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Generation of Luminescence in Biomineralized Zirconia by Zirconia-Binding Peptides

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Current materials research is inspired from biomineralization processes, where proteins control the formation of inorganic materials under soft reaction conditions. The implementation of biomineralization principles is a promising approach to generate tailored material properties for developing applications and devices. The results show the direct link between inorganic-binding peptides, biomineralization and the generation of new materials properties. Peptides which specifically bind to yttria-stabilized zirconia are selected by phage display and analyzed with regard to their amino acid composition. Based on these results the peptide 6-3-06 (SWNNMGWLPTFG) is selected for the peptide-assisted synthesis, generating biomineralized zirconia-based (BZO) material with photoluminescent properties. The material characterization by means of X-ray photoelectron spectroscopy reveals the incorporation of the peptide in the inorganic phase. More important this specific structure gives rise to photoluminescence (PL) in the blue wavelength region (~2.7 keV) at room temperature which is mainly attributed to states in the band gap introduced by organic-inorganic interfaces. This PL property is exclusive to the BZO whereas samples mineralized in the absence of the peptide did not show PL. The data clearly demonstrate that by biomineralization new material properties for technical materials can be generated. Importantly, this approach can be generalized for other inorganic materials.

Introduction

The main text of the article should go here with headings as appropriate. Zirconium dioxide (ZrO$_2$) is a highly interesting material applied in various devices like fuel cells,$^1$ thermal barrier coatings,$^2$ for catalysis,$^3$ and optical materials.$^4$ The wide scope of applications is based on its high abrasive, chemical, and thermal stability in addition to its semiconductive properties. To further engineer material properties, biomineralization approaches are favored for advanced materials generation. Biomineralization is the formation of bio/inorganic hybrid materials under ambient conditions controlled by organisms using biological molecules like proteins, or polysaccharides.$^5$ Natural biominerals often possess exceptional properties and multifunctionality. Such extraordinary properties are not present in the pure organic and inorganic materials but evolve from the combination of the two material classes. A prominent example is the mechanical stability of nacre.$^6$ Here, brittle aragonite (orthorhombic CaCO$_3$) platelets and soft proteins are arranged in a highly ordered brick wall formation which results in excellent toughness combined with stiffness, strength, and impact resistance.$^7$ The mechanical properties of nacre thereby outperform the properties of the constituent materials. Other examples are siliceous sponge spicules providing mechanical stability and light transmission.$^8$ Therefore, the transfer of biomineralization processes from nature to technical synthesis which allows the control of material properties would be highly beneficial for the development of novel materials for e.g. electronic and optical applications.

Several biomimetic approaches for the formation of ZrO$_2$ on organic templates have been reported in the literature: e.g. layer-by-layer deposited polycations and polyanions with a negatively charged surface layer$^9,10$ and self-assembled monolayers.$^{11,12}$ Furthermore, natural proteins have been applied for the synthesis of Zr-based materials. For example, it has been shown that silicatein, an enzyme isolated from sponges which catalyses the polycondensation of biosilica, also mediates the formation of Zr-based materials.$^{13}$ Other proteins, which are uncharacteristic for natural biomineralization processes, like lysozyme,$^{14}$ bovine serum albumin,$^{15}$ and gelatin$^{15}$ were also used for the mineralization of Zr-based materials. A step beyond such unspecific organic-inorganic interactions is the consideration of specific inorganic-binding peptides for the controlled biomineralization$^{16}$ which have not been applied for Zr-based materials so far. The phage display (PD) is a powerful technique to identify peptides from a random peptide library which specifically bind to inorganic materials. This method has been successfully applied for oxides.
like ZnO,\textsuperscript{17-21} SiO\textsubscript{2},\textsuperscript{22} and TiO\textsubscript{2}.\textsuperscript{16} Furthermore, one peptide binding to yttria-stabilized zirconia (YSZ) which consists of 58 amino acids was identified by PD and applied in the surface functionalization of dental ceramics.\textsuperscript{23}

This study shows the engineering of photoluminescence (PL) properties, as applied to biomineralized zirconia-based material (BZO), by the use of specific binding peptides. The PD technique was applied to select peptides from a random peptide library which bind specifically to single crystalline yttria-stabilized zirconia (YSZ) and powders of pure Y\textsubscript{2}O\textsubscript{3} and ZrO\textsubscript{2}. Enriched and depleted amino acids of the isolated peptides, which correspond to binding-favoring and -adverse components, have been deduced from the identified peptide pool. As an outcome of this investigation the YSZ-binding peptide 6-3-06, with the amino acid sequence SWNNMGWLPTFG, was selected to synthesize BZO. The elemental composition and the concentration ratio of O to Zr in the BZO phase were determined by X-ray photoelectron spectroscopy (XPS). The thus-produced BZO phase exhibited PL in the blue wavelength region (~2.7 eV), which was not present in the reference samples which were mineralized in the absence of the peptide. Therefore, by analogy with nacre, PL evolves from the interplay of the non-photoluminescent inorganic material with substrate specific peptides in BZO.

**Experimental Section**

**Peptide screening**

The phage display technique was applied to isolate ZrO\textsubscript{2}-binding peptides from a random 12-mer peptide library (New England Biolabs, Inc). As a target substrate an (100)-oriented single crystal of yttria-stabilized (9.5 mol %) zirconia (YSZ) with cubic structure was used (CrysTec, Berlin, Germany). The peptide screening was conducted according to the procedures described elsewhere.\textsuperscript{24} Briefly, the isolation of interacting peptides was conducted in 24 well plates (Sarstedt, Newton, USA). The substrate was equilibrated in Tris buffered saline (TBS), pH 7.5 supplemented with 0.1 % Tween 20 under light agitation for one hour at RT. M13 phages (1.5 x 10\textsuperscript{11} phages) expressing the peptide library were incubated with the target substrate in TBS, 0.1% Tween 20 (1 mL) for one hour at RT. Non-interacting phage clones were excluded by extensive washing with TBS, 0.5% Tween 20. The remaining peptide library was eluted with glycine elution buffer (1 mL, 0.2 M Glycine-HCl, pH 2.2, 1 mg/mL BSA). Afterwards, the solution was brought to a neutral pH value by the addition of Tris-HCl, (150 µL, 1 M, pH 9.1). For subsequent biopanning rounds and the analysis of the interacting peptides the eluted phage clones were amplified in \textit{E. coli} ER2738 and purified by polyethylene glycol-8000 / sodium chloride (PEG/NaCl) precipitation. At least three biopanning rounds were carried out to enrich specifically binding peptides. Peptide sequences were deduced from DNA sequences from single phage clones.

**Zeta potential measurement**

The zeta potential of the epipolished YSZ(100) was measured with a SurPASS electrophoretic analyzer (Anton Paar, Graz, Austria). The substrates were cleaned according to Rabung et al.\textsuperscript{25} and the zeta potential was determined in 75 mM NaCl solution using streaming current measurements.

**Binding assay**

The binding strength of individual ZrO\textsubscript{2}-binding peptides was determined. Briefly, a phage clone expressing a ZrO\textsubscript{2}-binding peptide sequence was amplified in \textit{E. coli} ER2738 applying to standard methods. The individual phage clones were resuspended in TBS buffer (50 mM Tris pH 7.5; 150 mM NaCl). The phage titer was determined in three independent experiments.

For the binding assay, a defined quantity of phages or M13 wt phage, as reference, were bound to ZrO\textsubscript{2} in TBS at RT for 1 h. Unbound phages were excluded by 5 times washing the ZrO\textsubscript{2} or sodium phosphate buffer (30 mM, pH 8.7) were prepared. Afterwards, Zr-nitrate solution (5 µL, 50 mM) was added and the solution was incubated at room temperature allowing the precipitation of particles. The particles were isolated by centrifugation for 20 min at 20817 x g. The precipitate was sonicated in ddH\textsubscript{2}O and isolated by centrifugation as described above. In total, the samples were washed tree times to remove unspecific adsorbed peptides on the BZO particles. Finally the BZO particles were resuspended in ddH\textsubscript{2}O (40 µL) for further analysis. A reference mineralization sample without the addition of a peptide was prepared following the same procedure as described above.

**Scanning electron microscopy (SEM)**

Mineralization products were immobilized on Si-wafers. To enhance the contrast, samples were sputtered with 0.5 nm platinum/palladium. SEM was performed with the Zeiss DSM 982 GEMINI scanning electron microscope at 3 kV.

**PL measurement**

To measure the photoluminescence the washed particles suspension (40 µL) were spotted on a cleaned silicon wafer and dried at 37°C for 24h. Silicon wafers (10 x 10 mm\textsuperscript{2}) were cleaned by consecutive sonication in ddH\textsubscript{2}O and acetone / ethanol (1:1) with subsequent extensive washing. PL measurements were performed at room temperature. The emission spectrum (4.46 eV excitation) of ZrO\textsubscript{2} obtained in the presence and absence of the ZrO\textsubscript{2}-binding peptide was recorded.
with the spectrofluorometer Spex FluoroLog 3, Horiba Jobin Yvon, Germany.

**XPS measurement**

XPS spectra of the surfaces were recorded using a Thermo VG Thetaprobe system employing monochromatic Al Kα radiation (hν = 1486.68 eV). The valence-band (VB) spectra were recorded over the binding energy (BE) range from -5 to 35 eV with a step size 0.1 eV at a constant pass energy of 200 eV; the XPS spectra of the Zr 3d and O 1s regions were recorded over the BE range from 178 to 196 eV and from 526 to 543 eV with a step size of 0.05 eV at a constant pass energy of 100 eV and 60 eV respectively. The integral intensities of the Zr 3d and O 1s peaks were obtained using conventional XPS peak fitting routines, as implemented in the Avantage software (v.4.41), and employing a so-called smart shirley-type of background.

**Results and discussion**

**Phage display**

The peptide library was screened in three independent experiments for the binding to a cubic-structured YSZ(100) surface resulting in 30, 36 and 30 different peptide sequences, respectively. The isoelectric points (pI) of the isolated YSZ-binding peptides ranged from pH 3.5 to 12.5. Interestingly, the majority of peptides were charged under the screening conditions and only 1 % of peptides had a pI in the range between pH 7.0 and 7.9, reflecting no or only a small net charge (Figure 1).

The zeta potential of the target YSZ(100) substrate was determined in the range between pH 5 and pH 10 at 75 mM NaCl (Figure 2). The target substrate was negatively charged over the whole investigated pH range with the isoelectric point (IEP) at around pH 5. At the pH value of the peptide screening (pH 7.5) the YSZ substrate had a negative charge of -30 to -35 mV. The isoelectric point for YSZ powder has been reported in the range of 6.6 and 7.226 with a surface charge between -5 to -12 mV at pH 7.5. Differences of the zeta potential for single crystalline and powder substrates were reported for other oxides (Al₂O₃, ZnO) as well15a, 19. The deviations were attributed to different charging mechanisms due to autoproteolysis of interfacial water molecules and water structuring, which resulted in the change of IEPs of single crystalline substrates.27 Despite the differences in the IEP of single crystalline and powder target substrates, the amino acid frequencies of the isolated peptides from single crystalline and powder substrates showed major similarities (data not shown).

The amino acid residue frequencies of the YSZ-binding peptides in the isolated peptide pools were compared to those of the initial peptide library (Table 1). The quotient of a given amino acid frequency in the isolated peptide pool to that in the initial peptide library is indicative of its enrichment (criterion: quotient > 1.25) or depletion (criterion: quotient < 0.75) in the amino acid residue. The amino acid residues frequencies in the initial peptide library are manufacturer’s data based on sequencing individual clones. It followed that the categories of charged, small, and of aromatic amino acids exhibited substantial frequency changes, whereas the groups of hydrophobic and nucleophilic amino acids, as well as of amino acids with amid function, showed only very little changes. The following amino acid residues were enriched by more than 25 % in at least two out of three experiments; arginine (R), glycine (G), alanine (A), methionine (M), and tryptophan (W). While glutamic acid (E) and phenylalanine (F) were depleted by more than 25% compared to the initial library. The frequencies deviate between the independent experiments. For the majority of amino acid residues there is a clear classification, only lysine...
The depletion of the negatively charged glutamic acid in the isolated peptides could be attributed to the electrostatic repulsion by the negatively charged YSZ(100) surface. However, aspartic acid, which is also negatively charged, showed no significant depletion in the isolated peptide pool. Hence, a simple peptide-inorganic binding model, based only on electrostatic attraction of charged particles, may be insufficient to explain the observed enrichments and depletions of amino acid residues in the isolated peptide pool. From the group of positively charged amino acid residues (histidine, lysine, and arginine), only arginine was enriched. Noteworthy, histidine did not show enhanced bonding affinity with YSZ, even though it is recognized as one of the most important natural interaction partners of divalent metal ions like Zn$^{2+}$ and has been identified as strong interaction partner for inorganic ZnO.$^{17}$

The peptide libraries were also screened for their binding properties with respect to pure ZrO$_2$ (without doping with Y) and pure Y$_2$O$_3$ powders (Table 1) (note: the hitherto mentioned results referred to single-crystalline YSZ). Methionine, glutamic acid and tryptophan exhibited similar binding properties to ZrO$_2$ and Y$_2$O$_3$ powders, which correlated well with their respective binding properties to YSZ. For several amino acid residues, only one type of powder (i.e. either pure ZrO$_2$ or pure Y$_2$O$_3$) showed similar frequency changes as compared to YSZ. For example, arginine and glycine were enriched solely in the Y$_2$O$_3$-binding peptides, whereas alanine was enriched and phenylalanine was depleted in the ZrO$_2$-binding peptides only. This suggests that certain amino acid residues discriminate between ZrO$_2$ and Y$_2$O$_3$. Summarizing: no preferred binding to either ZrO$_2$ or Y$_2$O$_3$ powder could be deduced for methionine, glutamic acid and tryptophan; alanine has a strong and phenylalanine a weak interaction with ZrO$_2$; arginine and glycine have a stronger interaction with Y$_2$O$_3$ than with ZrO$_2$.

**Table 1.** Frequency of amino acid residues in YSZ-binding peptides. The frequencies are given as quotient of the amino acid frequency in the isolated peptide pool and in the initial peptide library. Enriched amino acid residues are highlighted dark grey, depleted amino acid residues are highlighted light grey.

<table>
<thead>
<tr>
<th>Amino acid a)</th>
<th>basic</th>
<th>small</th>
<th>hydrophobic</th>
<th>acidic</th>
<th>amide</th>
<th>nucleophilic</th>
<th>aromatic</th>
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<td>G</td>
<td>A</td>
<td>V</td>
<td>L</td>
</tr>
<tr>
<td>Exp. 1</td>
<td>0.88</td>
<td>2.19</td>
<td>1.30</td>
<td>1.39</td>
<td>1.35</td>
<td>0.93</td>
<td>0.81</td>
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<td>1.96</td>
<td>1.16</td>
<td>1.07</td>
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</tr>
<tr>
<td>Exp. 3</td>
<td>1.25</td>
<td>0.88</td>
<td>0.93</td>
<td>1.78</td>
<td>1.36</td>
<td>1.40</td>
<td>1.11</td>
</tr>
<tr>
<td>ZrO$_2$ powder</td>
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<td>0.81</td>
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<td>0.87</td>
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<tr>
<td>Y$_2$O$_3$ powder</td>
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<td>0.30</td>
<td>1.61</td>
<td>2.26</td>
<td>0.84</td>
<td>0.65</td>
<td>1.36</td>
</tr>
</tbody>
</table>

a) Amino acids are indicated in one-letter code; b) The frequencies of cysteine residues were not included since cysteine interferes with the phage infection of bacteria and coat protein formation and therefore the values may not be based on binding.

**Figure 3.** Relative binding of phage clones with ZrO$_2$. The binding of individual phage clones expressing selected ZrO$_2$-binding peptides for the binding to ZrO$_2$ was tested with a binding assay. Wild type (wt) phage was used as reference. Phage clones with a specific ZrO$_2$-peptide showed a 1.3 to 15.6 fold increased binding to ZrO2. Although, the clone 6-3-06 only had a 2.5-fold increased binding strength compared to the wt phage, the peptide revealed the highest impact on the mineralization process.

On the basis of the combination of most distinctive ZrO$_2$-binding features, the peptide 6-3-06 (SWNNMGWLPTFG) was selected for further ZrO$_2$ biomineralization studies. This peptide displays three out of five amino acid residues, which were enriched in the YSZ-binding peptides. In addition, the peptide contains no glutamic acid, which was depleted in the isolated peptides. BZO was precipitated in the presence of the peptide from buffered Zr-nitrate solutions at pH values between 7.5 and 8.5. The YSZ-binding peptide 6-3-06 has a calculated p$\nu$ of 5.52, which corresponds to a net charge of -0.1 to -0.8 mV in the biomineralization experiments. The binding-strength of the peptide was determined by a binding assay, where the peptide was linked to the phage clone (Figure 3). The values were referenced to the wild type (wt) phage, expressing no specific binding peptide. Although, the binding of the 6-3-06 harbouring
phage to ZrO₂ was moderate, peptide 6-3-06 showed the most significant impact on the properties of BZO. However, the peptide did not show a significant influence on the morphology of BZO (Figure 4). The BZO and the reference material, mineralized in the absence of peptide (data not shown), consisted of agglomerated nanoparticles of similar size.

Figure 4. Scanning electron micrograph of biomineralized zirconia (BZO). BZO, mineralized in the presence of peptide 6-3-06, is a composite of agglomerated nanoparticles. The peptide does not significantly influence the morphology of BZO, since zirconia precipitated in the absence of the peptide exhibited the same structure (data not shown).

XPS was applied to determine the chemical constitutions of the BZO (as synthesized in the presence of the YSZ-binding peptide 6-3-06 on an Au-coated Si wafer) and the corresponding Zr-oxide reference (i.e. as synthesized under the same conditions in the absence of peptides) (Figure 5). The BZO and the Zr-oxide reference were both constituted of Zr, O, N and C (note: the detected Au signals in Figure 5 are originating from the Au-coated Si substrate). To exclude Tris buffer as the sole origin of nitrogen in the specimens, the biomineralization experiments were repeated in a phosphate buffered environment. In this case, nitrogen was detected only in the samples synthesized in the presence of the peptide 6-3-06 (with three different local chemical environments of N being resolved) (Figure 6). This implies that the detected N in the BZO phase originates from peptides, which have been incorporated during the biomineralization process. In addition, the average O/Zr-atomic-ratios of the BZO phase and the reference Zr-oxide were calculated from the integral areas of the resolved Zr 3d⁵⁄₂ and O 1s XPS peaks, according to the procedure described in Vinodh et al.²⁸ The thus determined O/Zr ratio of the biomineralized ZrO₂ phase equaled 3.3 before sputter cleaning (SC) and 2.2 after SC for 1 min with 1 kV Ar⁺ ions, respectively. The ZrO₂ reference sample showed similar O/Zr ratios of 3.1 and 2.3 before and after SC, respectively. Hence both the biomineralized and reference Zr-oxide phases are overall non-stoichiometric, in accordance with the observation of different valence (i.e. oxidation) states of Zr in the measured Zr 3d⁵ spectra of the biomineralized and reference samples (Figure 7). After removal of the top surface layers by gentle SC, the averaged O/Zr-ratio (of 2.2±0.1) approaches that of stoichiometric ZrO₂ (note: the averaged

Figure 5. As-measured XPS spectra (after subtraction of a universal Tougaard background), as recorded from the biomineralized Zr-oxide and the corresponding Zr-oxide reference (both synthesized on an Au-coated Si wafer using a Tris-HCl buffered solution; see Experimental). The biomineralization was performed in the presence of the ZrO₂-binding peptide 6-3-06. The reference was synthesized under the same conditions, but in the absence of peptides. The BE positions of the main core-level photoelectron lines and the O KLL Auger line are indicated.

Figure 6. As-measured N 1s core-level XPS spectra (after subtraction of a Shirly background), as recorded from the biomineralized Zr-oxide and the corresponding Zr-oxide reference (both synthesized on an Au-coated Si wafer using a phosphate buffered solution; see Experimental). The biomineralization was performed in the presence of the ZrO₂-binding peptide 6-3-06. The reference was synthesized under the same conditions, but in the absence of peptides. The N in the biomineralized Zr-oxide phase originates from peptides, which have been incorporated during the biomineralization process.
O/Zr-ratio of 3.2±0.1 before SC (for both specimens) is much higher than the corresponding stoichiometric of ZrO$_2$. This suggests that the chemical constitution of the reaction product, as precipitated from the solution and transferred onto the Si-gold substrate surface, is subsequently transformed into a bulk oxide phase of different composition. Furthermore, the incorporated peptide in the BZO sample slightly contributes to the oxygen content in the sample. Finally, as evidenced from the featureless shape of the Upper Valence Band (UVB) region (not shown here), as resolved from the measured XPS valence band spectra of the BZO phase (according to the procedure described in Ref.29), the BZO phase and the reference sample are both amorphous and highly defective.

Next the PL properties of the BZO and the reference samples were measured (Figure 8). The BZO exhibits a PL band around 2.7 eV; its intensity correlates with the concentration of peptide used in the mineralization reaction, i.e. a higher peptide concentration yields a stronger PL intensity. The reference Zr-oxide sample, as mineralized in the absence of YSZ-binding peptides, does not show any pronounced emission in the studied wavelength range. The emission spectrum of the inorganic-binding peptide also does not exhibit any PL intensity maximum around 2.7 eV but shows a maximum around 3.5 eV which is attributed to the fluorescence of tryptophan residues. Hence, the unique intensity maximum around 2.7 eV in the PL band of BZO originates from the incorporation of the peptides in BZO. It is therefore suggested that the organic-inorganic interface between the incorporated peptides and the oxide produce specific states in the band gap of the BZO materials which give rise to the observed PL intensity around 2.7 eV. It is emphasized that, although the reference oxide (as produced in the absence of the peptide) is also amorphous and highly defective, it does not exhibit a PL intensity maximum around 2.7 eV.

Despite considerable research efforts on the optical properties of zirconia, there is no generally accepted PL mechanism so far. This is partly due to a fact that the luminescent properties of zirconia seem to be strongly dependent on the preparation method.20 Two kinds of luminescence in zirconia are known, (i) luminescence of self-trapped excitons peaking around 4.3 eV,31 which is typically observed at low temperatures, and (ii) intrinsic-defect-related luminescence covering a broad spectral range within 1.5-3.5 eV (e.g. F$^-$ or other electron trapping centers32). Recently, Grigorjeva et al.34 observed a wide featureless PL band peaking around 2.8 nm recorded from ZrO$_2$ single crystals, nanocrystals and ceramics. The authors argued that the wide PL band in the emission spectra of ZrO$_2$ arises due to the zirconium-oxygen complexes, which are distorted...
around an intrinsic defect (e.g. an oxygen vacancy). However, though the reference Zr-oxide, as produced in the absence of the peptide in our study, is highly defective and amorphous (see the discussion of the XPS data above) it does not exhibit any considerable PL intensity. Therefore, it is suggested that the PL band peaking around 2.7 eV originates from the luminescence centers, which are introduced exclusively by incorporation of the ZrO$_2$-binding peptide molecules into the bulk biomineralized Zr-oxide. As the PL band observed in Figure 8 is wide, it cannot be excluded that the emission is caused by a number of different luminescence centers. This study shows that optical properties of biomineralized materials can be generated by the interaction of specific peptides with corresponding inorganic materials. Further research on BZO will target at controlling both the material properties and the morphology by genetic engineered biotemplates for nanotechnology applications.

Conclusion

In summary, peptides binding to YSZ were identified from a random peptide library applying the PD method. Amino acid residues which account for the support or impediment of binding were identified. Although, a unique binding motif could not be determined on the basis of the conserved amino acid residues, prerequisites for zirconia-binding were established. The lack of YSZ-binding peptides with a no net charge suggests that the organic-inorganic binding results among other factors from electrostatic interactions.

Based on a combination of distinctive binding features, the peptide 6-3-06 was selected for BZO mineralization. The chemical constitution of the BZO is amorphous and non-stoichiometric and exhibits a broad photoluminescence band around 2.7 eV at room temperature, which is more pronounced at higher peptide concentrations in the biomineralization process. This band is attributed to states in the band gap of BZO, which are most likely introduced by incorporation of the peptide into the bulk amorphous oxide phase during the deposition process.

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Notes and references


