

Analytical Methods

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1 **Optimization of headspace solid-phase microextraction conditions to determine**
2 **fruity aroma compound produced by *Neurospora sitophila*.**

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11
12 **Abstract**

13 The biotechnological production of aroma compounds is an important alternative to
14 chemical synthesis for flavor ingredients to food industry. On that account, an accurate
15 and precise analytical technique for measurement of biotechnological production of
16 aroma compounds is of important. This paper performed an optimization of the
17 extraction conditions for headspace solid-phase microextraction coupled to GC-FID and
18 GC-MS for determination of ethyl hexanoate produced by *Neurospora sitophila* in malt
19 extract medium. Three SPME fibers were tested: polydimethylsiloxane (PDMS),
20 carboxen-polydimethylsiloxane (CAR/PDMS) and polydimethylsiloxane-
21 divinylbenzene (PDMS/DVB). The PDMS/DVB was the most efficient fiber to trap the
22 volatile aroma compound. The optimized SPME extraction conditions were 30 °C for
23 the extraction temperature and 10 min for the extraction time. The method showed good

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3 24 linearity and the limits of detection (LOD) and quantification (LOQ) of the targeted
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5 25 aroma compound were 0.6 and 1.9 mg L⁻¹, respectively. The HS-SPME methodology
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7 26 proved to be a simple and rapid approach to quantify ethyl hexanoate accurately and
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9 27 precisely in the biotechnological production process.
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15 29 **Keywords:** ethyl hexanoate, fruity aroma, solid phase micro-extraction, gas
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17 30 chromatography-mass spectrometry, optimization.
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22 32 **Introduction**

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25 33 Flavors and aroma compounds are key substances in the food and fragrance
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27 34 industry, with a world market estimated in US\$ 18.6 billion in 2008.^{1, 2} The food
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29 35 flavoring compounds are mainly produced by chemical synthesis or by extraction from
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31 36 natural materials.³ However, these last mentioned procedures have some disadvantages.
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33 37 In chemical synthesis, the products are labeled as “artificial” or “nature identical”,
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35 38 decreasing their economic interest. Since market surveys have shown that consumers
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37 39 prefer foodstuff that can be labelled as “natural” and there are interference of climatic
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39 40 features, possible ecological problems (involved with the extraction) and low yields in
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41 41 extraction from natural materials.^{3, 4} Thus, an alternative process such as
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43 42 biotechnological generation of flavor molecules would be preferable. This procedure
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45 43 has advantages: the process occurs at mild conditions, it presents high regio- and
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47 44 enantio- selectivity - and the products obtained are recognized as “natural” which
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49 45 represents an important market strategy.^{3, 5} The biotechnological production of aroma
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51 46 compounds may be performed using two different strategies: *de novo* synthesis or
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53 47 biotransformation. The *de novo* synthesis produces complex substances from simple
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3 48 molecules through complex metabolic pathways. On the other hand, the process of
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5 49 biotransformation involves single reactions catalyzed enzymatically using specific
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7 50 precursors.^{3, 4, 5}
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10 51 Production of volatile fruity aromas occurs during the growth of several
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12 52 microbial species in different culture media.⁷ Esters are the most important group
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14 53 involved in the fruity flavor and they are employed in fruit-flavored products and dairy
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16 54 products.³ There is evidence in the literature indicating the generation of aromatic
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18 55 esters using different microorganism through *de novo* synthesis. For example, the
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20 56 moulds *Saccharomyces* sp., *Hansenula* sp. and *Candida utilis* could produce ethyl
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22 57 acetate in a medium containing ethanol, and *Neurospora* sp. has the potential for
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24 58 produce ethyl hexanoate.^{7, 8, 9, 10, 11} *Neurospora sitophila* is filamentous fungi very
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26 59 versatile for industrial applications and it is considered a model of model microbes for
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28 60 biochemical genetics and molecular biology has also been seen as a valuable organism
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30 61 for biotechnological applications. The literature describes the use of *N. sitophila* for the
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32 62 production of biomass, proteins, cellulases, lipases and aroma compounds with
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34 63 application in the food industry.^{29, 30, 31, 32}
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39 64 In this context, the analytical task of qualitative and quantitative analysis of
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41 65 aroma compounds is not simple and different analytical methods have been developed
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43 66 and employed for this issue. The most typically methods for extraction and pre-
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45 67 concentration aroma compounds are: headspace techniques, purge-and-trap, liquid-
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47 68 liquid extraction, and simultaneous distillation and extraction. However, these methods
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49 69 are laborious, lengthy and error-prone. The formation de artifacts can be induced during
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51 70 the sample preparation by the use of different solvents and by the elimination of
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53 71 solvents to concentrate the volatiles.¹² Considering the limitations of the traditional
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55 72 sample preparation method, headspace solid-phase microextraction (HS-SPME), liquid-
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3 73 phase microextraction (LPME) and liquid–liquid microextraction (DLLME) are
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5 74 attractive alternatives.^{13, 14, 15, 16, 17, 18} In this context, SPME is a solvent-free sample
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7 75 preparation technique that integrates sampling, isolation/extraction and concentration
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9 76 into a single uninterrupted process, resulting in high sample throughput.^{19, 20} For all
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11 77 these reasons, SPME has been employed for the extraction of volatile compounds in
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13 78 biotechnological processes, such as is the case for limonene, citronellol and linalool
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15 79 were used as substrate in biotransformation assays.^{6, 21, 22} In addition, a large number of
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17 80 scientific papers used SPME to identify and quantify ethyl hexanoate in various
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19 81 matrices as cider, wine, beer, liquor, distilled drinks and fruit.^{23, 24, 25, 26, 27, 28} HS-SPME
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21 82 is an equilibrium technique that requires proper optimization of key parameters
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23 83 affecting the extraction efficiency. These parameters include fiber sorbent material
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25 84 phase, extraction temperature and time, to name a few. .

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29 85 The aim of this study was to develop a simple, robust, reliable and solvent-free
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31 86 technique, based on HS-SPME combined with gas chromatography mass spectrometry
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33 87 (GC-MS) and gas chromatography flame ionization detector (GC-FID), in order to
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35 88 allow a qualitative and quantitative screening of aroma compounds produced by
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37 89 *Neurospora sitophila* in malt extract medium.

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45 **Experimental**

46 **Chemicals and SPME fibers**

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49 93 Ethyl hexanoate (ethyl caproate) 99.9% was purchased from Acrós Organics
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51 94 (USA). The SPME fibers 100 μm polydimethylsiloxane (PDMS); 75 μm
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53 95 carboxen/polydimethylsiloxane (CAR/PDMS) and 65 μm
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55 96 divinylbenzene/polydimethylsiloxane (DVB/PDMS) were purchased from Supelco
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57 97 (Bellefonte, PA, USA). The fibers were conditioned prior to use according to the

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3 98 instruction from the supplier (into the gas chromatograph injector at 250 °C for 1 hour).
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5 99 The standard solution of n-alkanes used for the determination of the linear temperature-
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7 100 programmed retention indices (LTPRI) was purchase from PolyScience (Illinois, EUA).
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102 **Sample preparation and SPME procedures**

103 For SPME analysis, 20 mL of the culture broth was transferred into a 100 mL
104 serum vial septa containing a microstirring bar. The three fibers were tested with
105 concentration of 100 mg L⁻¹ to select the one presenting the best capacity to extract the
106 ethyl hexanoate. All the fibers were exposed to the sample headspace under the
107 following conditions: equilibrium time of 10 min, extraction time of 30 min, extraction
108 temperature of 30 °C (conditions arbitrarily established by the authors in the choice-of-
109 fiber step); after extraction, the fibers were introduced into the gas chromatograph
110 injector for the analyte desorption at a temperature of 250 °C in the splitless mode for a
111 period of 1.0 min. After the extraction and desorption procedures, each of the fibers was
112 reconditioned at 250 °C for 10 min. The fiber reconditioning procedure was carried out
113 to guarantee the absence of peaks in the blanks run and the good quality of SPME
114 extraction and chromatographic procedures. With the aim at defining the best condition
115 for the SPME procedure, three different fibers, five equilibrium times (0, 3, 5, 7, 10
116 min) and four extraction times (10, 20, 30, 40 min) were tested for headspace analysis
117 of ethyl hexanoate from malt extract brots. All the fibers were tested in triplicate and the
118 results presented represent the mean values.

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120 **Preparation of stock standard solution**

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3 121 Sterile distilled water and ethyl hexanoate were used for the preparation of the
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5 122 standard solution. The stock solution (100 mg L⁻¹) was prepared daily and kept cool
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7 123 until the end of the day. Appropriate dilutions of the stock solution were prepared for
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9 124 the construction of the calibration curve.
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14 15 126 **Gas chromatography conditions**

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18 127 Ethyl hexanoate was analyzed using a Shimadzu GC-17A/QP-5000 (Shimadzu,
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20 128 Kyoto, Japan) equipped with a flame ionization detector (for quantitative analysis) and
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22 129 high performance quadrupole mass spectrometer (for qualitative analysis). The column
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24 130 used consisted of a nonpolar HP-5 (5% phenyl 95% dimethylpolysiloxane) (length 30
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26 131 m, 0.25 mm i.d., film thickness 0.25 μm), and helium at 1 mL min⁻¹ was the carrier gas.
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28 132 The transfer line and the ion source operated respectively at 240 °C and 200 °C, and
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30 133 energy of impact: +70 eV, (35-350m/z). Desorption of SPME fiber was done in gas
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32 134 chromatograph injection port for 1 min at 250 °C in a splitless mode. Oven temperature
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34 135 was programmed at 50 °C for 1 min, then increased to 150 °C at 10 °C min⁻¹ and held
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36 136 for 1 min and finally raised to 200 °C at 20 °C min⁻¹ and held for 3 min at the final
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38 137 temperature. The positive identification of the ethyl hexanoate in samples was done by
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40 138 mass spectrum and retention index agreed with standard and comparing mass spectra
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42 139 with Adams (2007) and NIST 2008, with similarities higher than 90%.
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49 141 **Method validation**

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52 142 Analytical method validation was performed including the following parameters:
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54 143 linearity, precision, limits of detection (LOD) and quantification (LOQ) and relative
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56 144 recovery at different levels of fortification for ethyl hexanoate in different fermentation
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3 145 times (0, 24, 48 and 72 hours). The performance was determined, using the optimized
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5 146 HS-SPME extraction conditions, with a standard solution that was added to the matrix
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7 147 (matrix was defined as the culture medium and *Neurospora* sp. autoclaved after 24
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9 148 hours). Linearity was determined by constructing of calibration curve with standard
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11 149 solutions, in matrix containing ethyl hexanoate in the range of 2–25 mg L⁻¹. Three
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13 150 injections were made at each of the five concentration levels. Reproducibility was
14
15 151 evaluated using five concentrations and calculating the relative standard deviation
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17 152 (RSD) of three replicates of each concentration over three different days (inter day) and
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19 153 three replicates of three concentrations over the same day (intra day). The limit of
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21 154 detection (LOD) and the limit of quantification (LOQ) were calculated from the
22
23 155 calibration curves constructed for ethyl hexanoate. The LOD was considered as three
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25 156 times the RSD of the analytical blank values and LOQ was ten times the RSD of the
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27 157 analytical blank values. Accuracy and precision data were obtained with recovery
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29 158 studies carried out by spiking samples with ethyl hexanoate at levels of 5, 10, 15, 20 and
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31 159 25 mg L⁻¹. The spiked samples as well as the unspiked controls were analyzed in three
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33 160 replicates. Repeatability of the method was evaluated through the relative standard
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35 161 deviation (RSD) associated to measurements of the ethyl hexanoate performed during
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37 162 recovery analyses.
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164 **Quantitative analysis**

165 Quantification was performed using the external calibration curve, with the
166 following concentrations of ethyl hexanoate: 5, 10, 15, 20 and 25 mg L⁻¹. The ethyl
167 hexanoate standard was directly diluted in the artificial matrix, which containing 0.4 g
168 of the inoculums autoclaved and malt extract broth to provide a matrix effect. All the
169 experiments were carried out in triplicate.. The recovery was carried out during the time

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3 170 of fermentation and the above concentrations of ethyl hexanoate were added into the
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5 171 fermentation broth vial.
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10 173 **Preparation of pre-inoculum and fermentation**

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13 174 *Neurospora sitophila* was inoculated into a slant tube of Potato Dextrose agar
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15 175 (PDA) at 30 °C for 72 h. After fungal growth, a spore suspension was prepared by
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17 176 adding 10 mL of distilled water (sterilized at 121 °C for 20 min) and scraping the
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19 177 mycelia. The spore suspension was transferred for a 250 mL erlenmeyer flasks
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21 178 containing 50 mL of Yeast Malt Broth (YM: 10 g L⁻¹ glucose, 5 g L⁻¹ peptone, 3 g L⁻¹
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23 179 yeast extract and 3 g L⁻¹ malt extract). The flask was placed in an orbital shaker at 30 °C
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25 180 and 200 rpm for 24 h for fungal growth. After this period, the culture broth was filtered
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27 181 throughout a acetate filter membrane (pore size: 0.45 µm) and the mycelia were washed
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29 182 with distilled sterilized water (121 °C for 20 min) before being used as inoculum in the
30
31 183 fermentation experiments. The inoculum consisted of 0.2 g of biomass added in a flask
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33 184 containing 20 mL of malt extract medium. The flasks were incubated on a rotator shaker
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35 185 (New Brunswick Scientific) at 30 °C for until 72 h under agitation (200 rpm). Samples
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37 186 were collected, each 24 h and extracted by HS-SPME.
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45 188 **Statistical analysis**

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48 189 The data obtained were analyzed using ANOVA (p<0.05). The statistical
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50 190 package used was StatisticaTM 7.0 data analysis software by Statsoft, Inc., USA.
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56 192 **Results and discussion**

193 **SPME extraction efficiency**

194 To compare the efficiency of SPME fibers to trap the volatile compound, each
195 fiber was exposed to the headspace under the same conditions of equilibrium time,
196 extraction time and temperature, and although the extraction conditions were the same,
197 the differences in the areas obtained revealed the behavior of each type of coating used
198 for each fiber tested. The results for total area for the target analyte obtained for SPME
199 fibers tested (Fig.1) were significantly different (Tukey $p < 0.05$). The PDMS/DVB fiber
200 has mainly mesoporous, which are ideal for trapping low molecular weight (C6-C15)
201 analytes, like ethyl hexanoate, probably due to their low vapor pressure.^{19, 20} Based in
202 these results, the fiber PDMS/DVB was chosen for the following experiments.

203 The effects of equilibrium time and extraction time were also investigated. The
204 results revealed that equilibrium time is not a significant parameter to the recovery of
205 the specific analyte, probably because the PDMS/DVB fiber has a high affinity of ethyl
206 hexanoate and because in the temperature of the assays (30 °C) the equilibrium of the
207 analyte with the gas phase had fast reached (Fig. 2). The best results were obtained at 30
208 °C and 10 min (Fig. 3). Hence, the extraction time for subsequent analyses was fixed at
209 10 min.

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211 **Method validation**

212 The external standard calibration showed linearity in the range of 2-25 mg L⁻¹,
213 with correlation coefficients (r) higher of 0.995. Relative standard deviations (RSD) of
214 the three replicate injections ranged from 5% to 8% (intraday) and 3% to 15% (inter
215 day) showing good repeatability.⁶ The recovery data for the ethyl hexanoate were
216 performed in five different spiking levels. The mean value of recovery was 73 %. The

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3 217 LOD was 0.6 mg L⁻¹ and the LOQ was 1.9 mg L⁻¹. The results of the method validation
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5 218 indicate that the HS-SPME sample preparation coupled with the GC-FID analysis is
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7 219 suitable for the determination of ethyl hexanoate.⁶
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11 12 13 221 **Analysis of fermentation samples**

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15 222 The validate method was employed to determine the concentrations of ethyl
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17 223 hexanoate produced in malt extract broth by *Neurospora sitophila*. A decrease occurred
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19 224 in the amount of the ethyl hexanoate during fermentation based on figure 4, the
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21 225 concentration of ethyl hexanoate increases to a maximum of 8 mg L⁻¹ at 24 hours with a
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23 226 drop to less than 2 mg L⁻¹ after 70 hours. After 24 h, the ethyl hexanoate were detected
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25 227 in all samples, at concentrations of 2.0 – 8.0 mg L⁻¹ and the highest concentration (8.0
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27 228 mg L⁻¹) was detected in the first 24 h of the experiment. The production of ethyl
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29 229 hexanoate by *Neurospora* was investigated by different research groups.^{7, 8, 10, 20}
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31 230 However, at the moment, the production obtained for all research groups are not
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33 231 sufficient for an industrial application. Several researches have pointed that simple
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35 232 modification on processes conditions, like the change of culture medium, temperature,
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37 233 pressure, agitation or pH could significantly improve productivity. Some experiments
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39 234 about the use of Response Surface Methodology are now being carried out by us and the
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41 235 results will be released soon.^{4, 6, 29}
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47 236 **Conclusions**

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49 237 A HS-SPME method enabling a simple and rapid determination of ethyl
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51 238 hexanoate in malt fermentation broths was optimized and validated. The method had a
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53 239 LOD and LOQ of 0.6 and 1.9 mg L⁻¹ and a mean recovery, accuracy and precision of
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3 240 73%, respectively. The method was used to follow the generation of ethyl hexanoate for
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5 241 a period of over 70 hours in a malt-based fermentation broth of *Neurospora sitophila*.

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10 243 **References**

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24 298 **Figure captions:**

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26 299 Fig. 1. Efficiency of HS-SPME fiber coatings in the extraction of ethyl hexanoate.
27
28 300 The results are the means of triplicates of the total areas obtained on GC-MS
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30 301 chromatograms.

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33 302 Fig. 2. The effect of HS-SPME equilibrium time on the extraction efficiency of ethyl
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35 303 hexanoate. The results are the means of triplicates of the total areas obtained on GC-
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37 304 MS chromatograms.

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39 305 Fig. 3. The effect of HS-SPME extraction time on the extraction efficiency of ethyl
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41 306 hexanoate. The results are the means of triplicates of the total areas obtained on GC-
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43 307 MS chromatograms.

44
45 308 Fig. 4. Kinetic of ethyl hexanoate production by *Neurospora sitophila* in malt extract
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47 309 broth at 30°C and 200 rpm.

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49
50 310 Table 1. Parameters for the regression equation ($y=ax+b$), where (y) is the concentration
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52 311 (mg/L) and (x) is the peak area

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2
3 312 Table 2. Fortification experiments at different levels (recovery), limit of detection
4 313 (LOD) and limit of quantification (LOQ).
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Table 1. Parameters for the regression equation ($y = ax + b$), where (y) is the concentration (mg/L) and (x) is the peak area

	Slope	Intercept	R	Linearity range (mg/L)
Ethyl hexanoate	472.28	2702.5	0.995	2-25

Table 2. Fortification experiments at different levels (recovery), limit of detection (LOD) and limit of quantification (LOQ).

Sample Spiked level (mg/L)	Recovery (%)	LOD (mg/L)	LOQ (mg/L)
2	69		
5	67		
10	74	0.6	1.9
15	82		
20	74		

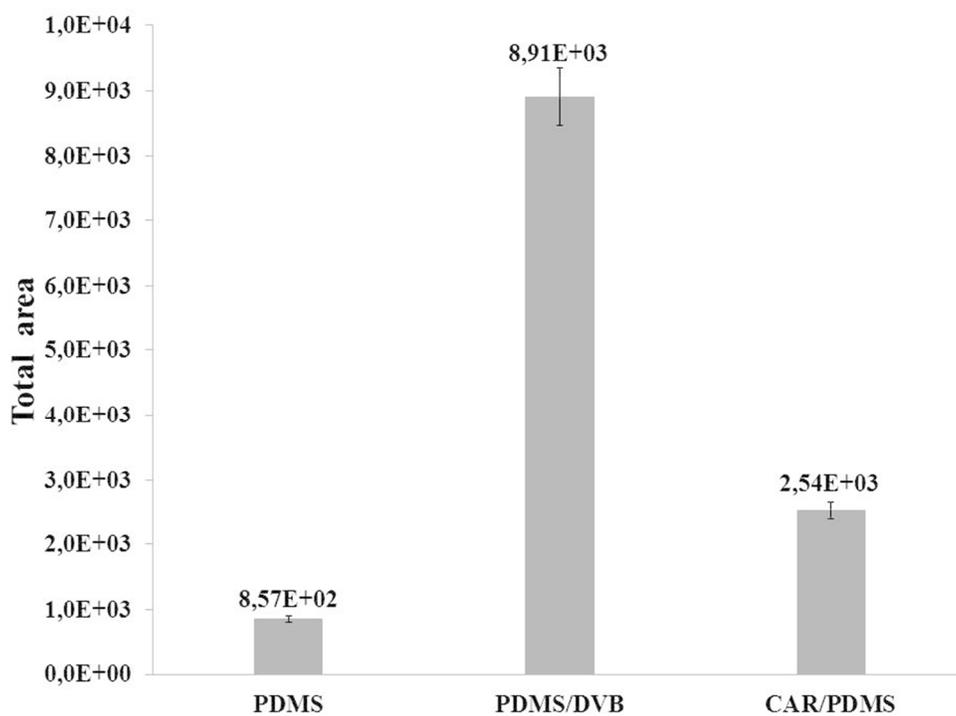
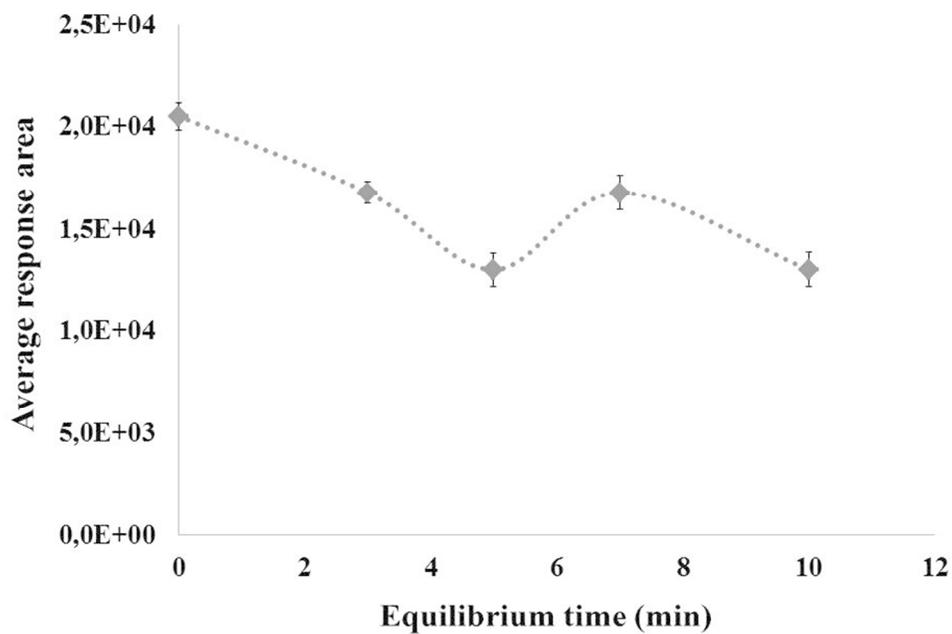
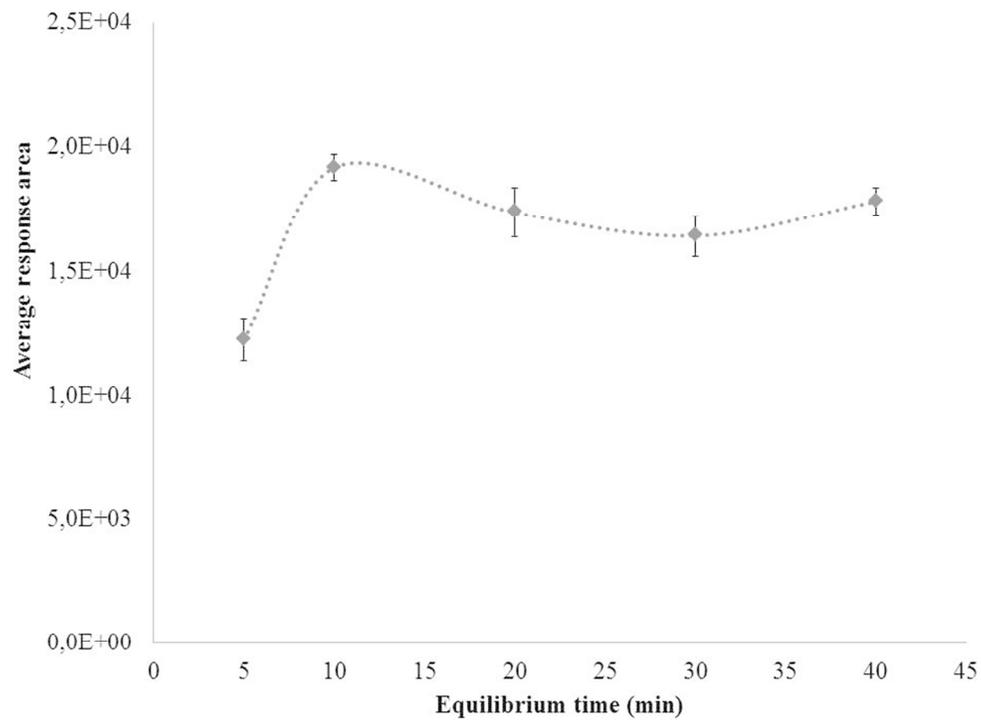


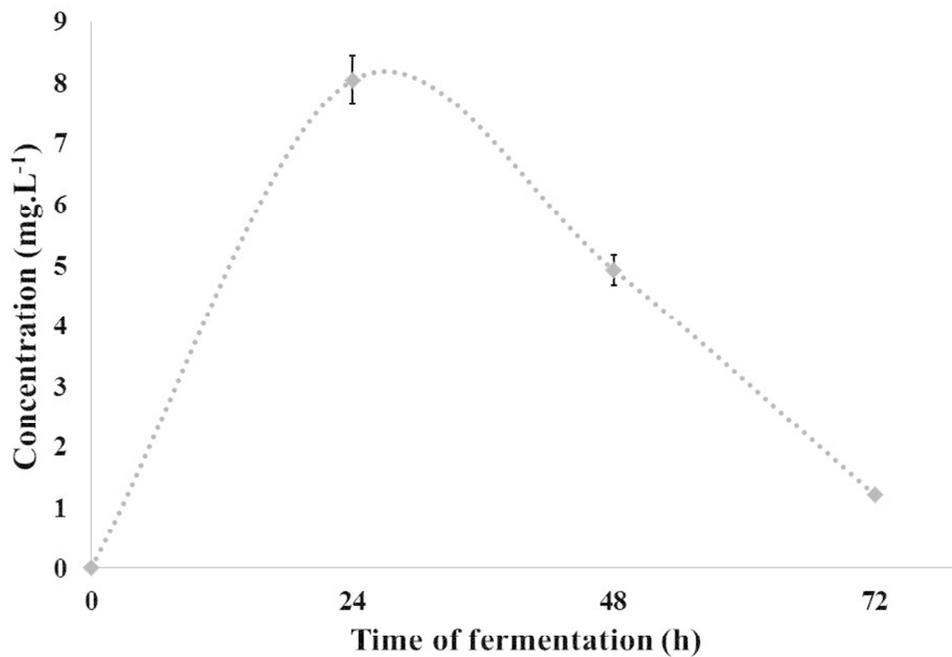
Fig. 1. Efficiency of HS-SPME fiber coatings in the extraction of ethyl hexanoate. The results are the means of triplicates of the total areas obtained on GC-MS chromatograms.
254x190mm (96 x 96 DPI)



The effect of HS-SPME equilibrium time on the extraction efficiency of ethyl hexanoate. The results are the means of triplicates of the total areas obtained on GC-MS chromatograms.
254x190mm (96 x 96 DPI)



The effect of HS-SPME extraction time on the extraction efficiency of ethyl hexanoate. The results are the means of triplicates of the total areas obtained on GC-MS chromatograms.
254x190mm (96 x 96 DPI)



254x190mm (96 x 96 DPI)

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