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1 Pressure induced speciation changes in the aqueous  
2  $\text{Al}^{3+}$  system.

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### Abstract

We have developed a simple model for incorporating the influence of external pressure and solution pH into a cluster based (i.e. comprising the central  $\text{Al}^{3+}$  cation and nearest neighbor coordinating  $\text{H}_2\text{O}$  and  $\text{OH}^-$  ligands) 1<sup>st</sup> principles approach to investigate the hydrolysis equilibria of aqueous  $\text{Al}^{3+}$  monomeric species in high pressure environments such as are found in the Earth's mantle. Our model is demonstrated to reproduce the well documented bulk chemistry of the aqueous  $\text{Al}^{3+}$  system under ambient conditions, namely the system is dominated at low and high pH by the 6-coordinated aqua species and 4 coordinated hydroxide species, respectively, while all remaining species occupy a narrow intermediate pH range. Coupling this model to changes in solution pH is achieved by using  $[\text{H}_3\text{O}^+]$  as a parameter in the definition of the formation equilibrium constants used; the influence of external pressure is evaluated using Planck's equation. This approach predicts that changes in external pressure will induce drastic changes in the aqueous solubility of these species under high pressure conditions and moderate changes as low as 5GPa. Finally, some industrial and geochemical implications of this result are discussed.

Keywords: Aqueous  $\text{Al}^{3+}$ , speciation, high pressure, solubility.

## 1 Introduction

2 The speciation of the aluminum(III) ion is of broad interest to many areas, notably in the  
3 geochemical, industrial and environmental sectors of the chemical sciences. The geochemical  
4 interest arises from the high natural abundance of aluminum and thus its non-negligible influence  
5 on the Earth's chemistry. The industrial interest is illustrated by the well-known Bayer-process  
6 by which bauxite is refined to form pure alumina;  $\text{Al}^{3+}$  species are also liberated in the process to  
7 recover hydrocarbons from deep deposits.<sup>1</sup> The environmental interest arises from the toxic  
8 properties of many  $\text{Al}^{3+}$  species, which disrupt essential biological processes in plants and  
9 animals and may possibly play a role in Alzheimer's disease.<sup>2,3</sup> Contact with the aqueous phase  
10 would undoubtedly play a major role in the migration of aluminum species. In solution  $\text{Al}^{3+}$   
11 exists in a variety of oxohydroxo polyion species such as  $\text{Al}(\text{H}_2\text{O})_6^{3+}$ ,  $\text{Al}_2(\text{OH})_2(\text{H}_2\text{O})_8^{4+}$ ,  
12  $\text{Al}_3(\text{OH})_4(\text{H}_2\text{O})_9^{5+}$ ,  $\text{Al}_4(\text{OH})_6(\text{H}_2\text{O})_{11}^{6+}$ ,  $\text{Al}_4\text{O}(\text{OH})_5(\text{H}_2\text{O})_{10}^{5+}$  and  $\text{AlO}_4\text{Al}_{12}(\text{OH})_{24}(\text{H}_2\text{O})_{12}^{7+}$  as  
13 well as with other ligands.<sup>3-8</sup> The complexity of this aqueous system has made it difficult to  
14 develop a successful speciation model due to uncertainties in the exact nature and structure of  
15 even the smallest polymer species.<sup>9-14</sup> This uncertainty only increases when considering  
16 aluminum speciation under extreme conditions such as high pressure. For example, there remains  
17 disagreement on the basic transport properties of mantle aluminum: some results suggesting the  
18 presence of mobile aqueous species while others suggest otherwise.<sup>15-20</sup> Resolving this issue  
19 would lead to an improved model of aqueous  $\text{Al}^{3+}$  chemistry. This would lead to an increased  
20 understanding of the speciation of aqueous  $\text{Al}^{3+}$  under high pressure conditions, allowing for  
21 better prediction of mantle processes to be made. Another potential benefit would be the more  
22 accurate prediction of the solubility of aqueous aluminum species. This, in turn, would have

1 direct consequences for the future of cleaner industrial processes and more efficient  
2 environmental remediation strategies.

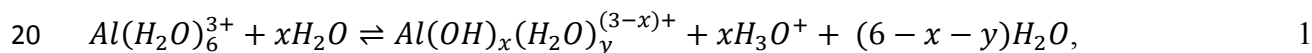
3  
4 Not surprisingly, aluminum speciation is the focus of many experimental studies using, for  
5 example, neutron and X-Ray diffraction, NMR, and Mass Spectrometry techniques.<sup>21-23</sup>  
6 However, the complexity of the Al speciation pattern mentioned above also creates significant  
7 problems for experimental characterization of these species. This is especially true near neutral  
8 pH conditions where a large variety of Al<sup>3+</sup> species form and where, arguably, the most  
9 interesting chemistry is occurring such as polymerization and precipitation. Researchers often  
10 turn to theoretical methods, namely molecular modeling, either as a supplement to their  
11 experimental observations or as a predictive tool in itself in studies of aqueous Al<sup>3+</sup>.<sup>11,24-27</sup>  
12 However, the problem of system complexity remains in these approaches and work is often  
13 limited to a sharp focus on one or a few molecular species, in spite of the vast complexity of the  
14 system.

15  
16 We have been very active in theoretical studies of aqueous Al<sup>3+</sup> focusing on the fundamental  
17 mechanisms of speciation<sup>28-32</sup>. A unique aspect of our approach is that we have decomposed the  
18 aqueous aluminum system into fundamental reactions corresponding to hydrolysis and  
19 dehydration of the Al<sup>3+</sup> species. Estimating the equilibrium constants of these reactions from first  
20 principles gives us the capability to compute mole fraction values for each individual species  
21 included in our model as a function of solution pH. This allows us to make qualitative  
22 predictions about changes in bulk solution composition in response to changes in environmental  
23 conditions. Our results have focused on elucidating the high degree of complexity in the

1 monomeric  $\text{Al}^{3+}$  hydrolysis products arising from a mechanism dubbed cooperativity among the  
2  $\text{Al}^{3+}$  hydrolytic species.<sup>33</sup> To this end, polymerization of  $\text{Al}^{3+}$  species and interaction with  
3 geochemically relevant species<sup>18,20,34,35</sup> have been neglected. In our previous work, our efforts  
4 had been restricted to investigations under ambient pressure conditions. Here we demonstrate  
5 how to extend our model to include the effect of external pressure and discuss how our results  
6 shed light on natural processes, namely aqueous  $\text{Al}^{3+}$  speciation, occurring in high pressure  
7 environments, such as the Earth's mantle where pressures up to ~135 GPa exist<sup>36</sup>, and may help  
8 direct the formulation of cleaner industrial processing of aluminum ore. Finally, in our aim to  
9 describe pressure induced speciation of aqueous  $\text{Al}^{3+}$  we make no attempt to describe the  
10 influence of temperature on these equilibria. While temperature is certainly a relevant variable, it  
11 and associated phenomena such as ion pairing, which indeed play a role in such a system,<sup>35</sup> and  
12 phase transitions are neglected. Rather, our aim is to firmly establish and describe the qualitative  
13 link between monomeric  $\text{Al}^{3+}$  speciation and external pressure via the cooperativity mechanism  
14 among monomeric  $\text{Al}^{3+}$  hydrolysis species.

## 15 **Methods**

16  
17 The aqueous  $\text{Al}^{3+}$  system is approximated by the set of equilibrium reactions described by  
18 equation 1,



21  
22 where  $x$  ranges from 0 to 4 and  $x+y$  ranges from 4 to 6. The corresponding change in molar  
23 volume of equation 1 is found by evaluating equation 2,

1

$$\Delta V = (V^{x,y} + xV^{H_3O^+} + (6 - x - y)V^{H_2O}) - (V^{0,6} + xV^{H_2O}), \quad 2$$

3

4 where  $V^{x,y}$ ,  $V^{H_3O^+}$  and  $V^{H_2O}$  refer to the molar volumes of the  $Al(OH)_x(H_2O)_y^{(3-x)+}$  species (via  
5 equation 3 below), hydronium ion and water molecule.

6

7 The simplest expression for the volume of a monomeric aqueous metal cation is  $V = \frac{4}{3}\pi r^3$   
8 where  $r$  is the radial distance from a metal center enclosing a region of solvent which is  
9 perturbed relative to a bulk water structure. For most if not all aqueous metal species, the  
10 perturbation in bulk solvent structure does not extend in any significant way beyond the  
11 formation of one or two radially structured solvation shells (a.k.a 1<sup>st</sup> and 2<sup>nd</sup> hydration shells).  
12 The estimation of the volume of an aqueous metal species is here achieved using the average  
13 metal-oxygen distance of the 1<sup>st</sup> shell coordinating waters with 1.4Å added corresponding to half  
14 the oxygen-oxygen distance of a moderately strong H-bond<sup>37</sup> as in equation 3.

15

$$V = \frac{4}{3}\pi(\langle M - OH_2 \rangle + 1.4)^3 \quad 3$$

17

18 This corrected distance thus corresponds to the midpoint between the 1<sup>st</sup> and 2<sup>nd</sup> hydration shells  
19 of an aqueous metal species. A study of the effects of variation of this distance on our results  
20 that supports this simple definition is provided in the supporting information. Note here that this  
21 is a somewhat heavy handed approximation as the 1<sup>st</sup> and 2<sup>nd</sup> hydration shell structure may  
22 change significantly for hydrolysis species (see Bogatko<sup>38</sup> and references therein). These  
23 volumes are per particle, Molar volumes are computed by multiplying by  $N_A$  ( $6.022 \times 10^{23}$

1 particles per mole). Other approaches to estimating the molar volume of an aqueous species  
2 exist.<sup>39,40</sup> We follow this method because it is straightforwardly implemented to our previous  
3 data set.<sup>31</sup> To keep the molar volumes for the H<sub>2</sub>O and H<sub>3</sub>O<sup>+</sup> molecules on equal footing we  
4 opted to use molar volumes founded on similar grounds, namely by constructing a radius based  
5 on average O-H distances and an offset of 1.6Å. The increased offset, relative to 1.4Å used in  
6 equation 3 is included to account for a slightly weaker H-bonding interaction for the H<sub>2</sub>O and  
7 H<sub>3</sub>O<sup>+</sup> molecules with a bulk water environment. While more accurate approaches exist to  
8 estimate these distances,<sup>41</sup> we have elected to remain at this qualitative level.

9  
10 The inclusion of pressure is achieved by using a method based on Planck's equation<sup>42</sup> (equation  
11 4), which may be considered as a pressure analog of the van't Hoff equation for temperature,

$$13 \quad RT \left( \frac{\partial \ln K}{\partial P} \right)_T = -\Delta V, \quad 4$$

14  
15 in which the logarithm of the equilibrium constant,  $K$ , is sensitive to pressure,  $P$ , in proportion to  
16 changes in molar volume ( $\Delta V$ ) of the reactants and products.<sup>43-45</sup> Our work is based on a finite  
17 difference approach under which Planck's equation becomes equation 5,

$$19 \quad RT \left( \frac{\ln K_1 - \ln K_0}{P_1 - P_0} \right)_T = -(V_P - V_R) = -\Delta V, \quad 5$$

20  
21 where  $V_P$  and  $V_R$  are the total molar volumes of product and reactant species as in equation 2. We  
22 note here that this implies the approximation that all species are incompressible.<sup>43-45</sup> Rearranging



1 equation 5 yields an equation to evaluate the equilibrium constant  $K_I$  at pressure  $P_I$  based on  
2 known  $K_0$ ,  $P_0$  and constant temperature, T.

$$3$$
$$4 \quad K_1 = K_0 e^{\left(\frac{-\Delta V}{RT}\right) \times (P_1 - P_0)} \quad 6$$
$$5$$

6 Estimates of equilibrium constants were achieved on a quantum chemical basis by revisiting the  
7 species investigated in our previous study wherein the aqueous aluminum systems was  
8 investigated under ambient conditions from 1<sup>st</sup> principles, namely the  $Al(OH)_x(H_2O)_y^{(3-x)+}$   
9 complexes.<sup>31</sup> Namely, 14 aqueous  $Al^{3+}$  species, sampling water and hydroxide coordination,  
10 were investigated using density functional theory (DFT)<sup>46-48</sup> calculations with the B3LYP<sup>49,50</sup>  
11 exchange correlation functional and the 6-311++G(d,p) basis set<sup>51</sup> using the Gaussian '03  
12 package.<sup>52</sup> This level of theory has been demonstrated to provide a good representation of the  
13  $Al^{3+}$  water interaction.<sup>26,28,53,54</sup> The geometry of each species was optimized in gas phase  
14 followed by a normal mode analysis to ensure that they corresponded to a true minimum, e.g. no  
15 negative frequencies were detected. The Gibbs Free energies with solvation free energy  
16 correction were then computed and used to compute the  $K_0$  corresponding to equation 1 using  
17  $K_0 = e^{-\Delta G/RT}$ . The effect of the water solvent was included by embedding these species in a  
18 continuum solvent modeled using the IEF-PCM formalism<sup>55,56</sup> with a water dielectric constant of  
19 78.39, ionic strength of 0 and the United Atom Topological Model (UAKS) parameter set. This  
20 included a correction to the standard state Gibbs Free Energy of  $RT \ln(24.46)$  for changing from a  
21 standard state of 1 atm to 1 mol/L.<sup>57-59</sup> It is well known that this approximation may affect  
22 calculated properties such as hydrolysis equilibria. We have previously reported that an explicit  
23 2<sup>nd</sup> hydration shell induces a significant reduction in the hydrolysis free energy of an aqueous

1 Al<sup>3+</sup> monomer species.<sup>31</sup> The inclusion of explicit solvating waters has been extensively studied  
 2 by Wander et al.<sup>26</sup>, Dixon et al.<sup>60</sup> and Kubicki<sup>61</sup> and has been shown to, for example, bring  
 3 calculated pKa values for aqueous Al<sup>3+</sup> to closer agreement with experiment.<sup>26</sup> An explicit,  
 4 extended hydration region also comes at significant computational expense, however, and as we  
 5 have argued in our previous studies, the qualitative chemical picture that we are aiming to  
 6 describe remains intact with the present level of theory.

7  
 8 Because our reaction equilibrium constants ( $K_0$ ) were computed for geometries optimized in gas  
 9 phase, the corresponding external pressure is set to zero ( $P_0=0$ ) in equation 6 to yield

$$11 \quad K_1 = K_0 e^{\left(\frac{-\Delta V \times P_1}{RT}\right)}. \quad 7$$

12  
 13 The use of 0 GPa is somewhat different from the environment modeled in the IEF-PCM  
 14 calculation, which is implicitly 1 atm ( $\sim 1.0 \times 10^{-4}$  GPa). However, the pressures we are interested  
 15 in (up to  $\sim 100$  GPa) suggest to us that this discrepancy is of extremely minor importance.  
 16 Specifically,  $P_0$  equal to 0 or  $1.0 \times 10^{-4}$  GPa yields 1 or 1.002 for the value of the  $e^{\left(\frac{-\Delta V \times P_0}{RT}\right)}$  term in  
 17 equation 7 using a  $\Delta V$  of 10 ml. Mole fractions of the aqueous Al<sup>3+</sup> species are computed using  
 18 equation 8 below

$$20 \quad \left[Al(OH)_x(H_2O)_y^{(3-x)+}\right] = K^{x,y} \times [Al(H_2O)_6^{3+}] \times [H_3O^+]^{-x} \times [H_2O]^{-(6-2x-y)} \quad 8$$

21

1 Where the  $K^{x,y}$  are the corresponding equilibrium constants for the reaction described in  
2 equation 1 resulting in the formation of the  $Al(OH)_x(H_2O)_y^{(3-x)+}$  species from  $Al(H_2O)_6^{3+}$ . The  
3 total concentration of  $Al^{3+}$  in the model system is given by equation 9.

$$4 \quad [Al^{3+}] = \sum_{x,y} K^{x,y} \times [Al(H_2O)_6^{3+}] \times [H_3O^+]^{-x} \times [H_2O]^{-(6-2x-y)} \quad 9$$

6  
7 For simplicity we have chosen  $[Al^{3+}] = 1$  and thus compute mole fractions. We also assume  
8  $[H_2O]=55.5$  (Molarity of water at 298.15K) and use  $[H_3O^+]=10^{-pH}$  (M) as a free parameter.  
9 Using this result we may express the equilibrium concentrations of each  $Al^{3+}$  hydrolysis  
10 products under varying pH and external pressure conditions.

11

## 12 Results and Discussion

13

14 The average M-O distance itself and with 1.4Å offset, molar volume values and equilibrium  
15 constants,  $K_0$ , computed for each  $Al(OH)_x(H_2O)_y^{(3-x)+}$  species are supplied in table I. The  $\Delta V$   
16 associated with the reactions described by equation 1, when evaluated with these and the molar  
17 volumes of  $H_2O$  and  $H_3O^+$  (10.103 and 10.342 ml, respectively using average O-H distances of  
18 0.96Å and 0.98Å ), are provided graphically in Figure 1 for each species. We reiterate here that  
19 to keep our molar volume estimates for the  $H_2O$  and  $H_3O^+$  molecules on equal footing to those of  
20 the  $Al(OH)_x(H_2O)_y^{(3-x)+}$  species, we opted to use molar volumes calculated by constructing a  
21 radius based on average O-H distances and an offset of 1.6Å to account for the H-bonding  
22 interaction for the  $H_2O$  and  $H_3O^+$  molecules with a bulk water environment. Also provided in  
23 Figure 1 are the individual contributions from inserting water molecules into the bulk solvent

1 (i.e. our molar volume estimate for water, 10.103ml, multiplied by the number of waters inserted  
2 into the bulk), geometrical changes in the Al species (change in molar volume relative to  
3  $Al(H_2O)_6^{3+}$ ) and a contribution from inserting a proton into the bulk solvent estimated as the  
4 difference in molar volumes of  $H_2O$  and  $H_3O^+$  species. The net  $\Delta V$  of these reactions are clearly  
5 dominated by the term corresponding to the insertion of a water molecule into the bulk solvent,  
6 which is much larger than those corresponding to the molecular rearrangement of the  $Al^{3+}$   
7 complexes and the proton to solvent transfer. That the net  $\Delta V$  is in all cases dominated by the  
8 insertion of a water molecule into the bulk solvent appears to justify our approach, which is  
9 aimed at recovering a qualitative relationship between external pressure and  $Al^{3+}$  hydrolysis  
10 behavior. As we discussed in our earlier work, these aqueous  $Al^{3+}$  species exhibit a property  
11 known as *cooperativity* among their hydrolysis products. A main consequence of *cooperativity* is  
12 that the hydrolysis facilitates a reduction in water coordination which in turn facilitates further  
13 hydrolysis. That the  $\Delta V$  of these reactions are uniformly dominated by the water ligand to bulk  
14 transfer, along with the coupling of water ligand loss to increased hydrolytic strength, suggests to  
15 us that these reactions should be, via Planck's equation, sensitive to external pressure.

16

17 We examine this conjecture in Figure 2 where we have computed the mole fraction of each of  
18 the  $Al(OH)_x(H_2O)_y^{(3-x)+}$  species as a function of solution pH at pressures ranging from 0 to 50  
19 GPa. In Figure 2A, the species distribution at 0GPa reflects what we and others have predicted<sup>31</sup>  
20 and observed<sup>33</sup> for aqueous  $Al^{3+}$  speciation. Namely that the 6 coordinated aqua  $Al(H_2O)_6^{3+}$   
21 species dominates at low pH, the 4 coordinated  $Al(OH)_4^{1-}$  species dominates at high pH while at  
22 intermediate pH the remaining  $Al(OH)_x(H_2O)_y^{(3-x)+}$  are crowded in a narrow pH region. The  
23 crowding of these species is a result of the aforementioned cooperativity mechanism. The main

1 result accompanying an increase in the external pressure to 1GPa is described in Figure 2B as a  
2 roughly uniform shift of the entire species distribution to higher pH by roughly 1 pH unit.  
3 However, the species distribution under an external pressure of 10GPa (Figure 2C) clearly shows  
4 a very dramatic change in hydrolysis behavior wherein species with coordination numbers less  
5 than 6 have been partially suppressed. This suppression is complete at higher external pressures  
6 as indicated in Figure 2D, showing only 6 coordinated species under external pressures of  
7 50GPa.

8  
9 The predicted influence of external pressure on  $\text{Al}^{3+}$  coordination is illustrated in Figure 3  
10 wherein the average total coordination number of all species is plotted against solution pH for 8  
11 contours corresponding to external pressures of 0, 0.1, 1, 5, 10, 20, 50 and 100 GPa. The data  
12 presented in this figure more clearly indicates that the composition of the aqueous  $\text{Al}^{3+}$  system is  
13 perturbed by external pressure in such a way that the 6 coordinate species become more  
14 favorable. The explanation for this perturbation arises from the influence external pressure exerts  
15 on changes in coordination number of the  $\text{Al}^{3+}$  aqueous complexes. Namely, an increasing  
16 external pressure introduces an increasingly greater free energy penalty to the reaction wherein a  
17 water ligand is transferred from the  $\text{Al}^{3+}$  complex to the bulk resulting in a shift in the  
18 equilibrium constant favoring the formation of the water coordinated species.

19  
20 To understand how this may affect the hydrolytic properties of the aqueous  $\text{Al}^{3+}$  species, we  
21 analyze their pressure induced changes more carefully in Figures 4A through 4E. These figures  
22 show the evolution of the mole fraction distributions of the aqua species ( $x=0$  in the formula  
23  $\text{Al}(\text{OH})_x(\text{H}_2\text{O})_y^{(3-x)+}$ ; Figure 4A) and the 1<sup>st</sup> ( $x=1$ ; Figure 4B), 2<sup>nd</sup> ( $x=2$ ; Figure 4C), 3<sup>rd</sup> ( $x=3$ ;

1 Figure 4D) and 4<sup>th</sup> (x=4; Figure 4E) hydrolysis products at 0, 0.1, 1, 5, 10, 20, 50 and 100 GPa.  
2 A central observation from Figure 4 is that the species distributions extend into higher pH  
3 regimes as external pressure increases. This alteration is quite different for each hydrolysis  
4 product but can be rationalized as follows. At 0GPa, the model aqueous Al<sup>3+</sup> system is  
5 dominated at low pH by the aqua species and at high pH by the 4<sup>th</sup> hydrolysis product; the  
6 remaining 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> hydrolysis products have distributions with peaks clustered near ~5pH  
7 units. As can be seen in Figure 3, this region coincides with the transition from average  
8 coordination number of 6 to 4. The transition from a 6 to a 4 coordination coinciding with  
9 clustering of hydrolytic species in a small pH range is in complete agreement with the aqueous  
10 Al<sup>3+</sup> system being governed by the cooperativity mechanism. As pressure increases, however,  
11 the changes in species distributions suggest that the influence of this mechanism has lifted. This  
12 is indicated by noting that the peaks of the 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> hydrolysis species are no longer  
13 clustered and are separated by many pH units at higher pressures. Furthermore, comparing, for  
14 example, the 10GPa contours of Figures 3 and 4 indicate that the aqua and 1<sup>st</sup>, 2<sup>nd</sup> and 4<sup>th</sup>  
15 hydrolysis products are 6 coordinated. The system remains 6 coordinated until a pH of roughly  
16 10 after which the 4 coordinate  $Al(OH)_4^{1-}$  species dominates.

17 We should here point out that the transition to a 4 coordinate  $Al(OH)_4^{1-}$  species is an implicit  
18 part of our model because higher coordination states of the form  $Al(OH)_4(H_2O)_y^{1-}$  are not  
19 included. We, therefore, cannot reliably say whether or not such species will play a role in  
20 aqueous Al<sup>3+</sup> speciation under high pressure. On the other hand, we also cannot see how such  
21 coordination states will drastically change the qualitative picture we have observed on the lower  
22 hydrolysis products and thus are confident with this approach.

23

1 The pressure induced changes observed in mole fraction distributions of the 3<sup>rd</sup> hydrolysis  
2 product are particularly interesting. These neutral aqueous species precipitate to form aluminum  
3 hydroxide solid phases.<sup>62,63</sup> As shown in Figure 4D, the mole fraction of  $Al(OH)_3^{0+}$  species is  
4 peaked near pH 5. The magnitude of the peak ( $\sim 1 \times 10^{-4}$ ) indicates a small relative amount of  
5 these species are predicted. This peak gradually shifts to higher pH as external pressure  
6 increases. The interpretation of this is that the pH conditions under which insoluble  $Al(OH)_3^{0+}$   
7 readily forms undergo significant changes as external pressure increases. Large changes in the  
8 aqueous stability of  $Al(OH)_3^{0+}$  may be interpreted as indicating large changes in the solubility of  
9 solid aluminum hydroxide phases under high pressure. More plainly put, these results indicate  
10 the possibility of an aluminum hydroxide solid phase that precipitates near neutral pH conditions  
11 under ambient pressure conditions may dissolve under high pressure conditions.

12  
13 This general notion appears to support claims by some that  $Al^{3+}$  is mobile in the earth's  
14 mantle,<sup>15-18,20</sup> where pressures may reach many GPa. Our model suggests that changes in  
15 aqueous  $Al^{3+}$  speciation under these pressures is in the direction of increased aqueous solubility  
16 of  $Al^{3+}$  species. High pressure induced solubility changes of  $Al^{3+}$  species may also be of great  
17 industrial use. For example, the refinement of aluminum involves the dissolution of a solid  
18 aluminum hydroxide phase, Bauxite.<sup>1</sup> Currently this is achieved by the Bayer-Process, a process  
19 that involves the use of highly corrosive chemicals with an end result of essentially untreatable  
20 waste.<sup>1</sup> If the high pressure solubility of  $Al^{3+}$  species is found to be achievable in Industrial class  
21 reactors, this result may lead to a cleaner Bauxite processing method.

22

23

## 1 **Conclusions**

2 We present a simple model for incorporating the influence of external pressure on cluster based  
3 (i.e. comprising the central  $\text{Al}^{3+}$  cation and nearest neighbor coordinating  $\text{H}_2\text{O}$  and  $\text{OH}^-$  ligands)  
4 1<sup>st</sup> principles investigations of the hydrolysis equilibria of aqueous  $\text{Al}^{3+}$  monomeric species. The  
5 confidence in our model rests on its ability to reproduce the qualitative bulk chemistry of the  
6 aqueous  $\text{Al}^{3+}$  system under ambient conditions, namely the system is dominated at low and high  
7 pH by the 6-coordinated aqua species and 4 coordinated hydroxide species, respectively, while  
8 all remaining species occupy a narrow intermediate pH range. Coupling this model to changes in  
9 external pressure is achieved by incorporating the pressure dependence of the equilibrium  
10 constant based on Planck's equation. This approach predicts that changes in external pressure  
11 will significantly perturb the speciation of aqueous  $\text{Al}^{3+}$ . A main consequence is observed by  
12 noting large changes in neutral  $\text{Al}(\text{OH})_3$  species, which indicate drastic changes in the aqueous  
13 solubility of these species are occurring under high pressure conditions and moderate changes as  
14 low as 5GPa of external pressure. The mechanism by which this is achieved arises from the  
15 observed cooperativity mechanism operating in aqueous  $\text{Al}^{3+}$  hydrolysis products, a critical step  
16 being the liberation of a coordinating water molecule to facilitate further hydrolysis of the  
17 remaining waters. Increased external pressures hinder this process, greatly altering the aqueous  
18  $\text{Al}^{3+}$  hydrolytic properties. This result is in line with some indications that aqueous  $\text{Al}^{3+}$  is mobile  
19 in the earth's mantle, where extreme pressure environments exist, and points to possible cleaner  
20 industrial processing of aluminum ore such as Bauxite.

21 Due to some approximations adopted in its development, this should be considered a qualitative  
22 model. We consider that the necessary elements to include in order achieve a quantitative



1 description are the effects of an extended solvation region (beyond a 1<sup>st</sup> hydration shell), the  
2 formation of aluminum polymer species and interactions with other relevant species.

3

#### 4 **Acknowledgements**

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7 continuous support of his research group.

8

1     **References**

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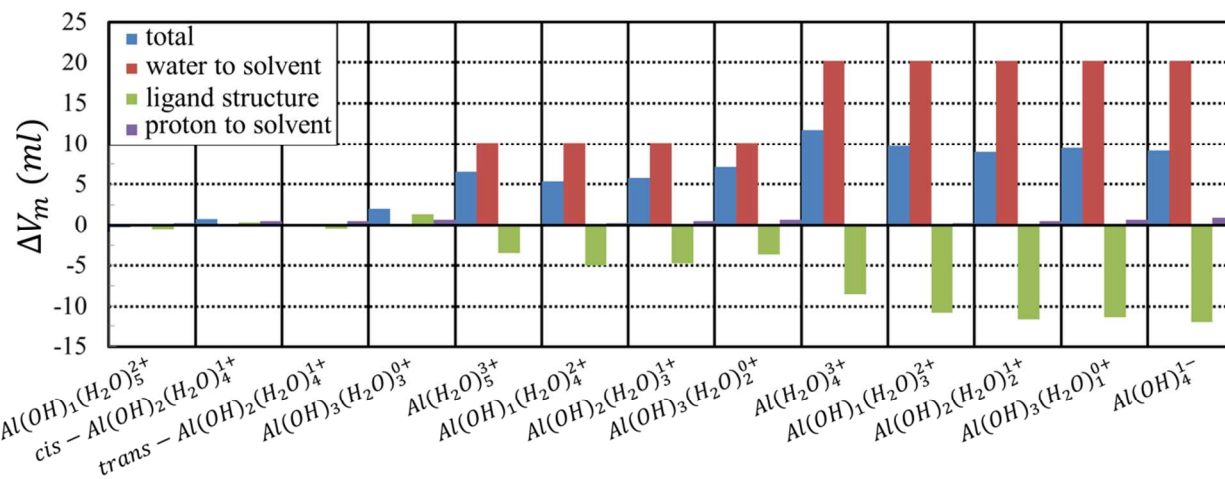
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- 36

1 **Tables**

- 2 Table I : Average M-O distance itself and with 1.4Å offset, molar volume values and equilibrium  
 3 constants,  $K_0$ , computed for each  $Al(OH)_x(H_2O)_y^{(3-x)+}$  species.

Species	$\langle M - OH_2 \rangle \text{ \AA}$	$\langle M - OH_2 \rangle + 1.4$ $\text{ \AA}$	V ml	$K_0$
$Al(H_2O)_6^{3+}$	1.940	3.340	94.01	1.00E+00
$Al(OH)_1(H_2O)_5^{2+}$	1.934	3.334	93.51	1.04E-18
<i>cis</i> - $Al(OH)_2(H_2O)_4^{1+}$	1.944	3.344	94.32	9.12E-24
<i>trans</i> - $Al(OH)_2(H_2O)_4^{1+}$	1.935	3.335	93.58	9.49E-20
$Al(OH)_3(H_2O)_3^{0+}$	1.956	3.356	95.35	3.83E-36
$Al(H_2O)_5^{3+}$	1.898	3.298	90.48	7.54E-12
$Al(OH)_1(H_2O)_4^{2+}$	1.880	3.280	89.04	7.86E-24
$Al(OH)_2(H_2O)_3^{1+}$	1.883	3.283	89.22	3.23E-19
$Al(OH)_3(H_2O)_2^{0+}$	1.896	3.296	90.33	4.87E-25
$Al(H_2O)_4^{3+}$	1.836	3.236	85.50	1.53E-25
$Al(OH)(H_2O)_3^{2+}$	1.808	3.208	83.27	3.29E-33
$Al(OH)_2(H_2O)_2^{1+}$	1.796	3.196	82.33	3.45E-21
$Al(OH)_3(H_2O)_1^{0+}$	1.799	3.199	82.55	1.51E-21
$Al(OH)_4^{1-}$	1.792	3.192	82.01	5.20E-25

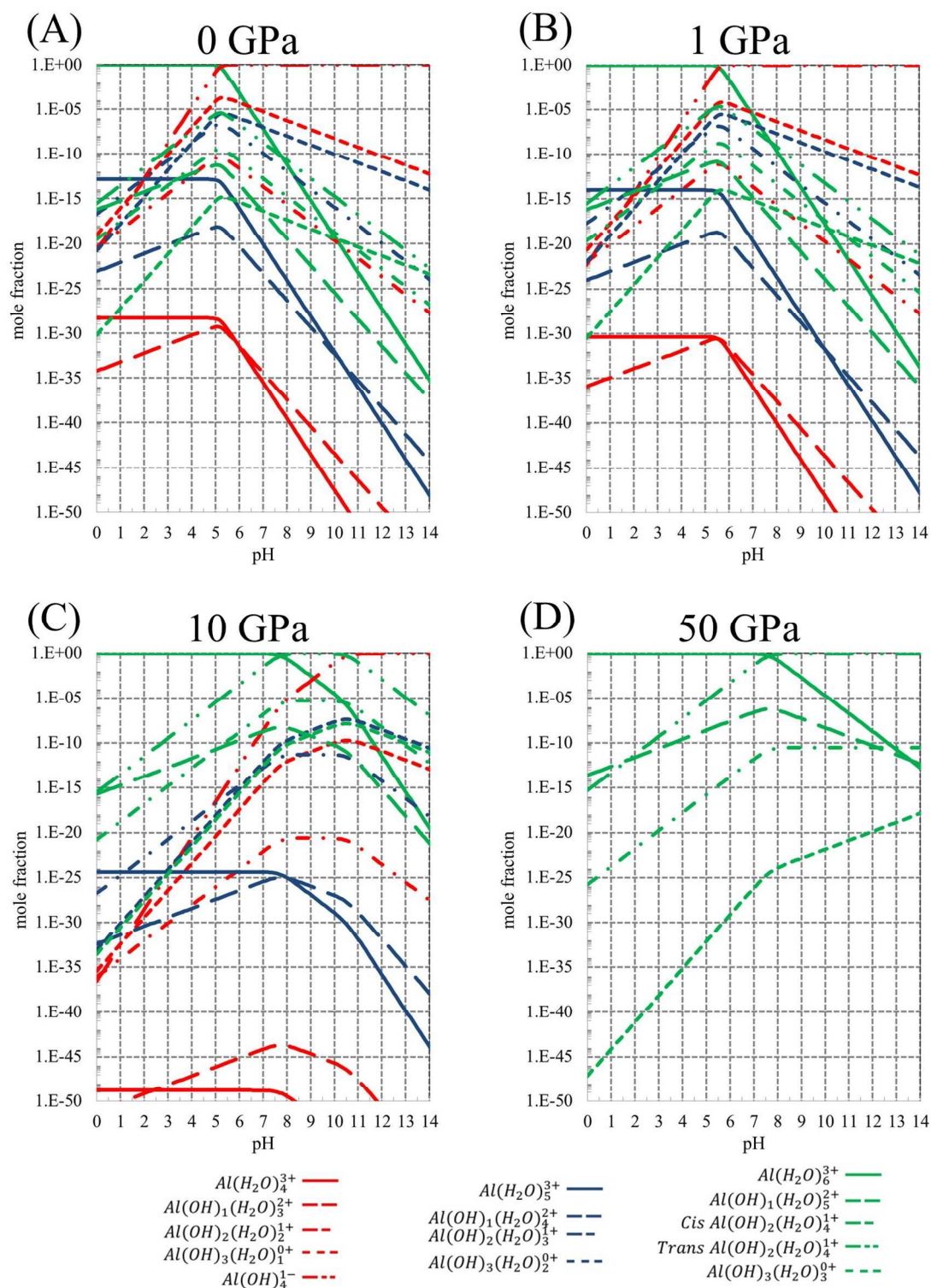
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1 **Figures**

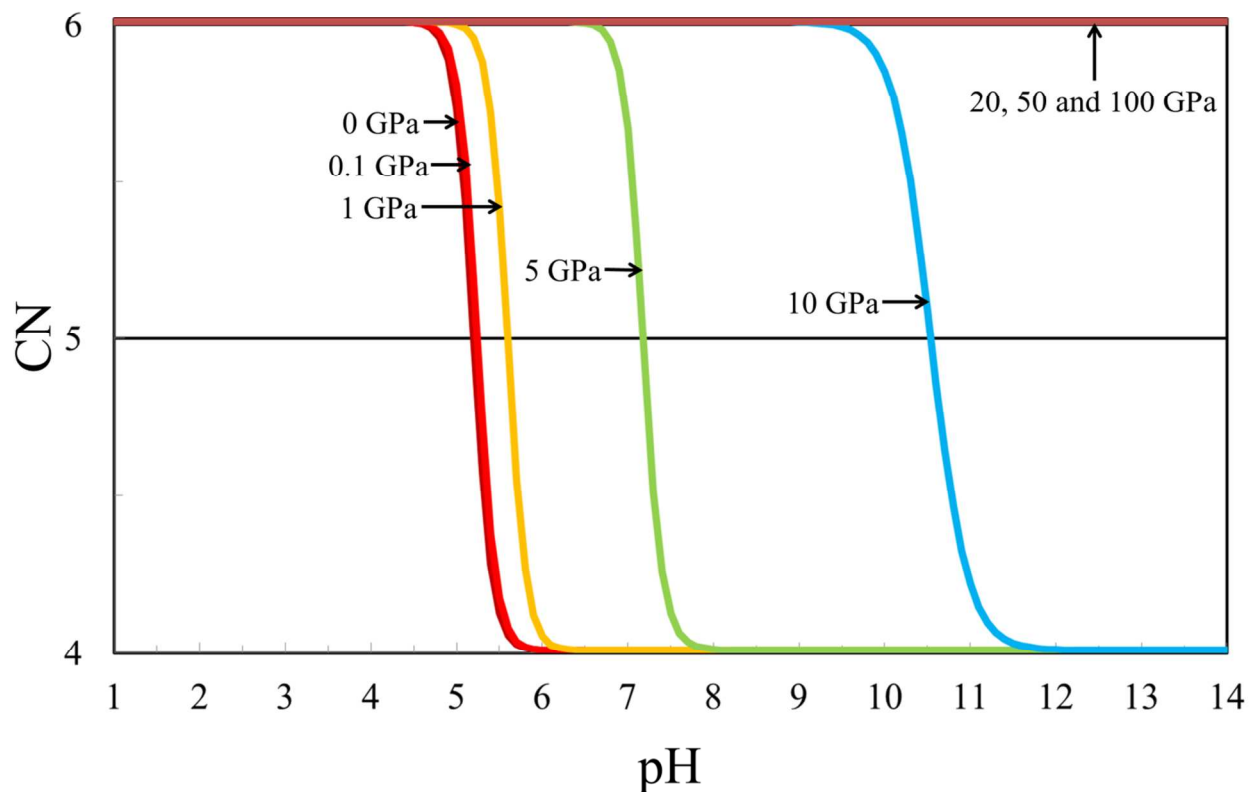
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3 Figure 1: Total delta V, delta V of Al species and delta V from inserting water to bulk.

4

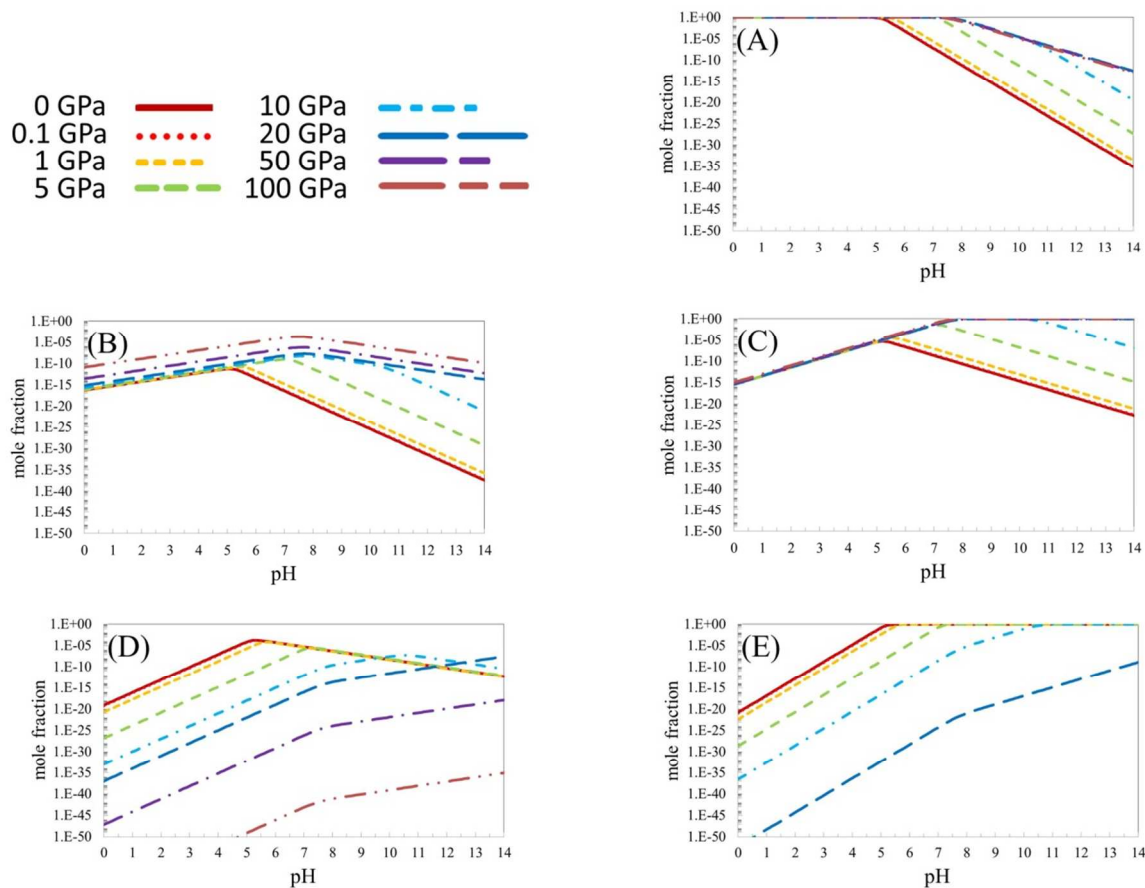


- Figure 2: Mole fraction distributions computed at modelled external pressures of 0 (A), 1 (B), 10
- (C) and 50 (D) GPa following the procedure outlined in the text.



1  
2 Figure 3: The average total coordination number (CN) of all species is plotted against solution  
3 pH for 8 contours corresponding to external pressures of 0, 0.1, 1, 5, 10, 20, 50 and 100 GPa.  
4 Note that curves are overlying for 0 and 0.1 GPa, those corresponding to 20, 50 and 100 GPa are  
5 also superimposed.

6  
7



1  
 2 Figure 4: The evolution of the mole fraction distributions of the aqua species (Figure 4A) and the  
 3 1<sup>st</sup> (Figure 4B), 2<sup>nd</sup> (Figure 4C), 3<sup>rd</sup> (Figure 4D) and 4<sup>th</sup> (Figure 4E) hydrolysis products as a  
 4 function of pH for 8 contours corresponding to external pressures of 0, 0.1, 1, 5, 10, 20, 50 and  
 5 100 GPa.

6