

Food & Function

Accepted Manuscript



This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this *Accepted Manuscript* with the edited and formatted *Advance Article* as soon as it is available.

You can find more information about *Accepted Manuscripts* in the [Information for Authors](#).

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard [Terms & Conditions](#) and the [Ethical guidelines](#) still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.

1 **Chalcones suppress fatty acid-induced lipid accumulation through LKB1/AMPK**
2 **signaling pathway in HepG2 cells**

3

4 Tianshun Zhang¹, Norio Yamamoto², Hitoshi Ashida^{1*}

5 ¹*Department of Agrobioscience, Graduate School of Agricultural Science, Kobe University,*
6 *Kobe 657-8501, Japan*

7 ²*Food Science Research Center, House Wellness Foods Corporation, Imoji 3-20, Itami, Hyogo,*
8 *Japan*

9

10 *Corresponding author: Hitoshi Ashida, Ph.D.

11 Address: Department of Agrobioscience, Graduate School of Agricultural Science, Kobe
12 University, Nada-ku, Kobe 657-8501, Japan

13 E-mail: ashida@kobe-u.ac.jp Tel and Fax: +81-78-803-5878

14

15 **Running title:** Chalcones suppress lipid accumulation in hepatocytes

16

17 **Keywords:** chalcones; LKB1; AMPK; SREBP-1; PPAR α

18

19 **Abbreviations:** 4HD, 4-hydroxyderricin; XAG, xanthoangelol; CAR, cardamonin; FKB,
20 flavokawain B; FA, fatty acid; SREBP-1, sterol regulatory element-binding protein 1; PPAR,
21 peroxisome proliferator-activated receptor; AMPK, AMP-activated protein kinase; LKB1,
22 liver kinase B1; NAFLD, nonalcoholic fatty liver disease; MAPKs, mitogen-activated protein
23 kinases; C/EBP, CCAAT/enhancer-binding protein

24 **Abstract**

25 Excessive lipid accumulation in the liver has been proposed to cause hyperlipidemia, diabetes
26 and fatty liver disease. 4-Hydroxyderricin (4HD), xanthoangelol (XAG), cardamonin (CAR)
27 and flavokawain B (FKB) are chalcones that have exhibited various biological effects against
28 obesity, inflammation, and diabetes; however, little is known about the inhibitory effects of
29 these chalcones on fatty liver disease. In the present study, we investigated the ability of 4HD,
30 XAG, CAR, and FKB to reduce lipid accumulation in hepatocytes. When HepG2 cells were
31 treated with a mixture of fatty acids (FAs; palmitic acid:oleic acid = 1:2 ratio), significant
32 lipid accumulation was observed. Under the same experimental conditions, addition of
33 chalcones at 5 μ M significantly suppressed the FA-induced lipid accumulation. We found the
34 expression of sterol regulatory element-binding protein-1 (SREBP-1), a key molecule
35 involved in lipogenesis, was decreased in these chalcone-treated cells. We also found that
36 these chalcones increased the expression of peroxisome proliferator-activated receptor α
37 (PPAR α), which is involved in FA oxidation. Moreover, these chalcones increased
38 phosphorylation of AMP-activated protein kinase (AMPK) and liver kinase B1 (LKB1),
39 upstream regulators of SREBP-1 and PPAR α . We confirmed that an AMPK inhibitor,
40 compound C, reversed chalcone-induced changes in SREBP-1 and PPAR α expression in the
41 HepG2 cells. Collectively, we found that 4HD, XAG, CAR, and XAG attenuated lipid
42 accumulation through activation of the LKB1/AMPK signaling pathway in HepG2 cells.

43

44

45 Introduction

46 The liver is an important organ that maintains whole-body energy homeostasis
47 through metabolizing fatty acids (FAs) and glucose.¹ Nonalcoholic fatty liver disease
48 (NAFLD) is one of the most common liver diseases worldwide, characterized by hepatic lipid
49 accumulation in the absence of significant ethanol consumption. It encompasses a broad
50 spectrum of liver diseases, ranging from hepatic steatosis to steatohepatitis, and later,
51 progression to cirrhosis.² Several studies suggest that excessive intake of calories, visceral
52 obesity, and insulin resistance burden liver function and are important risk factors for
53 developing NAFLD.³ Over-accumulation of lipids in hepatocytes is currently recognized as
54 the most common cause of chronic liver disease and a factor for increased risk of other
55 diseases.^{4,5}

56 Lipid accumulation in the liver is caused by increased *de novo* lipogenesis and
57 decreased lipid catabolism. Studies have shown that sterol regulatory element-binding
58 protein-1 (SREBP-1) regulates lipid metabolism. SREBP-1 plays an essential role in the
59 regulation of lipogenesis by stimulating FA and triglyceride synthesis.^{6,7} It is also known that
60 peroxisome proliferator-activated receptors (PPARs), which are ligand-activated nuclear
61 receptors, mediate the critical transcriptional regulation of genes associated with lipid
62 homeostasis.⁸ PPAR α is most highly expressed in the liver. It diminishes circulating
63 triglycerides, prevents hepatic steatosis, and is involved in increasing hepatic FA oxidation.⁹
64 Evidence indicates that AMP-activated protein kinase (AMPK) is involved in regulating
65 hepatic lipogenesis.¹⁰ Activation of hepatic AMPK attenuates FA synthesis and promotes FA
66 oxidation.^{11, 12} Recent studies revealed that liver kinase B1 (LKB1) is one of the important
67 upstream kinases of AMPK.^{13, 14} LKB1 can activate AMPK by phosphorylation at Thr172 in
68 mammalian cells. This activation of AMPK leads to the phosphorylation and inhibition of
69 acetyl-CoA carboxylase activity and an increase in FA oxidation.¹⁵ It was also reported that

70 activated AMPK interacted with SREBP-1 and inhibited its transcriptional activity, leading to
71 reduced lipogenesis and lipid accumulation.¹⁶ Thus, AMPK should be a therapeutic target for
72 treating fatty liver disease. Mitogen-activated protein kinase (MAPK) signaling occurs in
73 response to almost any change in the extracellular or intracellular milieu that affects the
74 metabolism of the cell, organ or the entire organism.¹⁷ MAPKs play an important role in
75 cellular metabolism, including regulating insulin signaling and adipocyte development, as
76 well as hepatic lipid metabolism.¹⁷⁻²⁰

77 Chalcones are present in various plants and have a variety of health-promoting
78 effects. Several synthetic chalcones have also shown themselves biologically active.^{22, 23}
79 4-Hydroxyderricin (4HD) and xanthoangelol (XAG) are two chalcones from *Angelica keiskei*
80 *Ashitaba*, a Japanese herb that is used as a traditional medicine. The chalcones in this herb
81 were reported to exert various biological effects against tumors,^{24, 25} inflammation,²⁶ and
82 diabetes.^{27, 28} The chalcone cardamonin (CAR) is one of the main constituents of the seeds of
83 *Alpinia katsumadai* Hayata, traditionally used in China as a herbal antiemetic and stomachic
84 drug.²⁹ Flavokawain B (FKB), a 4'-*O*-methylated analog of CAR, is an active component of
85 *Alpinia pricei* Hayata and Kava. CAR and FKB also demonstrated antioxidant,
86 anti-inflammatory, antidiabetic, and other important therapeutic activities.³⁰⁻³² The
87 α,β -unsaturated carbonyl moiety in 4HD, XAG, CAR, and FKB might play an important role
88 in their biological activity, because this moiety is known to regulate cellular functions,
89 including the nuclear factor E2-related factor-2/Kelch-like ECH-associated protein system.³³
90 Different from hepatocytes, lipid accumulation in the adipocytes is deeply involved in balance
91 between differentiation-dependent lipid accumulation and lipid catabolism such as lipolysis
92 and lipid oxidation. Adipocyte-specific transcription factors, PPAR γ and
93 CCAAT/enhancer-binding proteins (C/EBPs) are known to be the master regulators of
94 adipocyte differentiation.^{34, 35} Our recent study showed that 4HD and XAG strongly inhibited

95 adipocyte differentiation by reducing expression of the adipocyte-specific transcription
96 factors.³⁶ However, the inhibitory effects on hepatic steatosis are as yet unknown.

97 In this study, we first aimed to define an *in vitro* experimental model of hepatic
98 steatosis without cytotoxicity using unsaturated and saturated FAs in human HepG2 cells.
99 Palmitic and oleic acid mixture-induced steatosis causes apoptosis in hepatocyte cell
100 cultures,³⁷ but monounsaturated FAs were less toxic in hepatocytes, as seen with other cell
101 lines,³⁸ and were able to prevent/attenuate palmitic toxicity.^{39, 40} Thus, we investigated the
102 appropriate ratio of palmitic and oleic acid mixture to generate an experimental model of
103 hepatic steatosis without cytotoxicity. We then used this model to investigate the preventive
104 effect of 4HD, XAG, CAR, and FKB on FAs-induced lipid accumulation. To clarify the
105 underlying molecular mechanisms, we further investigated the effects of chalcones (4HD,
106 XAG, CAR, and FKB) on the expression of SREBP-1 and PPAR α , and the phosphorylation of
107 LKB1, AMPK, and MAPKs.

108

109 **Materials and methods**

110 **Reagents**

111 4HD and XAG were purified from “Ashitaba Chalcone Powder,” a commercial
112 product of Japan Bio Science Laboratory (Osaka, Japan). CAR was isolated from the seeds of
113 *A. katsumadai* Hayata, and FKB was synthesized by direct aldol condensation of
114 acetophenone with benzaldehyde, as previously described.⁴¹ The chemical structures of these
115 compounds are shown in Fig. 1. The substrate for the cell proliferation reagent WST-1 was
116 purchased from Roche Diagnostics (Mannheim, Germany). Antibodies for PPAR α ,
117 horseradish peroxidase-conjugated anti-rabbit IgG, anti-mouse IgG, and anti-goat IgG were
118 purchased from Santa Cruz Biotechnology (Santa Cruz, CA, USA), β -actin, p-AMPK, AMPK,
119 p-LKB1, LKB, p-ERK1/2, ERK1/2, p-JNK, JNK, p-p38 and p38 were from Cell Signaling

120 Technology (Beverly, MA, USA), and SREBP-1 was from Abcam (Cambridge, MA, USA).
121 Palmitic acid, oleic acid, linoleic acid, and linolenic acid were purchased from Wako (Osaka,
122 Japan), and stearic acid was purchased from Sigma (St. Louis, MO, USA). Bovine serum
123 albumin (BSA) was from Nakarai Tesque (Kyoto, Japan).

124

125 **Cell culture**

126 HepG2 cells were cultured in Dulbecco's modified Eagle's medium (Nissui
127 Pharmaceutical, Tokyo, Japan) containing 10% fetal bovine serum (Sigma), 4 mM
128 L-glutamine, 100 units/mL penicillin, and 100 µg/mL streptomycin under a humidified
129 atmosphere of 95% air and 5% CO₂ at 37 °C. The cells were grown to 80% confluence and
130 incubated in serum-free medium overnight before treatment. The cells were simultaneously
131 exposed to FAs and treated with various concentrations of chalcones (4HD, XAG, CAR, or
132 FKB) or vehicle (DMSO) for 24 h. A stock solution of each FA was dissolved in 99% MeOH
133 and diluted in culture medium containing 1% BSA to obtain the desired final concentrations.

134

135 **Cell viability assay**

136 Cell viability was determined using a WST-1 assay. To detect the effects of FAs on
137 HepG2 cell viability, the cells were treated with each FA at 0.5 or 1 mM for 24 h. To detect
138 the effects of chalcones on HepG2 cell viability, the cells were treated with each chalcone at 1,
139 5, 10, and 20 µM or DMSO alone as a vehicle control (final concentration, 0.25%) for 24 h in
140 culture medium. The cells were incubated with WST-1 reagent in DMEM medium for 2 h.
141 The absorbance of the medium was measured at 450 nm with a reference wavelength of 630
142 nm using a Wallac multilabel counter (1420 ARVO Sx; PerkinElmer, Waltham, MA, USA).

143

144 **Determination of intracellular lipid content**

145 To detect the effects of FAs on lipid accumulation in HepG2 cells, the cells were
146 treated with the each FA at 0.5 or 1 mM for 24 h, respectively. To detect the effects of
147 chalcones on FAs-induced lipid accumulation in HepG2 cells, the cells were exposed to a
148 mixture of FAs (palmitic acid:oleic acid = 1:2 ratio), and simultaneously treated with various
149 concentrations of each chalcone as indicated in each figure or DMSO alone as a vehicle (final
150 concentration, 0.25%) for 24 h. Sudan II staining was then carried out as previously
151 described.³⁶ The cells were washed twice with ice-cold phosphate-buffered saline (PBS),
152 fixed with 100 μ L of 4% (*w/v*) paraformaldehyde in PBS for 1 h at 4°C, and stained with 100
153 μ L of 0.5% (*w/v*) Sudan II solution in 60% (*v/v*) isopropanol for 1 h at room temperature. The
154 cells were washed twice with distilled water to remove excess stain, and oil droplets present
155 in the stained cells were dissolved in isopropanol containing 4% (*v/v*) Nonidet P-40.
156 Absorbance of the dissolved solution was measured at a wavelength of 490 nm.

157

158 **Western blotting analysis**

159 Preparation of the cell lysate and western blotting were performed according to our
160 previous report.⁴² Specific immune complexes were detected with the ATTO Light-Capture II
161 Western Blotting Detection System (ATTO, Tokyo, Japan). The density of specific bands was
162 calculated using ImageJ image analysis software.

163

164 **Statistical analysis**

165 All data are expressed as the mean \pm standard deviation (SD) of at least three
166 independent determinations for each experiment. Statistical significance was analyzed using
167 the Dunnett's test, and the statistical significance between with and without compound C
168 treatment was analyzed using Student's *t*-test. The probability levels less than 0.05 were
169 considered to indicate statistical significance.

170

171 Results**172 Effects of FAs on lipid accumulation and cell viability in HepG2 cells**

173 Lipid accumulation (Fig. 2A) and cell viability (Fig. 2B) were investigated after
174 treatment of HepG2 with palmitic acid, stearic acid, oleic acid, linoleic acid, and linolenic
175 acid at 0.5 or 1 mM for 24 h. We found that all FAs significantly increased lipid accumulation
176 in HepG2 cells (Fig. 2A). Under the same conditions, linoleic acid and linolenic acid showed
177 cytotoxicity at both 0.5 and 1 mM (Fig. 2B). Palmitic acid and stearic acid also showed
178 cytotoxicity at 1 mM. Palmitic and oleic acids are the most abundant FAs in liver triglycerides
179 in both normal subjects and patients with NAFLD.⁴³ Therefore, HepG2 cells were incubated
180 with mixtures of varying proportions of palmitic acid and oleic acid to induce fat overloading
181 of the liver. Results from lipid accumulation (Fig. 2C) and cell viability (Fig. 2D) assays
182 showed that palmitic acid increased lipid accumulation but exhibited cytotoxicity at
183 concentrations over 1.2 mM. Although lipid accumulation caused by oleic acid was less than
184 that of palmitic acid, oleic acid did not exhibit cytotoxicity. To achieve maximal fat
185 over-accumulation without cytotoxicity, a mixture of FAs consisting of a low proportion of
186 palmitic acid (palmitic acid:oleic acid = 1:2 ratio) was selected for further experiments.

187

**188 4HD, XAG, CAR, and FKB inhibited FAs-induced cellular lipid accumulation in HepG2
189 cells**

190 We first investigated the effects of 4HD, XAG, CAR, and FKB on the cell viability
191 of HepG2 cells using the WST-1 assay and found that these chalcones showed no cytotoxicity
192 at concentrations up to 20 μ M (Fig. 3A). To investigate the inhibitory effect of chalcones on
193 the lipid accumulation in HepG2 cells, 5 μ M of each chalcone and various concentrations of
194 the FA mixture were simultaneously added to HepG2 cells for 24 h; the lipid contents were

195 then determined by Sudan II staining. As shown in Fig. 3B, the FA mixture increased lipid
196 accumulation in HepG2 cells compared with DMSO-treated control cells in a dose-dependent
197 manner. Simultaneous treatment with 4HD, XAG, CAR, and FKB at 5 μ M decreased this
198 lipid accumulation. CAR and FKB showed significant effects at FAs concentrations of 0.3,
199 0.6, and 1.2 mM; 4HD at 0.6 and 1.2 mM; and XAG at 1.2 mM. Thus, we selected the 1.2
200 mM FA mixture to investigate the dose-dependent effect of chalcones on FA mixture-induced
201 lipid accumulation. As shown in Fig. 3C, the chalcones decreased lipid accumulation in a
202 dose-dependent manner. All chalcones tested significantly inhibited the FA mixture-induced
203 lipid accumulation at 5, 10, and 20 μ M.

204

205 **4HD, XAG, CAR, and FKB decreased SREBP-1 and increased PPAR α expression in** 206 **HepG2 cells**

207 To determine the mechanism by which 4HD, XAG, CAR, and FKB decreased the
208 FAs-induced lipid accumulation in HepG2 cells, western blotting analysis was performed to
209 evaluate the expression levels of two important factors, SREBP-1 and PPAR α . These proteins
210 are involved in hepatic lipogenesis and FA oxidation, respectively.^{6, 7, 9} As shown in Fig. 4,
211 we confirmed that the FA mixture enhanced expression of SREBP-1 but did not affect
212 expression of PPAR α . 4HD and XAG decreased expression of SREBP-1 and increased
213 expression of PPAR α in a dose-dependent manner. Significant differences were observed at
214 concentrations over 5 μ M (Fig. 4A). The same trends were observed with CAR and FKB; i.e.,
215 they decreased expression of SREBP-1 and increased that of PPAR α in a dose-dependent
216 manner (Fig. 4B).

217

218 **Effects of 4HD, XAG, CAR, and FKB on LKB1/AMPK and MAPK pathways in HepG2** 219 **cells**

220 AMPK is a key regulator of lipogenesis and FA oxidation in metabolic tissues,
221 including the liver. A change in AMPK activity in HepG2 cells is strongly associated with
222 intracellular lipid metabolism.¹⁰ To investigate the effects of chalcones on the phosphorylation
223 of AMPK and its upstream kinase LKB1, HepG2 cells were treated with 1.2 mM FA mixture
224 and 5 μ M chalcones for 24 h. As shown in Fig. 5A and B, all chalcones significantly
225 simulated phosphorylation of AMPK (4HD, 2.18-fold; XAG, 2.08-fold; CAR, 1.74-fold; and
226 FKB, 1.85-fold) and LKB1 (4HD, 1.58-fold; XAG, 1.52-fold; CAR, 1.72-fold; and FKB,
227 1.64-fold). To confirm that AMPK activation was involved in the inhibitory effects of
228 chalcones on the FA mixture-induced lipid accumulation, an AMPK inhibitor, compound C,
229 was introduced (Fig. 6 A and B). Compound C decreased the AMPK activation resulting from
230 treatment with chalcones. 4HD, XAG (Fig. 6A), CAR, and FKB (Fig. 6B) decreased
231 expression of SREBP-1 (4HD, 67%; XAG 67%; CAR, 70%; and FKB, 74%) and increased
232 PPAR α (4HD, 1.80-fold; XAG, 1.69-fold; CAR, 1.56-fold; and FKB, 1.54-fold), the same as
233 the results in Fig. 4. In the same series of cultures, these chalcone-induced effects were
234 abolished by treatment with compound C. This indicated that the activation of LKB1/AMPK
235 pathway by chalcones is involved in the FA mixture-induced lipid accumulation. MAPKs play
236 an important role in various aspects of cellular metabolism, including regulation of hepatic
237 lipid metabolism.²² Thus, we investigated whether chalcones activate MAPKs. However, 4HD,
238 XAG, CAR, and FKB did not alter the MAPKs activation under our experimental conditions
239 (Fig. 7).

240

241 Discussion

242 In this study, we found that treating HepG2 cells with an FA mixture consisting of
243 palmitic acid and oleic acid (1:2 ratio) provides a suitable *in vitro* model for hepatic steatosis
244 (Fig. 2). Using this model, we found four chalcones, 4HD, XAG, CAR, and FKB, that

245 significantly decreased the FA mixture-induced lipid accumulation in HepG2 cells without
246 causing cytotoxicity (Fig. 3). These results indicate that 4HD, XAG, CAR, and FKB will be
247 effective compounds to prevent hepatic steatosis. We conducted further experiments and
248 found that the inhibitory effect of these chalcones on the FA mixture-induced lipid
249 accumulation is involved in down-regulation of SREBP-1 and up-regulation of PPAR α (Fig.
250 4), consequences of LKB1/AMPK activation (Figs. 5 and 6) but not MAPKs (Fig. 7).

251 Intracellular lipid accumulation is the main pathological characteristic of a human
252 liver with NAFLD.⁴⁴ FAs are the main substrates for synthesis of triglycerides in hepatocytes.
253 It is known that 25% of liver triglycerides are derived from increased *de novo* lipogenesis.⁴⁵
254 *De novo* lipogenesis is mediated by SREBP-1, a key lipogenic transcription factor, and is
255 nutritionally regulated by glucose and insulin.^{46, 47} SREBP-1 regulates the lipogenic process
256 by activating genes involved in FA and triglyceride synthesis that contribute to hepatic
257 steatosis.⁷ SREBP-1 plays a considerable role in the pathogenesis of NAFLD.⁵ Increased
258 SREBP-1 levels have been found in patients with histologically diagnosed NAFLD,⁴⁸ and in
259 the fatty livers of obese (ob/ob) mice⁵ and rats fed a high-fat diet.⁴⁹ We found that 4HD, XAG,
260 CAR, and FKB decreased the FA mixture-induced SREBP-1 expression in HepG2 cells (Fig.
261 4). The results in this study suggest that 4HD, XAG, CAR, and FKB prevent hepatic steatosis
262 by decreasing expression of SREBP-1. Moreover, we also found that 4HD, XAG, CAR, and
263 FKB increased expression of PPAR α in HepG2 cells. PPAR α is expressed at high levels in
264 tissues with high rates of FA oxidation, such as brown fat, liver, and heart.⁹ PPAR α -mediated
265 responses have been well studied in the liver. It has been reported that a PPAR α agonist
266 normalized fatty livers in fat-fed rats,⁵⁰ and markedly improved lipid accumulation in the
267 livers of mice.⁵¹ Curcumin, another plant-based chemical, also decreases oleic acid-induced
268 lipid accumulation through enhancing the expression of PPAR α .⁵² Our findings, together with
269 these previous results, suggest that 4HD, XAG, CAR, and FKB prevent hepatic steatosis by

270 increasing expression of PPAR α , which is involved in FA oxidation. Collectively, 4HD, XAG,
271 CAR, and FKB may modulate the FA mixture-induced hepatic lipid accumulation by
272 down-regulating *de novo* lipogenesis and up-regulating FA oxidation.

273 To determine the signaling mechanism underlying the decrease of SREBP-1
274 expression and the increase of PPAR α expression induced by 4HD, XAG, CAR, and FKB, we
275 investigated the effects of these chalcones on LKB1/AMPK activation in HepG2 hepatocytes.
276 It is known that AMPK plays a key role in regulating carbohydrate and fat metabolism,
277 serving as a metabolic master switch that responds to alterations in cellular energy charge.^{10,53}
278 AMPK is expected to be a therapeutic target for treating fatty liver disease because it is
279 involved in regulating hepatic lipogenesis.¹⁰ Activation of hepatic AMPK turns off FA
280 synthesis by decreasing the expression of SREBP-1.¹¹ Our findings showed that 4HD, XAG,
281 CAR, and FKB increased AMPK activation (Fig. 5). When cells were treated with an AMPK
282 inhibitor, compound C, the expression of SREBP-1 was increased and the inhibitory effect of
283 the chalcones on SREBP-1 expression was lost (Fig. 7). AMPK also simulates FA oxidation
284 by increasing expression of proteins involved in FAs oxidation, including PPAR α .⁵⁴ Our
285 finding showed that 4HD, XAG, CAR, and FKB simultaneously increased both the
286 expression of PPAR α (Fig. 4) and the phosphorylation of AMPK (Fig. 5). Compound C partly
287 canceled out the increase in the expression of PPAR α (Fig. 6). These results suggest that 4HD,
288 XAG, CAR, and FKB have the ability to activate AMPK, then reduce SREBP-1 expression
289 and enhance PPAR α expression, leading to inhibition of hepatic steatosis. AMPK activity is
290 regulated by phosphorylation at Thr172 by the upstream serine/threonine kinase LKB1.^{13, 14, 55}
291 Consistent with phosphorylation of AMPK α at Thr172, 4HD, XAG, CAR, and FKB caused
292 phosphorylation of LKB1 at Ser428 in HepG2 cells (Fig. 5). These results indicate that 4HD,
293 XAG, CAR, and FKB inhibit the FA mixture-induced lipid accumulation by activation of
294 LKB1/AMPK pathway. MAPKs are involved in regulating lipid metabolism,¹⁷ and it was

295 reported that SREBP-1 expression is linked to the MAPK cascade.²⁰ However, we found that
296 4HD, XAG, CAR, and FKB did not alter the phosphorylation levels of several MAPKs (ERK,
297 JNK, and p38) under our experimental conditions (Fig. 7). Therefore, 4HD, XAG, CAR, and
298 FKB inhibit the FA mixture-induced lipid accumulation without involving the MAPK
299 pathways. In the case of adipocytes, we previously found that 4HD and XAG inhibited lipid
300 accumulation and adipocytes differentiation effectively by down-expression of the
301 adipocyte-specific transcription factors, PPAR γ and C/EBP α in 3T3-L1 cells. The effects are
302 not only involved in activation of AMPK but also MAPKs.³⁶ Different from the adipocytes, in
303 the present study, we found that chalcones inhibited lipid accumulation by modulating
304 expression of SREBP1 and PPAR α , which relating to activation of AMPK but not MAPKs in
305 hepatocytes. Our results indicate that these chalcones should be effective food factors to
306 modulate lipid metabolism in both adipocytes and hepatocytes with different mechanisms.

307

308 **Conclusion**

309 We established an *in vitro* model of hepatic steatosis using a mixture of palmitic
310 acid and oleic acid. Using this model, we found that four chalcones, 4HD, XAG, CAR, and
311 FKB, inhibit the FA mixture-induced lipid accumulation in HepG2 cells. The inhibitory
312 mechanism is, at least in part, dependent on decreased expression of SREBP-1 and increased
313 expression of PPAR α through the activation of the LKB1/AMPK signaling pathway, but not
314 MAPK signaling pathways. Our findings suggest that 4HD, XAG, CAR, and FKB might be
315 valuable for preventing NAFLD and NAFLD-related metabolic syndrome.

316

317 **Acknowledgement**

318 Part of this study was supported by Special Coordination Funds for Promoting
319 Science and Technology, Creation of Innovation Centers for Advanced Interdisciplinary

320 Research Areas (Innovative Bioproduction Kobe), MEXT, Japan.

321

322 **References**

- 323 1 O. Cheung, A. J. Sanyal, *Semin. Liver Dis.*, 2008, **28**, 351-359.
- 324 2 C. A. Matteoni, Z. M. Younossi, T. Gramlich, N. Boparai, Y. C. Liu, A. J. McCullough,
325 *Gastroenterology*, 1999, **116**, 1413-1419.
- 326 3 J. D. Browning, J. D. Horton, *J. Clin. Invest.*, 2004, **114**, 147-152.
- 327 4 G. Targher, L. Bertolini, S. Rodella, R. Tessari, L. Zenari, G. Lippi, G. Arcaro, *Diabetes.*
328 *Care*, 2007, **30**, 2119-2121.
- 329 5 M. H. Ahmed, C. D. Byrne, *Diabetes Obes. Metab.*, 2009, **11**, 188-195.
- 330 6 M. S. Brown, J. L. Goldstein, *Cell*, 1997, **89**, 331-340.
- 331 7 A. J. Sanyal, *Nat. Clin. Pract. Gastroenterol. Hepatol.*, 2005, **2**, 46-53.
- 332 8 S. E. Lee, H. T. Shin, H. J. Hwang, J. H. Kim, *Phytother. Res.* 2003, **17**, 1041-1047.
- 333 9 O. Braissant, W. Wahli, *Endocrinology*, 1998, **139**, 2748-2754.
- 334 10 G. Schimack, R. A. Defronzo, N. Musi, *Diabetes. Obes. Metab.* 2006, **8**, 591-602.
- 335 11 D. G. Hardie, *Nat. Rev. Mol. Cell Biol.*, 2007, **8**, 774-785.
- 336 12 J. D. McGarry, N. F. Brown, *Eur. J. Biochem.*, 1997, **244**, 1-14.
- 337 13 S. A. Hawley, J. Boudeau, J. L. Reid, K. J. Mustard, L. Udd, T. P. Makela, D. R. Alessi, D.
338 G. Hardie, *J. Biol.*, 2003, **2**, 28.
- 339 14 A. Woods, S. R. Johnstone, K. Dickerson, F. C. Leiper, L. G. Fryer, D. Neumann, U.
340 Schlattner, *Curr. Biol.*, 2003, **13**, 2004-2008.
- 341 15 S. H. Park, S. R. Gammon, J. D. Knippers, S. R. Paulsen, D. S. Rubink, W. W. Winder, J.
342 *Appl. Physiol.*, 2002, **92**, 2475-2482.
- 343 16 Y. Li, S. Xu, M. M. Mihaylova, B. Zheng, X. Hou, B. Jiang, O. Park, Z. Luo, E. Lefai, J.
344 Y. Shyy, B. Gao, M. Wierzbicki, T. J. Verbeuren, R. J. Shaw, R. A. Cohen, M. Zang,
345 *Cell Metab.*, 2011, **13**, 376-388.
- 346 17 H. Gehart, S. Kumpf, A. Ittner, R. Ricci, *EMBO Reports*, 2010, **11**, 834-840.

- 347 18 M. Deak, A. D. Clifton, J. M. Lucocq, D. R. Alessi, *EMBO J.*, 1998, **17**, 4426-4441.
- 348 19 Y. Xiong, Q. F. Collins, J. An, E. Lupo, H. Y. Liu, D. Liu, J. Robidoux, Z. Liu, W. Cao, *J.*
349 *Biol. Chem.*, 2007, **282**, 4975-4982.
- 350 20 J. Kotzka, D. Müller-Wieland, G. Roth, L. Kremer, M. Munck, S. Schürmann, B. Knebel,
351 W. Krone, *J. Lipid Res.*, 2000, **41**, 99-108.
- 352 21 A. Koteish, A. M. Diehl, *Liver. Dis.*, 2001, **21**, 89-104.
- 353 22 J. R. Dimmock, D. W. Elias, M. A. Beazely, N. M. Kandepu, *Curr. Med. Chem.*, 1999, **6**,
354 1125-1149.
- 355 23 B. P. Bandgar, S. S. Gawande, R. G. Bodade, N. M. Gawande, C. N. Khobragade,
356 *Bioorg. Med. Chem.*, 2009, **17**, 8168-8173.
- 357 24 Y. Kimura, M. Taniguchi, K. Baba, *Planta. Med.*, 2004, **70**, 211-219.
- 358 25 T. Akihisa, T. Motoi, A. Seki, T. Kikuchi, M. Fukatsu, H. Tokuda, N. Suzuki, Y. Kimura,
359 *Chem. Biodivers.*, 2012, **9**, 318-330.
- 360 26 N. Ohkura, Y. Nakakuki, M. Taniguchi, S. Kanai, A. Nakayama, K. Ohnishi, T. Sakata, T.
361 Nohira, J. Matsuda, K. Baba, G. Atsumi, *Biofactors*, 2011, **37**, 455-461.
- 362 27 K. Kawabata, K. Sawada, K. Ikeda, I. Fukuda, K. Kawasaki, N. Yamamoto, H. Ashida,
363 *Mol. Nutr. Food Res.*, 2011, **55**, 467-475.
- 364 28 T. Enoki, H. Ohnogi, K. Nagamine, Y. Kudo, K. Sugiyama, M. Tanabe, E. Kobayashi, H.
365 Sagawa, I. Kato, *J. Agric. Food Chem.*, 2007, **55**, 6013-6017.
- 366 29 M. Kuroyanagi, T. Noro, S. Fukushima, R. Aiyama, A. Ikuta, I. Itokawa, M. Morita, *Chem.*
367 *Pharm. Bull.*, 1983, **31**, 1544-1550.
- 368 30 C. H. Lee, P. Olson, R. M. Evans, *Endocrinology*, 2003, **144**, 2201-2207.
- 369 31 Y. S. Yu, C. L. Hsu, G. C. Yen, *J. Agric. Food Chem.*, 2009, **57**, 7673-7680.
- 370 32 C. T. Lin, K. J. Senthil Kumar, Y. H. Tseng, Z. J. Wang, M. Y. Pan, J. H. Xiao, S. C.
371 Chien, S. Y. Wang, *J. Agric. Food Chem.*, 2009, **57**, 6060-6065.

- 372 33 E.H. Kim, Y. J. Surh, *Biochem. Pharmacol.*, 2006, **72**, 1516-1528.
- 373 34 P. Tontonoz, E. Hu, B. M. Spiegelman, *Cell*, 1994, **79**, 1147-1156.
- 374 35 M. I. Lefterova, M. A. Lazar, *Trends Endocrinol Metab.*, 2009, **20**,107-114.
- 375 36 T. Zhang, K. Sawada, N. Yamamoto, H. Ashida, *Mol. Nutr. Food Res.*, 2013, **57**,
- 376 1729-1740.
- 377 37 A.E. Feldstein, A. Canbay, M.E. Guicciardi, H. Higuchi, S.F. Bronk, G.J. Gores, *J.*
- 378 *Hepatol.*, 2003, **39**, 978-983.
- 379 38 H. Malhi, S.F. Bronk, N.W. Werneburg, G.J. Gores, *J. Biol. Chem.*, 2006, **281**,
- 380 12093-12101.
- 381 39 Y. Wei, D. Wang, F. Topczewski, M.J. Pagliassotti, *Am. J. Physiol. Endocrinol. Metab.*,
- 382 2006, **291**, E275-E281.
- 383 40 M. Ricchi, M.R. Odoardi, L. Carulli, C. Anzivino, S. Ballestri, A. Pinetti, L.I. Fantoni, F.
- 384 Marra, M. Bertolotti, S. Banni, A. Lonardo, N. Carulli, P. Loria., *J. Gastroenterol.*
- 385 *Hepatol.*, 2009, **24**, 830-840.
- 386 41 N. Yamamoto, K. Kawabata, K. Sawada, M. Ueda, I. Fukuda, K. Kawasaki, A. Murakami,
- 387 H. Ashida, *Phytother. Res.*, 2011, **25**, 1218-1224.
- 388 42 T. Furuyashiki, H. Nagayasu, Y. Aoki, H. Bessho, T. Hashimoto, K. Kanazawa, H. Ashida,
- 389 *Biosci. Biotechnol. Biochem.*, 2004, **68**, 2353-2359.
- 390 43 J. Araya, R. Rodrigo, L. A. Videla, L. Thielemann, M. Orellana, P. Pettinelli, *J. Clin. Sci.*,
- 391 2004, **106**, 635-643.
- 392 44 X. Wu, L. Zhang, E. Gurley, E. Studer, J. Shang, T. Wang, C. Wang, M. Yan, Z. Jiang, P.
- 393 B. Hylemon, A. J. Sanyal, W. M. Pandak, H. Zhou, *Hepatology*, 2008, **47**, 1905-1915.
- 394 45 K. L. Donnelly, C. I. Smith, S. J. Schwarzenberg, J. Jessurun, M. D. Boldt, E. J. Parks, *J.*
- 395 *Clin. Invest.*, 2005, **115**, 1343-1351.
- 396 46 J. L. Goldstein, M. S. Brown., *J. Clin. Invest.*, 2008, **118**, 1220-1222.

- 397 47 G. Zhou, R. Myers, Y. Li, Y. Chen, X. Shen, J. Fenyk-Melody, M. Wu, J. Centre T.
398 Doebber, N. Fujii, N. Musi, M. F. Hirshman, L. J. Goodyear, D. E. Moller, *J. Clin.*
399 *Invest.*, 2001, **108**, 1167-1674.
- 400 48 M. Kohjima, N. Higuchi, M. Kato, K. Kotoh, T. Yoshimoto, T. Fujino, M. Yada, R. Yada,
401 N. Harada, M. Enjoji, R. Takayanagi, M. Nakamuta, *Int. J. Mol. Med.*, 2008, **21**,
402 507-511.
- 403 49 L. Madsen, R. K. Petersen, M. B. Sorensen, C. Jorgensen, P. Hallenborg, L. Pridal, J.
404 Fleckner, E. Z. Amri, P. Krieg, G. Furstenberger, R. K. Berge, K. Kristiansen, *Biochem.*
405 *J.*, 2003, **375**, 539-549.
- 406 50 J. M. Ye, M. A. Iglesias, D. G. Watson, B. Ellis, L. Wood, P. B. Jensen, R. V. Sorensen, P.
407 J. Larsen, G. J. Cooney, K. Wassermann, E. W. Kraegen, *Am. J. Physiol. Endocrinol.*
408 *Metab.*, 2003, **284**, E531-E540.
- 409 51 M. Fischer, M. You, M. Matsumoto, D. W. Crabb, *J. Biol. Chem.*, 2003, **278**,
410 27997-28004.
- 411 52 O. H. Kang, S. B. Kim, Y. S. Seo, D. K. Joung, S. H. Mun, J. G. Choi, D. Y. Kwon, *Eur.*
412 *Rev. Med. Pharmacol. Sci.*, 2013, **17**, 2578-2586.
- 413 53 W. W. Winder, D. G. Hardie, *Am. J. Physiol.*, 1999, **277**, E1-E10.
- 414 54 W. J. Lee, M. Kim, H. S. Park, H. S. Kim, M. J. Jeon, K. S. Oh, E. H. Koh, J.C.Won, M.S.
415 Kim, G. T. Oh, M. Yoon, K. U. Lee, J. Y. Park, *Biochem. Biophys. Res. Commun.*, 2006,
416 **340**, 291-295.
- 417 55 R. J. Shaw, M. Kosmatka, N. Bardeesy, R. L. Hurley, L. A. Witters, R. A. DePinho, L. C.
418 Cantley, *Proc. Natl. Acad. Sci. U S A*, 2004, **101**, 3329-3335.
- 419
420
421

422 **Figure legends**

423 Fig. 1. Chemical structures of 4HD, XAG, CAR, and FKB.

424

425 Fig. 2. Effects of FA on lipid accumulation and cell viability in HepG2 cells. (A, B) Effects of
426 FA on lipid accumulation and cytotoxicity in HepG2 cells. The cells were incubated with each
427 FA at 0.5 or 1 mM for 24 h. MeOH was used as a vehicle control. (C, D) Effects of different
428 ratios of palmitic acid and oleic acid on lipid accumulation and cytotoxicity in HepG2 cells.
429 The cells were incubated with palmitic acid and oleic acid mixtures with varying ratios (1:0,
430 2:1, 1:1, 1:2 and 0:1) for 24 h. Intracellular lipid accumulation was determined by Sudan II
431 staining (A, C). Cell viability was measured by WST-1 assays (B, D). The results are
432 presented as the mean \pm SD from three independent experiments. * P <0.05 and ** P <0.01 vs.
433 MeOH-treated control cells (Dunnett's test).

434

435 Fig. 3. 4HD, XAG, CAR, and FKB suppressed FA mixture-induced lipid accumulation in
436 HepG2 cells. (A) The cells were treated with each chalcone at concentrations of 1, 5, 10, or 20
437 μ M for 24 h. Cell viability was measured by WST-1 assays. (B) The cells were exposed to 0,
438 0.3, 0.6, 1.2, and 2.4 mM FA mixture (palmitic acid:oleic acid =1:2 ratio) with or without 5
439 μ M of individual chalcones for 24 h. (C) The cells were exposed to 1.2 mM FA mixture
440 consisting of 0.4 mM palmitic acid and 0.8 mM oleic acid with or without each chalcone at 1,
441 5, 10, or 20 μ M for 24 h. In these experiments, DMSO was used as a vehicle control.
442 Intracellular lipid content was determined by Sudan II staining. The results are presented as
443 the mean \pm SD from three independent experiments. * P <0.05 and ** P <0.01 vs.
444 DMSO-treated control cells at each dose of FA (Dunnett's test).

445

446 Fig. 4. 4HD, XAG, CAR, and FKB decreased SREBP-1 expression and increased PPAR α

447 expression in HepG2 cells. The cells were exposed to 1.2 mM FA mixture consisting of 0.4
448 mM palmitic acid and 0.8 mM oleic acid with or without (A) 4HD or XAG, and (B) CAR or
449 FKB at 5 μ M for 24 h. DMSO was used as a vehicle control. The protein expression levels of
450 SREBP-1 and PPAR α were determined by western blotting. A typical representative result
451 was shown from three independent experiments. Densitometric analysis of specific bands was
452 also shown after normalization by β -actin expression. The results are presented as the mean \pm
453 SD from three independent experiments. * P <0.05 and ** P <0.01 vs. DMSO-treated cells
454 (Dunnett's test).

455

456 Fig. 5 4HD, XAG, CAR, and FKB simulated the phosphorylation of AMPK and LKB1 in
457 HepG2 cells. The cells were exposed to 1.2 mM FA mixture consisting of 0.4 mM palmitic
458 acid and 0.8 mM oleic acid with or without (A) 4HD or XAG, and (B) CAR or FKB at 5 μ M
459 for 24 h. DMSO was used as a vehicle control. The phosphorylation levels of AMPK and
460 LKB1, and the expression levels of AMPK, LKB1, and β -actin, were determined by western
461 blotting. A typical representative result was shown from three independent experiments.
462 Densitometric analysis of specific bands for pAMPK and pLKB1 was also shown after
463 normalization by corresponding protein expression. The results are presented as the mean \pm
464 SD from three independent experiments. * P <0.05 and ** P <0.01 vs. DMSO-treated cells
465 (Dunnett's test).

466

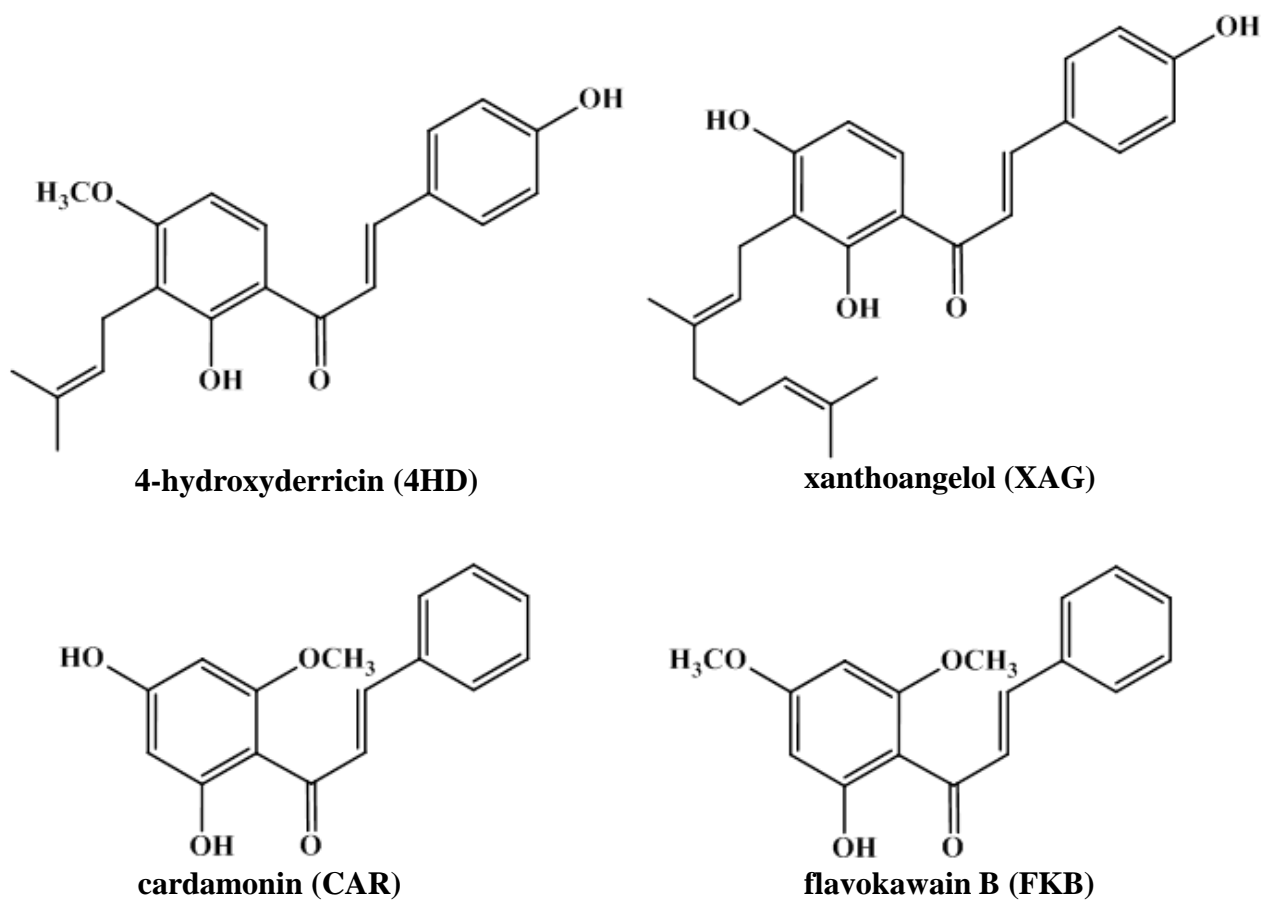
467 Fig. 6. The AMPK inhibitor compound C reversed the effects of 4HD, XAG, CAR, and FKB
468 on SREBP-1 and PPAR α expression. The cells were exposed to 1.2 mM FA mixture
469 consisting of 0.4 mM palmitic acid and 0.8 mM oleic acid with or without (A) 4HD or XAG,
470 and (B) CAR or FKB at 5 μ M for 24 h. Compound C at 20 μ M was simultaneously added to
471 the cells. DMSO was used as a vehicle control. The phosphorylation levels of AMPK, and the

472 expression levels of AMPK, SREBP-1, PPAR α , and β -actin, were determined by western
473 blotting. A typical representative result was shown from three independent experiments.
474 Densitometric analysis of specific bands for pAMPK was shown after normalization by
475 AMPK expression, and that of SREBP-1 and PPAR α was also shown after normalization by
476 β -actin expression. The results are presented as the mean \pm SD from three independent
477 experiments. * P <0.05 and ** P <0.01 vs. DMSO-treated cells in each group with or without
478 compound C treatment (Dunnett's test). ### P <0.01 vs. DMSO-treated cells, between with and
479 without compound C treatment (Student's t -test).

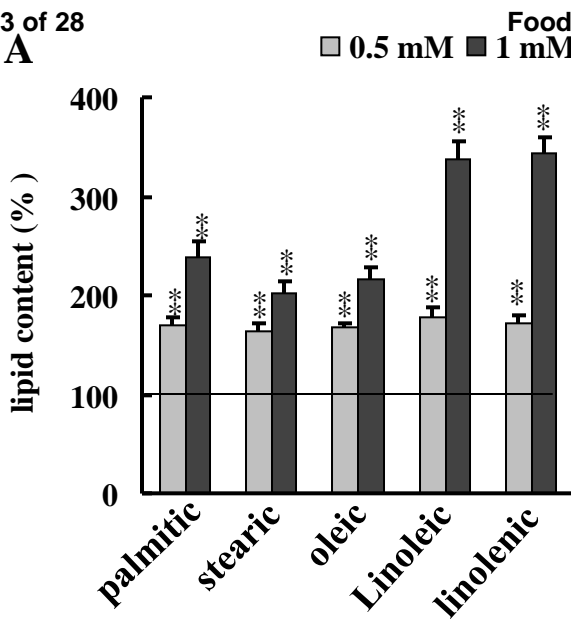
480

481 Fig. 7. Effects of 4HD, XAG, CAR, and FKB on the phosphorylation of MAPKs in HepG2
482 cells. The cells were exposed to 1.2 mM FA mixture consisting of 0.4 mM palmitic acid and
483 0.8 mM oleic acid with or without (A) 4HD or XAG, and (B) CAR or FKB at 5 μ M for 24 h.
484 DMSO was used as a vehicle control. The phosphorylation and total levels of ERK, JNK, and
485 p38 were determined by western blotting. A typical representative result was shown from
486 three independent experiments.

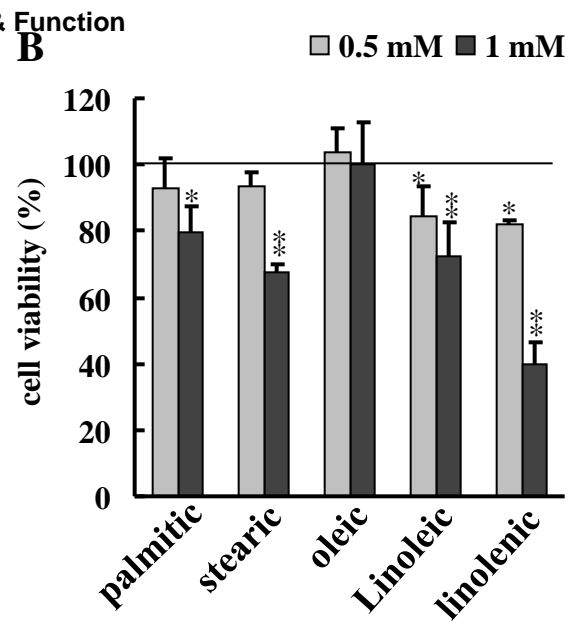
487



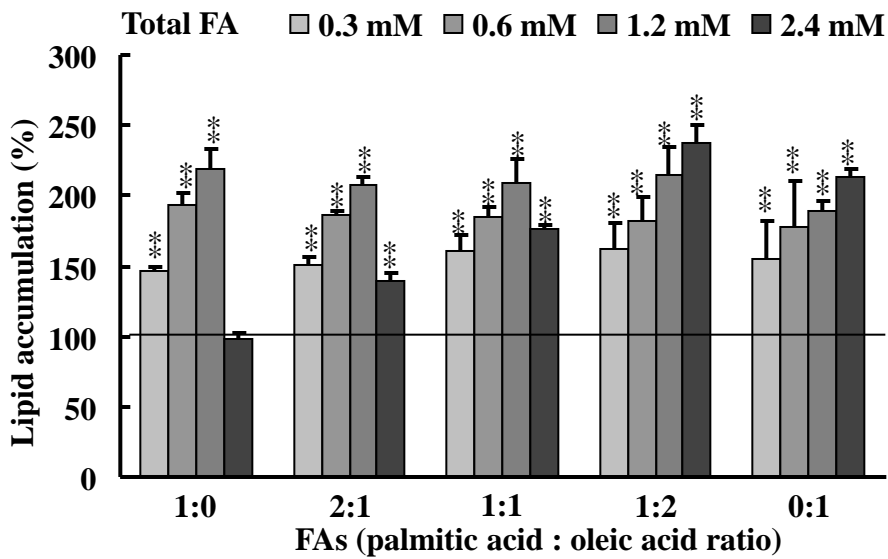
A



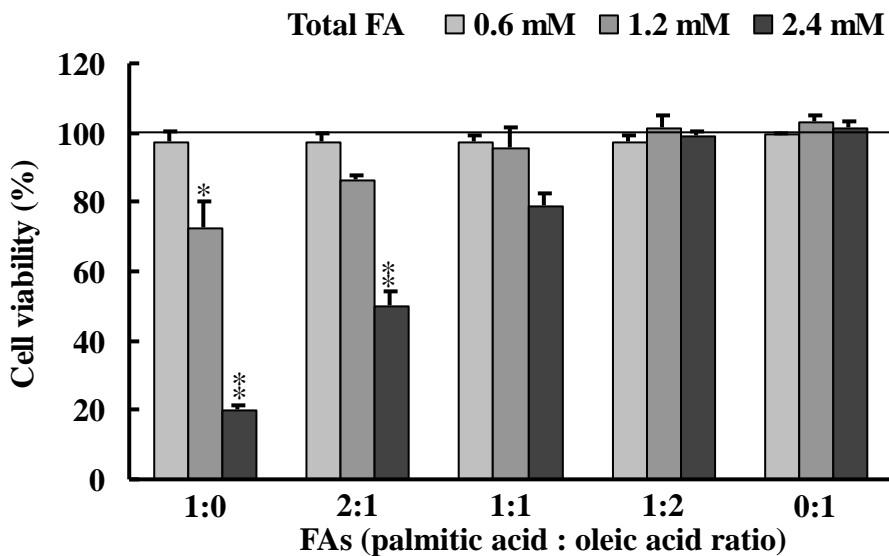
B

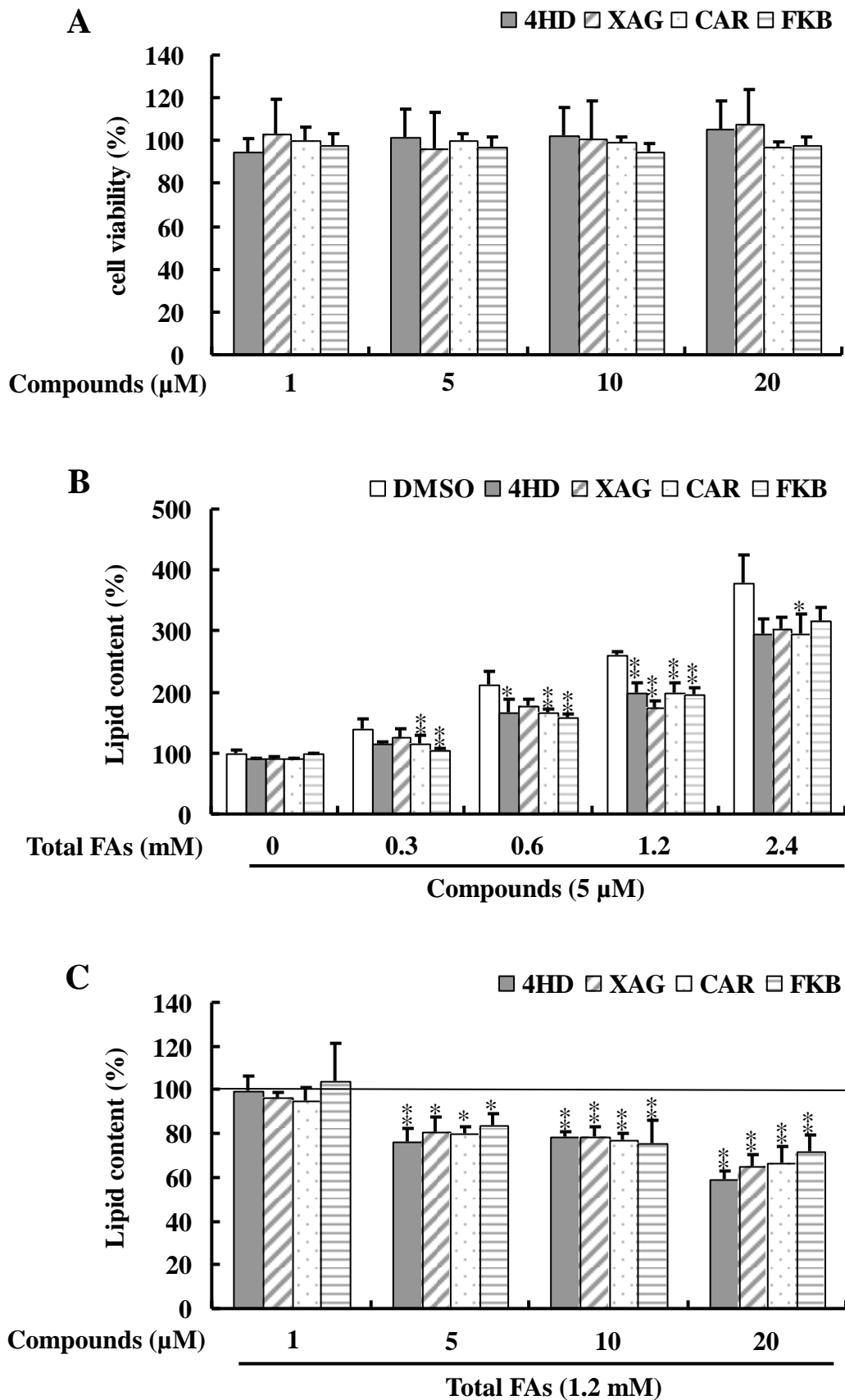


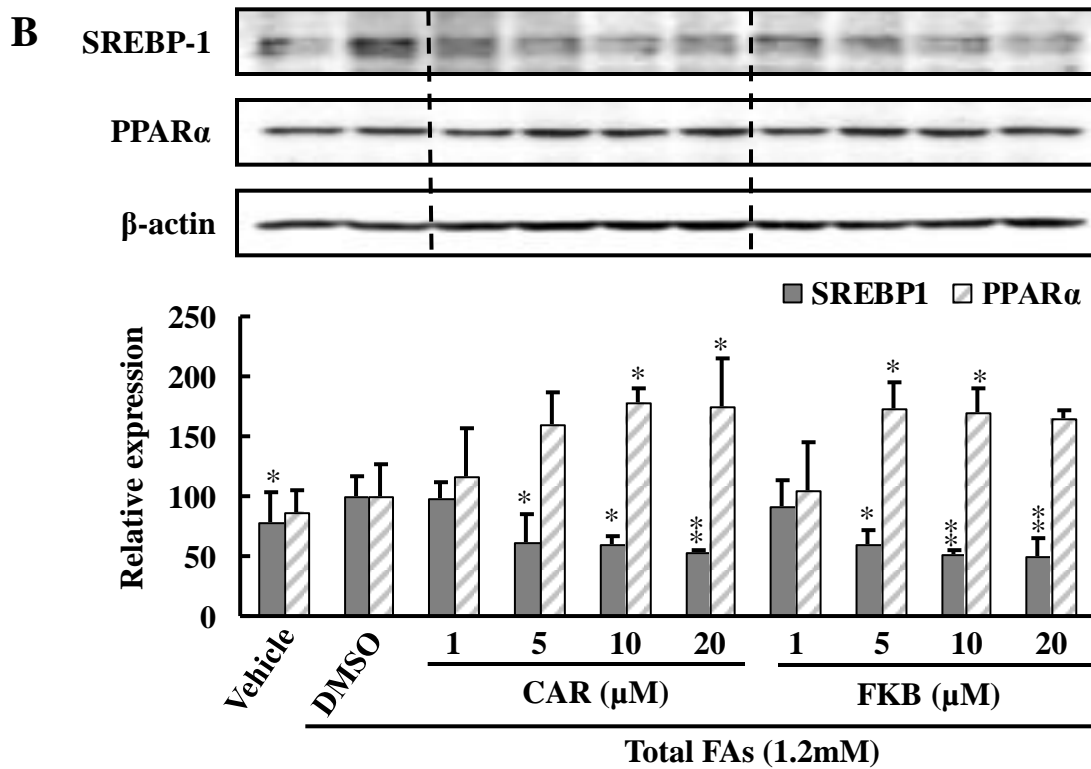
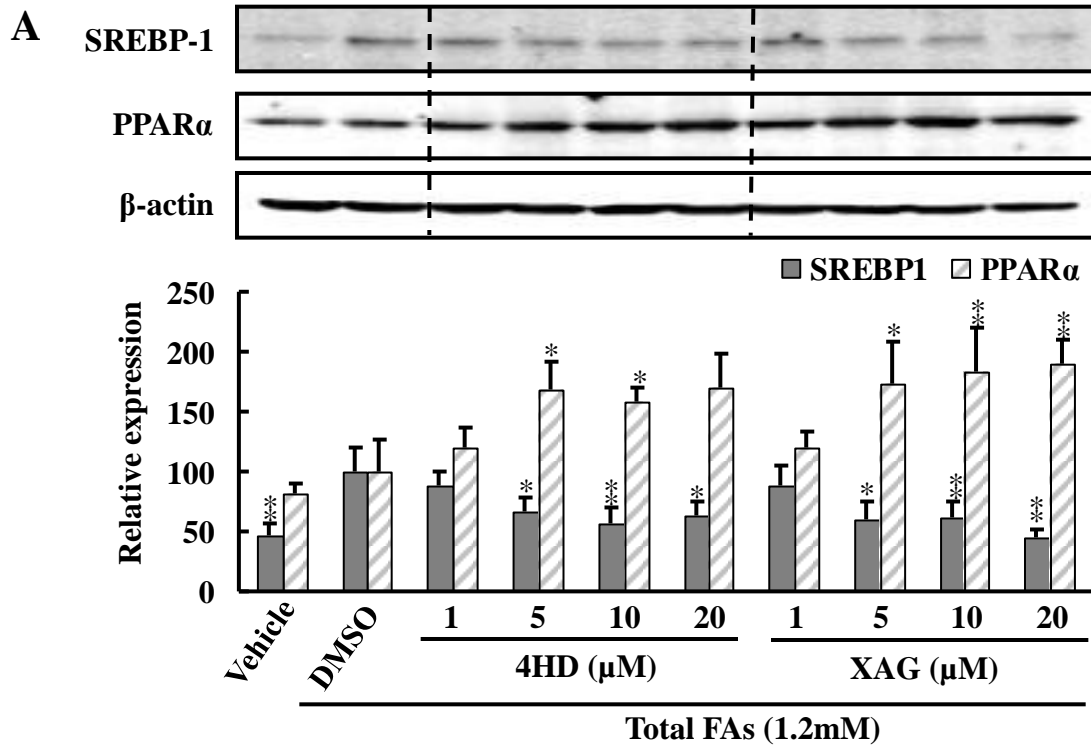
C

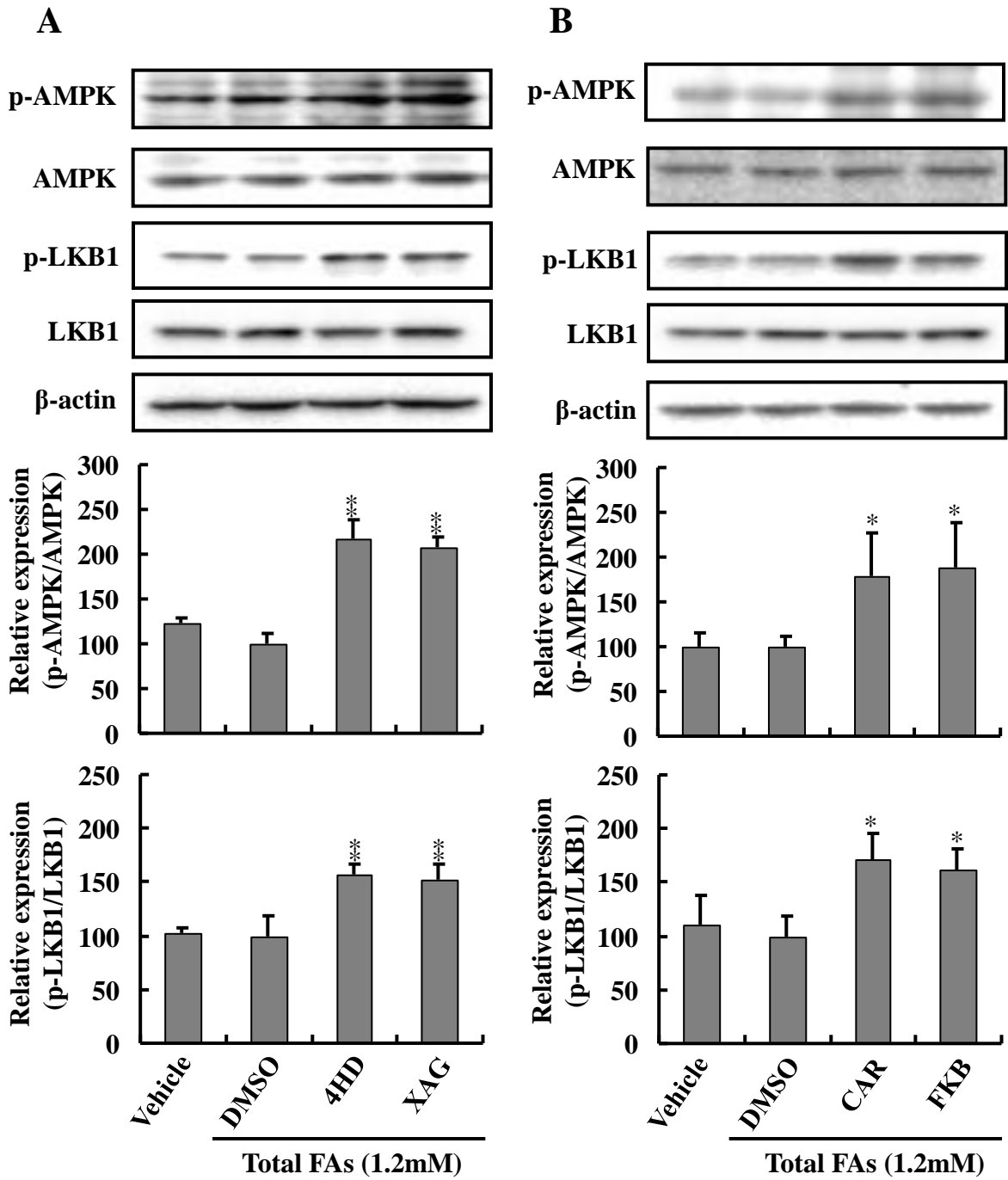


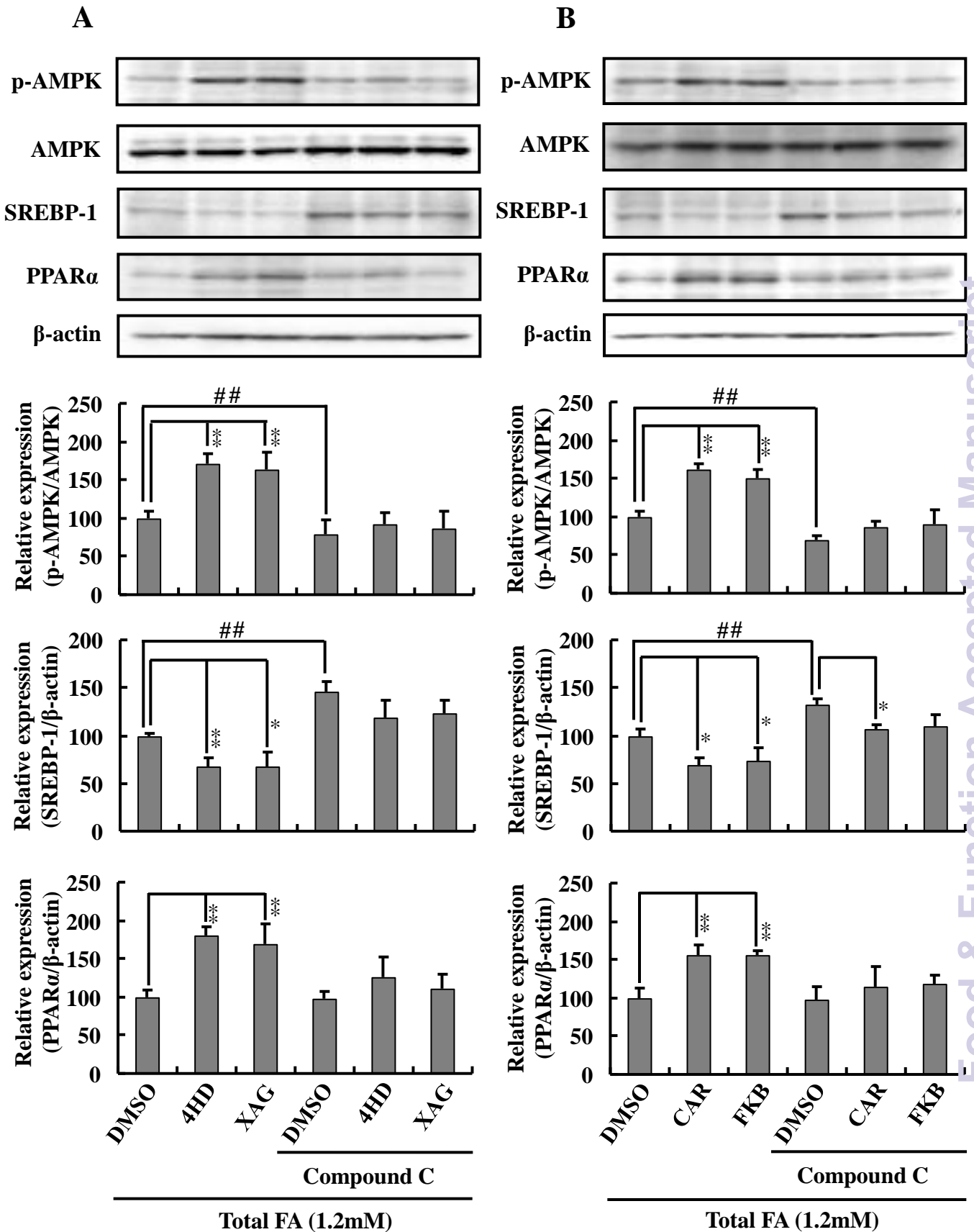
D

Fig.2 Zhang *et al.*

Fig.3 Zhang *et al.*





Fig.6 Zhang *et al.*

