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Cite this: DOI: 10.1039/c0xx00000x

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ARTICLE TYPE

Membrane analysis with amphiphilic carbon dots

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Received (in XXX, XXX) Xth XXXXXXXXX 20XX, Accepted Xth XXXXXXXXX 20XX 5 DOI: 10.1039/b000000x

Newly-synthesized amphiphilic carbon dots were used for spectroscopic analysis and multicolour microscopic imaging of membranes and live cells. We show that Forster resonance energy transfer (FRET) occurred from the amphiphilic 10 carbon dots to different membrane-associated fluorescence acceptors. The amphiphilic carbon dots enabled imaging of membrane disruption by the beta-amyloid peptide.

Carbon dots are small (<10 nm), quasi-spherical nanoparticles, 1-3 and have attracted significant interest due to their unique 15 structural and photophysical properties and applications in nanobiotechnology. 3-15 carbon dots could be particularly advantageous for biological studies since they are biocompatible and potentially less cytotoxic than semiconductor dots, they are chemically stable, and their broad excitation/emission spectral range and low 20 photobleaching are beneficial for imaging applications. We report a readily-applicable synthetic procedure for large-scale preparation of carbon dots in which the graphitic core is coated with hydrocarbon layer. We show for the first time that the amphiphilic carbon dots incorporate into membrane bilayers. 25 Notably, the membrane-associated carbon dots can function as energy donors in Förster resonance energy transfer (FRET) processes having significantly different excitation wavelengths. The amphiphilic carbon dots were further employed as vehicles for analysis and visualization of membrane interactions and 30 bilayer reorganization by known membrane-active species and could be inserted into cells for multicolour imaging applications.

Figure 1 depicts the synthesis scheme and morphological features of the amphiphilic carbon dots. Preparation of the carbon dots was carried out in an aqueous solution, and started with 35 O,O'-di-lauroyl tartaric acid anhydride (1) produced through reacting L-tartaric acid with lauryl chloride (Figure 1).16 The anhydride 1 was then subsequently reacted with D-glucose, yielding 6-O-acylated fatty acid ester of D-glucose (2). The final step consists of carbonization of glucose and simultaneous 40 in-situ self-passivation yielding carbon dots (3) exhibiting inner graphitic cores¹⁷ coated with an amphiphilic layer comprising alkyl chains and carboxylic acid moieties (full experimental details are provided in the Supporting Information file, Figure 1-4, SI). Significantly, the new synthetic procedure does not require 45 additional surface passivation, common in most published schemes as a necessary step to prevent aggregation. Overall, the synthesis procedure is simple, utilizes inexpensive, widelyavailable carbon precursors, and yields large quantities of carbon

dots (up to several grams per batch of starting materials). Using 50 quinine sulfate as a reference, the quantum yield of carbon dots was found to be 16.5%, 9.4%, and 4.7% in chloroform, hexane, and NaH₂PO₄ buffer, respectively, which is higher (in chloroform) than many previous reports. 18

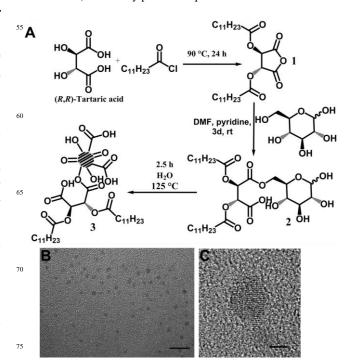


Figure 1: Synthesis and structures of the amphiphilic carbon dots. (A) Synthetic scheme; (B) High-resolution transmission electron microscopy (HRTEM) image of a carbon dot sample. Scale bar is 10 nm; (C) HRTEM image of a single amphiphilic carbon dot, showing the crystal 80 planes. Scale bar is 2 nm.

¹H NMR (Figure 5-6, SI) confirm the transformation of the glucose residues into elemental carbon and the presence of coating alkyl chains, while Fourier-transform infrared spectroscopy (FT-IR, Figure 7, SI) provides evidence for the 85 formation of graphitic carbon coated with hydrocarbon chains. Notably, as outlined in Figure 1A, the synthetic procedure utilizes readily available and inexpensive reagents. Statistical analysis based upon the high-resolution transmission electron microscopy (HRTEM) results in Figure 1B indicates that the particles have a 90 relatively narrow size distribution between 1.5 and 3.0 nm exhibiting mean diameter of 2.3 ± 0.3 nm (Figure 8, SI). The HRTEM image of a representative amphiphilic carbon dot in Figure 1C underscores the crystallinity of the graphite core of the nanoparticles. ^{19, 20} X-ray diffraction analysis (Figure 9, SI) yields an interlayer spacing of 0.46 nm, consistent with previous 5 reports. ²¹

To investigate membrane association of the amphiphilic carbon dots we compared the photoluminescence (PL) properties of the amphiphilic carbon dots in *phosphate buffer* vs. *incubation with giant vesicles* (GVs) comprising egg phosphatydilcholine ¹⁰ (egg PC), designed to mimic membrane environments (Figure 2A-B). ²² Specifically, Figure 2A depicts the excitation-dependent PL spectra of the amphiphilic carbon dots in phosphate buffer, while the comparable PL spectra of the dots incubated with GVs are shown in Figure 2B. The wide PL range (*i.e.* multicolour emission) apparent in both graphs is one of the signature properties of carbon dots, and has been ascribed to size variations of the nanoparticles, ^{4,21} distinct emissive traps at the carbon dot surface ^{4,21} or related mechanisms. ⁴

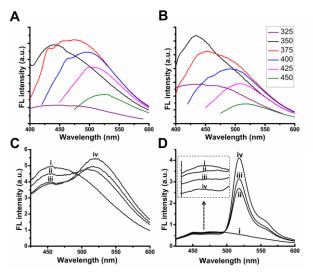


Figure 2: Photophysical properties of amphiphilic carbon dots in membrane vesicles. (A) – (B): Photoluminescence spectra of carbon dots excited at different wavelengths, recorded in phosphate buffer (A), and in solutions of giant lipid vesicles (B). (C) – (D): FRET occurring upon mixing amphiphilic carbon dots (energy donors) with GVs containing fluorescent acceptors: (C) PC:NBD-PE (100:1 mole ratio). The numerals 25 i-iv correspond to different concentrations of the fluorescent acceptor dye: i. 3.3 mg/mL carbon dots (no GVs present); ii. 3.3 μM NBD-PE; iii. 5 μM NBD-PE; iv. 6.6 μM NBD-PE. (D) PC:BODIPY-PH (1000:1 mole ratio). The numerals i-iv correspond to different concentrations of the fluorescent acceptor dye: i. 0.1 mg/mL carbon dots (no GVs present); ii. 30 0.05 μM BODIPY-PH; iii. 0.1 μM BODIPY-PH; iv. 0.2 μM BODIPY-PH. The inset depicts a magnification of the fluorescent spectra indicated by the arrow (between 420 nm and 490 nm).

Importantly, Figure 2B shows that the GVs modulate the PL spectra, giving rise to changes in the *relative intensities* of excitation/emission curves. Specifically – in *buffer* the maximal emission intensity was induced upon excitation at 375 nm, while in the *membrane environment* the maximal emission occurred upon excitation at a *different* wavelength (350 nm). Furthermore, an experimentally-significant blue shift of around 30 nm was apparent between the emission spectrum induce at excitation of 375 nm recorded in buffer and upon incubation of the amphiphilic carbon dots with GVs. The difference in

photoluminescence profiles in Figure 2A-B reflects the influence of the vesicle environment upon the carbon dots' optical properties, and is indicative of carbon dot insertion into the lipid bilayer

Förster resonance energy transfer (FRET) experiments

depicted in Figure 2C-D provide further insight into bilayer insertion of the amphiphilic carbon dots, and also point to 50 utilization of the carbon dots as energy donors in a broad spectral range. In the experiments summarized in Figure 2C-D we recorded FRET from the carbon dots to two membrane-associated dyes exhibiting significantly different excitation/emission wavelengths: N-(7-nitrobenz-2-oxa-1,3-diazol-4-yl)1,2-55 dihexadecanoyl-sn-glycero-3-phosphoethanolamine (NBD-PE; excitation maximum 469 nm, emission maximum 540 nm), and 4,4-Difluoro-8-(2-(2-((1,3-dioxoisoindolin-2yl)oxy)acetamido)phenyl)-1,3,5,7-tetramethyl-4-bora-3a,4adiaza-s-indacene-Phthalamide (BODIPY-PH; 60 maximum 500 nm, emission maximum 510 nm).²³ In the FRET analyses, we prepared giant vesicles comprising egg PC, and NBD-PE or BODIPY-PH. We then titrated the dye-containing GVs into solutions having a constant (final) concentration of the amphiphilic carbon dots. Following brief incubation, the 65 GV/carbon dot solutions were excited at wavelengths in which the emission of the amphiphilic carbon dots coincides with the excitation of the specific acceptor dye embedded within the GVs (thus achieving optimal FRET). Specifically, in case of NBD-PE, the carbon dot/GV solution was excited at 370 nm (in which the 70 carbon dot emission peak was around 450 nm, Figure 2B), while

in the solution containing GVs incorporating BODIPY-PH we applied excitation of 390 nm, in which the carbon dots emit at

around 485 nm (Figure 2B).

The fluorescence results in Figure 2C-D confirm the 75 occurrence of energy transfer from the amphiphilic carbon dots to the membrane-embedded dyes. In the case of NBD-PE (Figure 2C), increasing the concentration of NBD-PE/PC GVs resulted in increase of the NBD fluorescence emission at around 540 nm, while in parallel a decrease of the carbon dot fluorescence 80 emission at around 450 nm was apparent. These peak intensity modulations are ascribed to the occurrence of FRET between the amphiphilic carbon dots and the bilayer-embedded dye. The FRET data recorded after addition of BODIPY-PH/egg PC GVs to the amphiphilic carbon dots (Figure 2D) yielded a comparable 85 outcome as the NBD-PE/PC vesicles. Specifically, an experimentally-significant increase in the BODIPY-PH emission peak (515 nm) was recorded upon excitation at 390 nm - the excitation of the carbon dots (acting as fluorescence donors) - and elevating the concentration of the BODIPY-PH/PC GVs. The 90 enhanced emission of BODIPY-PH was accompanied by a decrease in the carbon dot emission at around 485 nm, due to the FRET. Quantification of the FRET efficiencies further demonstrate that the extent of energy transfer depends upon the carbon dot: acceptor ratios (Figure 10-11, SI). It should be noted 95 that similar FRET processes involving semiconductor dots were reported.^{24, 25} The observation of FRET from the amphiphilic carbon dots to two distinct dyes is significant, as it demonstrates that the broad PL range of the carbon dots (i.e. Figure 2B) enables energy transfer to varied fluorescent acceptors exhibiting 100 different excitation/emission profiles.

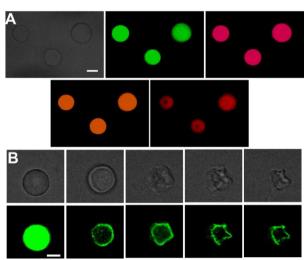
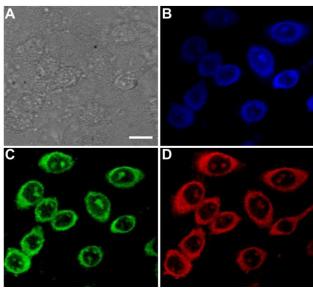


Figure 3: Fluorescence imaging of giant vesicles labeled with the amphiphilic carbon dots. A. Bright field microscopy (top left), and confocal fluorescence microscopy images recorded upon excitation at 440 5 nm emission filter EM 477/45 (green); excitation at 488 nm emission filter EM 525/50 (magenta); excitation at 514 nm emission filter EM 525/50 (orange); excitation at 568 nm emission filter EM 640/120H (red). Scale bar corresponds to 10 µm. B. Bright field (top) and fluorescent images (excitation at 440 nm) of giant vesicles labeled with the carbon 10 dots following addition of A β 40. From left: before addition (control); 1 minute after addition; 10 minutes after addition; 20 minutes after addition and 1 h after addition. Scale bar corresponds to 5 μm .

The photoluminescence properties of the amphiphilic carbon dots make possible microscopic imaging applications. Figure 3 15 presents confocal microscopy images of GVs after incubation with amphiphilic carbon dots. Multicolour imaging of the GVs is demonstrated in Figure 3A using four distinct excitation/emission wavelengths. The fluorescence microscopy images in Figure 3A indicate that the amphiphilic carbon dots were uniformly 20 distributed within the vesicle bilayer. Figure 3B vividly demonstrates the use of the amphiphilic carbon dots for real-time visualization of membrane processes. Figure 3B presents microscopy images recorded at different times after addition of amyloid β (1-40) (A β 40) to GVs labeled with the amphiphilic 25 carbon dots (images obtained with additional excitation/emission wavelengths are shown in Figure 12, SI). A β 40 has been extensively studied as a prominent toxic factor in Alzheimer's disease and is believed to interact with membrane bilayers. 26-28 Indeed, the fluorescence microscopy images in Figure 3C provide 30 a dramatic visual demonstration of a gradual A β 40-induced distortion of the spherical membrane surface, resulting in significantly deformed vesicle morphology. Imaging of membrane deformation following interactions with other membrane-active species was also recorded (Figure 13, SI).

The spectroscopic and microscopic data in Figures 2 and 3 underscore the significance of the broad excitation/emission range for membrane analysis. Indeed, while other fluorescent dyes or inorganic nanoparticles (i.e. semiconductor dots) exhibit specific excitation/emission wavelengths which generally depend 40 upon the molecular properties (in case of fluorescent dyes) or the dot diameter and composition, ^{29,30} a single amphiphilic carbon dot sample displays multiple colours - of which one could select the desired wavelength for imaging and/or membrane analysis

(using FRET to specific acceptor dyes, for example).



45 Figure 4: Cell imaging with amphiphilic carbon dots. Bright-field image (A) and confocal fluorescence microscopy images of CHO cells incubated with egg-PC/carbon dot vesicles. The images were recorded at excitation of 405 nm emission filter 525/30 nm (B); excitation of 488 nm emission filter 525/30 nm (C); excitation at 561 nm emission 641/40 nm 50 (D). The fluorescence images confirm insertion of the carbon dots into the cells. Scale bar is 10 µm.

The amphiphilic carbon dots can be also employed as a vehicle for live cell imaging (Figure 4). In these experiments we prepared mixed small unilamellar vesicles comprising egg-PC and the 55 amphiphilic carbon dots, and exploited endocytic vesicle-uptake by cells^{31, 32} as the mechanism for cell internalization of the carbon dots. The confocal microscopy images in Figure 4 depict epithelial Chinese hamster ovary (CHO) cells following incubation with the egg-PC/carbon dot vesicles. The fluorescence 60 images, recorded upon excitation at three different wavelengths, demonstrate that the carbon dots were inserted into the cells, exhibiting a relatively uniform distribution within the cytosol and nucleoli. Notably, carbon dot uptake by the cells did not seem to adversely affect their viability as judged by cell shapes and 65 application of cell viability assays (Figure 14, SI). Similar to the giant vesicle imaging experiments (Figure 3), the intrinsic multicolour properties of the carbon dots constitute a significant advantage for cell imaging applications.

In summary, we present a new synthetic route for production 70 of carbon dots coated with an amphiphilic hydrocarbon layer, and demonstrate, for the first time, application of these amphiphilic carbon dots for analysis and imaging of biological membranes and membrane events. The newly-synthesized amphiphilic carbon dots exhibit notable advantages as membrane probes in 75 comparison with other currently-used fluorescent markers and inorganic dots, since the intrinsically broad photoluminescence range of a single carbon dot sample makes possible multicolour imaging, and FRET to varied membrane-associated fluorophores. The bright multicolour luminescence of the carbon dots enables 80 visualization of membrane interactions in model vesicle systems and microscopic imaging of live cells. Overall, this study indicates that amphiphilic carbon dots constitute a potentially

powerful vehicle for investigating and visualizing membranes and membrane processes.

Acknowledgements

We are grateful to Dr. David Sprinzak, Tel Aviv University, for 5 help with the cell imaging experiments, and Vladimir Ezersky, Ilse Katz Institute for Nanotechnology, Ben Gurion University for help with the HR-TEM experiments. The Kreitman School of Advanced Graduate Studies at Ben Gurion University is acknowledged for financial support (SN).

10 Notes and references

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