

Analytical Methods

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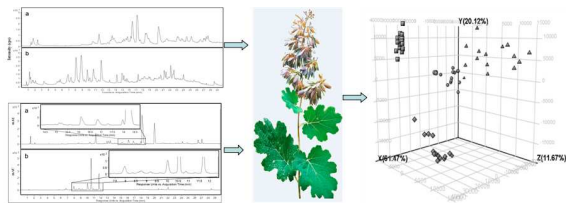
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2 **An improved separation method for classification of *Macleaya cordata***

3 **from different geographical origins**

4
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14 *Keywords:* polar compounds, alkaloid, *Macleaya cordata*, Mass spectrometry

15
16 **Abstract:**

17 In this study, an improved separation method for metabolomic study of polar and
18 basic secondary metabolites by high-performance liquid chromatography-quadrupole-
19 time-of-flight mass spectrometry (HPLC-Q-TOF-MS) using an “Xcharge C18” column
20 has been developed. Good retention for polar compound and perfect peak shape for basic
21 components was achieved. Classification four different major origins of *Macleaya*
22 *cordata*, which is a traditional folk medicine mainly used as a popular natural feed
23 additive (*e.g.* Sangrovit[®]) in Europe and Asia since 2002, was performed by principle
24 component analysis (PCA) and partial least squares-discriminant analysis (PLS-DA).

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3 25 PCA of analysis data showed a clear separation among four different geographical origins.
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5 26 The biomarkers such as *N*-methyl-7-demethoxyhydrocotarnine and 13-methylcryptopine
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8 27 accountable for variation were tentatively identified by their tandem mass spectrometry
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10 28 (MS/MS) fragmentation behaviors. The proposed analytical method was shown to be a
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12 29 useful tool for the metabolomic study of polar compounds and alkaloids containing
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14 30 plants.

17 31 **1. Introduction**

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20 32 Qualitative and quantitative analysis of the metabolites, mainly including primary
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22 33 metabolites (*e.g.* carbohydrates, lipids and amino acids) and secondary metabolites (*e.g.*
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24 34 alkaloids, terpenoids and flavonoids), in biological samples has been paid more and more
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26 35 attention in recent years.¹ However, the unbiased and simultaneous determination of the
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28 36 secondary metabolites is far from easy. Thousands of secondary metabolites existed in
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30 37 tissue and biofluid are the main challenge for metabolic analysis. Due to their different
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32 38 physico-chemical properties and abundance. They range from hydrophilic to hydrophobic,
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34 39 acidic to basic molecules at picomolar to millimolar concentrations.² Based on this fact,
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36 40 separation is an essential part of the metabolic study, strongly affecting on both resolution
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38 41 and quantification.³ Chromatography methods, such as gas chromatography (GC),
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40 42 high-performance liquid chromatography (HPLC), ultra-high performance liquid
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42 43 chromatography (UHPLC), capillary high-performance liquid chromatography
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44 44 (capHPLC), capillary electrophoresis and capillary electrochromatography, have been
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46 45 used on-line and off-line with mass spectrometry for the separation, identification and
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48 46 quantitation of secondary metabolites from different samples.^{2,4} Among the MS-based
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50 47 method, HPLC-MS is increasingly used for metabolic studies due to HPLC can separate
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3 48 different classes of compounds though different chromatographic modes and MS has the
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5 49 ability to determine the secondary metabolites at pg/ml range. Reversed phase liquid
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8 50 chromatography (RPLC) is the most popular HPLC mode used in the metabolomic
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10 51 research for its universality, high separation efficiency and good reproducibility.⁴⁻⁶

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13 52 However, it is still a great challenge to separate polar and basic secondary metabolites
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15 53 for conventional RPLC. Polar secondary metabolites are important components in the
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17 54 cellular regulatory process, and their changes in quality or quantity demonstrate that
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19 55 biosystem was motivated to some extent. Owing to the limitation of separation, hardly
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21 56 any studies focused on the profiling of polar secondary metabolites until hydrophilic
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23 57 interaction chromatography (HILIC) was proposed by Alpert.⁷ Basic secondary
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25 58 metabolites are widely distributed in metabolic samples, especially in higher plants
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27 59 whose main ingredient is alkaloid, such as *Papaver somniferum L.*, *Rhizome coptidis*,
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29 60 *Macleaya cordata* and *Corydalis rhizome*. Severe peak tailing often takes place using
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31 61 conventional RPLC for alkaloids, even when a very small amount is injected.⁸ It is
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33 62 contributed to the strong ionic interactions between basic alkaloids and the acidic residual
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35 63 silanols on the surface of silica matrix.⁹ a new type of polar modified reversed phases
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37 64 liquid chromatography stationary phase was developed by a so-called
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39 65 “polar-copolymerized” approach with a commercial name “XCharge C18”.¹⁰ It exhibited
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41 66 not only enhanced retention and resolution ability for the polar compounds,¹¹ but also
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43 67 excellent peak shape for basic compounds without any salt buffer or ion-pair reagents.^{10,12}

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46 50 In this work, an improved separation method, mainly focused on the simultaneous
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48 51 separation of polar and basic compounds for metabolomic study of alkaloids containing
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70 plant was developed. Classification of *M. cordata* from different major geographical

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4 71 origins was used to test this method. This medical plant is rich in quaternary isoquinoline
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6 72 alkaloids, which were used to inhibit bacterial as a human medicine for external use,¹³
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8 73 promote animal growth as a veterinary medicine,¹⁴ protect crop as a pesticide.¹⁵ Finally,
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10 74 polar and basic compounds were simultaneously separated and detected in this study.
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12 75 Their major difference was shown by principal component analysis (PCA) and partial
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14 76 least square discriminate analysis (PLS-DA). Potentially variable compounds were
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16 77 tentatively identified by tandem mass spectrometry (MS/MS) for characterisation of the
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20 78 *M. cordata* from four geographical origins.

22 79 **2. Experimental**

23 80 **2.1. Chemicals**

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27 81 Methanol and acetonitrile were purchased from Merck (Darmstadt, Germany), formic
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29 82 acid with a purity of 99% was purchased from ROE (Newark, New Castle, USA), and
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31 83 deionized water was produced by a Milli-Q purification system (MA, USA).

32 84 **2.2. Materials and Sample preparation**

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36 85 A total of 60 *M. cordata* plant's fruits were collected from four different major origins:
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38 86 Jinzhai (Anhui province), Qimen (Anhui province), Changsha (Hunan province) and
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40 87 Loudi (Hunan province) in August, 2013 and were authenticated by Prof Jian-Guo Zeng
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42 88 (Hunan Agricultural University, China). 0.5 g fine powder (60 meshes) of each sample
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44 89 was added into 100 ml 50% aqueous methanol solution, ultrasonically extracted at 100
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46 90 Hz for 60 min, and then cooled at the room temperature. After compensating for the lost
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48 91 weight of methanol, the extracted solution was centrifuged at 13,000 rpm (8493g) for 10
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50 92 min. The supernatant was filtered through a 0.22 µm nylon filter before HPLC analysis.

51 93 **2.3. HPLC-Q-TOF/MS**

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4 94 An Agilent 1290 LC system (consisting of vacuum degasser, autosampler, rapid
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6 95 resolution binary pump and thermostatted column compartment) coupled with a 6530
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8 96 Q-TOF/MS accurate-mass spectrometry (Agilent Technologies, USA) was used for
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10 97 HPLC-Q-TOF/MS analysis. The two chromatographic columns used in this study were
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12 98 XCharge C18 (150 mm × 2.1 mm, 5 μm, Acchrom Co. Ltd, China) and Zorbax Eclipse
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14 99 Plus C18 (150 mm × 4.6 mm, 5 μm, Agilent Technologies, USA). The aqueous
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16 100 constituent of the mobile phase (eluent A) differed depending on ionization polarity
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18 101 setting: 0.2% formic acid aqueous solution and aqueous solution were used in positive
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20 102 and negative modes, respectively. The organic modifier of the mobile phase was 0.2%
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22 103 formic acid in acetonitrile (eluent B). A linear gradient was optimized as follows, 0-2 min,
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24 104 0% B; 2-5 min, 0-10% B; 5-6 min, 10-11% B; 6-16 min, 11-35% B; 16-26 min, 35-100%
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26 105 B; 26-27 min, 100% B; flow rate was set at 0.35 and 1 mL/min for those two columns,
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28 106 respectively. The injection volume was 5 μL and the column temperature was maintained
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30 107 at 30 °C in each run.
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37 108 The mass spectrometer was operated either in positive electrospray ionization (ESI⁺)
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39 109 or negative electrospray ionization (ESI⁻) mode, parameter settings used for the
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41 110 measurement were as follows: capillary voltage: 4kV (positive ion mode) and 3.5 kV
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43 111 (negative ion mode); Nozzle voltage: 0kV (positive ion mode) and 1 kV (negative ion
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45 112 mode); nebulizer pressure: 50 psi; drying gas: 6 L/min; gas temperature: 300 °C; skimmer
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47 113 voltage: 65 V; OCT1 RF Vpp: 750 V; fragmentor voltage: 135 V. Data were acquired
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49 114 using the extended dynamic range mode (2 GHz) and collected in the full-scan mode
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51 115 from *m/z* 100 to 1000 in centroid mode. The TOF mass spectrometry was calibrated
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55 116 routinely before sample analysis using reference masses at *m/z* 121.0855, 922.0922
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3 117 (positive ion mode) and 119.0362, 980.0164 (negative ion mode) to obtain high-accuracy
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5 118 mass measurements. The targeted MS/MS experiments were operated using variable
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8 119 collision energy (10-50 eV), which was optimized for each potential biomarkers.
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10 **2.4. Data analysis**

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13 121 The acquired data was firstly analyzed using the molecular feature extraction algorithm
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15 122 of the MassHunter Workatation software (version 3.01, Agilent Technologies, USA). The
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17 123 resulting feature files for each sample were created to the “.cef” files and subsequently
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19 124 exported into the mass profiler professional (MPP) software (version B 02.00, Agilent
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21 125 Technologies, USA) for further processing. After peak finding, alignment, and filtering of
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23 126 raw data, a list of the ion intensities of all compounds with their corresponding retention
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25 127 time and m/z was generated. In the next step, this data was analyzed by using PCA and
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27 128 PLS-DA. The marker compounds were tentatively identified by their MS/MS
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29 129 fragmentation behaviors.
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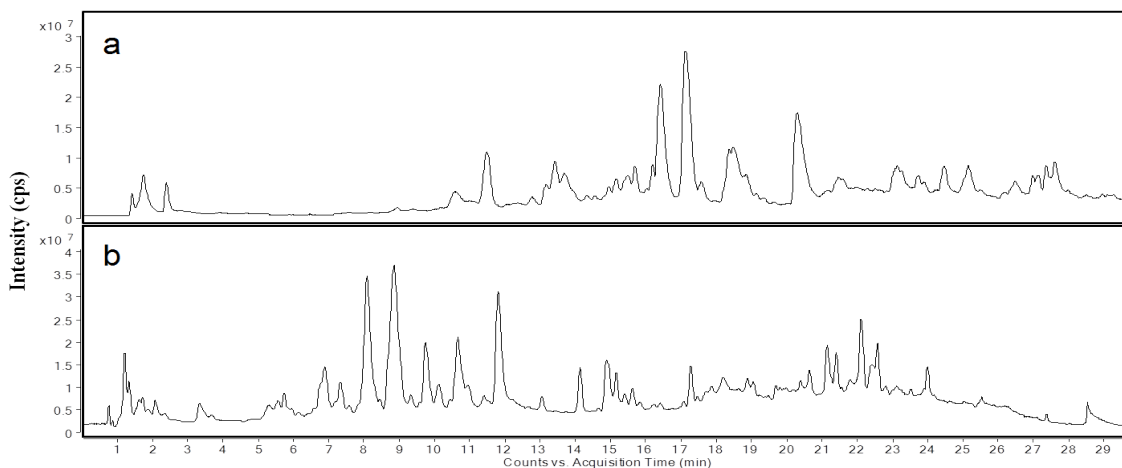
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35 36 131 **3. Results and discussion**

37 38 132 **3.1. Development of the separation method**

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41 133 Semi-polar and apolar secondary metabolites can be well separated based on their
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43 134 hydrophobicity, while polar secondary metabolites typically elute in the solvent front. In
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45 135 this study, the polar-copolymerized C18, named XCharge C18 for commercial use, which
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47 136 was successfully used to separation and purification of polar compounds from *Radix*
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49 137 *Isatidis*,¹¹ was employ for the separation of polar secondary metabolites of *M. cordata*. As
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51 138 shown in Fig.1, Visual examination indicated that polar compounds, eluted from
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53 139 0.5-4min, were well retained and separated on XCharge C18, which was better than on
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4 140 conventional Zorbax Eclipse Plus C18. The detected ions using XCharge C18 (828 ions)
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6 141 is much more than Zorbax Eclipse Plus C18 (325 ions) (ions whose absolute response in
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8 142 higher than 200 counts in the retention time of 0.5-4min were recorded using MassHunter
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11 143 software).



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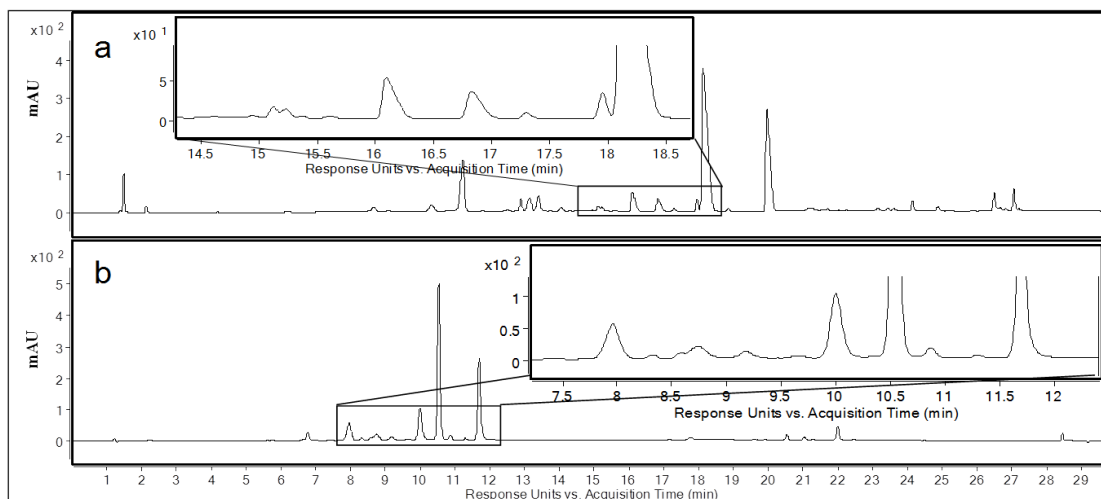
145 Fig.1 TIC chromatogram on Zorbax Eclipse Plus C18 (a) and XCharge C18 (b).

146 For metabolomic study of alkaloids containing plants, e.g., *M. cordata*, good peak
147 shape assures the results of detection, data mining and final conclusion. As discussed
148 above, polar-modified C18 not only has the advantage of good retention of polar
149 secondary metabolites, but also improvement of peak shape of basic molecules. In this
150 work, separation efficiency for basic compounds of XCharge C18 is much higher than
151 other commercial well-known C18 in the market. By using the XCharge C18 column,
152 symmetrical peak shape were obtained and shown in Fig.2, while tailing peaks were
153 observed using Zorbax Eclipse Plus C18.

154 3.2. Optimization of RPLC-MS condition

155 In the current study, non-targeted metabolomic study of *M. cordata* was performed. In
156 order to obtain profiles containing as many compounds as possible, ultrasonic extraction
157 was employed to deal with the large-amount of *M. cordata* samples due to the good

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4 158 repeatability and simplicity. In order to extract both the high water solubility and low
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6 159 polarity compounds with high efficiency, 50% aqueous methanol was selected as the
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8 160 extraction solvent.



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162 Fig.2 UV chromatogram (280nm) on Zorbax Eclipse Plus C₁₈ (a) and XCharge C₁₈ (b).

163 As discussed in the previous study, XCharge C18 is a kind of unique separation
164 material, which can separate alkaloids with excellent peak shape without any salt buffer
165 or ion-pair reagents.¹² Formic acid was chosen as the mobile phase additive, not only
166 assuring the reproducibility of LC separation, but also improving the response in the MS
167 detection owing to alkaloids are prone to be protonated at lower pH. In addition, 100%
168 aqueous solvent was used as the initial mobile phase to enhance the retention of polar
169 metabolites/alkaloids.

170 Apart from the chromatographic conditions to be defined, the quality control (QC) of
171 the method was also explored here. To confirm the quality control, eight available
172 reference compounds including chelerythrine, sanguinarine, allocryptopine, protopine,
173 berberine, oxysanguinarine, dihydrosanguinarine, dihydrochelerythrine, which were
174 separated from *M. cordata* in our laboratory, were mixed as the quality control sample to

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3 175 remain the same instrumental conditions during the whole analytical process. The QC
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5 176 sample was injected every seven samples in the run sequence. The eight representative
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8 177 peaks were chosen to evaluate the deviation of the method of analysis. In all experiments,
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10 178 the retention time variability of the peaks on both columns were determined to be 3 s or
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12 179 with a relative standard deviations (RSD) value less than 6%. The variation m/z value of
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14 180 each reference substance was less than 5 ppm and the RSD of the ion intensities were all
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16 181 below 5%. These results revealed the excellent stability and reproducibility of
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18 182 chromatographic separation and MS detection even when 100% aqueous solvent was
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20 183 used as the initial mobile phase in this experiment.
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24 184 ***3.3. Molecular feature extraction and data pretreatment***

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27 185 For the metabolomic profiling, multi-dimensional and huge-amounts of LC and MS
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29 186 data were generated, dealing with the LC-MS-based data manually was an extremely
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31 187 difficult task. Therefore, molecular feature extraction (MFE) was employed as an
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33 188 algorithm, enabling automatic extraction of ions corresponding to a certain compound
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35 189 present in samples. During electrospray ionization process, multiple signals, including
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37 190 adducts, dimmers, isotopes and multiply charged species in positive and/or negative
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39 191 mode, which originated from a single compound can be merged into molecular features
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41 192 (MFs) and further handled as a single variable.¹⁶ All the information of compounds would
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43 193 be extracted as MFs, characterized by retention time and accurate mass combined with
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45 194 their abundance. The feature extraction algorithm took all ions into account exceeding
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47 195 200 counts with a charge state equal to one in the retention time range from 0.5 to 27 min.
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49 196 The abundance was calculated by MassHunter software as the sum of the isotopic and
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51 197 adducts peaks that correspond to a single molecular feature. Peak abundance (the sum of
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3 198 the isotopic and adduct peaks) values greater than 7,000 in positive mode were reserved.
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5 199 Using this approach, MFs of the secondary metabolites were distinguished and extracted
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8 200 as “.cef” files for the subsequent analysis.
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10 To minimize complex data, mass profiler professional (MPP) software was employed
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12 202 to perform the further filtering procedures. In the first step, chromatographic alignment
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15 203 and normalization of retention time and m/z values were carried out using a tolerance
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18 204 window of 0.15 min and 2 mDa, respectively. In the next step, all the MFs that were not
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20 205 present in at least 80% of samples in at least one group were removed. In the third step,
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22 206 MFs were further filtered based on p -values calculated by one-way ANOVA. The p -value
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24 207 of 0.01 was set as the filtering threshold to ensure that only MFs which differ in the
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27 208 respective varieties with statistical significance are passed on and further processed (the
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29 209 lower the p -value, the more significant difference between the varieties). The final
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32 210 filtering step was conducted using fold change (FC) analysis. The value of FC was
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34 211 calculated as the MFs abundance ratios. MFs with FC value ≥ 16.0 and higher abundance
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36 212 compared with the other three species were picked out. Those selected MFs were
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39 213 re-extracted from the raw data files of the samples using recursive feature extraction to
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41 214 avoid any false positive results. Based on this approach, 292 MFs were selected as the
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44 215 marker compound candidates. A total of 12 markers were finally assigned and their
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46 216 identification information was summarized in Table 1.

47 48 217 **3.4. Chemometric analysis**

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50 218 Principal component analysis (PCA), which was used to retain maximum variance of
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52 219 the data while reducing its dimensionality, was used as the data visualization tool in this
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55 220 study. Both positive and negative filtered data of 60 *M. cordata* samples from different
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origins were subjected to PCA algorithm in the MPP software. As illustrated in Fig.3, a three-component PCA score plot of HPLC-Q-TOF/MS data was utilized depict general variation of *M. cordata* among four different origins. It was found that the classification of these four groups was clear. The three-component PCA model cumulatively accounted for 98% of variation. In other words, significant markers for *M. cordata* samples from different origins were found in positive ion mode but not in negative ion mode. The phenomenon indicated that the secondary metabolites in *M. cordata* from different origins had obviously changed.

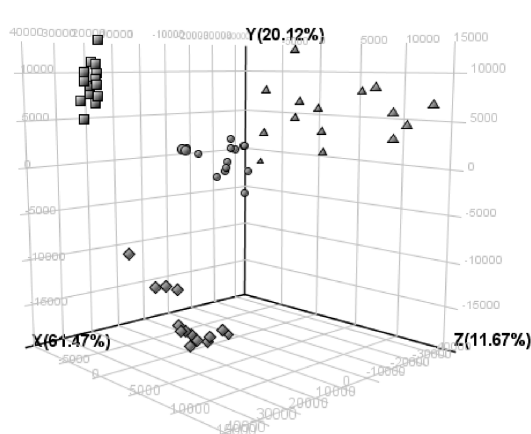


Fig.3 PCA scores plot and loading plot of JinZhai (▲), ChangSha (■), LouDi (◆) and QiMen (●) samples for positive ionization data.

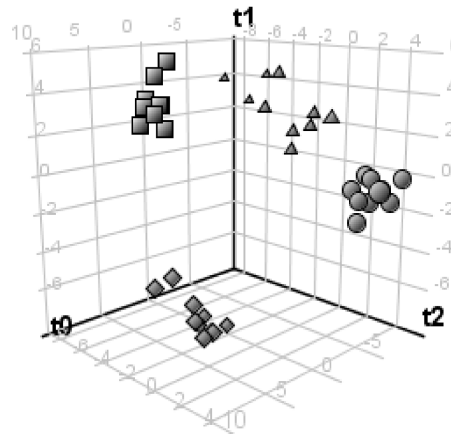


Fig.4 3D PLS-DA scores plot of JinZhai (▲), ChangSha (■), LouDi (◆) and QiMen (●) samples for positive ionization data.

A widely used supervised pattern recognition method capable of sample class prediction, PLS-DA, was employed to further classify *M. cordata* from different origins. Ten samples from each origin were selected randomly for model training and the remaining was used for testing. Percentage of the right classification of both model training and validation was 100%, indicating that no sample was misclassified in the both

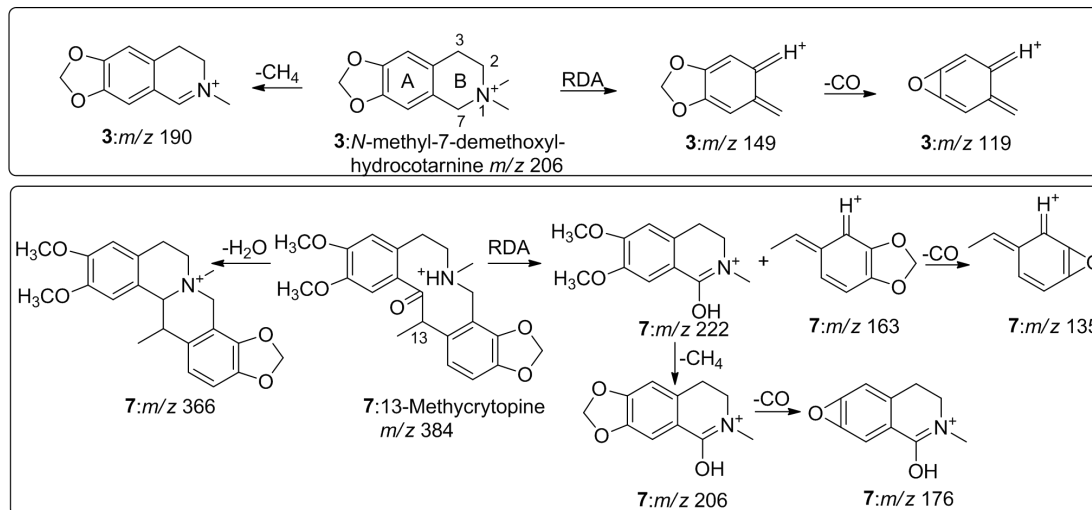
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3 239 procedures. Good classification of *M. cordata* from four major different origins was
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5 240 obtained by PLS-DA and was illustrated in Fig.4.
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8 241 **3.5. Characterization of maker compounds**

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10 242 As discussed in section 3.3, 12 maker candidates were obtained. In order to determine
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12 243 the structural of potential biomarkers, the MS/MS fragmentation pathways were taken.

13 244 Biomarker **3** eluted in 8.867 min and its m/z value was 206, was tentatively identified as
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15 245 *N*-methyl-7-demethoxyl-hydrocotarnine by the MS/MS fragmentation behaviors
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17 246 (Supplementary Fig. S1, see Supporting Information). The ion appeared at m/z 149,
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19 247 which corresponds to the retro-Diels-Alder (RDA) reaction of B-ring opening. The ion at
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21 248 m/z 190 and 119 were detected due to the loss of a methane molecular and CH₂O
22
23 249 fragment from the ion at m/z 206 and 149, respectively. Biomarker **7** eluted in 16.626 min
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25 250 and exists only in Loudi, was identified as protopine-type alkaloid depending on RDA
26
27 251 reaction and [M-18]⁺ ion which were the characteristic MS/MS spectrum pattern for this
28
29 252 type of alkaloid (Supplementary Fig. S1, see Supporting Information). The fragment ion
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31 253 at m/z 222 was observed corresponding to the RDA fragmentation reaction. The ion at
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33 254 m/z 206 was formed by the loss of a methane molecular from the ion at m/z 222, and the
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35 255 neutral elimination of a CH₂O fragment from the ion at m/z 206 lead to formation of the
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37 256 ion at m/z 176. The ions at m/z 366 and 135 were observed corresponding to the loss of a
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39 257 H₂O and CO molecular from the ion at m/z 384 and 163, respectively. Therefore,
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41 258 biomarker **7** was tentatively identified as 13-methylcryptopine. In addition to the marker
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43 259 compounds **3** and **7**, the others biomarker were also tentatively determined by the MS/MS
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45 260 fragmentation behaviors (Supplementary Fig. S1, see Supporting Information). The
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47 261 fragmentation pathways of biomarker **3** and **7** are proposed in Fig 5. which agree with
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262 literature.¹⁷⁻¹⁸



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264 Fig.5 the proposed fragmentation pathways of biomarker compounds 3 and 7.

265 4. Conclusions

266 HPLC-Q-TOF-MS combined with an “Xcharge C18” column was an efficient and
 267 convenient way for analyzing the polar and basic secondary metabolites. The result of
 268 PCA and PLS-DA has proved that it is possible to distinguish different *M. cordata* from
 269 various geographical origins. The marker compounds contributing to the discrimination
 270 were tentatively identified by the MS/MS fragmentation pathways. This method also can
 271 be applied for the analyzing of herb medicine that contained polar components and
 272 alkaloids.

273 Acknowledgements

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 275 China (12JJ4018) and Major Project of Science and Technology of Hunan Province
 276 (2012FJ1004).

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330 Table 1. the retention time (Rt), [M+H]⁺, identification and distribution of marker compounds

| No. | Rt (min) | [M+H] ⁺ (m/z) | Identification | Distribution |
|-----|----------|-----------------------------|--|----------------------------------|
| 1 | 6.250 | 498.1384 | Alkaloid | ChangSha |
| 2 | 8.653 | 311.0927 | Not alkaloid | QiMen > JinZhai, LouDi, ChangSha |
| 3 | 8.657 | 206.0831 | <i>N</i> -methyl-7-demethoxyl- hydrocotarnine | JinZhai> ChangSha> LouDi |
| 4 | 11.890 | 610.1375 | Quercetin derivative | ChangSha> JinZhai, LouDi, QiMen |
| 5 | 13.331 | 360.1078 | Alkaloid | ChangSha, LouDi > JinZhai, QiMen |
| 6 | 13.406 | 452.0592 | Sanguinarine derivative | ChangSha |
| 7 | 16.604 | 384.1474 | 13-Methylcryptopine | LouDi |
| 8 | 17.040 | 386.0513 | 6,10-dihydroxyl chelidonine | LouDi |
| 9 | 19.477 | 371.2600 | Not alkaloid | ChangSha |
| 10 | 22.012 | 448.1764 | Chelerythrine derivative | QiMen> JinZhai, ChangSha, LouDi |
| 11 | 22.395 | 121.0303 | Not alkaloid | ChangSha, LouDi > JinZhai, QiMen |
| 12 | 22.395 | 279.1593 | Not alkaloid | JinZhai> QiMen> ChangSha> LouDi |

331 “>” represent the level of biomarker of the former higher than the latter.

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339 **Captions:**

340 Fig.1 TIC chromatogram on Zorbax Eclipse Plus C18 (a) and XCharge C18 (b).

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3 341 Fig.2 UV chromatogram (280nm) on Zorbax Eclipse Plus C₁₈ (a) and XCharge C₁₈ (b).
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6 342 Fig.3 PCA scores plot and loading plot of JinZhai (▲), ChangSha (■), LouDi (◆) and
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9 343 QiMen (●) samples for positive ionization data.
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11 344 Fig.4 3D PLS-DA scores plot of JinZhai (▲), ChangSha (■), LouDi (◆) and QiMen (●)
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13 345 samples according to positive ionization mode data.
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16 346 Fig.5 the proposed fragmentation pathways of biomarker compounds 2 and 7.
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