ChemComm

Accepted Manuscript



This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this Accepted Manuscript with the edited and formatted Advance Article as soon as it is available.

You can find more information about *Accepted Manuscripts* in the **Information for Authors**.

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard <u>Terms & Conditions</u> and the <u>Ethical guidelines</u> still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.



www.rsc.org/chemcomm

ChemComm

Journal Name

COMMUNICATION

Cite this: DOI: 10.1039/x0xx00000x

Received 00th January 2012, Accepted 00th January 2012

DOI: 10.1039/x0xx00000x

www.rsc.org/

Electron-donor function of methanofullerenes in donor-acceptor bulk heterojunction systems

Yutaka Ie,^{*a,b**} Makoto Karakawa,^{*a*} Seihou Jinnai,^{*a*} Hiroyuki Yoshida,^{*b,c*} Akinori Saeki,^{*b,d*} Shu Seki,^{*d*} Shunsuke Yamamoto,^{*e*} Hideo Ohkita^{*b,e*} and Yoshio Aso^{*a**}

Electron-donor function of methanofullerenes (MFs) in bulk heterojunction systems is demonstrated by the combination of MFs with the electron-transporting π -system that has much higher electron affinity than MFs.

Fullerene derivatives have been well-recognized as an electronaccepting material and extensively used in donor-acceptor linked systems in order to investigate a photoinduced charge-separation process in the molecular level.¹ This fundamental aspect is extended to photon-to-current conversion process in bulk composite devices. In fact, organic photovoltaics (OPVs) have become an active area of research in both academia and industry in recent years.² The most efficient organic layers for OPVs are based on the concept of bulk heterojunctions (BHJs), which are composed of a blend of electrondonor (p-type) and electron-acceptor (n-type) materials.³ Methanofullerene derivatives represented by [6,6]-phenyl-C_x-butyric acid methyl ester (x = 61: PC₆₁BM, x = 71: PC₇₁BM) have been employed as typical acceptor semiconductors in such systems (Fig. 1).⁴ On the other hand, the hole-transporting characteristics of the methanofullerenes in bulk heterojunction films remain unclear, although there have been several reports suggesting that fullerene derivatives participate in not only electron transportation but also hole transportation in donor polymer/PC₆₁BM blend films.^{5,6} Considering that PC₆₁BM shows ambipolar charge-transport characteristics,⁷ it should also be able to function as a donor semiconductor when combined with acceptor materials whose lowlying lowest unoccupied molecular orbital (LUMO) energy levels are much lower than that of $PC_{61}BM$. Nevertheless, the use of fullerene derivatives as donor materials is limited to the combination of fullerene (C₆₀)/perfluorinated phthalocyanine derivatives in vacuum-deposited bilayer OPV devices.^{8,9} In addition, the confined interfaces between the donor and acceptor materials in such bilayer systems hinder spectroscopic investigations.

In order to realize BHJ systems that employ $PC_{61}BM$ as the donor material, it is necessary to use acceptor materials with low LUMO energy levels, high electron mobilities, and good solubilities. Recently, we developed a new electron-transporting π -conjugated compound (BCN-HH-BCN) that meets these criteria (Fig. 1).¹⁰ We envisioned that using BCN-HH-BCN in combination with $PC_{61}BM$ should allow the electron-donor function and hole-transporting characteristics of $PC_{61}BM$ in blend films to be elucidated. In this paper, we systematically investigated the material properties, the photovoltaic characteristics of BHJ solar cells based on $PC_{61}BM$ and BCN-HH-BCN, and the charge carrier dynamics in the corresponding blend films.

RSCPublishing



Fig. 1 Chemical structures of the compounds used in this study.

Previously, the electrochemical properties of BCN-HH-BCN were investigated by cyclic voltammetry measurements performed in a fluorobenzene solution.¹⁰ On the basis of its half-wave reduction potential (-0.67 V vs. Fc/Fc⁺) and considering that the energy level of ferrocene/ferrocenium (Fc/Fc⁺) is -4.8 eV below the vacuum level, the LUMO energy level of BCN-HH-BCN was estimated to be -4.1 eV. Although this method is widely used for estimating HOMO and LUMO energies, the values determined by this method are for isolated single molecules in solution only. In addition, these values depend on a number of factors such as different approximations of the formal potential of Fc/Fc^{+,11} Recently, low-energy inverse photoemission spectroscopy (LEIPS) has been demonstrated. It involves the use of irradiating electrons with kinetic energies lower than the damage threshold of organic materials.^{12a} The photon energy falls in the near-ultraviolet range, allowing one to use a multilayer bandpass filter for photon detection. This leads to an overall energy resolution of 0.3 eV or better. In this study, we applied this new technique to determine the LUMO energy level of BCN-HH-BCN in the solid state. Fig. 2(a) shows the LEIP spectra of BCN-HH-BCN and PC₆₁BM obtained at a photon energy of 5.0 eV. On the basis of the onset energy with respect to the vacuum level, the electron affinity of BCN-HH-BCN in the solid state is determined to be -4.45 eV (see also Fig. S1 in the ESI). Since the electron affinity of PC61BM was recently determined to be -3.81 eV by the same technique, 12b as shown in Fig. 2(a), the LUMO energy level of BCN-HH-BCN is 0.64 eV lower than that of $PC_{61}BM$.

The ionization potentials (IPs) of BCN-HH-BCN and $PC_{61}BM$ films were measured by photoelectron spectroscopy in air (PESA). The IP for BCN-HH-BCN was determined to be -6.64 eV, which is 0.56 eV lower than that of $PC_{61}BM$ (Fig. S2). On the basis of these results, the energy levels of BCN-HH-BCN and $PC_{61}BM$ were unambiguously determined and are depicted in Fig. 2(b); it can be inferred that BCN-HH-BCN is a good candidate for an acceptor material to cause the donor function of $PC_{61}BM$.



Fig. 2 (a) LEIP spectra of BCN-HH-BCN (blue) and $PC_{61}BM$ (red)^{12b}. (b) Energy level diagrams for BCN-HH-BCN and $PC_{61}BM$. The HOMO and LUMO energy levels were determined by the PESA and LEIPS, respectively.

The local hole mobility of PC61BM was investigated by flashphotolysis time-resolved microwave conductivity (FP-TRMC).¹³ As shown in Fig. S3(a), the photoconductivity transient of a PC₆₁BM film prepared by the drop casting of a PC₆₁BM solution in tetrahydrofuran (THF) and excited at 355 nm displays a maximum $\phi \Sigma \mu$ of 2.6 × 10⁻⁵ cm² V⁻¹ s⁻¹, where ϕ and $\Sigma \mu$ denote the charge carrier generation yield and the sum of the hole and electron mobilities $(\Sigma \mu = \mu^+ + \mu^-)$, respectively. Upon the addition of tetracyanoethylene (TCNE) in the blend ratio of $PC_{61}BM/TCNE =$ 1:0.1 by weight, the maximum $\phi \Sigma \mu$ was increased to 3.2×10^{-5} cm² V^{-1} s⁻¹. This is due to electron transfer from PC₆₁BM to TCNE, which acts as a strong electron acceptor against not only donor polymers (e.g., poly(3-hexylthiophene))^{14a} but also $PC_{61}BM$.^{6a} Concomitantly, the photocurrent, measured with a comb-type interdigitated Au electrode on glass, increased by 65%, as shown in Fig. S3(b). Because the amount of TCNE added is low (10%) and since TCNE lacks an effective electron transporting pathway, the increases in both the FP-TRMC and the photocurrent transients are most likely because of the photogenerated holes in PC₆₁BM. By estimating ϕ using a previously reported procedure,^{14b} the local hole mobility of PC₆₁BM is found to be 8×10^{-3} cm² V⁻¹ s⁻¹. Meanwhile, the electron mobility in pristine $PC_{61}BM$, obtained in the same fashion, was 0.02 cm² V⁻¹ s⁻¹ and in an agreement with that reported previously (0.04–0.3 cm² V⁻¹ s⁻¹) using pulse radiolysis TRMC.^{7b} The fact that the hole mobility of PC₆₁BM is lower than its electron mobility as well as its deep LUMO is indicative of the primary ntype nature of $PC_{61}BM$; this property is the reason it is commonly used in OPVs and field-effect transistors. However, PC₆₁BM is potentially able to serve as a donor in combination with a much stronger electron acceptor.

In order to investigate the photovoltaic properties of a blend of PC₆₁BM and BCN-HH-BCN, a conventional BHJ solar cell was fabricated. The current density-voltage (J-V) characteristics of the device were evaluated under air mass 1.5 global (AM1.5G) simulated solar illumination with an irradiation intensity of 100 mW cm⁻². The configuration of the cell was the following: glass/indium tin oxide (ITO)/ poly(3,4ethylenedioxythiophene):poly(styrenesulfonate)(PEDOT:PSS)/active layer/Al. The conditions for fabricating the active layer were optimized and found that an active layer could be prepared by spin coating an o-dichlorobenzene solution of the PC61BM/BCN-HH-BCN (2:1 weight ratio) blend in a nitrogen atmosphere without

thermal annealing (see the ESI). Under these optimized conditions, the OPV device exhibited a short-circuit current (J_{SC}) of 1.73 mA cm^{-2} , an open-circuit voltage (V_{OC}) of 0.45 V, a fill factor (FF) of 0.27, and a PCE of 0.21% (Fig. 3(a)). The photocurrent action spectrum of the external quantum efficiency (EQE) of the device was measured to reveal its photoresponse against different wavelengths. As shown in Fig. 3(b), the EQE spectrum of the device exhibited a broad response in the range of 300-750 nm. This profile resembled well the absorption spectrum of a PC61BM/BCN-HH-BCN (2:1) blend film (Fig. S4(a)). On the basis of the absorption spectra of PC₆₁BM and BCN-HH-BCN in the solid state (Fig. S4(b)), it can be said that the photoresponses of the device in the shortwavelength region of approximately 400 nm and the longwavelength region extending from 500 to 750 nm are mainly derived from PC₆₁BM and BCN-HH-BCN, respectively. This indicates that, on being photoexcited, both PC61BM and BCN-HH-BCN contributed to the photocurrent generated. Furthermore, as shown in Fig. 3(a), PCE was improved to 0.34% for the OPV device based on PC₇₁BM and BCN-HH-BCN (2:1): a J_{SC} of 2.17 mA cm⁻², a V_{OC} of 0.53 V, and a FF of 0.29. The EQE spectrum of PC71BM-containing device showed a higher efficiency in the visible region than PC₆₁BM-containing device (Fig. 3(a)), reflecting the relatively high absorbance of PC₇₁BM (Fig. S4(a)). In addition, hole-only devices with a structure of ITO/PEDOT:PSS/PC61BM or PC71BM/Au showed that the space-charge-limited current (SCLC) hole mobility of PC₇₁BM (1.6×10^{-6} cm² V⁻¹ s⁻¹) was slightly higher than that of $PC_{61}BM$ (1.4 × 10⁻⁶ cm² V⁻¹ s⁻¹) (Fig. S5). This result also supports the improved performance of the $PC_{71}BM$ -containing device.



Fig. 3 (a) *J*–*V* curves of the OPV device based on PC₆₁BM/BCN-HH-BCN (red) and PC₇₁BM/BCN-HH-BCN (blue) under AM1.5G illumination (100 mW cm⁻²) and in the dark (dashed lines). (b) EQE spectra of the OPV device based on PC₆₁BM/BCN-HH-BCN (red) and PC₇₁BM/BCN-HH-BCN (blue).

We measured the transient absorption spectra (TAS) of the PC₆₁BM/BCN-HH-BCN blend film to elucidate its charge generation dynamics. As shown in Fig. 4(a), 0.1 ns after the laser excitation, a sharp absorption peak was observed at approximately 700 nm. In addition, a broad absorption band was observed extending from 900 to 1200 nm. The absorption band at 700-900 nm disappeared gradually and instead an absorption band was observed at approximately 1100 nm at 1 ns after the excitation. In order to assign these absorption bands, we measured the absorption spectra of the BCN-HH-BCN singlet excitons, triplet excitons, and radical anions separately (see the ESI). Consequently, we found that the BCN-HH-BCN radical anions, singlet excitons, and triplet excitons exhibit absorption bands at 720, 950, and 1100 nm, respectively. As reported previously, PC₆₁BM radical cations exhibit an absorption band at 890 nm.^{6a} On the basis of this fact, the transient spectrum at 0.1 ns could be replicated by summing the individual spectra of the PC61BM radical cations, the BCN-HH-BCN radical anions, and the BCN-HH-BCN triplet excitons, as shown in Fig. 4(b). This finding clearly shows that PC61BM radical cations and BCN-HH-BCN radical anions are generated in the blend upon photoexcitation. On the other hand, the transient spectrum at 1 ns was almost identical to the absorption spectrum of the BCN-HH-BCN triplet excitons. This triplet formation cannot be ascribed to the intersystem crossing because BCN-HH-BCN singlet excitons disappeared in 2 ps in PC₆₁BM/BCN-HH-BCN films. Rather, it is ascribed to the triplet formation through the charge recombination of PC₆₁BM radical cations and BCN-HH-BCN radical anions. This is probably because BCN-HH-BCN triplet excitons are more stable than both PC₆₁BM radical cations and BCN-HH-BCN radical anions. The triplet energy $(E_{\rm T})$ of BCN-HH-BCN is roughly estimated to be 1.1 eV, assuming that the difference in the energies of singlet and triplet excitons is 0.7 eV, which is the typical value for small molecules.¹⁵ On the other hand, the triplet energy of $PC_{61}BM$ is reported to be 1.5 eV.¹⁶ The energy of the charge-separated states (E_{CT}) was estimated to be 1.63 eV from the HOMO (PC61BM) and LUMO (BCN-HH-BCN) levels shown in Fig. 2(b). We, therefore, conclude that PC₆₁BM radical cations and BCN-HH-BCN radical anions are generated in the blend but are converted to the more stable BCN-HH-BCN triplet states by charge recombination. This formation of the triplet states is consistent with the relatively low device performance of the PC₆₁BM/BCN-HH-BCN solar cells.



Fig. 4 (a) TAS of PC₆₁BM/BCN-HH-BCN blend film 0.1 ns (black) and 1 ns (red) after laser excitation at 600 nm. The laser fluence was set to 30 μ J cm⁻². (b) The simulation of TAS. The open circles indicate the spectrum measured 0.1 ns after excitation. The red solid line indicate the spectrum simulated by summing the individual absorption spectra of the transient species: PC₆₁BM radical cations (red dashed line), BCN-HH-BCN radical anions (blue dashed line), and BCN-HH-BCN triplet excitons (green dashed line).

In summary, we unambiguously determined the molecular properties of $PC_{61}BM$ and BCN-HH-BCN in the solid state. The results obtained suggest that $PC_{61}BM$ should function as a donor semiconductor when paired with strong acceptor BCN-HH-BCN. As a consequence, BHJ solar cells based on a combination of PC_xBM (x = 61 or 71) and BCN-HH-BCN showed photovoltaic characteristics. The transient absorption measurements of the blend film suggested the formation of $PC_{61}BM$ radical cations and BCN-HH-BCN radical anions. The most notable point in this study is that methanofullerene derivatives can contribute to hole transportation as a p-type material in BHJ systems, which provides important insights into the precise molecular design of semiconducting materials and/or new donor–acceptor systems.

This work was supported by the funding program, JSPS and MEXT, Japan. We thank Prof. Y. Murata and Dr. A. Wakamiya of Kyoto University for PESA measurements.

Notes and references

^a The Institute of Scientific and Industrial Research (ISIR), Osaka University, 8-1 Mihogaoka, Ibaraki, Osaka 567-0047, Japan

^b Japan Science and Technology Agency (JST)-PRESTO, 4-1-8 Honcho, Kawaguchi, Saitama 333-0012, Japan ^c Institute for Chemical Research, Kyoto University, Uji, Kyoto 611-0011, Japan

^d Department of Applied Chemistry, Graduate School of Engineering, Osaka University, 2-1 Yamadaoka, Suita, Osaka 565-0871, Japan

^e Department of Polymer Chemistry, Graduate School of Engineering, Kyoto University, Katsura, Nishikyo, Kyoto 615-8510, Japan

Electronic Supplementary Information (ESI) available: LEIP, PESA, TRMC, SCLC, and UV-vis measurements, detailed measurement conditions. See DOI: 10.1039/c000000x/

- H. Imahori and S. Fukuzumi, *Adv. Funct. Mater.*, 2004, **14**, 525; D.
 M. Guldi, B. M. Illescas, C. M Atienza, M. Wielopolski, N. Martin, *Chem. Soc. Rev.*, 2009, **38**, 1587; F. D'Souza and O. Ito, *Chem. Commun.*, 2009, 4913.
- Y. Li, Acc. Chem. Res., 2012, 45, 723; A. Green, K. Emery, Y. Hishikawa, W. Warta and E. D. Dunlop, Prog. Photovolt: Res. Appl., 2013, 21, 1.
- 3 G. Yu, J. Gao, J. C. Hummelen, F. Wudl and A. J. Heeger, *Science*, 1995, **270**, 1789; M. Hiramoto, H. Fujiwara and M. Yokoyama, *Appl. Phys. Lett.*, 1991, **58**, 1062.
- 4 M. M. Wienk, J. M. Kroon, W. J. H. Verhees, J. Knol, J. C. Hummelen, P. A. van Hal and R. A. J. Janssen, *Angew. Chem. Int. Ed.*, 2003, **42**, 3371; Y. He and Y. Li, *Phys. Chem. Chem. Phys.*, 2011, **13**, 1970; Y. Matsuo, *Chem. Lett.*, 2012, **41**, 754.
- 5 C. Melzer, E. J. Koop, V. D. Mihailetchi and P. W. M. Blom, Adv. Funct. Mater., 2004, 14, 865; S. M. Tuladhar, D. Poplavskyy, S. A. Choulis, J. R. Durrant, D. D. C. Bradley and J. Nelson, Adv. Funct. Mater., 2005, 15, 1171; A. Gadisa, K. Tvingstedt, K. Vandewal, F. Zhang, J. V. Manca and O. Inganäs, Adv. Mater., 2010, 22, 1008.
- 6 (a) S. Yamamoto, J. Guo, H. Ohkita and S. Ito, *Adv. Funct. Mater.*, 2008, 15, 2555; (b) S. Yamamoto, H. Ohkita, H. Benten and S. Ito, *Adv. Funct. Mater.*, 2012, 22, 3075.
- 7 (a) T. D. Anthopoulos, C. Tanase, S. Setayesh, E. J. Meijer, J. C. Hummelen, P. W. M. Blom and D. M. de Leeuw, *Adv. Mater.*, 2004, 16, 2174; (b) M. P. de Haas, J. M. Warman, T. D. Anthopoulos and D. M. de Leeuw, *Adv. Funct. Mater.*, 2006, 16, 2274.
- Q. L. Song, H. B. Yang, Y. Gan, C. Gong and C. M. Li, *J. Am. Chem. Soc.*, 2010, **132**, 4554; J. L. Yang, P. Sullivan, S. Schumann, I. Hancox and T. S. Jones, *Appl. Phys. Lett.*, 2012, **100**, 023307.
- Metal-doped C₆₀ can also function as a donor: M. Kubo, K. Iketaki, T. Kaji and M. Hiramoto, *Appl. Phys. Lett.*, 2011, **98**, 073311.
- 10 Y. Ie, K. Nishida, M. Karakawa, H. Tada, A. Asano, A. Saeki, S. Seki and Y. Aso, *Chem. Eur. J.*, 2011, **17**, 4750.
- 11 C. M. Cardona, W. Li, A. E. Kaifer, D. Stockdale and G. C. Bazan, *Adv. Mater.*, 2011, 23, 2367.
- (a) H. Yoshida, *Chem. Phys. Lett.*, 2012, **539-540**, 180; (b) H. Yoshida, MRS Proceedings, 2012, **1493**, 295.
- A. Saeki, Y. Koizumi, T. Aida and S. Seki, Acc. Chem. Res., 2012, 45, 1193.
- (a) A. Saeki, S. Seki, Y. Koizumi, T. Sunagawa, K. Ushida and S. Tagawa, *J. Phys .Chem. B*, 2005, **109**, 10015; (b) Y. Yasutani, A. Saeki, T. Fukumatsu, Y. Koizumi, and S. Seki, *Chem. Lett.*, 2013, **42**, 19.
- 15 A. Köhler and H. Bässler, *Mater. Sci. Eng. R*, 2009, **66**, 71.
- 16 S. Cook, H. Ohkita, J. R. Durrant, Y. Kim, J. J. Benson-Smith, J. Nelson and D. D. C. Bradley, *Appl. Phys. Lett.*, 2006, 89, 101128.