Accepted Manuscript



This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this Accepted Manuscript with the edited and formatted Advance Article as soon as it is available.

You can find more information about *Accepted Manuscripts* in the **Information for Authors**.

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard <u>Terms & Conditions</u> and the <u>Ethical guidelines</u> still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.



www.rsc.org/chemicalscience

Competitive Oxygen-18 Kinetic Isotope Effects Expose O–O Bond Formation in Water Oxidation Catalysis by Monomeric and Dimeric Ruthenium Complexes

Alfredo M. Angeles-Boza,^{1,#}Mehmed Z. Ertem^{*,2,§} Rupam Sarma,¹ Christian H. Ibañez,¹ Somnath Maji,³ Antoni Llobet^{*,3} Christopher J. Cramer² Justine P. Roth^{*,1}

¹Department of Chemistry, Johns Hopkins University, 3400 North Charles Street, Baltimore, MD 21218 ²Department of Chemistry and Research Computing Center, University of Minnesota, 207 Pleasant St. SE, Minneapolis, MN 55410

³Institute of Chemical Research of Catalonia (ICIQ), Av. Països Catalans, 16, 43007 Tarragona, Spain

RECEIVED DATE (to be automatically inserted after your manuscript is accepted if required according to the journal that you are submitting your paper to)

ABSTRACT:

Competitive oxygen kinetic isotope effects (¹⁸O KIEs) on water oxidation initiated by ruthenium oxo (Ru=O) complexes are examined here as a means to formulate mechanisms of O–O bond formation, which is a critical step in the production of "solar hydrogen". The kinetics of three structurally related catalysts are investigated to complement the measurement and computation of ¹⁸O KIEs, derived from the analysis of O₂ relative to natural abundance H₂O under single and multi-turnover conditions. The findings reported here support and extend mechanistic proposals from ¹⁸O tracer studies conducted exclusively under non-catalytic conditions. It is shown how Density Functional Theory calculations, when performed in tandem with experiments, can constrain mechanisms of catalytic water oxidation and help discriminate between them.

INTRODUCTION

Light-driven water oxidation provides the protons and electrons that reduce carbon dioxide to glucose during oxygenic photosynthesis.¹ Formation of the O–O bond in molecular oxygen has been proposed to represent the highest energy barrier in the reaction (Eq 1), making catalysis of this step an important objective.²⁻⁴ Competitive oxygen-18 kinetic isotope effects (¹⁸O KIEs) are applied here to probe mechanisms of water oxidation catalysis and interpreted using Density Functional Theory (DFT) for the first time.

(1)
$$2H_2O + \text{sunlight} \xrightarrow{} O_2 + 4H^+ + 4e$$

Ideally, the oxides of earth abundant transition metals could be used for photochemical conversion of water to "solar hydrogen", producing the cleanest and most sustainable source of energy for "powering the planet".⁵ Significant improvements in catalyst performance are needed, however, to make artificial photosynthesis a viable alternative to the burning fossil fuels. Progress in this area would be revolutionary, reducing toxic gas emissions⁶ and the devastation of natural environments⁷ while bolstering the global economy.⁸

A major objective in the basic energy sciences is to improve homogenous water oxidation catalysis. To this end, mechanistic understanding of the O–H bond breaking and O–O bond making steps in Eq 1 is needed.^{1,9,10} In this study, it is shown how such insights can be obtained through competitive isotopic measurements and prediction of the resulting ¹⁸O KIEs using DFT methods, together with Transition State Theory.^{11,12}

Oxygen isotope fractionation (from natural abundance levels) was originally developed by geologists and plant biologists as a technique for monitoring photosynthetic water oxidation and carbon dioxide fixation based on ${}^{18}\text{O}/{}^{16}\text{O}$ ratios. ${}^{13-15}$ The same basic methodology has since been applied to probe simple chemical transformations of O₂, superoxide (O₂⁻) and hydrogen peroxide (H₂O₂). 11 DFT calculations have been indispensible to these efforts allowing for the modeling of transition states. In

Chemical Science Accepted Manuscript

this study, competitive ¹⁸O KIEs are determined from the O_2 produced upon water oxidation initiated by the ruthenium complexes in Figure 1.¹⁶⁻¹⁹

Figure 1: The ruthenium perchlorate complexes²⁰ used as initiators of water oxidation catalysis are abbreviated as follows: $[Ru^{II}(bpy)(tpy)(OH_2)](ClO_4)_2$ (**Ru**, bpy = 2,2' bipyridine, tpy = 2,2':6',2''-terpyridine), $[Ru^{II,II}(tpy)_2(OH_2)_2(\mu-bpp)](ClO_4)_2$ (**Ru**₂^{Hbpp}, Hbpp = bis(2-pyridyl)-3,5-pyrazolate) and *cis,cis*-[Ru^{III}(bpy)₂(OH₂)(μ -O)]₂](ClO₄)₄ (**Ru**₂^{BD}).



In contrast to isotope tracer studies, conducted with ¹⁸O-labeled ruthenium complexes under stoichiometric conditions to prevent H₂O exchange on the timescale of experiments,^{16a,17a,17d,18c} competitive ¹⁸O KIE measurements probe single turnover as well as multi-turnover catalytic reactions. Isotopic fractionation analysis of H₂O requires a specialized vacuum apparatus^{13a} to hermetically manipulate samples, quantitatively isolate O₂ and purify this product from other condensable gases (none was found to form in control experiments conducted at atmospheric pressure). The O₂ is completely combusted to CO₂ and its pressure determined before condensation into a dried glass tube, which is then flame-sealed. Sample analysis employs dual-inlet isotope ratio mass spectrometry (IRMS).²¹ IRMS allows ¹⁸O/¹⁶O content to be determined with errors of ± 0.0002. Experimental manipulations can inflate the error by close an order of magnitude, which is still more than an order of magnitude less than the ¹⁸O KIEs measured in this study.

Results

1. Steady-State Kinetics

Steady-state rate constants were determined by analyzing initial rates of O₂ production by water oxidation catalysis using a Clark-type electrode at 22.0 \pm 0.2 °C, as previously described.²¹ Ceric ammonium nitrate, (NH₄)₂Ce^{IV}(NO₃)₆ or CAN, served as the sacrificial oxidant in 0.1 M perchloric acid and triflic acid solutions prepared from H₂O or D₂O. Ionic strength (μ) was maintained at 1.0 M by addition of lithium perchlorate or sodium triflate. Reactions were initiated by introducing 1-10µL aliquots of **Ru**, **Ru**₂^{BD} or **Ru**₂^{Hbpp} stock solutions into stirring, air-saturated 1.0-1.5 mL solutions, containing CAN. Initial rates of O₂ production, measured after allowing ~5 seconds for mixing, were found to vary in direct proportion to the concentration of **Ru**, **Ru**₂^{BD} and **Ru**₂^{Hbpp}.¹⁹

Prior kinetic investigations focused on rates at sub-saturating concentrations of CAN without control over ionic strength.^{16-18,21} Here a wide range of CAN concentrations were examined to reveal hyperbolic and sigmoidal trends leading to kinetic saturation during catalysis (Figure 2). The normal hyperbolic behaviors observed for Ru_2^{BD} and Ru_2^{Hbpp} are consistent with millimolar pre-equilibrium constants that describe the reactions with CAN prior to an irreversible CAN-independent step. The sigmoid dependence observed with Ru is more complicated and suggests catalyst activation as the CAN concentration increases.

Figure 2. Catalytic rate constant for H₂O (circles) and D₂O (squares) oxidation at variable CAN concentrations for reactions initiated by \mathbf{Ru} (a), $\mathbf{Ru_2}^{BD}$ (b) or $\mathbf{Ru_2}^{Hbpp}$ (c) in 0.1 M perchloric acid and. $\mathbf{Ru_2}^{Hbpp}$ in 0.1 M triflic acid (d).



Initial rate data in Figure 2 were collected under conditions analogous to those used to measure ¹⁸O KIEs and fitted to the expression: rate/[catalytic initiator] = $k_{cat}[CAN]^n/\{K_{CAN}^n + [CAN]^n\}$ to determine the parameters compiled in Table 1. The coefficient n = 1 for $\mathbf{Ru_2}^{Hbpp}$ and $\mathbf{Ru_2}^{BD}$, whereas n = 3 for **Ru**. The latter implies that three equivalents of $(NH_4)_2Ce^{IV}(NO_3)_6$ or some derivative²² reacts with **Ru** to cause rate enhancement. The hyperbolic fit for $\mathbf{Ru_2}^{Hbpp}$ and $\mathbf{Ru_2}^{BD}$ gives the second order rate constant k_{cat}/K_{CAN} , which can also be determined from linear regression analyses at sufficiently low CAN concentrations (Figure 2 insets). The latter was used to determine k_{cat}/K_{CAN} for **Ru**.

Page 6 of 35

Initiator	$k_{\rm cat}/K_{\rm CAN}$	k _{cat}	$b^{20}k_{cat}$	ΔG^{\ddagger} unimolecular
	$(M^{-1}s^{-1})$	(s^{-1})	(s ⁻¹)	(kcal mol ⁻¹)
Ru	0.0301 ± 0.0024 ^c	0.136 ± 0.011	0.148 ± 0.008	18.7 ± 1.4
Ru ₂ ^{BD}	8.87 ± 0.64	0.704 ± 0.054	0.380 ± 0.032	17.8 ± 1.4
Ru2 ^{Hbpp}	5.20 ± 0.84	0.181 ± 0.024	0.184 ± 0.020	18.6 ± 2.5
Ru2 ^{Hbpp d}	1.38 ± 0.44	0.103 ± 0.011	0.102 ± 0.004	18.9 ± 2.0

 Table 1. Limiting kinetic constants and the free energy barrier for water oxidation in acidic media.^a

^{*a*} At 22.0 ± 0.2°C, pH or pD 1.0 and μ = 1.0 M. ^{*b*} Measured in D₂O. ^{*c*} Extracted from the linear phase shown in the insets of Figure 2. ^{*d*} Data were collected in 0.1 M triflic acid at μ = 1.0 M.

The k_{cat}/K_{CAN} and k_{cat} , are defined as CAN-dependent and CAN-independent bimolecular and unimolecular rate constants. The former is defined beginning with CAN association leading up to and including the first irreversible step. The latter is defined as the catalyst turnover frequency at kinetically saturating CAN concentration. O–O bond formation step may be a common step that limits both k_{cat}/K_{CAN} and k_{cat} . In this case, K_{CAN} , the ratio of the two parameters, reflects all equilibria preceding the turnover-controlling step. Alternatively, K_{CAN} represents a complex ratio of kinetic constants in units of concentration (M).

For the dimeric catalysts that exhibit hyperbolic kinetics, the trends in K_{CAN} could have implications. In perchloric acid, K_{CAN} is smaller for $\mathbf{Ru_2}^{BD}$ than $\mathbf{Ru_2}^{Hbpp}$ implying a more favorable pre-equilibrium, where oxidation by CAN is more facile for $\mathbf{Ru_2}^{BD}$. In addition, K_{CAN} for $\mathbf{Ru_2}^{Hbpp}$ is less favorable in triflic acid than perchloric acid suggesting a destabilizing effect of the counter-anion on the ground state thermodynamics.

Solvent deuterium kinetic isotope effects on k_{cat} , indicative of reactions with water, were determined in H₂O or D₂O. A slightly inverse to negligible effect of 0.92 ± 0.08 is indicated for **Ru**. This result is close to unity, just as reported in perchloric acid at lower albeit uncontrolled ionic strength; the same

study reported an inverse solvent isotope effect of 0.43 in nitric acid.^{16c} $\mathbf{Ru_2}^{BD}$ exhibited a normal solvent deuterium isotope effect of 1.85 ± 0.16 under the conditions described. In contrast, no solvent isotope effect was discernible for $\mathbf{Ru_2}^{Hbpp}$ in perchloric acid or triflic acid.

Small normal to small inverse solvent deuterium isotope effects could arise for a number of reasons including but not limited to secondary isotope effects and competing processes. In the first case, an inverse secondary effect could arise from hydrogen-bonding of solvent during its oxidation. In the second case, an inverse pre-equilibrium solvent isotope effect on the pK_a could offset a normal primary kinetic effect upon O–H(D) bond cleavage. The latter scenario could occur if pre-equilibrium protonation of a metal oxo were required for O–O bond formation, as recently demonstrated in ferrate-mediated water oxidation.¹¹ The normal sign and magnitude of the solvent deuterium isotope effect on k_{cat} is entirely consistent with H/D transfer concomitant with O–O bond formation in the first irreversible step of catalyst turnover.²³

2. Competitive Oxygen-18 Kinetic Isotope Effects

¹⁸O KIEs on water oxidation were measured using an established competitive methodology.^{11,21} Solutions were saturated with He prior to initiating reactions by addition of the dissolved oxidant (CAN in 0.1 M HClO₄ at pH 1.0) to the catalyst or the dissolution of both solids at the same time. $[Ru^{III}(bpy)_3]^{3+}$ was photo-generated in 0.050 M potassium phosphate buffer (KP_i) at pH 7.2. This procedure employs exhaustive photolysis of solutions containing $[Ru^{II}(bpy)_3](Cl)_2$ and potassium persulfate (K₂S₂O₈) as previously described.^{21,24} No significant background production of O₂ was detectable in the absence of **Ru**, **Ru**₂^{BD} and **Ru**₂^{Hbpp}.

The ¹⁸O/¹⁶O ratios were determined by IRMS analysis of CO₂ samples prepared from O₂ (by combustion) and from H₂O (by exchange with carbonate), following published protocols.²⁵ All results were referenced to Vienna Standard Mean Ocean Water (VSMOW).^{26,27} At very low reactant conversions, as is the case for water oxidation, the ¹⁸O KIE approximately equals the ratio of ratios given by Eq 2.²⁸ Terms include R_p for the ¹⁸O/¹⁶O of the O₂ product and R_0 for the ¹⁸O/¹⁶O of the source

H₂O. Numerous determinations of the latter gave $R_0 = 0.9940 \pm 0.0008$ vs. VSMOW.^{11,21,28} Thus, the competitive ¹⁸O KIEs were derived from analysis of R_0 , representing the average of all samples of H₂O, divided by the R_p from O₂ produced in single and multi-turnover reactions.

(2) ¹⁸O KIE
$$\cong \frac{R_0}{R_p}$$

Eq 2 is actually a special case of Eq 3,³¹ which describes isotopic fractionation of the reactant at varying conversions, (*f*). In this study 1-*f* is equivalent to the H₂O remaining, calculated from the pressure of O₂. The change in ¹⁸O/¹⁶O within the reactant, R_{f_5} is calculable from the relationship: $R_0 = R_f(1-f) + R_p(f)$ while accounting for the reaction stoichiometry of Eq 1. The analysis performed according to Eq 3 gives an ¹⁸O KIE in good agreement with Eq 2, although the errors are reduced by an order of magnitude.¹¹ To be conservative, the results in this study were derived from Eq 2 and quoted with errors of one standard deviation about the mean of >15 independent experiments.

(3)
$${}^{18}O \text{ KIE} = \left[1 + \frac{\ln(R_f/R_0)}{\ln(1-f)}\right]^{-1}$$

Importantly, the same ¹⁸O KIEs were determined at different turnover numbers as well as variable CAN concentrations under acidic conditions (Figure 3). These data provide compelling evidence of a common O–O bond formation step (*vide infra*). Normal ¹⁸O KIEs are observed, varying from 1.0172 \pm 0.0020 for **Ru**₂^{BD} to 1.0313 \pm 0.0027 for **Ru** to 1.0346 \pm 0.0021 for **Ru**₂^{Hbpp}. Experiments were also conducted under photocatalytic conditions, in the presence of [Ru^{III}(bpy)₃]³⁺ in 0.05 M KPⁱ buffer at neutral pH. In the latter experiments, the ¹⁸O KIEs were 1.0051 \pm 0.0035 for **Ru**₂^{BD} and 1.0143 \pm 0.0028 for **Ru** suggesting a variation in a particular transition state structure or a change in first irreversible step. Comparisons to **Ru**₂^{Hbpp} were not possible due to the absence of photocatalytic O₂ production under the conditions used for the other complexes.²¹

Figure 3. Oxygen isotope fractionation determined from the analysis of O₂ according to Eq 2 at variable catalyst turnover number (TON) and CAN concentration (inset). Reactions were initiated by addition of **Ru** (red squares), $\mathbf{Ru_2}^{BD}$ (green circles) and $\mathbf{Ru_2}^{Hbpp}$ (blue diamonds) to acidic CAN solutions at 22 °C.



3. Computational Methods.

All geometries were fully-optimized at two levels of DFT.²⁹ One approach employed the previously validated *m*PW functional,³⁰ the LANL2DZ³¹ pseudopotential basis set for Ru, 6-311G(d) basis set for O and N, and 6-31G basis set for C and H.³² The second approach employed the M06-L functional,³³ along with the Stuttgart [8s7p6d2f | 6s5p3d2f] ECP28MWB contracted pseudo-potential basis set³⁴ for Ru and 6-31G(d) basis³⁵ for all other atoms. Stationary points were verified by the analytic computation of vibrational frequencies and intrinsic reaction coordinate (IRC) calculations.³⁶

Bulk solvation effects on the free energy barriers to O–O bond formation were included using the SMD aqueous continuum solvation model,³⁷ which in some cases involved applying single point corrections.¹⁹ Several structures in investigated water oxidation mechanisms feature electronic structures that are not well-described by a single determinant so that the standard Kohn-Sham DFT is not directly applicable for the accurate prediction of properties such as spin.³⁸ In such instances, the Yamaguchi broken-spin-symmetry (BS) procedure³⁹ was used to compute the energies of spin-purified,

low-spin (LS) states according to Eq 4. Below, HS refers to the single-determinantal high-spin coupled state related to the low-spin state by spin flip(s) and $\langle S^2 \rangle$ is the expectation value of the total spin operator applied to the appropriate determinant. This broken-symmetry DFT approach has proven effective for the prediction of state-energy splittings in transition metal complexes.⁴⁰

(4)
$$^{\text{LS}}E = \frac{^{\text{BS}}E \left(\overset{\text{HS}}{\left\langle S^2 \right\rangle} - \overset{\text{LS}}{\left\langle S^2 \right\rangle} \right) - \overset{\text{HS}}{\left\langle S^2 \right\rangle} - \overset{\text{LS}}{\left\langle S^2 \right\rangle} - \overset{\text{LS}}{\left\langle S^2 \right\rangle} \right)}{\overset{\text{HS}}{\left\langle S^2 \right\rangle} - \overset{\text{BS}}{\left\langle S^2 \right\rangle}}$$

4. Calculations of Oxygen-18 Kinetic Isotope Effects

Calculations of ¹⁸O KIEs invoked Transition State Theory as formulated by Bigeleisen and Wolfsberg.⁴¹ Once the transition state (TS) for a reaction was identified, using the DFT methods described above, a vibrational frequency analysis was performed. The change in normal and imaginary vibrational frequencies associated with the ¹⁶O–¹⁶O and ¹⁶O–¹⁸O producing species were analyzed.¹⁹ All isotopic vibrations were considered and used without scaling or correction for anharmonicity to compute the ¹⁸O KIE according to Eq 5. The terms representing the ¹⁸O isotope effect on the reaction coordinate frequency (¹⁸v_{RC}),^{42a,45b,43} and the pseudo-equilibrium constant for attaining the TS from a specific precursor state (¹⁸K_{TS}) are provided in the Supporting Information.

(5)
$${}^{18}\text{O}\,\text{KIE} = {}^{18}\nu_{\text{RC}} \times {}^{18}K_{\text{TS}}$$

The calculation of ¹⁸O KIEs relies on the ability of DFT to predict the mass-dependence of stable and imaginary vibrational modes of reactant and TS structures. The individual frequencies need not be computed accurately because the net isotopic shift of vibrations dictates the isotope effect. Equilibrium isotope effects on stable states have routinely been calculated from reduced partition function ratios (*i.e.* ¹⁸O EIE = $ZPE \times EXC \times MMI$).⁴⁴ The terms correspond to the isotopic zero-point energy (*ZPE*),

vibrational excitation energy (*EXC*) and mass and moments of inertia (*MMI*) of the reactant and product.^{27a, 45a} The ¹⁸ K_{TS} in Eq 5 is formulated analogously, except that one less ratio is present in the vibrational product term, *VP*, which is substituted for the *MMI*. The ¹⁸ v_{RC} term comes from the ratio of imaginary modes that define the reaction coordinate.⁴⁵ Apparently, ¹⁸ v_{RC} contributes a normal effect on reactions that involve O–O bond-making/breaking.^{11,12b} When ¹⁸O can adopt multiple positions during the reaction, ¹⁸O KIEs calculated from Boltzmann-weighted populations are well approximated by simple averaging.^{19,35a}

Discussion

1. Mechanisms Considered

The competitive ¹⁸O KIE is defined by the ratio of second order rate constants for forming the two most abundant oxygen isotopologues, ^{16,16}O₂ and ^{16,18}O₂. In the absence of complicating off-pathway H₂O exchange reactions with catalytic intermediates, the ¹⁸O KIE probes the catalytic water oxidation mechanisms beginning with initial reversible coordination of H₂O to the reduced catalyst up to and including the first irreversible step.^{42c} Transition states were calculated at two disparate levels of DFT (*m*PW and M06-L) to address the ¹⁸O KIEs measured here. Irreversible O–O bonding changes during water attack/addition (**WA**) and oxo-coupling (**OC**) transition states are as shown in Scheme 1. These reactions produce peroxo intermediates⁴⁶ that directly evolve O₂ without interference, hence giving rise to competitive ¹⁸O KIEs.





The present investigation describes the first concurrent experimentally determined and DFTcalculated ¹⁸O KIEs upon water oxidation under catalytic and stoichiometric conditions. In achieving this, moderately large normal ¹⁸O KIEs have been determined and demonstrated to be characteristic of O–O bond forming transition states during catalysis. Importantly, the experiments in this study, were also determined under stoichiometric conditions to relate the results to water oxidation catalysis and extend mechanistic understanding to the level that bonding changes can be visualized.

The reaction sequences in Schemes 2-4 are shown to emphasize the questionable reversibility of oxidative steps that lead up to O–O bond formation. The exchangeability of H₂O within the catalytic initiator/resting reduced catalyst is implicit as is the H₂O exchangeability with oxidized ruthenium states formed prior to O–O bond formation. This is a fundamentally different scenario than that assumed in stoichiometric isotope tracer studies,^{16a,17a,17d,18c} wherein only one of the three catalytic systems examined ($\mathbf{Ru_2}^{\mathbf{Hbpp}}$)¹⁸ gives interpretable results. **Ru** catalysis has been proposed to occur by a mechanism of **WA** at a ruthenyl site (Scheme 2).^{21,49} Alternative mechanisms involving pre-association of CAN-derived species have been difficult to exclude, however.^{16a,47} A somewhat different **WA** mechanism is formulated for $\mathbf{Ru_2}^{\mathbf{BD}}$ initiated catalysis (Scheme 3), where the structure of the di-ruthenyl (V,V) intermediate facilitates proton transfer concomitant with O–O bond formation.^{17,50} Two **OC** mechanisms via di-ruthenyl (IV,IV) and (IV,V) oxidations states are shown for $\mathbf{Ru_2}^{\mathbf{Hbpp}}$ (Scheme 4).¹⁸ This intramolecular pathway may result from structural constraints imposed by the bridging Hbpp and meridional tpy ligands.

Scheme 2. Minimal mechanism proposed for Ru-initiated water oxidation.



Scheme 3. Minimal mechanism proposed for Ru_2^{BD} -initiated water oxidation.



Scheme 4. Minimal mechanisms proposed for Ru_2^{Hbpp} -initiated water oxidation via intermediates in the +IV,+IV or +IV,+V oxidation states.



2. Kinetics of Water Oxidation Catalysis

In the catalytic reactions examined, rates of O₂ appearance depend linearly on the concentration of the ruthenium initiator.¹⁹ Such observations argue against self-aggregation as the origin of the unusual sigmoidal kinetics observed with **Ru**. Although the catalytic rates appear first order in CAN at the lowest concentrations analyzed, the linear phase is much short and transitions to saturation differently than observed with **Ru**₂^{BD} or **Ru**₂^{Hbpp} (cf. Figure 2). The sigmoid dependence on CAN observed during **Ru** catalysis suggests multimerization and/or coordination of a CAN-derived species, such as Ce^{IV}–OH, prior to catalyst oxidation. Despite the uncertain origin of this kinetic behavior, the invariance of ¹⁸O KIE to CAN concentration suggests that the phenomenon does not affect the reaction transition state. A small inverse to negligible solvent deuterium isotope effect on the turnover rate constant measured under the same experimental conditions is inconclusive. A secondary isotope effect is possible as is a competing inverse isotope effect on pre-equilibrium H⁺/D⁺ transfer to the reactive ruthenyl species and a normal solvent kinetic isotope effect due to O–H/D bond cleavage concomitant with O–O bond formation during **WA**.^{23b}

The normal hyperbolic kinetics observed for catalysis involving the dimeric ruthenium complexes, $\mathbf{Ru_2}^{BD}$ and $\mathbf{Ru_2}^{Hbpp}$, suggests that CAN interacts with in a well-defined manner, avoiding complex coordination and/or aggregation. In the case of $\mathbf{Ru_2}^{BD}$, the normal solvent deuterium isotope effect on k_{cat} is consistent with concerted O–H/D bond breaking and O–O bond formation in the WA transition state. At the other extreme, $\mathbf{Ru_2}^{Hbpp}$ shows no sign of a solvent isotope effect in perchloric acid or triflic acid, arguing against rate-limiting O–H/D transfer. The use of these acids with somewhat different p K_a values could expose variability if a competition between pre-equilibrium and primary kinetic isotope effects were responsible for the lack of solvent deuterium kinetic isotope effect.

The parameter k_{cat} , reflecting catalyst turnover at saturating levels of all substrates, increases in the order: $\mathbf{Ru} < \mathbf{Ru_2}^{Hbpp} < \mathbf{Ru_2}^{BD}$. A similar trend, with a more significant diminution of \mathbf{Ru} characterizes the k_{cat}/K_{CAN} . This parameter probes all steps beginning CAN association, leading up to and including the first irreversible step, which could involve O–O bond formation.²¹ By definition, k_{cat} is determined

by unimolecular steps downstream such as O–O bond formation and/or O_2 release. It is, therefore, possible that the two kinetic parameters are controlled by the same irreversible O–O bond-forming step. This possibility is consistent with the observed ¹⁸O KIEs considered in the following section.

3. Interpretation of Competitive Oxygen-18 Kinetic Isotope Effects

The ¹⁸O KIEs for **Ru** and **Ru**₂^{BD} measured in strongly acidic media with CAN as the sacrificial oxidant ($E^{\circ'} \sim 1.6$ V vs. NHE) are two to three times larger than those determined in neutral solutions using photo-generated [Ru^{III}(bpy)₃]³⁺ ($E^{\circ'} \sim 1.2$ V vs. NHE) in 0.05 M KPⁱ as the oxidant. This change in ¹⁸O KIE with pH implicates a change in the nature of the TS, and possibly the identity of the first irreversible step. Lowering $E^{\circ'}$ of the oxidant disfavors formation of the reactive Ru=O intermediate and would be expected to change the highest energy TS such that the ¹⁸O KIE is significantly diminished from the large normal values anticipated for O–O bond formation. Another possibility is that phosphate buffer facilitates proton removal¹⁰ upon **WA**, changing the TS for O–O bond formation from that anticipated in non-coordinating media and calculated in this work.

Calculation of all possible reaction coordinates leading to water oxidation is beyond the scope of the present investigations, however, experimental ¹⁸O KIEs are available for comparisons. For instance, Taube et al. measured a competitive equilibrium effect on H₂O coordination to a coordination inert cobalt(III) ion exposing a surprisingly large ¹⁸ K_{H2O} of ~1.019.⁴⁸ The ¹⁸ K_{H2O} is viewed as an upper limit to ¹⁸ k_{H2O} by analogy with O₂ activation by reduced transition metals.¹² Oxidation of a ruthenium(II) aqua complex is expected to exhibit an inverse ¹⁸ K_{ox} due to strengthening of bonding within the product relative to the reactant and a ¹⁸ k_{ox} on electron transfer ca. 1.010 based on measurements by McLendon et al. and calculations by Jortner et al. on outer-sphere electron transfer.⁵⁰

The competitive ¹⁸O KIE on catalytic water oxidation catalysis is determined from the O₂ produced from natural abundance water. As a result, this parameter is capable of probing all steps beginning with

coordination of H₂O to the reduced catalyst and culminating in O–O bond formation (Schemes 2-4). Assuming no interference from off-pathway exchange, the ¹⁸O KIE(H₂O) is defined by Eq 6, which is the product of pre-equilibrium isotope effects (${}^{18}K_{H2O} \times {}^{18}K_{ox}$) and the kinetic isotope effect on O–O bond-formation (${}^{18}k_{O-O}$). In contrast, if all steps in the mechanisms were irreversible, the competitive ¹⁸O KIEs would be defined by Eq 7 or Eq 8, reflecting only those steps that consume H₂O. Eq 7 represents catalysis by **WA**, as proposed for **Ru** and **Ru₂^{BD}**, where the ¹⁸O KIE is the average of the microscopic ¹⁸k_{O-O} due to **WA** and k_{H2O} for displacement of O₂ by H₂O. Eq 8 applies to catalysis by **OC** as proposed for **Ru₂^{Hbpp}**. In this case, ¹⁸k_{O-O} could be masked by irreversible H₂O coordination in two distinct steps. In stark contrast, all steps following irreversible O–O bond-formation are masked in Eq 6.

(6)
$${}^{18}O \text{KIE}(\text{H}_2\text{O}) = {}^{18}K_{\text{H2O}} \times {}^{18}K_{\text{ox}} \times {}^{18}k_{\text{O-O}}$$

(7)
$${}^{18}O \,\text{KIE}(\text{H}_2\text{O}) = \frac{1}{2} \left({}^{18}k_{\text{O-O}} + {}^{18}k_{\text{H2O}} \right)$$

(8)
$${}^{18}OKIE(H_2O) = \frac{1}{2} \left({}^{18}k_{H2O(1)} + {}^{18}k_{H2O(2)} \right)$$

The ¹⁸O KIEs shown in Figure 3 are the same at highly variable concentrations of CAN and under single and multi-turnover acidic conditions. These results point to a common (O₂) product-determining step. The CAN independence suggests the same irreversible step at low concentrations and high concentrations, as indicated by k_{cat}/K_{M} and k_{cat} , respectively. That the same isotope fractionation is observed for the single turnover and for multi-turnover reactions extends results of earlier isotope tracer studies to the catalytic mechanism. Finally, the experimental ¹⁸O KIEs agree with ¹⁸O KIEs calculated for specific transition states reinforcing some earlier DFT-based proposals.^{18c,51,52}

The interpretation of ¹⁸O KIEs is not without some ambiguity, however. It is possible that reversible coordination of water followed by oxidation of the reduced ruthenium intermediate could coincidentally give rise to the same ¹⁸O KIE(H₂O) defined by as the product (${}^{18}K_{H2O} \times {}^{18}k_{ox}$). In addition, off-

pathway H₂O exchange following the aforementioned irreversible oxidation step could wash out any isotope fractionation but, as long as O–O bond formation is irreversible, the ${}^{18}k_{O-O}$ could be expressed in the O₂ produced. Incidentally, ${}^{18}k_{O-O}$ is similar to the ${}^{18}O$ KIE(H₂O) calculated from Eq 6, making equilibrium isotope effect on conversion of H₂O to a reactive Ru=O intermediate near unity.

Table 2. Comparison of measured ¹⁸O KIEs to those calculated for irreversible O–O bond formation. The range for the proposed transition state is given by the results of two DFT methods: mPW / M06-L.

Initiator	¹⁸ O KIE $(\pm 1\sigma)^a$	Proposed TS ^b	${}^{18}k_{\rm O-O}{}^{c}$	¹⁸ O KIE(H ₂ O) (Eq6)
Ru	1.0313 ± 0.0027	${}^{2}\mathbf{WA}^{4a}$	1.0240 / 10303	1.0225 / 1.0260
Ru ₂ ^{BD}	1.0172 ± 0.0020	$^{1}WA^{2}$	1.0151 / 10172	1.0167 / 1.0170
Ru2 ^{Hbpp}	1.0346 ± 0.0021	¹ O C	1.0311 / 1.0447 ^d	1.0385 / 1.0504 ^d

^{*a*} Experimental value derived from Eq 2. Solving Eq 3 indicates **Ru** (1.0306 ± 0.0004), **Ru₂^{BD}** (1.0169 ± 0.0003) and **Ru₂^{Hbpp}** (1.0347 ± 0.0004). ^{*b*} Defined in Figures 4-6 where the left superscript refers to the spin state and the right subscript refers to the number and configuration of explicit H₂O molecules used in the calculation. ^{*c*} Full computational details, including results for alternative H₂O configurations, solvation states and spin states are provided in the Supporting Information. ^{*d*} For the reactions via the di-ruthenyl (IV, IV) oxidation state. M06-L calculations indicate the di-ruthenyl intermediate in (IV, V) oxidation state reacts via a ²OC TS with ¹⁸ $k_{0-0} = 1.0445$ and ¹⁸O KIE(H₂O) = 1.0480 for comparison.

The contribution to the ¹⁸O KIE from O_2 loss is unlikely given the apparently irreversible nature of O–O bond formation. Evaluation of the ground state thermodynamics supports this view, placing the Ru– O_2 adduct at much lower energy than the reactive Ru=O intermediate that undergoes O–O bond formation. Thus the barrier to O_2 loss is expected to be insignificant.²¹ Furthermore, all studies carried

out to date suggest that simple O₂ dissociation is characterized by inverse ¹⁸O KIEs approaching unity.^{12,35a,53}

Transition states in water oxidation catalysis are more likely to involve the displacement of O_2 by H_2O than simple dissociation as observed in polar organic solvents. Such a TS for an O_2 displacement reaction that regenerates $\mathbf{Ru_2}^{Hbpp}$ has been identified,^{18c} requiring multiple explicit H_2O molecules in a hydrogen-bonded configuration. Though the ¹⁸O KIE(H₂O) was calculated to be indistinguishable from unity, further investigations are needed to understand the range of possible isotope effects. As described below for **WA**, multiple configurations of explicit water molecules can result in ¹⁸O KIEs spanning a wide range. Evolution of O_2 may be similar in this respect making it beyond the scope of the present investigations.

3. Transition States for O-O Bond Formation

Three WA TSs involving four or five explicit H_2O molecules in multiple hydrogen bond configurations were identified for catalytic oxidation initiated by **Ru** (Figure 4). At both the *m*PW and M06-L levels of theory, **WA**^{4a} exhibited the most "product-like" structure relative to the other TSs of comparable energy. The Ru–O bond lengthens from 1.688 Å in the Ru^V=O precursor to 1.875Å in **WA**^{4a} as the O–O bond distance contracts to 1.590 Å. These changes are significantly larger than those observed for **WA**^{4b} and **WA**⁵ where the Ru–O bond distance expands to 1.747 Å and 1.743 Å, respectively, and the O–O bond distance contracts to 1.962 Å in **WA**^{4b} and 1.974 Å in **WA**⁵.

Despite the bonding changes, the calculated free energy barriers, $\Delta G^{\ddagger}(O-O)$, fall within a narrow range from 19 to 23 kcal mol⁻¹ and are close to the experimental estimate of 18.9 kcal mol⁻¹. The measured ¹⁸O KIE can be reproduced using two disparate DFT methods; the results are given in parentheses. The ¹⁸ k_{O-O} associated with the **WA**^{4a} TS ranges from 1.0240 (*m*PW) to 1.0303 (M06-L). Similarly, the ¹⁸O KIE(H₂O), defined according to Eq 6 for the reaction beginning with H₂O and proceeding via a sequence of reversible steps, leading up to and including **WA**, ranges from 1.0225 (*m*PW) to 1.0260 (M06-L). Application of a solvent correction to the gas phase values results in an

Chemical Science Accepted Manuscril

insignificant change. This insensitivity can be explained by the observation that while calculated vibrational frequencies are medium-dependent, the mass-dependence that gives the isotope shift is not.

Figure 4. Precursor and transition states for catalytic water oxidation initiated by **Ru** at the M06-L level of theory. Imaginary frequencies of the light TS isotopologues are shown (bold) and the range of calculated ${}^{18}k_{\text{O-O}}$ is also provided for calculations using (*m*PW / M06-L).



The blue dimer, $\mathbf{Ru_2}^{BD,54}$ is converted into di-ruthenyl intermediates that exist in (IV, V) and (V, V) oxidation states during catalysis.^{55,56} DFT calculations were undertaken for reactions of the (V, V) intermediate in an unrestricted singlet state assuming weak anti-ferromagnetic coupling between formally $\mathbf{Ru}^{V}=\mathbf{O}$ units with doublet electronic structures.⁵⁷ In contrast to results from ¹⁸O tracer studies,^{17a,17d} the competitive ¹⁸O KIEs seems to suggest reactivity by a single mechanism. Two distinct water attack TSs, \mathbf{WA}^1 and \mathbf{WA}^2 , featuring one or two explicit hydrogen-bonded H₂O molecules, were identified and compared to a new TS for **OC** identified at the M06-L level of theory (Figure 5). The most product-like TS, defined above by extension of the Ru–O bond and contraction of the O–O bond, is designated ¹WA² because it is an unrestricted singlet state containing two intramolecular hydrogen-bonded H₂O molecules. A staggered precursor to this species was identified with a Ru–O bond length of 1.723 Å and O–O distance of 5.112 Å. In the ¹WA² TS, the Ru–OO bond length expands to 1.931 Å and the O–O bond distance contracts to 1.569Å. The alternative ¹OC TS is less characterized by

Ru–OO and O–O bond distances of 1.807-1.816 Å and 1.720Å. Likewise, ¹WA¹ exhibits Ru–OO and O–O bond distances of 1.782 and 1.801 Å, respectively.

Bonding changes similar to those associated with ${}^{1}WA^{2}$ are observed for the triplet state, ${}^{3}WA^{2}$. IN this case, the precursor has an eclipsed conformation, where the Ru–O bond lengths are 1.764 Å and 1.697 Å and the O–O distance is 2.827 Å. In the most product-like ${}^{3}WA^{2}$ TS, the Ru–OO bond length is 1.935 Å and the O–O bond length is 1.560 Å. The associated vibrational changes result in computed ${}^{18}O$ KIEs that are remarkably similar to those computed for ${}^{1}WA^{2}$ despite major differences in the precursor structure.¹⁹

For ¹WA², the calculated $\Delta G^{\ddagger}(O-O) \cong 36.5$ kcal mol⁻¹ is mid range compared to the other TSs. A smaller $\Delta G^{\ddagger}(O-O)$ was actually calculated for a previously unidentified oxo-coupling TS in either the unrestricted singlet state, ¹OC ($\cong 27.3$ kcal mol⁻¹) or triplet state ³OC ($\cong 27.0$ kcal mol⁻¹). Interestingly, this is the only case where the same TS could not also be identified using the *m*PW functional. $\Delta G^{\ddagger}(O-O)$ for the transition state involving a single H₂O, ¹WA¹ ($\cong 46.8$ kcal mol⁻¹), is energetically disfavored, consistent with other computational findings.⁵²

Figure 5. Precursor and transition states for catalytic water oxidation initiated by $\mathbf{Ru_2}^{BD}$ at the M06-L level of theory. Imaginary frequencies of the light TS isotopologues are shown (bold) and the range of calculated ${}^{18}k_{\text{O-O}}$ is also provided for calculations using (*m*PW / M06-L).



In contrast to the calculated $\Delta G^{\ddagger}(O-O)$, which deviates significantly from the measured value in one out of three cases, the isotope effect calculated for the TS with the most product-like character routinely agrees with the measured ¹⁸O KIE. The ¹WA² reaction of Ru₂^{BD} modeled in the gas phase is characterized by ¹⁸ k_{O-O} values of 1.0151 (*m*PW) and 1.0172 (M06-L), which are within experimental error of the measured competitive ¹⁸O KIE. For the same TS, the ¹⁸O KIE(H₂O) values, computed using Eq 6 are 1.0167 (*m*PW) and 1.0170 (M06-L). The analogous calculations on the triplet TS, ³WA², are essentially indistinguishable from those above, with ¹⁸ k_{O-O} equal to 1.0146 (M06-L) and ¹⁸O KIE(H₂O) equal to 1.0158 (M06-L). Insignificant variation was detectable for isotope effects calculated along unrestricted singlet, triplet or quintet surfaces or following re-optimization of structures within a continuum solvation model.¹⁹

Catalysis initiated by the geometrically constrained di-ruthenium (II, II) complex, $\mathbf{Ru_2}^{Hbpp}$, is considered to occur via two different oxidized precursor states (Figure 6). DFT calculations were undertaken to model the O–O bond forming reactions of di-ruthenyl intermediates in the (IV, IV) and (IV, V) oxidation states. No significant deviations in the ¹⁸O KIEs were observed for reactions in highspin and low-spin states. The TS structures for **OC**, **WA**¹ and **WA**² were associated with unique isotope effects. Similar to the calculations above for **Ru** and $\mathbf{Ru_2}^{BD}$, the most advanced TSs are associated with ¹⁸O KIEs that exhibit the best agreement with experimental measurements on $\mathbf{Ru_2}^{Hbpp}$.

Consistent with the absence of solvent deuterium isotope effects on k_{cat} and the results of ¹⁸O tracer studies,^{18c} the ¹⁸O KIEs computed implicate an **OC**. Out of all mechanisms examined, the most product-like TS for the reaction of the diruthenyl (IV, IV) intermediate in the unrestricted singlet state (¹**OC**) involves elongation of the Ru–O bond from 1.757 Å to 1.840 Å and contraction of the O–O bond distance to 1.715 Å. ¹**WA**¹ and ¹**WA**² exhibited Ru–O and O–O bond distances of 1.760 and 1.984 Å and 1.769 and 1.977 Å, respectively. Somewhat different behavior characterizes reaction of the diruthenyl (IV, V) intermediate in the low-spin doublet state (²**OC**) where the precursor Ru–O bonds elongate from 1.724 Å and 1.767 Å to 1.808 Å and the O–O bond distance in the TS contracts to 1.691 Å. Here ²**WA**¹ exhibits Ru–O and O–O bond distances of 1.789 and 1.749 Å and ²**WA**² exhibits 1.860

and 1.612Å, respectively. Reaction via a ${}^{2}WA^{2}$ mechanism is, however, inconsistent with the absence of a solvent deuterium kinetic isotope effect as well as the higher calculated TS energy.

The OC TSs are substantially lower in energy than those associated with WA¹ and WA² mechanisms. The $\Delta G^{\ddagger}(O-O)$ corresponding to ¹OC is ~14 kcal mol⁻¹ while the ¹WA¹ and ¹WA² calculated from the di-ruthenium (IV, IV) bis-oxo intermediate are 25-40 kcal mol⁻¹ higher in energy.¹⁹ The ¹⁸O KIEs predicted for WA mechanisms are too small to be reconciled with the experimental results in this case. The di-ruthenium (IV, V) bis-oxo intermediate is expected to react via OC where $\Delta G^{\ddagger}(O-O)$ for ²OC is ~14 kcal mol⁻¹ and the ²WA¹ and ²WA² are only 10 kcal mol⁻¹ higher in energy.

Figure 6. Precursor and transition states for catalytic water oxidation initiated by $\mathbf{Ru_2}^{Hbpp}$ at the M06-L level of theory. Imaginary frequencies of the light TS isotopologues are shown (bold) and the range of calculated ${}^{18}k_{\text{O-O}}$ is also provided for calculations using (*m*PW / M06-L).



Chemical Science Accepted Manuscript

The calculated ¹⁸O isotope effects for the most product-like TSs coincide with the **OC** mechanism proposed for stoichiometric oxidation by $\mathbf{Ru_2}^{Hbpp}$.¹⁸ The ¹⁸ k_{O-O} associated with the di-ruthenium (IV, IV) bis-oxo intermediate is predicted to be between 1.0311 (*m*PW) and 1.0447 (M06-L); while the ¹⁸O KIE(H₂O) computed using Eq 6 ranges from 1.0385 (*m*PW) to 1.0504 (M06-L). Starting from the diruthenium (IV, V) bis-oxo intermediate, ¹⁸ k_{O-O} is predicted to be 1.0445 (M06-L) and the ¹⁸O KIE(H₂O) is predicted to be 1.0480 (M06-L). Reactions via the same intermediate in the quartet spin state span a comparable range of isotope effects and $\Delta G^{\ddagger}(O-O)$.¹⁹ As above mentioned above, the calculated isotope effects for $\mathbf{Ru_2}^{Hbpp}$ are insensitive to spin-state as well as added solvent corrections.¹⁹

In summary, DFT affords vibrational frequencies used without scaling or correction for anharmonicity to calculate isotope effects that span distinct ranges. For **WA** at a reactive $Ru^{V}=O$ intermediate, ${}^{18}k_{O-O}$ and KIE(H₂O) formulated according to Eq6 , ranges from ~1.00 to 1.03. The analogous effects calculated for **OC** are larger, varying from ~1.03 to 1.050. Although these results seem promising, subtle differences resulting from the number of explicit H₂O considered in **WA** calculations can have unforeseen effects. Therefore, caution should used when interpreting competitive ¹⁸O KIEs in the absence of supporting mechanistic data.

The small overlap in the ranges associated of isotope effects associated with the **OC** and **WA** mechanisms is unsurprising because the oxygen nuclei have disparate roles. According to Scheme S-2,¹⁹ the ¹⁸ k_{0-0} computed for **WA** considers the two cases: (i) where ¹⁸O is positioned on the attacking H₂O and (ii) where ¹⁸O is bonded directly to the electrophilic Ru=O. In **Ru**-initiated catalysis, the calculated ¹⁸ k_{0-0} for the **WA**^{4a} TSs corresponding to (i) and (ii) are 1.0089 and 1.0402, respectively, revealing differences in the vibrational frequency changes that characterize O–O bond-making coupled to O–H bond-breaking.¹⁹ Application of Boltzmann-weighting for the positional preference of ¹⁸O results in ¹⁸ $k_{0-0} = 1.0240$, which is only slightly different from the average ¹⁸ $k_{0-0} = 1.0245$. No such considerations are necessary for the symmetric **OC** reactions, which do not exhibit.

4. Implications for Oxygenic Photosynthesis

During photosynthesis, visible photons generate a transient charge-separated state that oxidizes chlorophyll P680. The P680^{•+} intermediate formed has a high redox potential ($E^{\circ} \cong 1.3$ V vs. NHE) that facilitates formation of a tyrosyl radical (Y_z^{\bullet} , $E^{\circ} \cong 1.2$ V vs. NHE) that is kinetically and thermodynamically competent to oxidize H₂O bound to manganese in the oxygen-evolving complex (OEC).¹ A number of competitive isotope fractionation studies at natural abundance have indicated ¹⁸O KIEs from inverse to near unity have reported for water oxidation by PSII, using the same experimental approach described in the present study.^{13,14}

Two simple assumptions allow for an interpretation of the slight inverse ¹⁸O KIE associated with PSII catalysis.^{13a} First, all steps during water oxidation catalysis must be kinetically irreversible. Second, the ¹⁸O KIE must be uninfluenced by processes that reductively consume the O_2 at the same time it is produced. In this case, Eq 7 predicts that O–O bond formation by **WA** upon the reactive manganyl intermediate (Mn^V=O or Mn^{IV}=O•)⁵⁸ should give rise to a competitive ¹⁸O KIE somewhat greater than unity. Yet if the same intermediate state of the OEC reacts by **OC**, as defined by Eq 8, where two H₂O coordination steps give rise to the competitive ¹⁸O KIE possibly accounting for the accepted value near unity.^{13a} Spectroscopic studies at variable O_2 pressures have attempted to test for the Mn–O₂ intermediate.⁵⁹ Yet the accumulation of this species is not expected unless O–O bond formation is rapid and reversible prior to O_2 release. While this situation is possible,^{12b} it seems unlikely in view of the results from the present studies.

Conclusions

Three major findings have emerged from this study. (1) Competitive ¹⁸O KIEs derived from the analysis of O₂ under catalytic are the same under stoichiometric conditions, where off-pathway exchange via oxidized ruthenium intermediates does not occur.^{17a,17d,18c} (2) Variable concentrations of ceric ammonium nitrate do not affect the ¹⁸O KIEs, implicating a common (O₂) product-forming step, without assistance from the sacrificial oxidant or its derivative. In addition, the results would be 25

consistent with k_{cat} and k_{cat}/K_{CAN} both being limited irreversible O–O bond formation. (3) Kinetic isotope effects were calculated using Transition State Theory and DFT by analyzing the full set of imaginary and normal vibrations without scaling or correction for anharmonicity. The computed results were in agreement with the moderately large normal measured ¹⁸O KIEs when the transition states were the most "product-like" of all those considered. Furthermore, the proposed transition states were corroborated by steady-state kinetic results and earlier isotope tracer studies.

Competitive ¹⁸O KIEs are useful in identifying O–O bond-forming mechanisms of water oxidation catalysis. The results support "solvent-assisted" water attack as the transition state for catalysis initiated by the monomeric **Ru**. In catalysis initiated by **Ru**₂^{BD}, intramolecular reactivity is facile and appears to involve an internally hydrogen-bonded water attack/addition transition state. In contrast, **Ru**₂^{Hbpp} reacts by oxo-coupling, possibly because of geometric constraints imposed by the ligand set. These results extend those determined for stoichiometric reactions to mechanisms of catalysis while providing visualization of the discrete bonding changes.

Experimental

All chemicals were obtained commercially in the highest purity available and used as received. RuCl₃·nH₂O was obtained from Pressure Chemicals. Hexamethyldisiloxane (HMDS) was obtained from Lancaster. Ceric ammonium nitrate (CAN), hexamethylphosphoramide (HMPA), 2,2'-bipyridine (bpy), 2,2';6',2"-terpyridine (tpy), sodium triflate (NaOTf), lithium perchlorate (LiClO₄), sodium perchlorate (NaClO₄) and potassium persulfate (K₂S₂O₈) were obtained from Sigma-Aldrich. All deuterated solvents were purchased from Cambridge Isotope Laboratories. Water was purified to 18M Ω by passing through a Millipore ultra-filtration system.

NMR spectra were recorded on a 400 MHz Bruker Avance spectrometer at ambient temperature. Chemical shifts were referenced to the residual protio impurities in the deuterated solvent. Ruthenium complexes were dissolved in deuterated solvents (d₆-DMSO, d₆-acetone or d₃-MeOD) and quantified relative to an internal standard. Electronic absorption spectra were recorded on an Agilent 8453 UV-

Vis spectrophotometer. Elemental analyses were performed by Atlantic Microlabs Norcross, GA. Initial rates of O₂ production were measured using a Clark-type oxygen electrode (Yellow Springs Inc.; 5300A voltmeter and 5331A probe) inside a water-jacketed chamber at 22 \pm 0.2 °C. Composition of the gaseous phase was also confirmed to be made up of O₂ determined by online mass-spectrometry with an OmniStar GSD 301 C (Pfeiffer) quadrupole mass-spectrometer.¹⁸

 $(\mathbf{Ru}_{2}^{\mathbf{BD}}),$ $[Ru(tpy)(bpy)(H_2O)](ClO_4)_2$ (**Ru**), $cis, cis-[(Ru(bpy)_2(H_2O))_2(\mu-O)](ClO_4)_4$ and $\{[Ru(tpy)]_2(\mu-bpp)(\mu-OAc)\}(ClO_4)_2 (Ru_2^{Hbpp})$ were synthesized in milligram quantities and handled with a rubber tipped spatula. (Caution! Perchlorate salts are potentially explosive and should be handled according to accepted safety guidelines).²⁰ Analytic purity was gauged to be > 95% for complexes used in experiments. Electronic absorption was used to determine the following extinction coefficients: Ru ($\epsilon_{475nm} = 9000 \pm 300 \text{ M}^{-1}\text{cm}^{-1}$), Ru₂^{BD} ($\epsilon_{638nm} = 22000 \pm 260 \text{ M}^{-1}\text{cm}^{-1}$), and Ru₂^{Hbpp} $\epsilon_{471 \text{ nm}}$ (12580 ± 1780 M⁻¹ cm⁻¹). The results were corroborated by quantitative ¹H-NMR spectroscopv¹⁹ elemental analysis. The following and data were obtained for [Ru(tpy)(bpy)(H₂O)](ClO₄)₂: Calc: C, 42.44; H, 2.99; N, 9.90. Found: C, 42.16; H, 2.76; N, 9.77; *cis,cis*-[(Ru(bpy)₂(H₂O))₂(*µ*-O)](ClO₄)₄•H₂O Calc: C, 37.11; H, 2.96; N, 8.65. Found: C, 37.02; H, 2.92; N, 8.75; and {[Ru(tpy)]₂(µ-bpp)(µ-OAc)}(ClO₄)₂•H₂O Calc: C, 46.36; H, 3.11; N, 12.01. Found: C, 46.13; H, 3.12; N, 11.69.

ASSOCIATED CONTENT

Supporting Information

Full experimental characterization and computational details. This material is available free of charge via the Internet at http://pubs.acs.org

AUTHOR INFORMATION

Corresponding Author

jproth@jhu.edu

Present Addresses

University of Connecticut, Department of Chemistry, 55 North Eagleville Road, Storrs, CT 06269

§ Yale University, Department of Chemistry, P.O. Box 208107, New Haven, CT 08107-06520 and the

Chemistry Department, Brookhaven National Laboraory, Bldg. 555A, Upton, NY - 11973.

ACKNOWLEDGMENT

Support provided by grants from the ACS-PRF (50046ND3), DOE Basic Energy Sciences (DE-FG02-09ER16094) and MINECO (CTQ2010-21497 and PRI-PIBIN-2011-1278) is gratefully acknowledged.

References Cited

1. McEvoy, J. P.; Brudvig, G. W.; Chem. Rev. 2006, 106, 4455.

2. (a) Concepcion, J. J.; Jurss, J. W.; Brennaman, M. K.; Hoertz, P. G.; Patrocinio, M. K. O.; Iha, N.

Y. M.; Templeton, J. L.; Meyer, T. J. Acc. Chem. Res. 2009, 42, 1954. (b) Wasylenko, D. J.; Palmer, R. D.; Berlinguette, C. P. Chem. Comm. 2013, 49, 218. (c) Clark, A. E.; Hurst, J. K. Prog. Inorg. Chem. 2012, 57, 1. (d) Ghosh, Baik, M-Y. Angew. Chem. 2012, 51, 1221. (e) Xu, Y.; Fischer, A.; Duan, L.; Tong, L.; Gabrielsson, E.; Aakermark, B.; Sun, L. Angew. Chem. 2010, 49, 8934. (f) Zong, R.; Thummel, R. P. J. Am. Chem. Soc. 2005, 127, 12802.

3. (a) Calvin, M. Acc. Chem. Res. 1978, **10**, 369. (b) Magnuson, A.; Anderlund, M.; Johansson, O.; Lindblad, P.; Lomoth, R.; Polivka, T.; Ott, S.; Stensjö, K.; Styring, S.; Sundström, V.; Hammarström, L. Acc. Chem. Res. 2009, **42**, 1899.

4. (a) Frischmann, P. D.; Mahata, K.; Wuerthner, F. *Chem. Soc. Rev.* 2013, **42**, 1847. (b) Balzani, V.; Credi, A.; Venturi, M. *Curr. Opin. Chem. Biol.* 1997, **1**, 506. Gust, D.; Moore, T. A.; Moore, A. L. *Acc. Chem. Res.* 2009, **42**, 1890.

5. Lewis, N. S.; Nocera, D. G. Proc. Natl. Acad. Sci. U.S.A., 2006, 103, 15729.

6. Walter, M.G.; Warren, E. L.; McKone, J. R.; Boettcher, S. W.; Mi, Q.; Santori, E. A.; Lewis, N. S. *Chem. Rev.* 2010, **110**, 6446-6473.

7. (a) Cox, P. M.; Betts, R. A.; Jones, C. D.; Spall, S. A.; Totterdell, L. J. *Nature* 2000, 408, 184. (b)
Weaver, A. J.; Hillaire-Marcel, C. *Science* 2004, 304, 400. (c) Hansen, J.; Sato, M.; Ruedy, R.; Lo, K.;
Lea, D. W.; Medina-Elizade, M. *Proc. Nat. Acad. Sci. USA* 2006, 103, 14288.

8. Bockris, J. O'M. Int. J. Hydrogen Energy 2002, 27, 731.

9. (a) Liu, X.; Wang, F. Coord. Chem. Rev. 2012, 256, 1115. (b) Sala, X.; Romero, I.; Rodriguez, M.; Escriche, L.; Llobet, A. Angew. Chem., Int. Ed. 2009, 48, 2842. (c) Dismukes, G. C.; Brimblecombe, R.; Felton, G. A. N.; Pryadun, R. S.; Sheats, J. E.; Spiccia, L.; Swiegers, G. F. Acc. Chem. Res. 2009, 42, 1935-1943. (d) Ruttinger, W.; Dismukes, G. C. Chem. Rev. 1997, 97, 1.

10. Huynh, M. H. V.; Meyer, T. J. Chem. Rev. 2007, 107, 5004.

11. Sarma, R.; Angeles-Boza, A.M.; Brinkley, D.W.; Roth, J. P. J. Am. Chem. Soc. 2012, 134,15371.

12. (a) Roth, J. P. Acc. Chem. Res. 2009, 42, 399. (b) Ashley, D. C.; Brinkley, D. W. Inorg. Chem. 2010, 49, 3661.

13. (a) Guy, R.D.; Fogel, M.L.; Berry, J.A. *Plant Physiol.* 1993, **101**, 37. (b) Burda, K.; Bader, K. P.;
Schmid, G. H. *Biochim. Biophys. Acta* 2003, **1557**, 77. (c) Metzner, H.; Fischer, K.; Bazlen, O. *Biochim. Biophys. Acta Bioenerg.* 1979, **548**, 287

14. Eisenstadt, D.; Barkan, E.; Luz, B.; Kaplan, A. *Photosynt. Res.* 2010, **103**, 97 (b) Tcherkez, G.; Farquhar, G. D. *Functional Plant Biol.* 2007, **34**, 1049.

15. (a) Dole, M.; Jenks, G. *Science* 1944, **100**, 409. (b) Kamen, M. D.; Barker, H. A. *Proc. Nat. Acad. Sci.USA* 1945, **31**, 8. (c) Luz, B.; Barkan, E.; Bender, M. L.; Thiemens, M. H.; Boering, K. A. *Nature* 1999, **400**, 547.

16. (a) Wasylenko, D. J.; Ganesamoorthy, C.; Koivisto, B. D.; Henderson, M. A.; Osthoff, H. D.; Berlinguette, C. P. J. Am. Chem. Soc. 2010, 132, 16094. (b) Masaoka, S.; Sakai, K. Chem. Lett. 2009, 38, 182. (c) Wasylenko, D. J.; Ganesamoorthy, C.; Henderson, M. A.; Berlinguette, C. P. Inorg, Chem. 2011, 50, 3622. (d) Wasylenko D. J.; Ganesamoorthy, C.; Koivisto, B. D.; Henderson, M. A.; Berlinguette, C. P. Inorg, Chem. 2010, 29, 2202.

17. (a) Cape, J. L.; Siems, W. F.; Hurst, J. K.; Cape, J.L.; Lymar, S. V.; Lightbody, T.; Hurst, J.K. *Inorg. Chem.* 2009, 48, 8729. (b) Hamada, H.; Siems, W. F.; Koike, T.; Hurst, J.K. *J. Am. Chem. Soc.* 2004, 126, 9786. (c) Binstead, R.A.; Chronister, C.W.; Ni, J.; Hartshorn, C.M.; Meyer, T. J. *J. Am. Chem. Soc.* 2000, 122, 8464. (d) Geselowitz, D. A.; Meyer, T.J. *Inorg. Chem.* 1990, 29, 3894.

 (a) Sens, C.; Romero, I.; Rodriguez, M.; Llobet, A.; Parella, T.; Benet-Buchholz, J. J. Am. Chem. Soc. 2004, **126**, 7798. (b) Romain, S.; Bozoglian, F.; Sala, X.; Llobet, A. J. Am. Chem. Soc. 2009, **131**, 2768. (c) Bozoglian, F.; Romain, S. Ertem, M. Z.; Todorova, T. K.; Sens, C.; Mola, J.; Rodriguez, M.; Romero, I.; Benet-Buchholz, J. ; Fontrodona, X.; Cramer, C. J.; Gagliardi, L.; Llobet, A. J. Am. Chem. Soc. 2009, **131**, 15176.

19. Please see the Supporting Information.

20. Mendiratta, S. K.; Dotson, R. L.; Brooker, R. T. Kirk-Othmer Encyclopedia of Chemical *Technology* (5th Edition) Ed. Seidel, A. 2006, **18**, 274.

21. Angeles-Boza, A. M.; Roth, J. P Inorg. Chem. 2012, 51, 4722.

22. Binnemans, K. in *Handbook on Physics and Chemistry of Rare Earths*, Gschneidner, Jr. K. A.; Bünzli, J-C. G.; Pacharsky, V. K. Eds., Vol. 36, Elsevier, London, England 2006.

23. (a) Moonshiram, D.; Purohit, V.; Concepcion, J. J.; Meyer, T. J.; Pushkar, Y. *Materials* 2013, 6, 392. (b) Chen, Z.; Concepcion, J. J.; Hu, Q.; Yang, W.; Hoertz, P. G.; Meyer, T. J. *Proc. Natl. Acad. Sci. USA*. 2010, 107, 7225.

24. (a) Cape, J. L.; Siems, F.; Hurst, J.K. *Inorg. Chem.* 2009, 48, 9729. (b) Ghosh, P.; Brunschwig, B.
S.; Chou, M. H.; Creutz, C.; Sutin, N. *J. Am. Chem. Soc.* 1984, 106, 4772. (c) Gersten, S. W.; Samuels,
G. J.; Meyer, T. J. *J. Am. Chem. Soc.* 1982, 104, 4029.

25. (a) Smirnov, V. V.; Brinkley, D. W.; Lanci, M. P.; Karlin, K. D.; Roth, J. P. J. Molec. Catal. A, 2006, **251**, 100. (b) (a) Epstein, S.; Mayeda, T. Geochim. Cosmochim. Acta, 1953, **4**, 213.

26. Coplen, T. B. Nature 1995, 375, 285.

27. IRMS analysis was performed at Johns Hopkins University in the Department of Earth and Planetary Sciences and at the University of Waterloo Environmental Isotope Laboratory.

28. O'Leary, M. H. Phytochemistry 1981, 20, 553.

29. Non-analytic integral evaluations made use of a pruned grid having 99 radial shells and 590 angular points per shell. An automatically generated density-fitting basis set was used within the resolution-of-the-identity approximation to speed the evaluation of Coulomb integrals.

30. Perdew, J. P. "Unified Theory of Exchange and Correlation Beyond the Local Density Approximation" In Electronic Structure of Solids '91; Ziesche, P., Eschrig, H., Eds.; Akademie Verlag: Berlin, 1991, pp. 11-20; Adamo, C.; Barone, V. *J. Chem. Phys.* 1998, **108**, 664-675.

31. Hay, P. J.; Wadt, W. R. J. Chem. Phys. 1985, **82**, 270; Hay, P. J.; Wadt, W. R. J. Chem. Phys. 1985, **82**, 284; Hay, P. J.; Wadt, W. R. J. Chem. Phys. 1985, **82**, 299.

32. (a) Smirnov, V. V.; Lanci, M. P.; Roth, J. P. *J. Phys. Chem. A.*, 2009, **113**, 1934. (b) Calculations were carried out using the Gaussian09 package. The full citation appears in the Supporting Information.

33. (a) Zhao, Y.; Truhlar, D. G. J. Chem. Phys. 2006, **125**, 194101. (b) Zhao, Y.; Truhlar, D. G. Acc. Chem. Res. 2008, **41**, 157. (c) Zhao, Y.; Truhlar, D. G. Theor. Chem. Acc. 2008, 215.

34. Andrae, D.; Haeussermann, U.; Dolg, M.; Stoll, H.; Preuss, H. Theor. Chim. Acta 1990, 77, 123.

35. W. J. Hehre, L. Radom, P. V. R. Schleyer, J. A. Pople, *Ab Initio Molecular Orbital Theory*; Wiley: New York, 1986.

36. C. J. Cramer, *Essentials of Computational Chemistry: Theories and Models*; 2nd ed.; John Wiley & Sons: Chichester, 2004.

37. Marenich, A. V.; Cramer, C. J.; Truhlar, D. G. J. Phys. Chem. B 2009, 113, 6378.

38. (a) Ziegler, T.; Rauk, A.; Baerends, E. J. *Theor. Chim. Acta* 1977, **43**, 261. (b) Noodleman, L. *J. Chem. Phys.* 1981, **74**, 5737. (c) Cramer, C. J.;Truhlar, D. G. *Phys. Chem. Chem. Phys.* 2009, **11**, 10757.

39. (a) Yamaguchi, K.; Jensen, F.; Dorigo, A.; Houk, K. N. *Chem. Phys. Lett.* 1988, **149**, 537. (b) Soda, T.; Kitagawa, Y.; Onishi, T.; Takano, Y.; Shigeta, Y.; Nagao, H.; Yoshioka, Y. Yamaguchi, K. *Chem. Phys. Lett.* 2000, **319**, 223.

40. (a) Noodleman, L.; Peng, C. Y.; Case, D. A.; Mouesca, J.-M. *Coord. Chem. Rev.* 1995, 144, 199.
(b) Ciofini, I.; Daul, C. A. *Coord. Chem. Rev.* 2003, 238, 187. (c) Harvey, J. N. *Struct. Bond.* 2004, 112, 151. (d) Neese, F. *Coord. Chem. Rev.* 2009, 253, 526.

41. Bigeleisen, J.; Wolfsberg, M. Adv. Chem. Phys. 1958, 1, 15.

42. (a) Roth, J. P.; Klinman, J. P. *Isotope Effects in Chemistry and Biology* Kohen, A.; Limbach, H-H. Eds. CRC Press: Boca Raton, FL, 2006 pp 645-670. (b) Wolfsberg, M. *Isotope Effects in Chemistry and Biology*; Kohen, A.; Limbach, H.-H., Eds.; CRC Press: Boca Raton, FL, 2006; pp 1-39. (c) Cleland, W.

W. Isotope Effects in Chemistry and Biology; Kohen, A.; Limbach, H.-H., Eds.; CRC Press: Boca Raton, FL, 2006; pp. 915-930.

43. Wolfsberg, M.; Van Hook, W. A.; Paneth, P. *Isotope Effects: In the Chemical, Geological, and Bio Sciences*, Springer, New York: 2010.

44. Bigeleisen, J.; Goeppert-Mayer, M.; J. Chem. Phys. 1947, 15, 261.

45. Huskey, W. P. in *Enzyme Mechanism from Isotope Effects*, Ed. Cook, P. F. CRC Press, Boca Raton: 1991, p. 37.

46. (a) Gilbert, J. A.; Eggleston, D. S.; Murphy, W. R.; Geselowitz, D. A.; Gersten, S. W.; Hodgson,

D. J.; Meyer, T. J. J. Am. Chem. Soc. 1985, 107, 3855. (b) Yamada, H.; Siems, W. F.; Koike, T.; Hurst,

J. K. J. Am. Chem. Soc. 2004, 126, 9786. (c) Duan, L.; Bozoglian, F.; Mandal, S.; Stewart, B.; Privalov,

T.; Llobet, A.; Sun, L. Nature Chem. 2012, 4, 418. (d) Polyansky, D. E.; Muckerman, J. T.; Rochford,

J.; Zong, R.; Thummel, R. P.; Fujita, E. J. Am. Chem. Soc. 2011, 133, 14649. (e) Dooshaye, M.;

Alperovich, I.; Concepcion, J. J.; Meyer, T. J.; Pushkar, Y. Proc. Nat. Acad. Sci.USA 2013, 110, 3765.

47. (a) Yoshida, M.; Masaoka, S.; Abe, J.; Sakai, K. *Chem. Asian J.* 2010, **5**, 2369. (b) Kimoto, A.; Yamauchi, K.; Yoshida, M.; Masaoka, S.; Sakai, K. *Chem Commun.* 2012, **48**, 239.

48. (a) Rutenburg, A. C.; Taube, H. J. Chem. Phys. 1952, 20, 825. (b) Friedman, H. L.; Taube, H.; Hunt, J. P. J. Chem. Phys. 1950, 18, 760.

49. (a) Guarr, T.; Buhks, E.; McLendon, G. J. Am. Chem. Soc. 1983, **105**, 3763. (b) Buhks, E.; Bixon, M.; Jortner, J. J. Phys. Chem. 1981, **85**, 3763.

50. Huff, G. S.; Doncheva, I. S.; Brinkley, D. W.; Angeles-Boza, A. M.; Mukherjee, A.; Cramer, C. J.; Roth, J. P. *Biochemistry* 2011, **50**, 7375.

51. Hughes, T. F.; Friesner, R. A. J. Phys. Chem B. 2011, 115, 9280.

52. Bianco, R.; Hay, P. J.; Hynes, J. T. J. Phys Chem, A 2011, 115, 8003.

53. (a) Lanci, M. P.; Brinkley, D. W.; Stone, K. L.; Smirnov, V. V.; Roth, J. P. Angew. Chem. In.Ed. 2005, 44, 7273. (b) Lanci, M. P.; Roth, J. P. J. Am. Chem. Soc. 2006, 128, 16006.

54. Weaver, T. R.; Meyer, T. J.; Adeyemi, S. A.; Brown, G. M.; Eckberg, R. P.; Hatfield, W. E.; Johnson, E. C.; Murray, R. W.; Untereker, D. J. Am. Chem. Soc. 1975, 97, 3039.

55. (a) Moonshiram, D.; Jurss, J. W.; Concepcion, J. J.; Zakharova, T.; Alperovich, I.; Meyer, T. J.; Pushkar, Y. J. Am. Chem. Soc. 2012, **134**, 4625. (b) Stull, J. A.; Stich, T. A.; Hurst, J. K.; Britt, R. D. Inorg. Chem. 2013, **52**, 4578. (c) Cape, J.L.; Lymar, S. V.; Lightbody, T.; Hurst, J.K. Inorg. Chem. 2009, **48**, 4400.

56. Yang, X.; Baik, M. H. J. Am. Chem. Soc. 2006, 128, 7476.

57. Batista E R.; Martin, R. L. J. Am. Chem. Soc. 2007, 129, 7224.

58. (a) Siegbahn, P. E. M.; Crabtree, R. H. J. Am. Chem. Soc. 1999, 121, 117. (b) Aullon, G.; Ruiz, E.;
Alvarez, S. Chem. Eur. J. 2002, 8, 2508. (c) Siegbahn, P. E. M.; Lundberg, M. Photochem. Photobiol.
Sci. 2005, 1035. (d) Sproviero, E. M.; Gascon, J. A.; McEnvoy, J. P.; Brudvig, G. W.; Batista, V. S.
Phil. Trans. Royal Soc. Lond. B. Biol. Sci. 2008, 1494, 1149. (e) Blomberg, M. R. A.; Siegbahn, P. E.
M. Biochim. Biophy. Acta, Bioenergetics 2010, 1797, 129. (f) Rivalta, I.; Brudvig, G. W.; Batista, V. S.
Curr. Opin. Chem. Biol. 2012, 16, 11.

59. Haumann, M.; Liebisch P.; Muller, C.; Barra, M.; Grabolle, M.; Dau, H. Science 2005, 310, 1019.

TOC Entry

0

Text: Competitive ¹⁸O KIEs on water oxidation catalysis, analyzed experimentally and computationally, provide a probe of transition states for O–O bond formation.