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1 Discovering P-doped Mechanism in Non-magnetic Ni-P Films for

2 HDD Substrate: A Combined Experimental and Theoretical Study

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12	Abstract: In this work, a new mechanism is proposed for the redox of hypophosphorous acid
13	catalyzed by a nickel cluster through a combined study of density functional theory (DFT)
14	calculations and electrochemical impedance spectroscopy (EIS) measurements. The DFT results
15	indicate that the concentration of OH ⁻ is a crucial species to control the oxidation and reduction of
16	hypophosphorous acid. The oxidation of hypophosphorous acid preferably takes place at higher
17	$OH^{\mathchar`}$ concentration, as $OH^{\mathchar`}$ can directly combine with H_3PO_2 and hydrogen radical (H-). In the
18	contrast, reduction is inhibited in this case because the hydrogen radical preferably combines with
19	OH^{-} rather than $H_{3}PO_{2}$. Thus, pH serves as a key switch to control the pathways of the coupling
20	reaction. EIS results demonstrated that the electroless nickel process includes three
21	electrochemical processes: charge-discharge of electrical double layer, Ni(I) transforming to Ni(II)
22	or Ni(0), and specific adsorption of intermediate products. In good agreement with theoretical
23	prediction, the experimental measurements indicated that an electroless nickel coating with high
24	phosphorous content was successfully synthesized at a low pH, exhibiting non-magnetic
25	properties and enabling its use as a non-magnetic coating for hard disk drive substrates.

26 **Keyword:**

27 Non-magnetic; Phosphorous-doped; Electroless nickel; Mechanism; Hard disk drive (HDD).

28 1. Introduction

Electroless nickel (EN) deposits have been extensively used in the manufacturing of hard disk drives (HDD) substrates because they are able to exhibit non-magnetic characteristic when phosphorous content is higher than 20.0 at.%.¹ Therefore, it is important to understand how to effectively control the phosphorous content in EN deposits.

The EN process is a complicated heterogeneous catalytic process. It is well known that phosphorous doping in EN is derived from hypophosphite, a reducing agent for EN. During the deposition process, the redox of hypophosphite simultaneously occurs. In particular, the oxidation of hypophosphite leads to the formation of ortho-phosphite. And the reduction of hypophosphite generates phosphorous, resulting in the doping of P into EN deposits. However, to date, the mechanism of the redox coupled reaction has not been fully understood, mainly due to the coupling caused by the shared hypophosphite reactant.

40 For the oxidation mechanism of hypophosphorous acid, there are two possible reaction pathways. One mechanism was proposed by Van den Meerakker.² with hypophosphorous acid 41 release one hydrogen radical directly. The other pathway was proposed by Homma,^{3,4} in which 42 43 hypophosphorous acid should initially combine with one hydroxyl ion. Additionally, Homma 44 calculated the relative energy for all possible intermediates (with DFT on level of 45 MP2/(d,p)) and the energy barrier of this reaction pathway is 423.4 kJ/mol. However, the transition states and catalytic behavior of nickel species were neglected in the calculations. 46 47 Compared to the Meerakker's mechanism, the pathway of Homma is more possible according to our previous work.⁵ Here, we focus on the Homma pathway to further elucidate the reaction 48 49 mechanism.

For the reduction mechanism of hypophosphorous acid, there are also two possible reaction mechanisms. One pathway is the direct phosphorous generation mechanism proposed by Brenner.⁶ He considered that hypophosphorous acid first loses two hydrogen atoms followed by the breaking of two P-O bonds. The other pathway is an indirect phosphorous generation mechanism proposed by Saitou,⁷⁻⁹ in which PH₃ is considered to be a key intermediate. In this mechanism, H₃PO₂ firstly breaks two P-O bonds and then one hydrogen radical combines with a phosphorous atom in PH₂ to form PH₃. The two possible mechanisms are evidenced by the electrochemical

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measurement data during the electroplating of Ni-P alloy. In this work, we will analyze the
feasibility of the double mechanism without considering external electric field.
Many researchers have tried to investigate the mechanisms either by electrochemical^{10,11} or
quantum chemical modeling methods.^{3,4,12,13} However, the results show that a single method

cannot fully elucidate the coupled processes. In this work, we explore the double-reaction
mechanism through a combination of electrochemical and quantum chemical modeling methods.
The results provide new insights on how to control the pathway of the redox reaction of
hypophosphorous acid and precisely predict the phosphorous content in the EN deposits.

Furthermore, in order to understand the coupled reaction pathways, the effect of OH⁻ was investigated. During the EN process, different concentrations of OH⁻ is found to be able to tune the reduction reaction of hypophosphite, and the OH⁻ switching mechanism for this coupled reaction is proposed for the first time. The combination of DFT and EIS methods is proved to be an effective way to analyze the mechanism of this complicated electrochemical process.

70 **2.** Experimental and calculation Methodology

71 2.1 EN deposition on Al surface

72 Aluminum surfaces were polished by SiC with grade 600 and 1200 sandpapers. Then, they 73 were washed with acetone. After the Al samples were rinsed, they were zinc immersion plated. First, the samples were immersed in a solution containing 30 g/L NiSO₄·6H₂O, 40 g/L 74 ZnSO₄·7H₂O, 106 g/L NaOH, 10 g/L KCN, 5 g/L CuSO₄·5H₂O and 2 g/L FeCl₃ at 25±2 °C. After 75 76 this first zinc immersion, the samples were rinsed by water. Subsequently, the zincate layer was 77 stripped by a 50% nitric acid solution at 25 ± 2 °C for 20-30 s. Then, the samples were rinsed with 78 water. Subsequently, the Al samples were immersed in the zincating immersion solution for a 79 second time. After water rinsing, a uniform and fined zincate film was formed on the Al alloy 80 sample surface.

81 After the pretreatment, the samples were plated in electroless nickel solution. Electroless 82 nickel solution was prepared with analytical grade reagents and Millipore ultrapure water with a

resistance of 18 M Ω or higher. The solution contained 26 g/L nickel sulfate, 32 g/L sodium hypophosphite, 16 g/L sodium acetate, 4 g/L lactic acid and 8 g/L citrate acid. The electrolytes were heated to 82±1 °C and purged by bubbling N₂ before deposition. The pH value of the electrolyte was adjusted between 4.0 and 9.0 with KOH solution. The pH value was not adjusted again in the measurement process in order to avoid artificial interference. A detailed experimental method is given in our previous work.^{14,15}

89

2.2 Structure and composition analysis

The chemical composition of Ni-P alloys was analyzed by X-ray fluorescence (XRF) spectrometer model S4-Explorer from Brukeraxs (Germany). The XRF spectra were evaluated by the automatic analysis program Spectra plus. Visible reflectance measurements on the EN surfaces were carried out using a 300 mm diameter integrating sphere and a 30 mW He-Ne laser operating at 633 nm. The magnetic properties of samples were analyzed by MPMS XL-7 magnetic property measurement system (Quantum design, Inc.).

96 2.3 DFT calculation method

All computations reported were carried out with the Gaussian 03 code,¹⁶ employing the 97 hybrid Becke exchange and Lee, Yang, and Parr correlation (B3LYP)¹⁷⁻¹⁹ density functional 98 99 method. For hydrogen, oxygen, chlorine and phosphorus, 6-311G(d,p) basis sets were used in the 100 present work. The ECP (effective core potential) of the LANL2DZ basis set was adopted by Hay and Wadt for the nickel atoms.²⁰ Those basis sets are effective on study of the mechanism of 101 electroless¹³ and other electrochemical processes.^{21,22} Following these works, we have utilized 102 103 these basis sets in this work. The molecular ground state geometry and vibrational frequency 104 spectra of all species were calculated to determine the reaction pathway potential energy surface. 105 Stationary points were determined with no imaginary components in the vibrational spectra, while 106 transition states were determined with exactly one imaginary vibrational mode. Visual inspection 107 of imaginary vibrational modes was performed with the Gaussian View 3.7 program package.

The solvation effect of an aqueous solution was considered using an explicit solvating model. In the model, the Ni(II) ions complex with two water molecules. Differing from the previous model of Homma, our reaction model is a closed system with hypophosphite ions and Ni(II) ions simultaneously on the nickel cluster surface.

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112 2.4 EIS measurement method

Electrochemical measurements were performed in a three-electrode cell. A 20×50×0.5 mm³ 113 114 Al₂O₃ ceramic electrode sputtered by nickel coating was the working electrode. A $10 \times 10 \times 0.3$ mm² 115 platinum foil and a saturated Hg/HgSO₄ electrode (MSE) were used as the counter and reference 116 electrodes, respectively. Prior to the measurements, the working electrode was cleaned with 117 ethanol and acetone. The reference electrode was protected by a glass tube filled with saturated 118 K₂SO₄ solution to avoid possible contamination.

119 EIS was performed on an electrochemical workstation model Ref. 600 (Gamry Inc.). An AC 120 voltage (sinusoidal wave) with amplitude of 10.0 mV was used as the input signal, and the frequency range was set from 10 kHz to 0.5 mHz. A defined sample area of 1.0 cm^2 was exposed 121 122 to the electrolyte. A Luggin capillary was placed near the working electrode to minimize the 123 ohmic drop. All electrode potentials are referred to saturated Hg/HgSO₄ electrode (MSE), if not 124 otherwise stated. All the EIS measurements were performed at the deposition potential E_{dep} , with 125 respect to the MSE reference electrode.

126

127 3. Results and Discussion

128 **3.1Effect of phosphorous content on magnetic properties of EN films**

129 The content of phosphorous in EN deposits obtained from different pH solutions is shown in 130 Fig. 1. The incorporated phosphorous content gradually decreases from 13.8 wt% to 4.3 wt%, 131 when pH values are increasing from 5.0 to 9.0. In particular, when the incremental of pH values is 132 1.0, the corresponding phosphorous mass content decreases *ca*.2.0 percent.

133 The magnetic properties of EN deposits were measured as a function of phosphorous content 134 of the EN samples (Fig. 2). Obviously, EN5 is magnetic in comparison with the other EN samples 135 in Fig. 2a. The slopes of the line of magnetic force gradually decreased from EN4 to EN1, as 136 shown in Fig. 2b. Additionally, the area of magnetic domain is also reduced as phosphorous 137 content is increased, attributable to a phosphorous interfaced magnetic domain. Furthermore, the 138 structure of the EN film is changed from crystalline to amorphous, when doped phosphorous

content increases. Since the non-magnetic property of the EN coating is essential when it is used
as a substrate for HDDs without interference with the magnetic recording material. Thus, EN1 with
a higher phosphorous content film is more suitable for HDD substrate.

142 **3.2** Coupling reaction pathways from hypophosphorous acid

143 The coupled reaction pathways for hypophosphorous acid and Ni(II) compounds are 144 illustrated in Scheme 1. The reaction pathways involve one oxidation pathway (OP) and three possible reduction pathways (DRP-I, DRP-II and IRP). As for the OP pathway, the $[Ni-(H_3PO_2)]^{2+}$ 145 146 compound first reacts with OH⁻ to form IM1. Subsequently, the $[Ni-(H_4PO_3)]^+$ decomposes and 147 releases a hydrogen radical and one electron. This reaction pathway was first proposed by Homma.¹³ As for the DRP-I pathway, the $[Ni-(H_3PO_2)]^{2+}$ compound releases two H· successively. 148 Subsequently, the double bond in P=O turns into a single bond by hydrogenation of the oxygen 149 atom. Finally, the $[Ni-(H_2PO_2)]^{2+}$ (IM3) compound loses two OH⁻. During the DRP-II pathway, a 150 151 hydrogen intermolecular transferring process occurs from IM1 to IM3 step, differentiating it from the DRP-I pathway. First, the $[Ni-(H_3PO_2)]^{2+}$ compound releases one hydrogen radical. Next, the 152 153 P=O double bond is broken via hydrogen intermolecular transfer from a phosphorous atom to an oxygen atom. Subsequently, the $[Ni-(H_2PO_2)]^{2+}$ (IM3) compound releases two OH⁻ and forms an 154 155 adsorbed phosphorous atom. In the case of the IRP pathway, the P-O bond breaks before the scission of P-H bonds. The $[Ni-(H_3PO_2)]^{2+}$ compound (R) turns into $[Ni-(PH_2)]^{2+}$ (IM3) 156 157 accompanying with loss of two OH. Subsequently, two hydrogen atoms are released as hydrogen 158 radicals. As a result, the phosphorous atom is doped into the nickel coatings.

3.3 DFT analyze feasibility of reaction pathways

160 The oxidation pathway and potential energy profile of hypophosphorous acid *via* OP pathway 161 are illustrated in Scheme 1 and Fig. 3, respectively. During the OP pathway, the hypophosphorous 162 acid combines with OH⁻ and forms IM1 first. In this process, the relative energy of TS1 is 186.5 163 kJ/mol, which is the highest energy barrier in the whole route. Subsequently, the IM1 compound 164 loses one hydrogen radical and one electron. During the reaction from IM1 to product, the energy 165 barrier is 91.5 kJ/mol. Obviously, the highest energy barrier is the formation of TS1, which is calculated to be 236.9 kJ/mol lower than that in Homma's model.⁴ The decreased energy barrier 166 167 can be attributed to the catalysis of Ni(II) and the Ni₉ cluster.

During the formation of TS1, Ni(II) preferably attracts electron cloud on oxygen in $[Ni-(H_3PO_2)]^{2+}$. This makes the electropositivity of the phosphorous atom increase in the $[Ni-(H_3PO_2)]^{2+}$ compound. Additionally, the higher electropositivity of the phosphorous atom is beneficial for combining with OH⁻. A detailed charge distribution of IM1 is given in Fig. 7a.

172 The direct reduction pathway of hypophosphorous acid via the DRP-I is illustrated in Scheme 173 1 and Fig. 4. At first, the P-H bond is broken and the Ni(II) bonds with phosphorous atom at the 174 same time. During the formation of IM1, the energy barrier of TS1 is 42.9 kJ/mol. Then, another 175 P-H bond is broken and the oxygen atom in P=O bond is hydrogenated by a hydrogen radical. 176 Subsequently, the $P-(OH)_2$ structure is formed as IM3. The calculated highest energy barrier is 177 132.3 kJ/mol in the DRP-I reaction pathway, associated with the formation of IM3. Finally, the $P-(OH)_2$ is attacked by hydrogen and forms P and two H_2O by a two-step dehydration 178 179 reaction.

The direct reduction pathway of hypophosphorous acid *via* the DRP-II pathway is illustrated in Scheme 1 and Fig. 5. Unlike the DRP-I, the P=O bond turns to P-OH *via* hydrogen intermolecular transfer from a phosphorous atom to an oxygen atom. Specifically, the P-H bond is first broken, followed by the hydrogen transfers from phosphorous to oxygen atom resulting in the cleavage of P=O bond. In this process, the calculated energy barrier is 284.6 kJ/mol. Afterwards, the bond of P-OH (IM4) breaks and an adsorbed phosphorous atom is formed.

The indirect reduction reaction pathway *via* the IRP pathway is illustrated in Scheme 1 and Fig. 6. At first, the P=O bond is broken when a hydrogen radical combines with an oxygen atom. In this process, the energy barrier is around 225.9 kJ/mol. These two double P-OH bonds successively break, releasing two hydroxyl radicals. Eventually, the P-H₂ (IM3) breaks and releases two hydrogen radicals. In principle, after overcoming the TS1, the hypophosphorous acid can be rapidly reduced to phosphorous atoms.

Obviously, the energy barrier of the DRP-I pathway is much lower than that of DRP-II and IRP. Thus, the DRP-I pathway is considered to be the dominant reduction pathway for the redox of hypophosphorous acid. At the same time, the energy barrier of IRP is close to that of OP (186.5 vs. 225.9 kJ/mol). As a result, it would be difficult to distinguish these two reactions during electrochemical overpotential measurements.

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Fig. 7 shows the critical transition states in the highest barrier site for oxidation and reduction pathways. As for OP-TS1, the highest barrier site in oxidation process is that OH⁻ combines with hypophosphorous acid. The calculated charges of phosphorous and oxygen atoms are 1.737 and -1.086, respectively. Their charge difference is 2.818, and the barrier of this process is 186.5 kJ/mol.

The (DRP-I)-TS3, (DRP-II)-TS2 and (IRP)-TS1 are the highest barrier sites for reduction pathways. In these three transition states, hydrogen atoms combine with oxygen atoms to break the P=O bond. The charge difference of oxygen in P=O and hydrogen is 1.312, 1.009, 1079 as shown in Fig. 7b, Fig. 7c and Fig. 7d, respectively. In addition, the corresponding energy barriers are 132.3, 284.6 and 225.9 kJ/mol for (DRP-I)-TS3, (DRP-II)-TS2 and (IRP)-TS1, respectively. Thus, the larger charge difference is favorable for reducing the energy barrier during the hydrogenation of P=O process.

209 3.4 EIS analysis of decomposition of hypophosphorous acid

210 Fig. 8 reveals the Nyquist impedance spectra of electroless nickel deposition in solution with 211 different pH values. The pH values are increasing from 3.0 to 9.0 with a step of 2.0. In the pH 3.0 212 solution, there are two typical time constants, corresponding two separate capacitive impedance 213 loops in the high and low frequency domains, respectively. At pH 3.0, the sample cannot be 214 deposited by electroless nickel. With pH increases over pH 5.0, an inductive impedance loop 215 appears in the middle frequency domain and their loops decrease with an increase of pH values in the solution (Fig. 8b). When pH increases from 5.0 to 9.0, the first capacitive impedance loop 216 217 gradually increases from 4.42 to 8.60 $\Omega \cdot \text{cm}^2$ in the high frequency domain.

218 Fig. 9 shows an equivalent circuit model of $R_s(C_{d1}R_{11})(ZR_{12})(C_{d12}R_{13})$ that was used to 219 simulate the EIS spectra. In the equivalent circuit model, R_s represents the resistance of the 220 electrolyte. There is a typical capacitive loop (CL-H) in the high-frequency domain, which is simulated by C_{d11} and R_{t1} in parallel. There is an inductive loop (IL-M) in the medium-frequency 221 222 domain, consisting of Z and Rt2 in parallel. A capacitive loop (CL-L) was also observed in the 223 low-frequency domain, standing for the C_{d12} and R_{t3} in parallel. Fig. 9b exhibits the comparison of 224 experimental data and simulated results, indicative of a good fitting. The Nyquist plot was 225 obtained at pH 5.8 in the first hour at the deposition potential. Fig. 10 shows the Nyquist

226 impedance spectra of electroless nickel deposition at different pH values and depositing times. At 227 pH 5.0, there are three time constants observed in the Nyquist plot, including two capacitive loops 228 and one inductance loop. When the pH values increase from 5.0 to 9.0, the diameter of the CL-H loop increases from 5.3 to 25.4 Ω cm² in the high-frequency domain. These results are in 229 agreement with Touhami's research, suggesting the adsorption of hydroxyl species on the 230 electrode surface.^{10,11} However, combined with DFT results, the (CL-L) loop corresponds to the 231 Ni-P compound, which closely adsorbs on the Ni surface. At the same time, they are sensitive to 232 233 hydroxyl, which can combine with a hydrogen radical and lead to the decrease of compound 234 concentration. The result indicates that the doping concentration of phosphorous decreases with an 235 increase of pH. In the meantime, the inductive (IL-M) loop becomes more visible. In addition, the diameter of the CL-L loop increases from 6.5 to 13.2 $\Omega \cdot cm^2$ in the low-frequency domain. 236 237 Noteworthy, the resistance of three electrochemical processes gradually increase as the depositing 238 time increases, due to the decrease of the reactant concentration (e.g., Ni(II) and $H_2PO_2^{-}$) and the 239 increase of product concentrations (e.g., $H_3PO_3^-$ and H^+).

240 **3.5 Catalytic mechanism of hypophosphorous acid**

Combining the results of DFT and EIS, the electroless nickel deposition likely proceed through the OP and the DRP-I pathways. They include three typical electrochemical processes, i.e. charge-discharge in electric double layer, adsorption of unstable intermediates on the nickel, and the adsorption of the Ni-P compound intermediates on the nickel. The electrochemical process and relevant species are described in Table 2.

246 Based on the analysis of DFT, the mechanism for the coupling oxidation and reduction 247 reactions from hypophosphorous acid can be illustrated in Scheme 2. During the electroless nickel 248 deposition process, Ni(II) obtains two electrons and turns to Ni(I) and Ni(0) gradually. The 249 electrons come from the hydrogen radical, when it combines with hydroxyl anion. During the 250 oxidation process of hypophosphorous acid, $[H_3PO_2]$ combines with hydroxyl anion and releases 251 one hydrogen radical. Thus, higher hydroxyl anion concentration is favorable to the oxidation 252 process. On the other hand, during the reduction process of hypophosphorous acid, as $[H_3PO_2]$ releases two hydrogen radical step by step, $[HPO_2]^{2+}$ is able to capture one hydrogen radical and 253 forms $[H_2PO_2]^{2+}$. Then the $[H_2PO_2]^{2+}$ releases two hydroxyl radicals, resulting in the doping of 254

phosphorous into nickel films. Importantly, reduction process needs one hydrogen radical when hypophosphorous acid switchs to hypophosphate ion. The hydrogen radicals are more stable at a relatively low pH environment, because they tend to combine with hydroxyl anion. Thus, the reduction process will be facilitated in lower hydroxyl anion concentration. Overall, hydroxyl anion is found to be a key species as a switch to control the oxidation and reduction of hypophosphorous acid and a high P content coating is obtained at a low pH solution.

4. Conclusions

262 This work provide an understand in how to obtain high-phosphorous non-magnetic 263 electroless nickel coatings, which can be applied as hard disk drive surface coatings. During the 264 electroless nickel deposition, the doped phosphorous content increases with decreasing pH values. 265 When pH is lower than 5.0, the coatings start becoming non-magnetic with a phosphorous content 266 over 13.8 wt%. In order to elucidate the phosphorous doping mechanism, the coupled oxidation 267 and reduction reaction pathways of hypophosphorous acid are analyzed by using DFT calculations. 268 The most likely oxidation and reduction reaction pathways are determined to be the OP and DRP-I. 269 Additionally, the electroless nickel process is further illuminated by EIS methods. In particular, 270 there are three electrochemical reaction processes based on the obtained EIS results. The 271 combined EIS and DFT studies can provide new insights into electroless nickel deposition 272 mechanism with controllable phosphorous contents. As a result, a mechanism how the hydroxyl 273 ions inhibit the phosphorous doping during the heterogeneous electrocatalytic process is also 274 discussed.

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283 Acknowledegments

Dr. G. F. Cui gratefully acknowledges the financial support by National Natural Science Foundation of China (51271205), "the Fundamental Research Funds for the Central Universities" (111gpy08), "GuangZhou Pearl Technology the Nova Special Project" (2012J2200058), "Research and Application of Key Technologies Oriented the Industrial Development" (90035-3283309, 90035-3283321), "Plan of Science and Technology Project" by DaYa Gulf District in Huizhou City (31000-4207387).

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334	
335	List of tables and figures
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360	O-red, P-orange, H-white; Blue arrow-direction of vibration. IM=intermediate, TS=transition state.
361	All energies are relative to the starting materials. The blue arrows represent the vibration mode at
362	the imaginary frequency in the transition state.)
363	

364 Fig. 5 Potential energy profile (a) and optimized geometries (b) for reduction of hypophosphorous 365 acid via the DRP-II pathway. Energy data obtained at B3LYP/6-311G(d,p) level. (Colors: Ni-blue, 366 O-red, P-orange, H-white; Blue arrow-direction of vibration. IM=intermediate, TS=transition state. 367 All energies are relative to the starting materials. The blue arrows represent the vibration mode at 368 the imaginary frequency in the transition state.) 369 370 **Fig. 6** Potential energy profile (a) and optimized geometries (b) for reduction of hypophosphorous 371 acid via the IRP pathway. Energy data obtained at B3LYP/6-311G(d,p) level. (Colors: Ni-blue, 372 O-red, P-orange, H-white; Blue arrow-direction of vibration. IM=intermediate, TS=transition state. 373 All energies are relative to the starting materials. The blue arrows represent the vibration mode at 374 the imaginary frequency in the transition state.) 375 376 Fig. 7 NBO charge distribution of TS1, TS3, TS2 and TS1 in the highest barrier site for OP, 377 DRP-I, DRP-II and IRP pathways including Ni₉ clusters and Ni(II) ions. The data is obtained at 378 the B3LYP/6-311G(d,p) level for main group element atoms and at the LANL2DZ level for Ni. 379 (Colors: Ni-blue, O-red, P-orange, H-white, Cl-green; Orange arrow-direction of vibration.) 380 381 Fig. 8 Nyquist impedance spectra of EN deposition in solutions with pH values of 3.0, 5.0, 7.0 and 382 9.0 (a), and partial spectra magnified image (b). 383 384 Fig. 9 An equivalent circuit model (a), and the simulated and measured impendent spectra from 385 a solution with pH 5.8 bath in the first hour for EN process (b). 386 387 Fig. 10 Nyquist plots of electroless nickel deposition in solutions with pH values of 5.0 (a), 7.0 (b) 388 and 9.0 (c) at different reaction times. 389 390
 Table 2. Relationship of Nygiust plot and interface reaction characteristic
 391

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394 Tables and Figures



396 Scheme 1. Coupled oxidation and reduction pathways of hypophosphorous acid, including 397 oxidation pathway (OP) shown by black line, direct reduction pathways (DRP-I and DRP-II) 398 shown by red and blue line respectively, and indirect reduction pathway (IRP) shown by green 399 line.

400





404 Scheme 2. Coupled oxidation and reduction reaction mechanisms of electroless plating nickel

- 405 from hypophosphorous acid on nickel surface.
- 406
- 407
- 408



411 Fig. 1 Phosphorous content (determined by XRF) in EN deposited Ni-P coatings as a function of

412 pH values in solutions ranging from 5.0 to 9.0.

413

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436	Table 1. The highest energy barrier of OP, DRP-I, DRP-II and IRP pathways					
	Pathways	OP	DRP-I	DRP-II	IRP	
	The highest energy barrier(kJ/mol)	186.5	132.3	284.6	225.9	
437						

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Fig. 3 Potential energy profile (a) and optimized geometries (b) for oxidation of hypophosphorous
acid via the OP pathway. Energy data obtained at B3LYP/6-311G(d,p) level. (Colors: Ni-blue,
O-red, P-orange, H-white; Blue arrow-direction of vibration. IM=intermediate, TS=transition state.
All energies are relative to the starting materials. The blue arrows represent the vibration mode at
the imaginary frequency in the transition state.)





Fig. 5 Potential energy profile (a) and optimized geometries (b) for reduction of hypophosphorous
acid via the DRP-II pathway. Energy data obtained at B3LYP/6-311G(d,p) level. (Colors: Ni-blue,
O-red, P-orange, H-white; Blue arrow-direction of vibration. IM=intermediate, TS=transition state.
All energies are relative to the starting materials. The blue arrows represent the vibration mode at
the imaginary frequency in the transition state.)



Fig. 6 Potential energy profile (a) and optimized geometries (b) for reduction of hypophosphorous
acid via the IRP pathway. Energy data obtained at B3LYP/6-311G(d,p) level. (Colors: Ni-blue,
O-red, P-orange, H-white; Blue arrow-direction of vibration. IM=intermediate, TS=transition state.
All energies are relative to the starting materials. The blue arrows represent the vibration mode at
the imaginary frequency in the transition state.)

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Fig. 7 NBO charge distribution of TS1, TS3, TS2 and TS1 in the highest barrier site for OP,
DRP-I, DRP-II and IRP pathways including Ni₉ clusters and Ni(II) ions. The data is obtained at
the B3LYP/6-311G(d,p) level for main group element atoms and at the LANL2DZ level for Ni.
(Colors: Ni-blue, O-red, P-orange, H-white, Cl-green; Orange arrow-direction of vibration.)





497



504

505 Fig. 9 An equivalent circuit model (a), and the simulated and measured impendent spectra from a

(b)

solution with pH 5.8 bath in the first hour for EN process (b).

507



513

(c)

 $Zre / (\mathbf{\Omega} \cdot \mathbf{cm}^2)$

Fig. 10 Nyquist plots of electroless nickel deposition in solutions with pH values of 5.0 (a), 7.0 (b)

10 15 20 25 30 35

-2 L 0

515 and 9.0 (c) at different reaction times.

516

518	
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519 Table 2. Relationship of Nyqiust plot and interface reaction characteristic

Frequency	Electrical	Interfacial reaction characteristic	Related Species
domain	Element		
High		Charge-discharge in electric double	Ni ²⁺ , H ₂ PO ₂ ⁻ , H ₃ PO ₃ ⁻ , H ⁺ ,
	Rt1	layer	OH
Middle		Adsorption of unstable intermediate	Ni(I)
	Rı2	on nickel surface	
Low		Adsorption of Ni-P compound	OP-IM1,H
		intermediates on nickel surface	RP-IM2,IM3,IM4, P