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# A Model Study on the Photochemical Isomerizations of Isothiazoles and Thiazoles 

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#### Abstract

The mechanisms for photochemical isomerization reactions are studied theoretically using three model systems: 3-methylisothiazole, 4 -methylisothiazole and 5-methylisothiazole. The CASSCF (ten-electron/sevenorbital active space) and MP2-CAS methods are used with the $6-311 \mathrm{G}(\mathrm{d})$ and 6 $311++\mathrm{G}(3 \mathrm{df}, 3 \mathrm{pd})$ basis sets, respectively. Three mechanisms: the internal cyclization-isomerization route (path A), the ring contraction-ring expansion route (path B) and the direct route (path C), are used to determine the actual photochemical reaction mechanism for these three model molecules. The structures of the conical intersections, which play a crucial role in these phototranspositions, are determined. The intermediates and transition structures of the ground states are also calculated, to give a qualitative explanation of the reaction pathways. These model investigations suggest that the preferred reaction route is: reactant $\rightarrow$ Franck-Condon region $\rightarrow$ conical intersection $\rightarrow$ photoproduct. Particularly, the direct mechanism (path C) described in this work gives a better explanation than other pathways proposed before and is supported by the experimental observations. The results obtained allow a number of predictions to be made.


Keywords: photochemistry, photoisomerizations, photorearrangements, conical intersections, isothiazoles, thiazoles.

## I. Introduction

Isothiazole and thiazole are five-membered heterocyclic molecules that are isoconjugate with the cyclopentadienyl anion and are derived from it by replacing two carbon atoms with a nitrogen atom and a sulfur atom, to give isothiazole and thiazole. The chemistry and the biological and pharmacological uses of both heterocyclic molecular systems have been reviewed extensively. ${ }^{1}$ Commercially important isothiazoles include the artifical sweetener, saccharin, and the antibacterial sulfa drug, sulfasomizole. ${ }^{2}$ In particular, isothiazoles have recently been studied as potential anticancer agents. ${ }^{3}$ Sulfasomizole derivatives of isothiazole are used as antibiotics. ${ }^{4}$ Analgesic, antipyretic, fungicidal and herbicidal activity is also reported for isothiazoles. ${ }^{4.5}$ Thiazole is also an important parent species in the chemical industry for medicines, pesticides, dyes and photographic sensitizers and important examples of its derivatives include thiamine (vitamin B1) and the penicillines. ${ }^{6}$ The wide biological and pharmacological applications for both isothiazole and thiazole molecules indicate that understanding their photochemistry is vital.



Rea-2

Rea-3


## Scheme 1

The photoisomerization of isothiazole to thiazole to occurs upon photolysis in a variety of solvents. ${ }^{7}$ Nevertheless, the reverse phototransposition of thiazole to isothiazole is reported not to occur. The studies by Pavlik and co-workers showed that methylisothiazoles undergo phototransposition to methylthiazoles in a neutral solution by a single permutation process. ${ }^{8}$ See Scheme 1. The results of these experiments allow mechanisms to be postulated, which rationalize the experimental findings.
(A) Internal cyclization-isomerization mechanism

(B) Ring contration-ring expansion mechanism

(C) Tricyclic-Zwittertion mechanism

(D) Direct mechanism


## Scheme 2

Three mechanisms have been offered to rationalize the photorearrangement patterns of isothiazoles. ${ }^{9}$ See Scheme 2. However, none of these proposed mechanisms can give a good explanation for the available experimental data ${ }^{7,8} \mathrm{As}$ a result, the mechanisms of isothiazole photo-transposition have not been understood.

It is these interesting experimental results and unsolved problems that inspire this study. To the best of the author's knowledge, no theoretical works have published photochemical mechanisms for isothiazoles. According to the available data, ${ }^{7,8}$ it is thus suggested the fourth mechanism, the direct mechanism (Scheme 2(D)), ${ }^{10}$ which can be used to rationalize the experimental results for the variously substituted isothiazoles mentioned.

In order to gain deeper insight into the phototransposition reaction mechanisms of 3-methylisothiazole (Rea-1), 4-methylisothiazole (Rea-2) and. 5-methylisothiazole (Rea-3), the CASSCF theory ${ }^{10,11}$ was used to analyse their detailed potential energy surfaces. The reason for studying the photoisomerization reactions of methyl substituted isothiazoles is because they have already been experimentally studied (Scheme 1). ${ }^{8}$ It is hoped that the present theoretical investigations, combined with previous experimental works, ${ }^{7,8}$ will have a strong impact on the photorearrangement reactions of isothiazoles, since these photochemical rearrangement reactions should play a prominent role in determining the stereochemistry as well as the synthetic chemistry of a variety of photoreactions of isothiazoles.

## II. Methodology

The CASSCF calculations were performed using the multiconfigurational self-consistent field (MCSCF) program released in Gaussian 09. ${ }^{12}$ The active space used to describe the photoreactions of methylisothiazole and methylthiazole comprises ten electrons in seven orbitals, i.e., five $p-\pi$ orbitals plus two nonbonding orbitals, located on a nitrogen and a sulfur atom. The CASSCF method was used with the $6-311 \mathrm{G}(\mathrm{d})$ basis sets for geometry optimization (vide
infra). The optimization of conical intersections was achieved in the $(f-2)$ dimensional intersection space using the method of Bearpark et al., ${ }^{13}$ implemented in the Gaussian 09 program. ${ }^{12}$ Unless otherwise noted, the relative energies given in the text are those determined at the MP2-CAS-(10,7)/6$311++G(3 d f, 3 p d)$ level using the CAS(10,7)/6-311G(d) (hereafter designed MP2CAS ${ }^{14}$ and CASSCF, respectively) geometry. The Cartesian coordinates and the energetics calculated for the various points, using both methods, is available as Supporting Information.

## III. General Consideration

A general outline of the five $\pi$-p orbitals in unsubstituted isothiazole, which form the basis for this study, is shown in Scheme 3. The lowest singlet $\pi \rightarrow \pi^{*}$ excitation is the singlet $\pi_{3}(\mathrm{HOMO}) \rightarrow \pi_{4}{ }^{*}$ (LUMO) transition. In the perturbed HOMO level, the electron density of the neighboring sulfur and nitrogen atoms is therefore increased (via the atomic orbital coefficients). Due to the reason that the $\pi$-electronic structures of methyl-substituted isothiazoles are analogous to those of unsubstituted isothiazole, their photo-excitations should be similar. For methylisothiazoles, Pavlik and co-workers also found no indication of the participation of a triplet state. ${ }^{8}$ Triplet states thus play no role in the photoreactions studied herein. ${ }^{15}$ Consequently, the photoisomerization reactions of methylisothiazoles must react on singlet surfaces and must also only involve the $\pi \rightarrow \pi^{*}$ transition. Therefore, it is focused on the singlet ${ }^{1}\left(\pi, \pi^{*}\right)$ surfaces from now on.


Scheme 3



Figure 1: Three minimum-energy pathways of 3-methylisothiazole (A), 4-methylisothiazole (B), and 5-methylisothiazole (C) along the torsion angle coordinate optimized for the $\mathrm{S}_{1}$ state at the $\operatorname{CAS}(10,7) / 6-311 \mathrm{G}(\mathrm{d})$ level of theory.

The central part of the photochemical mechanisms of methylisothiazoles is the location of the conical intersection in the excited- and ground-electronic states. Figure $1(A)$ shows the qualitative potential energy surfaces for the $S_{0}$ and $S_{1}$ states of 3-methylisothiazole (Rea-1) as a function of rotation about the $\mathrm{N}_{2}=\mathrm{C}_{3}$ double bond (i.e., the rotation angle $\theta$ ). ${ }^{16,17}$ By twisting the $\mathrm{N}_{2}=\mathrm{C}_{3} \pi$ bond in Rea-1, the energy of both $\pi_{3}$ and $\pi_{4}{ }^{*}$ increases, because there are increased antibonding interactions. Finally, these $\pi$ orbitals become degenerate at a geometry of around $55^{\circ}$ of rotation. The existence of a degenerate point, as a result of the rotation of the $\mathrm{N}_{2}=\mathrm{C}_{3}$ double bond, also strongly implies that there should be an inclined $S_{1} / S_{0}$ surface crossing at a very similar geometry. From there, decay to the ground state is fully efficient. ${ }^{10}$ Again, for 4-methylisothiazole (Rea-2) and 5-methylisothiazole (Rea-3), twisting the $\mathrm{N}_{2}=\mathrm{C}_{3} \pi$ bonds can lead to degeneration of the $S_{0}$ and $S_{1}$ energy surfaces at about $50^{\circ}$ and $55^{\circ}$, as shown in Figures $1(B)$ and $1(C)$, respectively. ${ }^{16}$ These results are used to explain the mechanisms for the photochemical isomerization reactions of Rea-1, Rea-2, and Rea-3 in the following section.

## IV. Results and Discussion

## (1) 3-Methylisothiazole

The photo-isomerization of 3-methylisothiazole (Rea-1) is firstly considered, as indicated in eq (1). From previous discussion, there are three possible types of reaction pathways on the singlet excited potential energy surface of Rea-1, all of which lead to the same photoproduct, 2-methylthiazole (Pro-1). Figure 2 represents all of the relative energies of the various points with respect to
the energy of the reactant, Rea-1. The structures of the various stationary points on the possible mechanistic pathways of Figure 2 are shown in Figures 3-5.


Figure 2: Energy profiles for the photoisomerization modes of 3-methylisothiazole (Rea-1). The abbreviations FC and CI stand for Frank-Condon and conical intersection, respectively. The relative energies were obtained at the CAS(10,7)/6-311G(d) (in parentheses) and MP2-CAS-(10,7)/6-311++G(3df,3pd)//CAS(10,7)/6-311G(d) levels of theory. All energies (in $\mathrm{kcal} / \mathrm{mol}$ ) are given with respect to the reactant (Rea-1). The CASSCF optimized structures of the crucial points see Figures 3-5. For more information see the text.


Figure 3: The $\operatorname{CAS}(10,7) / 6-311 \mathrm{G}(\mathrm{d})$ geometries (in $\AA$ and deg) for path A of 3-methylisothiazole (Rea-1), conical
intersection (CI), intermediate, transition state (TS), and isomer products. The nonadiabatic coupling and gradient difference vectors-those which lift the degeneracy-computed with CASSCF at the conical intersections CI-1-A. The corresponding CASSCF vectors are shown inset. For more information see the Supporting Information.


Figure 4: The CAS $(10,7) / 6-311 \mathrm{G}(\mathrm{d})$ geometries (in $\AA$ and deg) for path B of 3-methylisothiazole (Rea-1), conical intersection (CI), intermediate, transition state (TS), and isomer products. The nonadiabatic coupling and gradient
difference vectors-those which lift the degeneracy-computed with CASSCF at the conical intersections CI-1-B. The corresponding CASSCF vectors are shown inset. For more information see the Supporting Information.

$\angle \mathrm{S}_{1} \mathrm{C}_{2} \mathrm{~N}_{3} \mathrm{C}_{4}=0.0^{\circ}$
Pro-1

Figure 5: The CAS $(10,7) / 6-311 \mathrm{G}(\mathrm{d})$ geometries (in $\AA$ and deg) for path C of 3-methylisothiazole (Rea-1), conical
intersection (CI), intermediate, transition state (TS), and isomer products. The nonadiabatic coupling and gradient difference vectors - those which lift the degeneracy - computed with CASSCF at the conical intersections CI-1-C. The corresponding CASSCF vectors are shown inset. For more information see the Supporting Information.

In the first step, the reactant (Rea-1) is excited to its excited singlet state by a vertical excitation, as shown in the middle of Figure 2. The MP2-CAS vertical excitation energies to the lowest excited $\pi^{*}$ state of Rea $\mathbf{- 1}$ is predicted to be 135 $\mathrm{kcal} / \mathrm{mol}$. As mentioned before, the calculated $135 \mathrm{kcal} / \mathrm{mol}$ band for Rea-1 is best described as the $\pi_{3} \rightarrow \pi_{4} *$ transition.

Starting from the $\mathrm{S}_{1}{ }^{1}\left(\pi_{3} \rightarrow \pi_{4}{ }^{*}\right)$ excited state of Rea $\mathbf{- 1}$ results in $\mathrm{S}_{1} / \mathrm{S}_{0}$ CI-1-A (path A), CI-1-B (path B), or CI-1-C (path C). As shown in Figure 2, the theoretical results indicate that the energy of CI-1-A lies $114 \mathrm{kcal} / \mathrm{mol}$ above that of Rea-1 and $21 \mathrm{kcal} / \mathrm{mol}$ lower in energy than that of $\mathbf{F C} \mathbf{- 1}$. As seen in Figure 3, following the nonadiabatic coupling vector from $\mathrm{S}_{1} / \mathrm{S}_{0} \mathbf{C I} \mathbf{- 1}-\mathbf{A}$ (Figure 2) results in the formation of a bicyclic intermediate, Int-1, which is calculated to be $38 \mathrm{kcal} / \mathrm{mol}$ lower in energy than that of $\mathbf{C I} \mathbf{- 1} \mathbf{- A}$, but $76 \mathrm{kcal} / \mathrm{mol}$ higher than that of Rea-1. The next reaction step is a $[1,3]$ shift of the nitrogen atom from $\mathrm{C}_{3}$ to $\mathrm{C}_{4}$, which happens through a transition state, TS-1. The barrier height at TS-1, measured from Int-1, is $19 \mathrm{kcal} / \mathrm{mol}$. Thus, when $\mathbf{I n t} \mathbf{- 2}$ is formed, fourmembered ring opening by the $\mathrm{C}-\mathrm{C}$ bond activation occurs via transition state TS-2, which is $21 \mathrm{kcal} / \mathrm{mol}$ above that of $\mathbf{I n t} \mathbf{- 2}$, and results in the final photoproduct Pro-1. Thus, the theoretical results indicate that the mechanism for path A proceeds as follows:

$$
\begin{aligned}
& \text { Path A: Rea-1 } \rightarrow \text { FC-1 } \rightarrow \mathbf{S}_{\mathbf{1}} / \mathbf{S}_{\mathbf{0}} \mathbf{C I} \mathbf{- 1} \mathbf{- A} \rightarrow \mathbf{I n t - 1} \rightarrow \mathbf{T S} \mathbf{- 1} \rightarrow \mathbf{I n t - 2} \rightarrow \\
& \text { TS-2 } \rightarrow \text { Pro- } \mathbf{1 .}
\end{aligned}
$$

For path B, from the Franck-Condon point (FC-1), Rea-1 can relax to an excited state intermediate near the $\mathrm{S}_{0}$ geometry. It is found that this local minimum of the singlet excited surface is denoted Int- $\mathbf{3}$ and is calculated to be $134 \mathrm{kcal} / \mathrm{mol}$, which is slightly below the $\mathbf{F C} \mathbf{- 1}$ point, as illustrated in Figure 2. The MP2-CAS results predict that TS-3 lies about $15 \mathrm{kcal} / \mathrm{mol}$ higher in energy than Int-3. This transition state, $\mathbf{T S} \mathbf{- 3}$, can then go to another conical intersection $\mathbf{C I} \mathbf{- 1}-\mathbf{B}$. As shown in Figure 2, the MP2-CAS results suggest that $\mathbf{C I} \mathbf{- 1} \mathbf{- B}$ is lower in energy than $\mathbf{T S}-\mathbf{3}$ by $54 \mathrm{kcal} / \mathrm{mol}$, but $95 \mathrm{kcal} / \mathrm{mol}$ above the corresponding reactant, Rea-1. By following the nonadiabatic coupling vector from $\mathbf{C I} \mathbf{- 1} \mathbf{- B}$, the system arrives at a three-membered intermediate, Int-4. On the basis of the MP2-CAS calculations, the relaxation energy from $\mathbf{C I}-\mathbf{1}-\mathbf{B}$ to $\mathbf{I n t}-\mathbf{4}$ is estimated to be $67 \mathrm{kcal} / \mathrm{mol}$. As seen in Figure 4, TS-4 adopts a structure in which the formation of one bond between the $S$ atom and the C atom produces the new five-membered ring product, Pro-1. The computed barrier at the transition state TS-4 from the local minimum Int-4 is $34 \mathrm{kcal} / \mathrm{mol}$, at the MP2-CAS level of theory. Moreover, this value is much smaller than the energy ( $67 \mathrm{kcal} / \mathrm{mol}$ ) from $\mathbf{C I}-\mathbf{1}-\mathbf{B}$ to $\mathbf{I n t} \mathbf{- 4}$. In consequence, once the $\mathbf{C I}-\mathbf{1}-\mathbf{B}$ is formed, the reactant can readily produce the photoproduct, Pro-1, through the Int-4 $\rightarrow$ TS-4 process. Therefore, the process for path $B$ is represented as follows:

$$
\begin{aligned}
& \text { Path B: Rea-1 } \rightarrow \text { FC-1 } \rightarrow \text { Int-3 } \rightarrow \text { TS-3 } \rightarrow \mathrm{S}_{1} / \mathrm{S}_{\mathbf{0}} \mathrm{CI}-1-\mathrm{B} \rightarrow \text { Int-4 } \rightarrow \\
& \qquad \text { TS-4 } \rightarrow \text { Pro-1. }
\end{aligned}
$$

The computational results demonstrate that the path C channel is an one-step process, which only involves the $\mathrm{S}_{1} / \mathrm{S}_{0}$ CI-1-C point. Namely, starting from the $\mathbf{F C} \mathbf{- 1}$ point, 2- Rea-1 enters an extremely efficient decay channel, $\mathrm{S}_{1} / \mathrm{S}_{0}$

CI-1-C. From this point, the photo-isomer Pro-1 and the initial reactant Rea-1 are reached via a barrier-less ground-state relaxation pathway. Accordingly, the process for path C is described as follows:

Path C: Rea-1 $\rightarrow \mathbf{F C} \mathbf{- 1} \rightarrow \mathbf{S}_{\mathbf{1}} / \mathbf{S}_{\mathbf{0}} \mathbf{C I} \mathbf{- 1}-\mathbf{C} \rightarrow$ Pro-1.

## (2) 4-Methylisothiazole

The photo-isomerization of 4-methylisothiazole (Rea-2), as indicated in eq (2), is then considered. In this section, the three reaction routes for Rea-2 are compared (Scheme 2). The entire potential energy surface is collected in Figure 6. The structures of the various stationary points on the mechanistic pathways of Figure 6 are shown in Figures 7-9.


Figure 6: Energy profiles for the photoisomerization modes of 4-methylisothiazole (Rea-2). The abbreviations FC and CI stand for Frank-Condon and conical intersection, respectively. The relative energies were obtained at the CAS $(10,7) / 6-311 \mathrm{G}(\mathrm{d})$ and MP2-CAS-(10,7)/6-311++G(3df,3pd)//CAS(10,7)/6-311G(d) and (in parentheses) levels of theory. All energies (in $\mathrm{kcal} / \mathrm{mol}$ ) are given with respect to the reactant (Rea-2). The CASSCF optimized structures of the crucial points see Figures 7-9. For more information see the text.


Figure 7: The CAS $(10,7) / 6-311 \mathrm{G}(\mathrm{d})$ geometries (in $\AA$ and deg) for path A of 4-methylisothiazole (Rea-2), conical intersection (CI), intermediate, transition state (TS), and isomer products. The nonadiabatic coupling and gradient difference vectors-those which lift the degeneracy- computed with CASSCF at the conical intersections CI-2-A. The corresponding CASSCF vectors are shown inset. For more information see the Supporting Information.


Figure 8: The $\operatorname{CAS}(10,7) / 6-311 \mathrm{G}(\mathrm{d})$ geometries (in $\AA$ and deg) for path B of 4-methylisothiazole (Rea-2), conical intersection (CI), intermediate, transition state (TS), and isomer products. The nonadiabatic coupling and gradient difference vectors-those which lift the degeneracy- computed with CASSCF at the conical intersections CI-2-B. The corresponding CASSCF vectors are shown inset. For more information see the Supporting Information.


Figure 9: The $\operatorname{CAS}(10,7) / 6-311 \mathrm{G}(\mathrm{d})$ geometries (in $\AA$ and deg) for path C of 4-methylisothiazole (Rea-2), conical intersection (CI), intermediate, transition state (TS), and isomer products. The nonadiabatic coupling and gradient difference vectors-those which lift the degeneracy-computed with CASSCF at the conical intersections CI-2-C. The corresponding CASSCF vectors are shown inset. For more information see the Supporting Information.

The MP2-CAS vertical excitation energy to the lowest excited $\pi^{*}$ state of Rea-2 (FC-2) is estimated to be $145 \mathrm{kcal} / \mathrm{mol}$. As seen in Figure 7, by following the gradient difference vector from $\mathbf{C I} \mathbf{- 2} \mathbf{- A}$, the system arrives at a bicyclic local intermediate, Int-5. The next reaction step on path A is the shift of the nitrogen atom, which results in another bicyclic minimum, Int-6, via TS-6. The MP2CAS calculations indicate that the process of bicyclic ring formation from Int-5 to give Int-6 is almost thermally neutral (Figure 6) and has an activation barrier of $20 \mathrm{kcal} / \mathrm{mol}$. When Int-6 has been formed, the $\mathrm{C}_{4}-\mathrm{C}_{5}$ bond activation can occur via the transition state $\mathbf{T S}-7$, which is $29 \mathrm{kcal} / \mathrm{mol}$ above Int- $\mathbf{6}$. Accordingly, the model results suggest that, once the conical intersection $\mathbf{C I} \mathbf{- 2} \mathbf{- A}$ is produced, the reactant molecule (Rea-2) reaches the final photoproduct, Pro-2, without any difficulty. The theoretical observations thus reveal that path A for Rea-2 proceeds as follows:

```
Path A: Rea-2 \(\rightarrow\) FC-2 \(\rightarrow \mathrm{S}_{\mathbf{1}} / \mathrm{S}_{\mathbf{0}} \mathbf{C I} \mathbf{- 2}-\mathrm{A} \rightarrow\) Int-5 \(\rightarrow\) TS-6 \(\rightarrow\) Int-6 \(\rightarrow\)
TS-7 \(\rightarrow\) Pro-2.
```

The first step in path B for Rea-2 is the formation of a local minimum Int -7 on the singlet excited state $\left(\pi_{3} \rightarrow \pi_{4}{ }^{*}\right)$. The transition state, $\mathbf{T S} \mathbf{- 8}$, which connects Int-7 and $\mathbf{C I} \mathbf{- 2} \mathbf{- B}$, is given in Figure 8. The energy of $\mathbf{T S} \mathbf{- 8}$ is computed to be $19 \mathrm{kcal} / \mathrm{mol}$ higher than the excited state $\mathbf{I n t}-7$. After decay at the conical intersection $\mathbf{C I}-\mathbf{2}-\mathbf{B}$, the local minimum, $\mathbf{I n t} \mathbf{- 8}$, and the initial reactant, Rea-2, are reached via a barrier-less ground-state relaxation pathway. The theoretical results estimate that the energy of $\mathbf{C I} \mathbf{- 2} \mathbf{- B}$ is greater than that of Rea-2 and Int-8 by 124 and $91 \mathrm{kcal} / \mathrm{mol}$, respectively, but lower than that of FC-2 by $10 \mathrm{kcal} / \mathrm{mol}$. Overcoming this barrier leads to the final photoproduct,

Pro-2, which has an exothermic enthalpy of $28 \mathrm{kcal} / \mathrm{mol}$. As a result, the theoretical study reveals that the photoisomerization reaction in path B proceeds as follows:

$$
\begin{aligned}
& \text { Path B: Rea-2 } \rightarrow \text { FC-2 } \rightarrow \text { Int-7 } \rightarrow \text { TS-8 } \rightarrow \text { S }_{1} / \mathbf{S}_{\mathbf{0}} \text { CI-2-B } \rightarrow \text { Int-8 } \rightarrow \\
& \text { TS-9 } \rightarrow \text { Pro-2. }
\end{aligned}
$$

Finally, the path C channel is an one-step process, which only contains a $\mathrm{S}_{1} / \mathrm{S}_{0} \mathbf{C I}-\mathbf{2}-\mathbf{C}$ point. The MP2-CAS results estimate that $\mathrm{S}_{1} / \mathrm{S}_{0} \mathbf{C I}-\mathbf{2}-\mathbf{C}$ is lower than $\mathbf{F C}-\mathbf{2}$ by $25 \mathrm{kcal} / \mathrm{mol}$ in energy and the competing $\mathrm{S}_{1} / \mathrm{S}_{0} \mathbf{C I}-\mathbf{2 - A}$ and CI-2-B are higher in energy than $\mathrm{S}_{1} / \mathrm{S}_{0} \mathbf{C I} \mathbf{- 1} \mathbf{- C}$ by 23 and $4.0 \mathrm{kcal} / \mathrm{mol}$, respectively. In consequence, the process for path C is described as follows:

Path C: Rea-2 $\rightarrow \mathbf{F C - 2} \rightarrow \mathbf{S} \mathbf{1}_{1} / \mathbf{S}_{\mathbf{0}} \mathbf{C I - 2 - C} \rightarrow \mathbf{P r o - 2 .}$

## (3) 5-Methylisothiazole

Finally the photo-rearrangement of 5-methylisothiazole (Rea-3), as stated in eq (3), is considered. Similarly to the cases of Rea-1 and Rea-2, the photorearrangement reactions of Rea-3 studied in the present work potentially follow similar reaction paths to those discussed previously. The potential energy profiles for the photo-rearrangements of Rea-3 at the MP2-CAS and CASSCF levels are represented in Figure 10. The structures of the various stationary points on the mechanistic pathways of Figure 10 are shown in Figures 11-13.


Figure 10: Energy profiles for the photoisomerization modes of 5-methylisothiazole (Rea-3). The abbreviations FC and CI stand for Frank-Condon and conical intersection, respectively. The relative energies were obtained at the CAS(10,7)/6-311G(d) and MP2-CAS-(10,7)/6-311++G(3df,3pd)//CAS(10,7)/6-311G(d) and (in parentheses) levels of theory. All energies (in $\mathrm{kcal} / \mathrm{mol}$ ) are given with respect to the reactant (Rea-3). The CASSCF optimized structures of the crucial points see Figures 11-13. For more information see the text.


Figure 11: The $\operatorname{CAS}(10,7) / 6-311 \mathrm{G}(\mathrm{d})$ geometries (in $\AA$ and deg) for path A of 5-methylisothiazole (Rea-3), conical intersection (CI), intermediate, transition state (TS), and isomer products. The nonadiabatic coupling and gradient difference vectors-those which lift the degeneracy-computed with CASSCF at the conical intersections CI-3-A. The corresponding CASSCF vectors are shown inset. For more information see the Supporting Information.


Figure 12: The CAS(10,7)/6-311G(d) geometries (in $\AA$ and deg) for path B of 5-methylisothiazole (Rea-3), conical intersection (CI), intermediate, transition state (TS), and isomer products. The nonadiabatic coupling and gradient difference vectors-those which lift the degeneracy-computed with CASSCF at the conical intersections CI-3-B. The corresponding CASSCF vectors are shown inset. For more information see the Supporting Information.

Rea-3
Path C

$\angle \mathrm{S}_{1} \mathrm{~N}_{2} \mathrm{C}_{3} \mathrm{C}_{4}=70.77^{\circ}$
Cl-3-C



## Derivative Coupling



$\angle \mathrm{S}_{1} \mathrm{C}_{2} \mathrm{~N}_{3} \mathrm{C}_{4}=0.0^{\circ}$
Pro-3

Figure 13: The CAS(10,7)/6-311G(d) geometries (in $\AA$ A and deg) for path C of 5-methylisothiazole (Rea-3), conical intersection (CI), intermediate, transition state (TS), and isomer products. The nonadiabatic coupling and gradient difference vectors-those which lift the degeneracy-computed with CASSCF at the conical intersections CI-3-C. The corresponding CASSCF vectors are shown inset. For more information see the Supporting Information.

The geometry optimizations result in three conical intersections (i.e., CI-3-A, CI-3-B and CI-3-C), which are respectively $2.0,34$ and $29 \mathrm{kcal} / \mathrm{mol}$ lower in energy than $\mathbf{F C} \mathbf{- 3}$. The $[1,3]$ shift of the N atom into another bicyclic minimum proceeds through TS-9. The computational results predict that the energy of $\mathbf{I n t} \mathbf{- 1 0}$ is lower than that of the TS-9 species by $17 \mathrm{kcal} / \mathrm{mol}$. From Int-10, $\mathrm{C}-\mathrm{C}$ bond breaking (i.e., via TS-10) occurs and conventional formation of a five-membered ring occurs, with activation energy of $8.0 \mathrm{kcal} / \mathrm{mol}$. It is noteworthy that the Rea-3 molecule has an overall energy of more than 60 $\mathrm{kcal} / \mathrm{mol}$, which is much larger than the energy difference between Int-9 and TS-9 ( $16 \mathrm{kcal} / \mathrm{mol}$ ) and that between $\mathbf{I n t - 1 0}$ and $\mathbf{T S} \mathbf{- 1 0}$ ( $8.0 \mathrm{kcal} / \mathrm{mol}$ ). As a result, these two barriers can be easily surmounted. The MP2-CAS calculations also demonstrate that the final photoproduct, Pro-3, is exothermic by $11 \mathrm{kcal} / \mathrm{mol}$. Consequently, the mechanism for path A is represented as follows:

$$
\begin{aligned}
\text { Path A: Rea-3 } & \rightarrow \text { FC-3 } \rightarrow \mathbf{S}_{\mathbf{1}} / \mathbf{S}_{\mathbf{0}} \text { CI-3-A } \rightarrow \text { Int-9 } \rightarrow \text { TS- } \mathbf{1 1} \rightarrow \text { Int-10 } \\
& \rightarrow \text { TS-12 } \rightarrow \text { Pro- } \mathbf{3} .
\end{aligned}
$$

The first step for Rea-3 in path B is the formation of a minimum, Int-11, with a relieved energy of $22 \mathrm{kcal} / \mathrm{mol}$, at the MP2-CAS level of theory. As seen in Figure 10, the next forward reaction is: $\mathbf{T S} \mathbf{- 1 1} \rightarrow \mathbf{S}_{\mathbf{1}} / \mathbf{S}_{\mathbf{0}} \mathbf{C I} \mathbf{- 3}-\mathbf{B} \rightarrow \mathbf{I n t - 1 2} \rightarrow$ TS-12 $\boldsymbol{\rightarrow}$ Pro-3. However, the MP2-CAS results reveal that the barrier height from $\mathbf{I n t - 1 1}$ to $\mathbf{T S} \mathbf{- 1 1}(38.0 \mathrm{kcal} / \mathrm{mol})$ is higher than the energy difference between FC-3 and Int-11 ( $32.0 \mathrm{kcal} / \mathrm{mol}$ ). This information strongly indicates that it is unlikely that the Rea-3 molecule follows the mechanism of path B (i.e., the ring contraction-ring expansion pathway). The mechanism for the singlet photoisomerization of Rea-3 in path $B$ is given below.

$$
\text { Path B: Rea-3 } \rightarrow \text { FC-3 } \rightarrow \mathrm{S}_{\mathbf{1}} / \mathrm{S}_{\mathbf{0}} \mathrm{CI}-\mathbf{3}-\mathbf{B} \rightarrow \text { Int-12 } \rightarrow \text { TS-14 } \rightarrow \text { Pro-3. }
$$

Finally, once the $S_{0} \rightarrow S_{1}$ vertical excitation occurs, the system relaxes from the FC-3 point to the conical intersection CI-3-C, which is $29 \mathrm{kcal} / \mathrm{mol}$ lower in energy. In fact, by following the nonadiabatic coupling vector from CI-3-C and rotating an $\mathrm{N}_{2}-\mathrm{C}_{3}$ bond, the system arrives at a photoproduct with a methyl group ring on position 5, Pro-3. In consequence, the process for path C is as follows:

$$
\text { Path C: Rea-3 } \rightarrow \text { FC-3 } \rightarrow \text { S1/S0 CI-3-C } \rightarrow \text { Pro-3. }
$$

## V. Conclusion

The photochemical reaction mechanisms of various substituted isothiazoles, are studied with respect to permutation of the ring atoms. Three types of substituted isothiazoles (Rea-1, Rea-2, and Rea-3) are chosen. Taking all of the systems studied in this paper together, the following conclusions can be drawn:
(1) From the present study, if a heterocyclic molecule that has the N and S atoms, which can absorb a photon to arrive at an excited singlet state, via a ${ }^{1}(\pi \rightarrow$ $\pi^{*}$ ) transition, then this molecule rotates a heterocyclic ring bond. This excited molecule can thus enter an extremely efficient decay channel, a conical intersection point between the excited- and ground-state potential energy surfaces. After decay at this point, the molecule continues its evolution on the ground state potential surface to produce various products. ${ }^{10,11}$
(2) An internal cyclization-isomerization pathway (path A), a ring contraction-ring expansion pathway (path B) and a direct pathway (path C), are used to described the photorearrangements of methyl substituted isothiazoles. The
model results suggest that path C is more favorable than either path A or path B , from both energetic and kinetic viewpoints, regardless of the position at which the methyl substituent is attached to the isothiazole molecule.

It is hoped that the present work can stimulate further research into this subject.

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(15) Nevertheless, the CASSCF results indicate that the energetics of triplet states for Rea-1, Rea-2, and Rea-3 are higher than the corresponding ground singlet isomers by 33,37 , and 31 , respectively.
(16) The bond lengths in the 5 -membered-ring, $\mathrm{S}-\mathrm{N}, \mathrm{N}-\mathrm{C}, \mathrm{S}-\mathrm{C}, \mathrm{C}-\mathrm{C}$, and $\mathrm{C}-\mathrm{H}$ bonds of isothiazole are fixed to be $1.30,1.30,1.30,1.30$, and $1.09 \AA$, respectively. Also, the bond angles in the 5 -membered-ring $\angle \mathrm{CSN}$, $\angle \mathrm{SNC}, \angle \mathrm{NCC}$, and $\angle \mathrm{CCC}$ are fixed to be $108^{\circ}, 108^{\circ}$, and $108^{\circ}$, respectively.
(17) $1 \mathrm{eV}=23.119 \mathrm{kcal} / \mathrm{mol}$.

## TOC



