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The role of carbonate as a catalyst of Fenton like reactions in AOP processes, CO_3 ⁻ as the active intermediate.

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Kinetic and DFT results for the carbonate catalysed $Co(H_2O)_6^{2+}$ + H_2O_2 Fenton like reaction suggest that a mechanism involving the formation of the cyclic transient cyclic- $(CO_4)Co^{II}(OOH)(H_2O)_2^{-}$ that decomposes into $Co^{II}(H_2O)(OOH)(OH)_2 + CO_3^{-}$, *i.e.* no OH radicals are involved. Plausible biological implications are pointed out.

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The development of advanced oxidation processes, AOPs, requires the use of cheap reagents soluble in neutral aqueous solutions. The Fenton like reactions are in principle optimal for AOPs but as Fe(III) precipitates in neutral solutions the use of other first row transition metals is desired. Thus AOPs based on $Mn(II)^1$; Co(II)² and even Cu(II)^{1b, 1d,1c,3} were developed. As the reactions:

(1) $M(H_2O)_6^{2+} + H_2O_2 \rightarrow M(H_2O)_6^{3+} + OH^- + OH^-$

are endothermic for these metals, usually their complexes with ligands that stabilize the trivalent complexes are employed. However, organic ligands have the disadvantage that the radicals formed might oxidize them^{1a, 1b, 2-4}. Therefore, perhaps carbonate is often the ligand of choice^{1a, 1b, 2-4}. Jherefore, perhaps carbonate is often the ligand of choice^{1a, 1b, 2-4}, 4j, 4l, 4n, 4p, 4q, 4s, 4u, 4w, 5</sup>. Though carbonate is expected to stabilize high-valent transition metal complexes it has been suggested that its catalytic role might be due to the formation of percarbonate complexes, either via^{1a, 4d}: (2) Mn²⁺ + HO₂⁻ \leftrightarrows Mn^{II}(OOH)⁺





Recently it was shown that the Fenton like reaction of $Co(H_2O)_6^{2+}$ proceeds via the binding of three H_2O_2 molecules to the cobalt(II) ion forming the transient $(H_2O)_3Co^{II}(OOH)_2(H_2O_2)^7$ that decomposes via⁷:

(7) $(H_2O)_3Co^{II}(OOH)_2(H_2O_2) \rightarrow$

 $(H_2O)_3Co^{II}(OOH)(OOH)(OH) + OH$

It seemed of interest to check how the addition of bicarbonate to the system affects this mechanism, *i.e.* whether analogous intermediate complexes to those proposed in the Mn(II) catalyzed process are formed.

The mechanism of reaction (8) was studied by stopped flow and by DFT calculations. The reaction order and the observed rate constant for each kinetic run were fitted by Pro-data SX software that is connected to the Stopped-flow, see supplementary information⁸.

All measurements were performed at pH 6.5, the highest pH at which no cobalt precipitation occurs in the presence of low concentrations of bicarbonate.

(8) $\operatorname{Co}(\operatorname{H_2O}_6^{2^+} + \operatorname{H_2O_2} + \operatorname{HCO_3^-} \rightarrow \operatorname{Products}$

After mixing H_2O_2 , with a solution containing HCO_3^- and $Co(ClO_4)_2$, two kinetic processes are observed, the first being a fast decrease in absorbance, followed by an increase in absorbance. As the reactions are ligand exchange reactions, see below, the absorbance changes are very small, Figure S-1 supplementary information⁸. Both these processes obey first order rate laws and are orders of magnitude faster than reaction (5)⁶, therefore this reaction clearly does not contribute to the processes observed. The initial absorbance depends on the concentration of NaHCO₃ due to the formation of $Co(CO_3)(H_2O)_3$:

(9) $\operatorname{Co}(\operatorname{H_2O})_6^{2^+} + \operatorname{CO}_3^{2^-} \xleftarrow{} \operatorname{Co}(\operatorname{CO}_3)(\operatorname{H_2O})_3 \qquad \operatorname{K_9} = 1,400 \ \operatorname{M}^{-1} \ \operatorname{4w}^{4w}$ (10) $\operatorname{Co}(\operatorname{H_2O})_6^{2^+} + \operatorname{HCO}_3^- \xleftarrow{} \operatorname{Co}(\operatorname{HCO}_3)(\operatorname{H_2O})_3^+ \quad \operatorname{K_{10}} = 25 \ \operatorname{M}^{-1} \ \operatorname{4w}^{4w}$ As in the present study $[\operatorname{Co}(\operatorname{H_2O})_6^{2^+}] \ge 0.01 \ \operatorname{M}$ and $[\operatorname{HCO}_3^-] \le 6 \times 10^{-4} \ \operatorname{M}$ at pH 6.5 clearly only a small fraction of the cobalt ions are ligated to carbonate. It should be noted that the initial absorbance, prior to the first reaction observed, is considerably higher than that expected from the molar absorption coefficients of the reactants. This indicates that the first reaction between hydrogen peroxide and $(CO_3)Co(H_2O)_3$ is too fast to be observed. The dependencies of the observed rate constants of the two processes observed on $[HCO_3^-]$; $[Co(H_2O)_6^{2+}]$ and on $[H_2O_2]$ are presented in Figures 1-3 respectively.



Fig. 1 - Dependence of k_{obs} on [HCO₃⁻].

 1.00×10^{-2} M Co(ClO₄)₂, 2.50×10^{-3} M H₂O₂, pH 6.5, PIPES 1.00×10^{-1} M ionic strength 2.31×10^{-1} M controlled by adding NaClO₄.



Fig. 2 - Dependence of k_{obs} on $[Co(H_2O)_6^{2+}]$.

 7.50×10^{-4} M H₂O₂, 1.50×10^{-4} M NaHCO₃, pH 6.5, PIPES 1.00×10^{-1} M. ionic strength 2.31×10^{-1} M controlled by adding NaClO₄.



Fig. 3 - Dependence of k_{obs} on [H₂O₂].

 1.50×10^{-2} M Co(ClO₄)₂, 1.50×10^{-4} M NaHCO₃, pH 6.5, PIPES $1.00\times10^{-1}M$. ionic strength $2.31\times10^{-1}M$ controlled by adding NaClO₄.

The results presented in Figure 1 point out that though less than 1% of the cobalt ions are ligated to carbonate the observed rate constants increase by a factor of 2. (At considerably higher [HCO₃⁻] the processes are too fast to be measured). These results clearly demonstrate that the processes observed are catalysed by bicarbonate.

The results concerning the first reaction observed, presented in Figures 2 and 3 are analogous to those reported for the reaction of $Co(H_2O)_6^{2+}$ with $H_2O_2^{-7}$, *i.e.* the observed rate constants depend

linearly on $[H_2O_2]$ when the latter is present in excess and decrease with the increase in $[Co(H_2O)_6^{2+}]$ when the latter is in excess. These results, remembering that the initial absorbance is higher than expected, in analogy to the $Co(H_2O)_6^{2+}$ system⁷, are in accord with the following mechanism (ΔG° values are results of DFT calculation):

(11) (CO₃)Co^{II}(H₂O)₃ + OOH⁻ \rightleftharpoons

 $(CO_3)Co^{II}(OOH)(H_2O)_2^{-} + H_2O \ \Delta G^o = -12.1 \ kcal/mol \ Reaction (11) \ is too fast to be followed and causes the higher initial absorbance observed. DFT calculations were performed in order of checking whether (CO_3)Co^{II}(OOH)(H_2O)_2^{-} might isomerise into a percarbonate complex. The results, see the supporting information, table S-1⁸, point out that indeed the final product is cylic-(CO_4)Co^{II}(OH)(H_2O)^-, Figure 4. The free energy gain in the isomerisation of (CO_3)Co^{II}(OOH)(H_2O)_2^- into cylic-(CO_4)Co^{II}(OH)(H_2O)^- is 6.2 \ kcal/mol.$



Fig. 4 - B3LYP optimized of cylic- $(CO_4)Co^{II}(OH)(H_2O)^{-}H_2O$. Color of the atoms: white – H, brown – C, red – O, yellow Co.

The first reaction observed, which clearly is a reaction between the product of reaction (11) and H_2O_2 can be one of two possibilities, reaction (12):



or reaction (13), if the isomerisation of the product of reaction (11) is slower than this reaction.

(13) $(CO_3)Co^{II}(OOH)(H_2O)_2^- + H_2O_2$

 $(CO_3)Co^{II}(OOH)(H_2O_2)(H_2O)^- \Delta G^\circ = -0.5 \text{kcal/mol}$ $(CO_3)Co^{II}(OOH)(H_2O_2)(H_2O)^-$, the product of reaction (13), might isomerise into six products, species S-13a – S-13f see supporting information table S-2⁸. The DFT calculations indicate that the product of reaction (12) is the most stable one, Figure 5. Clearly we cannot determine whether it is formed via reaction (12) or reaction (13) and an isomerisation process.

In the present system K_{11} , k_{12} and k_{-12} cannot be derived from the results as some contribution from the reactions⁷:

(14)
$$\operatorname{Co}(\operatorname{H_2O})_6^{2^+} + \operatorname{H_2O_2} \rightleftharpoons (\operatorname{H_2O})_5 \operatorname{Co}^{II}(\operatorname{OOH})^+ + \operatorname{H_3O^+}$$

(15) $(\operatorname{H_2O})_5 \operatorname{Co}^{II}(\operatorname{OOH})^+ + \operatorname{H_2O_2} \rightleftharpoons (\operatorname{H_2O})_4 \operatorname{Co}^{II}(\operatorname{OOH})_2 + \operatorname{H_3O^+}$
clearly contributes to the results.

The rate constant of the second reaction observed, insets in Figures 2 and 3 does not depend on either $[H_2O_2]$ or $[Co(H_2O)_6^{2+}]$, however it depends on $[HCO_3^{-}]$, inset of Figure 1. These observations suggest that this reaction is the decomposition of the intermediate formed in reactions (12) or (13), cyclic-(CO₄)-Co^{II}(OOH)(H₂O)₂⁻.





Fig 5: The DFT calculated structure of cyclic-(CO₄)-Co^{II}(OOH)- $(H_2O)_2^{-}$.

This decomposition reaction might proceed either via reaction (16) or via reaction (17):



 $\Delta G^{\circ} = 2.4 \text{ kcal/mol}$

As reaction (16), the formation of CO_3^- radical anion, is exothermic, this is probably the reaction which occurs. The conclusion that the CO_3 radical anion is formed is not surprising as reaction (18) is fast and clearly exothermic.

(18) OH + HCO₃ \rightarrow CO₃ + H₂O $k_{18} = 8.5 \times 10^{6} \text{ M}^{-1} \text{s}^{-1.9}$ CO3: could also be formed via reaction (19) i.e. via the direct decomposition of the isomerisation of the product of reaction (11).

(19)
$$\begin{bmatrix} OH \\ H_2O & O \\ O & O \end{bmatrix} \xrightarrow{-} (OH)Co(H_2O)(OH)_2 + CO_3^{-}.$$
$$\Delta G^{\circ} = -14.6 \text{ kcal/mol}$$

Reactions (12) and (19) are both exothermic. However as the kinetic results point out that two H₂O₂ molecules are involved in the process clearly reaction (12) followed by reaction (16) is the mechanism of the process under the present experimental conditions.

The Mulliken atomic spin densities¹⁰ of the reactants and products of 2 reactions (16) and (19) were calculated, the results are summed up in table S-38. The results clearly point out that already in cylic- $(CO_4)Co^{II}(OH)(H_2O)^{-1}$ and cyclic-(CO_4)Co^{II}(OOH)(H_2O_2) а considerable spin density on the peroxide-oxygens bound to the central cobalt cation, is present. These spin densities are the result of partial electron transfer from the peroxides to the cobalt and decrease the spin density on the cobalt. The spin densities on the products $Co^{II}(H_2O)(OOH)(OH)_2$ and $Co^{II}(H_2O)(OH)_3$ clearly point out that the cobalt oxidation state is +2 and a spin density of one is distributed on the oxygen atoms bound to the central cobalt cation.

These results point out that the unpaired electron resonates between these oxygens, *i.e.* the products are stabilized by resonance.

Conclusions

The results presented here suggest that the role of bicarbonate/ carbonate in the catalytic process may be a multi-faceted one. On this proposal, it accelerates the ligand exchange process, as expected. Second, it facilitates the decomposition of the H₂O₂, thus only two ligated peroxides are required prior to its decomposition, as compared to three peroxides in the absence of carbonate⁷. More importantly, we propose that the active species formed is not the OH radical or Mⁿ⁺²=O, as commonly assumed^{7, 11}, but the CO₃⁻⁻ anion radical. This radical anion is a very strong oxidizing agent, $E^{\circ} = 1.5$ V^{12} , but considerably weaker than OH and therefore considerably more selective in its redox processes9. The mechanism involves the formation of cyclic-cobalt(II)-percarbonate as intermediates.

Finally it is tempting to wonder whether analogous processes are involved in the formation of oxidative stress in biological processes. Oxidative stress is commonly attributed mainly to reaction of OH. radicals formed in Fenton like reactions¹³. However as in the body HCO_3 is present at considerable concentrations, $4 - 40 \text{ mM}^{14}$ it is reasonable to suggest that reactions of the type:

(20) (CO₃)LFe^{II}(H₂O)_n + OOH⁻ \Leftarrow

cyclic-(CO₄)LFe^{II}(OH)(H₂O)_{n-1} + H₂O
(21) cyclic-(CO₄)LFe^{II}(OH)(H₂O)_{n-1} + H₂O
$$\rightarrow$$

 $LFe^{III}(H_2O)_{n-2}(OH)_3$ CO₃·

might be of major importance in oxidative stress processes.

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