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Distribution of the number of N atoms and change of the complex refractive index of unreacted and NH<sub>3</sub>- aged limonene SOA 160x144mm (300 x 300 DPI)

# Complex refractive indices in the near-ultraviolet spectral region for biogenic secondary organic aerosol aged with ammonia

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#### 21 Abstract

22 Atmospheric absorption by brown carbon aerosol may play an important role in global 23 radiative forcing. Brown carbon arises from both primary and secondary sources, but the 24 mechanisms and reactions for the latter are highly uncertain. One proposed mechanism is the 25 reaction of ammonia or amino acids with carbonyl products in secondary organic aerosol (SOA). 26 We generated SOA *in situ* by reacting biogenic alkenes ( $\alpha$ -pinene, limonene, and  $\alpha$ -humulene) 27 with excess ozone, humidifying the resulting aerosol, and reacting the humidified aerosol with 28 gaseous ammonia. We determined the complex refractive indices (RI) in the 360 – 420 nm range 29 for these aerosols using broadband cavity enhanced spectroscopy (BBCES). The average real part 30 (n) of the measured spectral range of the NH<sub>3</sub>-aged  $\alpha$ -pinene SOA increased from n = 1.50 (± 31 0.01) for the unreacted SOA to  $n = 1.57 (\pm 0.01)$  after a 1.5h exposure to 1.9 ppm NH<sub>3</sub>; whereas, the imaginary component (k) remained below k < 0.001 ( $^{+0.002}_{-0.001}$ ). For the limonene and  $\alpha$ -32 33 humulene SOA the real part did not change significantly, and we observed a small change in the 34 imaginary component of the RI. The imaginary component increased from k = 0.000 to an average  $k = 0.029 \ (\pm 0.021)$  for  $\alpha$ -humulene SOA, and from  $k < 0.001 \ (\substack{+0.002 \\ -0.001})$  to an average k =35 36  $0.032 (\pm 0.019)$  for limonene SOA after a 1.5 h exposure to 1.3 and 1.9 ppm of NH<sub>3</sub>, respectively. 37 Collected filter samples of the aged and unreacted  $\alpha$ -pinene SOA and limonene SOA were 38 analyzed off-line with nanospray desorption electrospray ionization high resolution mass 39 spectrometry (nano-DESI/HR-MS), and *in-situ* with a Time-of-Flight Aerosol Mass Spectrometer 40 (ToF-AMS), confirming that the SOA reacted and that various nitrogen-containing reaction 41 products formed. If we assume that  $NH_3$  aging reactions scale linearly with time and 42 concentration, which will not necessary be the case in the atmosphere, then a 1.5 h reaction with 43 1 ppm NH<sub>3</sub> in the laboratory is equivalent to 24 h reaction with 63 ppbv NH<sub>3</sub>, indicating that the 44 observed aerosol absorption will be limited to atmospheric regions with high NH<sub>3</sub> concentrations.

#### 45 **1. Introduction**

Atmospheric aerosols play an important role in the Earth's radiative budget by absorbing and scattering solar radiation and by influencing cloud properties.<sup>1</sup> Light-absorbing aerosols (including black carbon, mineral dust, and brown carbon) are recognized to have a potentially important role in climate radiative forcing.<sup>2-7</sup> Atmospheric brown carbon (BrC) refers to lightabsorbing organic particulate matter, which can be generated both from primary sources (e.g., 51 combustion, biomass burning, soil humics, bioaerosols) and from secondary organic reactions 52 (e.g., particle or aqueous-phase reactions).<sup>8</sup> The interest and climatic importance for BrC aerosol 53 is due to the strong dependence of its absorption on its composition, the complexity of its 54 production mechanisms, and the poor constraints on its contribution to radiative forcing. <sup>6, 8-14</sup> 55 Only recently, studies have shown that brown carbon aerosol may account for 10 to 50% of the 56 total light absorption in the atmosphere, snow, and sea ice.<sup>3-5, 11, 15-18</sup>

Primary emissions of BrC have been observed in wildfire events,<sup>6</sup> residential coal combustion,<sup>14</sup> and release of biological aerosols (for example, fungi, plant debris, and humic matter).<sup>19</sup> In addition to primary emissions, BrC compounds may occur in secondary organic aerosol (SOA) consisting of high molecular weight and multifunctional species, such as humiclike substances, organonitrates, and organosulfates. The principal precursors, mechanisms, and products in the formation of secondary BrC are poorly known, and different reactions and mechanisms have been proposed to explain the light absorption by secondary organic aerosol:

64 1. Reaction of ammonia (NH<sub>3</sub>) or amino acids with secondary organic aerosol that contain carbonyl products.<sup>20-23</sup> This category of reactions may further include aqueous reactions of 65 glyoxal and methylglyoxal with ammonium sulfate,<sup>24-28</sup> aqueous reactions between glyoxal and 66 amino acids,<sup>24, 26, 29</sup> and gas-to-particle uptake of glyoxal by deliquesced AS and amino acid-67 containing aerosol.<sup>30-33</sup> A proposed mechanism for the ammonia reaction with carbonyls starts 68 69 with conversion of protonated carbonyls into primary imines and amines (R1a), which then react 70 with additional carbonyls to produce more stable secondary imines (R1b) and heterocyclic (R1c) 71 nitrogen containing compounds. The products of these reactions may continue to react with 72 unreacted carbonyl groups yielding higher molecular weight molecules with an extended network of conjugated bonds.<sup>21</sup> 73

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76 2. Acid-catalyzed aldol condensation of volatile aldehydes,<sup>34-41</sup> which is only expected to be 77 important under  $H_2SO_4$  concentrations characteristic of stratospheric aerosols.

78 3. Nitration of polycyclic aromatic hydrocarbons (PAH) leading to light absorbing nitro PAH,
 79 and their derivatives such as nitrophenols.<sup>42-45</sup>

4. Other proposed mechanisms such as reaction of OH radicals with aromatic hydroxyacids and
phenols in cloud water;<sup>46-49</sup> heterogeneous reactions of gas-phase isoprene on acidic aerosol
particles;<sup>50</sup> and aqueous photochemistry of pyruvic acid in the presence of common atmospheric
electrolytes (e.g., SO<sub>4</sub><sup>2-</sup>, NH<sub>4</sub><sup>+</sup>).<sup>51, 52</sup>

84 The majority of the studies summarized above use bulk solution-phase reactions to 85 simulate aerosol aging mechanisms. It is critical to study this chemistry *in situ* with realistic 86 aerosol size distributions, as bulk-phase reactions may be limited by the rate of transport of 87 gaseous reactants and products in and out of the condensed phase. Furthermore, in situ 88 measurements are necessary to evaluate the influence of aging mechanisms on aerosol optical 89 properties as they may occur in the atmosphere. SOA optical extinction and refractive indices at selected wavelengths in the UV and visible spectral regions have been measured in the 90 laboratory,<sup>53-63</sup> with a few measurements throughout the visible spectrum.<sup>53, 55, 64</sup> Recent advances 91 92 in broadband cavity enhanced spectroscopy (BBCES) allow aerosol optical extinction, optical 93 cross section, and the real (scattering) and imaginary (absorption) components of the refractive

94 index to be determined as a function of wavelength.<sup>65-67</sup> Here, we use the instrument described in 95 Washenfelder *et al.*  $(2013)^{65}$  to measure optical properties in the near-UV spectral region for 96 SOA formed from the reaction of biogenic alkenes with ozone, and subsequently reacted with 97 NH<sub>3</sub>.

Based on the study by Updyke et al.  $(2012)^{23}$  we select biogenic alkenes that form SOA 98 that can undergo different degrees of "browning" (i.e., a visible change in color or measured 99 100 absorption spectrum) upon exposure to NH<sub>3</sub>. In each case, we measure the aerosol optical 101 extinction coefficient and cross section, and we use these quantities to retrieve the refractive 102 index before and after SOA reactions with gas-phase NH<sub>3</sub>. We compare our results to the 103 imaginary part of the refractive index determined by ultraviolet/visible (UV/Vis) absorption of 104 solution-phase products, and assess the climatic importance using the "simple forcing efficiency" (SFE) defined by Bond and Bergstrom (2006).<sup>68</sup> 105

#### 106 **2. Experimental**

#### 107 2.1. Generation of NH<sub>3</sub>-aged biogenic SOA

108 The flow system for aerosol generation and aging is shown schematically in Fig. 1a and 109 described in detail below. SOA was generated by ozonolysis of three different biogenic alkenes: 110  $\alpha$ -pinene, limonene and  $\alpha$ -humulene. The liquid volatile organic compound (VOC) was injected using a syringe pump at  $0.7 - 4.1 \ \mu L \ h^{-1}$  into a N<sub>2</sub> carrier gas flow of 0.4 volumetric liters per 111 112 minute (vlpm). O<sub>3</sub> was generated by passing 0.1 vlpm of moisture-free O<sub>2</sub> through a commercial 113 Double-Bore UV lamp (Jelight Company Inc., Irvine, CA, USA). The two flows were combined 114 in a 10 L bulb, to give an initial VOC concentration of  $\sim$ 10 parts per million by volume (ppmv) 115 for the upper limit infusion rate from the syringe pump. The  $O_3$  mixing ratio was measured as 90 116  $(\pm 10)$  ppm with a commercial O<sub>3</sub> monitor (Model 1180, Dasibi Environmental Corp., Glendale, 117 CA, USA). The ozone + VOC reaction took place under dark conditions. After the reaction bulb, 118 an ozone denuder (Carulite 200; Carus Corp., Peru, IL, U.S.A.) removed the residual O<sub>3</sub> from the 119 sample flow. The SOA flow was then humidified to 85% relative humidity (RH) using a Nafion 120 humidifier (PermaPure LLC, Toms River, NJ, USA) with a temperature-controlled bath. BrC formation from exposure to NH<sub>3</sub> has only been observed in humid conditions (RH  $\sim$ 85%).<sup>21</sup> The 121 122 humidified SOA was introduced to a 30 L flow tube where it was combined with gaseous NH<sub>3</sub>

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123 and allowed to react for 1.5 h. The SOA was then dried to <10% RH using a diffusion dryer and 124 the residual NH<sub>3</sub> was removed using silicon phosphate pellets (AS-200-08-E, Perma Pure LLC., 125 Toms River, NJ, USA), before being size-selected with a differential mobility analyzer (DMA; 126 Model 3081, TSI Inc., Shoreview, MN, USA). The size-selected aerosol was introduced to the 127 two BBCES cells in series and finally counted with a condensation particle counter (CPC; Model 128 3775, TSI Inc., Shoreview, MN, USA). For measurements without  $NH_3$ , the SOA was passed 129 through the same setup without the addition of NH<sub>3</sub> in the 30 L bulb. The reaction time in the 130 bulb was sufficient to generate a stable aerosol population of VOC oxidation products, with mode 131 diameter typically near 200 nm and total mass concentrations of 1100 ( $\pm 100$ ) µg m<sup>-3</sup>.

132  $NH_3$  gas was generated by bubbling 0.005 to 0.04 vlpm N<sub>2</sub> through a 10 mM  $NH_4OH$ 133 solution. The NH<sub>3</sub> concentration was measured with an NH<sub>3</sub> detector (Model TX-2460DP-D, 134 Bionics Instrument Co. Ltd., Tokyo, Japan) before it entered the 30 L flow tube to react with the 135 humidified SOA. To control the concentration of ammonia that was introduced into the flow 136 tube, and to determine the residence time, a constant total flow of 0.3 vlpm was maintained in the 137 flow tube throughout the experiments. By changing the N<sub>2</sub> flow through the NH<sub>4</sub>OH solution, 138 different NH<sub>3</sub> concentrations were introduced while maintaining the same total flow in the 139 system. The relative humidity of the  $NH_3$  flow varied between 70% at 0.005 vlpm and 86% at 140 0.04 vlpm, resulting in a relative humidity for the total aerosol flow of  $85\% \pm 2\%$ .

#### 141 **2.2. Broadband Cavity Enhanced Extinction Spectroscopy (BBCES)**

The BBCES technique employs a broadband light source, a high-finesse optical cavity, a grating spectrometer and a multichannel detector to simultaneously determine optical extinction across a broad wavelength region.<sup>69</sup> Initial measurements demonstrated its potential to measure the optical extinction of ambient aerosol,<sup>70-73</sup> and recent studies have demonstrated how the technique may be combined with aerosol size-selection to determine aerosol extinction cross sections and refractive indices as a function of wavelength.<sup>63, 65, 67</sup>

The optical instrument used in this study consists of two channels to measure aerosol optical extinction from 360 - 390 nm and 390 - 420 nm, respectively. It is similar to the instrument described in detail in Washenfelder *et al.*  $(2013)^{65}$  and briefly summarized here. Fig 1b shows a schematic of the BBCES system used in this study.

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152 Light emitting diodes (LEDs) centered at 370.2 and 407.1 nm with measured optical 153 power outputs of 0.210 W and 0.450 W (NCSU033A, Nichia Corp., Tokyo, Japan; LZ1-00UA05, 154 LEDEngin Inc., San Jose, CA, USA) are temperature-controlled and powered by a constant-155 current power supply to achieve a stable optical power output. The output from each LED is 156 collimated using a single F/1.2 fused silica lens into an optical cavity formed by two 2.5 cm, 1 m 157 radius of curvature mirrors (Advanced Thin Films, Boulder, CO, USA). The measured mirror 158 reflectivity for the two cavities was typically 0.9995 and 0.99994 at 370 and 407 nm, 159 respectively. The light entering the cavity is optically filtered using bandpass filters (FGUV5 and 160 FB400-40, Thorlabs, Newton, NJ, USA). After exiting each cavity, the light is directly collected 161 using a 0.1 cm F/2 fiber collimator (74-UV, Ocean Optics, Dunedin, FL, USA) into one lead of a 162 two-way 100 µm core HOH-UV-VIS fiber (SR-OPT-8015, Andor Techonology, Belfast, UK) 163 which is linearly aligned along the input slit of the grating spectrometer.

164 Spectra were acquired using a 163 mm focal length Czerny-Turner spectrometer 165 (Shamrock SR-163, Andor Technology, Belfast, UK) with charge coupled device (CCD) detector 166 (DU920P-BU, Andor Technology, Belfast, UK) maintained at -50 °C. The installed 300 groove 167  $mm^{-1}$  (500 nm blaze) grating allows spectral measurements over the region 101 – 621 nm, 168 although only a portion of that spectral region was used in these experiments. The spectrometer is 169 temperature-controlled at 32.0 ( $\pm$  0.1) °C. Dark spectra were acquired with the input shutter 170 (SR1-SHT-9003, Andor Technology, Belfast, UK) closed prior to each set of spectra. The 171 wavelength calibration was determined using a Hg/Ar pen-ray lamp. The 100 µm fiber from each 172 measurement channel illuminated separate vertical regions of the CCD, which were digitized to 173 produce two spectra.

174 The general expression that relates the extinction coefficient by aerosols,  $\alpha(\lambda)$  measured 175 in cm<sup>-1</sup>, in an N<sub>2</sub>-filled cavity, to the change in intensity of the transmitted light is given by:

176

$$\alpha_{ext}(\lambda) = R_L \left( \frac{(1 - R(\lambda))}{d} + \alpha_{Rayleigh N_2(\lambda)} \right) \left( \frac{I_{N_2}(\lambda) - I(\lambda)}{I(\lambda)} \right)$$
(1)

177

178 where  $R_L$  is the ratio of the total length (*d*) to the filled length of the cavity,  $R(\lambda)$  is the mirror 179 reflectivity,  $\alpha_{Rayleigh N_2(\lambda)}$  is the extinction coefficient due to Rayleigh scattering by N<sub>2</sub>,  $I_{N_2}(\lambda)$  180 is the spectrum (i.e., the wavelength-dependent intensity transmitted through the cavity and 181 imaged to the CCD) of N<sub>2</sub>, and  $I(\lambda)$  is the spectrum with aerosol and N<sub>2</sub> present.<sup>65</sup> Using the 182 particle number concentration (*N*) measured by the CPC, the optical extinction cross section,  $\sigma(\lambda)$ 183 measured in cm<sup>2</sup>, at each wavelength can be calculated, 184

$$\sigma_{ext}(\lambda) = \frac{\alpha_{ext}(\lambda)}{N}$$
(2)

185

For spherical particles, the measurement of several diameters allows the retrieval of the complexrefractive index at each wavelength by minimizing the expression:

188

$$\chi^{2}(\lambda) = \sum_{i=1}^{N_{D_{p}}} \left( \frac{\sigma_{ext, measured}(\lambda) - \sigma_{ext, calculated}(\lambda)}{\sigma_{ext, measured}(\lambda)} \right)_{i}^{2}$$
(3)

189

190 where  $N_{D_p}$  is the number of diameters measured and  $\sigma_{ext, calculated}$  is the theoretical optical cross 191 section calculated using Mie theory by varying the real (*n*) and imaginary (*k*) components of the 192 complex refractive index (RI).<sup>65</sup> We account for the contribution of multiply-charged particles by 193 calculating the size distribution exiting the DMA for each diameter using DMA transfer theory <sup>74</sup> 194 and steady-state charge distribution approximation.<sup>75</sup>

### 195 **2.3. Operational details for** *in situ* generation and sampling

196 The aerosol generation, including VOC, O<sub>3</sub>, and NH<sub>3</sub> flows, temperatures, and other 197 components, were allowed to stabilize for at least 1 h prior to each set of measurements. A 198 scanning mobility particle sizer (SMPS) was used to verify that the aerosol size distribution was 199 stable prior to the measurements. After the aerosol size distribution stabilized, an SMPS scan was 200 taken for multiple-charge correction while the BBCES reflectivity term in Eq. (1) was determined by recording spectra with the cavity filled by He and N<sub>2</sub> sequentially.<sup>70</sup> Next, a zero-particle 201 202 measurement was performed followed by measurements with a series of size selected particles, typically in the range of  $D_p = 200 - 450$  nm in 50 nm increments. After the size-selection 203 204 measurements, another zero-particle, reflectivity and size distribution measurement was

205 performed. Measurements with polystyrene latex spheres (PSL) of known sizes were performed
206 to evaluate the performance of the experimental setup either before or after each experiment.

### 207 2.4. UV/Visible absorption spectroscopy

In addition to the *in situ* generation of aerosol and measurement of optical extinction described above, we used a UV/Vis spectrometer (Cary 100 UV-Vis, Agilent Technologies Inc., Santa Clara, CA, USA) to measure absorption by aerosols dissolved in aqueous solution. Besides refractive index, another standard metric of aerosol absorption in the UV/Vis is the mass absorption coefficient (MAC), given in units of m<sup>2</sup> g<sup>-1</sup>:

$$MAC(\lambda) = \frac{A(\lambda)\ln(10)}{C b}$$
(4)

213 where  $A(\lambda)$  is the base-10 absorbance measurement (unitless), C is the concentration of the liquid

solution (g m<sup>-3</sup>), and *b* is the sample path length (m).<sup>76</sup> The relationship between *k* and MAC is

$$MAC = \frac{4\pi k}{\rho_{material} \lambda}$$
(5)

where  $\rho_{material}$  is the density of the organic material.<sup>76</sup> For our measurements, we assume a density of 1.4 g cm<sup>-3</sup>, which is a recommended value for biogenic SOA.<sup>77</sup> UV/Vis absorption spectroscopy cannot be used to determine the real part of the refractive index. For an assumed density of 1.4 g cm<sup>-3</sup> and wavelength of 400 nm, a *k* value of 0.01 corresponds to *MAC* = 0.22 m<sup>2</sup> g<sup>-1</sup>, while a *k* value of 0.05 corresponds to *MAC* = 1.12 m<sup>2</sup> g<sup>-1</sup>.

#### 220 **2.5. Analysis of aerosol composition**

We used two methods to determine the chemical composition of the *in situ* aerosol described above: Time-of-Flight Aerosol Mass Spectrometry (ToF-AMS) and nanospray Desorption Electrospray Ionization High Resolution Mass Spectrometry (nano-DESI/HR-MS).

224 <u>*ToF-AMS.*</u> For  $\alpha$ -pinene and limonene, we generated SOA and aged the aerosol with 1 225 ppmv of NH<sub>3</sub>, as described in Sect. 2.1. We analyzed *in-situ* both NH<sub>3</sub>-aged and unreacted  $\alpha$ -226 pinene and limonene SOA with a ToF-AMS (Aerodyne Research, Inc., Billerica, MA, USA) 227 connected directly to the experimental setup. A detailed description of the ToF-AMS can be 228 found in DeCarlo et al. (2006).<sup>78</sup> The Tof-AMS operated in the more sensitive V-mode ion path<sup>78</sup>

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with the results presented here obtained using the mass spectrum (MS) mode (in which the ion
signals are integrated over all particle sizes). Data analysis of the MS was done using Squirrel
v.1.51H.

232 Nano-DESI/HR-MS. We collected filter (Whatman 2µm PTFE 46.2mm 7592-104, 233 Whatman Inc., Florham Park, NJ, USA) samples of limonene SOA and  $\alpha$ -pinene SOA, before 234 and after aging by NH<sub>3</sub>. The filters were analyzed using a high-resolution LTQ-Orbitrap MS (Thermo Fisher, Bremen, Germany) equipped with a nano-DESI source,<sup>79, 80</sup> followed by 235 236 assignment of molecular formulae to the observed peaks using the procedure described in Roach et al. (2011).<sup>81</sup> The instrument was operated in the positive-ion mode with a resolving power of 237 238 100,000 at m/z 400, and calibrated using a standard calibration mixture (Calibration mix MSCAL 239 5, Sigma-Aldrich Co. LLC, St. Louis, MO, USA). A voltage of 3-4 kV was applied between the 240 capillary end and the mass spectrometer inlet to obtain a stable spray of charged droplets. The solvent (acetonitrile/water mixed at 70/30 volumetric ratio) was supplied at 2-3 µL min<sup>-1</sup> flow 241 242 rate to maintain a stable nano-DESI probe on the sample surface.

#### 243 **2.6. Radiative impact**

To estimate the direct radiative forcing in watts per gram, Bond and Bergstrom  $(2006)^{68}$ modified the forcing equation of Chylek and Wong  $(1995)^{82}$ , to give a "simple forcing efficiency" (SFE, W g<sup>-1</sup>). We used the wavelength-dependent version<sup>76</sup> to provide an estimate of the radiative impact of the aged SOA:

$$\frac{dSFE}{d\lambda} = -\frac{1}{4} \frac{dS(\lambda)}{d\lambda} \tau_{atm}^2(\lambda) (1 - F_c) [2(1 - a_s)^2 \beta(\lambda) \cdot MSC(\lambda) - 4a_s \cdot MAC(\lambda)]$$
(6)

where  $dS(\lambda)/d\lambda$  is the solar irradiance,  $\tau_{atm}$  is the atmospheric transmission,  $F_c$  is the cloud fraction (0.6),  $a_s$  is the surface albedo (average of 0.19),  $\beta$  is the backscatter fraction, and MSC is the mass scattering.

#### **3. Results and discussion**

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### **3.1.** Refractive indices for NH<sub>3</sub>-aged biogenic SOA measured *in situ* by BBCES

The reaction of each biogenic VOC with  $O_3$  for 20 min produced stable SOA size distributions. During the 1.5 h reaction of these aerosols with NH<sub>3</sub>, this size distribution shifted to larger diameters and the number of particles decreased, which can be attributed to coagulation and loss of the particles to the walls of the flow tube.

257 The retrieved complex refractive indices are shown in Fig. 2 as a function of wavelength 258 between 360 – 420 nm for the three different SOA. The biogenic alkenes are arranged in the 259 order of increasing absorption for their NH<sub>3</sub>-aged SOA, based on the study of Updyke et al. (2012).<sup>23</sup> Refractive indices for  $\alpha$ -pinene SOA and its aging with three NH<sub>3</sub> concentrations (0.3) 260 261 ppm, 1.0 ppm, and 1.9 ppm) are shown in Fig. 2a. There is no detectable absorption for the  $\alpha$ -262 pinene SOA, with an average imaginary component over the 360 - 420 nm range of k < 0.001 $\binom{+0.002}{-0.001}$  even after 1.5 h of aging with 1.9 ppm of NH<sub>3</sub>. However, there is an increase in the real 263 264 part of the RI, from an average  $n = 1.50 (\pm 0.01)$  to  $n = 1.53 (\pm 0.02)$  with the addition of 0.3 ppm 265 NH<sub>3</sub>, and a greater increase up to an average  $n = 1.57 (\pm 0.01)$  with 1.9 ppmv NH<sub>3</sub>. The increase might be attributed to an increase in density of the aerosol.<sup>63, 83</sup> The  $\alpha$ -humulene SOA in Fig. 2b 266 267 shows no increase in the real part of the RI, but the imaginary component increases to an average 268 value of  $k = 0.029 (\pm 0.021)$  at NH<sub>3</sub> concentration greater than 1.0 ppm. The limonene SOA in 269 Fig. 2c similarly shows that the real part of the RI remains constant at all NH<sub>3</sub> concentrations, and 270 there is a small increase in the imaginary component of the RI ( $k = 0.032 (\pm 0.019)$ ) when the 271 aerosol is aged with 1.9 ppm NH<sub>3</sub>. The refractive indices for all of these SOA show a 272 wavelength-dependent real part between 1.47 - 1.59 and an imaginary part less than 0.07. The 273 error bars in Fig. 2 were calculated by scaling the measured extinction cross sections by the total measurement uncertainty (< 4%).<sup>65</sup> 274

The BBCES measurements and retrieved refractive indices indicated minor or no detectable absorption by the *in situ* NH<sub>3</sub>-aged aerosol with  $[NH_3] < 1.0$  ppm in the 360 – 420 nm range. To understand this result, we undertook a series of additional experiments described in Sect. 3.2, 3.3, and 3.4. First, we used the BBCES to measure refractive indices for atomized Suwannee River Fulvic Acid (SRFA) and atomized NH<sub>3</sub>-aged limonene SOA samples generated in a different laboratory (Sect. 3.2). Second, we used UV/Vis absorption spectroscopy to determine the absorption of SRFA and NH<sub>3</sub>-aged aerosol in aqueous solution (Sect. 3.3). Finally, we analyzed the chemical composition of the NH<sub>3</sub>-aged aerosol generated in our laboratory using nano-DESI/HR-MS and ToF-AMS to verify that the expected chemical products had been formed.

#### 285 **3.2. Refractive indices of atomized samples measured by BBCES**

286 We measured extinction cross sections of aerosolized SRFA material (1S101F 287 International Humic Substances Society, Saint Paul, MN, USA), which is often used as a proxy 288 for brown carbon, to verify that there were no errors with the instrumental method or the 289 refractive index retrieval, and to confirm the small absorption values determined for the NH<sub>3</sub>-290 aged SOA. The aerosol was generated by atomizing an aqueous SRFA solution followed by 291 drying and size selection. The retrieved RI values are shown in Fig. 3a, with a clearly-detectable 292 absorption having an average retrieved value of  $k = 0.046 (\pm 0.010)$  in the 360 – 420 nm spectral 293 region, consistent with previous work.<sup>65</sup>

294 We measured an atomized aerosol from a filter sample of  $NH_3$ -aged limonene SOA that 295 was generated at the University of California, Irvine by bubbling  $O_3$  gas through an acetonitrile 296 solution containing limonene at room temperature until all double bonds were consumed. Then 297 an aqueous solution of ammonium sulfate was added, and the mixture was evaporated to produce 298 an orange-colored residue. The organic fraction was extracted with acetonitrile and dried for 299 storage and shipment. Oxidation of limonene in a non-participating solvent such as acetonitrile is 300 expected to produce a similar set of products as in the gas-phase oxidation of limonene. The 301 optical properties of the resulting SOA material were slightly different to that of limonene SOA aged by the method described in Updyke et al. (2012).<sup>23</sup> The UC Irvine filter sample was 302 303 dissolved in acetonitrile and water, and atomized for measurement with the BBCES using the 304 size-selection procedure and RI retrieval. Fig. 3a shows that for the aerosol there was no detectable absorption in the 360 – 420 nm range, with a retrieved k value equal to zero  $\begin{pmatrix} +0.022 \\ -0.000 \end{pmatrix}$ 305 306 within experimental uncertainties, consistent with the results for the *in situ* NH<sub>3</sub>-aged limonene 307 SOA with lower NH<sub>3</sub> concentrations generated in our laboratory.

#### 308 **3.3. Refractive indices for NH<sub>3</sub>-aged biogenic SOA measured by UV/Vis spectroscopy**

The atomization of the filter samples described in Sect. 3.2 showed that the NH<sub>3</sub>-aged biogenic SOA was much less absorbing than SRFA at 360 - 420 nm. We used UV/Vis absorption spectroscopy to measure and compare the absorption of three aqueous samples: SRFA, NH<sub>3</sub>-aged limonene SOA generated at UC Irvine, and NH<sub>3</sub>-aged limonene SOA generated using the flow tube system shown in Fig. 1 with ~1 ppmv NH<sub>3</sub> and collected on a filter for approximately 6 h.

315 For the UV/Vis spectra, the SRFA was dissolved in nanopure water, UC Irvine NH<sub>3</sub>-aged 316 limonene SOA was dissolved in acetronitrile (CH<sub>3</sub>CN), and the Weizmann Institute NH<sub>3</sub>-aged 317 limonene SOA in nano-pure water and methanol. We prepared three mass concentrations for each 318 of the three materials. Fig. 3b shows that both filter samples of NH<sub>3</sub>-aged limonene SOA are an 319 order of magnitude less absorbing that SRFA. The NH<sub>3</sub>-aged limonene SOA from Updyke et al.  $(2012)^{23}$  (see their Fig. 4) is also overlaid for comparison. It is also an order of magnitude less 320 321 absorbing than SRFA in the 360 – 420 nm range and 31% less absorbing at the peak seen around 322 500 nm. This is consistent with our BBCES in situ measurements of the atomized filter samples 323 which showed that SRFA was more strongly absorbing than NH<sub>3</sub>-aged limonene SOA (Sect. 3.2) 324 and with the refractive index retrievals for the *in situ* NH<sub>3</sub>-aged SOA. To directly compare the 325 results from the UV-Vis and the atomized and in situ BBCES measurements of the NH<sub>3</sub>-aged 326 limonene SOA, Fig. 3c shows calculated k values from the MAC values shown in Fig. 3b (using Eq. 5 and assuming a  $\rho_{material} = 1.4 \text{ g cm}^{-3}$ ), and the retrieved imaginary parts from the atomized 327 and in situ BBCES measurements. Fig. 3c further shows the consistency of the UV-Vis and our 328 329 BBCES in situ measurements. Based on the in situ BBCES measurements and the UV/Vis 330 spectroscopy, we conclude that the NH<sub>3</sub>-aged biogenic samples, although light orange in color, 331 are substantially less absorbing than SRFA when normalized per unit mass of the material, in the 332 measured wavelength region and under our experimental condition.

Filter samples of  $\alpha$ -pinene and limonene SOA before and after NH<sub>3</sub>-aging were collected as described above and also examined visually. Filter samples of  $\alpha$ -pinene SOA appeared white, with or without NH<sub>3</sub>-aging. Filter samples of unreacted limonene SOA also appeared white, while limonene SOA that was exposed *in situ* to ~1 ppmv NH<sub>3</sub> for 1.5 h was a light orange color, confirming that the NH<sub>3</sub> exposure caused visible changes in the optical properties of the aerosol.

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The light orange color does not arise from absorption in the 360-420 nm range, and is likely the result of absorption near 500 nm.

## 340 3.4 Composition of NH<sub>3</sub>-aged biogenic SOA measured by nano-DESI/HR-MS and ToF341 AMS

342 <u>Nano-DESI-HRMS analysis</u>. The chemical aging of limonene SOA and  $\alpha$ -pinene SOA by NH<sub>3</sub> has been previously reported.<sup>21-23, 84</sup> Fig. 4 shows a histogram of the number of N and O 343 344 atoms from the identified peaks in the nano-DESI/HR-MS spectra of the α-pinene SOA and 345 limonene SOA with and without aging by NH<sub>3</sub>. In Fig 4a and 4b, the unreacted  $\alpha$ -pinene SOA 346 and limonene SOA spectra show that >96% of the fragments contain zero N atoms and < 4% of 347 the fragments contain one N atom. The species with one N atom likely originate from trace 348 amounts of NH<sub>3</sub> emitted by the walls of the flow tube or are introduced during SOA sample 349 handling from ammonia in ambient air. The unreacted SOA is mainly composed of organic 350 molecules containing C, H, and O atoms. In contrast, the NH<sub>3</sub>-aged samples show a significant 351 increase in the fraction of organic constituents with one or two N atoms (1N and 2N, 352 respectively). For NH<sub>3</sub>-aged  $\alpha$ -pinene SOA, the sample contained 26% and 2% of 1N and 2N 353 species, respectively, while the NH<sub>3</sub>-aged limonene SOA contained 12% and 5% of 1N and 2N 354 species. The increase in nitrogen-containing species is accompanied by a shift in the distribution 355 of oxygen atom containing species toward molecules with a lower number of oxygen atoms. The 356 change in the distribution of the number of N and O atoms for the limonene SOA observed in this study is similar to that of Laskin et al. (2010)<sup>21</sup> observed for limonene SOA aged with NH<sub>3</sub> on a 357 358 filter.

359 Laskin et al. (2010) proposed that condensation reactions associated with a substantial 360 increase in the Double-Bond-Equivalent (DBE) values of neutral molecules are responsible for 361 the formation of light-absorbing products. DBE values were determined from the elemental formulae of the identified peaks as described by Laskin *et al.*  $(2010)^{21}$  (see their Eq. 3). Fig. 5 362 compares the DBE vs. m/z for the aged and unreacted  $\alpha$ -pinene SOA and limonene SOA. The 363 364 DBE values of the unreacted and aged  $\alpha$ -pinene SOA samples show small difference between 365 them; whereas the DBE values for the aged limonene SOA show a more significant difference in 366 the 250 – 550 m/z range from the unreacted limonene SOA and both  $\alpha$ -pinene SOA samples. 367 These highly conjugated species also appeared in the same range of m/z in the Laskin *et al.* 

368 (2010)<sup>21</sup> limonene SOA samples, and are potentially responsible for the orange color observed in
 369 the aged limonene SOA filter.

370 <u>ToF-AMS analysis.</u> Using a ToF-AMS, we measured the mass spectra of  $\alpha$ -pinene and 371 limonene SOA, with and without NH<sub>3</sub>-aging. Based on the Updyke et al. (2012)<sup>23</sup> study and the 372 visual observations of the filter samples, we expect to see evidence of chemical changes in the 373 NH<sub>3</sub>-aged limonene SOA and have focused our analysis on that aerosol. Due to ion fragmentation 374 inherent to the AMS, it is very difficult if at all possible to unambiguously assign molecular 375 formulas to peaks with m/z > 100. The assigned formulae in this analysis are the best estimates 376 based on the information from the nano-DESI/HR-MS data.

377 It has been shown that ketoaldehydes limononaldehyde (LA) and ketolimononaldehyde (KLA) are abundant and reactive products from the ozonolysis of limonene.<sup>85</sup> The first-378 379 generation products are semi volatile (exist mainly in the gas phase), while the second-generation 380 products are less volatile and are predominantly found in the aerosol phase. Nguyen et al. (2013)<sup>84</sup> reported that the unique molecular structure of KLA produces visible-light-absorbing 381 382 compounds when exposed to ammonia. The KLA/NH<sub>3</sub> reactions produce water-soluble, 383 hydrolysis-resilient chromophores with high mass absorption coefficients, while the first 384 generation ozonolysis product in the oxidation of limonene, limononaldehyde (LA,C<sub>10</sub>H<sub>16</sub>O<sub>2</sub>), 385 does not produce light-absorbing compounds following the reaction with ammonia. For this 386 reason we only focus on the KLA products. Fig. 6 shows a mass spectrum normalized to the total 387 organics in the m/z range between 168 to 360 for the unreacted limonene SOA and the aged 388 limonene SOA. The second-generation ozonolysis product of limonene  $(C_{10}H_{16})$ , 389 ketolimononaldehyde (KLA,  $C_9H_{14}O_3$ , m/z = 170), can be seen only in the unreacted limonene 390 SOA. The chemistry that produces brown carbon is diverse, with structures of the chromophoric compounds that may be similarly varied in nature, and as mentioned by Nguyen et al. (2013),<sup>84</sup> it 391 392 would not be possible to predict the occurrence of these reactions from average properties of 393 aerosols alone, such as the O/C ratio, which is frequently used in correlating physical properties to the average composition. However, Nguyen et al. (2013)<sup>84</sup> also reported that the KLA 394 395 browning reaction generates a diverse mixture of light-absorbing compounds, with the majority 396 of the observable products containing 1 - 4 units of KLA and 0 - 2 nitrogen atoms. An example 397 for such product found after the reaction containing two nitrogen atoms ( $C_9H_{14}O_3N_2$ ) is shown in

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Fig. 6. The unique reaction products with high DBE detected after the reaction are also shown in Fig. 6. Examples for such products include  $C_{20}H_{24}O_2N_2$  and  $C_{21}H_{26}O_3N_2$  which have DBE of 10, and  $C_{20}H_{26}O_4N_2$  which has a DBE of 9. These examples show that high DBE values appeared in

401 both the ToF-AMS and nano-DESI/HR-MS measurements only after the reaction with ammonia.

#### 402 **3.5** Comparison of alkene + O<sub>3</sub> SOA without NH<sub>3</sub>-aging to literature refractive index values

403 Recently, there have been an increasing number of studies that retrieved complex refractive indices of SOA generated from monoterpenes.<sup>53, 55-61, 64, 86, 87</sup> They are summarized in 404 405 Table 1. From these studies, only a few gave values in the near-UV spectral region (marked in 406 bold in Table 1). For example, for SOA generated from the ozonolysis of  $\alpha$ -pinene, Schnaiter *et* al.  $(2003)^{53}$  reported a constant value of n = 1.44 for  $\lambda > 350$  nm determined by measuring the 407 wavelength dependence of the SOA scattering and extinction. Using cavity ring down 408 spectroscopy (CRDS), Nakayama *et al.* (2010)<sup>57</sup> reported a value of  $n = 1.458 (\pm 0.019)$  at  $\lambda =$ 409 355 nm, and Nakayama et al.  $(2012)^{59}$  found values between  $n = 1.475 (\pm 0.022)$  and  $n = 1.463 (\pm 1.463)$ 410 0.019) at  $\lambda = 405$  nm. Recently, Liu et al.  $(2013)^{64}$  using variable angle spectroscopic 411 412 ellipsometry, reported real part values of  $n = 1.517 (\pm 0.003)$  and  $n = 1.509 (\pm 0.003)$  for  $\lambda = 360$ 413 nm and  $\lambda = 420$  nm, respectively. The imaginary components they found in this range were below  $k < 10^{-4}$ . Using a potential aerosol mass (PAM) flow tube reactor to form SOA by homogeneous 414 415 nucleation and condensation following OH oxidation of  $\alpha$ -pinene at different oxidation levels, Lambe et al.  $(2013)^{87}$  found RI values between  $n = 1.51 (\pm 0.02)$  and  $n = 1.45 (\pm 0.04)$  with 416 417 imaginary part values of k < 0.001 at  $\lambda = 405$  nm, using a CRDS and a photo-acoustic sensor. The 418 values retrieved in our study using BBCES vary from  $n = 1.48 (\pm 0.03)$  at  $\lambda = 420$  nm to n = 1.52419  $(\pm 0.02)$  at  $\lambda = 360$  nm. These values are consistent with the values reported previously and show 420 a small spectral dependence with increasing value of the real part of the refractive index with 421 decreasing wavelength.

422 For SOA generated from the ozonolysis of limonene, we could only find one recent study 423 that gives the RI in the near UV spectral region. Liu et al.  $(2013)^{64}$  measured real parts between 424 1.520 (± 0.003) at  $\lambda$  = 360 nm and 1.512 (± 0.003) at  $\lambda$  = 420 nm and imaginary parts below 425 <10<sup>-4</sup>. Recently, Flores et al.  $(2014)^{63}$  measured the RI of SOA formed from the ozonolysis of a 426 1:1 mixture of α-pinene and limonene in the SAPHIR chamber in Jülich, Germany, using 427 BBCES. They found values varying from n = 1.511 (± 0.011) at  $\lambda$  = 360 nm to 1.485 (± 0.010) at 428  $\lambda = 420$  nm and no detectable absorption. In the visible range of the spectrum, Kim and Paulson 429  $(2013)^{86}$  measured the RI at  $\lambda = 532$  nm for the ozonolysis of limonene and found values for the 430 real part of the RI varying from n = 1.4 to 1.5.

431 For α-humulene we could not find any studies that give the RI in the near UV spectral
432 region to compare with our measurements.

## 433 3.6 Atmospheric implications for the measured refractive indices of NH<sub>3</sub>-aged biogenic 434 SOA

435 The organic aerosol aging experiments described here use biogenic VOC concentrations 436 of 10 ppmv and NH<sub>3</sub> concentrations between 0.3 - 1.9 ppmv. Although these mixing ratios are 437 high relative to ambient values, it has been shown that the chemical composition of limonene 438 SOA formed at high reagent concentrations in a flow tube is similar to that formed with < 0.1ppm mixing ratios in a smog chamber.<sup>88</sup> Furthermore, Kourtchev et al. (2014)<sup>89</sup> reported that 439 SOA formed in an atmospheric simulation chamber from the ozonolysis of  $\alpha$ -pinene, represents 440 441 reasonably well the overall composition of ambient samples from a boreal forest. Ammonia 442 represents the primary form of reactive nitrogen in the atmosphere, with emissions of 45 - 85 Tg N yr<sup>-1</sup> estimated for 2008.<sup>90</sup> Summertime measurements of ambient NH<sub>3</sub> in southern and central 443 California during 2010 ranged from 10 - 100 ppbv, with values up to 250 ppbv downwind from 444 confined animal dairy facilities.<sup>91</sup> Satellite measurements of NH<sub>3</sub> in 2008 identify 26 additional 445 global hotspots with annually-averaged columns greater than 0.5 mg m<sup>-2</sup> (equivalent to 0.7 ppbv 446 for a 1000 m planetary boundary layer).<sup>92</sup> If we assume that the NH<sub>3</sub> aging reactions may scale 447 448 linearly with time and concentration (an assumption that needs verification), then 1.5 h reaction 449 with 1 ppm NH<sub>3</sub> would be equivalent to 24 h reaction with 63 ppbv NH<sub>3</sub>, indicating that the 450 laboratory measurements explore the full range of atmospherically-relevant NH<sub>3</sub> gas-phase 451 concentrations.

Table 2 summarizes MAC and k values from different field and laboratory studies reported in the literature. The absorption values measured here for NH<sub>3</sub>-aged aerosol are similar in magnitude to these values. Besides the Lack et al.  $(2012)^6$  study, our values fall in the lower end of the absorption in the near ultraviolet spectral region. These differences might come from the fact that we do not know what parts of the ambient measurements are aged biogenic SOA and

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457 which are primary pollution or mineral dust. Here we considered only biogenic SOA generated 458 from the reaction of biogenic alkenes with  $O_3$  and its subsequent browning by NH<sub>3</sub>. The low *k* 459 values (k < 0.01 for all NH<sub>3</sub> concentrations less than 1 ppm) are generally consistent with the 460 small MAC and *k* values observed for brown carbon in the atmosphere.

461 To test the impact from the greatest change in the imaginary part of the retrieved RI 462 values on aerosol optical properties and radiative forcing at the Earth's surface, we use the "simple forcing efficiency" proposed by Bond and Bergstrom (2006).<sup>68</sup> The calculation of the 463 SFE is a simple calculation to determine atmospheric importance,<sup>76</sup> while a full radiative transfer 464 model is needed to accurately determine forcing efficiency. For these calculations, we assume an 465 average earth surface albedo of 0.19, a density of  $\rho = 1.4$  g cm<sup>-3</sup>, and a median aerosol diameter 466 467 of 150 nm for the Mie calculations. Two SFE calculations were done, for each calculation we 468 assume a constant *n* value of 1.50, and for the values of *k* we used k = 0.0 and k = 0.05 (the upper 469 bound from the average change in k observed in the  $\alpha$ -humulene and limonene SOA). These 470 assumptions were taken to obtain the maximal change in the forcing efficiency, since the k value 471 ought to be wavelength dependent over the 360-910 nm range.

Fig. 7 shows the SFE for the two cases for wavelengths between 360 and 910 nm. The integrated forcing for the m = 1.5 + 0.0i case (black line) is -28 W g<sup>-1</sup>, and for the m = 1.5 + 0.05icase is -9.6 W g<sup>-1</sup>. These observed changes in refractive index due to aging by NH<sub>3</sub> indicate that the cooling effect by the aerosol can decrease by a factor of three. This drastic change may only occur where NH<sub>3</sub> concentrations reach levels > 1 ppm, as has been observed in forest fire plumes,<sup>93</sup> or where aerosol is exposed to more moderate levels of NH<sub>3</sub> (~60 ppbv) for 24 h.

#### 478 **4. Summary and conclusions**

We measured the change in the complex refractive index in the 360 - 420 nm wavelength range for SOA formed by the ozonolysis of  $\alpha$ -pinene, limonene, and  $\alpha$ -humulene (three biogenic VOC precursors), when exposed to different concentrations of NH<sub>3</sub>. For the  $\alpha$ -pinene SOA there was a change in the real part of the RI from an average  $n = 1.50 (\pm 0.01)$  for the unreacted SOA to  $n = 1.57 (\pm 0.01)$  after a 1.5 h exposure to 1.9 ppm NH<sub>3</sub>. For limonene SOA and  $\alpha$ -humulene SOA, we observed a small change in the imaginary component of the RI. The imaginary component increased to an average  $k = 0.029 (\pm 0.021)$  for  $\alpha$ -humulene SOA, and to an average k 486 = 0.032 ( $\pm$  0.019) for limonene SOA after a 1.5 h exposure to 1.3 and 1.9 ppm of NH<sub>3</sub>, 487 respectively. Collected filter samples of aged and unreacted  $\alpha$ -pinene SOA and limonene SOA 488 were analyzed with nano-DESI/HR-MS, and in-situ with a ToF-AMS, confirming that the 489 chemical reaction occurred and that N-containing reaction products were formed. The NH<sub>3</sub>-aged 490 limonene SOA filter changed to a light orange color, indicating the formation of light-absorbing 491 products. The nano-DESI/HR-MS analysis showed that the number of N-containing molecules 492 increase significantly from the unreacted samples. Furthermore, there are indications that high 493 double bond equivalent values are needed for the formation of brown carbon. The ToF-AMS 494 analysis showed products which appear only after the reaction with NH<sub>3</sub> occurred and were also 495 shown to have high DBE values. If we assume that  $NH_3$  aging reactions scale linearly with time 496 and concentration, then a 1.5 h reaction with 1 ppm NH<sub>3</sub> in the laboratory is equivalent to 24 h reaction with 63 ppbv NH<sub>3</sub>, indicating that the observed aerosol absorption will be limited to 497 498 atmospheric regions with high NH<sub>3</sub> concentrations.

499 To assess the sensitivity of the BBCES RI retrievals, we measured atomized samples of a 500 humic-like substance proxy, Suwannee River fulvic acid, and a filter extract of NH<sub>3</sub>-aged 501 limonene SOA generated at UC-Irvine, which was light orange in color. We found k values of the 502 order of 0.05 for SRFA and no detectable absorption for the NH<sub>3</sub>-aged limonene SOA in the 360 503 -420 nm range. UV/Vis absorption spectra was used to determine mass absorption coefficients 504 and k values for SRFA, NH<sub>3</sub>-aged limonene SOA generated at UC Irvine, and NH<sub>3</sub>-aged 505 limonene SOA generated in this study. SRFA showed approximately an order of magnitude 506 greater absorption than the two NH<sub>3</sub>-aged limonene SOA samples. We calculated the MAC for the filter samples to be  $< 0.2 \text{ m}^2 \text{ g}^{-1}$ , which corresponds to imaginary parts of 0.008 - 0.009 for 507 wavelengths between 360 – 420 nm and  $\rho = 1.4$  g cm<sup>-3</sup>. With such small MAC and k values, the 508 509 BrC formed by the interaction of biogenic SOA with ammonia is expected to make a significant 510 contribution to the absorption in the atmosphere only where ammonia concentrations are greater 511 than 1 ppm for 1.5 h or where  $NH_3$  exposure times are proportionally longer (e.g. 63 ppbv for 24 512 h). In these cases, the simple forcing efficiency calculation showed that the cooling effect by the 513 aerosol can be decreased by up to a factor of three.

514 The use of SRFA as a proxy for humic-like substance proved useful to verify that there 515 were no errors with the instrumental method or the refractive index retrieval, but it also showed 516 that its optical properties, specifically its absorption properties, are significantly higher than those

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517 of the NH<sub>3</sub>-aged SOA and other BrC measured in the field.<sup>6,95</sup> Therefore, the use of SRFA as a 518 BrC standard should be taken with caution as the absorption can be significantly overestimated.

519 The *in-situ* measurements of aerosol extinction and retrieved complex refractive indices 520 from this study demonstrate that bulk phase experiments of brown carbon can sensitively and 521 reliably identify chemical shifts that lead to absorbing components. However, the *in-situ* 522 measurements of aerosol optical properties at relevant atmospheric size distributions provide an 523 essential confirmation of the real atmospheric impact of such absorption, and should be included 524 together with bulk phase experiments when possible. The absorption observed in bulk phase 525 experiments suggests a variety of potential organic chromophores may give rise to BrC 526 components. However, quantitative assessment of their impact on climate requires *in-situ* aerosol 527 optical measurements, such as refractive index retrievals, over a broad spectral range.

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Complex R Real part (n)	efractive index Imaginary part (k)	VOC	SOA formation	Wavelength (nm)	Reference
1.44	NA	α-pinene		> 350	Schneiter et al. (2
1.45	NA	α-pinene		visible	Wex et al. (2009) <sup>55</sup>
1.4 – 1.5	NA	$\alpha$ -pinene and $\beta$ -pinene		670	Kim et al. (2010) <sup>56</sup>
1.458 (± 0.019)	$0.000\;(^{+0.021}_{-0.000})$	α-pinene		355	Nakayama et al. (
1.411 (± 0.021)	$0.000 \left( ^{+0.025}_{-0.000}  ight)$	α-pinene		532	Nakayama et al. (2
1.49 – 1.51	0	α-pinene		532	Redmond and Tho
$\begin{array}{l} 1.475 (\pm 0.022) - \\ 1.463 (\pm 0.019) \end{array}$	$\begin{array}{c} \textbf{0.000} \ (^{+0.001}_{-0.000}) - \\ \textbf{0.000} \ (^{+0.001}_{-0.000}) \end{array}$	α-pinene		405	(2011) <sup>22</sup> Nakayama et al. (
1.476(±0.021) – 1.466(±0.02)	$\begin{array}{c} 0.000 \\ (+0.001) \\ -0.000 \\ (+0.002) \\ 0.000 \\ (+0.002) \end{array}$	α-pinene	ozonolysis	532	Nakayama et al. (2
1.410(±0.028) – 1.400(±0.032)	$\begin{array}{c} 0.001(\substack{+0.002\\-0.001})-\\ 0.001(\pm0.001)\end{array}$	α-pinene		781	Nakayama et al. (2
1.579 – 1.491 (1.516 – 1.509)	< <b>0.01</b> (< <b>10</b> <sup>-4</sup> )	α-pinene		200 - 1200 (360 - 420)	Liu et al. (2013) <sup>64</sup>
1.590 - 1.492 (1.520 - 1.512)	< <b>0.01</b> (< <b>10</b> <sup>-4</sup> )	limonene		200 - 1200 (360 - 420)	Liu et al. (2013) <sup>64</sup>
$\begin{array}{l} 1.52 (\pm 0.02) - \\ 1.48 (\pm 0.03) \end{array}$	$0.000 \left( \substack{+0.026 \\ -0.000} \right) - 0.000 \left( \substack{+0.021 \\ -0.000} \right)$	α-pinene		360 - 420	This study
$\begin{array}{l} 1.57(\pm 0.02) - \\ 1.52(\pm 0.03) \end{array}$	$\begin{array}{c} 0.000 \ (^{+0.024}_{-0.000}) - \\ 0.000 \ (^{+0.050}_{-0.000}) \end{array}$	limonene		360 - 420	This study
$\begin{array}{l} 1.48(\pm 0.02) - \\ 1.45(\pm 0.03) \end{array}$	$\begin{array}{c} 0.000 \ (^{+0.011}_{-0.000}) - \\ 0.000 \ (^{+0.021}_{-0.000}) \end{array}$	α-humulene		360 - 420	This study
1.42 (± 0.02)	NA	α-pinene		670	Barkey et al. (2007
1.56 (± 0.04)	NA	α-pinene	Photo-oxidation in	450	Yu et al. (2008) <sup>54</sup>
1.51 (± 0.03)	NA	α-pinene	the presence of NO <sub>x</sub>	550	Yu et al. (2008) <sup>54</sup>
1.46 (± 0.03)	NA	α-pinene		700	Yu et al. (2008) <sup>54</sup>
1.4 - 1.53	NA	α-pinene		670	Kim et al. (2010) <sup>56</sup>
1.38 - 1.53	NA	β-pinene		670	Kim et al. (2010) <sup>56</sup>
1.53 (± 0.08)	$0.00 \left(^{+0.05}_{-0.00}\right)$	Emitted from Holm Oak	Photo-oxidation	532	Lang-Yona et al. (2
1.498(±0.022)	$0.000 \begin{pmatrix} +0.001 \\ -0.000 \end{pmatrix}$	α-pinene		405	Nakayama et al. (
1.458(±0.021)	$0.000 \begin{pmatrix} +0.001 \\ -0.000 \end{pmatrix}$	α-pinene		532	Nakayama et al. (2
1.422(±0.028)	0.000(+0.002)	α-pinene		781	Nakayama et al. (2
1.51(±0.02) -	< 0.001	a-pinene	OH oxidation	405	Lambe et al. (201
1.45(±0.04)		- F			
1.511(±0.011) –	0	Mixture of α-pinene	Ozonolysis and	360 - 420	Flores et al. (2014
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/ ) )	
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#### Wavelength MAC $(m^2 g^{-1})$ Imagin<u>ary part</u> (k) (nm) SOA Reference Kirchstetter el at. 5 - 0 $0.19 - 0^{a}$ 350 - 700Biomass combustion (2004)8 - 0 $0.27 - 0^{a}$ 300 - 700Combustion Sun et al. (2007) 10 - 00.238 - 0300 - 500Organic carbon Barnard et al. (2008) (Mexico City) $2.8^{a}$ 0.11(±0.02) 355 Organic soluble Adler et al. (2010) from diesel soot 1.7<sup>a</sup> $0.07(\pm 0.01)$ 355 Water-soluble from Adler et al. (2010) diesel soot $0.031^{a}$ 0.77 365 Water-soluble Zhang et al. (2011) (Atlanta and Los Angeles) 0.19 $0.008^{a}$ 365 Primary BrC Zhang et al. (2011) (Atlanta and Los Angeles) $0.0006 - 0.040^{a,b}$ 0.01 - 1near 500 Primary BrC Updyke et al. (2012) and references in their Table 2 $0.0002^{a}$ 0.007 (±0.005) 404 Forest fire Lack et al. (2012) (Boulder) 0 0 532 Forest fire Lack et al. (2012) (Boulder) 0 - 0.0010 - 0.02405 OH oxidation Lambe et al. (2013) of α-pinene 0.02 - 0.090.001 - 0.0035405 Lambe et al. (2013) OH oxidation naphtalenec Ozonolysis $< 0.25^{a}$ < 0.01\* 360 - 420of α-pinene, This study Limonene and ahumulene

735 Table 2. Values of MAC and imaginary part values for different field and laboratory studies.

<sup>a</sup> Calculated assuming a  $\rho_{material} = 1.4 \text{ g cm}^{-3}$ , <sup>b</sup> Calculated at  $\lambda = 500 \text{ nm}$ 

736 737 <sup>c</sup> Measured substance with the greatest increase in MAC from naphthalene, guaiacol, tricycle[5.2.10<sup>2,6</sup>]-decane, and  $\alpha$ -pinene. 738 \*For  $[NH_3] < 1$  ppm



**Figure 1.** (a) Schematic diagram of the experimental system for generating SOA from reaction of biogenic alkenes with ozone, followed by aging with  $NH_3$  gas. (b) The broadband cavity enhanced spectrometer, with channels for 360–390 and 390–420 nm. Relative humidity measurements are marked as "RH".

- 746 Acronyms:
- 747 MFC mass flow controller
- 748 DMA differential mobility analyzer
- 749 BBCES broadband cavity enhance spectrometer
- 750 CPC condensation particle counter
- 751 TEC thermoelectric cooler
- 752 LED light emitting diode
- 753





**Figure 2.** Retrieved complex refractive indices as a function of wavelength for the unreacted biogenic SOA (black circles) and NH<sub>3</sub>-aged biogenic SOA (red triangles, green inverted triangles, and blue diamonds) for (a)  $\alpha$ -pinene, (b)  $\alpha$ -humulene, and (c) limonene. The legend indicates the NH<sub>3</sub> concentration (ppmv) added. For all experiments the aerosols were humidified to 85% RH before being mixed with NH<sub>3</sub>.



Figure 3. (a) Retrieved complex refractive indices as a function of wavelength from BBCES size 763 764 selection measurements for Suwannee River fulvic acid aerosols (black circles) and for NH<sub>3</sub>-aged 765 limonene SOA on a filter, extracted into liquid and atomized (blue triangles). (b) Mass absorption 766 coefficient (MAC) for Suwannee River fulvic acid (dotted black line), NH<sub>3</sub>-aged limonene SOA 767 on a filter (blue dashed line), limonene SOA aged *in situ* and consequently extracted from the filter sample (green line) and from Updyke et al.<sup>23</sup> (red dash-dotted line). The shaded area in each 768 769 trace shows the standard deviation of three different solution concentration measurements using a 770 UV-Vis spectrometer. The orange area indicates the measurement range of the BBCES. (c) 771 Calculated imaginary part from the MAC measurements in panel B (assuming a particle density 772 of 1.4 g cm<sup>-3</sup>) as a function of wavelength for the NH<sub>3</sub>-aged limonene SOA (same color legend as 773 in panel B), compared to the retrieved k values of limonene SOA aged with  $[NH_3] = 1.0$  ppm 774 (black circles) and  $[NH_3] = 1.9$  ppm (orange squares) and the atomized sample (blue triangles; 775 same as in panel A) from BBCES size selection measurements.



**Figure 4.** Distribution of the number of N atoms (top panels) and O atoms (bottom panels) from the identified peaks in the nano-DESI/HR-MS spectra of unreacted (black bars) and NH<sub>3</sub>-aged

- (orange bars)  $\alpha$ -pinene SOA (left panels) and limonene SOA (right panels). The picture insets in
- 781 panel B are the limonene filter samples.

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**Figure 5.** DBE dependence on the m/z values for all peaks in the nano-DESI-HRMS spectra.

785 Comparison of the unreacted (black) and aged (orange)  $\alpha$ -pinene SOA (top) and limonene SOA

786 (bottom). The size of the points is proportional to the normalized peak intensity.



Figure 6. Mass spectra normalized to the total organics for the unreacted (black, top panel) and
the NH<sub>3</sub>-aged limonene SOA (orange, bottom panel) measured by the ToF-AMS.
Ketolimononaldehyde (KLA; a main product of limonene ozonolysis) is identified. Reaction
products with high DBE that were observed in the nano-DESI/HR-MS filter analysis are also
identified.

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**Figure 7.** Simple forcing efficiency calculated assuming a constant real part of n = 1.5 and two different imaginary parts: k = 0.0 (black line) and k = 0.05 (red dashed line).