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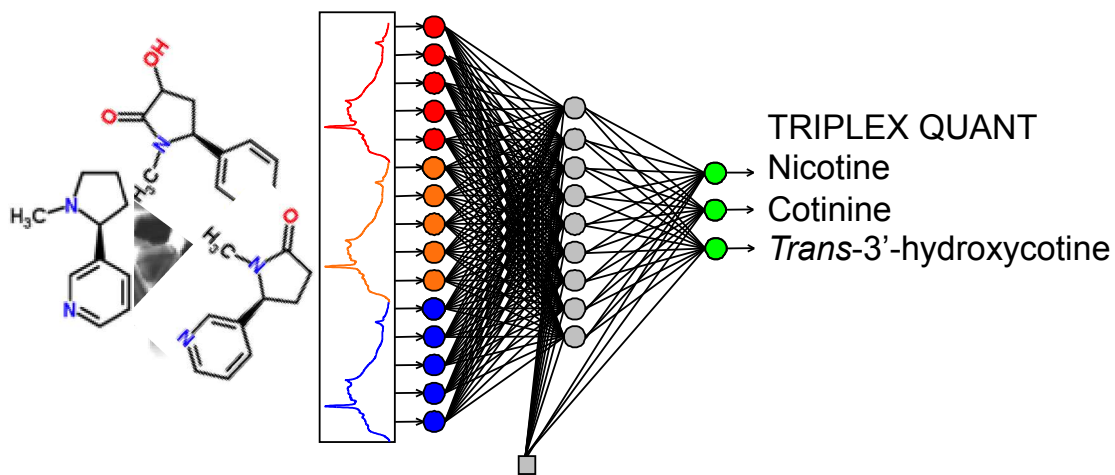
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Graphical Abstract



We have developed a SERS approach that can simultaneously detect the drug nicotine along with its two major metabolites cotinine and *trans*-3'-hydroxycotinine without recourse to lengthy chromatography.

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3 **Simultaneous multiplexed quantification of nicotine and its metabolites using surface**
4 **enhanced Raman scattering.**
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21
22 **Abstract**
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24 The detection and quantification of xenobiotics and their metabolites in man is important
25 for drug dosing, therapy and for substance abuse monitoring where longer-lived metabolic
26 products from illicit materials can be assayed after the drug of abuse has been cleared from
27 the system. Raman spectroscopy offers unique specificity for molecular characterization and
28 this usually weak signal can be significantly enhanced using surface enhanced Raman
29 scattering (SERS). We report here the novel development of SERS with chemometrics for the
30 simultaneous analysis of the drug nicotine and its major xenometabolites cotinine and
31 *trans*-3'-hydroxycotinine. Initial experiments optimized the SERS conditions and we found
32 that when these three determinands were analysed individually that the maximum SERS
33 signals were found at three different pH. These were pH 3 for nicotine and pH 10 and 11 for
34 cotinine and *trans*-3'-hydroxycotinine, respectively. Tertiary mixtures containing nicotine,
35 cotinine and *trans*-3'-hydroxycotinine were generated in the concentration range 10^{-7} - 10^{-5}
36 M and SERS spectra were collected at all three pH values. Chemometric analysis using
37 kernel-partial least squares (K-PLS) and artificial neural networks (ANNs) were conducted
38 and these models were validated using bootstrap resampling. All three analytes were
39 accurately quantified with typical root mean squared error of prediction on the test set data
40 being 5-9%; nicotine was most accurately predicted followed by cotinine and then *trans*-3'-
41 hydroxycotinine. We believe that SERS is a powerful approach for the simultaneous analysis
42 of multiple determinands without recourse to lengthy chromatography, as demonstrated
43 here for the xenobiotic nicotine and its two major xenometabolites.
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Introduction

Raman spectroscopy is a powerful physicochemical technique that can be used to generate molecular specific information about a sample thereby allowing unambiguous analyte characterization.¹ This is particularly important for the detection, identification and quantification of legal drugs and illicit substances. Many studies using Raman spectroscopy have concentrated on the identification of drugs of abuse.^{2,3 4 5}

Whilst Raman spectroscopy is a very useful technique and can be deployed in a portable manner, the signal from the normal Raman process is inherently weak. This signal can be significantly increased using either resonance Raman or surface enhanced Raman scattering (SERS). In SERS the analyte has to be in contact or microscopically close to a roughened metal surface^{6 7 8 9 10}; and for quantitative analysis colloidal preparations of silver or gold nanoparticles are usually employed.^{11 12} Our initial work on SERS was aimed at bacterial characterisation^{13 14} and more recently we have been optimising SERS for trace detection of microbial metabolites¹², carcinogens¹⁵, human drugs¹⁶ as well as illicit materials and legal highs.^{17 18}

To date most drug detection studies have concentrated on single analytes, and for illicit substance detection have been limited to the detection of the specific xenobiotic rather than any xenometabolites that may be found when the drug is consumed and detoxified in the liver. The latter is important in order to establish long-term abuse where the drug may no longer be present within a human body fluid (blood or urine) but drug metabolites may still be found. In order to measure drugs and their metabolites chromatography linked with mass spectrometry is typically used.¹⁹ Although this allows for accurate quantification and analyte identification (when matched with a known standard) GC-MS and LC-MS are both labour intensive and cannot yet be deployed remotely. We believe that SERS offers considerable potential for drug detection and quantification and this approach can readily be coupled with portable Raman spectrometers.¹²

If one considers the xenobiotic nicotine, immediately after smoking ~10% of the inhaled nicotine is found in the blood.²⁰ Nicotine has typical pharmacodynamics where it is very quickly convert to cotinine (75% conversion) and cotinine is subsequently converted to *trans*-3'-hydroxycotinine, and a few hours after smoking these appear in blood and urine.²⁰

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3 Indeed the immediate xenometabolite cotinine is highest in the liver and found at
4 reasonable levels in urine.²⁰
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8 The kinetics of nicotine is interesting and this was therefore chosen as a suitable model
9 system for SERS analysis of a drug and its metabolites. This would allow us to establish if
10 SERS can be used to detect and quantify multiple analytes simultaneously without recourse
11 to complicated and time consuming chromatographic separation. Although SERRS has been
12 used for the multiplexed detection of labelled analytes^{21 22}, as well as quantification (²³), no
13 study has used non-labelled materials (i.e., SERS) for multiplexed quantitative detection of
14 multiple determinands. Here we show that SERS can be combined successfully with
15 chemometrics and this allows for the quantitative analysis of the drug nicotine and its major
16 metabolites cotinine and *trans*-3'-hydroxycotinine.
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28 **Experimental:-**

29 **Chemicals**

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31 The reagents used in this investigation were: silver nitrate >99%, tri-sodium citrate,
32 potassium nitrate, nicotine ≥99%, cotinine ~98% and *trans*-3'-hydroxycotinine, all of which
33 were purchased from (Sigma-Aldrich, Dorset,UK) and supplied as racemates. Acetic acid
34 (analytical reagent grade) was purchased from (Fisher Scientific Company Loughborough,
35 UK) sodium hydroxide standard solution 0.1 mol/L purchased from (Riedel de-Haen
36 Company, Seelze, Germany).
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43 **SERS colloid preparation**

44 Colloids were prepared using the Lee and Meisel method²⁴. Briefly, 90 mg of silver nitrate
45 was dissolved in 500 mL of deionised water, after which the solution was heated to boiling
46 point. 10 mL of 1% aqueous solution of tri-sodium citrate was added to the boiling silver
47 nitrate solution drop by drop while the solution was vigorously stirred. The mixed solution
48 was kept boiling for a further 10 min. A green-grey silver colloid was obtained, which proved
49 to be stable for several weeks. The colloid was assessed using UV-visible spectroscopy and
50 electron microscopy (data not shown) and was very similar to that synthesised by us
51 previously^{18, 25}.
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Raman spectroscopy

Raman spectra were obtained using a DeltaNu® Advantage 200A (DeltaNu Inc, Laramie, Wyoming, WY, USA) portable Raman probe, equipped with a HeNe laser operating at 633 nm giving a power of approximately 3 mW at the sample. The spectral range is 200 to 3400 cm^{-1} with a spectral resolution of 8 cm^{-1} . Daily calibration of the instrument was achieved by obtaining the Raman spectrum of polystyrene using the calibration routine built into the instrument manufacture's software. The spectrometer was controlled using DeltaNu, Nu Spec™ software.

pH profiling

In order to optimise the SERS signal we modified the pH of the sample/colloid mixture. In this process each of the three analytes (nicotine, cotinine and *trans*-3'-hydroxycotinine) were analysed separately and 200 μL of these 2.10^{-3} M solutions were mixed with 200 μL colloid. After this the pH was adjusted by the addition of aqueous solutions of 1 M of acetic acid and 0.1 M sodium hydroxide and the range used was from 1-12 in approximately 1 pH steps (± 0.1). Next 50 μL 1 M NaCl was added to initiate aggregation, this was vigorously vortexed for 10 s and SERS measurements were immediately collected for 30 s.

For each of the three analytes each pH condition was assessed three times. Five readings were recorded for each preparation. Therefore each condition comprised 15 replicates. The areas under the pyridine vibration at 1030 cm^{-1} were calculated, averaged and plotted against each of the pH conditions investigated. The use of this peak at 1030 cm^{-1} was only to ascertain the overall SERS response for each of the analytes, as this is a vibration that is common to nicotine, cotinine and *trans*-3'-hydroxycotinine and can not be used to differentiate between the three molecules.

Analysis of tertiary mixtures

For the simultaneous quantification of the three analytes, tertiary mixtures were prepared. In preliminary studies the linear response range of SERS *versus* analyte concentration was estimated, and this was found to be 1.10^{-7} M - 1.10^{-5} M. All tertiary mixtures contained the same number of molecules and this was set to 1.10^{-5} M.

The range for each of the three analytes with these mixtures was from 0-100% (in 10% steps; equivalent of one serial dilution); while the total volume of each of the mixtures was

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3 kept at 200 μL . In total 66 mixtures were prepared and Table S1 provides an illustration of
4 the molarity of the [nicotine – cotinine – *trans*-3'-hydroxycotinine] mixtures along with their
5 preparation from working stock solutions.
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8 9 **Data analysis**

10 In order to quantify the level of each of the three analytes supervised learning was used. In
11 this process the algorithm is provided with the SERS spectra from mixtures along with the
12 know levels of each of the three analytes. The goal of the algorithm is to estimate the
13 analyte concentrations from the SERS spectra and this is carefully validated (*vide infra*).
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18 The most popular quantitative multivariate algorithm is partial least squares (PLS) regression
19 and this was initially used. However, the predictions for nicotine, cotinine, *trans*-3'-
20 hydroxycotinine was very poor (data not shown) and alternative methods were needed.
21 This suggests that the nature of SERS means that the direct correlation between the SERS
22 spectra and the relative concentrations of the three analytes are not likely to be linear.
23 Therefore we employed two commonly used non-linear regression models to model such
24 correlations, these included artificial neural networks (ANNs) and kernel partial least
25 squares (K-PLS).
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33 Considering different analytes may have different optimal pHs (as indeed was found to be
34 the case) we built regression models using the spectra from each unique pH and also
35 combined the SERS spectra from all the three pH conditions. For each pH we also build two
36 types of models, one was used to predict a single analyte and the other to predict all three
37 analytes simultaneously.
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43 The ANN models consisted of 3 layers: the input layer, the hidden layer and the output
44 layer. The input layer contains the same number of nodes as the number of variables (i.e.
45 wavenumber shifts) and this was 200-3400 cm^{-1} , the hidden layer contained 20 nodes and
46 the output layer comprised either a single node (the model which predicted one analyte
47 only) or 3 nodes (the model which predicted three analytes simultaneously). Thus the
48 architecture of these ANNs were either 1024-20-1 or 1024-20-3 for each of the pH
49 conditions. When all three SERS spectra (3x1024) were used as the input layer the network
50 topology was 3072-20-1 or 3072-20-3.
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The K-PLS models were built using a radial based function kernel and, similar to the ANN models, PLS-1 models were built to predict a single analyte and PLS-2 models were built to predict the three analytes simultaneously.

In order to test the validation of the regression models a bootstrapping random resampling procedure was employed. In each bootstrapping iteration, n samples (n = total number of samples) were randomly selected with replacement (i.e., one particular sample could be selected multiple times) and used as the *training set*. The samples which had not been selected at all were used as the *test set*. Within the training sets 70% of samples were used for training (i.e., the *inner training set*), and the remaining 30% samples from the training data were used as the *validation/tuning set*. The regression model was built on the inner training set and the model parameters (e.g., the kernel parameters and the number of latent factors in the K-PLS) were tuned by using the validation set. The model parameters which yielded the best prediction accuracy of the validation set were chosen for the final regression model and this model was then applied to the *test sets* from the bootstrap selections. A total number of 1,000 bootstrapping iterations were performed and the prediction accuracies of these *test set iterations* were then averaged and reported. The prediction accuracies were presented in terms of validated correlation coefficient Q^2 and root mean square error of prediction (RMSEP) as in Equations 1 and 2.

$$Q^2 = 1 - \frac{\sum_{i=1}^n (\hat{y}_i - y_i)^2}{\sum_{i=1}^n (y_i - \bar{y})^2} \quad (1)$$

$$RMSEP = \sqrt{\frac{\sum_{i=1}^n (\hat{y}_i - y_i)^2}{n}} \quad (2)$$

Where \hat{y}_i is the predicted relative concentration of sample i , y_i is the actual relative concentration of sample i , \bar{y} is the averaged relative concentration of all the samples in the test set and n is the total number of samples in the test.

Results and discussion

Preliminary studies

Preliminary tests were carried out to optimize SERS on the three analytes. As nicotine, cotinine and *trans*-3'-hydroxycotinine all contain a pyridine ring we expect the colloid to interact through the nitrogen in the ring, as this could become positively charged by protonation and therefore come close to the silver nanoparticles (NPs), as these NPs possess an overall negative charge due to the citrate ions on their surface. Previous workers had used NaCl as an aggregating agent for detection of nicotine²⁶ and we therefore started with this to aggregate our analyte-sol mixtures.

For SERS to be effective it is vital that a suitably rough silver surface is generated and this takes time to form during aggregation. We therefore optimized the time at which to start recording the spectra after the addition of 1 M NaCl. The maximum SERS response from the pyridine ring vibration at 1030 cm⁻¹ was after 45 min (data not shown). However, this is clearly too long and time consuming for running experiments where many samples will be analysed. Thus a different approach was attempted, and this included changing the pH of the analyte-sol mixtures before the addition of the aggregating agent.

SERS and pH profiling

Figure 1 depicts the variation in the Raman signal intensity *versus* Raman shift from the 633 nm laser for nicotine at the different pH conditions investigated. Similar profiles using the same conditions for the cotinine and *trans*-3'-hydroxycotinine were also conducted (spectra not shown). It is clear from Figure 1 that the SERS spectra of nicotine changes as a function of the pH of the sample.

It is very striking that a peak at 890 cm⁻¹ was observed for nicotine but only at pH 1. Visual inspection of all samples (including cotinine and *trans*-3'-hydroxycotinine) at pH 1 showed that instead of a green/grey-milky suspension the samples had rapidly turned black (data not shown). This suggests that the silver has been oxidised and the sol was also seen to precipitate. This was not observed from pH 2-12.

Nicotine is a diprotic base with two pKa values: the first pKa is 3.12 for the pyridine ring and the second pKa is 8.02 for the pyrrolidine ring²⁷. The two nitrogen atoms in nicotine have a lone pair of electrons that can be donated to a proton. The lone pair of electrons in the pyrrolidine ring is more readily available for protonation than the lone pair on the nitrogen

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3 of the pyridine ring, since these take part in the resonance of the pyridine ring. It has been
4 suggested that the protonation of nicotine is within a pH dependent equilibrium system²⁸.
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6 As the pH changes from acidic to alkaline conditions the protonation of nicotine will be
7 different and this is shown in Figure 2 where in highly acidic conditions (< pH 3) both
8 nitrogens are protonated, between *ca.* pH 3-8 nicotine is mono-protonated, and > pH 8
9 nicotine is non-protonated.
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14 Even though the analyte-colloid mixtures at pH 1 are not really appropriate for SERS it is
15 possible that the presence of the two-protonated nicotine species could be the reason
16 behind the appearance of the peak 890 cm⁻¹ in SERS, which is also present to a much lesser
17 extent from pH 2-9, but disappears > pH 9. It is also possible that at low pH when both the
18 pyridine and pyrrolidine are protonated that nicotine may be forced to lie flat on the
19 surface, and whilst there is no direct evidence of this, this again could explain this 890 cm⁻¹
20 peak.
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28 The variation of the peak area (1030 cm⁻¹) associated with the pyridine ring as a function of
29 the pH of the mixtures studied for each of the nicotine, cotinine and tran-3-hydroxycotinine
30 are shown in Figure 3. Nicotine spectra show that the maximum peak area is obtained at
31 around pH 3. However, further investigation is required in pH range 2-4 in order to verify
32 the exact optimum of the peak area with pH (but one would assume it will be close to the
33 pH 3.1 as this is the pKa of the pyridine ring). This spectrum further reveals no appreciable
34 change in the intensity of the peak area between pH 4-8. One is tempted to work within this
35 regime of pH, although at a sacrificed of around 13% in the intensity when compared with
36 that at pH 3, due to the experimental difficulty encountered in adjusting the pH of solution.
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44 For nicotine between pH 4-8 protonation occurs in the pyrrolidine ring (Figure 2) suggesting
45 this could result in nicotine binding to the surface through this nitrogen rather than the one
46 on the pyridine ring. A small peak at this pH range is seen at 900 cm⁻¹ (Figure 1) which could
47 be due to pyrrolidine. In addition, as vibrations perpendicular to the surface are more
48 enhanced this should result in a change in the relative intensity of the peaks due to the
49 change of conformation on the surface.
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56 As for the cotinine results, no significant change is observed in the peak area in the range of
57 pH 1-7; however, an intense increase in pyridine peak intensity is observed as one moves
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3 from pH 8 to pH 9-10, after which a decline in the intensity is noticed as the pH increases to
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5 12. In contrast to that of nicotine and cotinine, two distinct peak area maximum are
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7 observed at pH 3 and pH 11 in SERS of *trans*-3'-hydroxycotinine. In both cases a decline is
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9 seen with an increase of the pH after reaching the peak maximum.

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11 The pKa for both cotinine and *trans*-3'-hydroxycotinine is 4.5, and this is again due to the
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13 nitrogen within the pyridine ring being protonated. This could explain the slight rise in the
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15 pyridine ring vibration from pH 3-5, as again the availability of a lone pair of electrons on the
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17 nitrogen atom of pyridine ring will be involved in the increased adsorption of these species
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19 on to the silver colloid surface.

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21 Under alkaline conditions for both cotinine (pH 10) and *trans*-3'-hydroxycotinine (pH 11)
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23 there is a significant increase in the 1030 cm⁻¹ pyridine peak (Figure 3 indicated by *); the
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25 same could also be observed for nicotine at pH 10. This is an interesting observation for
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27 which we have no current explanation; this could be due to citrate being removed from the
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29 surface of the NPs and thereby vacating the surface for absorption by the pyridine-
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31 containing analytes. However, there is no direct evidence for this and liquid
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33 chromatography-mass spectrometry of the solution would not be possible given the excess
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35 of citric acid used in the reduction of the Ag²⁺ to Ag (0). We do stress that as each spectrum
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37 was collected 15 times (5 repeats from 3 different preparations) this observation is real and
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39 establishes the optimum pH conditions for each of the three analytes.

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41 From the results obtained above one can conclude that the pH of the mixture has an
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43 important impact on the intensity of the SERS response. All the analytes investigated in this
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45 study contain nitrogen which could be found in protonated or unprotonated forms under
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47 the different pH values that have been used in the study. At acid pH the protonated forms
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49 will dominate, and as the pH increase the number of protonated species will be reduced. It
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51 is known that the extent of interaction (bonding) of such molecules with the silver colloid
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53 surface is affected by the presence or absence of these protonated forms, as this will affect
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55 the availability of lone pairs of electrons onto the nitrogen atoms for adsorption of the
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57 species onto silver colloid surface which in turn impact on the intensity of Raman.
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Establishment of the linear working range for SERS

Based on the above results three calibration curves for nicotine, cotinine and *trans*-3'-hydroxycotinine were carried out using each analyte diluted from 1.10^{-10} M – 1.10^{-4} M where the pH was adjust to 3, 10 and 11 for nicotine, cotinine and *trans*-3'-hydroxycotinine, respectively. This allowed the establishment of the concentration range at which the signal response for the 1030 cm^{-1} peak area from the pyridine ring was linear with respect to the analyte concentration.

Five reading were recorded for each concentration and the average peak intensity was plotted *versus* the analyte concentrations (data not shown). The results revealed that the signal was linear for nicotine between 1.10^{-7} M to 1.10^{-5} M, and for both cotinine and *trans*-3'-hydroxycotinine was slightly lower from 1.10^{-8} M to 1.10^{-5} M.

Quantitative analysis in tertiary mixtures

As detailed in the Materials and Methods section tertiary mixtures of nicotine, cotinine and *trans*-3'-hydroxycotinine were prepared three times at the three different pH (*viz.* pH 3, 10 and 11), and each sample was analysed five times. Having established that the linear SERS response range these mixtures was 1.10^{-7} M - 1.10^{-5} M 66 mixtures were prepared in '10%' steps (see for example as in Table S1). The total number of molecules was always 1.10^{-5} M, and this was performed so that the number of molecules competing for the silver surface was always constant.

In the above optimization studies (in terms of pH and linear working range) the peak area at 1030 cm^{-1} , which is associated with the pyridine ring, was only used to illustrate the overall SERS enhancement effect for nicotine, cotinine and *trans*-3'-hydroxycotinine and we stress that this single vibrational mode cannot be used to differentiate between these three different analytes. Illustrations of the SERS spectra for each of the individual analytes at the three different pH conditions are provided in Figures S1-S3 along with a mixture of all three molecules together (Figure S4). It is clear from these plots that that differentiation by eye is very difficult and that the mixture spectra change dramatically as a function of pH, and for the illustrated mixture containing 60:20:20 (nicotine : cotinine : *trans*-3'-hydroxycotinine) that pH 3 is quite featureless; other mixtures show different effects (data not shown).

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3 Initial analyses established that PLS regression was not able to produce very good
4 predictions of these three pyridine ring-containing molecules. This suggests that the
5 mapping from input SERS spectra to output analyte concentration(s) was not linear,
6 therefore we assessed non-linear mapping/regression algorithms. ANN and K-PLS were used
7 and all models were validated using multiple testing via bootstrapping. As there were 3
8 analytes to be quantified at 3 different pH optima a series of 16 chemometric models were
9 generated. These used: (i) the single SERS input with a single analyte output prediction for
10 each pH (total = 9 models); (ii) the single SERS input with 3 analyte outputs for each pH (3
11 models); alternatively, all three SERS spectra were combined and used as the input with and
12 the output was either (iii) a single analyte (3 models; Figure S5) or (iv) all 3 analytes (a single
13 model; illustrated in Figure 4).

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23 As an example, the results of an ANN are depicted in Figure 4 for all three SERS spectra
24 combined with a single model using 3 output nodes. It is clear from this figure that nicotine,
25 cotinine and *trans*-3'-hydroxycotinine are all predicted very well and follow the expected $y =$
26 x line. Closer inspection suggests that nicotine is predicted with better accuracy than
27 cotinine and *trans*-3'-hydroxycotinine and this may be expected as the relative signal
28 strength for nicotine was higher than the other two analytes (Figure 3).

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35 The results for both the ANNs and K-PLS are shown in Table 1 for the single output
36 predictions and in Table S2 for when all three analytes are simultaneously predicted. As
37 detailed in the materials and methods section all the predictions are on the test sets from
38 the bootstrap results and these tables show the average predictions for the 1000
39 resamplings performed. These tables provide the Q^2 which is a measure of the correlation
40 coefficient between the expected and predicted analyte concentrations, as well as the
41 RMSEP (root mean square error of prediction) for the test sets. Thus better models will have
42 a Q^2 close to 1 and have a low RMSEP.

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49 It can be seen that under the optimal pH condition for each analyte there was generally very
50 good agreement between the actual concentration and the predicted concentration of each
51 of the three analytes. For all predictions the ANNs outperformed K-PLS. This may be due to
52 ANNs ability to perform superior non-linear mapping from an input layer (i.e. SERS spectra)
53 to an output layer (i.e. drug or drug metabolite level) when a single hidden layer is used.²⁹
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³⁰ In addition, for both ANNs and K-PLS the models which were calibrated to predict a single analyte (Table 1) generated better results than the corresponding models which predicted all three analytes simultaneously (Table S2).

It can be seen that the lowest error in nicotine quantification was 5.4% RMSEP ($Q^2 = 0.96$) and this is when SERS was conducted at pH 10 and calibrated with an ANN when a single output node was employed. For cotinine and *trans*-3'-hydroxycotinine the lowest errors were also with an ANN containing a single output and were 9.0% and 8.1% respectively ($Q^2 = 0.89$ and 0.91); in both instances the SERS spectra from all three pH conditions were combined and used for modelling. The nicotine RMSEP for the same combined SERS input (i.e., the 3072-20-1 ANN) was 6.6% ($Q^2 = 0.95$) and perhaps this model was a good compromise for the prediction of all three analytes. Moreover, it is clear from Table 2 that a good compromise for good overall predictions using ANNs for modelling for nicotine, cotinine and *trans*-3'-hydroxycotinine are when SERS spectra were collected at pH 10; a finding that is also seen for K-PLS (Table S2).

In conclusion, we have developed colloid-based SERS for the analysis of three analytes in tertiary mixtures without recourse to prior chromatographic separation. Raman spectra were obtained using a portable Raman probe (DeltaNu instrumentation) and this illustrates that this approach could be deployed remotely. When the data generated were analysed with ANNs this allowed for the simultaneous quantification of the drug nicotine and its major drug metabolites cotinine and *trans*-3'-hydroxycotinine. Future studies will be conducted to extend this to testing from complex biological matrices, including blood and urine, where we expect that we shall have to use selective solvent extraction to recover these pyridine-based chemical species.

Acknowledgements

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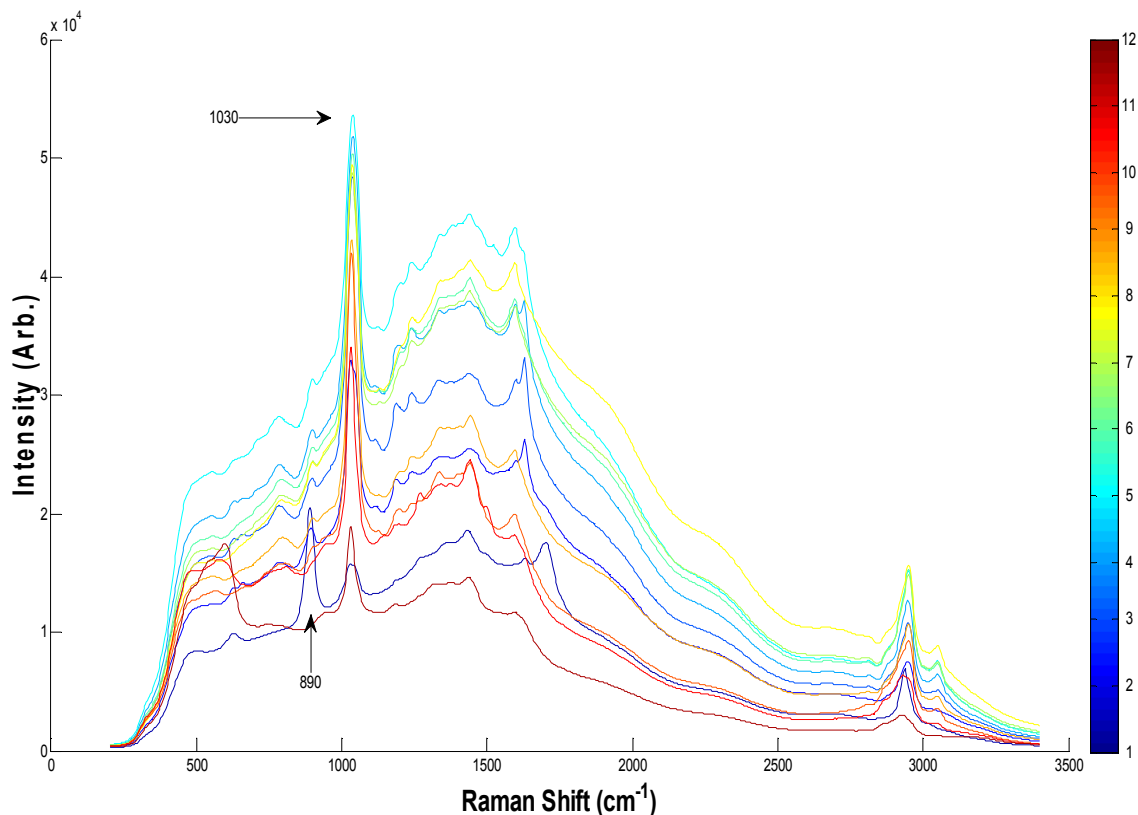


Figure 1. SERS spectra from pH profiling experiments for nicotine ($2 \cdot 10^{-3}$ M). The pH was adjusted prior to SERS acquisition from 1-12 in ~ 1 (± 0.1) pH unit steps using acetic acid and NaOH. Spectra were collected for 30 s using 633 nm excitation, with ~ 3 mW on the sample. Average spectra are displayed from 15 independent collections. Arrows indicate the 890 cm^{-1} vibration and the pyridine ring vibration at 1030 cm^{-1} . The colour scale bar represents the pH of the conditions used for SERS and these colours the lines used to represent the different spectra

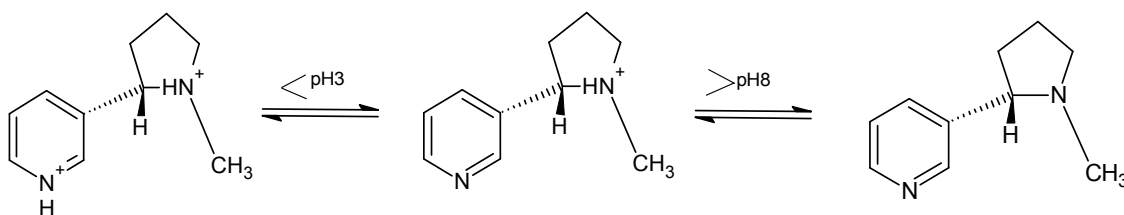


Figure 2. Scheme showing the ionisation of nicotine in acidic and basic conditions.

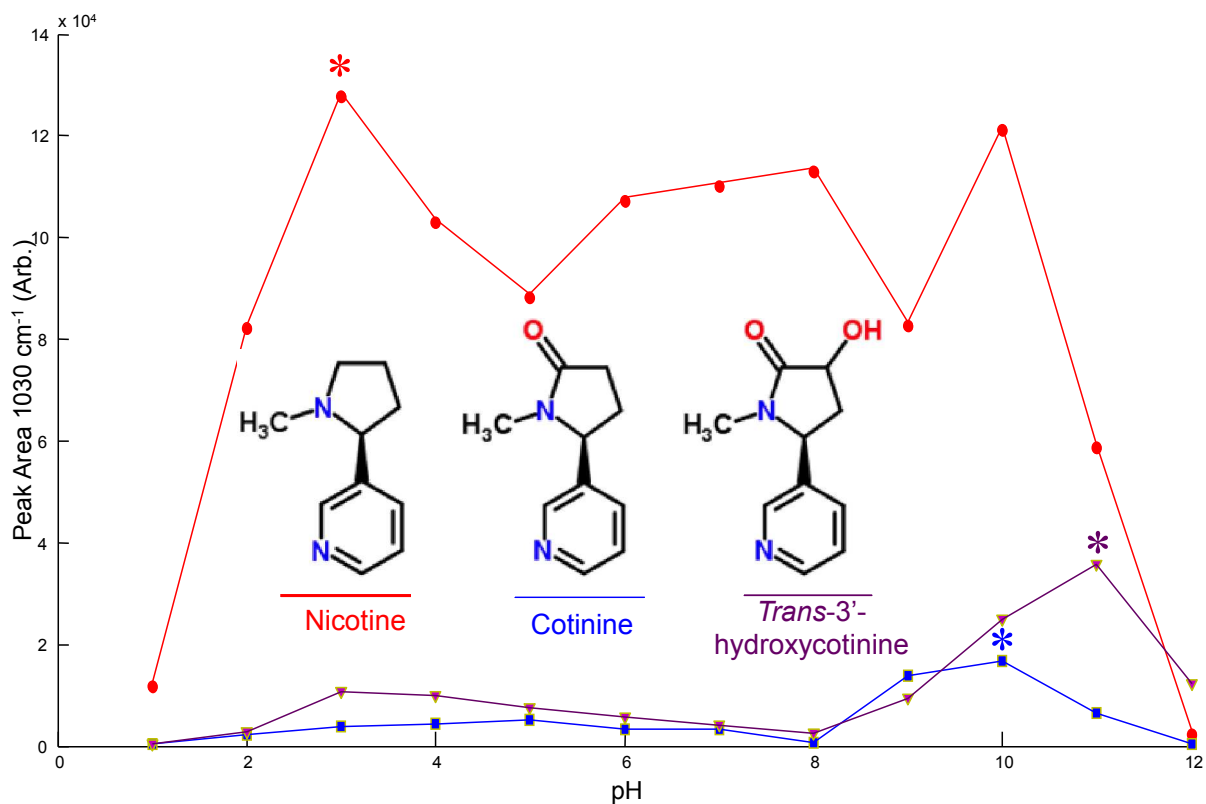


Figure 3. pH profiling results from the 3 analytes under investigation. The peak area averages at 1030 cm⁻¹ were calculated from the 15 replicate spectra at each pH for each of the analytes. The asterisks show the point where the optima signals for nicotine, cotinine and *trans*-3'-hydroxycotinine occurs and these were used for future investigations. Structures for the analytes are shown and these are generated from ChemSpider (www.chemspider.com).

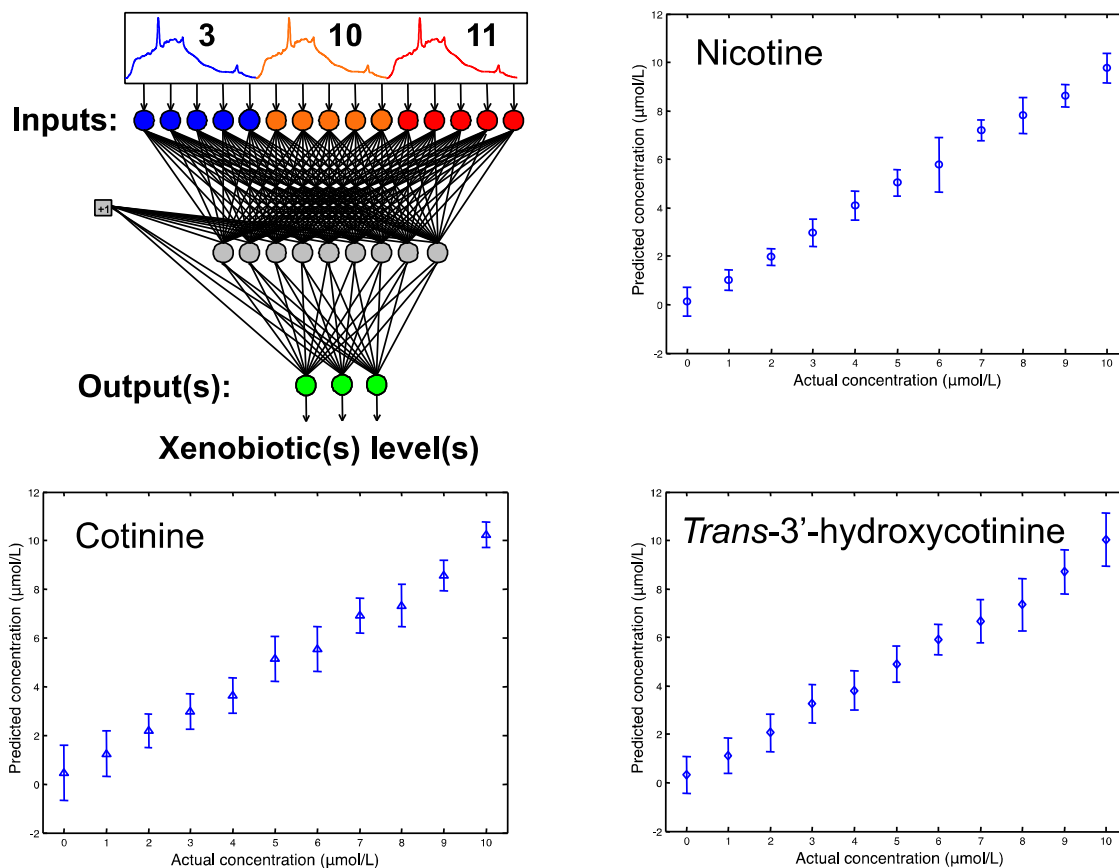


Figure 4. Cartoon of the artificial neural network (ANN) architecture used which comprised 3072 (3×1024) inputs 20 nodes in the hidden layer and 3 output nodes, with the ANN predictions trained with the input SERS data from mixtures of nicotine, cotinine and *trans*-3'-hydroxycotinine at all three pH conditions. Points show the averages of the test data only with standard deviation error bars.

Table 1. Chemometric model predictions for nicotine, cotinine and *trans*-3'-hydroxycotinine. In these predictions a single output node was used in each of the ANNs.

		Nicotine		Cotinine		<i>Trans</i> -3'-hydroxycotinine	
		Q ²	RMSEP	Q ²	RMSEP	Q ²	RMSEP
pH = 3	ANN	0.9176	7.7153	0.5054	18.9055	0.6123	16.7196
	K-PLS	0.9001	8.5703	0.4995	19.0315	0.6066	16.8861
pH = 10	ANN	0.9591	5.4378	0.8775	9.5897	0.8105	11.6987
	K-PLS	0.9512	5.9171	0.8687	9.8734	0.8054	11.9003
pH = 11	ANN	0.9382	6.6793	0.7911	12.2670	0.8122	11.6462
	K-PLS	0.9297	7.1061	0.7845	12.4158	0.8015	11.9728
Combined	ANN	0.9519	5.8915	0.8869	9.0397	0.9092	8.0987
	K-PLS	0.9489	6.0487	0.8858	9.1903	0.9017	8.4909