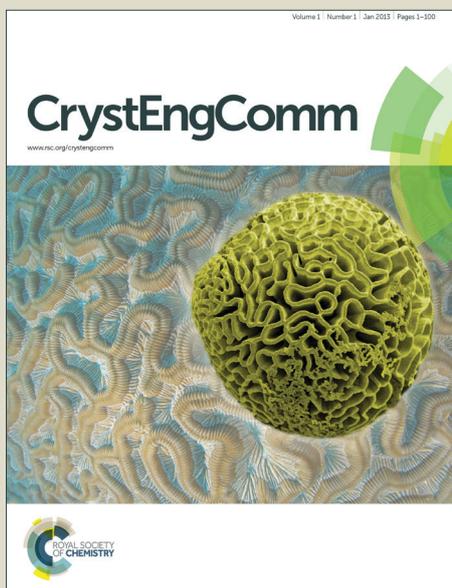


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## ARTICLE

## 7 Preparation and characterization of SiC@CNT 8 coaxial nanocables using CNT as template

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11 Novel one-dimensional SiC@carbon nanotube (CNT) coaxial nanocables have been  
12 successfully fabricated in large scale by using a carbothermal, chemical vapor  
13 deposition method. Sol-gel-derived silica xerogels containing commercial multi-wall  
14 carbon nanotubes (MWCNT) were used as silicon source and carbon source. The  
15 obtained product was characterized by SEM, HRTEM, Raman and XRD. The coaxial  
16 nanocables have been found to be composed of a 40–100nm diameter carbon nanotube  
17 as the core, surrounded by thick SiC out layer. The inner nanotube corresponded to the  
18 multi-walls of the carbon nanotube with lattice spacing of 0.34 nm. The PL spectrum  
19 reveals that SiC@CNT nanocable have two broad emission bands centered at 461 nm  
20 and 573 nm which can be attributed to quantum confinement effect and the morphology  
21 effects. The morphology of the product was tuned by simply altering the reaction  
22 temperature. And the formation of SiC@carbon nanotube coaxial nanocables was  
23 proposed that CNT acted as a template to confine the reaction, which resulted in SiC out  
24 layer continuous growth on the CNT surface to form cable-type growth.

25

### 26 Introduction

27 SiC is a wide-bandgap semiconductor and has various  
28 excellent properties such as high electron mobility, high  
29 thermal conductivity, and high tolerance to electrical  
30 breakdown, high hardness, and high mechanical strength.<sup>1,2</sup>  
31 These excellent properties make SiC a good candidate for  
32 electronic and photonics devices designed for high-  
33 temperature, high-power, and high frequency applications.<sup>3,4</sup>  
34 Many researches effort have been devoted to the design and  
35 synthesis of SiC-related one dimensional (1D)  
36 nanostructures.<sup>5-7</sup>

37 As a unique kind of one-dimensional (1D)  
38 nanoarchitecture, nanocable is a coaxial core-shell  
39 heterostructure that can provide the possibility to enhance  
40 functionality and multifunctional properties compared with  
41 those of their limited single-component counterparts.<sup>8</sup>  
42 Coaxial nanocables can be fabricated by first forming  
43 nanotubes of nano-sized diameter, then depositing a  
44 material dissimilar to that of the nanotubes over the surfaces  
45 of the nanotubes.<sup>9,10</sup> Such nanocables possess one-  
46 dimensional features of both nanowires and nanotubes in the  
47 axial direction. Because of the morphological integrity of  
48 carbon nanotubes, which are able to spatially confine  
49 reactions, CNTs have been used as ideal templates to  
50 synthesize one-dimensional nanostructures with similar  
51 diameters, lengths, and orientations close to their own.<sup>11</sup>  
52 Hence, many efforts have been devoted on the direct  
53 synthesis of special 1D coaxial nanocable by using CNT as  
54 the template. For example, well defined silica-coated CNT

55 coaxial nanocables have been synthesized at room  
56 temperature by using sonication with sol-gel techniques.<sup>12</sup>  
57 The CNT@SnO<sub>2</sub>-Au nanocables were successfully prepared  
58 by a simple one-pot synthetic route.<sup>13</sup> There has also been a  
59 report on the direct reaction between CNT and Si powders  
60 via thermal evaporation process in vacuum to form  
61 SiC@CNT composite nanotubes.<sup>14</sup> Nevertheless, significant  
62 difficulties still exist in directly fabricating well-defined  
63 SiC@CNT coaxial nanocables which can maintain the  
64 skeleton of the CNT template in the final product.

65 Carbothermal reduction of silica is the most useful  
66 method of SiC nanowire synthesis owing to its efficiency  
67 and simplicity. Herein, SiC based nanocables can possibly  
68 be achieved by subsequent forming SiC outlayer on the  
69 surface of CNT template via direct reaction between CNTs  
70 and silica. Such heterostructures may offer great potential  
71 for applications in field effect transistors, light emitting  
72 diodes, solar cells, gas sensors, magnetic sensors and optical  
73 nanodevices.<sup>7</sup>

74 In this work, we report the fabrication of SiC@CNT  
75 coaxial nanocables on Co(NO<sub>3</sub>)<sub>2</sub>-catalyzed Si substrate by  
76 using a carbothermal, chemical vapor deposition method  
77 (CVD). The structure and properties, and the possible  
78 growth mechanism of the SiC@CNT heterostructure on the  
79 Si substrates are discussed.

80

### 81 Experimental

82 Sol-gel-derived silica xerogels and commercial multi-wall  
83 carbon nanotubes (purchased from Shenzhen Nanotech Port

1 Co., Ltd, China) were used as silicon source and carbon  
2 source, respectively. The preparation method of silica  
3 xerogels containing MWCNTs was similar to that described  
4 in our previous work.<sup>15</sup> Monocrystalline n-type Si (100)  
5 substrate was etched in molten KOH at 500 °C for 5 min in  
6 muffle furnace. After the etching process, the Si substrate  
7 was cleaned with deionized water, and then immersed into  
8  $\text{Co}(\text{NO}_3)_2$  ethanol solution (0.04M), and dried naturally.  
9 The raw materials and Si substrates with a thin layer of  
10  $\text{Co}(\text{NO}_3)_2$  were placed in a alumina boat located in central  
11 hot-zone of the furnace. The system was heated in Argon  
12 gas (Ar, purity 99.999%) flow (200 sccm) to 1450 °C at a  
13 rate of 5 °C /min and maintained at this temperature for 6 h.  
14 The as-grown samples with gray-black color deposited on Si  
15 substrate were taken out for the following measurements.

16 The crystalline structure was characterized by using an X-  
17 ray diffractometer (Bruker AXS D8 Advance) with Cu K $\alpha$   
18 radiation. Field emission scanning electron microscopy  
19 (FESEM, JSM-6700F) equipped with an energy dispersive  
20 X-ray spectroscope (Hitachi, S-4200) was employed to  
21 investigate the morphology of the products. Further  
22 structure characterization was performed on a high  
23 resolution transmission electron microscopy (HRTEM,  
24 JEM-2010) at 200KV. Raman spectroscopy (RENISHAW  
25 inVia) was carried out with 30-mW Ar + green light ( $\lambda =$   
26 514 nm) laser as an excitation source. Photoluminescence  
27 (PL) spectrum was recorded on a Hitachi F-4500  
28 fluorescence spectrometer at room temperature with the 325  
29 nm line from He-Cd laser.

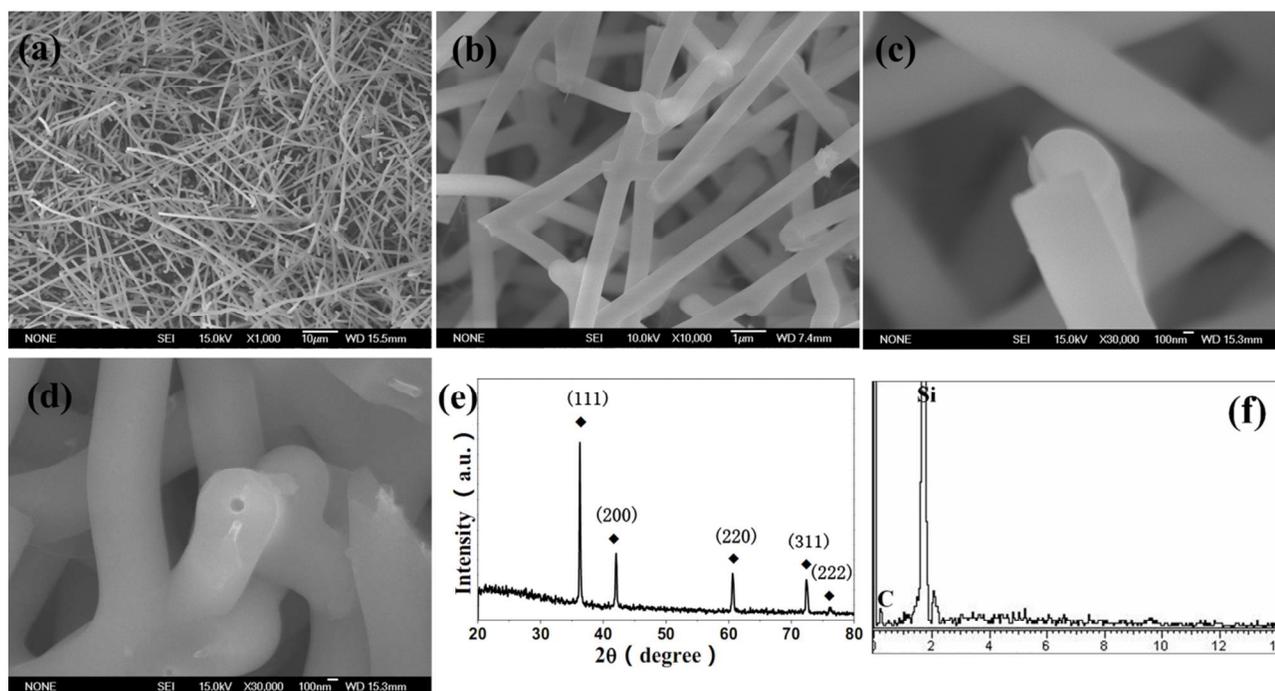
## 31 Results and discussion

### 32 3.1 Structure and morphology

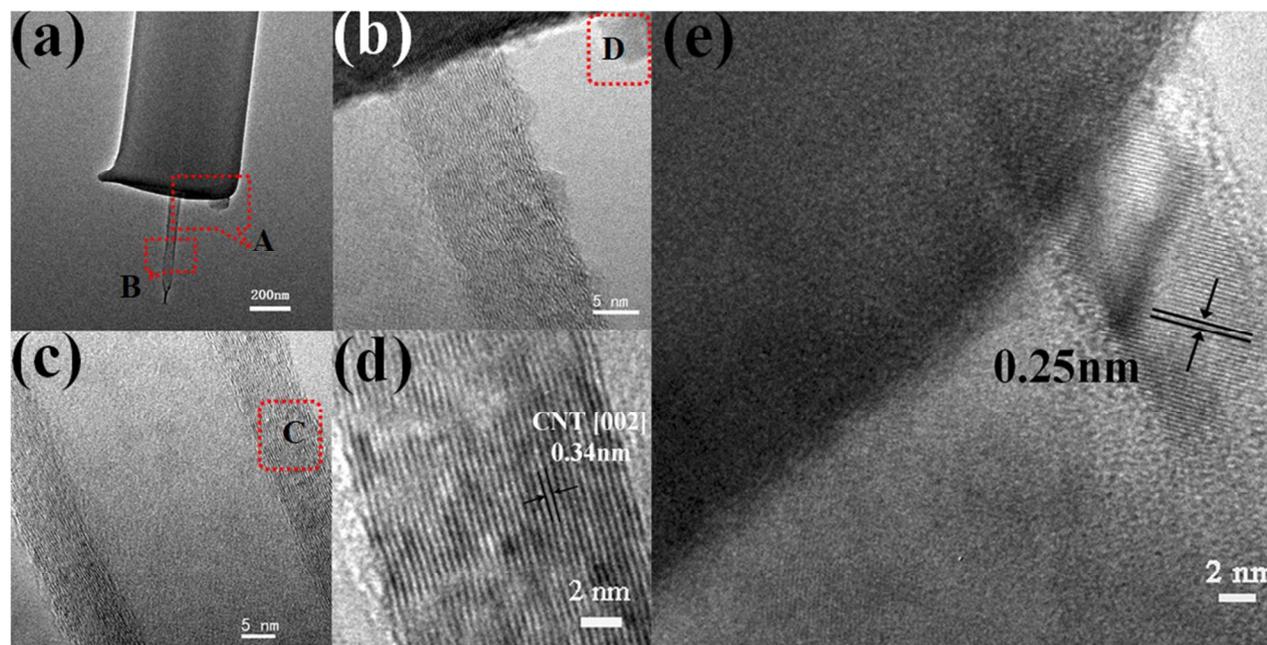
33 Typical SEM images of as-prepared coaxial nanocables  
34 grown on Si substrate are shown in Fig. 1 at different  
35 magnifications. Low-magnification SEM image in Fig. 1(a)  
36 shows large-scale uniform nanowires have densely stacked  
37 on the substrate, which is essential in potential applications  
38 in future nanodevice design. Fig. 1(b) reveals that the  
39 nanowires possess coaxial nanocable structure. High-  
40 magnification SEM observations (Fig. 1(c) and (d)) further  
41 indicate that the inner nanotube are obviously observed at  
42 the top end of the nanostructures. The nanostructures had a  
43 cross section with clear concentric circles which further  
44 confirmed the coaxial cable structure. The nanocables  
45 exhibited uniform dimensions along their entire lengths. The  
46 diameter of the CNT was in the range 40-100 nm. The out  
47 layers on CNT were continuous and uniform, the thickness  
48 of the out layers ranged from 200 to 400 nm which were  
49 larger than the size of inner nanotube.

50 A typical XRD pattern of the as-prepared products is  
51 shown in Fig. 1(e). As can be seen, the characteristic peaks  
52 of SiC can be easily indexed as the (111), (200), (220),  
53 (311), and (222) reflections of 3C-SiC (JCPDS, No. 29-  
54 1129). In addition, the chemical composition of SiC@CNTs  
55 was determined by energy-dispersive X-ray spectroscopy  
56 (EDS). As shown in Fig. 1(f), the corresponding EDS  
57 spectra of the products demonstrate the presence of silicon  
58 and carbon. It can be inferred that the thick out layer coated  
59 on the inner nanotube were SiC. The inner nanotube was  
60 CNT which was used as carbon source in the reaction. The  
61 result would be further confirmed by TEM analysis.

62 Further characterization of the nanostructures was carried  
63 out by using transmission electron microscopy (TEM), as  
64 shown in Fig.2 (a-e). The surface of coaxial nanocable was



**Fig. 1** SEM images of SiC@CNT coaxial nanocables: (a) and (b) at low magnification; (c) and (d) at high magnification; (e) the XRD pattern of SiC@CNT nanocables; (f) EDS spectrum of the SiC@CNT nanocables.



**Fig.2** TEM images of SiC@CNT coaxial nanocables on the carbon-coated Cu grid: (a) TEM image of SiC@CNT nanocable at a low magnification. (b) and (c) enlarged TEM images obtained from the top end of SiC@CNT nanocable recorded from the marked area of A and B in (a), respectively. (d) HRTEM image recorded from the areas of C in (c). (e) HRTEM image recorded from the marked area of D in (b).

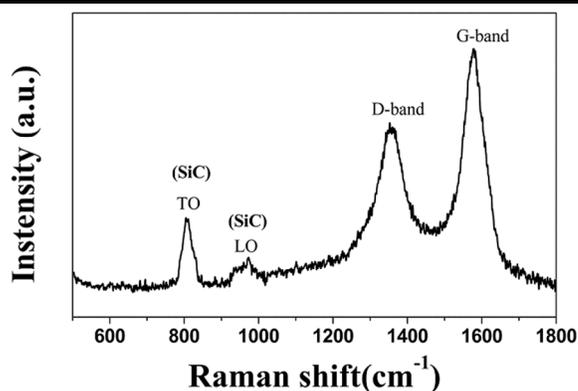
1 smooth and homogeneously. A thick and solid tubular-like  
 2 structure attached to the surface of inner nanotube. The  
 3 hollow feature of such heterostructure was clearly revealed  
 4 by the exposed top end. The nanocable was too thick to  
 5 obtain clear HRTEM images, but good images were  
 6 obtained in the exposed top end. Fig.2 (b and c) shows the  
 7 HRTEM micrograph of the top end of the nanocable marked  
 8 by dotted red rectangle in Fig.2 (a). Two parallel walls  
 9 indicated that the inner core is nanotube. The lattice plane  
 10 spacing was about 0.34 nm, which was attributed to the (002)  
 11 planes of MWCNTs as shown in Fig. 2(d). Fig. 2(e) shows  
 12 the HRTEM images of the outer layer marginal regions  
 13 recorded from the marked area of D in (b). According to the  
 14 HRTEM image, the lattice plane spacing of 0.25nm  
 15 corresponded to the SiC (111) crystal plane. The  
 16 morphology and composition analysis implied that they  
 17 were obtained as a result of carbon-nanotube confined  
 18 reaction, CNT served as the template for the continuous  
 19 growth of SiC nanolayer to form SiC@CNT coaxial  
 20 nanocable.

### 21 3.2 Raman and PL spectrum

22 The Raman spectrum was recorded at room temperature using an  
 23 excitation wavelength of 514 nm from an Ar<sup>+</sup> laser (as shown in  
 24 Fig3). The peaks at 804 cm<sup>-1</sup> and 972 cm<sup>-1</sup> correspond to the  
 25 transversal optical phonon (TO) and longitudinal optical phonon  
 26 (LO) at the  $\Gamma$  point of 3C-SiC, respectively. The Raman  
 27 spectrum of the SiC nanostructure is different from that of bulk  
 28 SiC or thick SiC film.<sup>16</sup> It is speculated that the peak shift of the  
 29 TO lines of SiC in the Raman spectrum is possibly caused by the  
 30 defects and size confinement effect. The two peaks at around  
 31 1368 and 1582 cm<sup>-1</sup> are typical Raman peaks of CNTs. The  
 32 peaks at 1368cm<sup>-1</sup> (D-band) indicate the existence of defective

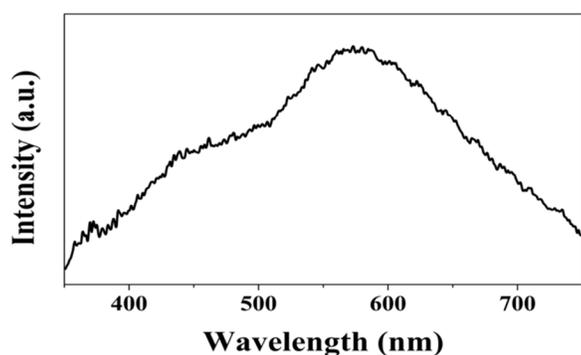
33 graphite layers in CNT.<sup>17</sup> The peak at 1582 cm<sup>-1</sup> (G-band)  
 34 appears due to the C—C stretching Raman-active E<sub>g</sub> mode for  
 35 CNTs.<sup>18</sup> The coexistence of SiC phase and the graphite phase in  
 36 the Raman measurement again strengthens the possibility of  
 37 coaxial nanocables structure of SiC@CNT.

38 Fig. 4 shows the room-temperature PL spectrum of the  
 39 as-prepared SiC@CNT coaxial nanocable under the 260 nm  
 40 ultraviolet fluorescent light excitation. The PL spectrum  
 41 reveals that SiC@CNT nanocables have two broad  
 42 emissionbands centered at 461 nm and 573 nm, respectively.  
 43 The strong green emission band centered at 573 nm is  
 44 similar to the previously reported irregular SiC tubes or SiC  
 45 film.<sup>19</sup> It may be associated with C-related deep level  
 46 defects formed during the reacting process. The emission  
 47 peak at 461 nm is attributed to the blue emission  
 48 luminescence from SiC. The PL bands in present SiC  
 49 nanostructures are also blue-shifted relative to the bulk SiC,  
 50



**Fig.3** The Raman spectrum of SiC@CNT nanocables

51  
52



1  
2 **Fig.4** PL spectrum of the synthesized SiC@CNTs coaxial  
3 nanocable

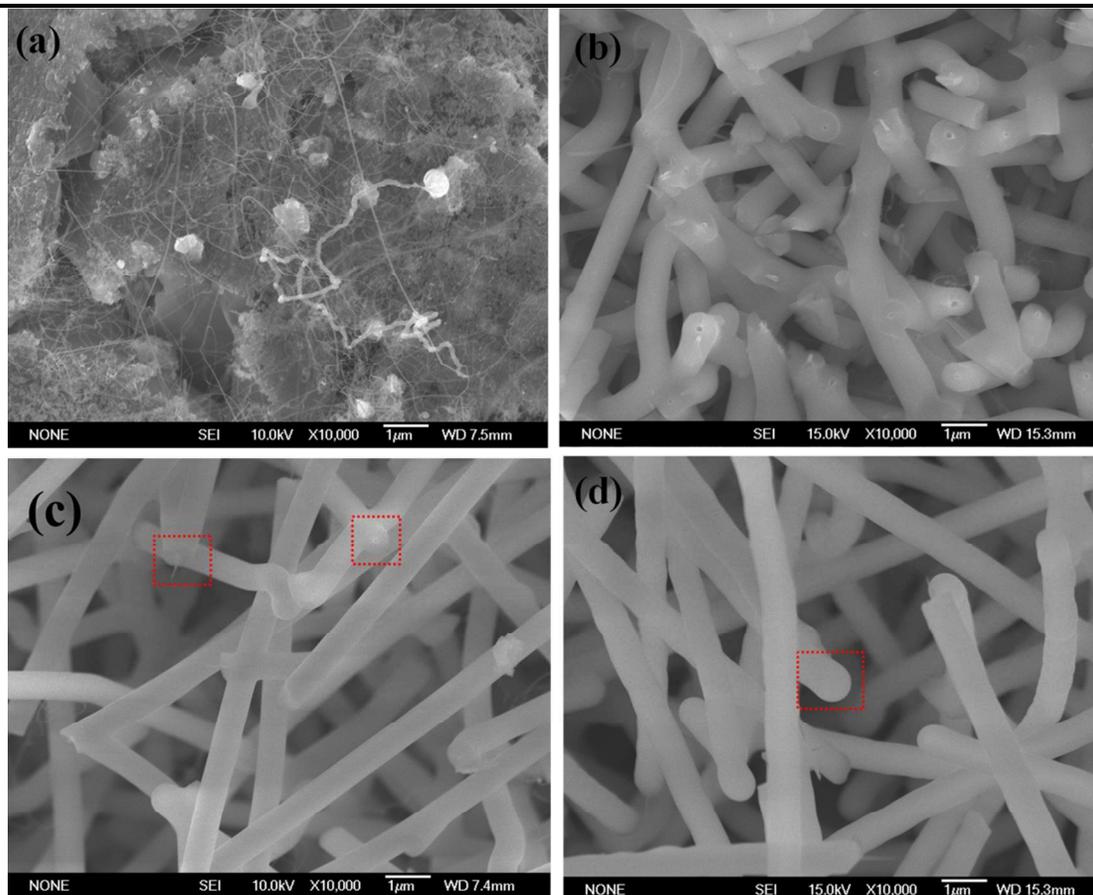
4  
5 which was attributed to the quantum size effects of SiC  
6 nanocables<sup>20</sup>.

7 PL properties of SiC nanostructures depend strongly on  
8 the growth conditions, microstructure, and morphology. The  
9 wide PL spectrum SiC@CNT coaxial nanocable may be  
10 attributed to the existence of the special rough core-shell  
11 interface, the quantum confinement effect and the  
12 morphology effects.<sup>21</sup> However, the detailed explaining for  
13 photoluminescence in SiC@CNT coaxial nanocable is yet

14 unknown, and further study is necessary to be continued.  
15 The intensive blue-green emission properties of the  
16 SiC@CNT nanocable are of significant interest for their  
17 potential blue-green emitting device applications.

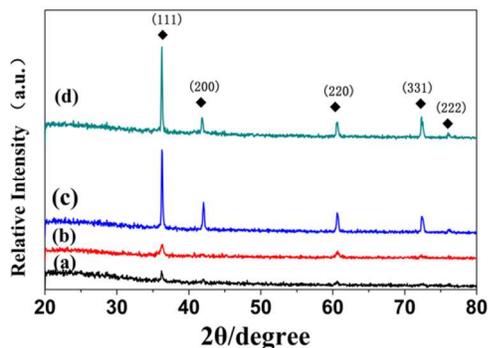
### 18 3.3 Growth mechanism

19 To investigate the formation mechanism of SiC@CNTs  
20 coaxial nanocable, temperature-dependent growth was  
21 investigated by carbothermal reaction at 1370°C(a),  
22 1400°C(b), 1450°C(c) , 1480°C(d) for 6h in Fig. 5. When  
23 the reaction temperature was 1370°C, it was found that only  
24 a few SiC nanowires were obtained, as shown in Fig. 5(a).  
25 There still existed a large amount of CNTs still maintain the  
26 original state after reaction. For the products obtained at  
27 1400°C, cracked nanocable structures can be clearly seen in  
28 Fig. 5 (b), and were densely populated on the Si substrate.  
29 With the growth temperature increased further to 1450°C,  
30 similar nanocable structures marked by dotted red rectangle  
31 in Fig. 5(c) were again seen. Large amount of bent and  
32 intertwined CNTs converted to straight and relatively  
33 decentralized coaxial nanocables structures which are  
34 covered by SiC layer. At the reaction temperature of 1480°C,  
35 nanocables structures were almost disappeared and replaced  
36 by solid rod-like structure marked by dotted red rectangle in  
37 Fig. 5(d), revealing that higher temperature will accelerate  
38 the SiC nanorods growth and increase the yield of SiC



40  
41 **Fig. 5** SEM images of SiC@CNTs coaxial nanocables prepared at different temperatures: (a)1370°C, (b)1400°C, (c)1450°C,  
42 (d)1480°C for 6h.

44

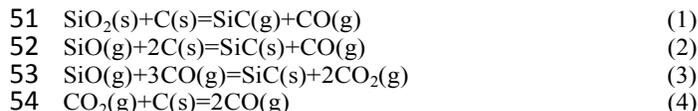


1  
2 **Fig. 6** Representative XRD patterns of SiC@CNTs coaxial  
3 nanocables prepared at different temperatures: (a)1370°C,  
4 (b)1400°C, (c)1450°C, (d)1480°C for 6h.  
5

6 nanorods. The diffraction peaks intensity of the XRD patterns  
7 presented in Fig. 6 were gradually enhanced and the diffraction  
8 peaks became sharper with increasing the reaction temperature.  
9 Along with the XRD and SEM analysis, it suggests that the  
10 structure evolution of samples can occur when the temperature is  
11 increased.

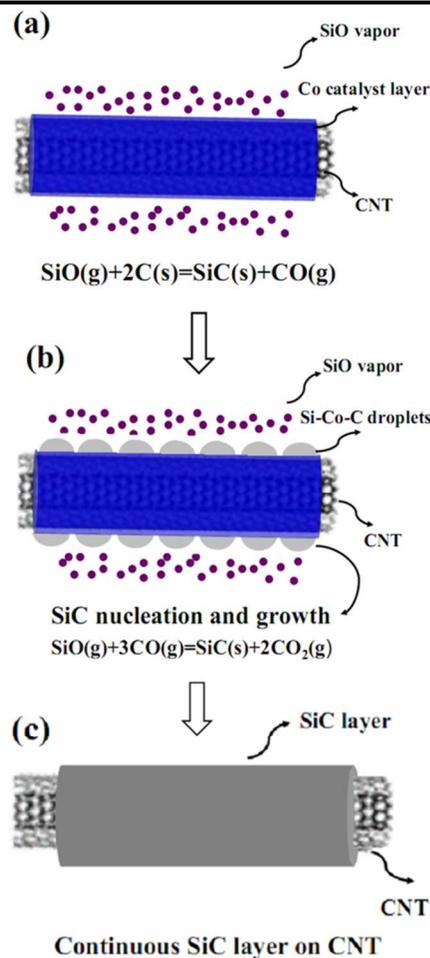
12 Although the detailed process of nanocable to nanorod  
13 conversion is not fully understood at the moment, the above  
14 mentioned results surely indicate that SiC@CNT  
15 nanocables have been directly formed on the CNT skeleton.  
16 CNTs not only serve as carbon source but also act as the  
17 templates for the nanocable growth. A high density of  
18 nanocables is observed on the Si substrate by introducing  
19 the Co catalyst in the growth system. Interestingly, the  
20 nanocables are not achieved with Ni catalyst under the same  
21 conditions (as shown in Fig. 1S). Therefore, we speculate  
22 the existence of Co plays an important role in the growth of  
23 the nanocables. In a general, it is difficult for SiC to  
24 nucleate on CNTs due to the inert graphene surface and  
25 small diameter of CNTs<sup>22</sup>. However, the nanoscale Co  
26 droplets can be deposited on the surface of the carbon  
27 nanotubes to produce a catalyst layer, which can induce the  
28 SiC nanostructures to grow on the CNTs because of high  
29 catalytic activity of Co<sup>23</sup>.

30 According to the above-mentioned results, the reasonable  
31 growth process of SiC@CNTs nanocable was illustrated in Fig. 7.  
32 At the first stage, the SiO vapor was obtained by carbothermal  
33 reduction of silica xerogels by reaction (1). In the nucleation  
34 stage, nanosize liquid Si-Co-C droplets are formed by the  
35 reaction of the SiO vapors and the carbon atoms in the outer  
36 layers of surviving CNTs with the Co catalyst. After Co is  
37 sufficiently saturated with the Si and C, SiC crystallites formed  
38 by reaction (2) served as nucleus as shown in Fig. 7 (a) which  
39 leads to SiC layer growth. However, reaction (2) did not  
40 ensure the continuous growth of SiC nanolayer. Once the  
41 nanotube was covered with SiC nucleus, the inner CNT  
42 would be isolated by the grown SiC layer from the flowing  
43 fresh air and keep inner layer intact. Nevertheless,  
44 nanocables may thicken if an epitaxial growth on the  
45 surface of as-grown SiC layer results from the reaction  
46 between SiO and CO gas by-products of reaction (3) in  
47 Fig.7(b). The generated CO<sub>2</sub> gas from reaction (3) might react  
48 with still existing carbon nanotubes. Thus, Reaction (1) and  
49 reaction (4) can provide CO gas source and have a positive  
50 feedback characteristic for reaction (3).



55  
56 Layer growth is a general phenomenon associated with  
57 supersaturation<sup>25</sup>. SiC was formed layer by layer via surface  
58 diffusion of Si atoms and C atoms on the surface of carbon  
59 nanotube.<sup>24</sup>As a result, SiC layer continued to grow along the  
60 length of the CNT to form nanocable structure (Fig.7 (c)). CNTs  
61 acted as a template to constrain and determined the shape  
62 morphology of the final products. Along with SiC out layer  
63 continuous grown on CNT, SiC@CNT nanocable became  
64 relatively straight which is obviously different from the starting  
65 curved and twisted carbon nanotubes. With the increase of  
66 temperature, the flexibility of carbon nanotubes enhances, and  
67 relatively straight which is obviously different from the starting  
68 consequently weakens the influence that results from the  
69 restriction of the bending footpath which provides carbon  
70 atoms<sup>26</sup>. Therefore, SiC@CNT nanocables grow straight at a  
71 high reaction temperature.

72 The coaxial nanocable structure was only a transient state  
73 morphology. This kind of one-dimensional growth process  
74



75  
76 **Fig. 7** Schematic illustration of a SiC@CNTs coaxial  
77 nanocable: (a) SiO vapor reaction of carbon atoms. (b)  
78 Formation of SiC nucleation on the CNT. (c) Formation of  
79 SiC layer on CNT.

1 continued up to the depletion of carbon atoms available in CNTs  
 2 by the reaction with silicon atoms at higher temperatures. Higher  
 3 reaction temperature may make the carbon atoms of CNTs  
 4 become so active that they can more easily react with SiO<sub>2</sub> to  
 5 produce supersaturated SiO and CO vapors. Thus, the final  
 6 product became straight solid SiC nanorod. Therefore, we  
 7 propose that the high growth temperature and carbon source play  
 8 a key factor for preparing the SiC nanocable and nanorod.

## 9 Conclusions

10 In summary, novel SiC@CNT coaxial nanocables were  
 11 designed and synthesized via chemical vapor deposition  
 12 (CVD) reaction by using carbothermal reduction of silica  
 13 xerogels and CNTs. The investigations of SiC nanostructures  
 14 have been performed at the temperature range between  
 15 1370°C and 1480°C, and the as-prepared products have  
 16 been characterized with XRD, SEM, TEM, Raman and PL  
 17 spectra. The growth of the SiC@CNT nanocable is  
 18 attributed to the reaction of SiO with CO on excess CNT  
 19 surface assisted by Co catalyst. The SiC outer layer is  
 20 continuous and uniform on CNT template. Such carbon  
 21 related core-shell semiconductor heterostructure would be  
 22 expected as potential building blocks in nanodevices.

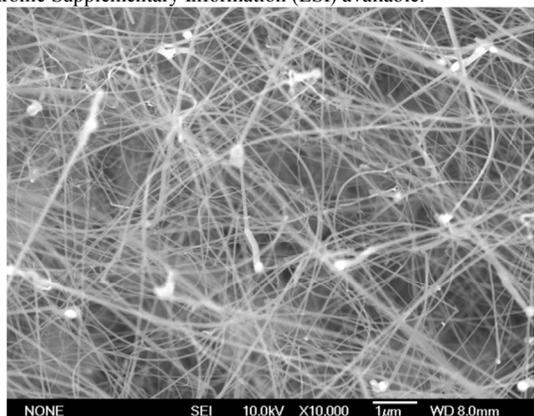
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## 34 Notes and references

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47 Electronic Supplementary Information (ESI) available:



49

50 Fig. 1S The SEM image of SiC nanowires on Si substrate by  
 51 using Ni(NO<sub>3</sub>)<sub>2</sub> (0.04M) as catalyst prepared at 1450°C for 6h

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Novel one-dimensional SiC@carbon nanotube (CNT) coaxial nanocables have been successfully fabricated by using a carbothermal, chemical vapor deposition method.

