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Elucidating the real-time Ag nanoparticle growth on $\alpha\text{-}Ag_2WO_4$ during electron beam irradiation: Experimental evidence and theoretical insights

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Why and how Ag is formed when electron beam irradiation takes place on α-Ag₂WO₄ in a vacuum 10 transmission electron microscopy chamber? To find an answer, the atomic-scale mechanisms underlying the formation and growth of Ag on α-Ag₂WO₄ have been investigated by detailed in situ by transmission electron microscopy (TEM) and by field emission scanning electron microscopy (FE-SEM) studies, density functional theory based calculations and ab initio molecular dynamics simulations. The growth process at different times, chemical composition, size distribution and the element distribution were is analyzed in depth at the nanoscale level using FE-SEM, operated at different voltages (5, 10, 15, and 20 kV) and TEM with energy dispersive spectroscopy (EDS) characterization. The Ag nanoparticle sizes cover a wide range of values. Most of the Ag particles are in the 20-40 nm range. The Ag nucleation and formation on α-Ag₂WO₄ is a result of structural and electronic changes of the AgO_x (x=2,4, 6, and 7) clusters as a constituent building block of this material, consistent with Ag metallic formation. First 20 principle calculations point out that Ag-3 and Ag-4-fold coordinated centers, located in the sub-surface of the (100) surface, are the most energetically favorable to undergo the diffusion process to form metallic Ag. Ab initio molecular dynamics simulations and the nudged elastic band (NEB) method were used to investigate the minimum energy pathways of these Ag atoms from positions in the first slab layer to outward sites on the (100) surface of α-Ag₂WO₄. The results point out that the injection of electrons 25 decreases the activation barrier for this diffusion step and this unusual behavior results from the presence of a lower energy barrier process.

1. Introduction

An important branch of modern science is devoted to the study of a quantum phenomenon associated with the interaction of of electron irradiation and electromagnetic radiation with matter. Predicting the response of a material to the passage of electrons and waves, respectively, is a very challenging problem. The understanding of spatial scales of atoms and chemical bonds and on temporal scales of electron and nuclear motion has gained increasing sophistication through development of precise photon and electron probes. The physical and chemical properties of a material are determined by the type of motion its electrons are allowed to execute. Therefore, the ultimate goal is to resolve the fundamental temporal and spatial scales of electron and nuclear motion.

A free electron exerts a force onto a nanostructure as it approaches. At long distances this is primarily a Coulomb type interaction but when the electron enters the region of charge density it becomes indistinguishable from the nanomaterial

45 electrons so that additional quantum mechanical exchange interactions become effective. The irradiation of electrons induces the presence of hot (unbound or unconfined) electrons that are not quantized and can thus absorb any amount of energy, modifying the physical and chemical properties of the material.
 50 Despite of the importance of this phenomenon, the fundamental physical picture is still unclear, and an understanding of the

electronic rearrangement processes is mandatory.

- Transmission electron microscopy (TEM) and field emission scanning electron microscopy (FE-SEM) are well recognized 555 techniques that provide unique capabilities for *in situ* imaging and control of nanoscale phenomena. 1-5 They provide, at the same time, morphological and crystallographic information such as the size and shape of the nanoparticles, the crystallographic phase, the arrangement of atoms in the specimen, and their degree of
- 60 order.⁶⁻⁹ The effects introduced with an electron beam in a TEM are directly analyzed from the produced TEM images, and the analysis on the scattering the electrons that exit the specimen back surface provides much microstructure/electronic structure

information on the samples based on a variety of electron-solid interaction mechanisms. Therefore, direct observation of the structures is a powerful scientific tool in the material sciences and provides dynamic information on the solid surface, which cannot 5 be obtained directly by other *in situ* techniques.⁷

Different research groups have utilized the electron beam to promote the chemical precipitation of nanoparticles from solution, 2,10-12 and to induce the deposition of metals and semiconductors from various precursor. 13-15 The role of the 10 electron beam in affecting the chemical reactions have been analyzed.^{2,16} A wide variety of metal nanoparticles with different morphologies have also been synthesized and characterized via electron microscopy. Some reviews of these syntheses and related processes involving electron energy loss experiments have 15 been published. 26,27 In these studies an electron beam is used for structural and morphological characterization, and can be used to carry out dynamic in situ experiments.28 In particular, the

development of liquid cells for TEM has enabled dynamic

information about nanosystems and direct observation of nanoparticle growth in a liquid phase. 1,29,30 The effect in vacuo is quite different since the liquids have a strong influence on their physical and chemical properties. During imaging, the electron beam interacts with the sample, and different research groups have reported nanoscale crystallization 25 and growth processes taking place only in the beam's presence. 2,10,12,16,30-32 Specifically, plasmonic noble metal nanocrystals have recently been found to enhance a number of chemical reactions due to localized surface plasmon resonances. 33-45 However, the nature of the mechanism of the 30 plasmonic driven chemistry with presence of hot electrons or charged species and concurrent photoluminescence properties over plasmonic particles are not well understood. 33,37,46,

This investigation's motivation essentially arises from a discovery of an unwanted real-time in situ nucleation and growth 35 of Ag filaments on α-Ag₂WO₄ crystals which was driven by an accelerated electron beam from an electronic microscope under high vacuum. 48,49 This is the first example of such studies in the scientific literature and it has become a major topic in current research. It is a novel example of dynamic single crystals where 40 electron irradiation induces macroscopic mobility presenting a visually appealing demonstration of their potential for bactericide and photoluminescent applications, ^{50,51} as well their application as an efficient ozone sensor have been reported.⁵² But, they also provide a unique opportunity to explore the mechanistic link 45 between collective atomic processes and their consequences at a macroscopic level. This phenomenon is of an electron-driven nature. The key difference in this approach is the use of an electron beam of TEM for growing Ag nanoparticles rather than for optical or electromagnetic erasing as is the case in the 50 plasmon effect. Knowledge of the driving force and origin of this phenomenon is of utmost importance.

An electron beam was used to grow Ag nanoparticles from α-Ag₂WO₄ crystals. TEM and field emission scanning electron microscopy (FE-SEM) were used to study these materials. The 55 growth process (at different times), chemical composition, size distribution and element distribution were analyzed in depth at the nanoscale level using FE-SEM, operated at different voltages (5, 10, 15, and 20 kV) and TEM with energy dispersive spectroscopy (EDS) characterization. First principle calculations 60 are essential in understanding the experimental observations and for gaining a more complete understanding of this intricate mechanism at atomic levels. First principles density functional theory (DFT) calculations have been carried out to obtain a better understanding of the processes that occur during the initial stages 65 of Ag nanoparticle formation. This present work can be

considered a combination of experimental and theoretical studies based on the results obtained by experimental techniques and calculations carried out by the DFT method in order to provide a deeper understanding of atomic and electronic structures 70 associated with the formation of Ag, which was followed by a subsequent nano- to micro-scale growth process.

The research discussed in the present study provides a convenient example of a "complex modeling paradigm", as was introduced by Billinge and and Levin⁵³ as an appropriate procedure for 75 combining the results of multiple experimental methods and theory in a self-consistent computational framework leading to a greater understanding of this process. Four sections complete this article. The experimental procedure details are presented in section 2. The computational details are given in section 3. In 80 Section 4, experimental and theoretical results are presented and discussed in detail. A brief summary and the main conclusions will be offered in the final section.

2. Experimental procedure

Synthesis of α-Ag₂WO₄

85 α-Ag₂WO₄ microcrystals were prepared at 358K for 30 min by a simple precipitation method without the use of any surfactant. The typical α-Ag₂WO₄ crystals synthesis procedure is described as follows: 1×10^{-3} mol of tungstate sodium dihydrate (Na₂WO_{4.2}H₂O; 99.5% purity, Sigma-Aldrich) was dissolved in $_{90}$ 5 ml deionized water and 2×10^{-3} mols of silver nitrate (AgNO₃; 99.8% purity, Sigma-Aldrich) were dissolved in 95 mL of deionized water at 358K. The tungstate solution was added to the silver solution with stirring. The resulting suspensions were washed with deionized water and acetone several times to remove 95 remaining ions and dried at 60°C for 12 h.

Experimental characterizations of α-Ag₂WO₄

The α-Ag₂WO₄ crystals were structurally characterized by X-ray diffraction (XRD) patterns using a diffractometer model LabX 100 XRD-6000 (Shimadzu®, Japan) with Cu-K α radiation (λ = 1.5406 Å) in the 2θ range from 10° to 70° with a scanning velocity of 1°/min. The shapes, sizes, visualization of Ag filaments and element distribution of the α-Ag₂WO₄ microcrystals were observed with a FEG-SEM model Supra 35-105 VP, Carl Zeiss, Germany operated at 5, 10, 15 and 20 kV, and TEM operating at 200 KV, and EDS; model Tecnai G2TF20,

3. Computational details

Periodic DFT based calculations were performed using the VASP 110 code. 54-56 The valence electrons are described with a plane wave basis set and the effect of the inner cores on the valence electron density is taken into account using the projector augmented wave (PAW) method. 57,58 The valence states that are explicitly included in the calculation are 5d and 6s (6 electrons) for W, 4d and 5s (11 electrons) for Ag atoms, and 2s and 2p (6 electrons) for O atoms. The rest of the electrons are considered as core electrons and their densities are kept frozen as in the reference used to extract the PAW potential. A kinetic energy cutoff of 460 eV for the plane wave expansion is used for all systems, which is 120 adequate to obtain total energies converged to at least 1 meV/atom.

The Brillouin zone was sampled using the Monkhorst-Pack method and at different k-points grids according to the system size. The number of k-points was increased for the analysis of the 125 electronic structure with respect to the geometry optimization process and the tetrahedron method with Blöchl corrections⁵⁹ was

also used. All calculations were non spin-polarized. The generalized gradient approximation (GGA) in the Perdew-Burke-Ernzerhof (PBE) formulation was used for the electron exchange and correlation contribution to the total energy. ^{60, 61} The 5 conjugated gradient (CG) energy minimization method was used to obtain relaxed systems. Atoms are considered to be are fully relaxed when the Hellmann-Feynman forces converged to less than 0.005 eV/Å per atom.

Ab initio molecular dynamics simulations were performed in 10 selective cases in the canonical ensemble at 300 K. The time step was 3 fs, and a typical simulation spanned about 3 ps. The Nudged Elastic Band (NEB) method was used to investigate the minimum energy pathways of the Ag atoms from positions in the first slab layer to outward sites on the nanoparticle surface. Both 15 the initial and end point configurations were previously optimized. Then intermediate configurations were generated by linear interpolation between the initial and end points. Finally, the intermediate configurations were relaxed under the constraint that the ions were connected by springs to keep them equidistant from 20 neighboring configurations. Details of the NEB method are described by Jonsson et al.62

4. Results and discussion

Fig. 1 shows the XRD patterns. All of the diffraction peaks of samples can be can be assigned to the orthorhombic α-Ag₂WO₄ 25 with a space group Pn2n (JCPDS card No.4165), and the strong diffraction peaks revealed crystallinity. The sample was totally monophased $\alpha\text{-}Ag_2WO_4,$ in good agreement with previous observations in neutral $^{63\text{-}66}$ or slightly alkaline 67 media. In $\alpha\text{-}$ Ag₂WO₄ crystals, Ag ions can display different AgOx clusters 30 corresponding to type-coordination and synergistic coordination modes in order to facilitate the formation of several clusters with low and high coordination numbers (x = 2, 4, 6 and 7).

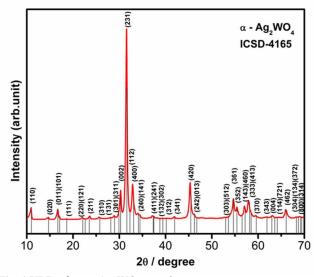


Fig. 1 XRD of an α-Ag₂WO₄ sample.

The TEM image in Fig. 2 clearly demonstrates the formation of Ag nanoparticles on α-Ag₂WO₄ microrods at different times (from 0 to 5 minutes). Some nanoparticles assemble into flowerlike aggregates. The rod surface is observed to be smooth.

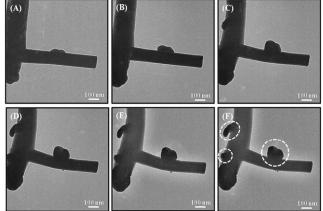


Fig. 2 TEM images of a α -Ag₂WO₄ sample obtained at (A) time zero, (B) 1 min, (C) 2 min, (D) 3 min, (E) 4 min and (F) 5 min of exposure to electron beam. Ag nanoparticles appear in the middle of both microrods.

Fig. 3 (A-H) shows a FE-SEM image of the crystals that were obtained after a rapid approach and focus adjustment (time zero) at 5, 10, 15 and 20 kV, during the growth of Ag when stimulated by the electron beam on the $\alpha\text{-}Ag_2WO_4$ surface. Further 50 information on the Ag growth process can be found in the Supplementary Information.

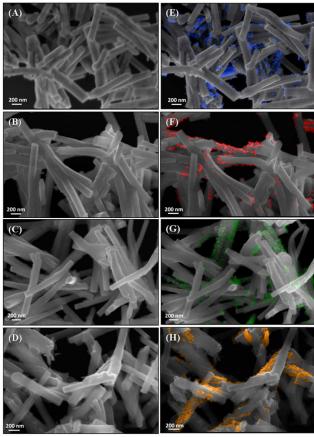


Fig. 3 Time-resolved FE-SEM images of α-Ag₂WO₄ operated at 55 different voltages (A - E) 5 kV, (B - F) 10 kV, (C - G) 15 kV, (D - H) 20 kV. A, B, C and D images were obtained at zero time while E, F, G and H images are displayed after 5 min of exposure to the electron beam.

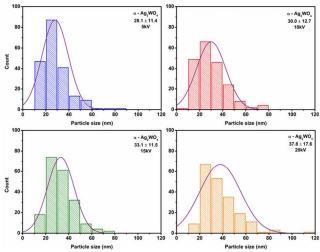


Fig. 4 Mean particle diameter distribution at 5 minutes of electron beam exposure for different voltages. Violet curves are

This phenomenon occurs after a few seconds of exposure. An analysis of the images reveals increasing formation of Ag nanoparticles on the surface with increasing voltage. The size distribution of the Ag nanoparticles at different voltages for 5 10 minutes has been obtained and the results are displayed in Fig. 4. Different configurations can be formed during the rapid growth of Ag nanoparticles generating Ag vacancies defects, which can be responsible for the different average particle size distributions. An analysis of the results shows two important facts. The first is 15 related to the distribution range that increases with the increasing time and the second is that the nanoparticles size increases with increasing voltage.

In this typical semiconductor, the electronic structure of the α -Ag₂WO₄ microcrystal is governed by both inter cluster 20 (intermediary range) and intra-cluster (local range) of the cluster constituents of this material: AgO2, AgO4, AgO6, and AgO7, and the electron-hole pairs are produced in these clusters. When the electrons hit the surface, the oxidation of stoichiometric Ag₂WO₄ into non-stoichiometric Ag_{2-x}WO₄ can accelerate the generation 25 of LSPRs. ^{68, 69} All of these LSPRs are based on the tunable hole concentration induced by the Ag vacancies in Ag₂WO₄ surface. This perspective addresses the exciting fundamental opportunities arising from the plasmon resonances of semiconductors. The LSPR can be considered, in the most general sense, as an optical 30 signature of any arbitrary collection of charge carriers. It is not essential for the arbitrary collection of charge carriers. It is not essential for these charges to have purely free character. 20, 70-72 Fig. 5 illustrates the TEM images with EDS characterization. Composition results are shown in Table 1. Therefore the end of 35 the region that emerged due to the electron exposure (point 1) confirmed the composition of 100% of Ag in relation to W. It is possible to see the crystallographic planes revealing the Ag crystallinity. The region near the interface, point 2, is composed of about 92.5% of Ag to 7.5% of W; while, for the internal 40 region, point 3, shows 96.9% of W. These results reinforce the fact that the Ag atoms come from the interior deep regions of the particles. This behavior induces the presence of Ag defects inside α -Ag₂WO₄ material and this semiconductor changes from an n to a p type.

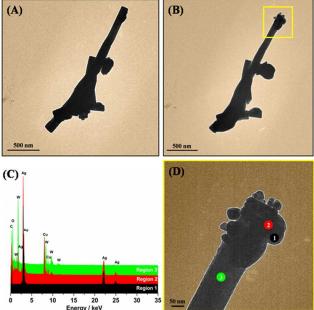


Fig. 5 TEM images of α-Ag₂WO₄ at (A) time zero (B) after 5 minutes of exposure. (C) EDS spectra for the three regions, corresponding to points 1, 2 and 3, respectively, are depicted in 50 (D)

Table 1 Ag and W relationship shown by EDS.

Points	Ag (%)	W (%)
1	100	0
2	92.50	7.49
3	3.1	96.9

55 Adsorption and absorption of electrons by the semiconductor Ag₂WO₄ followed by removal of silver causes distortion and breaking of the crystal symmetry. This physico-chemical effect produces the polarization between the clusters that makes the population of electronic excited states easy. These electrons 60 reduce [AgO_x] $[V'_{Ag}, O_x]$ and Ag°, but are not energetic enough to reduce [WO₆]^x clusters. The cluster-to-cluster charge transfer in α-Ag_{2-x}WO₄ crystal containing more than one kind of cluster is characterized by excitations involving electronic transitions from on cluster to another cluster. Therefore, these clusters have 65 favorable conditions to promote the charge transfer process from $[V_{Ag}, O_x]$ clusters to $[{\rm AgO_x}]$ clusters. This electronic transition between clusters probably occurs when α-Ag_{2-x}WO₄ microcrystals with distorted clusters are able to absorb electrons with a quantum confinement effect associated with electron 70 reduction of silver clusters.

Ag nanoparticles emerge from the structure of α-Ag_{2-x}WO₄ and xAg^o. Collective plasmon excitations provides a connection between the semiconductor and Ag nanoparticles resulting an increase of silver nanowire carriers, i.e. these emerging nanowires 75 have a high defect density and the interactions at both intermediate and short ranges result in a dynamic equilibrium of formation of unstable clusters which leave the surface of the nanowire, forming clusters. This coupling semiconductor-metalcluster opens the perspective of a new phenomenon of nature 80 involving the effect of quantum confinement on plasmon resonance, i.e., an optical signature nanoscale produced by charge carriers.

Bulk calculations

The α-Ag₂WO₄ bulk structure was calculated under full structural relaxation, which allowed for changes in the lattice parameter and 5 the internal atomic positions. The calculated cell parameters were a = 11.234 Å, b = 12.574 Å and c = 5.812 Å which compare well with experimental values. 45, 63 α-Ag₂WO₄ crystals belong to an orthorhombic structure with a space group of Pn2n, with a pointgroup C₂v⁽¹⁰⁾ symmetry.⁷³ The bulk structure is formed by 10 clusters of [WO₆] and [AgO_y] (y = 2, 4, 6 and 7).

The (100) surface

The difference between surface and bulk properties is linked to the role of dimensionality, and we have studied the (100) surface, 15 which is the most stable one. This surface is modelled using supercells with surface parameters of 11.624 x 12.574 Å. In order to test the reproducibility of results with respect to the slab thickness, we chose two different slab sizes of 3 and 6 layers resulting in systems with 84 and 168 atoms, respectively. The 20 vacuum distance in this direction was set to 14 Å, which is large enough to avoid the interaction between the surface and its images. Once the supercell was created, all further relaxation processes were carried out allowing for the internal atomic

positions to relax without changing the lattice parameters. 25 When cleaving the crystal, the coordination number of the surface atoms changes from the bulk situation. Thus, the W atoms change to a tetrahedral coordination, whereas the Ag atoms are found with coordination numbers of 4 and 6. The presence of these under-coordinated atoms modifies the electronic structure and 30 distinguishes the surface from their bulk counterparts because of the bond contraction and bond energy elevation induced by atomic under-coordination. Ab initio molecular dynamics simulations of both slab models show that this oxide surface made of under-coordinated Ag atoms is suitable to undergo 35 delicate structural rearrangements even at ambient temperature. The optimization process for both slabs leads to structures with some slight differences (see Fig. 6). However, and more importantly, the coordination number of all Ag atoms is preserved in both models, and the structural environment of each 40 Ag atom can be considered approximately equivalent in both models. There are eight surface Ag atoms, which are arranged in four different arrangements. Ag-1 and Ag-2 are the outmost external Ag atoms and are four-fold coordinated. Ag-3 and Ag-4 are also four-fold coordinated, but they are in more internal 45 positions. The next Ag atoms are even further into the slab and they are all six-fold coordinated. Only the smallest supercell model was used for performing the calculations that are described next, because the use of the 6-layer model would be too computationally demanding.

50 The mechanism of surface Ag nucleation induced by the electron injection starts from the presence of Ag adatoms on the surface. These reduced adatoms diffuse from the bulk material when hit by the electron bombardment. Presumably, the outmost external atoms would be more easily removed and would initiate the 55 process. Geometry optimization of the system was done when each Ag atom is displaced to higher z coordinate outside the surface. There are no stable situations for the Ag-01, Ag-02, Ag-05, Ag-06 atoms and they were put back to the original positions. Conversely, the system is energetically favored at 0.25 eV when 60 Ag-03 and Ag-04 are in external sites. In a similar way, the system is stabilized at 0.52 eV when Ag-07 and Ag-08 move outward, but a considerable surface rearrangement occurs simultaneously. We have computed the energy barrier for the diffusion process of Ag-03 and Ag-04 by means of the NEB 65 method.

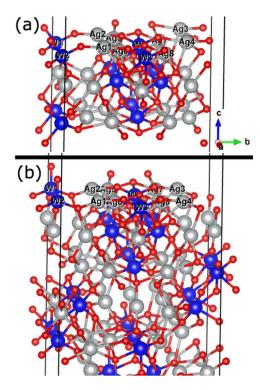


Fig. 6 Relaxed slab models for the (100) surface. (a) 3-layer and 70 (b) 6-layer models.

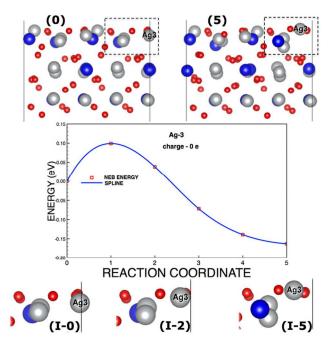


Fig. 7 Energy profile and structures obtained from a NEB calculation. The top two images labeled (0) and (5) are the initial 75 and final structures, respectively. Insets of these structures and other intermediate along the reaction coordinate are labeled as (I-0), (I-5) and (I-2), respectively. The graph shows the energy profile along the reaction coordinate displaying a small barrier of 0.10 eV.

Fig. 7 shows the structures of the NEB evolution along the reaction coordinate (RC) from the initial position RC-00 (a) up to

the final position RC-05 (f). Also, the energy profile along the reaction coordinate is depicted in the central plot. It corresponds basically to the movement of the Ag atom from the relaxed surface (RC-00) to the optimized situation when the atom is on 5 the outer site (RC-05), which corresponds to a displacement of 0.65 Å. It can be seen that the energy cost is only around 0.10 eV, a low energy barrier, which makes this Ag surface atom very mobile and a good candidate to exit the surface and initiate the metal nucleation process.

10 Although these Ag-3 and Ag-4 surface atoms appear to be very mobile, the charge effect provided by the irradiation further helps this process. In order to verify this effect, the electron absorption process on the surface structure was also investigated. 1 to 3 electrons were added and relaxation of the surface clusters was 15 analyzed Fig. 8 shows how the z coordinates of the surface Ag atoms change for each charge addition. It can be seen that as the number of injected electrons increases, the Ag-3 and Ag-4 atoms move outward whereas the positions of the other atoms do not significantly change.

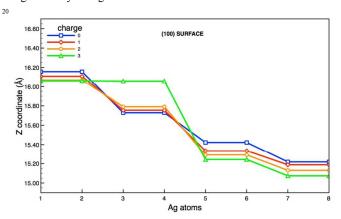


Fig. 8 Z coordinates of the surface Ag atoms for each charge addition.

25 This result indicates that electron excess favors Ag-3 and Ag-4 atoms to move to the outmost external sites. To confirm this behavior, NEB calculations have been used to estimate the energy barrier to diffusion for these atoms when one electron is added into the system. Figure 9 shows the diffusion energy profile and 30 demonstrates that it is a non-barrier pathway. The small barrier presented (see Fig. 7) in the diffusion process (red squares) changes to a barrierless profile (red circles) with charging the system with one electron. Therefore these charge injection effects favor the escape of Ag atoms to form new Ag structures, 35 nanowires and clusters as observed in the experiments presented in this article and in previous publications. 49-5

5. Conclusions

In this study, α -Ag₂WO₄ samples were grown using a simple coprecipitation method. How the in situ Ag nanoparticle growth and 40 morphological evolution on α-Ag₂WO₄ take place during electron beam irradiation has been analyzed. These events were directly monitored in real-time using in situ TEM and FE-SEM spectroscopic techniques and first principle calculations to provide a deeper insight and understanding of these processes in 45 individual nanostructures at the atomic to nanoscale levels.

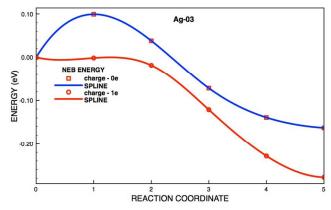


Fig. 9 Energy profiles for the diffusion process of the Ag-03 atom in a neutral system (blue line and red squares) and when one electron is added into the system (red circles).

This promising methodology was demonstrated based on the combination of experiments and calculations, through their application to a long-standing challenging problem, namely, the Ag nanoparticle growth and morphological evolution on α-55 Ag₂WO₄ during electron beam irradiation. The results of the present study can be summarized as follow: i) the formation of Ag nanoparticles takes place on the α-Ag₂WO₄ surface after a few seconds of exposure to an electron beam and is not dependent of the electron beam voltage. ii) the sizes of Ag nanoparticles covers 60 a wide range of values, with most of the the Ag particles being 20-40 nm of size. iii) First principle calculations of the (100) surface, as most stable of α-Ag₂WO₄, allows a detailed analysis of the Ag active centers. iv) the theoretical results point out that Ag-3 and Ag-4 four-fold coordinated centers, located in the sub-65 surface, are the most energetically favorable to suffer the diffusion process to form metallic Ag. v) Ab initio molecular dynamics simulations and NEB calculations point out that the injection of electrons decreases the activation barrier for the diffusion process of these Ag centers.

70 The present study has drawn a fundamental physical picture for understanding electron-enhanced activity, and the data reveal real-time insights in the activation of α-Ag₂WO₄ by the electron beam and constitutes a new example of how and where silver nanoparticles are formed by modifying the electron density and 75 opens the door to future transformations and applications.

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