# CrystEngComm

# Accepted Manuscript



This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this Accepted Manuscript with the edited and formatted Advance Article as soon as it is available.

You can find more information about *Accepted Manuscripts* in the **Information for Authors**.

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard <u>Terms & Conditions</u> and the <u>Ethical guidelines</u> still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.



www.rsc.org/crystengcomm

# Table of contents entry



15

The h-WO<sub>3</sub>/a-WO<sub>x</sub> core/shell heterostructures combine the assets of the constituent phases, and exhibit highly improved and balanced electrochromic properties.

# Facile Synthesis of One-Dimensional Crystalline/Amorphous Tungsten Oxide Core/Shell Heterostructures with Balanced Electrochromic Properties

Yung-Chiun Her\* and Chia-Chun Chang

Received (in XXX, XXX) Xth XXXXXXXX 201X, Accepted Xth XXXXXXXX 201X First published on the web Xth XXXXXXXX 201X DOI: 10.1039/b000000x

One-dimensional (1D) crystalline/amorphous WO<sub>3</sub> core-shell heterostructures were synthesized <sup>10</sup> by a simple, two-step hydrothermal process. Single-crystalline hexagonal WO<sub>3</sub> (*h*-WO<sub>3</sub>) nanorod cores with diameters of about 200 nm and lengths of 6-7  $\mu$ m were obtained in the first step. Amorphous WO<sub>x</sub> (*a*-WO<sub>x</sub>) shells with thicknesses ranging from 8 to 40 nm were grown on the *h*-WO<sub>3</sub> cores in the second step. The electrochromic properties of the devices based on the *h*-WO<sub>3</sub>/*a*-WO<sub>x</sub> core-shell heterostructures were measured and compared with those based on the bare *a*-WO<sub>x</sub>

<sup>15</sup> and *h*-WO<sub>3</sub> nanorods. The optical modulation of coloration/bleaching at  $\lambda = 550$  nm, is measured to be 44% after coloration at -3.0 V for 180 s and bleaching at +2.0 V for 90 s. The coloration and bleaching times are found to be 41 s and 6 s, respectively, and the coloration efficiency is 82 cm<sup>2</sup>/C. After cyclic stability tests for 1000 cycles, the transmittance changes comparing with the initial stage at the colored and bleached states are only 2.6 and 3.4 %, respectively. It appears that

 $_{20}$  the *h*-WO<sub>3</sub>/*a*-WO<sub>x</sub> core/shell heterostructures combine the assets of the constituent phases, and exhibit highly improved and balanced electrochromic properties.

# 1. Introduction

Since the discovery of the electrochromic effect (EC) in transition metal oxides in the mid-1960s,<sup>1,2</sup> tungsten oxide (WO<sub>3</sub>) <sup>25</sup> has emerged as the most promising electrochromic material for various electrochromic applications, such as energy-saving smart windows, antiglare mirrors, and high contrast displays.<sup>3</sup> Generally, electrochromism can be defined as the electrochemical generation of color in accompaniment with an electron-transfer

- <sup>30</sup> reaction,<sup>4</sup> and electrochromic materials can be reversibly and persistently switched between two optical states (coloration and bleaching) by applying an external voltage. When a negative electric field is applied, small cations such as H<sup>+</sup> and Li<sup>+</sup> in the liquid or solid electrolyte can be intercalated into WO<sub>3</sub> film to
- <sup>35</sup> reduce WO<sub>3</sub> to  $M_xWO_3$  (M = H<sup>+</sup>, Li<sup>+</sup>) with blue color. Under a positive electric field, the reduced  $M_xWO_3$  can be oxidized and return to original transparent WO<sub>3</sub>.<sup>3</sup> The electrochromic performance of WO<sub>3</sub>, in terms of optical modulation, coloration/ bleaching time, coloration efficiency, and cyclic stability,
- <sup>40</sup> strongly depends on its nature and structure. In the past decades, most of the studies have been focusing on amorphous WO<sub>3</sub> (*a*-WO<sub>3</sub>) thin films due to their fast coloration/bleaching response and high coloration efficiency.<sup>5-7</sup> However, *a*-WO<sub>3</sub> thin films also suffer from poor electrochromic stability due to their poor <sup>45</sup> chemical and structural stabilities. In contrast, crystalline WO<sub>3</sub> (*c*-
- <sup>45</sup> Chemical and structural stabilities. In contrast, crystalline wO<sub>3</sub> (*c*-WO<sub>3</sub>) thin films are much more stable due to their denser structure and slower dissolution rate in electrolytes, yet exhibit the drawbacks of relatively lower charge density, poor coloration efficiency, and slower switching response.<sup>8-10</sup> Therefore, <sup>50</sup> developing an electrochromic material with balanced

electrochromic properties has become a major target pursued by researchers. Antonaia et al.11 proposed an amorphous/crystalline WO<sub>3</sub> double layer and reported that this double layer shows a faster coloration response and a higher transmittance asymptotic 55 value for the bleaching phase than the amorphous or crystalline single layer. The improvements of response time, coloration efficiency, and electrochromic stability were also observed in various nanocrystalline and nanoporous crystalline WO<sub>3</sub> films.<sup>5,8,12,13</sup> One-dimensional (1D) tungsten oxide 60 nanostructures, such as nanorods, nanowires, and nanotubes, are expected to be able to further improve the performance of EC devices by increasing the active surfaces in the electrochromic process due to their large specific surface areas. Recently, 1D WOx nanostructures with various crystalline structures have been 65 synthesized using physical and chemical approaches, and the integrated electrochromic properties of the assembled WO<sub>x</sub> nanostructure films were also reported.<sup>10,14-16</sup> Liao et al.<sup>14</sup> synthesized monoclinic W18O49 nanowires by a thermal evaporation process and prepared an EC device adopting the 70 W18O49 nanowires as the electrochromic layer. The as-fabricated EC device demonstrated a maximum optical contrast of 34.5% at  $\lambda = 700$  nm, and could be cycled up to 1000 cycles. Gu *et al.*<sup>15</sup> prepared hexagonal WO<sub>3</sub> (h-WO<sub>3</sub>) nanowires by a simple hydrothermal method without any templates and catalysts. The  $_{75}$  as-prepared *h*-WO<sub>3</sub> nanowires when used as the anodic material of a Li-ion battery delivered charge and discharge capacities of 165 and 218 mAhg<sup>-1</sup>, respectively, implying large promotion of reaction reversibility of Li intercalation/extraction in h-WO3 nanowires. Wang et al.<sup>10</sup> and Szilágyi et al.<sup>16</sup> also synthesized <sup>80</sup> uniform crystalline WO<sub>3</sub> nanorods using a hydrothermal process, and demonstrated that the as-synthesized crystalline WO3

nanorods exhibit high electrochromic stability and comparable color display, contrast, and coloration/bleaching response.

- Although 1D crystalline WO<sub>3</sub> nanostructures demonstrated improvement in the electrochromic stability, further 5 improvements in response time and coloration efficiency of EC devices are still a big challenge. Park et al.<sup>17</sup> developed a sub-5 nm thick tungsten oxide nanorod-based electrochromic system by a colloidal approach and achieved highly improved response time and color efficiency. It is believed that well-defined nm-thick
- 10 crystalline metal oxide materials, with lots of amorphous surface areas should be good candidates for further improving the performance of EC devices. Accordingly, 1D nanoscale heterostructures comprised of amorphous and crystalline WO<sub>3</sub> could be expected to demonstrate better electrochromic
- 15 performance than reported 1D crystalline WO<sub>3</sub> nanostructures. In this work, we synthesized 1D crystalline/amorphous WO<sub>3</sub> coreshell heterostructures using a simple, two-step hydrothermal method. EC devices based on the as-grown 1D crystalline/ amorphous WO<sub>3</sub> core-shell heterostructures were fabricated, and
- 20 their electrochromic properties were measured and compared with those obtained from devices based on bare crystalline or amorphous WO3 nanorods. We also discussed the possible reasons behind the highly improved and balanced electrochromic properties of our 1D crystalline/amorphous WO3 core/shell
- 25 heterostructures.

#### Experimental 2.

1D crystalline/amorphous WO<sub>3</sub> core-shell heterostructures were synthesized using a simple, two-step hydrothermal process. 30 For the crystalline WO<sub>3</sub> core nanostructures, an aqueous solution was prepared by dissolving 0.2 g of Na<sub>2</sub>WO<sub>4</sub> and 0.1 g of Na<sub>2</sub>SO<sub>4</sub> in 7 ml of deionized water, and then 1M HCl was slowly dropped into the solution with stirring until the pH value of the solution reached 2.0. The precursor solution, contained in a Teflon-lined

- 35 stainless steel autoclave, was kept at a constant temperature of 180 °C for 24h without stirring or shaking. Afterwards, the autoclave was cooled to room temperature, and the resultant products were subsequently washed with deionized water and dried naturally in the air. For the thin amorphous  $WO_x$  shells, the
- 40 preparation of the precursor solution was similar to that for single-crystalline WO<sub>3</sub> core nanostructures, except that 1.0 g of Na<sub>2</sub>WO<sub>4</sub> and 0.8 g of Na<sub>2</sub>SO<sub>4</sub> were dissolved in 20 ml of deionized water. The precursor solution, mixed with 0.01 g of previously synthesized crystalline WO<sub>3</sub> core nanostructures, was
- 45 introduced in a closed Pyrex bottle with an autoclavable screw cap. The bottle was then bathed in 90 °C water, heated and stirred by a heating magnetic stirrer for 6 h. The resultant products were washed with deionized water and dried naturally in the air. For the purpose of comparison, amorphous WO<sub>x</sub> nanorods were also
- 50 prepared by a similar hydrothermal process. The precursor solution, contained in a Teflon-lined stainless steel autoclave was the same as that for amorphous  $WO_x$  shells. The autoclave was heated to 110 °C for 24h. Typical FESEM and TEM bright-field images of the as-grown amorphous WO<sub>r</sub> nanorods are shown in
- 55 figures S1(a) and (b). The highly dispersed selected area electron diffraction (SAED) patterns confirm that the as-grown nanorods are of a completely amorphous state. For the fabrication of EC

devices, bare amorphous and crystalline WO3 nanorods, as well as crystalline/amorphous WO3 core-shell heterostructures were 60 dispersed in methanol, respectively, to form a suspension with a WO3 concentration of 0.03 g/l. Then 0.5 ml of suspension was dropped onto a clean ITO glass with a bare area of 1 cm × 1 cm bonded by Scotch tapes, and dried at room temperature to form a transparent film. A mixture of 1 M LiClO<sub>4</sub> with 1% UV resin was 65 then dropped onto the WO<sub>3</sub> transparent film on the ITO glass, to act as a pure ion conductor (i.e. electrolyte). The half-cell was covered by another ITO glass and UV cured for 12h to harden the polymer electrolyte. Epoxy resin was used to seal the edge of the EC device to provide more mechanical strength, and reduce the 70 absorption of moisture from the atmosphere. The morphologies and crystalline structures of the as-synthesized products were examined and characterized using field-emission scanning electron microscope (FESEM, JSM-6700), X-ray powder diffractometer (PANalytical X'Pert PRO) using Cu  $K_{\alpha}$  ( $\lambda$  = 75 0.15405 nm) radiation, and high-resolution transmission electron microscope (HRTEM, JEM-2100) using an acceleration voltage of 200 kV. The electrochemical measurements were carried out by a three-electrode system using 1M LiClO<sub>4</sub> with 1% UV resin as the supporting electrolyte, platinum (Pt) wire as the counter 80 electrode and saturated calomel electrode (SCE) as the reference electrode. In situ coloration/bleaching switching characteristics of the as-fabricated EC devices were recorded by monitoring the variation in transmittance at a wavelength of 550 nm using a SHIMADZU UV-VIS spectrophotometer (UV-1601PC). The <sup>85</sup> coloration and bleaching potentials were kept constant at -3.0 V and +1.5 V, respectively, using a programmable potentiostat. It should be noted that the transmittance measurements were conducted by inserting the EC devices into the standard sample

compartment and no reference sample was inserted into the

## 3. Results and Discussion

90 reference side.

Fig. 1(a) shows a typical FESEM image of the as-synthesized products prepared in the first step. Large-scale and uniform rodlike nanostructures (nanorods) with diameters of 150-200 nm and 95 lengths of 6-7 µm were obtained. As we closely examined the morphologies of the as-synthesized nanorods (shown in the inset of Fig. 1(a)), it can be seen that these nanorods have flat tip ends and are enclosed by numerous nanostrips. The representative XRD diffraction patterns of the nanorods are shown in Fig. 1(b). 100 All of the diffraction peaks can be readily indexed to the hexagonal WO<sub>3</sub> (*h*-WO<sub>3</sub>) phase with lattice constants of a = 7.32Å and c = 7.67 Å, which agrees well with the reported value (a =7.324 Å, c = 7.668 Å) from the JCPDS card (No. 85-2460). No other tungsten oxide phases and tungsten oxide hydrates 105 (WO<sub>3</sub>·xH<sub>2</sub>O) were detected, indicating that pure *h*-WO<sub>3</sub> nanorods were obtained in the first step. Fig. 1(c) shows a representative TEM bright-field image and the SAED patterns of a single h-WO<sub>3</sub> nanorod. It is clear that the as-synthesized h-WO<sub>3</sub> nanorod has a fairly uniform diameter along almost its entire length. The 110 SAED patterns can also be perfectly indexed to h-WO<sub>3</sub>, and the growth direction of the nanorod is determined to be along the [0001] direction (c-axis). Regular diffraction spots support that the as-synthesized h-WO<sub>3</sub> nanorods are single crystalline. It is known that WO<sub>3</sub> can crystallize into several crystalline structures.



- 5 Fig. 1 (a) FESEM image and (b) XRD patterns of the as-synthesized products prepared in the first step, and (c) TEM bright-field image and SAED patterns of a single nanorod.
- Among them, hexagonal WO<sub>3</sub> is of particular interest for <sup>10</sup> electrochromic applications, because its tunnel structure makes it act as an ideal intercalation host for obtaining hexagonal tungsten bronzes  $M_xWO_3$ .<sup>15</sup> Normally *h*-WO<sub>3</sub> is a metastable phase, and will transform into monoclinic WO<sub>3</sub> phase at higher temperatures. The formation of the hexagonal WO<sub>3</sub> nanorods in
- <sup>15</sup> this work can be attributed to the presence of Na<sup>+</sup> ions, which stabilize the hexagonal structure by locating in the hexagonal channels to block the thermodynamically favored hexagonal-tomonoclinic transformation.<sup>16</sup> It is also believed that the capping action of Na<sup>+</sup> ions is responsible for the preferential growth of *h*-<sup>20</sup> WO<sub>3</sub> nanorods along the *c*-axis.<sup>16</sup>

After the synthesis of crystalline h-WO<sub>3</sub> nanorod cores, amorphous WO<sub>x</sub> shells were subsequently grown onto the cores



Fig. 2 (a) FE-SEM image of the as-grown crystalline/amorphous  $WO_3$  core/shell nanorods, (b) TEM and (c) HRTEM images of one crystalline/amorphous  $WO_3$  core/shell nanorod.

by another hydrothermal process in the second step. Fig. 2(a) shows a typical FESEM image of the resultant h-WO<sub>3</sub>/a-WO<sub>x</sub> core/shell nanostructures. It can be seen that the morphologies of the nanostructures remained a rod-like shape, indicating that <sup>35</sup> conformal a-WO<sub>x</sub> shells have been grown on the surfaces of the h-WO<sub>3</sub> nanorods. Figs. 2(b) and 2(c) show the representative TEM and HRTEM images of a single h-WO<sub>3</sub>/a-WO<sub>x</sub> core/shell nanorod, respectively. Clearly, a thin layer of a-WO<sub>x</sub> shell with a thickness of about 8 nm, has been uniformly grown on the single-<sup>40</sup> crystalline h-WO<sub>3</sub> cored nanorod to form the crystalline/

amorphous WO<sub>3</sub> core/shell heterostructure. The lattice spacing of 0.39 nm of adjacent lattice planes in the h-WO<sub>3</sub> cored nanorod,

corresponds to the *d*-spacing of (0001) planes. This confirms again that the *h*-WO<sub>3</sub> nanorods grow along the *c*-axis. It should be noted that the thickness of the *a*-WO<sub>x</sub> shell can be controlled by the synthesis time in the second step. As the synthesis time <sup>5</sup> was increased to 12 and 24 h, the average thickness of the *a*-WO<sub>x</sub>

shell increased to ~15 and 40 nm, respectively.

As mentioned earlier, the performance of an EC device is usually evaluated by the metrics of optical modulation, coloration /bleaching response time, coloration efficiency, as well as cyclic

- <sup>10</sup> stability. Fig. 3 shows the in situ coloration/ bleaching switching responses of the EC devices based on the *a*-WO<sub>x</sub> and crystalline *h*-WO<sub>3</sub> nanorods, and *h*-WO<sub>3</sub>/*a*-WO<sub>x</sub> core/shell heterostructures. by applying a coloration voltage of -3.0 V for 180 s and a bleaching voltage of +1.5 V for 90 s. It can be seen that the <sup>15</sup> transmittance at  $\lambda = 550$  nm for *a*-WO<sub>x</sub> and crystalline *h*-WO<sub>3</sub>
- nanorods, and h-WO<sub>3</sub>/a-WO<sub>x</sub> core/shell heterostructures dropped from 77, 80, and 78 % to 26, 45, and 32 %, respectively, after applying a coloration voltage of -3.0 V. The transmittance then returned to 71, 71, and 76 %, respectively, after applying a
- <sup>20</sup> bleaching voltage of +1.5 V. The *a*-WO<sub>x</sub> nanorods could reach the deepest coloration level, while the *h*-WO<sub>3</sub>/*a*-WO<sub>x</sub> core/shell heterostructures exhibited the highest transmittance asymptotic value for the bleaching phase. Moreover, the EC devices based on *a*-WO<sub>x</sub> nanorods and *h*-WO<sub>3</sub>/*a*-WO<sub>x</sub> core/shell heterostructures
- $_{25}$  exhibited similar optical modulations of 45 and 44 %, respectively, which are much higher than that of 25% for the device based on the crystalline *h*-WO<sub>3</sub> nanorods. Here, the coloration and bleaching times were defined as the time required for 70% changes in optical modulation. For coloration, the
- <sup>30</sup> response time for *h*-WO<sub>3</sub>/*a*-WO<sub>x</sub> core/shell heterostructures (41 s) is slightly longer than that for *a*-WO<sub>x</sub> nanorods (39 s), but much shorter than crystalline *h*-WO<sub>3</sub> nanorods (65 s). For bleaching, all of the devices demonstrated a fast response time of only 6 s. The coloring response times were much longer than bleaching
- <sup>35</sup> response times, because the conductivity of bleached-state WO<sub>3</sub> is slower than that of colored-state LiWO<sub>3</sub>.<sup>18</sup> The optical modulation and coloration and bleaching response times of *h*-WO<sub>3</sub>/*a*-WO<sub>x</sub> core/shell heterostructures are similar to those of *a*-WO<sub>x</sub> nanorods, but are much superior to those of crystalline *h*-<sup>40</sup> WO<sub>3</sub> nanorods.

It is well-known that the coloration/bleaching switching of  $WO_3$  in  $Li^+$  electrolytes, is due to the intercalation/deintercalation of electrons and charge-balancing  $Li^+$  ions. In order to explore the  $Li^+$  ion storage capacities of *a*-WO<sub>x</sub> nanorods, crystalline *h*-WO<sub>3</sub>

- <sup>45</sup> nanorods, and h-WO<sub>3</sub>/a-WO<sub>x</sub> core/shell heterostructures, chronoamperometry measurements were carried out, and the current density responses with time were recorded when a cycling pulse potential was applied between -3.0 V and +1.5 V, as shown in Fig. 4(a). The integration of current density with time extracted <sup>50</sup> from coloration parts, representing cathodic charge density or ion
- <sup>50</sup> from coloration parts, representing cathodic charge density of ion storage capacity, is indicative of the amount of  $\text{Li}^+$  ions incorporated into the electrochromic layer during coloration. The cathodic charge density for maximum contrast for *h*-WO<sub>3</sub>/*a*-WO<sub>x</sub> core/shell heterostructures was calculated to be about 8.0 mC cm<sup>-</sup>
- <sup>55 2</sup>, which is slightly higher than that for crystalline *h*-WO<sub>3</sub> nanorods (7.7 mC cm<sup>-2</sup>), but is much lower than that for *a*-WO<sub>x</sub> nanorods (9.5 mC cm<sup>-2</sup>). For practical EC applications, lower charge insertion and extraction usually implies that a long-term



**Fig. 3** In situ coloration/bleaching switching responses of the EC devices based on a-WO<sub>x</sub> and h-WO<sub>3</sub> nanorods and h-WO<sub>3</sub>/a-WO<sub>x</sub> core/shell heterostructures by applying a coloration voltage of -3.0 V for 180 s and a bleaching voltage of +1.5 V for 90 s.



**Fig. 4** (a) Chronoamperometry measurement for voltage steps between - 3.0 V and +1.5 V, and (b) variation of in situ OD with charge density for the EC devices based on *a*-WO<sub>x</sub> and *h*-WO<sub>3</sub> nanorods and *h*-WO<sub>3</sub>/*a*-WO<sub>x</sub> <sup>100</sup> core/shell heterostructures.

cyclability can be achieved in a real device during operation.<sup>19</sup> Accordingly, we expect that EC device based on h-WO<sub>3</sub>/a-WO<sub>x</sub> core/shell heterostructures, to exhibit long-term cyclability that are comparable to device based on crystalline h-WO<sub>3</sub> nanorods, <sup>5</sup> but are superior to device based on a-WO<sub>x</sub> nanorods.

Another important parameter to characterize the performance of an electrochromic material, is its coloration efficiency (CE), which can be expressed as:

10 
$$CE = \Delta OD/Q = \log(T_b/T_c)/Q$$
 [1]

where  $\Delta OD$  is the change in optical density (OD), Q is the charge density (mC cm<sup>-2</sup>), and T<sub>b</sub> and T<sub>c</sub> are the transmittance in bleached and colored states, respectively. High CE means that <sup>15</sup> large optical modulation can be readily achieved by small charge

- insertion or extraction. Fig. 4(b) shows the plot of  $\Delta$ OD versus charge density, where OD was measured at a wavelength of 550 nm. From the slopes of the lines fitting to the curves, the CE values of the devices made from *a*-WO<sub>x</sub> nanorods, *h*-WO<sub>3</sub>/*a*-WO<sub>x</sub>
- <sup>20</sup> core/shell heterostructures, and crystalline *h*-WO<sub>3</sub> nanorods were determined to be 102, 82, and 43 cm<sup>2</sup>/C, respectively. It indicates that the *h*-WO<sub>3</sub>/*a*-WO<sub>x</sub> core/shell heterostructures can preserve a relatively high CE, which is about 80% of the *a*-WO<sub>x</sub> nanorods, and almost twice that of the crystalline *h*-WO<sub>3</sub> nanorods. It
- <sup>25</sup> should be noted that the EC values for our *a*-WO<sub>x</sub> nanorods and *h*-WO<sub>3</sub>/*a*-WO<sub>x</sub> core/shell heterostructures are easily comparable with the state-of-the-art of about 84 cm<sup>2</sup>/C, for nanostructured WO<sub>3</sub> films transformed from sol-gel derived amorphous WO<sub>3</sub> films reported by Deepa *et al.*<sup>5</sup>
- <sup>30</sup> Based on the experimental results, it can be confirmed that *h*-WO<sub>3</sub>/*a*-WO<sub>x</sub> core/shell heterostructures inherit all the advantageous electrochromic properties from *a*-WO<sub>x</sub>, such as a large optical modulation, short coloration and bleaching response times, and high coloration efficiency. However, *a*-
- $_{35}$  WO<sub>x</sub> films synthesized from wet-chemical methods usually suffer from poor long-term durability due to their poor chemical and structural stabilities. To evaluate the capability of our *h*-WO<sub>3</sub>/*a*-WO<sub>x</sub> core/shell heterostructures for use in practical EC device, a series of cyclic stability tests were
- <sup>40</sup> conducted. Fig. 5 shows the transmittance variations as a function of coloration-bleaching cycle for EC devices based on a-WO<sub>x</sub> and h-WO<sub>3</sub> nanorods, and h-WO<sub>3</sub>/a-WO<sub>x</sub> core/shell heterostructures. It can be seen that for all three types of EC devices, the transmittance at the bleached state showed abrupt
- <sup>45</sup> drops after 10 cycles, and then decreased gradually, while the transmittance at colored state increased gradually. After 1000 cycles, the transmittance deviations for the h-WO<sub>3</sub>/a-WO<sub>x</sub> core/shell heterostructures at bleached and colored states, compared with values measured after 10 cycles, were found to be 2.4%
- so be 2.6 and 3.4%, respectively. This resulted in a 6.0% degradation of the optical modulation. Meanwhile, the transmittance deviations at bleached and colored states, and degradation of optical modulation were found to be 6.8, 6.3, and 13.1%, respectively, for a-WO<sub>x</sub> nanorods, and 3.5, 1.4,
- ss and 4.9%, respectively, for *h*-WO<sub>3</sub> nanorods. It is evident that h-WO<sub>3</sub>/*a*-WO<sub>x</sub> core/shell heterostructures also show comparable electrochromic stability with crystalline *h*-WO<sub>3</sub>



**Fig. 5** Transmittance variations as a function of coloration-bleaching cycle for the EC devices based on a-WO<sub>x</sub> and h-WO<sub>3</sub> nanorods and h-WO<sub>3</sub>/a-WO<sub>x</sub> core/shell heterostructures.

<sup>80</sup> nanorods, which agrees with the previous prediction based on cathodic charge density for maximum contrast.

Table 1 summaries the optical modulations, cathodic charge densities, coloration and bleaching times, coloration efficiencies, and degradation of optical modulation after 1000 cycles for EC <sup>85</sup> devices based on *a*-WO<sub>x</sub> and *h*-WO<sub>3</sub> nanorods and *h*-WO<sub>3</sub>/*a*-WO<sub>x</sub> core/shell heterostructures. Without a doubt, *h*-WO<sub>3</sub>/*a*-WO<sub>x</sub> core/shell heterostructures combine assets of the constituent phases, which are large optical modulation, fast coloration/ bleaching responses, and high coloration efficiency from *a*-WO<sub>x</sub> <sup>90</sup> and good cyclic stability from crystalline *h*-WO<sub>3</sub>.

It has been reported that the electrochromic coloration of tungsten oxide upon  $H^+$  or  $Li^+$  ion insertion is influenced by crystallinity, microstructure, and oxygen deficiency of the film.<sup>20,21</sup> For the amorphous case, the coloration mechanism 95 resulting from injection of positive ions and electrons is usually attributed to small polaron absorption proposed by Schirmer et al.22 The inserted electrons are localized in the W5+ sites and polarize their surroundings to induce lattice vibrations.<sup>23,24</sup> The inserted ions (M<sup>+</sup>) either lie in the centers of perovskite units, or 100 are chemically bonded with the interstitial oxygen atoms. Consequently, they are spatially separated from the electrons and this spatial separation creats a polaron.<sup>25</sup> The incident photons are absorbed by these small polarons that can hop from one site to another between two adjacent sites of <sup>105</sup> tungsten  $W^{5+}$  and  $W^{6+}$ , where transfer of electrons between sites denoted as *i* and *j* can be schematically written as  $W_i^{5+}$  +  $W_j^{6+}$  + photon  $\rightarrow W_i^{6+}$  +  $W_j^{5+.3}$  For the crystalline case, there is no spatial separation between inserted ions and electrons, and the coloration mechanism is attributed to a Drude-mode-110 like free electron absorption with a behavior very similar to a heavily doped semiconductor with ionized impurities.<sup>3,23</sup> As cations and electrons are inserted into crystalline tungsten oxide films, the electrons enter extended states in the WO<sub>3</sub> band structure and experience scattering by impurities and/or 115 phonons, rather than enter localized states as in amorphous

| <b>Table 1</b> . Summary of the electrochromic properties for the EC devices based on <i>a</i> -WO <sub>x</sub> and <i>h</i> -WO <sub>3</sub> nanorods and <i>h</i> -WO <sub>3</sub> / <i>a</i> -WO <sub>x</sub> core/shell |
|---|
| heterostructures.   |

|   | optical<br>modulations<br>(%) | coloration<br>times<br>(s) | bleaching<br>times<br>(s) | cathodic charge<br>densities<br>(mC/cm <sup>2</sup> ) | coloration<br>efficiencies<br>(cm <sup>2</sup> /C) | degradation of optical<br>modulation after 1000<br>cycles (%) |
|---|-------------------------------|----------------------------|---------------------------|---|--|---|
| <i>a</i> -WO <sub>x</sub> nanorods  | 45                            | 39                         | 6                         | 9.5   | 102  | 13.1  |
| <i>h</i> -WO <sub>3</sub> / <i>a</i> -WO <sub>x</sub> core/shell heterostructures | 44                            | 41                         | 6                         | 8.0   | 82   | 6.0   |
| <i>h</i> -WO <sub>3</sub> nanorods  | 25                            | 65                         | 6                         | 7.7   | 43   | 4.9   |

tungsten oxide. This makes the material slightly metallic with a small increase in absorption across the spectrum, and a slight increase in reflection in the infrared. Therefore, crystalline tungsten oxide films tend to have a smaller degree <sup>10</sup> of color change compared to their amorphous counterparts.<sup>26</sup> This can explain why *a*-WO<sub>x</sub> nanorods exhibit a much higher optical modulation than  $h_2$ WO<sub>x</sub> nanorods. Similar results have

optical modulation than h-WO<sub>3</sub> nanorods. Similar results have been reported by other researchers.<sup>27-29</sup>

- For the case of h-WO<sub>3</sub>/a-WO<sub>x</sub> core/shell heterostructures, the <sup>15</sup> coloration mechanism might be attributed to the combined effect resulted from both small polaron absorption and Drude-like free electron scattering. Fig. 6 schematically illustrates possible working principle of EC device based on h-WO<sub>3</sub>/a-WO<sub>x</sub> core/shell heterostructures. The thicknesses of a-WO<sub>x</sub> shells are
- <sup>20</sup> only about 8 nm, this cannot prevent  $\text{Li}^+$  ions from penetrating into the underlying crystalline *h*-WO<sub>3</sub> cores. It is then reasonable to expect that the insertion of  $\text{Li}^+$  ions and electrons into *h*-WO<sub>3</sub>/*a*-WO<sub>x</sub> core/shell heterostructures will form small polarons in the *a*-WO<sub>x</sub> shells, and free electrons in crystalline *h*-WO<sub>3</sub>
- <sup>25</sup> cores. It is also known that the kinetics of ion intercalation can be provided by a diffusion constant, which depends on ionic species, relative film density, intercalation level, temperature, and so on. In general, Li<sup>+</sup> ion diffusion in amorphous tungsten oxide is much faster than that in crystalline tungsten oxide, and the diffusion
- <sup>30</sup> coefficient of Li<sup>+</sup> ion will drop rapidly as intercalation level increases.<sup>3</sup> Moreover, it is been reported that the volumetric charge density for a fully tinted WO<sub>3</sub> is 68 mC/cm<sup>2</sup>· $\mu$ m,<sup>30</sup> and the extinction coefficient *k* of tinted WO<sub>3</sub> at  $\lambda = 550$  nm increases from 0 to 0.19 when injected charge density increases from 0
- <sup>35</sup> (clear state) to 68 mC/cm<sup>2</sup>· $\mu$ m (fully tinted state).<sup>31</sup> During coloration, the Li<sup>+</sup> intercalation level in *a*-WO<sub>x</sub> shells will increase rapidly once a coloration voltage is applied, and shortly develop into the fully tinted state, while the intercalation level in the crystalline *h*-WO<sub>3</sub> cores will gradually increase. After a short
- <sup>40</sup> time, the volumetric charge density will reach 68 mC/ cm<sup>2</sup>·µm in a-WO<sub>x</sub> shell and then decrease abruptly with distance from a-WO<sub>x</sub>/h-WO<sub>3</sub> interface, as shown in Fig. 6(b). As a result, incident photons will be absorbed mainly by small polarons in a-WO<sub>x</sub> shells and partially by free electrons in crystalline h-WO<sub>3</sub> cores,
- <sup>45</sup> leading to a substantial decrease in transmittance. For bleaching, it is known that the kinetics is governed by a fielddriven space-charge limited diffusion current of counter-ions

in  $M_xWO_3$  next to the electroylte.<sup>4</sup> Since most of the intercalated Li<sup>+</sup> ions are accumulated in *a*-WO<sub>x</sub> shells, Li<sup>+</sup> <sup>50</sup> deintercalation will occur promptly in *h*-WO<sub>3</sub>/*a*-WO<sub>x</sub> core/shell heterostructures once a bleaching voltage is applied, as shown in Fig. 6(d). This leaves a trace amount of Li<sup>+</sup> ions in *a*-WO<sub>x</sub> shells and near *a*-WO<sub>x</sub>/*h*-WO<sub>3</sub> interfaces.

Apparently, the a-WO<sub>x</sub> shells should play a dominant role 55 during coloration and bleaching processes. As our h-WO<sub>3</sub>/a-WO<sub>x</sub> core/shell heterostructures have large specific surface areas, contribution from the *a*-WO<sub>x</sub> shells will be amplified so that the integrated EC device shows similar optical modulation, coloration/bleaching responses, and coloration efficiency to 60 devices based on *a*-WO<sub>x</sub> nanorods. Regarding the durability, it is generally accepted that amorphous tungsten oxides synthesized from wet-chemical methods, suffer from mechanical deterioration and therefore have relatively poor long-term durability. On the other hand, crystalline tungsten oxides with much denser 65 structures have highly improved durability during cycling.<sup>8,18</sup> Recently, Lin et al.32 reported that disordered porous semicrystalline WO<sub>3</sub> films composed of WO<sub>3</sub> crystals surrounded by amorphous WO<sub>3</sub> layers, demonstrate fast switching kinetics and excellent durability. They suggested that the structural 70 modification (i.e. densification and/or crystallization) occurring in WO<sub>3</sub> during extended durability test should be responsible for the improvement in durability. Since the h-WO<sub>3</sub>/a-WO<sub>x</sub> core/ shell heterostructures can be considered to be analogous to their disordered porous semi-crystalline WO<sub>3</sub> films, we believe that a 75 similar structural modification is occurring in a-WOx shells during cyclic stability tests, which is responsible for the good cyclic stability for h-WO<sub>3</sub>/a-WO<sub>x</sub> core/shell heterostructures. Similar enhancements in electrochromic properties have been achieved in electrochromic thin films consisted of monoclinic <sup>80</sup> WO<sub>x</sub> nanorods, after post-treated with ozone exposure and thermal annealing.<sup>33</sup> The improvements in optical modulation, coloration efficiency, switching speed, and charge/discharge reversibility are attributed to an ozone induced surface amorphization, meaning that thin amorphous layers form on ss surfaces of the monoclinic  $WO_x$  nanorods after ozone exposure. The resultant tungsten oxide nanostructures are analogous to our h-WO<sub>3</sub>/a-WO<sub>x</sub> core/shell heterostructures.



Fig. 6 Schematic illustration of possible working principle for the EC devices based on the h-WO<sub>3</sub>/a-WO<sub>x</sub> core/shell heterostructures.

75

# 4. Conclusions

25

One-dimensional h-WO<sub>3</sub>/a-WO<sub>x</sub> core/shell heterostructures have been successfully synthesized using a facile two-step <sup>30</sup> hydrothermal method, and the thickness of the a-WO<sub>x</sub> shell can be controlled by the synthesis time in the second step. The h-WO<sub>3</sub>/a-WO<sub>x</sub> core/shell heterostructures combine the assets of the constituent phases, and exhibit highly improved and balanced electrochromic properties. The large optical modulation, fast

- <sup>35</sup> coloration/bleaching responses, and high coloration efficiency are attributed to the rapid  $Li^+$  ion intercalation/deintercalation through the *a*-WO<sub>x</sub> shells, and high specific surface areas of the nanoscale core/shell heterostructures. While good cyclic stability may be attributed to the structural modification (i.e. densification 40 and/or crystallization) occurring in the *a*-WO<sub>x</sub> shells during
- $_{40}$  and/or crystallization) occurring in the *a*-wO<sub>x</sub> shells during cyclic stability tests.

# Acknowledgement

This work was sponsored mainly by the National Science Council of the Republic of China under Grant No. NSC100-

<sup>45</sup> 2221-E005-046 and supported in part by the Ministry of Education under the ATU plan. The authors also thank Edward Young for reviewing the manuscript.

## Notes and references

- <sup>50</sup> <sup>a</sup>Department of Materials Science and Engineering, National Chung Hsing University, Taichung, Taiwan 40227, R.O.C.
- \* E-mail: ycho@dragon.nchu.edu.tw; Fax: (+886)-4 -22857017 Tel: (+886)-4 -22859112 Ext. 506

- . . . . . .
- 1. S. K. Deb, J. A. Chopoorian, J. Appl. Phys. 1966, 37, 4818.
- 2. S. K. Deb, Appl. Optics 1969, 8 S1, 192.
- 3. C. G. Granqvist, Sol. Energy Mater. Sol. Cells 2000, 60, 201.
- 4. P. M. S. Monk, Crit. Rev. Solid State Mater. Sci. 1999, 24, 193.
- 60 5. M. Deepa, A. G. Joshi, A. K. Srivastava, S. M. Shivaprasad, S. A. Agnihotry, J. Electrochem. Soc. 2006, 153, C365.
  - M. Deepa, T. K. Saxena, D. P. Singh, K. N. Sood, S. A. Agnihotry, *Electrochim. Acta* 2006, 51, 1974.
- A. Subrahmanyam, A. Karuppasamy, Sol. Energy Mater. Sol. Cells
  2007, 91, 266.
  - S. H. Lee, R. Deshpande, P. A. Parilla, K. M. Jones, B. To, A. H. Mahan, A. G. Dillon, *Adv. Mater.* 2006, 18, 763.
  - M. Yang, N. K. Shrestha, P. Schmuki, *Electrochem. Commun.* 2009, 11, 1908.
- 70 10. J. Wang, E. Khoo, P. S. Lee, J. Ma, J. Phys. Chem. C 2008, 112, 14306.
  - A. Antonaia, M. L. Addonizio, C. Minarini, T. Polichetti, M. Vittori-Antisari, *Electrochim. Acta* 2001, 46, 2221.
  - S. Sallard, T. Brezesinski, B. M. Smarsly, J. Phys. Chem. C 2007, 111, 7200.
- M. Deepa, A. K. Srivastava, K. N. Sood, S. A. Agnihotry, Nanotechnology 2006, 17, 26255.
- C.-C. Liao, F.-R. Chen, J.-J. Kai, Sol. Energy Mater. Sol. Cells 2006, 90, 1147.
- 80 15. Z. Gu, H. Li, T. Zhai, W. Yang, Y. Xia, Y. Ma, J. J. Yao, Solid State Chem. 2007, 180, 98.
  - I. M. Szilágyi, J. Madarász, G. Pokol, P. Király, G. Tárkányi, S. Saukko, J. Mizsei, A. L. Tóth, A. Szabó, K. Varga-Josepovits, *Chem. Mater.* 2008, 20, 4116.
- 85 17. S. Y. Park, J. M. Lee, C. Noh, S. U. Son, J. Mater. Chem. 2009, 19, 7959.

- K. S. Ahn, Y. C. Nah, Y. E. Sung, K. Y. Cho, S. S. Shin, J. K. Park, *Appl. Phys. Lett.* 2002, 81, 3930.
- R. Deshpande, S.-H. Lee, A. H. Mahan, P. A. Parilla, K. M. Jones, A. G. Norman, B. To, J. L. Blackburn, S. Mitra, A. C. Dillon, *Solid State Ionic* 2007, **178**, 895.
- 20. H. Zheng, J. Z. Ou, M. S. Strano, R. B. Kaner, A. Mitchell, K. Kalantar-zadeh, *Adv. Funct. Mater.* 2011, **21**, 2175.
- S. H. Lee, H. M. Cheong, C. E. Tracy, A. Mascarenhas, A. W. Czanderna, *Appl. Phys. Lett.* 1999, **75**, 1541.
- 10 22. O. F. Schirmer, V. Wittwer, G. Baur, G. Brandt, J. Electrochem. Soc. 1977, **124**, 749.
  - 23. C. G. Granqvist, Sol. Energy Mater. Sol. Cells 1994, 32, 369.
  - T. Nanba, M. Ishikawa, Y. Sakai, Y. Miura, *Thin Solid Films* 2003, 445, 175.
- D. Dini, F. Decker, E. Masetti, J. Appl. Electrochem. 1996, 26, 647.
  T. Yoshimura, J. Appl. Phys. 1985, 57, 911.
  - E. Ozkan, S. H. Lee, C. E. Tracy, J. R. Pitts, S. K. Deb, *Sol. Energy Mater. Sol. Cells* 2003, **79**, 439.
  - 28. H. Kamal, A. A. Akl, K. Abdel-Hady, Physica B 2004, 349, 192.
- 20 29. A. A. Joraid, Curr. Appl. Phys. 2009, 9, 73.
- M. Rubin, K. von Rottkay, S.-J. Wen, N. Ozer, J. Slack, Sol. Energy Mater. Sol. Cells 1998, 54, 49.
- K. von Rottkay, M. Rubin, S.-J. Wen, *Thin Solid Films* 1997, **306**, 10.
- 25 32. F. Lin, J. Cheng, C. Engtrakul, A. C. Dillon, D. Nordlund, R. G. Moore, T. C. Weng, S. K. R. Williams, R. M. Richards, J. Mater. Chem. 2012, 22, 16817.
- F. Lin, C. P. Li, G. Chen, R. C. Tenent, C. A. Wolden, D. T. Gillaspie, A. C. Dillon, R. M. Richards, C. Engtrakul,
- 30 Nanotechnology 2012, **23**, 255601.