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Synthon polymorphs of 1:1 co-crystal of 5-fluorouracil and 4-hydroxybenzoic acid: their relative stability and solvent polarity dependence of grinding outcomes[†]

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[†]Electronic supplementary information (ESI) available: IR spectra, DSC and TG curves of **Form I** and **Form II**, PXRD date with regard to grinding experiments and slurry experiments, VT-PXRD of **Form II**, CCDC reference numbers 983683 and 983684 for **Form II** and **Form I**, respectively.

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Although polymorphism is a common phenomenon, the polymorphism of co-crystals is not studied extensively as compared to single-component molecules. Herein we report polymorphism in a co-crystal system comprising 5-fluorouracil, and 4-hydroxybenzoic acid with 1:1 stoichiometry. The polymorphs were characterized by single-crystal and powder X-ray diffraction, differential scanning calorimetry and thermogravimetric analysis. Crystal structure analysis revealed different synthons of 5-fluorouracil and 4-hydroxybenzoic acid in two forms. The solvent-drop grinding experiments show a high degree of solvent polarity specificity. The theoretical and experimental methods suggest an enantiotropic relationship between the polymorphs.

Introduction

Polymorphism is the ability of a solid material to be crystallized in at least two crystal structures.¹ This phenomenon is common in drugs, agrochemicals, pigments, dyes and explosives, etc.²⁻⁵ Polymorphism has gained great interest since different polymorphs exhibit different physical and chemical properties like melting point, solubility, chemical stability, moisture sorption tendency, compressibility, and processability.⁶⁻¹¹ The origin of polymorphism can be classified into four categories: packing polymorphism, conformational polymorphism, tautomeric polymorphism, and synthon polymorphism. Packing polymorphism refers to identical molecular moieties packing into different periodic crystal structures.¹²⁻¹⁴ Conformational polymorphism is defined as molecular moieties with rotational degrees of freedom which adopt different conformations in the crystal.¹⁴⁻¹⁵ Tautomeric polymorphism appears when

tautomers crystallize from the solution, in which the tautomers coexist and equilibrate at the crystallization temperature.¹⁶⁻¹⁸ Synthon polymorphism occurs when the primary synthons in the forms are different.^{14,19}

Polymorphism has been studied widely in single-component molecules but its occurrence in multi-component systems such as co-crystals has not been extensively addressed.²⁰⁻⁴² A co-crystal is built up by at least two components that are solids under ambient conditions coexisting through non-covalent interactions.⁴³ Study of co-crystals is of current interest since they are exploited to yield new crystalline forms of materials with desirable physical and chemical properties.⁴⁴⁻⁴⁹

Herein we report supramolecular synthon polymorphism in a 1: 1 co-crystal of 5-fluorouracil (5FU) and 4-hydroxybenzoic acid (4HBA) (see scheme 1). 5FU, a chemotherapeutic agent, is a pyrimidine analogue with multiple N-H donors and C=O acceptors and exhibits the diversity of hydrogen bonding motifs from a crystal engineering viewpoint.⁵⁰ 4HBA is one of the most commonly used co-formers for co-crystallization of active pharmaceutical ingredients because of its carboxyl and hydroxyl functional groups as well as low toxicity.⁵¹⁻⁵³ We now reveal two polymorphs of the title co-crystal and report our observations dealing with phase transformations and relative stability of the forms. The two polymorphs were characterized by various analytical techniques, such as single-crystal and powder X-ray diffraction, differential scanning calorimetry, and thermogravimetric analysis.



Scheme 1 Structures of 5-fluorouracil (5FU) and 4-hydroxybenzoic acid (4HBA)

Experimental

Reagents

5FU (form I) was purchased from Melon Pharmaceuitical Co., Ltd, and 4HBA was purchased from Aladdin reagent Inc. They were used without any further purification. All other reagents and solvents obtained from commercial suppliers were used as received.

Preparation of polymorphs

Form I was prepared via the following two methods: (i) A mixture of 5FU (130 mg, 1 mmol) and 4HBA (138 mg, 1 mmol) was added to 1 mL of water and allowed to stir at 50 °C or room temperature (RT) for 24 h. The suspension was filtered and the isolated solid of **Form I** was dried under vacuum for 24 h. Yield: 220.8 mg, 82.4%. The filtrate was left to evaporate slowly at RT. After two weeks, rod-shaped crystals of **Form I** were obtained. Anal. (%) Calcd for $C_{11}H_9FN_2O_5$: C, 49.26; H, 3.38; N, 10.45. Found: C, 49.28; H, 3.40; N, 10.41. IR (KBr, $v_{C=O}$): 1710 (s), 1649 (s) cm⁻¹. (ii) A 1:1 mixture of 5FU (130 mg, 1 mmol) and 4HBA (138 mg, 1 mmol) was added to stainless steel grinding jar. Approximately two drops of water was added, and the mixture was ground for 30 min at a frequency of 25 Hz.

Form II was obtained via the following two methods: (i) A mixture of 5FU (130 mg, 1 mmol) and 4HBA (138 mg, 1 mmol) was dissolved in 2 mL of water with stirring at 65 °C, then the resulting solution was filtered immediately. Upon rapidly cooling to RT and standing for 24 h, block-shaped crystals of **Form II** were harvested. Yield: 201.5 mg, 75.2%. Anal. (%) Calcd for $C_{11}H_9FN_2O_5$: C, 49.26; H, 3.38; N, 10.45. Found: C, 49.24; H, 3.36; N, 10.43. IR (KBr, $v_{C=0}$): 1715 (s), 1676 (s) cm⁻¹. (ii) A 1:1 mixture of 5FU (130 mg, 1 mmol) and 4HBA (138 mg, 1 mmol) was added to stainless steel grinding jar. Approximately two drops of THF was added, and the mixture was ground for 30 min at a frequency of 25Hz.

Grinding experiments

Grinding was performed using a Retsch Mixer Mill model MM200 with two 25 ml stainless steel grinding jars and two 15 mm stainless steel grinding balls at a rate of 25 Hz for 30 min. All the experiments were carried out with a 1:1 stoichiometric ratio of 5FU (130 mg, 1 mmol) and 4HBA (138 mg, 1 mmol). For solvent-drop grinding experiments, two drops of a selected solvent with different dielectric constant were added to the reactants prior to grinding. The resulting solids were analyzed by PXRD to identify the polymorph.

Slurry experiments

Excess amounts (approximately 100 mg) of **Form I** and **Form II** in a 1: 1 ratio were added to 1 mL of aqueous solution, which was saturated with 5FU (0.09 mmol) and 4HBA (0.05 mmol) in advance. The resulting slurries were allowed to stir at ambient

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conditions for 1 day. The solids were collected by vacuum filtration and analyzed by PXRD.

Single-crystal X-ray diffraction (SXRD)

SXRD data of **Form I** and **Form II** were collected at 150 K on an Agilent Xcalubur Nova CCD diffractometer, with graphite monochromated Cu K α radiation (λ = 1.5418 Å). Cell refinement and data reduction were applied using the program package CrysAlis PRO. The structures were solved by the direct methods and refined by the full-matrix least-squares method on F^2 . All the non-hydrogen atoms were refined anisotropically. All hydrogen atoms were refined at geometrically constrained riding positions. All the calculations were performed using the SHELX-97 program. The crystallographic data are summarized in Table 1, and selected hydrogen bonding distances and angles are given in Table 2.

Powder X-ray diffraction (PXRD)

Room temperature PXRD analysis was performed on a Bruker D2 Advanced diffractometer (Bruker, PHASER) operated with Cu K α radiation ($\lambda = 1.5418$ Å) at 30 kV and 10 mA. The data were collected over an angular range of 5°–40° (2 θ) value in continuous scan mode using a step size of 0.014° (2 θ) and a step time of 0.1s. Typically, 30 mg of solid was used for analysis and pressed gently on a silicon slide to give a level surface. Variable temperature PXRD (VT-PXRD) data were obtained on a Bruker D8 Advance with Cu K α radiation (40 kV, 40 mA). Each sample was scanned between 5° and 40° (2 θ) with 0.02° (2 θ) step size and 0.12 s/step scan speed.

Calculated PXRD patterns were generated from the single-crystal structure data using Mercury CSD 3.1 (Cambridge crystallographic data center, UK).

Infrared (IR) spectroscopy

IR (KBr pellet) spectra were recorded on a Bruker EQUINOX 55 FT-IR spectrometer. A total of 64 scans were collected over a range of 4000 to 400 cm⁻¹ with a resolution of 0.2 cm^{-1} for each sample.

Differential scanning calorimetry (DSC)

DSC was recorded in a nitrogen atmosphere using a Netzsch DSC-204 Instrument. The sample was placed in an aluminium pan and scanned from 30 °C to a final temperature of 180 °C at a heating rate of 10 °C/min.

Thermogravimetric analysis (TGA)

TGA was performed using a Netzsch TG-209 instrument. The sample was placed in an aluminium sample pan and heated over the temperature range of 30–500 °C at a heating rate of 10 °C/min.

Stability test

Stability of **Form I** and **Form II** was evaluated at 40 °C/75% relative humidity (RH). Vial of each sample was subjected to the condition for one month. Then the samples were immediately analyzed by PXRD.

Results and discussion

To evaluate the potential for co-crystallization behavior of 5FU and 4HBA as co-formers, the structures of pure 5FU and 4HBA were analyzed from a crystal

engineering perspective. Form I of 5FU (refcode FURACL14) adopts a hydrogen-bonded sheet structure exploiting all of the available uracil donors and acceptors (including double hydrogen bond homosynthon A, Scheme 3) and exhibiting regions where the fluorine atoms are in close proximity, approaching within 3.2 Å (Scheme 2). The crystal structure of 4HBA (refcode JOZZIH) shows that two 4HBA molecules form a dimer through the acid-acid $R_2^2(8)^{54}$ doubly hydrogen bonded homosynthon D (Scheme 2 and 3). When 5FU co-crystallizes with 4HBA, all their hydrogen bond donors and acceptors would adjust to achieve the balance of all parts of the two molecules. Some supramolecular homosynthons motifs in pure 5FU and 4HBA must be interrupted, whereas some new heterosynthons must be generated.



Scheme 2 Hydrogen-bonded sheet structure of form I of 5FU (a) and acid-acid dimer structure of 4HBA (b).



Scheme 3 Homo- and heterosynthons exhibited by 5FU-4HBA co-crystal polymorphs.

Crystal structures of Form I and Form II

The crystal structure of **Form I** belongs to the monoclinic, P_{21}/c space group (Table 1). The asymmetric unit contains one 5FU molecule and one 4HBA molecule (Fig. 1a). Two 5FU molecules are connected through a doubly hydrogen bonded $R_2^2(8)$ homosynthon (synthon A) to form a dimer, and two 5FU-dimers are further connected with two 4HBA molecules through two amide-acid $R_2^2(8)$ heterosynthons (synthon E) and two O3-H3…O1 hydrogen bonds (synthon F) to form a one-dimensional (1D) chain (Fig. 1b). The 1D chains are packed to form a two-dimensional (2D) sheet via F…F (2.850 Å) interactions⁵⁵ and van der Waals force (Fig. 1b). The 2D sheets further stack along the *c*-axis through the interlayer $\pi \dots \pi$ interactions (3.58 Å) between the rings of 5FU and 4HBA to form the three-dimensional (3D) structure (Fig. 1c, Fig. 1d). In **Form I**, each 5FU molecule generates two hydrogen bonds with adjacent 5FU molecules and three hydrogen bonds with adjacent 4HBA molecules, and each 4HBA molecules forms three hydrogen bonds with adjacent two 5FU molecules (Fig. 1b).

The crystal structure of **Form II** was solved in a triclinic, *P*-1 space group (Table 1). The asymmetric unit also contains one 5FU molecule and one 4HBA molecule (Fig.

2a). 5FU molecules are connected through two doubly hydrogen bonded $R_2^{2}(8)$ homosynthons (synthon B and C) to generate a 1D chain. 4HBA molecule links another 4HBA molecule through an acid-acid $R_2^{2}(8)$ homosynthon (synthon D) to form a carboxylic dimer. The 5FU 1D chains are further connected by 4HBA dimers through O3-H3…O1 hydrogen bonds (synthon F) to generate a 2D sheet (Fig. 2b). The 2D sheets are packed along the *b*-axis via interlayer $\pi \cdots \pi$ interactions (3.49 Å) between the rings of 5FU and 4HBA to form the 3D structure (Fig. 2c, Fig. 2d). In **Form II**, each 5FU molecule forms four hydrogen bonds with adjacent 5FU molecules and one hydrogen bond with adjacent 4HBA molecules, and each 4HBA molecule generates one hydrogen bond with adjacent 5FU molecules and two hydrogen bonds with adjacent 4HBA molecules (Fig. 2b).

From crystal structure analysis, we can see that these two forms are synthon polymorphs since their primary synthons are different. The acid-acid homosynthon (synthon D) of 4HBA is interrupted and new heterosynthons (synthons E and F) are generated in **Form I**, while synthon D of 4HBA is preserved and only heterosynthon F is generated in **Form II**. As a result, all hydrogen-bonding sites in 5FU and 4HBA molecules are effectively utilized in **Form I** and **Form II**.

PXRD and thermal analyses

The PXRD patterns of the bulk batch of **Form I** and **Form II** are different from either that of 5FU or 4HBA (Fig. 3a and 3b), indicating the formation of new crystalline phases. In addition, all the peaks displayed in the measured patterns closely match those in the simulated patterns generated from single-crystal diffraction data (Fig. 3a

and 3b), confirming the formation of the corresponding polymorphic forms. The displacement of the peaks at the high angle regions between measured and simulated patterns is mainly caused by the different measuring temperature between the powder patterns (room temperature) and the single-crystal data (150 K).

From the DSC and TGA curves it can be found that both **Form I** and **Form II** decompose on heating (about 179 °C) before melting. There is no evidence of a phase transformation in DSC curve of either form before decomposition (Fig. S2).

Solid-state grinding experiments

Solid-state grinding is a green approach for co-crystal screening, which can avoid excessive use of crystallization solvent.⁵⁶⁻⁵⁷ This method can also offer a high yield of co-crystal, without any wastes by dissolved in solutions. Solid-state grinding can be classified into two categories: solvent-drop grinding (SDG) and neat grinding (NG), which difference in adding or not adding solvent when grinding.⁵⁸⁻⁵⁹

NG and SDG were conducted on 1:1 molar ratio of 5FU and 4HBA. The resulting solids were analyzed by PXRD to identify the crystal form. Dry grinding 5FU with 4HBA for 30 minutes resulted in generation of **Form II**. SDG was performed using each of the solvents selected with different dielectric constants (Table 3). The results of SDG experiment revealed that **Form II** was produced when low polar solvents were used. As the polarity of solvents increases, a mixture of **Form I** and **Form II** was generated. Pure **Form I** can be obtained when the polarity of solvents increases further (Fig. S3). These observations suggest a high degree of solvent polarity specificity for grinding experiments. Low polar solvents have a higher tendency to

generate **Form II**, while high polar solvents tend to generate **Form I**. Similar polarity dependent polymorphic outcome of grinding experiments have been reported before.⁶¹⁻⁶⁴

A kinetically reasoned hypothesis can account for the different outcome of grinding experiments with high polar solvents (**Form I**) and low polar solvents (**Form II**). In the presence of high polar solvents, carboxyl group of 4HBA, the highest polar group in two molecules, is tightly solvated, resulting in the disruption of the doubly hydrogen-bonded acid-acid dimers (synthon D) in 4HBA during grinding. In contrast, this group is much less strongly solvated in low polar solvents and allows synthon D in 4HBA intact during grinding. Thus the strong solvation of carboxyl group of 4HBA with high polar solvents provides a barrier to the preservation of the acid-acid R_2^2 (8) motif in **Form I**, suggesting such kinetic effects may play a major role in determining the polymorphic outcome.

Relative stability of Form I and Form II

Relative polymorph stabilities can usually be determined by theoretical and experimental approaches. From theoretical aspect, typically, the higher the density of a polymorph the higher its stability.⁶⁵ The calculated density of **Form I** (1.678 g/cm³) is higher than that of **Form II** (1.559 g/cm³), indicating that **Form I** will be the stable form at low temperature.

From experimental aspect, a slurry experiment, also called as solvent-mediated phase transformation experiment, was conducted to estimate the relative thermodynamic stability of **Form I** and **Form II** at RT. Powder of a 1:1 mixture of

these two forms were stirred in water for 24 hours. Then the resulting solids were filtered, dried under vacuum and measured by PXRD. The results of PXRD analysis revealed that the mixture completely converted into **Form I**, suggesting that **Form I** is more stable than **Form II** in aqueous solution at RT (Fig. S4). Besides, the results of stability test at 40 °C/75% RH for one month also indicate that **Form II** can slowly converted into **Form I** at 40 °C/75% RH.

VT-PXRD was conducted to further evaluate the relative stability of **Form I** and **Form II** at higher temperature (Fig. 4). The samples were held for five minutes at each temperature to equilibrate prior to PXRD measurements. The results of the PXRD analysis indicate that **Form I** maintains its crystallinity up to 150 °C, and then it transforms to the mixture of **Form I** and **Form II** in the temperature range of 160-170 °C. Further heating resulted in partial decomposition before complete transformation of **Form I** to **Form II** (Fig. 4). The VT-PXRD data of **Form II** was also obtained, and no evidence of a phase transformation was observed (Fig. S5). These results normally suggest that the two forms are enantiotropically related. **Form II** is more stable than **Form II** below 150 °C and begins to convert to **Form II** at 160 °C. The thermodynamic transition point lies in the temperature range of 150-160 °C.

Conclusions

Two polymorphs of 5FU-4HBA (1:1) co-crystals have been isolated by both solid-state grinding and solution crystallization methods. The SDG experiments show

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a high degree of solvent polarity specificity. High polar solvents tend to favor generation of **Form I**, while low polar solvents tend to generate **Form II**. Single crystal structure analysis revealed the synthon differences between the molecules of 5FU and 4HBA in two polymorphs, which can also be classified as synthon polymorphs. The crystal structure of **Form I** features acid–amide heterosynthon, whereas that of **Form II** features acid–acid homosynthon. A combination of theoretical and experimental methods suggested an enantiotropic relationship between two polymorphs. **Form I** is more stable at lower temperature while **Form II** is more stable at higher temperature. The thermodynamic transition point for these two enantiotropic forms was found to exist between 150 and 160 °C.

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	Form I	Form II	
formula	C ₁₁ H ₉ FN ₂ O ₅	C ₁₁ H ₉ FN ₂ O ₅	
$M_{ m r}$	268.20	268.20	
temperature/K	150.02(11)	150.00(10)	
crystal size/mm ³	$0.20 \times 0.1 imes 0.1$	$0.20 \times 0.20 \times 0.10$	
wavelength/Å	1.5418	1.5418	
crystal system	monoclinic	triclinic	
space group	$P2_1/c$	P-1	
a/ Å	7.0356(3)	6.8225(6)	
b/ Å	14.9022(13)	8.6115(9)	
c/ Å	10.2665(4)	10.7203(12)	
α(°)	90	67.906(10)	
$\beta(^{\circ})$	99.418(4)	86.057(8)	
γ(°)	90	78.308(8)	
V_{cell} / Å ³	1061.90(11)	571.46(10)	
Z	4	2	
ho(calcd)/g cm ⁻³	1.678	1.559	
μ/mm^{-1}	1.265	1.176	
<i>F</i> (000)	552	276	
θ Range (°)	5.28-62.95	4.45-62.94	
index ranges	-7, 7; -17, 16; -10, 11	-7, 5; -9, 9; -10, 12	
reflections collected	3520	3293	
independent reflections	$1690 [R(_{int}) = 0.0413]$	1808 [$R(_{int}) = 0.0329$]	
completeness	98.5 %	98.1 %	
data/restraints/parameters	1690/0/ 185	1808/ 0 /182	
GOF	1.055	1.054	
final R indices $[I \ge 2\sigma(I)]^a$	R1 = 0.0617, wR2 = 0.1490	R1 = 0.0456, wR2 = 0.1106	
R indices (all data) ^{a}	R1 = 0.1014, wR2 = 0.1844	R1 = 0.0630, wR2 = 0.1266	
largest diff. peak, hole (e Å ^{-3})	0.269, -0.436	0.208, -0.275	

 Table 1
 Crystallographic data and refinement parameters for Form I and Form II

^{*a*} $R_1 = \Sigma ||F_o| - |F_c||/\Sigma |F_o|$. $wR_2 = [\Sigma[w(F_o^2 - F_c^2)^2]/\Sigma w(F_o^2)^2]^{1/2}$, $w = 1/[\sigma^2 (F_o)^2 + (aP)^2 + bP]$, where $P = [(F_o^2) + 2F_c^2]/3$

Compound	H bond	D—H/Å ^g	H ···· $\mathrm{A}/\mathrm{\AA}^{g}$	D ···· $A/Å^g$	<D—H····A/Å ^g	
Form I	$O(4)-H(4)\cdots O(2)^{a}$	0.82	1.84	2.640(5)	164.4	
	$N(1)-H(1)\cdots O(1)^b$	0.82(5)	2.21(5)	3.028(4)	172(5)	
	$N(2)-H(2)\cdots O(5)^{c}$	0.89(4)	1.90(4)	2.784(6)	168(3)	
	O(3)-H(3)····O(1)	0.86(7)	2.17(7)	3.019(5)	167(6)	
Form II	$N(2)$ - $H(2)$ ···· $O(2)^d$	0.93(3)	1.94(3)	2.847(2)	164(2)	
	$N(1)-H(1)\cdots O(2)^{e}$	0.92(3)	1.89(3)	2.805(2)	176(2)	
	O(4)-H(4)···O(5) ^f	0.82	1.80	2.615(2)	176.1	
	O(3)-H(3)····O(1)	0.82	1.94	2.645(2)	144.3	
^{<i>a</i>} Symmetry codes : [x, y+1, z]. ^{<i>b</i>} [-x+1, -y+1, -z+2]. ^{<i>c</i>} [-x+1, -y, -z]. ^{<i>d</i>} [-x+2, -y+1, -z+2]. ^{<i>e</i>} [-x+1, -y, -z+2]. ^{<i>e</i>} [-x+1, -y, -z+2].						
-y+1, -z+2]. ^{<i>f</i>} [-x+1, -y, -z]. ^{<i>g</i>} D and A are hydrogen bond donors and acceptors.						

 Table 2
 The hydrogen bonding distances and angles for Form I and Form II

Solvent	Dielectric constant (20 °C) ⁶⁰	Crystal forms identified by PXRD
n-heptane	1.92	Form II
1, 4-dioxane	2.21 (25 °C)	Form II
benzene	2.28	Form II
o-xylene	2.27	Form II
p-xylene	2.27	Form II
m-xylene	2.37	Form II
chloroform	4.90	Form II
ethyl acetate	6.02	Form II
methyl acetate	6.68 (25 °C)	Form II
tetrahydrofuran	7.58 (25 °C)	Form II
methylene chloride	9.10	Form II
sec-butyl alcohol	15.50	Form II
n-butyl alcohol	17.10	Form II
isopropyl alcohol	18.30 (25 °C)	Form II
butanone	18.51	Form I + Form II
acetone	20.70 (25 °C)	Form I + Form II
propanol	22.20 (25 °C)	Form I + Form II
ethanol	23.80	Form I + Form II
methanol	33.10	Form I
acetonitrile	37.50	Form I
ethylene glycol	38.66	Form I
water	78.30	Form I

 Table 3
 The outcome of SDG of a 1:1 molar ratio of 5FU and 4HBA from 22 different solvents



Fig. 1 (a) The molecular structure, (b) 2D sheet, (c) side view and (d) top view of 3D structure

(the blue, red and teal color are the first, second and third layer, respectively) of Form I.











Fig. 2 (a) The molecular structure, (b) 2D sheet, (c) side view and (d) top view of 3D structure (the blue, red and teal color are the first, second and third layer, respectively) of Form II.



Fig. 3 PXRD patterns of 5FU, 4HBA, as-synthesized by solution crystallization method, and simulated from the single-crystal data for (a) **Form I** and (b) **Form II**.

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Fig. 4 VT-PXRD analysis of a sample which was initially Form I of the 5FU-4HBA co-crystal.A phase transition from Form I to Form II occurred between 150 and 160 °C.

TOC graphic for

Synthon polymorphs of 1:1 co-crystal of 5-fluorouracil and 4-hydroxybenzoic acid: their relative stability and solvent polarity dependence of grinding outcomes †

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Two synthon polymorphs of 1: 1 co-crystal of 5-fluorouracil and 4-hydroxybenzoic acid were synthesized, and the crystal structures were determined. Their relative stability, phase transitions, and solvent polarity effect on grinding production were also studied.