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Paper

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Synthesis and Electrochemical Properties of Silicon Nanosheets by DC Arc Discharge for Lithium-ion Batteries[†]

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Two-dimensional (2D) ultrathin silicon nanosheets (Si NSs) have been successfully synthesized by DC arc discharge method and ¹⁰ investigated as the anode material for Li-ion batteries. The 2D ultrathin characteristic of Si NSs is confirmed by means of transmission electron microscopy (TEM) and atomic force microscopy (AFM). The average size of Si NSs is about 20 nm with the thickness less than 2.5 nm. The characteristic Raman peak of Si NSs is found to have an appreciable (20nm) shift to low frequency, presumably due to the size effect. The synergistic effects of Ar^+ and H^+ lead to the 2D growth of Si NSs under high temperature and energy. The electrochemical analyses reveal that Si NSs anode possesses a stable cycling performance and a fast diffusion of Li-ions with ¹⁵ insertion/extraction processes. Such Si NSs might be a promising candidate for the anode of Li-ion batteries.

Introduction

Si is the second most abundant element on earth and becomes the main material in modern electrical industry since the invention of

- ²⁰ transistor in 1950s, which has been developing rapidly in the electronic and photologic devices.¹ During the past decade, the Si nanoarchitectures such as nanoparticles, nanotubes, nanowires, nanosheets, nanoporous materials and thin films have shown many promising potential applications due to the large specific
- ²⁵ surface area, size-confinement effect, photoluminescence and unique electrochemical properties, *etc.*²⁻⁸ They are also potential anode materials of Li-ion batteries with the high theoretical lithium storage capacity of 4200 mAh g⁻¹ (ten times higher than 372 mAh g⁻¹ for the traditional carbonaceous materials) and a
- ³⁰ relatively low working potential.⁹ However, the Si anode suffers from poor cycling stability, resulting from a severe volume change during insertion/extraction of lithium along with the inherent low conductivity.¹⁰ Many efforts have been made to seek new strategy to overcome such challenges, including introducing ³⁵ second phase components,^{11,12,13} nanostructure,^{14,15,16} *etc*.
- 2D nanomaterials, with exceptionally high specific surface area, may show unique properties as compared to 0D or 1D nanomaterials. It is expected that the thin 2D nanostructures exhibit advantages to stand volume change during the
- ⁴⁰ insertion/extraction process, and can apply more active sites to allow the effective use of the limited spaces, as well as contribute to a high energy density.¹⁷ For example, graphene, one-atom thick 2D carbon material, has been reported with a theoretical lithium storage capacity of 744 mAh g⁻¹ due to the formation of
- ⁴⁵ LiC₃ on both side of graphene sheets, and the experimental result by Wang et al. showed a stable specific capacity of about 460 mAh g⁻¹ after 100 cycles.¹⁸ Kulish's calculation showed that the

Si NSs can be a potential anode material for Li-ion batteries.¹⁹ Nevertheless, most literatures on the Si-anode Li-ion batteries are

⁵⁰ concentrated on 0D and 1D nanostructures, so far, there are very limited investigations on 2D Si-based nanomaterials reported in open literatures.^{20,21} For example, single-crystal Si monolayer sheets, so called silicene, had been successfully fabricated through chemical exfoliation from the calcium disilicide
 ⁵⁵ (CaSi₂).²² Kim et al used a chemical vapor deposition process to synthesize free-standing single crystalline Si NSs with a thickness less than 2 nm.²³ Recently, Yan group used graphene oxide (GO) NSs as the sacrificial templates to synthesize ultrathin Si NSs, which exhibited lithium storage properties better than Si
 ⁶⁰ nanoparticles.²⁴ However, such fabrication processes are sophisticated and have very low yield.

This paper reports the synthesis of 2D Si NSs with a simple method, DC arc discharge under the atmosphere of argon and hydrogen. As a traditional technique, this method is well known ⁶⁵ for the high yield, high purity of nanopowder products and facile to fabricate particular kinds of nanostructures. Structures, morphologies and electrochemical lithium storage properties of such Si NSs were characterized and investigated.

Results and discussion

⁷⁰ The XRD pattern of the as-prepared Si NSs is shown in Fig. 1. It exhibits the material exhibits a crystalline (c-Si) phase with facecentered cubic (FCC) crystal system (JCPDS cards, No.26-1481). According to the Scherer equation:

$$D_{c} = 0.89\lambda / (B \cos\theta)$$

⁷⁵ D_c, the size of the crystalline; B, the width at half height (FWHM) of the sample; θ , the diffraction angle; λ , X-ray wavelength. The calculated average crystallite size of the Si NSs is about 22 nm. The XRD pattern of raw Si bulk material is

(1)



Fig. 1 XRD pattern of Si NSs fabricated by DC arc discharge method under the atmosphere of hydrogen and argon.



Fig. 2 (a) Typical low-magnitude TEM image of Si NSs, showing the plate-shaped morphology. (b) the relevant SAED image of Si NSs.

- ¹⁰ shown in Fig. S2. In a comparison of two XRD patterns, it is found that the positions, widths and intensities of the diffraction peaks are greatly altered, indicating the lattice constant, grain size and preferred growth were changed and occurred in the Si NSs.
- Structures and morphologies of the Si NSs were characterized ¹⁵ by TEM and a typical image is shown in Fig. 2a. Si NSs have rather uniform size distribution and very similar morphology. They all seem to have irregular curved edges and corners, with similar thickness judging from very similar transparency under TEM observation. The sizes of most Si NSs are about 20 nm,
- ²⁰ which is in accordance with the XRD result. Fig. 2b is the selected area electron diffraction (SAED) pattern of Si NSs. The diffraction dots and rings corresponding to (111), (220), (311) planes of Si reveal the crystalline state of Si NSs.
- Fig. 3 shows an AFM image (left) of the as-prepared Si NSs in ²⁵ contact mode and the revelant height profile (right) labeled with arrows. In preparation of the AFM sample, the Si NSs had a strong tendency to gather together because of the surface tension as they were extracted from the solution. This tendency makes Si NSs look like larger than that of the TEM result. Such Si NSs
- ³⁰ have the thickness of about 2.4 nm. It is clear that the NSs are only a few atomic layers thick. The ultrathin feature of the Si NSs leads to the failure of acquiring clear lattice fringers in the high resolution transmission electron microscopic (HRTEM) images as shown in Fig. S6a.



Fig. 3 AFM image of Si NSs (left) and the section line analysis (right) as indicated, showing the ultrathin nature of the Si NSs.



Fig. 4 Raman spectrum of the Si NSs, the peak shift of 20 cm^{-1} from 520 to 500 cm⁻¹.

The Si NSs powder was further characterized by Raman spectroscopy and the result is shown in Fig. 4. As we all know, 45 Raman spectroscopy is a powerful technique used for specific check of the phonon modifications, induced in nanocrystals by quantum size effects.²⁵ The position, shape of Raman peak, and its FWHM are sensitive to the size in the domains. It was reported that the Raman spectrum of crystal Si consisted of one ⁵⁰ sharp peak at 520 cm⁻¹ originating from the microcrystalline.²⁶ It can be seen from Fig. 4 that the Raman spectrum of Si NSs has an intense peak at ~500 cm⁻¹, a visible shift (20 cm⁻¹) to lower frequency, with broadened FWHM. It has been verified in theory calculation and experimental works that the first order peak of Si 55 nanocrystals shifts to lower frequency with broadened FWHM due to the diameters decrease.^{27,28} Wang reported the first order Raman peak of Si nanowires shifts to 505 cm⁻¹ when the diameter decreased to 10 nm.²⁹ For the present Si NSs, such Raman peak shift and broadening of FWHM are considered from the phonon 60 confinement in the two-dimensional nanoscale Si crystal.²⁴ The Raman shift of 20 cm⁻¹ from 520 cm⁻¹ to 500 cm⁻¹ further indicates just a few atomic layers of our sample. In addition, two broad peaks centered at 288 and 914 cm⁻¹ can be assigned to the overtones of TA (X) and TO (L), respectively, which result from 65 the relaxation of momentum conservation rules when the crystallite is reduced to nanoscale dimensions.²⁹

Based on the above results, the plate-like characters of Si NSs are well confirmed. To our knowledge, it is the first report on the Si NSs fabricated by an arc discharge method. In order to 70 understand the exceptive formation mechanism for such Si NSs in a mixture atmosphere of hydrogen and inert gas, the preparation atmospheres of a series of contrast experiments and the final morphologies, yields of the Si nanopowder products are summarized in Table 1. The relevant TEM and HRTEM images

35

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Table 1 Mor	rphplogies and	l yields of S	i nano-produ	icts obtained ir
the atmosphe	eres with diffe	rent ratios o	f hydrogen a	und argon

The amount of	The amount of	Morphology of the	Yield
hydrogen (MPa)	argon (MPa)	products	(g / h)
0.03	0	Nanoparticles (Fig S4)	3.1
0.01	0.02	Nanosheets (Fig 2)	8.4
0.01	0.05	Nanoribbons (FigS3)	0.04
0	0.03	No products	0



Fig. 5 Schematic formation mechanism for Si nanosheet (NS) and Si spherical nanoparticle (NP), by DC arc discharge method under the atmospheres of hydrogen and hydrogen/argon, respectively.



Fig. 6 (a) The initial three charge/discharge curves for the Si NSs anode at the current density of 100 mA g^{-1} between 0.01 and 1.2 V. (b) Cyclic voltammograms for the Si NSs anode from 0.01 to 2.5 V at a scan rate of 0.1 mV s⁻¹. (c) Cycling performance of the anode at a ¹⁵ constant current density of 100 mA g^{-1} between 0.01 and 1.2 V and the reversible capacity for graphene at the same condition. (d) Cycling performance of the electrode at different current densities from 100 to 600 mA g^{-1} with an interval of 100 mA g^{-1} between 0.01 and 1.2 V.

- are shown in Fig. S4, S5 and S6. The products of Si NSs have a ²⁰ higher yield than that of nanoparticles and nanoribbons in the given conditions. In an arc discharge process, the hydrogen is a necessary component in serving as a source of hydrogen plasma with high energy and the inert gas usually has an effect of condensation. Hydrogen is easily ionized into H⁺ ions under a bight for the first service of the first servic
- ²⁵ high temperature, and can promote the evaporation of bulk Si into atomic states. As shown in Fig. 5 (upper), the evaporated Si atoms nucleate and grow into a spherical nanoparticle (as shown in Fig. S5) under the sole effect of H⁺ ions, namely, H⁺ ions favor an isotropic growth of Si nanoparticle. Nevertheless, under the
- ³⁰ synergistic effect of H⁺ and Ar⁺, the morphologies of Si products become sheet or ribbon-like shapes those were the results from a preferential growth along one or two dimensions, as seen in Fig. 5 (below) and Table 1. It is suggested that H⁺ ions would equally

affect the growth of Si cluster in all directions by a weak bond of ³⁵ Si and H, whereas, Ar⁺ ions collide with Si atoms to exchange energy and minimize the system energy, resulting a selective influence on the growth of Si products according to its crystal planes. The collisions between the high energy Ar⁺ ions and Si atoms would restrict its incorporation (growth) on a higher ⁴⁰ energy crystal face. For example, because the (111) plane has the lowest surface energy, the growth rate in <111> direction was suppressed and then this crystal plane was exposed in final Si NSs.³⁰ Such a consideration is well in agree with the XRD result.

In order to investegate electrochemical lithium storage ⁴⁵ properties of the Si NSs served as anode, CR2025-type halfcoin cells were adopted. In this work, we use the arc-discharge prepared graphene powders as the conductive agent.³¹ Mixed with 10wt% of such kind of graphene in the electrode, our preliminary investigation indicated that the capacity of Si NSs anode was rapidly fading to 10 mAh g⁻¹ from 300 mAh g⁻¹ of the first discharge capacity. It was considered that such fast declination and the limited first capacity were attributable to an ⁵ insufficiently electrical contact inside the Si NSs anode and the

- electrode should be further improved by increase of the content of conductive agent. It was also reported by Tarascon et al. that the Si-based battery showed best cyclability with 33.3 wt.% of the carbon black conductive additives.³² In this experiment, the weight ratio of 45:45:10 (Si NSs: conductive agent: binder) was
- set and a series of electrochemical measurements were carried out. The initial three charge/discharge voltage profiles of the Si NSs anode are shown in Fig. 6a. In the first cycle, the discharge and charge capacities are 2553 and 1242 mAh g⁻¹, respectively,
- ¹⁵ with an initial Coulombic efficiency of 49%. The large irreversible capacity can be mainly ascribed to the reductive decomposition of the electrolyte solution and the subsequent formation of the solid electrolyte interface (SEI) film on the anode surface, especially the large surface of Si NSs exposed to
- ²⁰ electrolyte would bring more irreversible capacity for the formation of SEI film, and also a portion to the irreversible insertion of lithium into Si matrix.³³ During the following two cycles, the irreversible capacities decrease a lot. In the second cycle, the electrode delivers a discharge capacity of 1208 mAh g⁻¹
- ²⁵ and a Coulombic efficiency of 89%. The Coulombic efficiency further increases to 91% with a reversible capacity of 1020 mAh g⁻¹ in the third cycle. From these curves, it can be seen that during the lithium insertion process the voltage drop quickly to 0.2 V, and then there is a flat discharge plateau, indicating the lithium ³⁰ inserted into Si matrix mainly occurs below 0.2 V.

Fig. 6b shows the CV curves of the initial five cycles. During the first cathodic scanning, a broad cathodic peak at 0.58 V is observed and disappeared in the following cycles, which resulting from the formation of SEI film on the electrode surface. In

- as addition, one broad peak in the range of 0.2 to 0.01 V can be seen during the first discharge process, which corresponding to the lithium insertion process and the phase transition of amorphous lithium-rich Li_xSi phases to crystalline $\text{Li}_{15}\text{Si}_4$.³⁴ Upon the second cathodic scanning, a new peak can be observed at 0.23 V, which
- ⁴⁰ results from the high voltage lithium insertion into amorphous Si-Li phase and this process experiences an activation process.³⁵ During the first charge process, a strong peak at 0.58 V can be observed which is due to the extraction of lithium from Si-Li alloys. During the following cycles, this peak magnifies,
- ⁴⁵ indicating the improved extraction kinetics of lithium.³⁵ The transition processes can be described as following:³⁶

$$Si \ (crystal) \rightarrow Li_xSi \ (amorphous) \rightarrow Li_{15}Si_4 \ (crystal) \leftrightarrow Si \ (amorphous)$$
 (2)

It can be seen from the CV results that there are no peaks ⁵⁰ relating to the lithium reacting with graphene and the cycling performance of graphene in Fig. 6c also shows the reversible capacity of graphene is only 60 mAh g⁻¹ which can be ignored. So, the graphene only acts as the conductive agent.

Fig. 6c shows the cycling performance of the Si NSs anode. It 55 can be seen that the Coulombic efficiencies are always kept above 94% except the initial few cycles, indicating the excellent reversible lithium storage properties. After 40 cycles, the charge capacity is 441.7 mAh g⁻¹, which is also higher than traditional



⁶⁰ **Fig. 7** The Nyquist plots for the Si NSs anode before cycling and after 5 and 55 cycles.

carbonaceous materials. The Si NSs anode also shows good capacity retention at increased current density from 100 to 600 mA g⁻¹ with an interval of 100 mA g⁻¹ as shown in Fig. 6d. As the current density increased, though the charge capacities decrease in some extent, a capacity of 534 mAh g⁻¹ can be recovered once the current density is restored to the initial 100 mA g⁻¹. This phenomenon indicates the good reversibility of the Si NSs anode. ⁷⁰ The stable cycling performance of Si NSs anode can be due to the unique structure of Si NSs, which may effectively buffer the strain generated during the lithium intercalation process and also shorten the lithium ions transport distance.

To further understand the electrochemical performances, EIS 75 was adopted to discuss the conductive and diffusive behavior of Si NSs anode. The Nyquist plots of Si NSs anode before cycling and after 5 and 55 cycles are shown in Fig. 7. The enlarged plots at the high-intermediate frequency can be seen in Fig. S6. There are two depressed semicircles instead of one at high-intermediate ⁸⁰ after cycling process. These two semicircles can be assigned to the SEI formation which exist after charge-discharge process and the interfacial charge-transfer. The sloping line in the lowfrequency region which can be assigned to the lithium diffusion impedance.³⁷ The diameter of the depressed semicircle before 85 cycling is obviously larger than that after cycling, that's because of the non-close contact between the active material and conductive agent which has been verified by previous report.38 Accompanying the cycling process, the volume change of matrix Si leads to the loss electrical contact between active materials and 90 current collector, and SEI films formed on the fresh surfaces, thus results in the increasing of resistance. What's more, the angle of the low-frequency sloping line shifts to low angle with the increased cycle numbers implying the regression of lithium diffusion process.³⁹ But, the angle is also bigger than 45⁰ 95 demonstrating the fast lithium diffusion process in 2D Si NSs anode. As 2D nanostructures, the thin NSs can offer an effective channel, through which the lithium ions and electrons can be transferred fast. The prepared high-yield ultrathin Si NSs show stable lithium storage property, fast lithium ions transport and the 100 above characteristics make the Si NSs a promising candidate for Li-ions batteries' anode material.

Experimental Section

Synthesis of Si NSs

The as-prepared Si NSs powders were fabricated by a DC arc discharge method under an atmosphere mixture of hydrogen and argon in a volume ratio of 1:2. In the experiment, a bulk Si was

- ⁵ used as the raw material (anode), while a tungsten rod severed as the cathode. As the arc was ignited, DC current was set at 90 A and the voltage was maintained at about 20 V by electrical power supply and adjusting the space between two electrodes. The Si NSs powders were deposited on the water-cooled walls of ¹⁰ evaporation chamber and collected out after the passivation
- process.

Characterizations of Si NSs

The Si NSs powders were analyzed by means of X-ray diffraction (XRD, Panalytical Empyrean) using Cu K_a radiation (λ =1.5416Å),

¹⁵ Raman microscopy (InVia) with a laser excitation wavelength of 632.8 nm. The structures and morphologies were further characterized by TEM (Tecnai²20 S-TWIN) and AFM (DI-Multimode NS3A-02).

Electrochemical measurements

- ²⁰ Electrochemical performances were characterized in CR2025type half-coin cells. The working electrodes were prepared by mixing active material (Si NSs powders), conductive agent (graphene) and binder agent (Na-Carboxyl Methyl Cellulose, CMC) in a weight ratio of 45:45:10. The resultant slurry was
- 25 pasted on a copper foil and dried in an oven at 120 °C for 10 hours under vacuum. Then the cells were assembled in an Arfilled glove box with the contents of moisture and oxygen less than 0.1 ppm. The electrolyte solution was 1M LiTFSI dissolved in a mixture of ethylene carbonate (EC) / and diethyl carbonate
- ³⁰ (DEC) (1:1, v/v). Li foil was used as the counter electrode and Celgard 2400 as the separator. Discharge/charge cycles of the cells were measured on a LAND CT2001A cell test instrument. Cyclic voltammograms (CVs) measurements of the cells were performed on a CHI660D-1 electrochemical workstation.
- ³⁵ Electrochemical impedance spectroscopy (EIS) measurements were also conducted on the same electrochemical workstation over the frequency range of 100 kHz to 0.01 Hz.

Conclusions

In summary, the ultrathin 2D Si NSs are successfully synthesized

- ⁴⁰ by a DC arc-discharge plasma method in scalable. The size of the obtained Si NSs is 20 nm in 2D direction and 2.4 nm in thickness which is about 8 atomic layers. A proper ratio of hydrogen and argon is a key role for the 2D growth of Si NSs. The as-achieved Si NSs show stable lithium storage property and rate performance.
- ⁴⁵ EIS shows the lithium ions can be transferred quickly in this kind of materials due to the unique 2D structure.

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Notes and references

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