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# ARTICLE TYPE

### Fragmented polymer nanotubes from sonication-induced scission with thermo-responsive gating system for anti-cancer drug delivery

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The uniform purified long polymer nanotubes with crosslinked poly(glycidyl methacrylate) as the backbone and pendant poly(*N*-isopropyl acrylamide) layer attached to the inner surface as thermo-responsive gating system were synthesized from a two-fold "grafting-from" strategy inside cylindrical alumina nanopores. To adapt such long polymer nanotubes to be efficient nanocarriers for the

- <sup>10</sup> intracellular delivery of anti-cancer drugs, sonication-induced scission method was used to 'cut' the long nanotubes into short fragments. The discontinuous volume transition property in response to temperature change for the pendant poly(*N*-isopropyl acrylamide) layer inside the nanotubes, results in a reversible 'closing/opening' gating mechanism to control loaded drug release from the nanotubes. Using doxorubicin (DOX) as a model drug, *in vitro* thermo-responsive drug release behaviour and the related
- <sup>15</sup> kinetics were studied in details. The pristine fragmented polymer nanotubes were found to have good biocompatibility under the test with KB-3-1 cancer cells although toxic in their monomeric forms. More importantly, cell toxicity assays for DOX-loaded fragmented polymer nanotubes presented the excellent temperature and concentration-dependent cytotoxicity with low IC<sub>50</sub> of 1.4  $\mu$ mol/L. These results indicated that such polymer nanotubes with thermo-responsive gating system have potential as an

20 effective anti-cancer drug delivery vehicle.

#### Introduction

Nonspherical particles have proven to be advantageous for improving blood circulation time, biodistribution and evading premature clearance by phagocytosis in drug delivery <sup>25</sup> applications.<sup>1-5</sup> Development of stimuli-responsive nanotubes, one important type of nonspherical particles, as promising drug carriers has attracted considerable interest because of the enhanced stability of drug molecules over the delivery process, larger drug loading capacity allowing for delivering more than <sup>30</sup> one therapeutic agent, and well-modulated drug release in response to physiological stimulus signals caused by disease

- response to physiological stimulus signals caused by diseases such as temperature or pH changes, redox reactions, enzymes, and so on.<sup>6-9</sup> Recently, polymer nanotubes are becoming preferred drug delivery nanovehicles, not only because they offer
- <sup>35</sup> enhanced drug loading capacity and drug stability, but also exhibit a good potential for surface modification and provide excellent pharmacokinetic control.<sup>10-13</sup> To achieve controlled drug release, polymer nanotubes-based carriers require reversible stimuli-responsive controlled gating systems, which can prevent
- <sup>40</sup> drug leakage and deactivation during circulation and allow triggered release of the therapeutics at targeted sites in the presence of physiological stimuli. Inorganic nanoparticles, polymer, and large molecules have been used as the blocking

gates to attach reversibly to the pore mouths to sterically hinder <sup>45</sup> loaded drug molecules from releasing.<sup>14-16</sup> Different stimuli, such as pH, light, redox potential, temperature, and enzymes have been applied as 'triggers' for uncapping the pores to release loaded molecules. However, these blocking gates aforementioned were mostly used for mesoporous silica nanoparticle-based drug <sup>50</sup> delivery systems. Hitherto, no gating systems for polymer nanotubes were reported to control their opening/closing to release the guest cargoes.

Successful clinical applications require the efficient intracellular delivery of drug-loaded nanotubes through the <sup>55</sup> process of endocytosis during which the nanotubes adsorbed onto the plasma membrane become encapsulated in a vesicle or endosome that detaches from the inside of the cellular membrane and is released into the cytosol.<sup>5, 11</sup> Both experimental and theoretical investigations have displayed that the cellular uptake <sup>60</sup> of nanostructure carriers was strongly size-dependent.<sup>17-20</sup> Nanosized vesicular systems, ranging from 10 to 1,000 nm in size could be encapsulated by endocytotic pathways through either specific (e.g., receptor-mediated endocytosis) or non-specific interactions (e.g., adsorptive endocytosis) with cell membranes. <sup>65</sup> Therefore, to develop polymer nanotubes into an applicable controlled drug delivery system, the thermo-responsive gating uniform nanotubes with suitable size and high purity are highly demanded for efficient cellular uptake via endocytosis.

In this paper, a new type of uniform purified short polymer nanotubes with reversible thermo-responsive controlled gating system was reported which could enhance drug loading capacity s and sustain drug release in response to temperature change. The

- s and sustain drug release in response to temperature change. The uniform long polymer nanotubes with crosslinked poly(glycidyl methacrylate) (PGMA) as the backbone and pendant poly(*N*isopropyl acrylamide) (PNIPAAm) layer attached to the inner surface as thermo-responsive gating system (abbreviated for
- <sup>10</sup> PGMA-PNIPAAm NTs) were synthesized from a two-fold "grafting-from" strategy inside cylindrical alumina nanopores. The purified fragmented short nanotubes were generated by the sonication-induced scission<sup>21-24</sup> and dialysis treatment. *In vitro* drug release studies showed that at ambient temperature with
- <sup>15</sup> gating system under closing status, the loaded drugs were preserved within nanotubes without any leakage, while at higher temperature above the lower critical solution temperature (LCST), the gates of the nanotubes were opened, and the loaded drugs were gradually released due to the hydrophobic interaction
- <sup>20</sup> between the loaded drug and polymer nanotubes. Moreover, high viability of KB-3-1 cancer cells exposed to pristine PGMA-PNIPAAm NTs were found, which indicated that PGMA-PNIPAAm NTs have good biocompatibility though toxic in their monomeric forms. And *in vitro* cytotoxicity investigation showed
- 25 the thermo-responsive and dose-dependent chemotoxicity in fragmented short DOX-loaded polymer nanotubes.

#### Experimental

#### Materials and chemicals

Anodic aluminum oxide (AAO) membranes with the nominal <sup>30</sup> diameter of 200 nm and thickness of 60 μm were purchased from Whatman (Part of GE Healthcare). 3-aminopropyl trimethoxysilane (APTMS), *N*,*N*'-dimethylformamide (DMF), 4,4'-azobis(4-cyanovaleric acid) (ABCA), 1,3dicyclohexylcarbodiimide (DCC), pyridine, glycidyl methacrylate

- <sup>35</sup> (GMA), ethylene glycol dimethacrylate (EGDMA), inhibitor remover columns, poly(glycidyl methacrylate) (PGMA, Mn ~20 000), *N*-isopropylacrylamide (NIPAAm, 99%), *N*, *N'*methylenebisacrylamide (MBAA) and dimethyl sulfoxide (DMSO), 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium
- <sup>40</sup> bromide (MTT) were all purchased from Aldrich, St Louis, MO, USA. Dulbecco Modified Eagle Medium (DMEM) was purchased from Hyclone Thermo Scientific, Logan, UT, USA. Nanopure water was abtained from Barnstead Easypure RoDi under 18MΩ cm. Doxorubicin (DOX, MW = 580) was purchased <sup>45</sup> from MP Biomedicals, LLC, IIIkirch, France.

## Synthesis of uniform long crosslinked PGMA nanotubes embedded inside AAO nanopores

AAO membranes were washed with acetone by ultrasonication for 10 min and dried at ambient conditions before use. With this <sup>50</sup> membrane as the template, uniform long poly(glycidyl methacrylate-*co*-ethylene glycol dimethacrylate) (poly(GMA-*co*-EGDMA)) nanotubes embedded inside AAO nanopores were synthesized as described in our previous publication.<sup>25</sup> Briefly, AAO membrane was silanized with APTMS by immersing the <sup>55</sup> template in 1 mM APTMS ethanol solution for 40 min and then

the membrane was washed with ethanol and Nanopure water

respectively. After blowing nitrogen gas for several minutes, the AAO membrane was baked in an oven at 120 °C for 30 min, followed by exposure to 40 mL DMF solution containing 0.2 g of 60 photoinitiator ABCA, 2 g of DCC, and 50 mL of pyridine, for 8 h at room temperature. The membrane was then cleaned with Nanopure water and acetone respectively, and dried in a vacuum oven at room temperature. Furthermore, GMA and EGDMA were purified by passing through inhibitor remover columns 65 respectively. A precursor material mixture consisting of 70% (v/v) inhibitor-free GMA monomer, 30% (v/v) inhibitor-free EGDMA crosslinker and 1% (w/v) PGMA was homogenized by sonication for 15 min and deaerated by purging with N<sub>2</sub> for 10 min. Then the mixture was vacuum-injected into the 70 photoinitiator-immobilized AAO membrane. PGMA nanotubes were generated by the surface-initiated photopolymerization reaction with the exposure to UV irradiation for 30 min at 12 mW cm<sup>-2</sup> using a DUV Exposure System (ABM Inc., San Jose, CA, USA) fitted with a 500 W HgXe lamp. After the reaction was 75 completed, the nanotubes embedded in the AAO template were dried at 40 °C for 1 h to remove the residual solvent, followed by mechanical polishing both outer surfaces of the template with 600

#### 80 Preparation of thermo-responsive gating system for crosslinked PGMA nanotubes

was cleaned with Nanopure water.

grit sand paper to remove the polymer layers. Then the assembly

The reversible thermo-responsive controlled gating system was prepared by attaching pedant PNIPAAm layer crosslinked with MBAA to the inner surface of crosslinked PGMA nanotubes. <sup>85</sup> Firstly, the monomer NIPAAm was first recrystallized from a mixture of 50 mL of toluene and hexane at the volumetric ratio of 4 : 1. A mixture of 5 wt% of the purified NIPAAm, 2.5 wt% MBAA, and 1 wt% ABCA (dissolved in 0.5 mL THF) in 10 mL Nanopure water was prepared and vacuum-injected into the <sup>90</sup> PGMA-immobilized AAO membrane prepared previously,

- followed by UV irradiation for 30 min at 12 mW cm<sup>-2</sup>. Then the ensemble was dried at room temperature for 1 h and polished by 600 grit sand paper, followed by cleaning with Nanopure water. The uniform long crosslinked PGMA nanotubes with thermo-
- 95 responsive gating system were released by the dissolution of the AAO template in 3 wt% NaOH solution. After the template is completely dissolved, the free PGMA-PNIPAAm NTs were collected by centrifugation, washed with Nanopure water several times and dispersed in Nanopure water. The amorphous polymer
- <sup>100</sup> byproducts generated during the formation of nanotubes were removed by dialysis using cellulose membrane with cut-off molecular weight of 20,000 Daltons (Spectrum Laboratories, Inc., Rancho Dominguez, CA, USA).

#### Preparation of fragmented short polymer nanotubes with 105 thermo-responsive gating system

Fragmentation of long crosslinked PGMA nanotubes with thermo-responsive gating system into short ones was performed by sonication-induced scission method. An ultrasonic processor (GE×130, CV18) with a probe diameter of 6 mm working under

<sup>110</sup> 130 Watts, 20 kHz was used to sonicate the long polymer nanotubes dispersed in Nanopure water. Sonication time was optimized in the range of 5-60 min. The impurities generated during ultrasonication process were removed by dialysis using

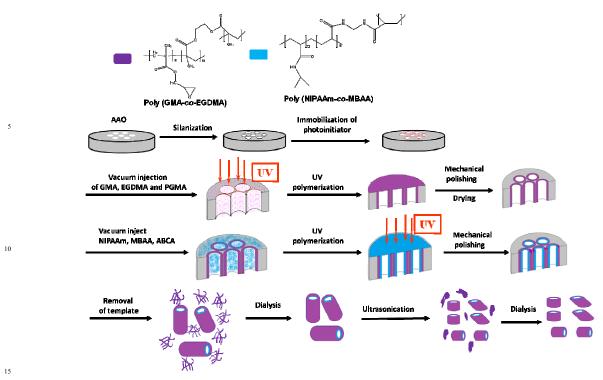


Fig. 1 Schematic of fabricating uniform PGMA-PNIPAAm long and fragmented nanotubes with thermo-responsive gating system

cellulose membrane with cut-off molecular weight of 20,000 Daltons (Spectrum Laboratories, Inc., Rancho Dominguez, CA, USA).

#### 20 Anti-cancer drug loading and controlled release

The dried PGMA-PNIPAAm NTs was dispersed in Nanopure water at 5 mg mL<sup>-1</sup>. DOX was loaded into the PGMA-PNIPAAm nanotubes with thermo-responsive gating system and tested for the release as follows.  $3.68 \times 10^{-3}$  mol DOX was dissolved in 1

- $_{25}$  mL DMSO as a stock solution and was diluted to 42.5 µmol/L with phosphate buffered saline (PBS; 0.1 M, pH = 7.4). Then the diluted DOX solution was mixed with the pristine PGMA-PNIPAAm NTs dispersion solution (5 mg/mL) at a mass ratio of 1 : 25 by stirring at 37 °C overnight. Subsequently the DOX-
- <sup>30</sup> loaded nanotubes were separated by centrifugation and the unloaded DOX concentration was measured by fluorescence spectrometer (LS-50B, Perkin Elmer) at the excitation wavelength of  $\lambda_{ex} = 480$  nm and an emission wavelength of  $\lambda_{em} =$ 557 nm. The DOX-loaded nanotubes were then dispersed in 1.8
- <sup>35</sup> mL of PBS buffer. The release kinetics were studied with the fluorescence spectrometer on Time-drive mode at both 25 °C and 37 °C maintained by 3006s Isotemp (Thermo Fisher Scientific Inc., Waltham, MA, USA) for 1 h and 10 h, respectively.

#### Cell toxicity assays

- <sup>40</sup> The anticancer activity of the pristine and DOX-loaded PGMA-PNIPAAm nanotubes with thermo-responsive gating system was evaluated by the MTT method. KB-3-1 cancer cells were cultured in DMEM supplemented with 10 % bovine serum at 37 °C in a humidified atmosphere containing 5 % CO<sub>2</sub> to allow cell
- $_{45}$  attachment. The cells were seeded into four 96-well plates in triplicate at 3,000 cells/well. After incubation at 37 °C for 24 h, 20  $\mu L$  each of the following substances were added: the pristine

fragmented short nanotubes (5 mg/mL) or DOX-loaded fragmented short nanotubes with the loaded DOX concentration <sup>50</sup> ranging from 0.1 to10  $\mu$ mol/L. After 72 h incubation, the MTT solution (4 mg/mL, 20  $\mu$ L) was added to each well and the cells were further incubated for 4 h. Finally, the supernatant was removed and 100  $\mu$ L DMSO was added into each well to dissolve the formazan crystals with vortex mixing. The absorbance of each

ss well was determined by an OPSYS Microplate Reader (DYNEX Technologies, Inc., Chantilly, VA) at 570 nm. The concentrations required to inhibit cellular growth by 50 % ( $IC_{50}$ ) were then calculated from survival curves.

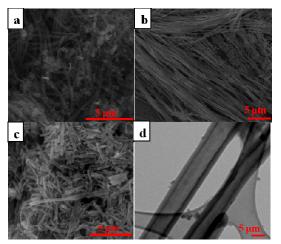
#### **Characterization and Analysis**

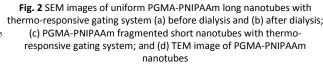
- <sup>60</sup> The morphologies of the PGMA-PNIPAAm long and fragmented short nanotubes were imaged by Scanning Electron Microscopy (SEM) (TM-1000, Hitachi High Technologies America, Inc., Pleasanton, CA) at an accelerating voltage of 15 kV and High Resolution Electron Microscopy (HRTEM) (JEOL, Peabody,
- <sup>65</sup> MA, USA) at an accelerating voltage of 200 kV. A drop of each sample was placed on the SEM stub and dried at ambient conditions. To prevent charging of the specimen with an electron beam, Polaron SC7620 mini Sputter Coater (Quorum Technologies Ltd, East Sussex UK) was employed to coat the
- <sup>70</sup> specimen with a 10 nm thin layer of Pd/Au. For HRTEM analysis, samples were dispersed in ethanol and placed on copper grids.

#### **Results and discussion**

#### Uniform long crosslinked polymer nanotubes with thermo-75 responsive gating system

The uniform long polymer nanotubes with crosslinked PGMA as the backbone and pendant PNIPAAm layer attached to the inner





- surface of the PGMA nanotubes as thermo-responsive gating system were synthesized from a two-fold "grafting-from" <sup>10</sup> strategy as shown in Fig. 1. The first-fold was the synthesis of uniform long crosslinked PGMA nanotubes backbone embedded in cylindrical alumina nanopores using a surface-initiated UVpolymerization pattern transfer method.<sup>25, 26</sup> This process includessilanization, photoinitiator immobilization, vacuum
- <sup>15</sup> injection of precursor materials, UV-polymerization, and mechanical polishing. Silanization involves the modification of the AAO template surfaces by APTMS. The Si-O bond formed between the hydroxyl-terminated surfaces of AAO template and APTMS with bond energy of 452 kJ mol<sup>-1</sup> is very stable even at a
- <sup>20</sup> high temperature, thus enhancing stability. Next, the photoinitiator, ABCA was immobilized onto the surfaces of the activated AAO template via amide bond formation between carboxylic groups of ABCA and amine functional groups of APTMS. Then the precursor material consisting of GMA
- <sup>25</sup> monomer, EGDMA crosslinker and pre-synthesized PGMA polymer was vacuum-injected into the photoinitiator-immobilized AAO nanopores. The incorporation of the pre-synthesized polymer into the monomer solution as the precursor material allows for precompensation of the structure shrinkage induced by <sup>30</sup> photopolymerization reactions.<sup>25</sup> Finally crosslinked PGMA
- nanotubes backbone embedded in cylindrical alumina nanopores were formed by free radical photo-polymerization under the effect of surface-bound initiators followed by the mechanical polishing to remove the outer polymer layers. The second-fold of
- <sup>35</sup> the "grafting-from" strategy as shown is photo-polymerization reaction of thermo-responsive films attached to the inner surface of PGMA nanotubes. PNIPAAm has been reported to switch between a hydrated, expanded state and a collapsed state across LCST.<sup>27</sup> The mixture of monomer NIPAAm with photoinitiator
- <sup>40</sup> AIBN and crosslinker MBAA was vacuum-injected into the crosslinked PGMA nanotubes backbone embedded in cylindrical alumina nanopores. Then the pendant PNIPAAm layer was formed inside the PGMA nanotubes via free-radical UVpolymerization. Finally the uniform long polymer nanotubes with

- <sup>45</sup> crosslinked PGMA as the backbone and pendant PNIPAAm layer inside as thermo-responsive gating system were obtained following drying, mechanical polishing, the dissolution of AAO template, dialysis to remove amorphous polymer impurities, centrifugation and cleaning.
- <sup>50</sup> SEM and TEM images confirmed the success in fabricating the uniform long polymer nanotubes with crosslinked PGMA as the backbone and pendant PNIPAAm layer inside as thermoresponsive gating system shown in Fig. 2. The outer diameter of the nanotubes is determined by the diameter of the pores in the
- AAO template while the length is determined by the thickness of the template, thus giving us an advantage in controlling the dimensions of the nanotubes. Even though the inner diameter is not easily controlled resulting in a tendency for the wall thickness to vary, the as-prepared nanotubes have shown the characteristic
- <sup>60</sup> of uniformity as well as the flexibility to adjust on command. Further, the amorphous polymer impurities accompanied with the synthesis of the nanotubes could be cleaned out by dialysis using cellulose membrane in Nanopure water. There exists certain amount of amorphous polymer byproducts accompanied with the
- 65 formation of PGMA-PNIPAAm NTs before dialysis (Fig. 2a). But a majority of such amorphous polymer impurities were dialyzed out from the PGMA-PNIPAAm NTs due to their relatively small molecular weight (Fig. 2b). Moreover, SEM image in Fig. 2b clearly shows that the nanotubes have smooth
- <sup>70</sup> outer surfaces and uniform dimension with an outer diameter of 240  $\pm$  6 nm, and a length of 60  $\pm$  2 µm that resulted from introducing the precursor materials consisting of 70% (v/v) GMA monomer, 30% (v/v) EGDMA crosslinker and 1% (w/v) presynthesized PGMA to the photo-initiator immobilized AAO <sup>75</sup> templates with the nominal pore size of 200 nm and a thickness
- of 60 μm, followed by immobilization of PNIPAAm layer inside. The incorporation of the pre-synthesized polymer into the monomer solution as the precursor materials not only promotes increase in the modulus of elasticity of the resulting polymer <sup>80</sup> nanotubes, but also favors the decrease in polymerization shrinkage.

#### Fragmented and purified short polymer nanotubes with thermo-responsive gating system from sonication-induced scission

- 85 A successful drug delivery system requires nanotubes under certain size for effective cellular uptake during endocytosis. The long polymer nanotubes above mentioned are not suitable for the application of drug delivery since the length is in the range of scores of micrometers, which is far beyond the effective cellular 90 uptake via endocytosis. To reach the goal, here sonicationinduced scission method was employed to 'cut' the long into short fragments. The mechanism nanotubes of ultrasonication for nanotube scission was shown in Fig. 3. It is known that gas bubbles are capable of resonance vibration.<sup>24</sup> The 95 sonicator tip in the medium first generates acoustic waves. Bubbles of different sizes are generated, with the larger ones closer to the sonicator. This bubble distribution creates an effective density gradient and then drives the convective current to establish an optimal cutting zone for the nanotubes. Bubbles 100 smaller than the resonance size will attract each other, then
- collide and coalesce through a "rectified diffusion" process. This is called nucleation. Once these bubbles gather and reach the

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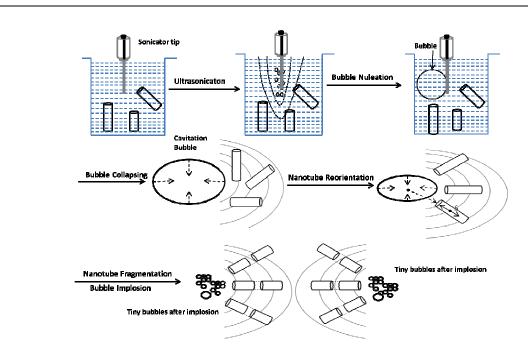


Fig. 3 Mechanism of sonication-induced scission for fragmentation of long polymer nanotubes

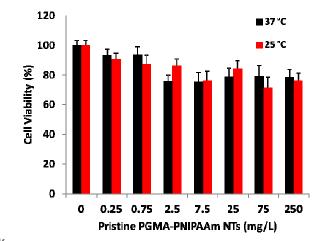
- critical resonance size, they start collapsing and create a strong hydrodynamic flow. This strong flow gradually pulls the nanotubes in the media to run parallel to the collapsing wall. A relative velocity between the nanotubes and the fluid is created <sup>20</sup> and balanced by mechanical tensile stresses, which are maximal
- <sup>20</sup> and balanced by mechanical tensile stresses, which are maximal near the center of the nanotube. After the stresses reaching a critical level, nanotubes are pulled apart from the center of the nanotubes. The bubble then vanishes, leaving a cloud of small bubbles in the original space.<sup>21-23</sup> We found the sonication-
- $_{25}$  induced scission only affects the length of the nanotubes, but not the diameter. No further length reduction was observed after 10-min sonication treatment. The data was not shown here. Fig. 1c shows SEM image of the purified fragmented PGMA-PNIPAAm NTs with a length of  $2\pm0.5~\mu m$ , an outer diameter of  $240\pm6$  nm
- <sup>30</sup> obtained after 10-min sonication treatment followed by dialysis using cellulose membrane in Nanopure water. The more detailed TEM image (Fig. 2d) indicated the hollow structure of polymer nanotubes of  $54 \pm 4$  nm in wall thickness and 130 nm in inner diameter. These purified fragmented short PGMA-PNIPAAm
- 35 NTs provide us with an advantage for more efficient cellular internalization and drug loading compared to the original long nanotubes.

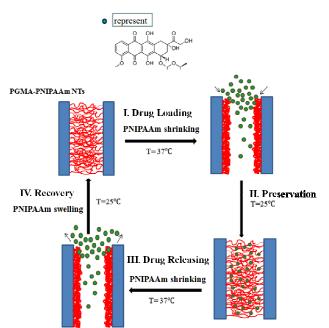
#### Cytotoxicity of fragmented polymer nanotubes

- The *in vitro* toxicity of thermo-responsive gating fragmented 40 PGMA-PNIPAAm NTs with 5 wt% NIPAAm monomer was evaluated in KB-3-1 human cancer cells using MTT assays. The cells were incubated with polymer nanotubes for 72 h varying concentrations within 0-250 mg L<sup>-1</sup> at 25 and 37 °C respectively. The results shown in Fig. 4 revealed that the fragmented PGMA-
- <sup>45</sup> PNIPAAm NTs have very low toxicity with cell viability of 75-100% up to a tested concentration of 250 mg/ L at both 25 and 37 °C. It indicated that pristine fragmented PGMA-PNIPAAm polymer nanotubes have good biocompatibility though toxic in their monomeric forms.

## 50 Reversible thermo-responsive gating mechanism for drug loading and release from polymer nanotubes

One of the main objectives of targeted delivery is to achieve controlled release once the drug is at a specific location in the body. Stimuli-responsive gating is one way of achieving <sup>55</sup> controlled release of the drugs on command. Here a typical thermo-responsive polymer, PNIPAAm, was used as gating materials to control drug release. PNIPAAm has a LCST of around 32 °C, close to the body temperature (37 °C). An increase in the LCST under physiological conditions would be desirable <sup>60</sup> for biomedical applications including drug delivery, which could be achieved by copolymerization with hydrophilic comonomers, such as acrylamide or *N*-isopropylmethacrylamide.<sup>28, 29</sup> However, as a proof of concept, the PNIPAAm was not performed any copolymerization modification to increase its LCST temperature in





**Fig. 5** Schematic of thermo-responsive loading/release behavior of DOX form the fragmented PGMA-PNIPAAm nanotubes. (I) Drug loading: the PGMA-PNIPAAm fragmented short nanotubes were loaded with DOX at <sup>5</sup> high temperature of 37 °C with the 'gates' opening; (II) Preservation: the drugs are stored inside the polymer nanotubes at low temperature of 25 °C with the 'gates' closing; (III) Drug releasing: when the system is heated to 37 °C, the 'gates' of the nanotubes open releasing the loaded drugs; (IV) Recovery: the cooling nanotubes back to the initial shape

- <sup>10</sup> this report. The pedant crosslinked PNIPAAm layer attached to the inner surface of PGMA nanotubes undergoes a discontinuous volume transition in response to a change in temperature of 32 °C at LCST, which can be attributed to the reversible formation and breakage of the hydrogen bonding between the water and
- <sup>15</sup> hydrophilic groups of PNIPAAm and the hydrophilic/hydrophobic groups within their chains. Below LCST, PNIPAAm hydrogel is hydrophilic and becomes "swollen" in aqueous media, In contrast, above the LCST, it undergoes a reversible phase transition and a sharp coil-to-
- <sup>20</sup> globule transition to form inter- and intra-chain association, resulting in hydrophobic aggregation and volume collapse. Therefore, the 'gates' of nanotubes will be opened only when the temperature is above the LCST; otherwise, they will be closed. Fig. 5 shows the drug loading, preservation, release and recovery
- <sup>25</sup> for the PGMA-PNIPAAm nanotubes. Drugs can be loaded into nanotubes when their 'gates' are open in response to temperature changes. The nanotubes are completely immersed in a drug solution at high temperature (here 37 °C) above LCST for a sufficiently long time to allow the drugs to diffuse to the opened
- <sup>30</sup> nanotubes until equilibrium is reached. When the temperature (here 25 °C) is below LCST, the PNIPAAm layer inside the PGMA tubes is swollen and the 'gates' are under the closing state. Thus the drug will be held inside the nanotubes until thermal activation. The loaded drugs will be released from the
- <sup>35</sup> nanotubes when the temperature of the surrounding media is increased since the PNIPAAm inner layer shrinks towards its original position leaving the gates of nanotubes open. Due to the hydrophobic interaction between the loaded drug and the hydrophobic shrunk PNIPAAm layer, the loaded drug will

 $_{\rm 40}$  diffuse out of the nanotubes gradually, not abruptly.

# Thermo-responsive release behavior of Doxorubicin from the PGMA-PNIPAAm NTs and its kinetic studies

Doxorubicin is the preferred drug for model systems when they are tested on in vitro systems. The thermo-dependent DOX 45 releasing from PGMA-PNIPAAm NTs with 5 wt% NIPAAm was investigated using fluorescence spectroscopy. In vitro DOX release profiles of the long and fragmented nanotubes were shown in Fig. 6a and b at two temperatures of 25 and 37 °C. At 25 °C, a negligible amount of DOX release was observed from 50 both long and short PGMA-PNIPAAm NTs and the release percentage was kept almost at zero. As the temperature increased to 37 °C, the amount of released DOX from long and fragmented nanotubes increased, but they represented different release behaviors in which the release rate of fragmented short nanotubes 55 was slightly faster than long nanotubes due to the faster diffusion of DOX from the fragmented short nanotubes. The release trend lines of long and fragmented nanotubes crossed after the next 2.4 h, which gave around 36.0% DOX release percentage. After this point, the release rate of long nanotubes was faster than 60 fragmented nanotubes. Finally, 67.47% and 43.66% DOX were

released after 11 h for long and fragmented PGMA-PNIPAAm NTs respectively.

Although the mechanism of drug release from polymeric matrices is very complex and is still not completely understood, it <sup>65</sup> can be simplistically classified as either pure diffusion, erosion controlled release or a combination of the two mechanisms.<sup>30</sup> Model dependent methods based on different mathematical functions, can be used to determine the suitable drug release kinetic model. The drug release following the first order kinetics <sup>70</sup> can be expressed by the equation:

$$y(t) = y_0(1 - \exp(-kt))$$
 (1)

where y(t) is the accumulative drug release percentage at time t, k is the first order rate constant expressed in units of inverse of

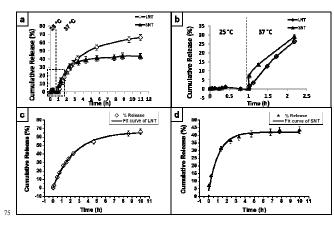
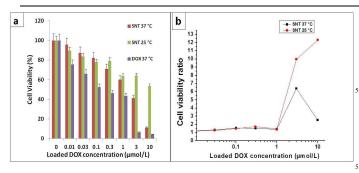


Fig. 6 (a) Thermo-responsive release behaviour of DOX from long PGMA-PNIPAAm nanotubes (LNTs) and fragmented short PGMA-PNIPAAm (SNTs); (b) zoom part for DOX release behavior upon temperature change; and (c) the first order kinetics model fitting curve
 80 of DOX release behavior for LNTs; (d) the first order kinetics model fitting curve of DOX release behavior for SNTs.

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**Fig.** 7 (a) Viability of KB-3-1 cancer cells incubated with DOX-Loaded PGMA-PNIPAAM SNTs for 72 h at 25 °C and 37 °C, and free DOX respectively; (b) The ratios of cell viability at 25 °C and 37 °C for DOX-loaded SNTs respectively to that for free DOX at corresponding concentrations.

time, and  $y_0$  is the final accumulative drug release percentage. The DOX release percentage y(t) as a function of time varied between PGMA-PNIPAAm long nanotubes and fragmented short nanotubes (Fig. 6b and c). The curves resulting from regression 10 analysis of the data by Eq. (1) from the first order kinetic relation were obtained for long nanotubes and fragmented nanotubes respectively as follows:

$$y(t) = 65.8(1 - e^{-0.42t})$$
 (2)  
$$y(t) = 41.7(1 - e^{-1.09t})$$
 (3)

And 65.8% and 41.7% are the final theoretical DOX release percentage values after 11 h for long polymer nanotubes and fragmented short polymer nanotubes, respectively, which are very close to the experimental magnitude of 67.47% and 43.66%. <sup>20</sup> Visual inspection reveals that Eq. 2 and Eq. 3 describe the release

<sup>20</sup> Visual inspection reveals that Eq. 2 and Eq. 3 describe the release data of Fig. 6b and 6c very well. The result demonstrates that the DOX release rate in fragmented short nanotubes is slower than long nanotubes, which means short polymer nanotubes have stronger hydrophobic effect towards DOX drug molecules.

## 25 *In vitro* investigation of DOX-loaded polymer nanotubes on cell viability

Nanotubes can be internalized by cancer cells and may provide a vehicle with which to deliver chemotherapeutic agents to the interior of cells, causing apoptosis.<sup>5, 11</sup> To investigate the <sup>30</sup> fragmented short PGMA-PNIPAAm NTs as a viable controllable delivery strategy, we tested the delivery of the chemotherapeutic

- drug DOX to KB-3-1 human epidermoid carcinoma cells. The *in vitro* cytotoxicity of DOX-loaded fragmented short PGMA-PNIPAAm NTs against KB-3-1 cells determined by MTT assay
- <sup>35</sup> was shown in Fig. 7 (a). We observed thermo-responsive cytotoxicity in short polymer nanotubes. At 25 °C, 53.72% cell viability was obtained for DOX-loaded fragmented nanotubes at a DOX dosage of 10 μmol/L. However, cell viabilities decreased to about 11.12% for DOX-loaded short polymer nanotubes when the
- <sup>40</sup> temperature increased to 37 °C under otherwise the same conditions. Further, PGMA-PNIPAAm NTs containing DOX exhibit concentration-dependent toxicity at 37 °C. The observed drug doses required for 50% cellular growth inhibition (IC<sub>50</sub>) of DOX-loaded PGMA-PNIPAAm NTs is 1.40  $\mu$ mol/L, which was

 $_{45}$  a little higher than that for free DOX (IC\_{50} = 0.3  $\mu mol/L$ ).

However, significant reduced cell viabilities to about 37-40% and 9-12% were observed at a DOX dosage of 3 and 10 µmol/L respectively. When the cell viability of DOX-loaded SNTs is divided by that of free DOX at corresponding concentrations, we 50 observe drastic difference in cell viability at 25 °C with the highest DOX concentration as shown in Fig. 7 (b). As the concentration of DOX increases from 0.01 to 10 µmol/L, the cell survival ratio significantly increases for 25 °C. On the other hand, the cell survival rate for DOX-loaded SNTs at 37 °C is almost 55 similar with the control. At 10 µmol/L of DOX concentration, the cell survival rate for the DOX-loaded SNTs at 25 °C is 12 times of the control while the rate for the DOX-loaded SNTs at 37 °C is less than 3 times of the control. This means DOX is successfully preserved inside the nanotubes at 25 °C while successful drug 60 release was achieved at 37 °C. As compared to other delivery systems reported,<sup>31-33</sup> such a good thermo-responsive cytotoxicity with low IC50 shows the superiority of PGMA-PNIPAAm fragmented nanotubes developed in this work. Thus, the novel polymer nanotubes may serve as an effective intracellular 65 thermo-triggered drug delivery system.

#### Conclusions

A simple and effective method has been developed to synthesize novel polymer nanotubes with thermo-responsive gating system. Sonication-induced scission method was employed to 'cut' long 70 nanotubes into fragmented short ones, which could be used as superior nanocarriers for efficient delivery and release of anticancer drug into cancer cells. The 'gates' of nanotubes can be reversibly controlled between 'closing' and 'opening' states upon temperature change across the LCST. The results obtained from 75 this work demonstrated that PGMA-PNIPAAm NTs showed great promise of therapeutic efficacy for the potential anticancer treatment. Further investigations of the developed anti-cancer drug delivery systems with higher LCST at physiological conditions (37 °C) on multi-drug resistant cancer cells and in vivo 80 studies remain underway. And intracellular drug release behaviours of the drug-loaded nanotubes will be real-time monitored as well. With the unique structural characteristics of polymer nanotubes one can build up multifunctional drug delivery systems including imaging, targetability and therapy for

85 the diagnosis and therapeutic treatment of cancer disease.

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