> ANP is released into circulation by the cardiac muscle when the heart undergoes increased atrial stretching. ANP regulates water and salt excretion, and consequently blood volume and pressure. Fusion of Fc and ANP was designed to enhance the half-life of ANP via FcRn recycling.

Synthetic ANP peptides were synthesized with thioesters at either the N- or C termini, and subsequently linked to the N-

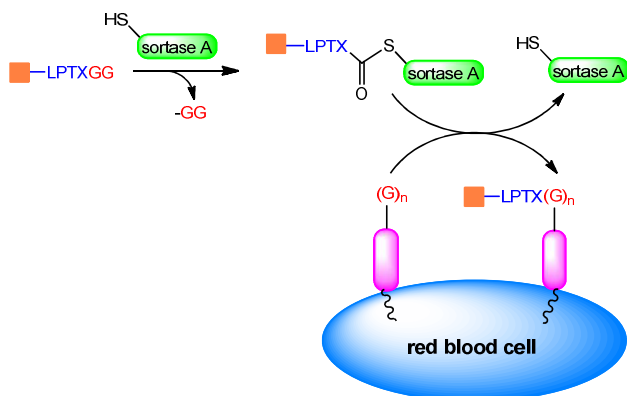
terminus of recombinant Fc using Native Chemical Ligation. The desired half-life extension was observed in rat.



Scheme 10. Fusion of Fc with synthetic ANP. Adapted from ref. 164.

An interesting approach to prolong half-life of therapeutics is the conjugation to red blood cells.

Shi et. al. used sortase ligation to selectively label cell surface with various peptides and proteins bearing a LPXTGG tag (Scheme 11). In this example red blood cells function as a carrier and extend the circulation time up to 28 days.<sup>165</sup>



Scheme 11. Sortase ligation on red blood cells. Adapted from ref. 165.

## 4. Antibody-drug conjugates and empowered antibodies

Antibody-drug conjugates (ADCs) have emerged as a new cancer treatment. Currently, there are two approved drugs on the market and about 40 ADCs at various stages of clinical trials.<sup>17, 18</sup> The promise to revolutionize the cancer treatment also propelled the development of new conjugation techniques.<sup>127</sup> Furthermore, some of these methods together with other technologies have been explored to enhance the function of traditional antibodies, generally referred as *empowered antibodies*.

### 4.1 Lysine conjugation

Early ADCs were prepared by conjugation at lysines. There are about 90 lysines on IgGs, and selectivity is challenging to be obtained. However, scientists at Immunogen Inc. have proven that the regioselectivity can be controlled consistently. For example, a robust lysine conjugation strategy was developed to attach the maytansinoid drug, DM1 to the humanized monoclonal IgG1 antibody huN901 at lysines.<sup>166</sup>

Interestingly, mapping of the modified residues suggested that the higher selectivity was achieved among lysines with similar surface exposure.<sup>166</sup> The strategy was used for the synthesis of Kadcyla<sup>®</sup>, which was approved by FDA in 2013.<sup>167</sup>

### 4.2 Thiol-maleimide addition at interchain cysteines

ADCs typically utilize immunoglobulin G (IgG) as the targeting agent. Interestingly, most of the IgG1s have 4 conserved *interchain* disulfides, which are much more exposed on surface than other *intrachain* disulfides. In addition, they are distal from the antigen complementary binding regions (CDRs). Scientists at Seattle Genetics utilized this feature in their preparation of ADCs. The strategy is based on partial reduction of all 4 interchain disulfides, followed by maleimide–thiol addition to introduce payloads (Scheme 12A).<sup>168</sup> Cathepsin B–cleavable peptide linkers were used to attach a potent and very stable antimetabolic agent monomethyl auristatin E (MMAE) to mAbs, thus ensuring peptidase mediated release of the payload. The strategy usually yielded a mixture of site-controlled conjugates with various drug to antibody ratio (DAR) from 0 to 8. After conjugation, the covalent linkages between light or heavy chains were disrupted, nevertheless the conjugate showed adequate structural integrity.

Various protocols involving full reduction–partial oxidation, or partial reduction to release interchain cysteines have been evaluated. The resulting conjugates have also been fractionated to enable in vivo evaluation of the impact of drug-to-antibody ratio (DAR). It was found that higher DAR (6 or 8) species have rapid clearance and led to undesired toxicity. DAR 2 or 4 appear to be the optimal ratios.<sup>169</sup> The native interchain disulfide bonds as the choice of antibody conjugation sites has been validated in the development of Adcetris<sup>®</sup> by Seattle Genetics–Takeda.<sup>170</sup> The method avoided the need of protein re-engineering to control the regioselectivity.

### 4.3 Modification of interchain disulfides

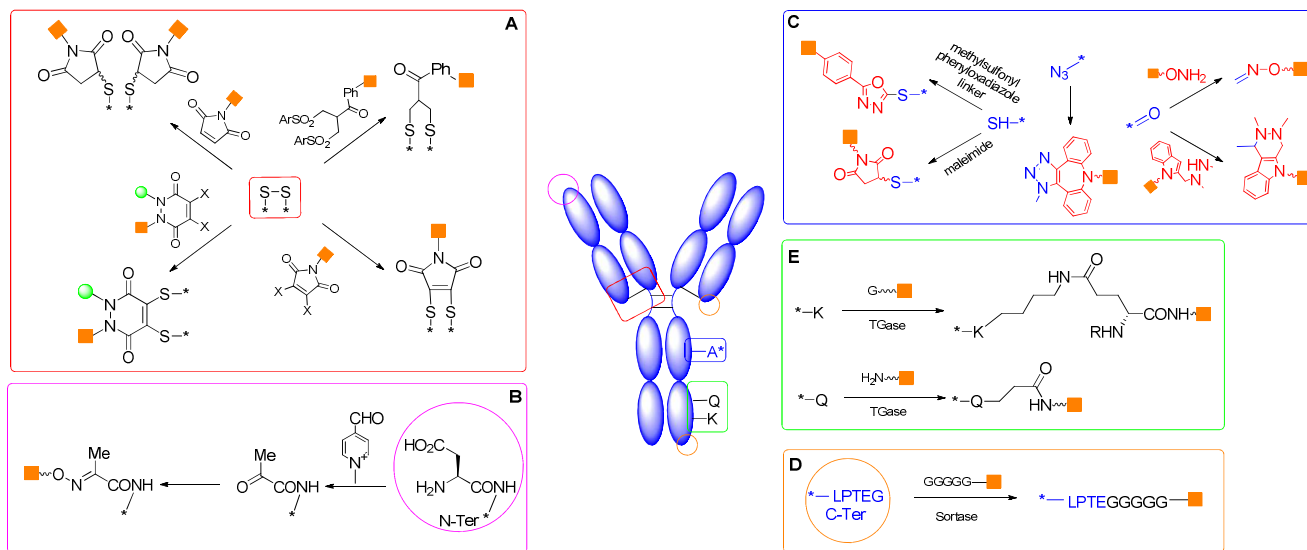
It is largely believed that the interchain disulfides might be important to maintain antibody tertiary structure. Many efforts have been devoted to conjugate at disulfides without breaking the covalent linkages, and several methods have been reported.

In 2013, a group at University College London described an elegant approach to prepare homogeneous antibody fragment (Fab) by conjugation through disulfide bridging (Scheme 12A).<sup>171</sup> By using di-bromo or di-thiol substituted maleimides,<sup>86, 172, 173</sup> labelling occurred specifically at the C-terminal disulfide.

The same group recently disclosed the application of a similar protocol to the preparation of full IgG conjugates (Scheme 12A).<sup>174, 175</sup>

Sequential reduction and disulfide bridging gave a mixture of IgG conjugates with DAR ranging from 0 to 4 together with the half-antibody. In contrast, an *in situ* protocol avoided the generation of

1



Scheme 12. Strategies for modification of mAb or Fab.

1 the half-antibody, providing conjugates with DAR from 0 to 4 in  
 2 high yield. This group has also developed a similar conjugation  
 3 reagent, called dibromo-1,2-dihydro-pyridazine-3,6-diones  
 4 (DBPDs).<sup>176</sup>  
 5 Two nitrogen atoms can carry different orthogonal clickable  
 6 handles (*plug-and-play* approach) for sequential introduction of  
 7 dual payloads (Scheme 12A).<sup>177</sup> The reagent was demonstrated  
 8 efficient in the conjugation of Fab antibody fragment with excellent  
 9 homogeneity. An anti-Her2 full IgG conjugate was also exploited,  
 10 and showed good *in vitro* potency. The rigidity of the maleimide  
 11 bridge enables the successful detection of antigen with a spin  
 12 labeled antibody fragment by continuous-wave electron  
 13 paramagnetic resonance (cw-EPR), therefore immuno-biosensors  
 14 for EPR-based detection of antibody-antigen interactions  
 15 (called *spinostics*) were designed.<sup>171</sup> This type of conjugation is  
 16 currently pursued by Igenica and Thioligics. A disulfide rebridging  
 17 reagent employing sulfonyl leaving groups was used by Polytherics  
 18 to develop a homogeneous MMAE-trastuzumab conjugate (Scheme  
 19 12A).<sup>34</sup> The method gave a homogeneous and stable conjugate with  
 20 a DAR of 4 as the major product, and together with small portion of  
 21 DAR 3 and DAR 5 conjugates.  
 22 Anti-Her2 ADC was prepared, showing a clear dose-response  
 23 based on drug loading with the DAR 4 conjugate having the  
 24 highest potency *in vitro* and a much higher efficacy *in vivo*  
 25 compared with the lower DAR conjugates. Furthermore, the  
 26 DAR 4 conjugate demonstrated superior efficacy compared to  
 27 trastuzumab-DM1 (T-DM1, Kadcyla®),  
 28 as evaluated in a low HER2 expressing JIMT-1 xenograft  
 29 model. Good homogeneity and stability have been  
 30 demonstrated by various emerging disulfide bridging  
 31 technologies. More *in vivo* and clinical evaluation are necessary  
 32 to better understand the potential of these new technologies.  
 33

#### 4.4 N-terminal conjugation

Francis and co-workers utilized the transamination method to  
 chemically introduce a ketone at the N-terminus of a Fab (Scheme  
 12B).<sup>26</sup> This reaction occurs upon exposure to pyridoxal 5'-  
 phosphate (PLP) under mild conditions in buffered aqueous solution.  
 The resulting pyruvamide derivatives can be further elaborated with  
 functionalized alkoxyamines to give oximes. A key advantage of this  
 strategy is its selectivity for the N-terminal amino group with no  
 participation of lysine residues, thus affording antibody conjugates  
 modified in a limited number of locations. Recently, Francis et al.  
 further optimized the condition by using N-methylpyridinium-4-  
 carboxaldehyde instead of PLP for the transamination reaction.<sup>178</sup>  
 The condition has been applied to full IgG, and yielded antibody  
 conjugates with 2 or 4 payloads. It is worth to note that N-terminus  
 is the antigen binding region CDR, and the conjugation at this site  
 might not be compatible to some antibodies.

#### 4.5 ADCs with mutated conjugation sites

##### 4.5.1 Cys mutation method

The introduction of cysteine mutations has been extensively  
 explored for the site-directed preparation of ADCs. It is difficult to  
 survey the attachment point and conjugate property relationship by  
 conjugation at native functionalities. One approach to control the  
 regioselectivity of conjugation is the mutation of certain amino acid  
 residues of the antibody to cysteines. This direction, which has been  
 often referred as THIOMAB technology, has been heavily explored  
 by various companies.<sup>179</sup> THIOMABs can be labelled using  
 maleimide-thiol addition to homogeneous ADC without disruption  
 of the immunoglobulin architecture (Scheme 12A).<sup>180</sup>

Many interesting features have been unveiled during this  
 exploration. By comparing the properties of a THIOMAB-drug  
 conjugate directed against ovarian cancer antigen MUC16 with an  
 ADC prepared by conventional cysteine conjugation to MMAE

using the same antibody–payload combination, scientists at Genentech found that even though the homogeneous conjugate carried half the amount of cytotoxic payload, it was as potent and efficacious as the conventional ADC in both *in vitro* and *in vivo* models.<sup>181</sup>

1 Interestingly, the THIOMAB appeared better tolerated by both rats  
2 and cynomolgus monkeys than was the conventional ADC, and an  
3 improved therapeutic index was achieved with the site-specific  
4 conjugation method. This study highlighted that site-selective  
5 methods not only provide unique biophysical and therapeutic  
6 properties, but also that the actual site of conjugation on the antibody  
7 backbone could have a major influence on the *in vivo* behaviour of  
8 an ADC molecule. It was later reported that the highly solvent  
9 accessible site of a MMAE-thiotrastuzumab variant rapidly lost  
10 conjugated thiol-reactive linker-payloads in plasma due to the  
11 exchange with the free thiols of albumin. This exchange led to a  
12 lower efficacy *in vivo*. In contrast, a partial accessible site with a  
13 positive charged environment accelerated the succinimide ring  
14 opening, and prevented the thiol exchange reaction. This finding  
15 provided critical insight into the rational selection of conjugation  
16 site.<sup>182</sup> Nevertheless, these features apparently added by site-  
17 selective conjugation will need further validation in patients.

18 Seattle Genetics Inc. reported the use of engineered cysteine mutant  
19 antibody for the preparation of site-controlled anti-CD70 ADC. A  
20 highly hydrophobic pyrrolobenzodiazepine (PBD) dimer was  
21 employed as the cytotoxic payload. It was found that the  
22 conjugation at interchain cysteines produced an unacceptable level  
23 of aggregation. In contrast, the site-controlled conjugate showed  
24 minimal aggregation, accompanied by good efficacy and tolerability  
25 in the animal model.<sup>183</sup> The method enabled the preparation of an  
26 anti-CD33 ADC bearing pyrrolobenzodiazepine (PBD) dimer  
27 payload. The ADC (SGN-CD33A) was the first reported site-  
28 controlled ADC entering into clinical trial.<sup>184</sup>

29 ADCs derived from THIOMABs typically utilize thiol-maleimide  
30 addition. As mentioned above, the conjugate can undergo thiol  
31 exchange reactions with free thiols in circulation, leading to  
32 premature toxin release.<sup>185</sup> In order to overcome this limitation,  
33 many strategies have been developed besides the careful selection of  
34 the cysteine mutation site. More stable linkages have been  
35 generated using methylsulfonyl phenyloxadiazole compounds  
36 (Scheme 12C).<sup>186</sup> The substitution effect has also been evaluated,  
37 and it was found that the introduction of electro-withdrawing group  
38 adjacent to maleimide nitrogen atom can promote the ring opening to  
39 prevent the drug detachment.

#### 41 4.5.2 SMARTTag™

42 The SMARTTag™ Technology for the generation of ADCs is  
43 currently used by Red Wood Bioscience (recently purchased by  
44 Catalent) in collaboration with Sanofi-Aventis. A toxic payload is  
45 chemically attached to the antibody backbone site-specifically  
46 engineered with aldehyde tags.<sup>187</sup> Antibodies carrying formyl  
47 moieties are then reacted with a payload bearing a Hydrazino-iso-  
48 Pictet-Spengler (HIPS) linker (Scheme 12C).<sup>187</sup>

49 After formation of an intermediate hydrazone ion, intramolecular  
50 alkylation with the nucleophilic indole of the linker generates a  
51 stable C–C bond with final site-specific attachment of the payload.<sup>52</sup>

#### 4.5.3 Unnatural amino acids

Unnatural amino acid incorporation has also been extensively explored for the preparation of site-specific ADCs, and achievements have been covered in recent reviews.<sup>127, 188, 189</sup> Non-native amino acids, such as para-acetylphenylalanine (pAcF) and para-azidomethylphenylalanine (pAMF), can serve as orthogonal conjugation handles that otherwise are not available from functional groups present in the 20 canonical amino acids.

By means of the recombinant DNA-based eukaryotic protein expression system using Chinese hamster ovary (CHO) cells developed by Sapra et al.,<sup>62</sup> pAF residues were genetically encoded into mAbs against 5T4 (A1) or Her2, and monomethyl auristatin D (MMAD) was subsequently incorporated by oximation (Scheme 12C).<sup>190</sup> The resulting constructs with DAR of 2 demonstrated superior *in vitro* efficacy and selectivity, and *in vivo* pharmacokinetics and efficacy in rodent models when compared with conventional random cysteine conjugated ADCs with DAR of 4.

In another study, pAcF was site specifically incorporated at A114 of the heavy chain of an antibody against Her2, and the corresponding ADC was prepared.<sup>191</sup> The resulting site specific anti-Her2 ADC exhibited *in vitro* cytotoxicity and *in vivo* tumor regression comparable to a control made by random interchain cysteine conjugation. However superior *in vitro* serum stability and preclinical toxicology profile in rats were observed.

PAcF has been also used for site-specific incorporation into IgG directed to CXCR4, a protein highly expressed in the majority of metastatic cancers, and conjugated to an auristatin through a hydrolytically stable oxime linkage.<sup>192</sup> The resulting homogeneous ADC showed pronounced *in vitro* cytotoxicity to CXCR4<sup>+</sup> cancer cells and eliminated pulmonary lesions from in a lung-seeding tumor mouse model derived from human osteosarcoma cells, without significant off-target toxicity.

Incorporation of pAMF into mAbs has been achieved by a cell-free protein expression system based on a novel variant of the *Methanococcus jannaschii* tyrosyl tRNA synthetase (TyrRS).<sup>67</sup> DBCO-PEG-monomethyl auristatin (DBCO-PEG-MMAF) was coupled to an anti-Her2 IgG bearing pAMFs using strain-promoted azide–alkyne cycloaddition (SPAAC, Scheme 12C). The obtained ADC was proven highly potent in *in vitro* cellular assays.

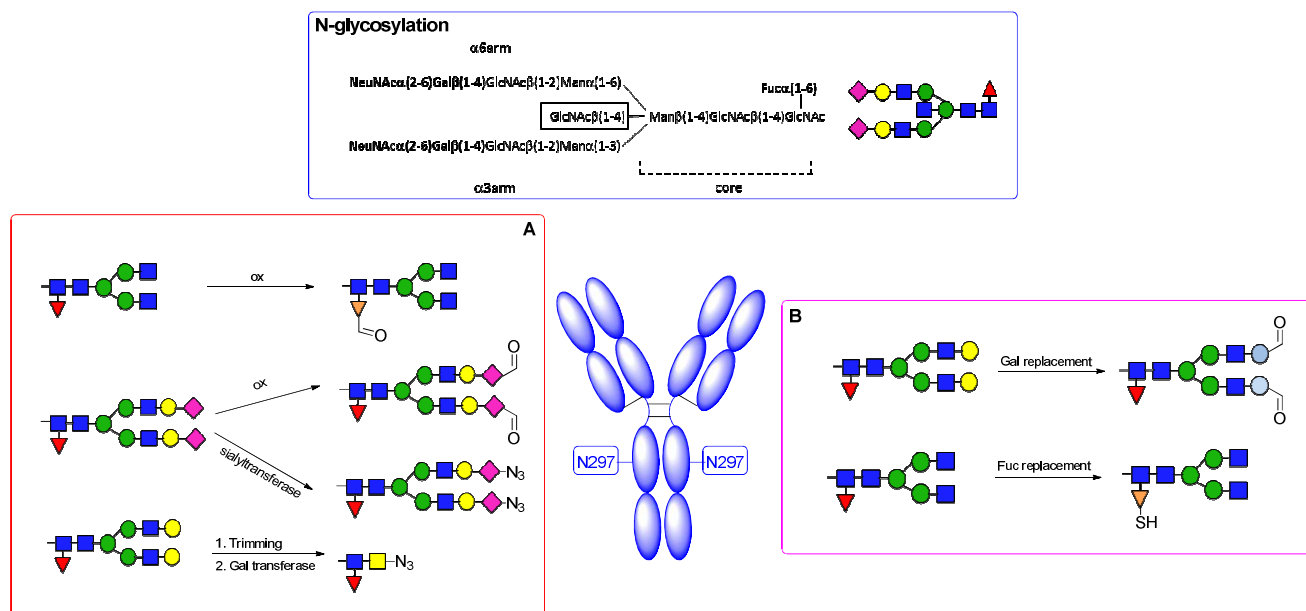
The clinical amount of an anti-Her2 ADC ARX788 from Ambrx has been produced recently, and indicated the process scalability for mAbs incorporating uAAs.<sup>193</sup>

#### 4.6 Enzyme mediated conjugation for ADCs

Antibody modification is achievable also by enzymatic methods. mAbs are typically glycosylated at N297. It was found that N297Q mutation at hinge region of the mAb chCE7 gives origin to the aglycosylated form, increases the flexibility of the C/E loop (Q295–T299), and enhances accessibility of transglutaminase (TGase) mediated conjugation at Q295.<sup>46</sup> This strategy was recently sharpened to introduce enzymatically bio-orthogonal thiol or azide linkers onto the mAb trastuzumab for following attachment of suitable MMAE-derivatives by thiol-maleimide and strain-promoted azide–alkyne cycloaddition, respectively (Scheme 12D).<sup>194</sup>

1

2



3  
4  
5 Scheme 13. Typical N-glycosylation pattern in human IgG and approaches for its modification.

6 Homogeneous modified antibody-drug conjugates with DAR 2 were  
7 obtained. Alternatively, Rinat-Pfizer incorporated a glutamine tag  
8 (LLQG) into a variety of surface accessible regions of an anti-EGFR  
9 (epidermal growth factor receptor) IgG1 antibody to find positions  
10 that allow efficient conjugation by a transglutaminase from  
11 *Streptovorticillium mobaraense* and maintain the favorable physical  
12 properties of antibodies (Scheme 12D).<sup>195</sup> By this screening, sites  
13 were found conveying optimal conjugation efficiency, while  
14 retaining favorable antibody biophysical properties. Further  
15 characterization by high-resolution mass spectrometry of an amino-  
16 polyethylene glycol-6-propionyl monomethyl auristatin D  
17 (AmPEG6-MMAD) conjugate with glutamine tags in the C-terminus  
18 of heavy chain (C16 HC), C-terminus of light chain (C16 LC), and  
19 both in the light and heavy chains (C16 LC HC) identified an  
20 unintended conjugation site (Q295), which carried approximately  
21 1.3% of the conjugated drug.<sup>196</sup> Accordingly, a Q295N mutant was  
22 made to eliminate this off-target conjugation, and yielded highly  
23 homogeneous conjugates that were more than 99.8% site-specific.  
24 The resulting ADC with a DAR of 3.8 is currently moving to  
25 clinical trial. Preparation of ADC through the bacterial enzyme  
26 sortase A (SrtA) mediated conjugation has also been described  
27 (Scheme 12E).<sup>197</sup>

28 In one example, the anti-Her2 Fab of the clinically-validated  
29 antibody trastuzumab was fused with the plant toxin gelonin.<sup>198</sup>  
30 LPETG was fused at the C-terminus of the Fab heavy chain, and the  
31 toxin was equipped with a Gly2 sequence at its N-terminus, distal to  
32 the toxin active site in the C-terminal region. An antibody-toxin  
33 fusion was subsequently prepared by SrtA mediated conjugation.  
34 Sortase catalysed conjugation is currently explored by NBE  
35 therapeutics for the introduction of payloads in a regioselective  
36 manner.

37

#### 4.7 Targeting the glycan as conjugation site

Glycosylation of the Fc region of human IgGs occurs at a conserved N-glycosylation site within the CH2 domain, where glycans are linked to N297. The carbohydrate chain attached at this site is usually comprised of a complex glycan composed of GlcNAc and mannose (Man), and followed by variable addition of Gal, NeuNAc, fucose (Fuc), as well as bisecting GlcNAc residues (Scheme 13). This site can be targeted for conjugation by different approaches.

##### 4.7.1 Glycan remodelling

Selective chemical modification of sugars can give access to sites for conjugation. For example, periodate oxidation of the fucose residue of the N-glycan followed by reaction with cytotoxic payloads bearing an hydrazide to form a hydrazone-linked conjugate resulted in an efficient method for the construction of ADCs with uniform attachment and DAR (Scheme 13A).<sup>199</sup> Alternatively, enzymes can be used to reshape the glycan portion with sugar mimetics endowed with functional groups for conjugation of payloads. Remodelling of N-glycan by enzymatic introduction of NeuNAc moieties allowed mild oxidation of the glycerol moiety to generate aldehyde groups which can be conjugated via oxime ligation (Scheme 13A).<sup>116</sup>

The process was successfully used to modify three antibodies with different small molecules, including trastuzumab and two cytotoxic agents, with an average loading of  $\sim 1.6$  cytotoxic agents per antibody molecule.

Modification of an anti-CD22 monoclonal antibody by the commercially available sialyltransferase ST6Gal1 and CMP NeuNAc with an azide at C-9 position ( $\text{N}_3$ NeuNAc) enabled the selective insertion of cytotoxic drug doxorubicin bearing dibenzylcyclooctynol (DIBO) via SPAAC (Chart 2).<sup>200</sup> The anti-CD22 antibody linked to doxorubicin exhibited dose-dependent cytotoxicity. The conjugated drug was slightly less active than the



unmodified form, indicating the efficient cleavage of the hydrazine linkage.

1 By these methods, ADCs with DAR up to 4 can be achieved. One  
2 limitation of these approaches is the incapability to introduce  
3 modifications in the portion of antibodies (5-17 %) which is usually  
4 only mannosylated. To overcome these limits, recently a strategy has  
5 been proposed (Scheme 13A) as (i) trimming of all glycan isoforms  
6 (complex, hybrid, high-mannose) by an endoglycosidase, which  
7 renders available the core GlcNAc; (ii) enzymatic transfer of a Gal  
8 residue harboring an azide in the acetamide group for further  
9 conjugation; and (iii) use of copper-free click chemistry with  
10 bicyclononyne (BCN), a cyclooctyne with minimal lipophilicity to  
11 reduce aggregation.<sup>201</sup>

#### 13 4.7.2 Bioengineering the glycans of antibodies

14 The concept that the composition of the glycans expressed on  
15 glycoproteins strongly impacts their pharmacokinetic and therapeutic  
16 properties has been exploited to modulate the activity of a variety of  
17 mAbs. Glycoengineering has been explored to modulate the binding  
18 affinity of therapeutic mAbs to various Fc receptors. This approach  
19 can be pursued by deleting sugar moieties and/or reinstalling the Fc  
20 glycan with the intended format.

21 The first modification of the glycan pattern was achieved in the anti-  
22 neuroblastoma chimeric IgG1 chCE7 by tetracycline-regulated  
23 expression in Chinese hamster ovary cells of  $\beta$ -(1,4)-*N*-  
24 acetylglucosaminyltransferase III (GnTIII), a glycosyltransferase  
25 catalyzing formation of bisected oligosaccharides.<sup>202</sup> An optimal  
26 range of GnTIII expression was found for the production of mAb  
27 with enhanced antibody-dependent cellular cytotoxicity (ADCC) *in*  
28 *vitro*. Removal of core Fuc by knocking down  $\alpha$ -1,6-  
29 fucosyltransferase has also been shown to selectively and  
30 significantly increase binding affinity to Fc $\gamma$ RIII, and resulted in  
31 100-fold increase in ADCC activity.<sup>203</sup> A humanized and  
32 glycoengineered anti-CD20 mAb, GA101 (Obinutuzumab) was  
33 developed by glycoengineering the carbohydrates of the Fc region  
34 using recombinant glycoengineering antibody production technology  
35 (GlycoMAb; Glycart-Roche) to enrich mAb with bisected  
36 afucosylated Fc region-carbohydrates.<sup>204</sup> It has been recently  
37 approved by EMA and FDA for the treatment of chronic  
38 lymphocytic leukemia. Humanization of the rat ICR62 antibody by  
39 glycoengineering the Fc region to contain bisected afucosylated  
40 carbohydrates has also led to the development of GA201,<sup>205</sup> a novel  
41 anti-EGF receptor (EGFR) monoclonal antibody with enhanced  
42 ADCC properties. An approach based on inhibition of Fuc  
43 incorporation into the carbohydrate chains of mAbs by means of  
44 sugar mimetics (SEA Technology) has been pursued by Seattle  
45 Genetics. Enhanced ADCC activity in preclinical models was  
46 obtained for some candidates, and SEA-CD40 is in Phase I clinical  
47 trials for the treatment of solid tumors.<sup>206</sup>

Currently a variety of alternative production systems for glyco-  
optimized proteins, including yeast, duck, rat, algae, moss and  
tobacco cell lines is available.<sup>8</sup> For example, GlycoFi (now a part of  
Merck BioVentures) has designed and engineered several yeast cell  
lines (mainly *Pichia pastoris*) to perform the major steps of the  
human N-glycosylation pathway. Therefore, the technology provided  
a general platform to deliver proteins, monoclonal antibodies and

derivatives (Fab fragments, Fc fusion proteins, immuno-conjugates)  
with defined glycans as potential pharmaceuticals.

Biobetter versions of trastuzumab, cetuximab, rituximab and  
infliximab derived from these technologies are in development.  
The recent approval in Japan of mogamulizumab (Poteligeo<sup>®</sup>)  
for the treatment of relapsed or refractory CCR4- positive adult  
T cell leukemia-lymphoma represents the first glyco-engineered  
antibody to reach a major market, and is a milestone in the  
development of empowered therapeutic antibodies by  
glycoengineering. Detailed discussion of biotechnological  
approaches for glycoengineering antibodies is outside the scope  
of the present review, and for this topic we redirect the readers  
to recent reviews in the field.<sup>8, 207</sup> Combination of  
bioengineering and chemical modification of mAbs is expected  
to enrich the variety of protein therapeutics.

Remodelling of the oligosaccharides at the N297 residue of  
antibodies has been pursued as a possible strategy for  
incorporating drugs at defined positions by chemical or  
chemoenzymatic modifications.<sup>127</sup> A mutant galactose  
transferase has been developed by Qasba's group to introduce  
2-keto modified galactose, which is in turn connected to  
payload (Scheme 13B).<sup>208</sup> Incorporation of the unnatural sugar  
6-thiofucose in the N-glycan has allowed Michael-type addition  
with cytotoxic molecules (Scheme 13B).<sup>209</sup>

#### 4.8 Bispecific antibodies

Bispecific antibody is composed of two CDRs from distinct  
antibodies, and can bind simultaneously two different antigens.  
Alongside many excellent molecular biology methods, site-selective  
conjugation offered a rapid and flexible way to assemble this type of  
format.

A group at university college London reported the use of bis-  
dibromomaleimide for the synthesis of homogeneous bispecific  
antibody, by crosslinking an anti-CEA single chain antibody (ScFv)  
to an anti-Her2 Fab (Chart 2).<sup>210</sup>

Schultz et al. reported the use of unnatural amino acid modified Fabs  
for the preparation of bispecific antibody. PAcF has been site-  
specifically incorporated into each Fab. Oxime ligation was used to  
introduce azido or alkynyl group to each Fab, which were  
subsequently cross-linked together by copper free click chemistry  
(Chart 3).<sup>211</sup> Recently, comparison of bispecific antibodies  
composed of anti-Her2 IgG or Fab site-specifically conjugated to  
anti-CD3 Fab via genetically encoded pAcF showed that different  
valencies did not significantly affect antitumor efficacy, whereas the  
presence of an Fc domain enhanced cytotoxic activity, although it  
triggered antigen-independent T-cell activation.<sup>212</sup>

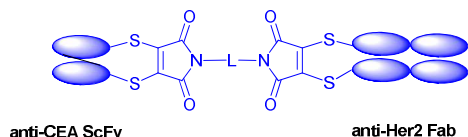
The SmartTag<sup>™</sup> technology also found great applicability to  
crosslink proteins. Aldehyde was introduced site-specifically to a  
full length human IgG, which was in turn functionalized by strained  
alkynyl group (Chart 3). The other partner, the growth hormone (h-  
GH), was labelled similarly with an azido group. The subsequent  
copper free click chemistry successfully produced a  
heterobifunctional protein.<sup>213</sup>

Schultz et al. also developed a strategy to form tetrameric anti-Her2  
Fab.<sup>61</sup> Herceptin Fab mutant incorporating pAcF was expressed and  
conjugated to the toxin saporin (Sap 6), endowed with genomic

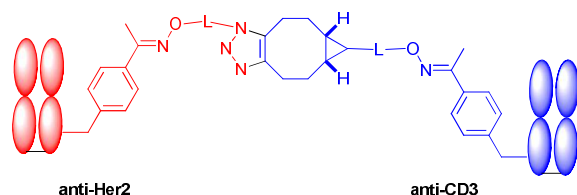
DNA fragmentation activity, through a bifunctional aminoxy-maleimide linker that was selectively coupled to both the keto group of pAcF in anti-Her2 and the thiol group of cysteine in Sap 6.

- 1 The use of a bispecific antibody to simultaneously target CD3 on T
- 2 cells and tumor-associated antigens to recruit cytotoxic T cells to
- 3 cancer tissue has been revisited by the same group. A small
- 4 molecule DUPA binding to the prostate-specific membrane
- 5 antigen was selectively conjugated to a mutant anti-CD3 Fab at the
- 6 incorporated pAcF.<sup>214</sup> The conjugate proved potent *in vitro* and *in*
- 7 *vivo* activity (prophylactic and treatment xenograft mouse models)
- 8 combined with good serum half-life.

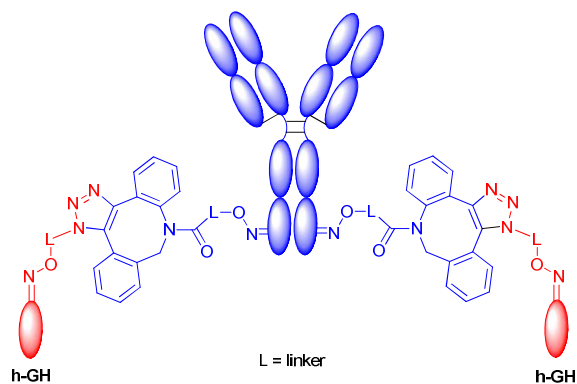
#### disulfide rebridging



#### uAA incorporation



#### SmartTag™ technology



9  
10  
11  
12

Chart 2. Bispecific constructs.

## 13 5. Conjugate Vaccines

### 14 5.1 Conjugation of glycan antigens

15 Glycoconjugate vaccines represent an important class of  
16 pharmaceuticals, which guarantee the prevention and even  
17 eradication of bacterial infections, such as pneumonia or meningitis  
18 in children.<sup>215, 216</sup>

Unconjugated bacterial polysaccharides are T-cell-independent antigens, and are unable to induce a persistent memory response. In contrast polysaccharides covalently linked to proteins bind to polysaccharide-specific receptors on the surface of APCs and, after intracellular processing, can engage T cells following re-exposition of digested peptides in complex

with Major Histocompatibility Complex class II (MHCII).<sup>217</sup> Glycoconjugation is, therefore, a fundamental step in order to ensure memory response and boost effect to the vaccine. Recent isolation of carbohydrate specific T-cells clones indicated that the sugar portion of glycopeptides, originated by intracellular digestion of glycoconjugates, may be directly involved in T cell activation.<sup>218</sup> This implies that the conjugation site might originate a variety of different glycopeptides, of which the relative efficiency in determining the T-cell response is unknown. It is still not clear whether T cell activating peptide and glycopeptides coexist within APCs and compete for T cell activation. In both scenarios the connectivity to the protein is a parameter which merits further exploration.<sup>219</sup> Current carbohydrate-based vaccines are prepared from heterogeneous mixtures of sugars linked by unspecific methods to the carrier protein giving complex mixtures of products. The immunogenicity of glycoconjugates is influenced by a series of interconnected features, some of which are related to the sugar (length, non-end terminal residues, exposition of charged functional groups, number of sugar copies linked to the protein), and others to the conjugation chemistry (type of linker, length, etc).<sup>14</sup> The complexity of randomly prepared glycoconjugates has not made possible to apply a systematic approach to decipher how these parameters influence the activity of this class of biopharmaceuticals and to fully understand their mechanism of action.<sup>19</sup>

Recently, different methods for chemical or enzymatic assembly of defined oligosaccharides have rendered feasible the synthesis of complex carbohydrates. A first important proof-of-concept for the sustainability of a vaccine based on synthetic saccharide antigens is witnessed by the release on the market of the Cuban vaccine against *Haemophilus influenzae* type b in humans.<sup>220</sup> While synthetic methods are aiding unveiling the key carbohydrate requirements needed for optimal activity,<sup>221</sup> the effects of conjugation site and linkers have been scarcely explored.

A case study commissioned by WHO estimated a cost of \$200–500 million for bringing a new vaccine from the concept stage to market.<sup>222</sup> Interestingly, although a major expense would be the clinical development of the product, relevant factors affecting the cost of glycoconjugate vaccines have been identified in (i) the source of the carbohydrates; (ii) development of a commercially feasible conjugation chemistry process; (iii) manufacturing of the product, which typically include scale-up of production, filling and/or freeze-drying of the biotherapeutic, packaging, storage, and distribution of finished product.<sup>223</sup>

The use of site-selective approaches would confer to vaccine conjugates higher batch-to-batch consistency and robust structure-biological activity correlation when compared to classic methods. The better defined chemico-physical characteristics of the vaccines would result in improved quality controls during the process development, and reduced number of routine controls for product release, giving indisputable advantages in terms of quality standards and manufacturing costs.

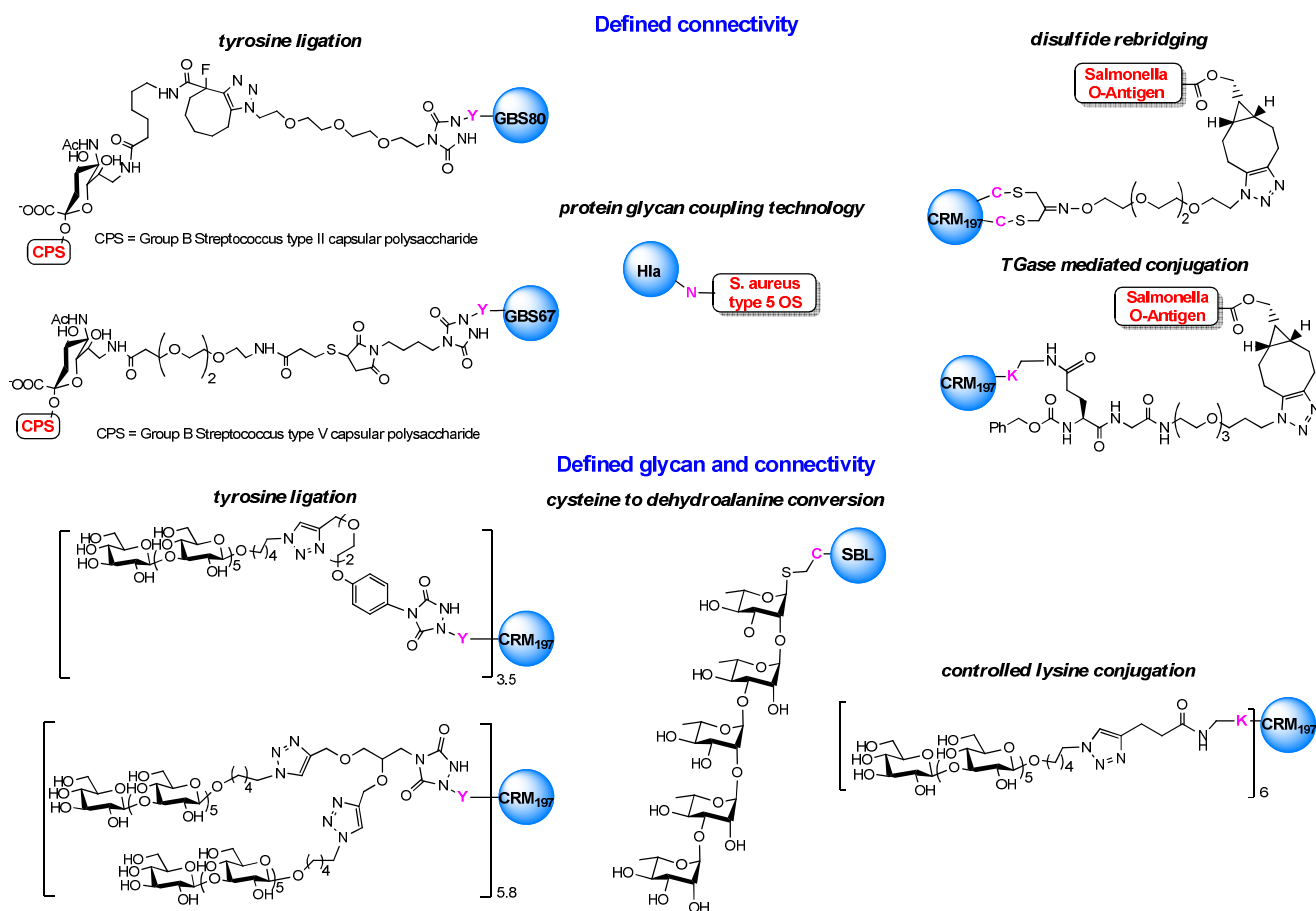


Chart 3. Defined glycoconjugate vaccines.

## 1 5.2 Chemical approaches

2 A first strategy for production of homogeneous glycoconjugates  
 3 was based on coordination of both carbohydrate synthesis and  
 4 conjugation methodology.<sup>224</sup> This approach features glycosyl  
 5 disulfides as versatile donors in complex carbohydrate  
 6 synthesis, providing strategic access to glycosyl thiols for site-  
 7 selective attachment to the cysteine residues of the protein  
 8 carrier. By this approach the thiol polyrhamsoside O-antigen of  
 9 *Klebsiella pneumoniae* was bound through a thioether linkage to  
 10 the dehydroalanine generated on the subtilisin protein (SBL)  
 11 mutant S156C (Chart 3). Multimeric copies of sugars were also  
 12 linked by thiol-ene coupling to the Qb virus-like bacteriophage  
 13 particle. In these early examples the capability to induce *in vivo*  
 14 an anti-saccharide immune response was not examined.

In general homogeneous proteins are attractive candidates to have well characterized products and to correlate the immunogenicity to a single attachment site. On the other hand this could lead to an increased dose of administered protein. In some cases it is known that an overdose of carrier protein can suppress the efficacy of following administrations.<sup>225</sup> A potential solution to this issue was

anticipated by GSK Vaccines (former Novartis Vaccines & Diagnostics) and Novartis Institutes for Biomedical Research (NIBR) in the tyrosine ligation.<sup>42</sup>

The reaction of triazolinediones with the four more exposed tyrosine residues of the genetically detoxified diphtheria toxin mutant CRM<sub>197</sub> was exploited. CRM<sub>197</sub> was chosen as protein since it is present in registered vaccines and cannot be easily engineered, therefore chemical manipulations appeared very attractive. The choice of the reaction medium was crucial to direct the reaction away from the lysine residues, and the use of Tris buffer enabled the insertion of an alkyne linker onto Y27, Y46, Y358 and Y380. Following CuACC of a  $\beta$ -(1,3)-glucan hexasaccharide bearing an azide spacer allowed installing defined sugars at predetermined sites. In a following study the construction of a glycoconjugate with double copies of the  $\beta$ -(1,3)-glucan antigen on the same tyrosine residues was accomplished.<sup>90</sup>

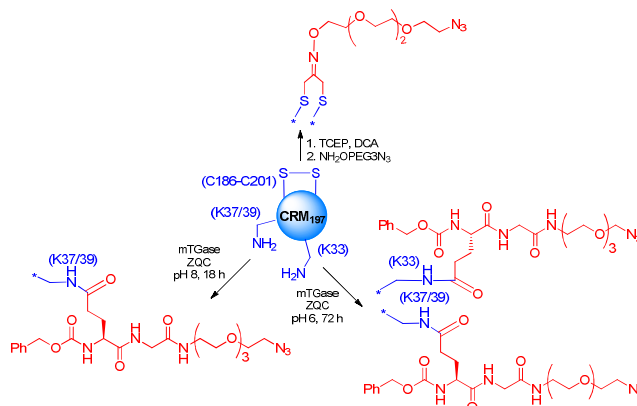
Interestingly, a conjugate with a controlled number of hexasaccharides onto the more surface available lysine residues of CRM<sub>197</sub> was attained by careful optimization of a two-step click chemistry based conjugation approach (Chart 3). CRM<sub>197</sub> possesses

39 lysine residues, of which 19 are surface exposed. By ESI MS analysis of digested labelled CRM<sub>197</sub> it was observed that the reaction of alkyne/azide N-hydroxysuccinimide linker with protein proceed with a pronounced regioselectivity on some of the lysine residues (namely K103, K221 and K242, K236, K498 and K526) as long as not more than six linker moieties were attached to the protein.<sup>226</sup> This finding was rationalized by means of computational calculations based on the crystal structure of CRM<sub>197</sub>. A good correlation of the empirically modified lysines was found with the calculated solvent accessibility of the residues and the amino acidic contour of the modified sites.<sup>227</sup> The two constructs having tyrosines modified by one or two  $\beta$ -(1,3)-glucans, respectively, and the glycoconjugate derivatized at the more surface accessible lysines were compared in mice with the same sugar randomly attached to CRM<sub>197</sub> and to a CRM<sub>197</sub> conjugate of laminarin. The latter is a natural glucan that was previously shown to be highly protective against systemic and mucosal *C. albicans* infections when conjugated to CRM<sub>197</sub>. Surprisingly, the tyrosine conjugate exhibited very high immunogenicity which was comparable to the longer and more complex laminarin conjugate but which was not further increased by linking two sugar antigens at the same residues. The defined laminarin conjugate induced the antibodies with the strongest inhibition activity against host cell adherence in the set. This indicated that the efficacy of the glycoconjugates was depending on a balance of sugar loading and conjugation sites.<sup>90</sup>

- 1 When the tyrosine directed approach was next tested with larger
- 2 charged polysaccharides, such as the capsule of Group B
- 3 *Streptococcus* (*Streptococcus agalactiae*), a pathogen related with
- 4 neonatal infections, the copper catalyzed click chemistry showed not
- 5 to be the optimal approach for linking the polymer.<sup>228</sup> Further
- 6 improvements of the conjugation efficiency were achieved by the
- 7 use of strain promoted click chemistry. Vaccine candidates carrying
- 8 the capsular polysaccharides from type II and V GBS could be
- 9 bound with high yields to pilus proteins GBS80 or GBS67 from the
- 10 same pathogen, thus opening the path to conjugates where the
- 11 protein is used with the dual role of carrier and antigen.
- 12 A vaccine obtained by conjugation of PSII to GBS80 (Chart 3) was
- 13 demonstrated to induce anti-carbohydrate antibodies comparable to
- 14 the same polysaccharide conjugated to CRM<sub>197</sub>.<sup>229</sup>
- 15 Anti-glycan and anti-protein antibodies were effective in inducing
- 16 bacterial killing *in vitro* of strain expressing either the PSII capsule
- 17 or GBS80, and conferred protection to the offspring of the
- 18 vaccinated mice, indicating that this type of vaccine can be used for
- 19 maternal immunization in order to prevent infections of newborns.
- 20 Likewise, a glycoconjugate made combining the GBS67 pilus
- 21 protein and PSV capsular polysaccharide was proven effective in
- 22 mice.<sup>230</sup> In this study combination of tyrosine ligation and thiol-
- 23 maleimide addition enabled the preparation of an efficacious vaccine,
- 24 avoiding production of anti-linker antibodies.

Selective conjugation to protein as carrier and antigen appears very appealing for the development of future vaccines, since the repetitive use of classic proteins in vaccination schedules, which nowadays require different doses of the same vaccine and concomitant administration of multiple vaccines, could result in diminished response against the antigen.<sup>225</sup> Recently, a Cbz-Gln-Gly (ZQG) linker bearing azide was seen compatible to microbial

transglutaminase (mTGase) catalyzed lysine modification.<sup>227, 230</sup> Control of the pH enabled to achieve selectivity at K37/39 of CRM<sub>197</sub> (Scheme 14). Extended reaction time and more acidic pH led to additional modification of K33. The protein was next coupled to *Salmonella* O-antigen to create vaccines with defined connectivity.



Scheme 14. Selective modification of CRM<sub>197</sub> by pH-controlled mTGase catalyzed lysine modification and disulfide rebridging with DCA (1,3-dichloroacetone).

CRM<sub>197</sub> presents two disulfide bridges: the C461-C471 bond is buried inside the protein, while the C186-C201 is well exposed. TCEP reduction of the latter disulfide allowed selective modification of the protein with 1,3-dichloroacetone (Scheme 14), which was used for modification with an aminoxy linker bearing an azide for strain promoted click chemistry with the *Salmonella* O-antigen.<sup>227</sup>

The novel constructs (Chart 3) were tested in comparison with a large set of conjugates prepared with multiple copies of the sugar at defined sites. Very importantly, the conjugate at the disulfide showed superior immunological activity than the one at K37/39, clearly demonstrating that the attachment site was impacting the vaccine efficacy. This study highlights the paramount role of the novel selective conjugation methods in understanding biological functions of modified proteins.

The observation that lower degree of glycan incorporation might be compensated by the use of longer oligosaccharides, which express multiple copies of the minimal epitope (the glycan portion responsible of raising functional antibodies),<sup>231</sup> let us foresee that a balance of defined attachment sites and optimized saccharide length could give rise to effective homogenous vaccines.

### 5.3 Bioengineered glycoprotein vaccines

The *protein glycan coupling technology* developed by GlycoVaxyn has recently found application in the delivery of a series of glycoconjugate vaccine candidates. Genes encoding *S. aureus* capsular polysaccharide (CP) biosynthesis PglB, and a protein carrier (detoxified *Pseudomonas aeruginosa* exoprotein A or *S. aureus*  $\alpha$  toxin Hla) were coexpressed in *E. coli*. Recombinant proteins N-glycosylated with *S. aureus* serotype 5 or 8 CPs were purified from *E. coli*.<sup>232</sup> Rabbits and mice immunized with the

glycoprotein vaccines produced antibodies that were active *in vitro* in functional assays. Active and passive immunization strategies targeting the CPs protected mice against bacteremia, and vaccines targeting Hla protected against lethal pneumonia. The CP-Hla bioconjugate vaccine (Chart 3) protected against both bacteremia and lethal pneumonia, providing broad-spectrum efficacy against staphylococcal invasive disease.

1 The same technology has been applied to design bioconjugate  
2 vaccines for prevention of bacillary dysentery in children caused by  
3 *Shigella dysenteriae*, *Shigella flexneri* and *Shigella sonnei*. A  
4 Phase I trial of a monovalent vaccine against *S. dysenteriae* O1 has  
5 been completed in Switzerland, while Phase I development of the  
6 vaccine against *S. flexneri* is underway in the US.<sup>233</sup>

7 Not all the antigenic bacterial cell surface polysaccharides are  
8 accessible to the bacterial oligosaccharyltransferase PglB. This is the  
9 case for the Vi antigen of *Salmonella enterica* serovar Typhi,  
10 consequently the glycoengineering of vaccines against typhoid fever  
11 is not feasible. To circumvent this limitation, the O-antigen of the *E.*  
12 *coli* O121 wbqG mutant was used to express a Vi-like  
13 polysaccharide which showed cross reactivity with antibodies raised  
14 against the Vi polysaccharide.<sup>234</sup>

15 Interestingly, while the mutant O-antigen structure could be  
16 efficiently transferred to acceptor proteins using the bacterial N-  
17 glycosylation system, the immunogenicity of the resulting  
18 conjugates against *S. enterica* was very poor. This indicated that a  
19 different epitope was expressed in *E. coli*, suggesting that the  
20 oligosaccharide chain length was too short in order to induce anti Vi  
21 antibodies. In general efficiency of the oligosaccharide transfer by  
22 PglB and number of sugar moieties incorporated into the protein and  
23 polymerized within the O-antigen chain may currently represent  
24 limiting factors for this technology. The same approach has been  
25 recently shown applicable for the preparation of diagnostic tools for  
26 pathogen detection. For instance, the structural identity of *Yersinia*  
27 *enterocolitica* O9 and *Brucella abortus* O-antigens, was exploited to  
28 generate magnetic beads coated with recombinant glycoprotein  
29 which were used as diagnostics of brucellosis, one of the most  
30 common zoonotic diseases with over half a million new cases  
31 annually.<sup>235</sup> Noteworthy, injection of the glycoprotein into mice  
32 generated an IgG response that recognized the O-antigen of *B.*  
33 *abortus*, although this response was not protective against a  
34 challenge with a virulent strain. Similarly a recombinant  
35 glycoprotein antigen, an N-formylperosamine O-polysaccharide-  
36 protein conjugate (OAg-AcrA) was used for the development of an  
37 indirect immunoassay leading to the diagnosis of bovine  
38 brucellosis.<sup>236</sup> Expression of glycoproteins from *E. coli* O157, O145  
39 and O121 has enabled also the development of an indirect ELISA  
40 (glyco-iELISA) which clearly discriminates between healthy  
41 children and patients infected with Shiga toxin-producing *E. coli*  
42 (STEC), a life-threatening condition characterized by hemolytic  
43 anemia, thrombocytopenia and acute renal failure.<sup>237</sup>

44 This technology could, therefore, provide also diagnostics for  
45 clinical testing of carbohydrate-based vaccines.

#### 47 5.4 Chemoenzymatic assembly of glycoconjugate vaccines

Strategies for site selective conjugation of defined glycans have been proven crucial tools also towards the development of an anti HIV

vaccine. HIV-1 utilizes a high density of glycans to limit host antibody recognition of protein. However, the high density limits glycan processing and the resulting oligomannose structures can be recognized by broadly neutralizing antibodies isolated from HIV-1 infected patients.

HIV-1 is characterized by an atypical and highly dense glycoprotein envelope which consists of a trimer of a gp120 and gp41 heterodimer. Each gp120 subunit has an average of 25 N-linked glycosylation sites that render it one of the most heavily glycosylated proteins known. The glycans expressed in gp120 are predominantly Man8-9GlcNAc2 structures.<sup>238,239</sup>

These oligomannose-type glycans form a cluster on the envelope surface, often referred to as ‘the mannose patch’ or ‘intrinsic mannose patch’ (IMP), that is present across all viral clades. Recently the crystal structure of a stabilized Env trimer mimic has been resolved, confirming the close proximity of the N-linked glycans on HIV-1.<sup>240</sup> The abundance of oligomannose-type glycans is further increased in the context of the intact trimer and on the virion surface leading to a ‘trimer associated mannose-patch’ (TAMP).<sup>241</sup> Although the Env glycosylation takes place using the host cell machinery, protein-glycan and glycan-glycan interaction occurring at the interface of monomers within the trimer create a non-self glycan motif on gp120 which may be a target for vaccine development. Importantly, broadly neutralizing antibodies recognize glycan-reactive quaternary epitopes located primarily in the first, second and third variable regions (V1V2 and V3) of gp120.

In this context, efforts were addressed to the synthesis of defined N-glycosylated V1V2 peptides by a chemoenzymatic method based on the installation of a GlcNAc moiety at the predetermined glycosylation site during solid-phase peptide assembly.

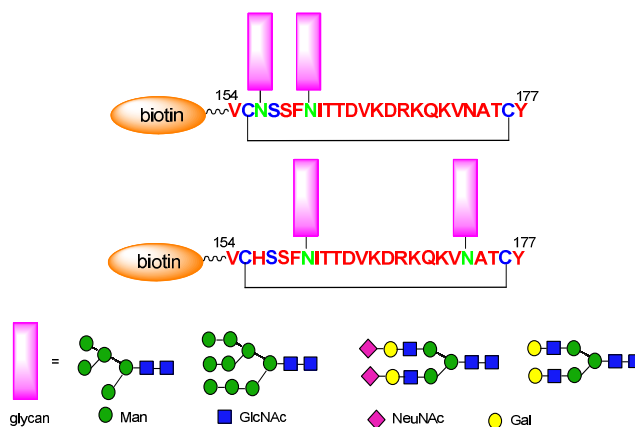


Chart 4. Design of HIV glycopeptides made by controlled glycosylation.

Synthetic glycans, in the form of activated glycan oxazolines, were transferred to the GlcNAc moiety by an endoglycosynthase mutant which controls the formation of the native  $\beta$ -(1,4) glycosidic linkage between the two core GlcNAc moieties.<sup>125</sup> By this highly convergent approach the synthesis of 25 V1V2 glycopeptides containing high mannose or complex-type N-glycans was accomplished. Antibody binding studies by SPR elected the insertion of a Man5GlcNAc2 glycan at the N160 position as essential for PG9 and PG16 recognition (Chart 4). These studies also revealed a critical role of a terminal sialylated complex-type N-glycan at the secondary

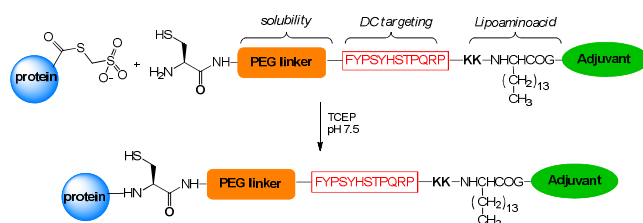
glycosylation site (N156 or N173) for recognition by PG9 and PG16. A chemoenzymatic glycosylation remodeling method was also applied for the synthesis of selectively fluorinated glycoproteins.<sup>242</sup> The chemically assembled fluoroglycan oxazoline was used as donor substrate for endoglycosidase (ENGase)-catalyzed transglycosylation to a GlcNAc-protein. Interestingly, it was observed that at the C-6 of the 6-branched mannose moiety in the Man3GlcNAc core resulted in significantly enhanced reactivity of the substrate in enzymatic transglycosylation. Fluorinated glycoforms of ribonuclease B (RNase B) synthesized by this approach aided the elucidation of specific carbohydrate–protein interactions with lectin concanavalin A (Con A). The 6-OH on the  $\alpha$ -1,6-branched Man moiety was demonstrated important for Con A recognition.

1 These studies highlight the potential of well-defined glycoconjugates  
2 in deciphering relevant biological functions, and possibly in  
3 selection of vaccine candidates.<sup>243</sup>

### 5.5 Adjuvant conjugation to protein antigens

6 The magnitude and quality of the immune response directed to  
7 vaccine antigens can be modulated by a variety of adjuvants.  
8 Adjuvants can differ in their mechanism of action, safety, potency,  
9 and capacity to elicit different types of immune responses.<sup>244</sup> Among  
10 the adjuvants, Toll like receptor 2 (TLR2) agonists represent a  
11 promising class of molecules, which have showed efficacy and low  
12 toxicity in clinical studies.<sup>245-247</sup> Moyle et al. described the  
13 attachment of synthetic lipopeptides, obtained by linking Pam2- and  
14 Pam3Cys to lipid core peptides, to the cysteine residue of a  
15 recombinant protein antigen through Michael type addition.<sup>248</sup>

16



17  
18 Scheme 15. Site selective attachment of adjuvant to recombinant protein  
19 antigens via NCL.

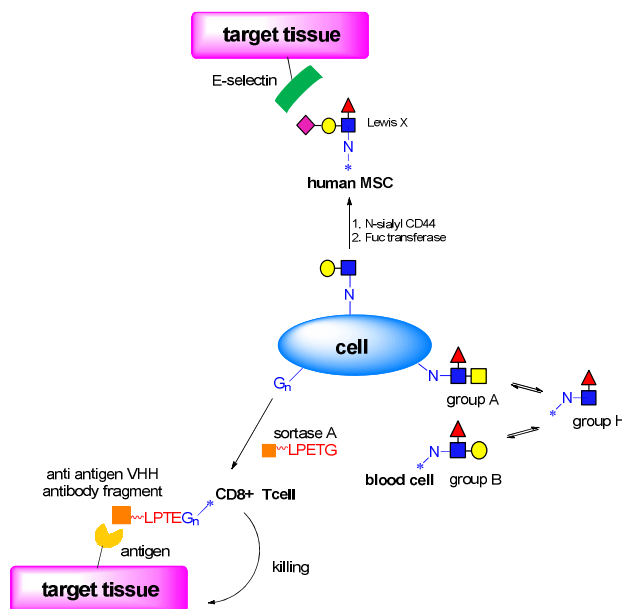
20  
21 In a follow-up work, the site-specific attachment of three synthetic  
22 TLR2 ligands (lipid core peptide (LCP), Pam2Cys, and Pam3Cys)  
23 was realized by Native Chemical Ligation (NCL) of  $\alpha$ -thioester  
24 groups onto engineered protein antigens and the N-terminal cysteine  
25 of modified lipid adjuvant peptides (Scheme 15).<sup>249</sup> Using this  
26 approach, a small library of broadly protective multi-antigenic  
27 vaccines against Group A Streptococcus (GAS, *Streptococcus*  
28 *pyogenes*) was generated, to selected the best vaccine candidate. The  
29 lipid components favored self-assemble of nanoparticles in PBS.

30 These formulations elicited in mice specific anti-antigen antibodies,  
31 covering the top 20 circulating strains in developed countries. This  
32 study was an important proof-of-concept for subunit protein vaccine  
33 antigens modified with adjuvants at precise positions.

34

## 35 6. Cell therapy

Cell therapy has been increasingly used for tissue replacement and regeneration. Overcoming the potential immune response, and the migration of the injected cells to the intended organ (homing) are key to the success of this therapy. Enzymatic edition of cell surface glycans can be used to reprogram cell surface carbohydrate antigens, and modulate the immune response. One paradigmatic example of this approach is the reshaping of blood group carbohydrate antigens to avoid rejection of blood cells during transfusion (Scheme 16).<sup>250</sup> Inadequate homing is considered the cause of many failures in bone marrow transplantation. It was found that enzymatic enrichment of cell surface sialofucosylated motifs on mesenchymal stromal cells (MSCs) can significantly enhance the homing process by increased recognition of marrow vessels expressing vascular E-selectin, a lectin with high specificity for sialofucosylated determinants. This approach is currently evaluated for the treatment of several rare genetic disorders (Scheme 16).<sup>251</sup>



Scheme 16. Site-selective modifications in cell therapy.

This concept has been extended to anti-tumour therapy, where simultaneous generation of fucose deficient endogenous antitumor antibodies and non-fucosylated surface glycans of neutrophils has been proven to augment the activities of cancer vaccines.<sup>252</sup>

Besides glycan modification, site-selective conjugation can find application in this context. A successful example is the use of the sortase mediated conjugation of peptides or proteins tagged with an LPTEG motif to the exposed N-terminal glycines of components of the cell surface.<sup>253</sup> Chimeric antigen receptors (CARs) are composed of an extracellularly displayed targeting moiety specific for a tumor associated antigen, linked to a cytoplasmic signaling domain that mimics the receptor engagement and drives signal transduction. The binding of the target protein on a tumor cell via CAR receptors induces T cell activation, followed by tumor killing via T cell mediated cytotoxicity.<sup>254</sup> This strategy has encountered the success in clinical evaluation, however a major drawback associated with genetic cell manipulations for therapeutic purposes is the risk of lymphocyte transformation, and even *de novo* tumor formation.

Table 2. Summary of site selectively modified biomedicines already marketed or in clinical trials

Name	Therapeutic target	Site-selective conjugation approach	Development phase	Reference
Neulasta®/Pegfilgrastim	G-CSF	pH-controlled modification of N-terminal methionine	commercial	138
Lonquex®/Lipegfilgrastim	G-CSF	glycoengineering	commercial	51
Pegasys®	IFN-β	controlled lysine conjugation	commercial	144
PEG-Intron®	IFN-α 2b	histidine conjugation	commercial	145
Plegridy®	IFN-β 1a	N-terminal modification	commercial	148
Cimzia®/Certolizumab pegol	anti TNF-α Fab	bioengineering and cysteine modification	commercial	154
Victoza®/Liraglutide	GLP-1	lysine modification	commercial	7
Semaglutide	GLP-1	lysine modification	Phase III	7
Levemir®/Insulin Detemir	Insulin	bioengineering and C-terminal modification	commercial	160
SGN-CD33A	anti CD33A ADC	cysteine modification of THIOMAB	Phase I	184
ARX788	anti Her2 ADC	mAb incorporating uAA	entering Phase I	193
anti-EGFR- AmPEG6-MMAD	anti EGFR ADC	mTGase catalysed conjugation of glutamine	Phase I	195
Poteligeo®/Mogamolizumab	anti CCR4 mAb		commercial	8
Obinutuzumab/GA101	anti CD20 mAb	glycoengineering	commercial	204
GA201	anti EGFR mAb		entering Phase I	205
<i>Shigella</i> bioconjugates	<i>Shigella</i> infection	recombinant glycoprotein	Phase I	233

Ploegh and coworkers proved that the transpeptidase sortase A from *S. aureus* is suitable for conjugation of single domain antibodies to activate CD8 T cells (Scheme 16). This study opens new perspectives in the use of site selective conjugation methods to modify the cell surfaces for therapeutic applications.

## 7. Conclusions and future outlook

At present mAbs, vaccines and recombinant proteins constitutes the top three product categories among the biologic medicines under clinical development.<sup>255</sup>

Bioconjugates bearing features from biomolecules and synthetic medicines hold great potential for the prevention and treatment of various illnesses, such as cancer, metabolic or autoimmune disorders, microbial infections and cancer, and to tackle intractable diseases (Table 2). However, since its first debut in the late 1980s, the development of bioconjugate medicines has been considerably slower than the corresponding monoclonal antibodies or protein therapeutics.

The manufacture of bioconjugates is more sophisticated, and includes the protein expression by biological system, the chemical synthesis of linker and payloads, and the chemical conjugation. Each step requires rigorous quality control to ensure batch consistency and regulatory compliance. The regulatory process in turn involves multiple parties within the agency. The control of site-specificity in conjugation holds great promise to accelerate the development of bioconjugate medicines, because of the potential optimal biological outcome, the ease of manufacture and regulatory process.

Site-selective conjugation was initially explored in the PEGylation of therapeutic proteins to maximally maintain the potency of the parent protein. It was also demonstrated the impact of attachment site or linkage on the conjugate physical property. The development of antibody-drug conjugate fuelled the growth of many new site-selective bioconjugation methods, and unveiled many new features. For example, the site of choice is relevant to the stability of linker, and hence impacts the pharmacokinetics and tolerability of the drug. Strategic

selection of the attachment point can also enable the incorporation of hydrophobic payloads that would be challenging by other methods. Furthermore, the site controlled conjugation allows the introduction of multiple types of payloads in a defined manner, and potentially offer new therapeutic opportunities.

More recently, site-controlled glycoconjugate vaccines demonstrated outstanding immunological activity with few, short, but defined oligosaccharides. Certain attachment points appear to be more efficacious than others. This exciting progress will potentially accelerate the development timeline for glycoconjugate to fight various deadly infectious diseases. Certainly, clinical evidence and regulatory success are still needed. We expect the transition from statistic conjugates to site-selective conjugates will follow a similar path of PEGylation.

Bioconjugate medicine is on the verge of entering a new era with very intense ongoing research activities towards better version of biologics or new classes, including glycoengineered antibodies, bispecific antibodies, and chemically engineered cell therapies. The development will witness significant acceleration with the maturation of technologies, manufacture process, characterization, and regulatory path. However, the realization of the promise requires further development of site-selective conjugation to facilitate the realization of the promise. We believe the following questions hold priority:

- 1) Can a homogeneous conjugate provide adequate biological advantages over the corresponding recombinant (fusion) protein or statistic conjugates?
- 2) Can a homogeneous conjugate medicine be consistently prepared through a time and cost effective manufacturing process?
- 3) Can we develop proper strategies to manage or minimize potential undesirable properties introduced by new technologies, e.g. immunogenicity, the distribution, accumulation and the consequent biological activities of released payload-linker?

- 4) Can we define the optimal application scope for a given method or technology?
- 5) Can we develop a proper regulatory strategy for these hybrid biologics to ensure adequate compliance and efficient clinical and regulatory path?

We believe the full potential of bioconjugate medicines to improve current therapies and tackle unmet medical needs will be maximally appreciated in due course.

## Notes and references

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