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## COMMUNICATION

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# The Phosphaethynolate Anion Meets Unsaturated Bonds: A DFT Investigation on [2+2], [3+2] and [4+2] Cycloadditions<sup>†</sup>

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Density functional theory (DFT) calculations were carried out to investigate the [2+2], [3+2] and [4+2] cycloadditions of the phosphaethynolate anion (PCO<sup>-</sup>). The results reveal the electronic properties of different unsaturated compounds play a crucial role in the reactivity and regioselectivity.

Since the discovery of H–C=P in 1961,<sup>1</sup> the number of derivatives of phosphaalkynes (R–C=P) has increased.<sup>2</sup> Among them, the simplest isolated phosphaethynolate anion (PCO<sup>-</sup>), the phosphorus-containing analogue of cyanate (NCO<sup>-</sup>), was first reported by Becker and co-workers in 1992.<sup>3</sup> Based on natural resonance theory (NRT) calculations, this anion is mainly described as a hybrid between the phosphaethynolate (51.7 %) and phosphaketenide (40.2 %) resonance structures (Fig. 1),<sup>4</sup> and was also found to be an ambident nucleophile depending on reaction conditions.<sup>5</sup>

 $: P \equiv C - O: \stackrel{\bigcirc}{\longleftrightarrow} : P = C = O:$ 51.7 % 40.2 %
Phosphaethynolate Phosphaketenide
Fig. 1 The resonance structures of PCO<sup>-</sup>.

However, the chemistry of phosphaethynolate has been quite limited owing to the technical difficulties associated with its synthesis. Recently, Grützmacher and co-workers found that PCOreacted with an imidazolium salt as a P- transfer reagent with concomitant loss of CO.6 Furthermore, Goicoechea and co-workers obtained the first phosphorus-containing analogue of urea by treatment of PCO<sup>-</sup> with NH4<sup>+</sup>.<sup>7</sup> More interestingly, several phosphorus-containing heterocycles were generated by [2+2], [3+2] and [4+2] cycloadditions of PCO- with different unsaturated bonds (Scheme 1).<sup>8</sup> Thus, one can realize that PCO<sup>-</sup> is indeed a valuable building block with great potential for the construction of structurally sophisticated organophosphorus compounds. Very recently, Grützmacher and co-workers reported a simple one-pot procedure to prepare larger quantities of pure Na(PCO)(dioxane)2.5,9 which could readily combine with CO<sub>2</sub> followed by dimerization. This was shown to serve as a rare example of a dianionic transfer species  $(P_2C_2O_2)^{2-}$ . The mechanistic study indicated that the CO<sub>2</sub>triggered dimerization did not proceed via a concerted fashion, but is

stepwise and initiated by a nucleophilic attack of the phosphorus centre of PCO<sup>-</sup>. This poses an interesting question as to whether the reported reactions between PCO<sup>-</sup> and unsaturated bonds, undergo either a formal stepwise or concerted cycloaddition. Moreover, with unsymmetrical alkynes or different types of double bonds, the reaction proceeds with high regioselectivity and sometimes removal of CO (Scheme 1). However, the mechanisms of these reactions are not well understood.

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Scheme 1 Cycloaddition chemistry of PCO-.

Inspired by the intriguing results,<sup>8</sup> we performed theoretical calculations to investigate the detailed reaction mechanisms of the cycloaddition chemistry of PCO<sup>-</sup> (Scheme 1), including the reactivity and selectivity of substrates with different unsaturated bonds. Our findings may open a new avenue for further developments of PCO<sup>-</sup> chemistry.

On the basis of the experimental results, the cycloadditions of PCO<sup>-</sup> with different unsaturated compounds proceed with high regioselectivity. For example, Na(PCO) was treated with 2.0 equiv. of an asymmetrical alkyne (EtO<sub>2</sub>CC=CPh) to form **1** as the only product (Scheme 1, right).<sup>8b</sup> More importantly, the more electron-deficient alkyne (EtO<sub>2</sub>CC=CCO<sub>2</sub>Et) did not only generate **2**, but also **2'** with the loss of CO (Scheme 1, left).<sup>8b</sup> Significant uncertainties

exist concerning the observed chemical selectivities, in particular on the high regioselectivity and the process of removal of CO from the formed heterocycle. Thus, we first investigated the cycloadditions of PCO<sup>-</sup> and alkynes through DFT calculations at the M06-2X/6-311++G(2d,p)//B3LYP-D/6-31+G(d) level (See Electronic Supplementary Information for details).

The proposed reaction pathway for the first [2+2] cycloaddition of EtO<sub>2</sub>CC $\equiv$ CPh and PCO<sup>-</sup>, including the computed free energies, is shown in Fig. 2. All efforts made towards locating a transition state for a concerted [2+2] cycloaddition reaction failed. Instead, a nucleophilic attack of the phosphorus atom in PCO- to C1 and C2 of the alkyne could be identified. Interestingly, the nucleophilic attack on C1 (TS1A', 27.1 kcal mol<sup>-1</sup>) is significantly higher than that on C2 (**TS1A**, 19.4 kcal mol<sup>-1</sup>), which could be mainly attributed to the electronic effects (Fig. 3). For instance, natural population analysis (NPA) indicates that the charges of C1 and C2 in EtO<sub>2</sub>CC≡CPh are -0.10 and 0.07e, respectively, whereas that of P in PCO<sup>-</sup> is -0.44e, indicating that PCO- is more favoured to attack C2. This is in line with the experimental observations that only 1 was formed (Scheme 1).<sup>8b</sup> It is important to note that the charge of oxygen in PCO- is -0.70e, indicating the oxygen might attack C2. However, no stable intermediate of C2-O bond formation could be identified.<sup>10</sup> Indeed, the HOMO of PCO<sup>-</sup> is mainly localized at the  $\pi$  orbital of the P centre. The absolute maximum of the coefficient at P(0.51) is much larger than that at O (0.30). Therefore, attacking alkynes via the P centre could lead to favorable orbital overlap between the PCO<sup>-</sup>  $\pi$ orbital and the alkyne  $\pi^*$  orbitals (LUMOs).



**Fig. 2** Free energy profile for the first [2+2] cycloaddition of  $EtO_2CC \equiv$  CPh and PCO<sup>-</sup>. The values are given in kcal mol<sup>-1</sup>.

Subsequently, **IN1A** is formed with the CO<sub>2</sub>Et group on the same side of PCO, which is sterically unfavourable for the next C–C bond formation. Thus, an isomerization step was located with an activation energy of 6.6 kcal mol<sup>-1</sup>. From **IN2A** (15.7 kcal mol<sup>-1</sup>), a four-membered transition state **TS3A** (18.4 kcal mol<sup>-1</sup>) occurs, leading to the first [2+2] cycloaddition product **IN3A** (-13.6 kcal mol<sup>-1</sup>).



**Fig. 3** HOMO of PCO<sup>-</sup> and LUMOs of unsaturated compounds (isovalue = 0.05). NPA partial charges are given in e. Absolute maxima of the coefficients at the reacting termini are given in parentheses.

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Fig. 4 depicts the second [2+2] cycloaddition of EtO<sub>2</sub>CC $\equiv$  CPh and PCO<sup>-</sup>. In a similar way, a very stable **1** (-88.9 kcal mol<sup>-1</sup>) is generated via nucleophilic attack of the P atom, isomerization and C–C bond formation. It is important to note that the final process is highly exergonic (**TS6A**  $\rightarrow$  **1**). According to the computed NICS(1)<sub>zz</sub><sup>11</sup> value **1** (-13.4 ppm), the significant stability is mainly attributed to the release of ring strain and the gain of aromaticity in **1**.



**Fig. 4** Free energy profile for the second [2+2] cycloaddition of  $EtO_2CC \equiv CPh$  and  $PCO^-$ . The values are given in kcal mol<sup>-1</sup>.

We next turned our attention to the loss of CO, which is observed by using the more electron-deficient alkyne  $(EtO_2CC \equiv CCO_2Et)$  as the substrate (Scheme 1, left). Similarly to 1, a six-membered aromatic product 2 is formed (-100.5 kcal mol<sup>-1</sup>) (See ESI for details). Direct removal of a CO from 2 is found to be too energy demanding to take place (Fig. 5, right). Alternatively, from IN5B, a formal [3+2] cycloaddition with the loss of CO could be located via two steps (Fig. 5, left). The activation barriers for the transformation are only 2.2 and 0.3 kcal mol<sup>-1</sup>. The free energies of **TS5B** and **TS7B** are -34.0 and -35.2 kcal mol<sup>-1</sup>, which are 3.4 and 2.2 kcal mol<sup>-1</sup> higher than that of **IN5B**, respectively, indicating both processes could readily occur, in agreement with the experimental observations that 2 and 2' were generated. In addition, when  $EtO_2CC \equiv CPh$ was used as the substrate (Fig. 4), the activation energy of C3 attacking C5 is 6.0 kcal mol<sup>-1</sup> (**TS6A'**), which is approximately 2 times higher than that of C3 attacking C4 (TS6A, 2.2 kcal mol<sup>-1</sup>), explaining why **1** was formed exclusively during the reaction.



**Fig. 5** Free energy profile for the removal of CO process using  $EtO_2CC \equiv CCO_2Et$  and  $PCO^-$  as substrates. The values are given in kcal mol<sup>-1</sup>.

To gain more insight into the cycloaddition chemistry of PCO<sup>-</sup>, the regioselectivities<sup>8a</sup> of its outcome with Ph<sub>2</sub>C=C=O and DIPPN=C=NDIPP were also studied (See ESI for details). The results showed that these two transformations do not proceed via a concerted fashion, but are stepwise and initiated by a nucleophilic attack of the phosphorus centre of PCO<sup>-</sup>, which is similar to what was discussed above. The regioselectivities were determined by the nature of electronic properties of substrates as illustrated in Fig. 3. For example, it is much easier **Journal Name** 

for PCO<sup>-</sup> to attack C7 (0.77e) over C6 (-0.37e). The activation energy is 4.6 kcal mol<sup>-1</sup>, which is significantly lower than that of attacking C6 (37.0 kcal mol<sup>-1</sup>).

Finally, we examined the [4+2] cycloaddition between 2Hpyran-2-one and PCO<sup>-</sup> (Fig. 6).<sup>8b</sup> According to the experimental study, a distinct gas evolution (CO<sub>2</sub>) is observed. Very interestingly, the transformation involves two concerted steps, a Diels–Alder-type cycloaddition including and а rearrangement involving the removal of CO<sub>2</sub>. The electronic effects also play a key role in this reaction. The favourable reactive sites lead to the lower barrier process (TS1E, 20.6 kcal mol<sup>-1</sup>). Our calculations showed that the  $NICS(1)_{zz}$  value of IN2E is -15.2 ppm and the entropy change of the rearrangement step is 43.3 cal mol<sup>-1</sup> K<sup>-1</sup> (IN1E  $\rightarrow$  IN2E), indicating that the resulting aromaticity and entropy increase are the driving force for the transformation.



**Fig. 6** Free energy profile for the [4+2] cycloaddition using 2H-pyran-2-one and  $PCO^-$  as substrates. The values are given in kcal mol<sup>-1</sup>.

#### Conclusions

We have computationally characterized the mechanisms for the [2+2], [3+2] and [4+2] cycloaddition chemistry of PCO<sup>-</sup> with different unsaturated compounds, including alkynes, ketene, carbodiimide and 2H-pyran-2-one. The results showed that the [2+2] and [3+2] cycloaddition of PCO<sup>-</sup> favoured stepwise processes, whereas [4+2] cycloaddition is a concerted process. More importantly, electronic effects play a key role in the regioselectivities of cycloadditions. Our findings can serve as a clue for further development of PCO<sup>-</sup> chemistry.

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### Notes and references

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